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## Reactions to clinical reinterpretation of a gene variant by participants in a sequencing study

Jennifer M. Taber, PhD<sup>1,\*</sup>, William M.P. Klein, PhD<sup>2</sup>, Katie L. Lewis, ScM<sup>3</sup>, Jennifer J. Johnston, PhD<sup>3</sup>, Leslie G. Biesecker, MD<sup>3</sup>, and Barbara B. Biesecker, PhD, CGC<sup>3</sup>

<sup>1</sup>Department of Psychological Sciences, Kent State University

<sup>2</sup>Behavioral Research Program, National Cancer Institute

<sup>3</sup>National Human Genome Research Institute

### Abstract

**Introduction**—As genome science advances, people receiving personalized genetic information may receive reinterpretations of pathogenicity. Little is known about responses to adjusted results. We examined how reinterpretations might affect attitudes about genetic testing and intentions to share results with family.

**Materials and Methods**—Data were collected from high SES participants ( $n=58$ ) in a genome sequencing study. Twenty-nine originally learned they were carriers of Duarte variant galactosemia based on a variant that was reclassified as benign. *Positive testers* ( $n=19$ ) had a newly-identified causative variant and remained carriers. *Negative testers* ( $n=10$ ) learned they were no longer carriers. Twenty-nine *controls* were carriers for a disease of comparable severity with no reclassification. Participants completed baseline, immediate, and 3-month follow-up surveys.

**Results**—Approximately 80% of participants demonstrated complete or partially accurate recall of their results and reported positive or neutral reactions to their result and about information more generally. Positive testers reported lower intentions to share the change in their result with family. Controls reported the lowest intentions to learn future results. There were no significant group differences or changes over time in perceived ambiguity or negative emotions.

**Conclusion**—Results suggest that high SES participants understand reinterpretations conferring a neutral change or a change from carrier to non carrier status. Participants' responses to changes in carrier results for a low-risk condition indicated minimal adverse effects.

### Keywords

reclassification; genetic testing; genome sequencing; return of results; reinterpretation

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\*Corresponding Author: Jennifer M. Taber, Department of Psychological Sciences, Kent State University, 309 Kent Hall, Kent, OH 44242-0001, Phone: (330) 672-3783, Fax: (330) 672-3786, [jtaber1@kent.edu](mailto:jtaber1@kent.edu).

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## Introduction

As new genomic discoveries are made, clinicians will increasingly inform people of variants that confer disease susceptibility or disease risk for family members. Interpreting information obtained through clinical genome and exome sequencing is complex, as is determining how to communicate this information to patients.<sup>1</sup> People who undergo sequencing could have multiple subsequent meetings with their medical team, due to an evolving evidence base and interpretation standards.<sup>1</sup> There is debate about which variants are actionable and should be returned to patients.<sup>2</sup> Importantly, as evidence and standards evolve, patients may receive re-evaluations of the pathogenicity of variants. Little is known about how people respond to these changes. The goal of this exploratory study was to examine how participants reacted to learning of a change regarding previously returned genetic risk information about carrier status for an autosomal recessive condition. Participants who previously received carrier results learned that one result was reclassified and they were now 1) a carrier of the same condition but based on a different mutation, or 2) no longer a carrier.

Anxiety experienced by people with carrier status generally dissipates within 6 months,<sup>3</sup> and even people at highly-elevated genetic risk of breast and ovarian cancer generally do not report elevated distress.<sup>4</sup> Our interest was responses to *changes* in carrier results, rather than to results themselves. In the present study, the reclassification was “neutral” news for most participants; none learned of increased risk. Indeed, people learning they were no longer carriers could respond positively. It was possible, however, that reinterpretation would diminish participants' trust in their results, in the study team, and/or in genetic information more broadly. Decreased trust could manifest as lower intentions to seek genetic information in the future, as focus group participants expected that genetic results returned to them would be well understood and accurately interpreted by the researchers.<sup>5</sup> Conversely, a subset of participants from the parent project for the present study *expected* ambiguous and uncertain results from sequencing.<sup>6</sup> Thus, we did not predict negative consequences of learning about a minor change in a sequencing result.

We could explore these issues because of a change in interpretation of a Duarte galactosemia variant, a metabolic disorder inherited in an autosomal recessive pattern. Participants' original reports described the c.940A>G, p.Asn314Asp variant in *GALT* as pathogenic. It was later reclassified as benign; the variant was in linkage disequilibrium with the actual pathogenic variant, c.-119\_-116del. We collected quantitative and qualitative data on responses to a) the reclassification, or b) receiving carrier results that were not reclassified, including intentions to share results with one's family and to learn additional genetic information, perceived accuracy and utility of results, negative emotional responses, and perceived ambiguity of results.

## Methods

### Participants and procedure

Figure 1 shows the study design. Data were collected from participants enrolled in ClinSeq®, an NIH study piloting the use of genome sequencing.<sup>7</sup> Participants, aged 45-65

years, were recruited from the Washington, D.C. area. NHGRI's IRB approved the study. Of the 998 ClinSeq® participants, 551 who were enrolled for >1 month and had not yet received results completed a baseline survey.<sup>8</sup> This survey provided baseline data for the present manuscript. All participants provided informed consent and blanket consent to receive results over time. Most results would be received by choice, but participants were told that results with significant medical implications would be returned to them. Variants are identified through ancillary research projects or through periodic interrogation for variants that demonstrate clinical utility. Some participants received results emanating from targeted analyses. Participants have received variants conveying risk for coronary artery disease, secondary findings, and carrier results. As this is a longitudinal investigation into clinical sequencing, participants will continue to be offered results.<sup>9</sup>

