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### **ORIGINAL ARTICLE**

# Toward Prospective Prediction of Pharmacokinetics in OATP1B1 Genetic Variant Populations

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Physiologically based pharmacokinetic (PBPK) models are increasingly being used to provide human pharmacokinetic (PK) predictions for organic anion-transporting polypeptide (OATP) substrates based on *in vitro* assay data. As a natural extension in the application of these models, in this study, we incorporated *in vitro* information of three major OATP1B1 genetic variants into a previously reported PBPK model to predict the impact of OATP1B1 polymorphisms on human PK. Using pravastatin and rosuvastatin as examples, we showed that the predicted plasma concentration–time profiles in groups carrying different OATP1B1 genetic variants reasonably matched the clinical observations from multiple studies. This modeling and simulation approach may aid decision making in early pharmaceutical research and development as well as patient-specific dose adjustment in clinical practice.

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Membrane transporters play a significant role in the pharmacokinetic (PK) profiles of many drugs,<sup>1,2</sup> to which functional genetic variations in transporters can contribute. The organic anion-transporting polypeptide 1B1 (OATP1B1), encoded by the gene SLCO1B1, is an uptake transporter expressed on the basolateral membrane of human hepatocytes. OATP1B1 has a broad substrate specificity and is important in both systemic and liver exposure of many drugs.3 OATP1B1 genetic variants with decreased activity may increase systemic exposure, and hence the chance of dose/concentration related adverse drug reactions. For example, the incidence of severe myopathy with statin (OATP1B1 substrates) therapy is related to dose.<sup>4</sup> On the other hand, genetic variants with increased activity may decrease the plasma concentration to subtherapeutic levels. Additionally, the impact of OATP1B1 polymorphisms on liver exposure, associated pharmacodynamic effect of liver-targeting compounds, and potential toxicity of nonliver-targeting compounds have not been well characterized and deserve attention.

Multiple SLCO1B1 single nucleotide polymorphisms (SNPs) have been identified, among which there are two that are relatively common and widely studied, i.e., c.521T>C in exon 5 and c.388A>G in exon 4 (where c. represents coding DNA sequence, the number refers to the first nucleotide affected, and ">" indicates a substitution at DNA level). The two SNPs lead to four haplotypes, known as \*1a (wild-type, c.388A and c.521T), \*1b (c.388G and c.521T), \*5 (c.388A and c.521C), and \*15 (c.388G and c.521C).3 Adding to the complexity, individuals can be homozygous or heterozygous for these haplotypes. Compared with \*1a, the haplotypes \*5 and \*15 usually have decreased uptake rate,<sup>3</sup> while \*1b may increase the uptake rate due to increased OATP1B1 expression.<sup>5</sup> Although the prevalence and functional implications of other SLCO1B1 SNPs lead to different haplotypes (e.g., \*14 (c.388G, c.521T and c.463A)), these have not been widely studied as the four haplotypes listed above.3

The aim of this study is to predict human PK of OATP1B1 substrates for carriers of *SLCO1B1* variants through physiologically based pharmacokinetic (PBPK) modeling. Such a model could aid decision making in early pharmaceutical research as well as patient-specific dose adjustment in clinical practice. Rosuvastatin and pravastatin are used in this study to demonstrate the prediction strategy, because there are multiple *in vitro* pharmacogenetic and *in vivo* genotyped pharmacokinetic datasets available for the two compounds.

#### RESULTS

#### PBPK modeling of nongenotyped clinical data and estimation of OATP1B1 activity

The nongenotyped clearance and absorption parameters including total hepatic active uptake clearance (CL<sub>act.tot</sub>), biliary clearance (CL<sub>bile</sub>), passive diffusion clearances in liver  $(CL_{pass, liver})$ , absorption rate  $(k_a)$ , and the fraction of the dose absorbed from gastro-intestinal (GI) tract  $(F_a, F_g)$  are estimated by fitting nongenotyped mean intravenous infusion and oral dosing data.6,7 Model characterization of the data and the values of both fitted and predicted parameters generated in this study are indicated in Figure 1 and Table 1. The model-derived values of  $F_a \cdot F_a$  for pravastatin and rosuvastatin (i.e., 0.46 and 0.50) are lower than what would be obtained via noncompartmental analysis (i.e., 0.52 and 0.72). This is likely due to an overestimation of  $F_a \cdot F_a$  via noncompartmental analysis in the presence of enterohepatic recirculation. Consistent with this, a smaller difference is observed with pravastatin due to the relatively greater contribution of renal (rather than biliary) clearance.

# Predictions of uptake clearance in OATP variants and PK in genotyped Caucasian and Japanese populations

The active uptake clearance of OATP1B1 \*1a, \*1b, and \*15  $(CL_{act, *ia}, CL_{act, *ib})$  and  $CL_{act, *ib}$  for the Caucasian population

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**Figure 1** Observed and fitted human plasma time–concentration profiles of (a) pravastatin and (b) rosuvastatin. Circles and crossings represent observed profiles after IV infusion (9.9 mg for pravastatin, 8 mg for rosuvastatin) and oral dosing (19.2 mg for pravastatin, 40 mg for rosuvastatin), respectively.<sup>6,7</sup> Solid and dashed lines represent simulations after IV infusion and oral dosing, respectively.

