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ORIGINAL ARTICLE

# **Retrospective Cohort Study**

# Quality of life, depression and anxiety in potential living liver donors for pediatric recipients: A retrospective single center experience

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# **Abstract**

# **BACKGROUND**

Living donor liver transplantation is a safe alternative for patients on a liver transplant list. Donor evaluation goes beyond physical variables to include social, emotional, and ethical aspects. The role of pre-donation sociopsychological evaluation of the donor candidate is as important to the success of the procedure as is the medical assessment. Success implies recovery from the operation and pro -mpt engagement in pre-transplant professional and social activities, without leading to psychological or physical distress. Psychological profiling of potential living liver donors (PLLD) and evaluation of quality of life (QOL) can influence outcomes.

#### **AIM**

To evaluate the socio-demographics and psychological aspects (QOL, depression, and anxiety) of PLLD for pediatric liver transplantation in a cohort of 250 patients.

This was a retrospective cohort study of 250 PLLD who underwent psychological pre-donation evaluation between 2015 and 2019. All the recipients were children. The Beck anxiety inventory, Beck depression inventory, and 36-item short-form health survey (SF-36) scores were used to evaluate anxiety (Beck anxiety inventory), depression (Beck depression inventory), and QOL, respectively.

#### RESULTS

A total of 250 PLLD were evaluated. Most of them were women (54.4%), and the mean age was  $29.2 \pm 7.2$  years. A total of 120 (48.8%) PLLD were employed at the

time of evaluation for donation; however, most had low income (57% earned < 2 times the minimum wage). A total of 110 patients (44%) did not finish the donation process, and 247 PLLD answered a questionnaire to evaluate depression, anxiety, and QOL (SF-36). Prevalence of depression was of 5.2% and anxiety 3.6%. Although most of the PLLD were optimistic regarding the donation process and never had doubts about becoming a donor, some traces of ambivalence were observed: 46% of the respondents said they would feel relieved if a deceased donor became available.

#### **CONCLUSION**

PLLD had a low prevalence of anxiety and depression. The foundation for effective and satisfactory results can be found in the pre-transplantation process, during which evaluations must follow rigorous criteria to mitigate potential harm in the future. Pre-donation psychological evaluation plays a predictive role in post-donation emotional responses and mental health issues. The impact of such findings on the donation process and outcomes needs to be further investigated.

**Key Words:** Liver transplantation; Children; Outcomes; Living donation

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**Core Tip:** The role of a pre-donation sociopsychological evaluation of a donor candidate is as important to the success of the procedure as is the medical assessment. This implies recovery from the operation and prompt engagement in pre-transplant professional and social activities without leading to psychological or physical distress. The present study evaluates socio-demographics and psychological aspects of potential living liver donors for pediatric liver transplantation in a cohort of 250 patients. It also investigates specific questions regarding donation, decision-making processes, and feelings of ambivalence.

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# INTRODUCTION

The use of living donors has become standard practice in the field of pediatric liver transplantation. The extensive use of this technique has become possible owing to careful patient selection and the proven safety of the procedure. However, donor selection must be explored beyond physical, laboratory, and radiological evaluations. The role of a pre-donation sociopsychological evaluation of a donor candidate is as important to the success of the procedure as is the medical assessment. This implies recovery from the operation and prompt engagement in pre-transplant professional and social activities without leading to psychological or physical distress.

Donor candidates may experience anxiety and other psychological distress during the assessment period[1-3], such as depression, decision ambivalence (coexistence of inconsistent or opposing perceptions)[3], and fear. In a scenario that includes severe disease in a child and the risk of death while on the waiting list, part of the psychological evaluation is to rule out the possibility of coercion, even if the donor candidate is related to the recipient. Furthermore, knowledge of the patient's social status and quality of life (QOL) is crucial for a thorough psychological assessment that will inform the decisionmaking process, such as acceptance of potential donors.

Little is known about the relationship between eligibility criteria and significant predictors of psychological strain in living donation candidates[4]. Moreover, the predictors of psychological strain may vary in different social scenarios, and may include income, education, and religious orientation.

This study aimed to evaluate the prevalence of depression, anxiety, and QOL in potential living liver donors (PLLD) for pediatric recipients. It also describes the socioeconomic profile of this population.

# MATERIALS AND METHODS

A cohort of 250 consecutive PLLD aged > 18 years was selected for the pre-donation evaluation. All the recipients were children. The PLLD underwent psychological assessments during the evaluation process for living donations at Hospital Sírio Libanês, São Paulo, Brazil, between January 2015 and May 2019. This study was conducted in accordance with the principles of the Declaration of Helsinki. The Ethics Committee of Hospital Sírio-Libanês approved this study.