We refer in this paper to three studies that involved ClinSeq® participants: 1) the “parent” study previously described, 2) a randomized control trial (RCT) comparing different education modalities used to return carrier variants, and 3) the present “reclassification” study. Reports returned through the RCT could contain any variants with a possibility of pathogenicity based on interrogating carrier status for >1,300 genes. As part of the RCT, 33 participants heterozygous for the c.940A>G (rs 2070074) variant in the *GALT* gene were informed that they were a carrier for Duarte variant galactosemia in person by a genetic counselor or through a web platform (7 participants who received Duarte variant results outside of the RCT were excluded from the reclassification study). No follow-up surveys for the reclassification study were administered immediately after initial carrier results were returned (Figure 1). Duarte variant galactosemia is milder than other forms of galactosemia—affected newborns and children have few or no symptoms.<sup>10</sup> Frequency of the Duarte variant is 5% in the US population (monoallelic), consistent with the rate in our data.<sup>11</sup>

We developed the reclassification study during spring 2015 when this Duarte variant was reclassified. The reclassification study sample size was determined by the number of people who received the Duarte variant result. Following IRB approval, a genetic counselor (KLL) called the 33 *cases* who had previously learned their Duarte variant carrier status. Cases were told: “Since the original report, our lab realized the change you were originally told about does not actually make someone a carrier for Duarte galactosemia. It is another variant in the same gene (*GALT*) that causes a person to be a carrier...we tested your sample to check whether you are truly a carrier of Duarte galactosemia.” Participants who were still carriers ( $n=23$ )—*positive testers*—were told, “The test was positive, meaning that you have the second variant and are a carrier of Duarte galactosemia...the information you were originally told is still correct. Your children/grandchildren are still at increased risk of Duarte galactosemia. There is a 0.14% risk to grandchildren, 0.28% risk to children, and 0.003% risk to general population.” Participants who were *no longer* believed to be carriers ( $n=10$ )—*negative testers*—were told, “The test was negative, meaning that you do not have the second variant and are not a carrier of Duarte galactosemia. The risk for your children/grandchildren is the same as anyone in the general population (not zero).” For the reclassification study, 21 positive testers and 10 negative testers (94%) completed an online or paper survey after learning about this change. This survey, administered shortly after the reclassification, is the “immediate follow-up survey.” A second follow-up online or paper

survey administered 3-months later was completed by 19 positive testers and 10 negative testers (88%).

To determine whether high or low endorsement on a particular outcome, or changes over time, were a function of learning about a change in one's result, data were also collected from 33 *controls* who received carrier results through the RCT but no reclassification. Controls were matched to cases on the date of their original carrier result return (within 30 days of the return of results to a case) and on severity and age of onset for the results they received. Controls received carrier results for multiple conditions; myeloperoxidase deficiency and hemochromatosis were the most commonly returned results. Seven potential controls did not respond and were replaced by responsive comparable participants. For controls, the "immediate follow-up survey" occurred at the time of the reclassification for cases; controls did not receive new information at that time. Twenty-nine of the 33 controls (88%) who completed the immediate follow-up completed the 3-month survey. Data were analyzed from 58 participants who completed all three assessments (i.e., baseline, immediate, 3-months).

## Measures

We asked three open-ended questions: 1) In your most recent meeting with the genetic counselor, what did she tell you about your genetic result? 2) What is your response to learning this information? In other words, how did learning this information make you feel and what did learning this information make you think? and 3) What are your thoughts about genetic information about health in general? Only cases (not controls) completed Questions 1 and 2 due to a programming oversight.

Of the following quantitative measures, only intentions to share results and perceived ambiguity were asked at baseline (completed shortly after enrollment in the parent ClinSeq® study) and at the immediate and 3-month follow-ups of both cases and controls.

*Intentions to share results* were assessed at all three time points (1=*extremely unlikely* to 7=*extremely likely* average age at baseline was). At baseline, participants were asked, "How likely is it that you will share your result(s) with your family members?" At follow-up assessments, cases reported about the *change in* their result. *Perceived ambiguity* was included to assess participants' direct reactions to learning of a reclassification of their genome result, and was assessed as the average of five statements (Cronbach's  $\alpha_{baseline}=.837$ ,  $\alpha_{immediate}=.676$ ,  $\alpha_{3-month}=.769$ ): I don't believe my sequencing will be trustworthy; I don't believe my sequencing results will be accurate; I don't think my sequencing results will give clear answers about my future health; It seems like my sequencing results will be interpreted in many different ways; I think scientists won't be able to interpret much of my sequencing results.<sup>12</sup> At the immediate and 3-month follow-up assessments, the perceived ambiguity items were modified to refer to the results participants had already received (e.g., I think scientists are not able to interpret much of my sequencing results.) Items were accompanied by a 5-point Likert scale (1=*strongly disagree* to 5=*strongly agree*).

The following five measures were assessed only at the immediate and 3-month follow-up time points. *Intentions to learn genetic test results for preventable and unpreventable disease*

were assessed with two individual items: “How likely is it that you would choose to learn about a gene variant that predisposes you to a disease that can be prevented or treated in the future?” (1=*definitely no* to 5=*definitely yes*). The second item substituted “cannot” for “can.” Because intentions to learn results were assessed on a different scale at baseline, changes were assessed only from the immediate to the 3-month follow-up. We assessed intentions to learn additional results because it was feasible (albeit unlikely) that participants who received a reclassification might subsequently perceive genomic information as less valuable, which could be manifested in decreased interest in this type of information.

Participants rated *perceived utility* with, “My results can help me to better understand my genetic make-up” (1=*not confident* to 5=*very confident*). *Negative emotions* were assessed as the average of three items from the MICRA Questionnaire<sup>13</sup> assessing the extent to which participants felt upset, anxious or nervous, or regret about their results (0=*never* to 3=*often*;  $\alpha_{\text{immediate}}=.731$ ,  $\alpha_{\text{3-month}}=.900$ ). We applied a square root transformation to negative emotions (Table 1 footnote). Due to an error, only cases reported *perceived accuracy* of results: “How confident are you that the information you just received about your sequencing result is accurate?” (1=*not at all* to 5=*very confident*). To reduce skew and kurtosis, we applied a log transformation and reverse-scored these values (see Table 1 footnote).