Table 1 Values of parameters for clearance and absorption

		Estimation	Values (95% confidence interval)			
Parameters Units		method	Pravastatin	Rosuvastatin		
CL <sub>act, tot</sub> a	l/h	Fitted	2,020 (1,230, 2,690)	21,400 (8,940, 42,500)		
CL_ <sub>bile</sub> <sup>a</sup>	l/h	Fitted	3.58 (2.56, 5.60)	0.980 (0.785, 1.18)		
CL a a	l/h	Fitted	27.3 (22.4, 38.5)	16.3 (8.20, 28.4)		
k <sub>a</sub> <sup>a</sup>	h⁻¹	Fitted	1.02 (0.364, 1.92)	0.127 (0.0798, 0.204)		
$F_a \cdot F_q^a$		Fitted	0.458 (0.314, 0.605)	0.396 (0.322, 0.477)		
CL <sub>act, other</sub>	l/h	Fixed	343	10,700		
CL <sub>act, OATP1B1</sub>	l/h	Fixed	1,670	10,700		
CL <sub>act, *1a</sub>	l/h	Fixed	1,600	10,600		
CL <sub>act, tot, *1a</sub>	l/h	Fixed	1,950	21,300		
CL <sub>act, *1b</sub>	l/h	Fixed	2,600	17,300		
CL <sub>act, tot, *1b</sub>	l/h	Fixed	2,940	28,000		
CL <sub>act, *15</sub>	l/h	Fixed	561	1,590		
CL <sub>act, tot, *15</sub>	l/h	Fixed	904	12,290		

<sup>a</sup>The parameters for clearance are estimated by fitting the observed human plasma data after IV infusion and oral dosing. The values are reported to three significant digits.

are calculated from *in vitro* data and nongenotyped active uptake clearance through OATP1B1 ( $CL_{act, OATP1B1}$ ) (**Table 1**) as described in the methods section, where \*5 and \*15 are treated as the same group due to relatively similar activity of the two variants.<sup>8</sup> With calculated values of  $CL_{act, *1a}$ ,  $CL_{act, *1b}$ , and  $CL_{act, *1b}$ , the model reasonably predicts observed human plasma pravastatin profiles of Caucasian \*1a, \*1b, and \*15 groups (Figure 2a).

A previous publication indicates that there is an intrinsic ethnic variability in the activity of OATP1B1,<sup>9</sup> where the ratio of



**Figure 2** Observed and predicted human plasma time–concentration profiles of pravastatin (**a**) following 40 mg oral dosing in Caucasian population and (**b**) following 10 mg oral dosing in Japanese population. Red triangles and lines represent observed and predicted profiles of \*1a group. Black squares and solid lines represent observed and predicted profiles of \*15 group. Blue circles and lines represent observed and predicted profiles of \*15 group. Error bars indicate observed standard deviations. The digitized observations are from the one study performed with Caucasian population, and two studies with Japanese population.<sup>13,14,20</sup>

Japanese/Caucasians is 0.584. Keeping all other parameters unchanged, predictions using this correction on  $CL_{act, tot}$  also match the observations in a previously published study on pravastatin pharmacokinetics in Japanese subjects (**Figure 2b**). A similar prediction is not done for rosuvastatin due to the lack of the genotyped rosuvastatin pharmacokinetic data in Japanese population.

Pravastatin as well as rosuvastatin concentration-time profiles of c.521TT and c.521CC groups were also reasonably well predicted under the assumption that (in the absence of c388A>G information) these largely represent the \*1a and \*15 genotypes (Figure 3). The differences between the observations and predictions are within 70% of the observed values for plasma AUC, 75% of the observed values for  $C_{max}$ , and 10% of the observed values for  $t_{max}$  (Table 2). Considering the relatively large intra- and interstudy variability (Table 2), the observed and predicted pharmacokinetics are reasonably close.

#### Local sensitivity analysis

The sensitivities of plasma and liver concentration, and AUC<sub>plasma</sub> up to 8h for pravastatin and 24h for rosuvastatin in OATP1B1 genotyped Caucasian population, were evaluated for compound specific parameters. The parameters with normalized sensitivity coefficients greater than 0.3 or less than -0.3 are reported. The plasma concentration is sensitive to unbound fraction in plasma ( $f_{u,p}$ ), blood to plasma ratio ( $R_{B/P}$ ), unbound fraction in liver tissue ( $f_{u,liver}$ ), renal clearance





**Figure 3** Observed and predicted human intravenous plasma time–concentration profiles of (**a**) pravastatin and (**b**) rosuvastatin in Caucasian population. Red triangles and blue circles represent observed c.521TT and c.521CC groups. Red solid lines and blue dashed lines represent predicted \*1a and \*15 groups, assuming c.521TT and c.521CC groups are equivalent to \*1a and \*15 groups, respectively. Error bars indicate observed standard deviations. The digitized observations are three studies for pravastatin, and one study for rosuvastatin.<sup>15–18</sup>

 $(CL_{renal})$ ,  $CL_{act, OATP1B1}$ , active uptake clearance through non-OATP1B1 transporters  $(CL_{act, other})$ ,  $CL_{bile'}$ ,  $CL_{pass, liver'}$ ,  $k_{a'}$  and  $F_a \cdot F_g$ . The liver concentration is also sensitive to these parameters except for  $R_{B/P}$  and  $CL_{renal'}$  Plasma concentration is more sensitive towards  $CL_{act, OATP1B1}$  than the liver concentration (data not shown), consistent with a previous PBPK study for pravastatin.<sup>10</sup> In addition, the plasma concentration is sensitive to different parameters during different phases (Figure 4), consistent with previous observations.<sup>11</sup> AUC<sub>plasma</sub> is sensitive to  $f_{u,p'}$ ,  $CL_{act, OATP1B1}$ ,  $CL_{act, other}$ ,  $CL_{bile'}$ ,  $CL_{pass, liver'}$  and  $F_a \cdot F_g$ . In general, results are similar between pravastatin and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is not sensitive to  $CL_{act, other'}$  and rosuvastatin AUC<sub>plasma</sub> is no