PLLD with a history of drug abuse, dependence, or previous diagnoses of psychiatric disorders were excluded from the analysis. Demographic data were collected through a medical chart review. The patients answered a questionnaire designed to evaluate their perception of the living donation process. QOL was assessed using the 36-item short-form health survey (SF-36) questionnaire[5].

To classify potential donors according to anxiety and depression levels, Beck anxiety inventory (BAI) [6] and Beck depression inventory (BDI)[7] scores were utilized.

The medical outcomes study SF-36 comprises 36 items divided into eight domains: Role-physical (RP), physical functioning (PF), general health (GH), vitality (VIT), social functioning (SF), roleemotional (RE), mental health (MH), and bodily pain (BP). Scaled scores for each domain were the weighted sums of the answers to the domain questions, with each score transformed into a 0-100 scale, with higher scores indicating better functionality or less pain[5].

Demographic variables were also evaluated: Gender, age, relationship to the recipient, education, employment status, religion, marital status, region of origin, and monthly family income.

Specific questions were posed to the PLLD regarding donation and decision-making processes (included as a supplementary material for consultation).

# Statistical analysis

The values are expressed as mean ± SD or median, and 25 and 75 percentiles. Categorical data are presented as absolute values and percentages. All analyses were performed using the SPSS 21.0 statistical package (IBM, Inc., Chicago, IL, United States). The statistical review of the study was performed by our institution's biomedical statistics team.

# **RESULTS**

A total of 250 PLLD met the inclusion criteria and underwent psychological evaluation before donation, between January 2015 and May 2019. Most of them were women (54.4%), and the mean age was 29.2 ± 7.2 years. PLLD self-declared as afro-descendent in 49.6% of the cases; 46.3% were married, 51.6% selfdeclared as Catholic, and 53.7% had a college degree or equivalent. A total of 120 (48.8%) PLLD were employed at the time of evaluation for donation; however, most had low income (57% earned < 2 times the minimum wage) (Tables 1). Most PLLD (51%) lived in the same region as the transplant center, and 214 (85.6%) were family members of the recipient (Table 2). A total of 110 patients (44%) did not finish the donation process. Reasons for not completing the donation processes are described in Table 3.

A total of 247 PLLD answered a questionnaire to evaluate depression (BDI), anxiety (BAI), and QOL (SF-36). Prevalence of depression was of 5.2%. The results of the BDI index were minimal in 237 (94.8%) patients, light in 11 (4.4%), moderate in 2 (0.8%), and severe in none. Prevalence of anxiety was of 3.6%. The results of the BAI index were minimal in 241 (96.4%), light in eight (3.2%), moderate in one (0.4%), and severe in none.

The results of the evaluation of QOL through SF-36 in each domain were:  $96.2 \pm 8.7$  PF,  $91.3 \pm 22.6$  RP,  $89.3 \pm 15.2$  BP,  $75.5 \pm 10.9$  GH,  $82.6 \pm 16.3$  VIT,  $90.8 \pm 17.9$  SF,  $88.9 \pm 24.5$  RE, and  $86.1 \pm 13.8$  MH.

Although most of the PLLD were optimistic regarding the donation process (motivations and ambivalence questionnaire, Table 4) and never had doubts about becoming a donor, some traces of ambivalence were observed: 46% of the respondents said they would feel relieved if a deceased donor became available.

# DISCUSSION

Socioeconomic characteristics have been shown to influence access to LDLT and promote health disparities in both adult and pediatric candidates [5-8]. PLLD profile may vary according to the region or country of origin, as well as the recipient's age group (small children, adolescents, or adults). Most PLLD in this study were female, usually mothers, with a mean age of 29.2 years, and very low annual income. The national minimum wage in December 2021 in Brazil was \$196 per month[9]; correspondingly, the annual income for 57% of the PLLD in this cohort was under \$4704. This situation reflects the socioeconomic situation of our country, but the majority of liver transplantations were performed under Brazil's publicly-funded healthcare system (Sistema Único de Saúde), which covered all costs for donor and recipient care. A recent study performed in the United States on PLLD for

Table 1 Demographic characteristics of the potential living liver donors			
Characteristics	n = 250		
Sex, female, n (%)	136 (54.4)		
Age, yr, mean ± SD	29.2 ± 7.2		
Race (n = 246)			
Afrodescendent	122 (49.6)		
White	116 (47.2)		
Other	8 (3.2)		
Educational level ( $n = 246$ ), $n$ (%)			
Post-bac/graduate	61 (24.8)		
College/bachelor	132 (53.7)		
Basic education or less	53 (21.5)		
Employment, n (%)	120 (48.8)		
Religion, $n$ (%)			
Catholic	127 (51.6)		
Evangelic	68 (27.6)		
Protestant	17 (6.9)		
Other	27 (10.9)		
None	7 (3)		
Marital status, married ( $n = 250$ ), $n$ (%)	115 (46.0)		
Income ( <i>n</i> = 246), <i>n</i> (%)			
≤ 2 times the minimum wage	140 (57)		
2-6 times the minimum wage	91 (37)		
≥ 6 times the minimum wage	15 (6)		
Family related ( $n = 250$ ), $n$ (%)	214 (85.6)		
Parents, n (%)	183 (73.2)		