Sex and race were coded as female/male and non-White/White, respectively. We also examined age in years at the time of the baseline survey, years of education (*high school, some college or technical school, college graduate, post-graduate*), average household income (<\$25,000/year, \$25,000 to \$49,999, \$50,000 to \$74,999, \$75,000 to \$100,999, >\$100,000), presence or absence of children, number of results in the original report, severity of most severe result received (coded as *disease with adult onset, nonlethal disease with childhood onset, and lethal disease with childhood onset*) and years between receipt of carrier result and reinterpretation contact.

## Qualitative Coding and Analysis

Two coders (JMT, BBB) independently read all open-ended responses and separately developed coding schemes for the three questions. JMT combined these schemes, which were used to code the data by JMT and a novel coder (KN). Schemes were subsequently revised and responses with disagreement recoded until nearly 80% agreement was reached for each question at each assessment (recall: 79.3% at immediate, 86.2% at 3 month; response: 85.7% at immediate, 90.7% at 3 month; thoughts about genetic information: 90.8% at immediate, 90.3% at 3 month). Most disagreements were resolved through discussion to reach 100% agreement, with three disagreements for “recall” resolved by third opinion (BBB).

## Results

### Participant characteristics

The average age at baseline was 60 years, with 76% earning >\$100,000, 81% with at least a college degree, 91% white, 62% male, and 69% with children. On average, 1.5 years passed

between return of the original result and the reclassification/immediate follow-up survey ( $SD=0.2$ , range=1.1 to 1.9). Sequencing reports included carrier status for an average of 2.9 conditions (median=3.0,  $SD=1.36$ , range=1 to 7). With respect to the severity of the most severe condition included on the report, 20.7% received a result for an adult onset disease, 43.1% for a non-lethal childhood disease, and 36.2% for a lethal childhood disease.

Groups did not differ in sex ( $\chi^2(2,58)=2.08$ ,  $p=.353$ ), age ( $F(2,57)=0.56$ ,  $p=.573$ ), education ( $F(2,55)=0.40$ ,  $p=.675$ ), income ( $F(2,54)=1.21$ ,  $p=.306$ ), years since result return ( $F(2,57)=0.36$ ,  $p=.697$ ), or number of results ( $F(2,57)=1.50$ ,  $p=.231$ ). Cases and controls did not differ in condition severity ( $\chi^2(2)=.741$ ,  $p=.690$ ).

### Qualitative analyses

**Recall**—Most cases demonstrated complete or partially accurate recall at the immediate ( $n=26$ ; 90%) and 3 month ( $n=24$ ; 83%) follow-ups (Figure 2). A positive tester who demonstrated *complete* accuracy stated that, “The initial result was downgraded to benign but I am still a carrier of a different variation,” whereas a positive tester who demonstrated *partial* accuracy did not mention that they remained a carrier: “I have a different variant in a gene. The previous variant is now considered benign.” Explicit misunderstanding was demonstrated by only one positive tester (“I was a carrier of a gene of a protein in milk that would affect my children or grandchildren”) and one negative tester (“I had two different genes that were associated with some abnormalities, but neither was very serious and they would not affect me, but maybe our children/grandchildren, etc. None of these conditions have been experienced by [our children or grandchildren]”). Understanding of four cases was indeterminate (“Yes”, “Yes, quite thoroughly”, “I had no markers indicating any suggestion of a problem,” and “Slight increase in one genetic risk, slight decrease in another”) at the immediate or 3-month follow-up. Although these four cases demonstrated indeterminate understanding at one of the two assessments, three of these four cases demonstrated accurate recall at the assessment at which they did not have indeterminate understanding.

**Reactions**—When asked how the information made them think or feel (Figure 3), neutral reactions were common (positive testers: 68% at immediate and 3 months; negative testers: 40% at immediate, 60% at 3 months). Neutral responses included the absence of a negative emotional response (“No big deal,” “I feel the same as before”) and statements that health implications were minimal or nonexistent (“I have very little to worry about in terms of disease proclivity carried in my genes”). Positive reactions were more common among negative testers (60% at immediate, 40% at 3 months; “I felt very comfortable and relieved with the results”) than positive testers (26% at immediate, 16% at 3 months; “I was glad to learn of this additional information”). Overall, 79% of cases ( $n=23$ ) expressed positive or neutral responses on both surveys. Some cases (21% at immediate, 24% at 3 months) made positive comments about ClinSeq® (“It makes me feel that you are being diligent and continuing to massage the data,” “Glad study is progressing with success”) or commented on science more broadly (“Confirmed that we are still learning about...variation in the genome,” “It shows that the field of medical genomics is still in its infancy”). Only one case,

a positive tester, had a negative reaction: “I felt less confident in the testing procedures and it makes me feel less sure of the reported results.” Figure 3 shows additional responses.

**Thoughts about genetic information**—Nearly 80% of respondents expressed positive views about genetic information (Figure 4). Respondents stated that genetic information would be beneficial for oneself (“It’s a good thing because it tells me what the future might hold for me”), one’s family (“I would want to know as much as possible so...my children... can be informed and prepared”), or health in general (“Genetic information can be valuable to prevent or treat health issues”); expressed a desire for more information (“I’d like to know more about my genetic variants”) or a belief that all information is beneficial (“This info can never do harm; knowledge is useful and good”); or made vague positive statements (“Helpful”). Other positive comments addressed the potential usefulness of genetic information in the future (“It has a great deal of promise, benefits to patients, benefits to medical research,” “Genetic sequencing is a great opportunity”).

Some respondents (from 10-37% depending on group and assessment) were uncertain of benefits from genetic information. These statements indicated indifference to genetic results (“Not really concerned. Had not thought about it since the last survey”), beliefs that benefits of genetic testing are not fully realized (“Genetic information is in its infancy and there are more developments to come”), or expressed limited usefulness or predictive ability of genetic information (“It can be useful in a limited number of cases; more data will help, but our genetic make-up can never accurately predict what ailments we’ll encounter”). For some, these statements were accompanied by positive comments (“Helpful to learn about these things, but don’t feel they have much impact on my health”).