Because the predicted  $CL_{act, tot}$  in genotyped populations are calculated using *in vitro* data, a local sensitivity analysis was performed on predicted  $CL_{act, tot}$  (in \*1a, \*15, and \*1b populations) towards the parameters estimated in the *in vitro* assays (i.e., the ratio between  $CL_{act, tot}$  and  $CL_{act, tot}$  the ratio between  $CL_{act, '1a}$  and  $CL_{act, '15}$  and the fraction of  $CL_{act, tot}$  mediated by OATP1B1) (Table 3). In general, as the fraction of  $CL_{act, tot}$  mediated by OATP1B1 increases, the predicted  $CL_{act, tot}$ across populations is more sensitive to the ratio between  $CL_{act, tat}$  and  $CL_{act, '16}$  (or  $CL_{act, '16}$ ). When OATP1B1 mediates over 60%  $CL_{act, tot}$  the predicted  $CL_{act, tot}$  for all three genotyped populations is relatively sensitive to the ratio between  $CL_{act, tat}$  and  $CL_{act, tot}$  (i.e., the sensitivity coefficient is 0.3 or greater), while only  $CL_{act, tot, '15}$  is sensitive to the ratio between

Table 2 Observed and predicted	pharmacokinetic	variables in	relation to
OATP1B1 polymorphism			

		*1a	*1b	*15	Reference	
40 mg Prav	/astatin					
Observed	AUC <sub>0−6 h</sub> (ng <i>·</i> h/ml)	114.5±68.5	74.8±35.6	163±64.6		
	C <sub>max</sub> (ng/ml)	$58.3 \pm 37.7$	36.7±21.4	84.3±32.1	20	
	t <sub>max</sub> (h)	1.0 (0.8–2.0)	1.0 (0.8–3.0)	1.0 (0.5–1.5)		
	AUC <sub>0−12 h</sub> (ng <i>·</i> h/ml)	89.5±64.0		$140.1 \pm 39.3$		
	C <sub>max</sub> (ng/ml)	40.3±28.9		$51.9 \pm 19.0$	16	
	t <sub>max</sub> (h)	1.0 (0.5–2.0)		1.5 (1.0–2.0)		
	AUC <sub>0-∞</sub> (ng <i>·</i> h/ml)	150.3±78.1		287.4±102.9		
	C <sub>max</sub> (ng/ml)	68.5±38.9		141.6±46.8	17	
	t <sub>max</sub> (h)	1.0 (0.5–2.0)		1.0 (1.0–1.0)		
	AUC <sub>0−5 h</sub> (ng⋅h/ml)	83.4±66.3	71.5±58.7	167.0±31.4	15	
	C <sub>max</sub> (ng/ml)	$45.1 \pm 35.1$	$41.5 \pm 16.3$	75.6±2.1		
Predicted	AUC <sub>0–8 h</sub> (ng⋅h/ml)	121.3	81.2	235.1		
	$C_{\rm max}$ (ng/ml)	43.1	27.9	92.1		
	t <sub>max</sub> (h)	1.1	1.1	0.9		
10 mg Ros	uvastatin					
Observed	AUC <sub>0–48 h</sub> (ng∙h/ml)	33.7±17.5		$55.6 \pm 5.4$		
	C <sub>max</sub> (ng/ml)	$4.21 \pm 2.41$		$7.53 \pm 1.20$	18	
	t <sub>max</sub> (h)	5.0 (1.0–5.0)		5.0 (3.0–5.0)		
Predicted	AUC <sub>0–48 h</sub> (ng⋅h/ml)	32.6	24.1	52.2		
	C <sub>max</sub> (ng/ml)	3.2	2.4	5.5		
	t <sub>max</sub> (h)	3.4	3.4	3.2		

Observed data are the published values given as mean  $\pm$  SD. Observed  $t_{max}$  data are median values (range). In the absence of c.388A>G information, c.521TT and c.521CC was considered to be \*1a and \*15, respectively. Predicted AUC values are calculated by trapezoidal approximation.

 $CL_{act, "1a}$  and  $CL_{act, "15}$  under most situations (i.e., only  $CL_{act, tot, "15}$  but not  $CL_{act, tot, "1a}$  or  $CL_{act, tot, "1b}$  has sensitivity coefficient larger than 0.1). Simulated plasma concentration—time profiles using the values of the ratio between  $CL_{act, "1a}$  and  $CL_{act, "15}$  reported from two *in vitro* studies (**Supplementary Figure S1**) are consistent with the sensitivity analysis results in **Table 3**, that only  $CL_{act, tot, "15}$  is sensitive to the ratio between  $CL_{act, "1a}$  and  $CL_{act, "15}$ .

#### DISCUSSION

The effects of *SLCO1B1* polymorphisms on transporter activity for selected OATP substrates and human PK have been established in both *in vitro* and *in vivo* studies;<sup>3</sup> however, a mechanistic model describing these behaviors and connecting *in vitro* discoveries with *in vivo* observations has not been developed previously. In this study, we incorporated *in vitro* OATP1B1 information for genetic variants into a previously



Figure 4 Time-dependent local sensitivity of compound specific parameters on plasma concentration of (a) pravastatin and (b) rosuvastatin. The local sensitivity analysis shown here is conducted with parameter values associated with OATP1B1 \*1b group, however similar results are observed for \*1a and \*15 groups.

published PBPK model for OATP substrates<sup>12</sup> to predict PK profiles of variant carriers.