Table 2 Donor relationship with the recipient		
Donor relationship with the recipient	n = 247 (%)	
Mother/father	158 (64)	
Uncle/aunt	30 (12.2)	
Brother/sister	10 (4)	
Cousin	9 (3.6)	
Grandfather/grandmother	4 (1.6)	
Nephew/niece	3 (1.2)	
Unrelated	33 (13.4)	

children found a few different characteristics in these donors, especially a higher mean age (38), and a predominance of white individuals with college degrees[10]. One striking difference was the average age of the PLLD, which was nearly 10 years greater in the United States study than in the Brazilian study. It is conceivable that the PLLD in the present study, most of whom were mothers of the recipients, had children earlier, did not advance as far in their education, and had lower monthly incomes than potential donors in the United States. As for the remaining data in the comparison, although there are some common traits, we must point out that socio-demographic profiles are greatly influenced by cultural differences between countries and even by differences in the transplant centers assessing the patients. Despite the demographic differences encountered, the outcomes for donors and

Table 3 Reasons for not completing the donation process		
Reasons for not completing the donation process	n = 110 (%)	
Medical condition	44 (40)	
Abandoned the evaluation	22 (20)	
Children died before the transplant	6 (5.4)	
Social contraindication	1 (0.9)	
Transplant was postponed	1 (0.9)	
Unknown/missing	36 (32.8)	

Table 4 Motivations and ambivalence in potential living liver donor		
Motivations and ambivalence	n = 250 (%)	
Would prefer that other person donates	25 (10)	
Would feel relieved if the recipient was transplanted with a deceased donor	115 (46)	
Feels moved about the possibility to donate	59 (23.6)	
Wouldn't like to be a donor, would rather care for the recipient after the transplant	11 (4.4)	
Voluntary and pro-active decision to donate	195 (78)	
Immediate decision to donate	183 (73.2)	
Never had doubts about donating	218 (87.2)	
Is scared of the surgery	5 (2)	
Has a family member who's against the donation	10 (4)	
Believes there will be no health-related problems after the donation	241 (96.4)	
Would be sad if not compatible to donate	144 (57.6)	
Wishes to donate in order to ease the recipient's and the family suffering	152 (60.8)	
Believes the donation will be a life changer	210 (84)	

PLLD: Potential living liver donor.

recipients reported by our group proved to be safe for donors[11] and with excellent short and long term results for the pediatric recipients [9,12].

Some studies have focused on donors' motivations, concerns, and feelings of ambivalence, as well as the influence that ties and relationships have on their decisions[13-15]. The present study evaluated the quality of the relationship between the potential donor and the recipient and/or the recipient's family, and found that this relationship is satisfactory for a significant part (98.4%) of the sample, regular for 1.2% of the sample, and nonexistent for 0.4%. Regular or nonexistent relationships probably involve PLLD who are not family members. The well-being and expectations of family members are taken into account and influence the decision to donate. In this regard, one study found that 94.1% of donors decide to donate to preserve the well-being of the whole family [15]. Another study [16] approaches the same family setting from a different perspective: family members who somehow show conflict towards the donation present high levels of anxiety, symptoms of depression, and low QOL. Ryu et al[15] have found differences in donor motivation levels linked to the donor-recipient relationship. According to the authors, parents donating to their children show higher motivation than children donating to their parents and present lower levels of anxiety and depression during the evaluation period prior to donation.

The World Health Organization (WHO)[17], in their report on prevalence estimates of mental disorders emphasizes some significant data that corroborate the results presented here. The proportion of depression in the world population in 2015 was estimated at 4.4% (vs 5.8% in the Brazilian population), being more prevalent among women (5.1%) than men (3.6%), with a total of 322 million people living with depression. There was an 18.4% increase in depression indices between 2005 and 2015, pointing to an increase in the disorder among the global population, including the higher-risk age group (55-74 years). Depression indices in the present study were 5.2% among potential donors. The potential donors are predominantly women, a risk factor for depression.