Only five participants, all positive testers, expressed negative beliefs or specific concerns about genetic information at either assessment. Concerns were about financial cost, that negative information might be depressing, and that “ethical and moral boundaries must be maintained.” One positive tester questioned whether insurance companies should be given genetic information at both assessments and stated that, “The ability to change genetic codes to eliminate some serious diseases is a capability that can be used for irksome or evil purposes.” Only one of these five positive testers—who stated that: “The process of testing for alterations is based on statistical inference and all results have an associated variance. These results lower my confidence in what is being reported”—did not also provide a response that was coded as a positive response.

### Quantitative analyses

We conducted repeated measures ANOVAs testing for changes in beliefs over Time (baseline, immediate, 3-month) as a function of participant Group (positive testers, negative testers, controls). Tests are two-sided; no adjustments for multiple comparisons were made. Table 1 includes main effect and interaction statistics, means for each group collapsed across Time, and means at each assessment collapsed across Group. Time since result and number of conditions reported were considered but not included as covariates because neither variable was significantly correlated with any dependent variable at more than one assessment.

**Intentions to share results changed differently over time as a function of group**—There was a significant Time by Group interaction (Table 1) for intentions to share results with family members. Positive testers' intentions did not differ across assessments (all  $p$ s  $>.162$ ), with means around the midpoint of the scale ( $M=4.13$  out of 7 collapsed across time). Negative testers' intentions increased from baseline ( $M=4.60$ ) to the immediate follow-up ( $M=6.00$ ;  $p=.019$ ), but did not differ between baseline and 3-months ( $M=5.60$ ,  $p=.141$ ) or between the immediate and 3-month follow-up ( $p=.567$ ). Controls' intentions increased from baseline ( $M=4.52$ ) to the immediate ( $M=6.00$ ,  $p<.001$ ) and 3-month follow-ups ( $M=5.48$ ,  $p=.022$ ). At baseline, intentions did not differ across groups (all  $p$ s  $>.72$ ). At the immediate and 3-month follow-ups, however, positive testers had lower intentions than negative testers (immediate:  $p=.010$ ; 3-month:  $p=.057$ ) and controls (immediate:  $p=.001$ ; 3-month:  $p=.023$ ), with no differences between negative testers and controls (immediate:  $p=1.00$ ; 3-month:  $p=.886$ ). Interestingly, positive testers' responses at the immediate and 3-month surveys showed bimodal distributions with as many respondents reporting “extremely unlikely” ( $n=6$  at immediate, 5 at 3-months) as “extremely likely” (6 at immediate, 5 at 3-months), with few at the midpoint (0 at immediate, 2 at 3-months). Bimodal distributions were not seen for negative testers or controls.

**Intentions to learn future results differed by group**—Two measures showed significant main effects of group (Table 1). Intentions to learn results for preventable and unpreventable disease were high, with average values typically above 4.5 on a 5 point scale. Negative testers reported higher intentions than controls to learn results for preventable disease ( $p=.016$ ), with no differences between positive and negative testers ( $p=.818$ ) positive testers and controls ( $p=.074$ ). Similarly, for unpreventable diseases, negative testers reported higher intentions than controls ( $p=.006$ ), with no differences between positive and negative testers ( $p=.610$ ) positive testers and controls ( $p=.023$ ).

**Changes over time shown for perceived accuracy, utility, and negative emotions**—Perceived accuracy, which was only asked of cases at immediate and 3 months ( $n=29$ ), decreased over time (Table 1). All untransformed means, however, were above 4.20 on the 5-point scale ( $M=4.38$  at immediate,  $M=4.28$  at 3-month). Further, 66% of cases reported no change in perceived accuracy, 14% reported increases, and 21% reported decreases. Thus, only one-third of cases' beliefs changed over time.

Perceived utility of results significantly *decreased* over time ( $M=4.40$  at immediate,  $M=3.95$  at 3-months). Further examination revealed that 41% of participants reported decreased beliefs, with 55% reporting no change and 5.2% (all controls) reporting increased beliefs.

Negative emotions tended to decrease over time (Table 1) and were infrequently endorsed. Only 21% of participants at the immediate and 14% at the 3-month follow-up reported mean scores  $>0$ . Overall, 14% reported decreased negative emotions, whereas 10% reported increases. Most reported no change.

**No changes over time or group differences in ambiguity**—There were no significant effects for perceived ambiguity (Table 1).



## Discussion

Results suggest that older, high SES adults who receive interpretations of their carrier status with neutral or positive health implications report minimal adverse effects. Qualitative data indicated that most respondents accurately recalled the change to their result, reacted neutrally or positively to the change, and expressed positive attitudes towards genetic information more broadly. Participants reported relatively low perceived ambiguity (a factor conducive to sharing genetic test results with others<sup>14</sup>) low levels of negative emotions, and high intentions to share the information with family and to learn results in the future.

Some results were unexpected. For example, control participants who did *not* receive a reclassification reported lower intentions to learn future results than negative testers. Participants who received a reclassification may have remained engaged because of the new development. Additionally, participants who remained carriers reported lower intentions to share this information than both negative testers and controls, perhaps because the change was not novel. Further, 21% of cases perceived lower accuracy of results over time, with 41% of participants reporting decrease utility beliefs, suggesting a need to explore these outcomes in other datasets. Because baseline data were unavailable for these measures, it is unknown whether the reclassification contributed to this finding. Additionally, the carrier results returned conferred low risk and were uninformative about carriers' own health.