The uniqueness of this approach relies on the use of *in vitro* estimated fraction of OATB1B1 in total hepatic active uptake clearance and the ratio of uptake activities between variants. In combination with hepatic clearance and absorption estimated from average plasma concentrations of ungenotyped population, the proposed approach can reasonably predict plasma concentration—time profiles for genotyped groups. The prediction relies on the key assumptions that the contribution of OATP1B1 to total uptake and the effect of *SLCO1B1* polymorphisms on uptake activities are relatively consistent between *in vitro* and *in vivo* conditions, and only  $CL_{act, OATP1B1}$  changes without the need for adjustment of other parameters and the model structure.

Accounting for differences in OATP1B1 activity between Caucasians and Japanese,<sup>9</sup> the model can also predict observations in Japanese pravastatin study<sup>13,14</sup> (**Figure 2**). Application of the same approach to rosuvastatin pharmacokinetics determined in ungenotyped Japanese subjects suggests that the ethnic difference in OATP activity may be larger for this compound (0.3 rather than 0.584, data not shown). As such, further study is required to understand the genotypedependence of rosuvastatin pharmacokinetics in Japanese and to determine the ethnic dependence of OATP uptake for this compound.

Given the available data, several assumptions are made to simplify the problem. We assume that the fraction of three OATP1B1 variants in nongenotyped Caucasian IV studies follows that in European population. We assume that heterozygotes have the same activity as the homozygotes if the

Table 3 The local sensitivity analysis on CL <sub>act. tot</sub> of different genetic varian
groups with respect to the parameters estimated in the in vitro assays

		Fraction of CL <sub>act, tot</sub> mediated by OATP1B1				
Input		1	0.8	0.6	0.4	0.2
parameter	Output	Sensitivity coefficient				
Pravastatin						
Ratio between	CL <sub>act, tot, *1a</sub>	-0.40	-0.32	-0.24	-0.16	-0.08
$CL_{act, *1a}$ and $CL_{act, *1b}$	CL <sub>act, tot, *1b</sub>	0.59	0.51	0.42	0.30	0.17
	CL <sub>act, tot, *15</sub>	-0.40	-0.23	-0.13	-0.07	-0.03
Ratio between	CL <sub>act, tot, *1a</sub>	-0.06	-0.05	-0.04	-0.02	-0.01
$CL_{act, *1a}$ and $CL_{act, *15}$	CL <sub>act, tot, *1b</sub>	-0.06	-0.05	-0.04	-0.03	-0.02
	CL <sub>act, tot, *15</sub>	0.94	0.54	0.31	0.17	0.07
Rosuvastatin						
Ratio between	CL <sub>act, tot, *1a</sub>	-0.42	-0.33	-0.25	-0.17	-0.08
$\textit{CL}_{\textit{act, *1a}}$ and $\textit{CL}_{\textit{act, *1b}}$	CL <sub>act, tot, *1b</sub>	0.58	0.50	0.41	0.30	0.17
	CL <sub>act, tot, *15</sub>	-0.42	-0.16	-0.08	-0.04	-0.01
Ratio between	CL <sub>act, tot, *1a</sub>	-0.03	-0.02	-0.02	-0.01	-0.01
$CL_{act, *1a}$ and $CL_{act, *15}$	CL <sub>act, tot, *1b</sub>	-0.03	-0.02	-0.02	-0.01	-0.01
	CL <sub>act, tot, *15</sub>	0.97	0.36	0.18	0.09	0.03

pharmacokinetic study grouped heterozygotes and homozygotes together. This may lead to the misprediction of the pharmacokinetics, because heterozygous clearance may be different from homozygous clearance.<sup>15</sup> We further assume that in Figure 3 the c.521TT group is the same as the \*1a group in the studies for which SNP c.388A>G or c.463C>A is not sequenced;<sup>15-18</sup> the assumed \*1a (c.521TT, c.388AA, and c.463CC) group may be confounded by \*1b (c.521TT. c.388GG and c.463CC) and/or \*14 (c.521TT, c.388GG, and c.463AA) genotypes. The \*1b genotype is associated with the increased OATP1B1 expression level,<sup>5</sup> while the \*14 genotype may be associated with the reduction in the intrinsic OATP1B1 uptake rate.<sup>19</sup> In addition, in Figure 2 where data are digitized from the studies sequencing c.388A>G,<sup>13,14,20</sup>\*1b group could also be confounded by \*14 carrier if c.463C>A was not genotyped. We further assumed that polymorphisms of other transporters only play minor roles in drug disposition and do not affect plasma PK, and no gene interactions between OATP1B1 and other transporters. The use of these assumptions is supported by the good agreement between predictions and observations in the two case examples.