With regard to anxiety, the WHO[17], in their prevalence estimates of mental disorders, reported that the rate of anxiety disorders among the global population in 2015 was 3.6% (vs 9.3% in the Brazilian population) and was also more prevalent among women (4.6%) than men (2.6%), totaling 264 million people living with anxiety. Although the prevalence rates did not show significant differences among age groups, there was a slightly lower prevalence among the older age groups. The global anxiety indices grew by 14.9% between 2005 and 2015, suggesting an increase in disorders among the total population. Anxiety indices in the present study were 3.6% among potential donors. Similarly, the age group in our study presented a risk for anxiety, according to the WHO data. In regards to the SF-36 questionnaire, potential donors showed a lower impairment of QOL in GH, VIT and MH domains, and a greater impairment in the PF domain.

LDLT requires the donor to submit to surgery that has no medical benefit [15]. However, the process of preparing for the donation and the post-operative period may provide significant psychological benefits, such as finding new meaning in life and a feeling of plenitude for helping a loved one (in the case of a family member) or a person in need (in case of non-familial donors). This study evaluated the meaning that PLLD derived from the process and found the following benefits: improving the recipient's QOL (25.2%), saving a life (22.7%), a gesture of love (21.1%), a second chance for the recipient (14.9%), and doing good for someone else (13%). A separate question about their expectations regarding the donation obtained responses such as "a feeling of well-being with myself", "a new meaning for life through the donation", "better life conditions", and "family and social recognition" in 37.5% of cases. These data encourage important ethical questions, since a careful assessment of the donor, including a favorable physical and psychological evaluation, will minimize potential risks for the donor and are aligned with the bioethical principle of non-maleficence. Furthermore, the psychological benefits highlighted by this study and the spiritual benefits of a new meaning in life are in agreement with the bioethical principle of beneficence. Even though the reasons for not completing the donation process were not directly related to psychological aspects, it is difficult to know if in some instances it had a causative effect, such as in those who abandoned the evaluation during the donation process.

# CONCLUSION

The scientific community places marked attention on the post-transplantation period, including the progress made by recipients and donors. However, the foundation for effective and satisfactory results can be found in the pre-transplantation process, during which evaluations must follow rigorous criteria to mitigate potential harm in the future. Pre-donation psychological evaluation is effective and plays a predictive role in post-donation emotional responses and mental health issues. Also, the sociodemographic findings of this particular population indicate the complexity of the donation process in a setting of low resources. The results of this study indicate the need for the transplantation community to take heed of the benefits of a thorough psychological evaluation of the potential donor, which will play a central role in predicting their emotional progress and the donation experience itself.

# ARTICLE HIGHLIGHTS

# Research background

The role of pre-donation sociopsychological evaluation of the living donor liver candidate is as important to the success of the procedure as is the medical assessment. Psychological profiling of potential living liver donors (PLLD) and evaluation of quality of life (QOL) can influence outcomes.

#### Research motivation

Adequately profiling potential donors may help the transplant team to better guide them through the donation processes. Detection of depression and anxiety among potential donors may influence the pre donation evaluation.

# Research objectives

Evaluate QOL, depression and anxiety among PLLD.

# Research methods

This was a retrospective cohort study of 250 consecutive PLLD who underwent psychological predonation evaluation between 2015 and 2019. All the recipients were children. The Beck anxiety inventory (BAI), Beck depression inventory (BDI), and 36-item short-form health survey (SF-36) scores were used to evaluate anxiety, depression, and QOL, respectively.

#### Research results

A total of 250 PLLD were evaluated. Most of them were women (54.4%), and the mean age was 29.2 ± 7.2 years. A total of 120 (48.8%) PLLD were employed at the time of evaluation for donation; however, most had low income (57% earned < 2 times the minimum wage). Family members were the majority of the. A total of 110 patients (44%) did not finish the donation process. A total of 247 PLLD answered a questionnaire to evaluate depression (BDI), anxiety (BAI), and QOL (SF-36). Prevalence of depression was of 5.2% and anxiety 3.6%.

### Research conclusions

The socio-demographic findings of this particular population indicated the complexity of the donation process in a setting of low resources. PLLD had a low prevalence of anxiety and depression. Predonation psychological evaluation plays a predictive role in post-donation emotional responses and mental health issues. The impact of such findings on the donation process and outcomes needs to be further investigated.

# Research perspectives

Almost half of the evaluated potential donors did not complete the donation processes. Reasons for not completing the donation process should be further evaluated in other centers as well. To further study the impacts of donation among living liver donors, our group aims to evaluate the post-donation psychological outcome in these donors.

# **FOOTNOTES**

Author contributions: Reine PK contributed to study design, data collection, manuscript writing; Feier F contributed to data analysis, manuscript writing; Hernandes RG contributed to data collection, manuscript final version critical analysis; da Fonseca EA contributed to manuscript writing, manuscript final version critical analysis; Seda-Neto J contributed to study design, manuscript writing, data analysis.

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