The closest analogue to reclassification of genetic test results may be patient attitudes about disclosing medical errors, although the reclassification in the present study was not an error. In general, patients want to know about even minor medical errors.<sup>15,16</sup> Patients want emotional support when errors occur,<sup>15</sup> and the opportunity to discuss the reclassification with a genetic counselor likely mitigated any potential negative outcomes. Little research, however, exists on consequences of and responses to medical errors.<sup>17</sup>

Multiple characteristics likely buffered against adverse outcomes. As shown by open-ended responses, the change in results would have little impact on participants' or their children's health. Some participants received neutral news that they remained carriers, and others received good news that they were no longer carriers. Additionally, Duarte galactosemia is mild in severity and has reduced penetrance, and reclassifying this variant may have been less impactful than other carrier results participants received. Participants were older, well-educated, and likely low in medical mistrust.<sup>18</sup> Generalizability of these results as genome sequencing becomes more accessible is unknown. Participants had previous encounters with ClinSeq<sup>®</sup> team members, and qualitative responses suggested positive relationships with the team. Research is needed to explore responses to reclassifications involving medically-actionable results or reclassification from non carrier to carrier status.

Strengths of this study included the design, which allowed us to account for changes over time and to compare cases who received a reclassification to controls who did not. Limitations include the small sample size for the quantitative analyses and the sample characteristics. Compared to younger adults, our older sample, who had likely completed reproductive decision making, may have perceived their initial and reinterpreted carrier results as less threatening. Further, cases had two contacts with the genetic counselor

whereas controls had only one, possibly contributing to the lack of negative outcomes among cases and introducing bias. With an optimal design we would have reported data collected immediately after the return of the initial result, but this reclassification study was conceptualized after learning of the reclassification.

Many questions remain, including how to determine the cost and effectiveness of “genomic reevaluation”.<sup>1</sup> The present study is an initial examination of how learning reinterpretation of carrier status results conferring neutral or good news impacts people, and provides preliminary evidence that this information can be returned—at least in a high SES sample—without adverse short-term consequences.

## Acknowledgments

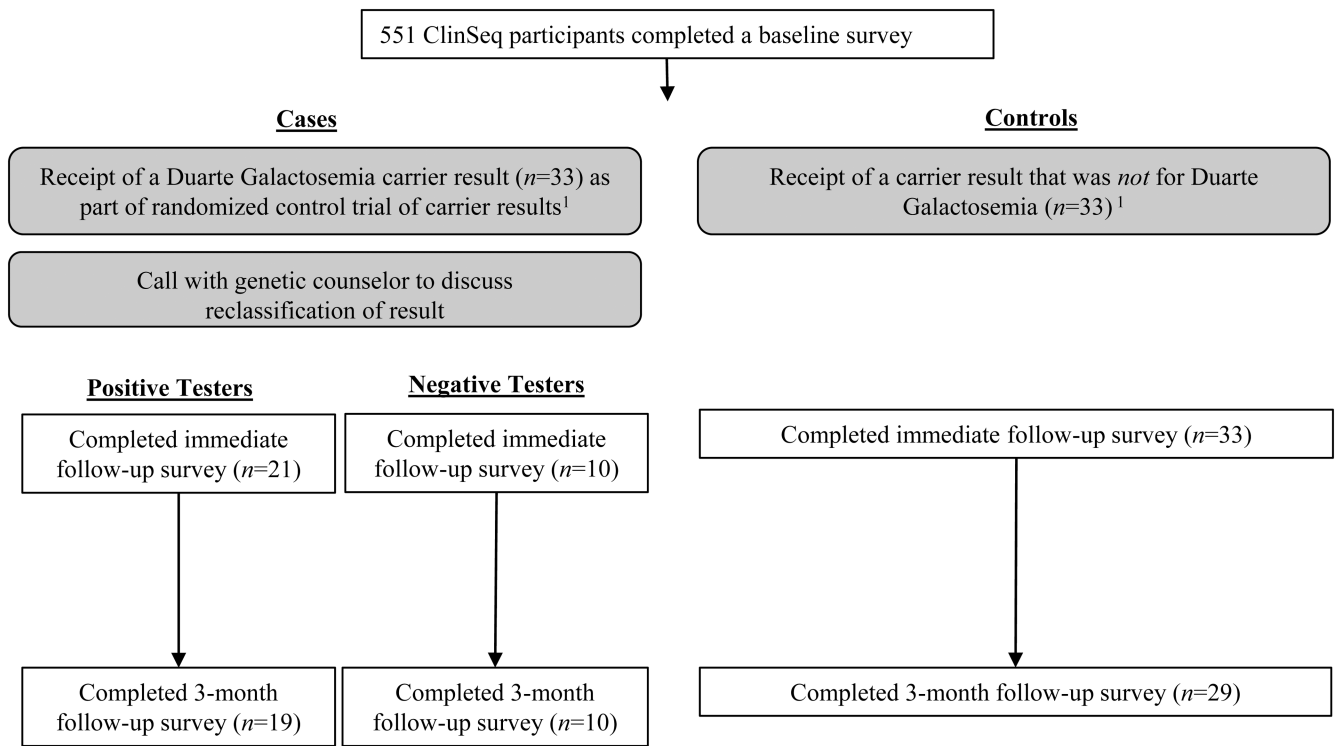
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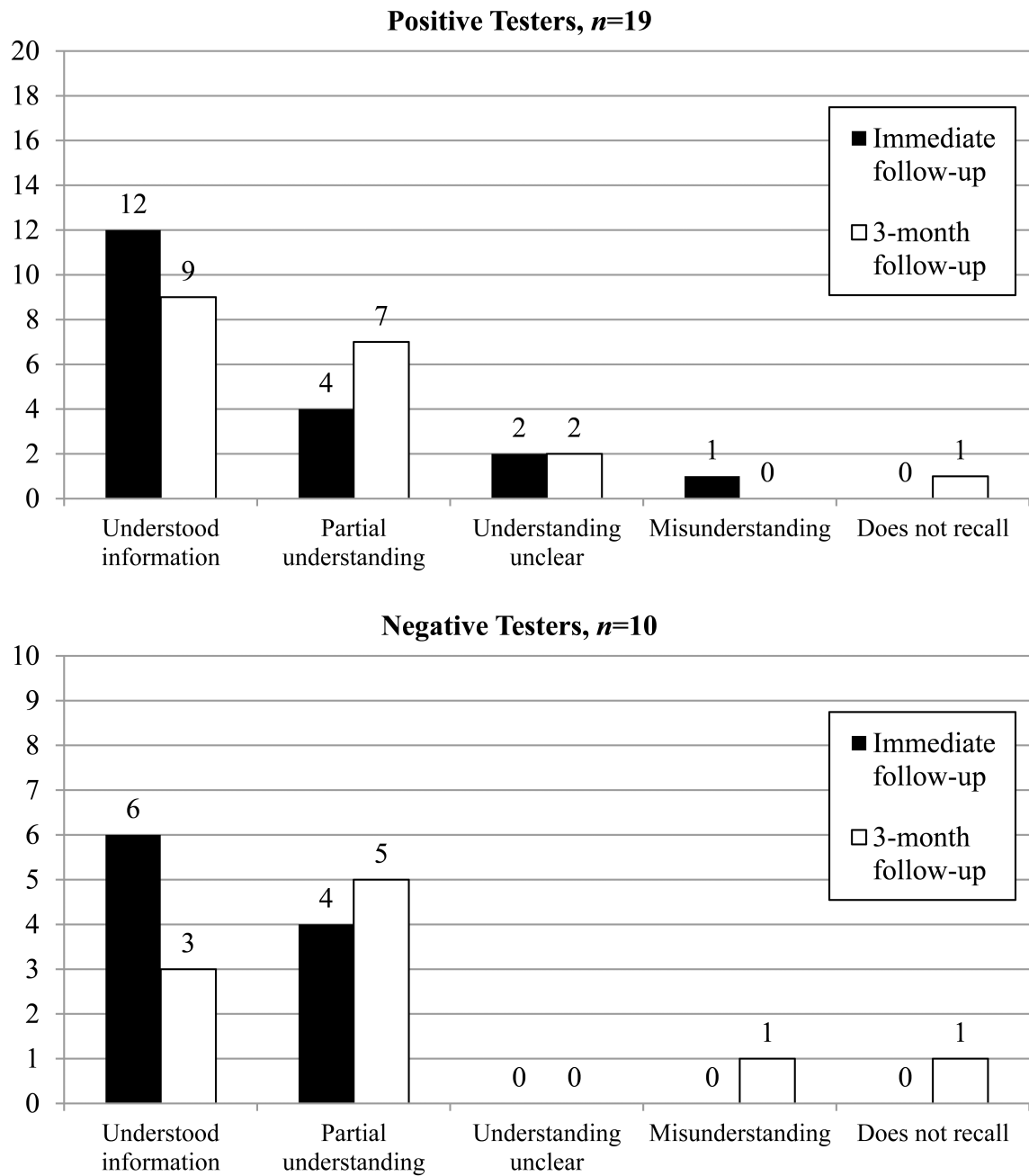
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<sup>1</sup> Participants were randomized to receive these carrier results either from a genetic counselor or through a web platform. The results of this RCT are not analyzed or reported here.