In vitro assay data indicate \*1b increases OATP1B1 expression by twofold,5 which is assumed to affect all substrates. In the prediction for rosuvastatin, we assume that the uptake rate of \*1b is higher than that of \*1a and \*15. However, the observed in vivo \*1b uptake rate is slightly lower than \*1a rate.  $^{\scriptscriptstyle 21,22}$  The predicted  $AUC_{_{0-24\ h}}$  of the \*1b group (24.1 ng h/ml) is less than the predicted  $\text{AUC}_{\text{0-24 h}}$  of the \*1a group (32.6 ng·h/ml), in contrast with the observation that average  $AUC_{0-t}$  of \*1b group is slightly higher than that of the \*1a group.<sup>21,22</sup> The reason for the inconsistency is unknown, but can be that protein expression differences do not proportionally translate to functional differences. Assuming that \*1b does not increase OATP1B1 expression level in rosuvastatin studies, we re-estimate clearance for the three variants without the expression difference incorporated, leading to a result consistent with clinical observations (data not shown). In addition, if c.463 C>A is not sequenced in these study,<sup>21,22</sup> subjects carrying \*14 may exit in \*1b or c.388A>G group. Since \*14 may lead to reduction in intrinsic uptake rate as discussed above, it would compensate for increase in mean uptake rate due to \*1b carriers.

The mechanistic modeling approach proposed here helps our understanding of the pharmacokinetic properties of OATP substrates in populations carrying OATP variants. For example, a previous *in vivo* study shows that the *SLCO1B1* polymorphism (i.e., SNP c.521T>C) has no impact on fluvastatin PK.<sup>17</sup> Based on this result, several studies claim that fluvastatin is not an OATP1B1 substrate,<sup>17,23,24</sup> which leads to an obvious inconsistency with *in vitro* uptake assay results where fluvastatin is an OATP1B1 substrate.<sup>25</sup> This phenomenon can be explained using our approach. Previously published *in vitro* pharmacogenetic studies have shown that this SNP does not result in impaired OATP1B1 uptake activity for fluvastatin.<sup>26,27</sup>

$$CL_{act,*15,fluvastatin} = CL_{act,*1a,fluvastatin}$$
 (1)

 $CL_{act, other, fluvastatin}$  is believed to be the same between \*15 and \*1a group here, hence

$$CL_{act,tot,*15,fluvastatin} = CL_{act,tot,*1a,fluvastatin}$$
 (2)

As such, even if fluvastatin is an OATP1B1 substrate as shown *in vitro*, SNP c.521T>C will not change the *in vivo* PK profile of fluvastatin, because \*15 and \*1a have the same hepatic clearance. In fact, the impact of *SLCO1B1* polymorphisms is known to be compound dependent.<sup>27</sup> As such, *in vitro* functional evaluation of OATP polymorphisms can provide useful information on the prediction and interpretation of clinical pharmacokinetics.

In the sensitivity analysis, the rosuvastatin  $\mathrm{AUC}_{_{\mathrm{plasma}}}$  is not sensitive to CL<sub>act.\*15</sub>, mainly because given the current model parameter values, clearance of rosuvastatin by OATP1B1 \*15 is not significant compared with clearance by other transporters. Similarly, pravastatin  $AUC_{plasma}$  is not sensitive to  $CL_{act, other}$  largely because the clearance of pravastatin by other transporter is not significant compared with clearance by OATP1B1. The genotyped  $CL_{act, tot}$  is calculated from *in vitro* data. To assess the impact of variability in the *in vitro* data on the calculated CL<sub>act. tot</sub>, we performed another sensitivity analysis (Table 3). Based on this analysis, if in vitro assay results indicate OATP1B1 mediates more than 60% of the CL<sub>act. tot</sub>, it is likely that the variability in the in vitro estimated intrinsic activity ratio between  $CL_{act, +ia}$  and  $CL_{act, +ib}$  will affect the calculation of the genotyped  $CL_{act, tot}$  and the PK prediction for each genotype. On the other hand, even if CLact tot is solely mediated by OATP1B1, the variability in the in vitro estimated ratio between CLact, \*1a and CLact, \*15 may only affect CL<sub>act. tot.\*15</sub> estimation (results which are further illustrated in Supplementary Figure S1).

In this study, we performed analysis with PBPK rather than traditional pharmacokinetic modeling. For OATP substrates, the PBPK model has the ability to predict the pharmacokinetics in the liver, where tissue concentration to plasma concentration ratio is not constant. This is important in estimating efficacy for liver-targeting compounds (e.g., statins),<sup>28,29</sup> potential liver toxicity of nonliver-targeting compounds (e.g., endothelin receptor antagonists),<sup>30</sup> or potential drug–drug

interactions in the liver.<sup>31</sup> Additionally, although the current model uses nongenotyped human plasma data as a starting point, when combined with previously published modeling efforts to predict mean human pharmacokinetic response for compounds in the preclinical development,<sup>11,12</sup> the model has the potential to prospectively predict pharmacokinetics in OATP1B1 genetic variant populations without using any human data.

Rose et al. recently published a study where a PBPK model was applied to assess the impact of OATP1B1 genetic variation on the pharmacodynamics of rosuvastatin.29 They estimated clearances by fitting genotyped human plasma data and evaluated the impact of OATP1B1 genetic variation on the pharmacodynamics. Our study uses in vitro estimated clearances together with nongenotyped clinical pharmacokinetics to prospectively predict how the OATP1B1 genetic variation affects the pharmacokinetics. Although using a different approach to evaluate the clearance values of the genotyped groups, and a slightly different liver model structure (three compartments (i.e., liver blood, liver extracellular tissue, and liver intracellular tissue) versus five pairs of liver blood and liver tissue) as well as different physiological parameters, the sensitivity analysis in our study reaches a similar conclusion as the published study:29 the rosuvastatin concentration in plasma is more sensitive to the genetic variability of OATP1B1 while the liver concentration is less sensitive. As such, the genetic variation in OATP1B1 may not affect the pharmacodynamic effects of liver-targeting compounds as much as the systemic pharmacokinetics. However, the OATP1B1 genetic variation may affect pharmacodynamics or toxicity in other tissues due to its effects on systemic exposure.

In conclusion, these results indicate that *in vitro* functional pharmacogenetic data can be used to support reasonably accurate predictions for groups carrying specific variants through the proposed PBPK modeling approach. Such an approach may be useful in the evaluation of drug candidates in drug discovery, the design of clinical trials and ultimately for dose adjustments in clinical practice. Lastly, this framework also provides a starting place from which to systematically evaluate some simplifying assumptions which are currently necessary due to the lack of information as more data become available (e.g., expression/activity of heterozygous vs. homozygous variants, expression/activity of variants beyond those examined in this particular study, gene interactions with other transporters).

#### METHODS

#### PBPK modeling of nongenotyped clinical data and estimation of OATP1B1 activity

The structural model (**Supplementary Figure S2**) is based upon a previously published PBPK model.<sup>12</sup> Equations were added to describe enterohepatic recirculation.

$$V_{bile} \cdot \frac{dC_{bile}}{dt} = CL_{bile} \cdot C_{IC} \cdot f_{u,liver} - Q_{liver,bile} \cdot C_{bile}$$
(3)

where  $C_{\rm bile}$  and  $C_{\rm IC}$  represent drug concentrations in the bile and liver tissue;  $CL_{\rm bile}$  is biliary clearance;  $f_{\rm u, liver}$  is the unbound fraction of compound in liver tissue; and  $V_{\rm bile}$  and  $Q_{\rm liver, bile}$  are the volume of bile ducts in the liver and the bile flow rate.  $V_{\text{bile}}$  and  $Q_{\text{liver, bile}}$  were 0.318% of liver volume<sup>32</sup> and 350 ml/ day.<sup>33</sup> The GI lumen is modeled as

$$\frac{dX_{lumen}}{dt} = Q_{liver,bile} \cdot C_{bile} - \frac{k_a}{F_a \cdot F_g} X_{lumen}$$
(4)

where  $X_{iumen}$  is the amount of compound in GI lumen compartment.  $k_a$  is the absorption rate;  $F_a$  is fractional absorption,  $F_g$  is the fraction that escapes from metabolism or efflux in the GI tract.

Gallbladder emptying after meals was not included in the modeling because feeding schedules were not available. The equation for the gut compartment is

$$V_{gut} \frac{dC_{gut}}{dt} = Q_{gut} (C_a - C_{gut} \frac{R_{B/P}}{K\rho_{gut}}) + k_a X_{lumen}$$
(5)

where  $V_{gut}$  is volume of gut;  $Q_{gut}$  is blood flow;  $C_a$  and  $C_{gut}$  are the concentrations in arterial blood and gut compartment; and  $R_{B/P}$  and  $Kp_{gut}$  are the blood to plasma ratio and tissue to plasma partition coefficient. (Equations and parameter values not reported in text are given in **Supplementary Material**.)

 $CL_{act, tot}$  is modeled as the sum of  $CL_{act, OATP1B1}$  and  $CL_{act, other}$ :

$$CL_{act,tot} = CL_{act,OATP1B1} + CL_{act,other}$$
(6)

The nongenotyped  $CL_{act, tot}$ ,  $CL_{bile}$ ,  $CL_{pass}$ ,  $k_a$ ,  $F_a \cdot F_g$  were estimated by fitting the model to plasms,  $k_a$ ,  $F_a \cdot F_g$  were estimated by fitting the model to plasms on and oral dosing in nongenotyped studies.<sup>6,7</sup> The ratios of  $CL_{pass}$  between liver, adipose and muscle and values of all other parameters are fixed at previously published values.<sup>12</sup> The model is implemented in MATLAB (Version 2013a, Mathworks, Natick, MA) and differential equations are compiled as a MEX file (MATLAB code and MEX file are given in **Supplementary Material**). A stochastic global optimization method, differential evolution (DE) with nonlinear sampling, was used to estimate these parameters as described before.<sup>34</sup> The 95% confidence intervals for the optimized parameters were approximated using a residual bootstrap method developed before.<sup>12</sup>

 $F_a \cdot F_g$  is also estimated using a previously published noncompartmental method<sup>35</sup> to compare with the value estimated from fitting PBPK model to plasma data. The liver blood flow and  $R_{_{B/P}}$  in the noncompartmental analysis are set to the values we used in the PBPK model.

### Predictions of uptake clearance in OATP variants and PK in genotyped Caucasian populations

To predict PK profiles of carriers of specific genetic variants, we keep all the parameters in PBPK model unchanged, but replace the nongenotyped  $CL_{act, OATP1B1}$  in Eq. 6 with predicted  $CL_{act, \cdot1a}$ ,  $CL_{act, \cdot1b}$ , or  $CL_{act, \cdot15}$  to generate new  $CL_{act, tot}$ . For example, for the group carrying \*15 after pravastatin dosing, we have

$$CL_{act,tot,*15,pravastatin} = CL_{act,*15,pravastatin} + CL_{act,other,pravastatin}$$
(7)

To simplify the problem, \*5 is treated as \*15 considering the relatively similar activities of the two variants.<sup>8</sup> The diplotypes

are restricted to homozygous \*1a/\*1a, \*1b/\*1b, and \*15/\*15 (or \*5/\*15, \*5/\*5). For the published concentration–time curves<sup>15–17</sup> without information about SNP c.388A>G, we attribute the c.521TT group in these studies as \*1a, and the c.521CC group as \*5 and \*15.