**Figure 1.** Study flow diagram and number of participants completing each assessment.



**Figure 2.** Accuracy of recall of information among positive and negative testers at the immediate and 3-month follow-up.

*Note:* Bar labels indicate number of respondents that gave a particular response. *Understood information:* If positive tester: variant interpretation changed and implications remain the same; If negative tester: Variant interpretation changed and no longer a carrier or gene is benign. *Partial understanding:* Mentioned a change to genetic result but did not specify the nature of the change or implications of the result; if negative tester, may say that implications have changed but not specify in what way. *Understanding unclear:* No evidence

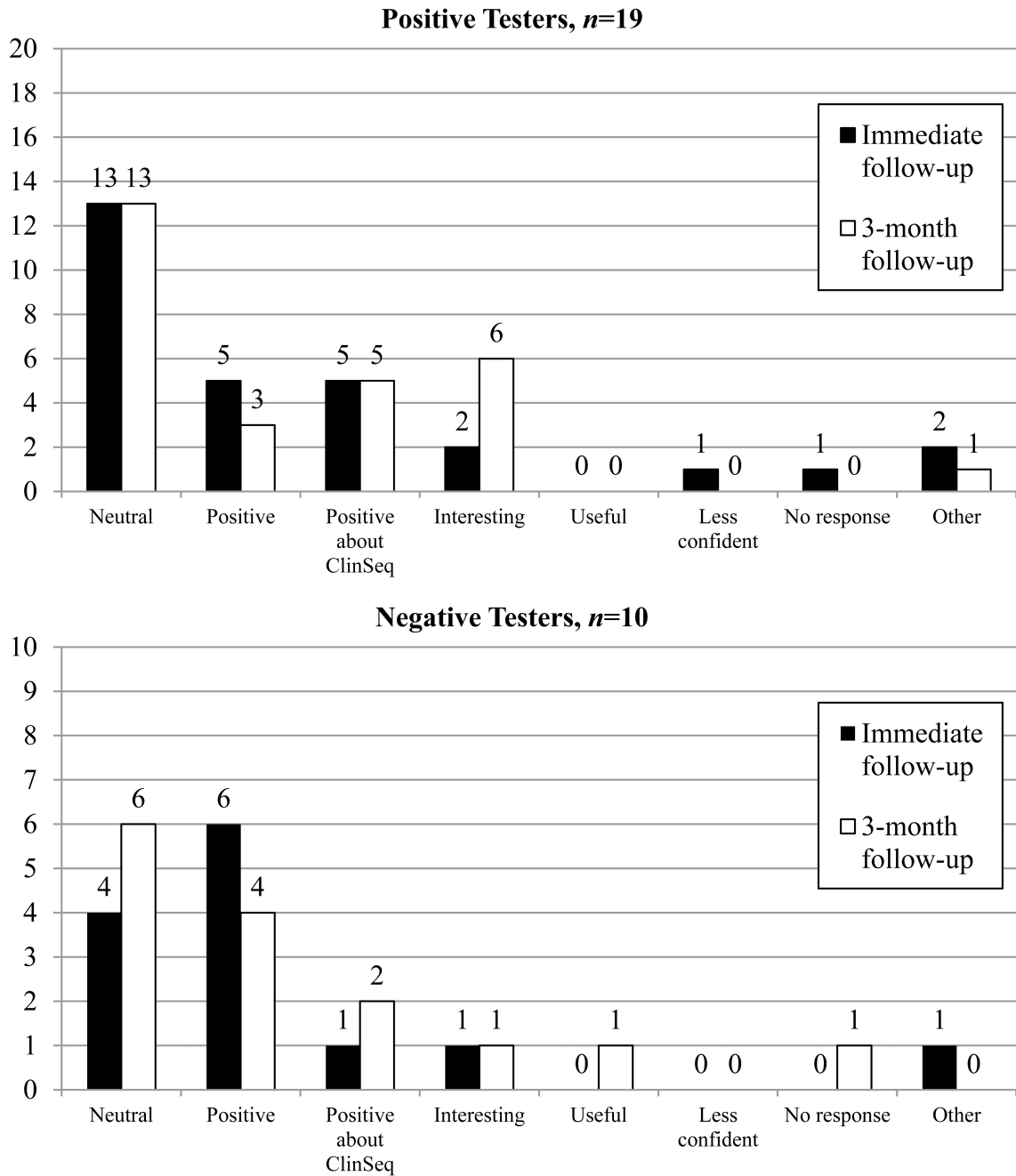
that participant understood revised results; no response. *Misunderstanding*: May have misunderstood revised results.