Consistent with that reported previously, we assumed the fraction of pravastatin uptake clearance due to OATP1B1 to be 83%.<sup>23</sup> The remaining 17% is believed to be due to OATP1B3. We assume that pravastatin is not the substrate of other uptake transporter (e.g., Na<sup>+</sup>-taurocholate cotransporting polypeptide (NTCP)), because currently there is no evidence. However, if later research indicates other uptake transporter is involved in hepatic uptake of pravastatin, such information should be incorporated into calculation. With the fitted  $CL_{act, tot<sup>0</sup>}$  we calculate  $CL_{act, OATP1B1}$  and  $CL_{act, other}$  for non-genotyped population as below:

$$CL_{act,OATP1B1,pravastatin} = CL_{act,tot,pravastatin} \times 83\%$$

$$CL_{act,other,pravastatin} = CL_{act,tot,pravastatin} \times 17\%$$
(8)

For rosuvastatin, 35% of total active uptake is due to NTCP activity,<sup>36</sup> while 77% of the remaining 65% is mediated by OATP1B1.<sup>37</sup> As such, OATP1B1 is expected to account for 50% of total uptake clearance of rosuvastatin.

$$CL_{act,OATP1B1,rosuvastatin} = CL_{act,tot,rosuvastatin} \times 65\% \times 77\%$$
  
=  $CL_{act,tot,rosuvastatin} \times 50\%$   
 $CL_{act,other,rosuvastatin} = CL_{act,tot,rosuvastatin} \times (1-50\%)$   
=  $CL_{act,tot,rosuvastatin} \times 50\%$  (9)

Because  $CL_{act, OATP1B1}$  is calculated from  $CL_{act, tot}$  estimated using mean data,<sup>6,7</sup>  $CL_{act, OATP1B1}$  of nongenotyped population is treated as the average values of active uptake clearance of three major OATP1B1 genetic variants weighted by the proportion of participants carrying them ( $P_{r1a}$ ,  $P_{r1b}$  and  $P_{r45}$ ).

$$CL_{act,OATP1B1} = CL_{act,*1a} \times P_{*1a} + CL_{act,*1b} \times P_{*1b} + CL_{act,*15} \times P_{*15}$$
(10)

Since the participants were not genotyped for OATP1B1 polymorphisms in the published studies with intravenous infusion data from which we estimate  $CL_{act, tot}$ <sup>6,7</sup> we assume that the proportion of OATP1B1 genetic variants follows the reported proportion in the European population (i.e., \*1a, 56%; \*1b, 26%; \*5 and \*15, 18%),<sup>38</sup> considering the participants in the intravenous infusion studies were Caucasians.<sup>6,7</sup>

The ratios of intrinsic uptake clearances between \*1a and \*1b, and \*1a and \*15 are estimated from reported *in vitro* assay results. For pravastatin, the intrinsic uptake activities of \*1b and \*15 are reduced to 81% (ref. 8) and 35% (average value of the two reports)<sup>8,26</sup> of the activity of \*1a. The expression level of \*1b is twice of \*1a, while the expression level of \*15 is about the same as \*1a.<sup>5</sup> Since a previous *in vivo* study has shown that \*1b can lead to increased clearance,<sup>20</sup> we assume that the active uptake clearance is proportional to the expression level.

$$CL_{act,*1b,pravastatin} = CL_{act,*1a,pravastatin} \times 81\% \times 2$$
  

$$CL_{act,*15,pravastatin} = CL_{act,*1a,pravastatin} \times 35\%$$
(11)

For rosuvastatin, similarly, the intrinsic uptake activities of \*1b and \*5&\*15 are reduced to 82% (ref. 36) and 15% (average

value of the two reports)<sup>26,36</sup> of the activity of \*1a. For prediction purpose, we assumed that  $CL_{act, *1b}$  for rosuvastatin is also increased due to the increased expression level, although this has not been supported by the clinical observation.<sup>22</sup>

$$( CL_{act,*1b,rosuvastatin} = CL_{act,*1a,rosuvastatin} \times 82\% \times 2 CL_{act,*15,rosuvastatin} = CL_{act,*1a,rosuvastatin} \times 15\%$$
12)

Combining Eqs. 10 with 11 and 12 we get what the expected mean clearance would be amongst the general population.

$$CL_{act,OATP1B1,pravastatin} = CL_{act,*1a,pravastatin} \times P_{*1a} + CL_{act,*1a,pravastatin} \times 81\% \times 2 \times P_{*1b} + CL_{act,*1a,pravastatin} \times 35\% \times P_{*15}$$
(13)  
=  $CL_{act,*1a,pravastatin} \times (56\% + 81\% \times 2 \times 26\% + 35\% \times 18\%)$   
=  $CL_{act,*1a,pravastatin} \times 104\%$ 

CL<sub>act,OATP1B1,rosuvastatin</sub>

 $= CL_{act,*1a,rosuvastatin} \times P_{*1a} + CL_{act,*1a,rosuvastatin} \times 82\% \times 2 \times P_{*1b}$ 

+ $CL_{act,*1a,rosuvastatin} \times 15\% \times P_{*15}$ 

 $= CL_{act,*1a, rosuvastatin} \times (56\% + 82\% \times 2 \times 26\% + 15\% \times 18\%)$ 

= CL<sub>act,\*1a,rosuvastatin</sub> ×101%

Finally,  $CL_{act, *1a}$ ,  $CL_{act, *1b}$ , and  $CL_{act, *15}$  can be estimated from  $CL_{act, OATP1B1}$ . With  $CL_{act, other}$  estimated above,  $CL_{act, tot}$  for three variants can be calculated using Eq. 7 and applied in PBPK model for PK predictions.

To compare predictions with observations, pravastatin human plasma concentration–time course data are digitized from four previously published studies, where Caucasian participants were genotyped for OATP1B1 polymorphisms and dosed orally with 40 mg pravastatin.<sup>15–17,20</sup> Observed rosuvastatin human plasma concentration–time course data are also digitized from a previously published study, where Caucasian participants were genotyped for OATP1B1 polymorphisms and dosed orally with 10 mg rosuvastatin.<sup>18</sup>

## Predictions of uptake clearance in OATP variants and PK in genotyped Japanese populations

To test if the model can be applied to other populations by using a published ratio of intrinsic OATP1B1 activity between Japanese and Caucasians (i.e., 0.584),<sup>9</sup> we correct  $CL_{act, \cdot_{1a'}} CL_{act, \cdot_{1b'}}$  and  $CL_{act, \cdot_{15}}$  with this value and assume that the ratio of Japanese/Caucasians for  $CL_{act, other}$  is also 0.584. Liver weight and hepatic blood flow are assumed to be same between Caucasian and Japanese populations.<sup>39</sup> All other parameters are unchanged. To compare predictions with observations, clinical plasma concentration–time profiles are digitized from two published studies of pravastatin performed with Japanese populations.<sup>13,14</sup> To date, similar data for rosuvastatin in a Japanese population are not available.

#### Local sensitivity analyses

(14)

Local sensitivity analyses for the *in vivo* model were conducted as before<sup>11</sup> where each compound specific parameter is raised by 1% with respect to its value in the PK simulations for genotyped Caucasian populations. The values of the plasma and liver concentrations throughout the time course, and AUC<sub>plasma</sub> are obtained. Sensitivity coefficients are normalized to both the parameter value and the model output value.

In addition, using Eqs. 7-14, we evaluated the local sensitivity of predicted CL<sub>act.tot</sub> for \*1a, \*1b, and \*15 populations to the parameters estimated from the in vitro assays (i.e., intrinsic uptake activity ratio between CLact. 1/1a and CLact. 1/1b; intrinsic uptake activity ratio between  $CL_{act, +1a}^{act, +1a}$  and  $CL_{act, +15}^{act, +1a}$  and the fraction of  $CL_{act, +10}$  mediated by OATP1B1). In this analysis, population parameters (i.e., P., P., P., P., for Caucasian population) and parameters estimated by fitting in vivo data (i.e., nongenotyped  $CL_{act, tot}$ ) are fixed. The intrinsic uptake activity ratio between  $CL_{act, +1a}$  and  $CL_{act, +1b}$  (or CL<sub>act.\*15</sub>) is raised by 1%; and the value of the genotyped CL<sub>act, tot</sub> (i.e., CL<sub>act, tot, \*1a</sub>, CL<sub>act, tot, \*1b</sub>, and CL<sub>act, tot, \*15</sub>) is re-evaluated. The local sensitivity coefficient is calculated as the difference between the new value of the genotyped CL\_ad and its nominal value (Table 1), divided by the nominal value and 1%. As the new value of genotyped CL<sub>act tot</sub> is also sensitive to the estimated fraction of CL<sub>act, tot</sub> mediated (also determined in vitro), the sensitivity analysis was performed over a range of fractional OATP1B1 contributions between 0.2 and 1.

To visualize how actual interstudy variability in the in vitro data might impact these results, concentration-time profiles of pravastatin were generated over the range of CLact.\*1a and CL<sub>act \*15</sub> intrinsic uptake activity ratios reported in the literature (i.e., 0.20 (ref. 8) and 0.50 (ref. 26)). The ratio between  $CL_{act, \uparrow a}$  and  $CL_{act, \uparrow 5}$  of pravastatin is selected because (i) its value is available from two independent studies, while values of most other parameters are only available from single in vitro studies; (ii) its value of pravastatin shows the largest difference between two studies (the value for rosuvastatin is also available from two studies, which however reported almost identical numbers);26,36 and (iii) OATP1B1 contributes over 80% of total hepatic active uptake of pravastatin, hence has a more pronounced impact on pharmacokinetics. The intrastudy variability is not included in the current study, considering it is generally small with the coefficient of variation below 60%.5,8,26,36,37

Author contributions. T.M., R.L., and H.B. wrote the manuscript. T.M., R.L., and H.B. designed the research. R.L. performed the research. R.L. analyzed the data

**Conflict of interest.** The authors declared no conflict of interest.

### **Study Highlights**

## WHAT IS THE CURRENT KNOWLEDGE ON THE TOPIC?

Although previous in vitro and in vivo studies have shown that different genetic variants of OATP1B1 have different activity, no translational work has been done mechanistically and quantitatively to establish a link between the two.

#### WHAT QUESTION DID THIS STUDY ADDRESS?

This study aims at designing a PBPK model capable of translating the difference in the in vitro activity among OATP1b1 genetic variants into in vivo pharmacokinetic predictions.

#### WHAT THIS STUDY ADDS TO OUR KNOWLEDGE

This study presents the first mechanistic model to predict the impact of OATP1B1 polymorphisms on human PK.

#### HOW THIS MIGHT CHANGE CLINICAL PHARMACOLOGY AND THERAPEUTICS

- This study presents a method to improve the prediction accuracy of pharmacokinetics, potentially pharmacodynamics, and drug–drug interactions in the groups carrying genetic variants of transporters. It can be useful in the design and selection of novel drug candidates, the design of clinical trials, and ultimately for dose adjustments in clinical practice.
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