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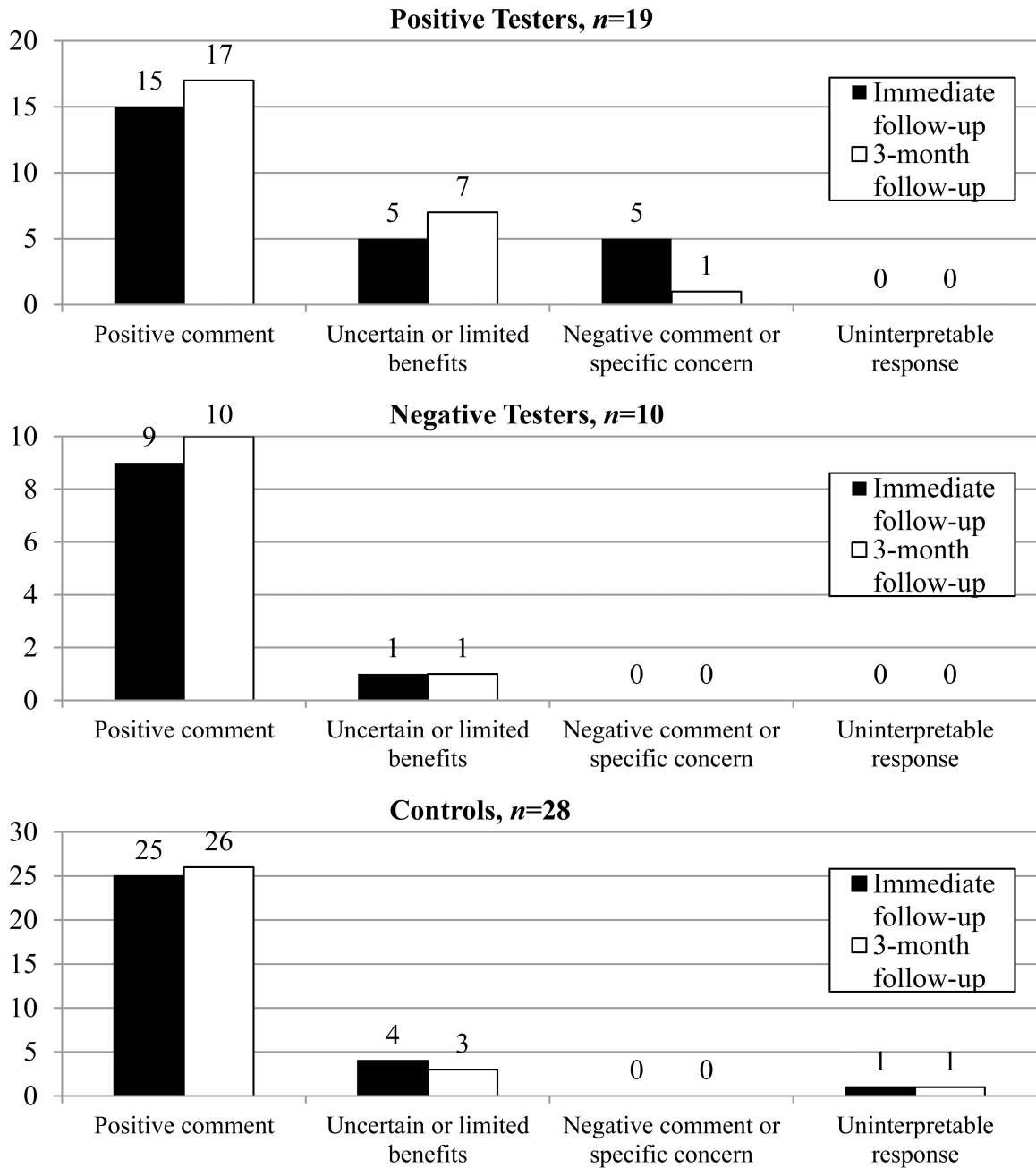
**Figure 3.**

Nature of response to genetic information among positive and negative testers at the immediate and 3-month follow-ups.

*Note:* Bar labels indicate number of respondents that gave a particular response. *Neutral:* Neutral response. Lack of a negative response, “feeling fine”; no change in emotional response; health implications are not serious/nonexistent or the change to the result is minor. *Positive:* Reassured; information is good to know or good news. *Positive about ClinSeq®:* Positive comment about the ClinSeq® study; good to know correction was found; glad to be updated; comment about the nature of science or genomic research. *Interesting:* Information

is interesting. *Useful*: Information is useful or beneficial. *Less confident*: Less confident in results. *Other* responses were “No opinion”, “Curious about how the determination was done,” “Pleased to be able to ask specific questions about the findings and get clarification of terms,” “Want to leave to possibly help (inform) my children and grandchildren.” Participants could give more than one response.





**Figure 4.**

Thoughts about genetic information among cases and controls at the immediate and 3-month follow-ups as a function of testing status (positive versus negative).

*Note:* Bar labels indicate number of respondents that gave a particular response. *Positive comment:* Health implications or benefits for oneself or one's family or in general; general, vague positive comment; wants more information believes all information is useful to have; genetic information will be more helpful or continue to be helpful in the future. *Uncertain benefits:* Uncertain of benefits; limited benefit; not sure if genetic information is useful; genetic information has limited predictive ability (include statements that other factors are

important for health also); results will not have an impact on health or unconcerned about results. *Negative*: Negative comment or raises specific concern about genetic testing. *Irrelevant or uninterpretable*: Response is irrelevant to the question or uninterpretable; no thoughts.

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**Table 1**  
**Main effects of Group and Time from repeated-measures ANOVAs, and specific pairwise comparisons**

	Group		Time		Group x Time			Mean (SE) of controls	Baseline Mean (SE)	Immediate Mean (SE)	3-month Mean (SE)	
	F(df)	p	F(df)	p	F(df)	p	Mean (SE) of positive testers					Mean (SE) of negative testers
Intentions to share results	5.46 (2,52)	<b>.007</b>	3.99 (2,51)	<b>.025</b>	3.92 (4,102)	<b>.005</b>	4.13, <sup>a</sup> 0.30	5.40, <sup>b</sup> 0.41	5.33, <sup>b</sup> 0.25	4.56, <sup>a</sup> 0.09	5.32, <sup>b</sup> 0.29	4.99, <sup>ab</sup> 0.33
Intentions to learn results for preventable disease	4.23 (2,54)	<b>.020</b>	0.27 (2,54)	.605	0.40 (1,54)	.675	4.79, <sup>ab</sup> 0.12	4.90, <sup>ab</sup> 0.16	4.45, <sup>b</sup> 0.10	--	4.69, <sup>a</sup> 0.09	4.74, <sup>a</sup> 0.09
Intentions to learn results for unpreventable disease	3.39 (2,54)	<b>.041</b>	0.64 (2,54)	.638	0.33 (1,54)	.723	4.68, <sup>ab</sup> 0.17	4.90, <sup>a</sup> 0.24	4.27, <sup>b</sup> 0.14	--	4.59, <sup>a</sup> 0.13	4.65, <sup>a</sup> 0.12
Perceived accuracy of results <sup>1</sup>	0.01 (1,27)	.915	116.78 (1,27)	<b>&lt;.001</b>	0.06 (1,27)	.802	0.67, <sup>a</sup> 0.04	0.68, <sup>a</sup> 0.06	--	--	0.83, <sup>a</sup> 0.04	0.52, <sup>b</sup> 0.04
My result(s) can help me to better understand my genetic make-up. (utility)	0.28 (2,55)	.757	18.65 (1,55)	<b>&lt;.001</b>	1.09 (2,55)	.344	4.24, <sup>a</sup> 0.17	4.05, <sup>a</sup> 0.23	4.24, <sup>a</sup> 0.14	--	4.40, <sup>a</sup> 0.11	3.95, <sup>b</sup> 0.13
Negative emotions (upset, anxious, regret) <sup>1</sup>	0.44 (2,55)	.647	3.41 (1,55)	<b>.070</b>	1.80 (2,55)	.175	0.11, <sup>a</sup> 0.07	0.11, <sup>a</sup> 0.09	0.18, <sup>a</sup> 0.05	--	0.18, <sup>a</sup> 0.05	0.09, <sup>a</sup> 0.05
Perceived ambiguity	0.50 (2,54)	.608	1.93 (2,53)	.155	1.73 (4,106)	.144	2.24, <sup>a</sup> 0.13	2.09, <sup>a</sup> 0.18	2.08, <sup>a</sup> 0.10	2.25, <sup>a</sup> 0.09	2.08, <sup>a</sup> 0.10	2.09, <sup>a</sup> 0.10

<sup>1</sup> Mean values are from transformed scales. For negative emotions: original skew: 2.40, original kurtosis: 4.65, transformed skew: 2.02, transformed kurtosis: 2.50. For perceived accuracy: original skew: -1.82, original kurtosis: 4.63, transformed skew: -0.53, transformed kurtosis: -0.31.

Notes. Statistics reported correspond with Wilks' Lambda. Different superscripts indicate significant differences between participant groups or time points at  $p < .05$ . P values significant below  $< .10$  are bolded for ease of interpretation.

We examined homogeneity of variance across groups using SPSS Statistics 22. Mauchly's Test of Sphericity was nonsignificant for both measures assessed at all three assessments (perceived ambiguity and intentions to share results). Levene's Test of Inequality of Error Variances was significant ( $p < .10$ ) for each measure at a minimum of one time point for four measures: negative emotions, intentions to learn preventable and unpreventable disease results, and understanding. Because of unequal cell sizes (typically 19 positive testers, 10 negative testers, and 29 controls), we examined possible corrections (Tamhane, Dunnett T3, Games-Howell, Dunnett C) which provided adjusted  $p$  values for the pair wise comparisons across groups. In all cases but one, the significance patterns (noted by the alphabetical superscripts) were the same between the original results and application of any of the four possible corrections. For intentions to learn results for unpreventable diseases, means between positive testers and controls were significantly different in the uncorrected data but not when applying corrections. In the text, we present  $p$  values for pair wise comparisons among groups based on the conservative Tamhane's correction for intentions to learn results for preventable and unpreventable disease.