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### PUBLIC HEALTH

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# Prediction of new HIV infection in men who have sex with men based on machine learning: secondary analysis of a prospective cohort study from Western China

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### **ABSTRACT**

**Objective:** This study aimed to construct a model based on machine learning to predict new HIV infections in HIV-negative men who have sex with men (MSM).

**Methods:** This is a secondary analysis of a previous random clinical trial aiming to evaluate the preventive effects of PrEP on new HIV infection in MSM. During 2013–2015, 1455 HIV-negative MSM were enrolled. Participants were divided into treatment group and control group and regularly followed up until they seroconverted to HIV positive or until the 2-year endpoint reached. Five machine-learning approaches were applied to predict the risk of HIV infection. Model performance was evaluated using Harrel's C-index and area under the receiver operator characteristic curve (AUC) and validated in an external validation cohort. To explain this model, shapley additive explanation (SHAP) values were calculated and visualized.

**Results:** During the observation period, 102 MSM developed HIV infection. Thirteen parameters are selected to construct the model. The random survival forest model showed the best performance in the validation cohort, with a C-index of 0.7013, and could significantly categorize MSM into three groups. Our model indicated that MSM with younger age, receptive anal intercourse, and multiple male sexual partners had an increased risk of HIV infection, and those with higher AIDS knowledge scores had a lower risk.

**Conclusion:** We presented a machine learning-based model to predict their risk of developing HIV infection. This model could be applied to recognize MSM who are at a higher risk of developing HIV infection.

**Abbreviations:** MSM: men who have sex with men; SHAP: shapley additive explanation; STI: sexually transmitted diseases; AUC: area under the receiver operator characteristic curve; PrEP: pre-exposure prophylaxis; CPH: Cox proportional hazards regression model; MICE: multiple imputation by chained equation; RSF: random survival forest; SSVM: survival support vector machine; GBM: gradient boosting survival model; XGBoost: extreme gradient boosting survival model; DeepSurv: deep learning-based survival model; IAI: insertive anal intercourse; RAI: receptive anal intercourse

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#### **KEYWORDS**

Men who have sex with men; HIV infection; machine learning; random survival forest

### Introduction

The prevalence of HIV/AIDS among men who have sex with men (MSM) is a global public health concern. In recent years, there has been a high rate of HIV infection and its incidence among MSM. Until 2022, MSM

accounted for 25.6% of newly reported HIV cases in China, which is significantly higher than the 2.5% reported in 2006 [1]. The HIV infection rate among MSM in China is approximately 7% [2], while in the general population, it ranges from 5.61 cases/100 person-years

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to as high as 18.80 cases/100 person-years [3]. The risk of HIV transmission among MSM remains high.

To reduce the HIV/AIDS epidemic, the UNAIDS has advocated ending the HIV/AIDS epidemic by 2030. The first goal is to have 95% of individuals infected with HIV aware of their status. However, in China, more than 20% of HIV-infected individuals remain unaware of their status [4], and only 61.2% of MSM have undergone HIV testing and know their infection status [5]. The low rate of HIV testing is often attributed to a lack of awareness of the risk of HIV infection risk [6]. Additionally, pre-exposure prophylaxis (PrEP) has been globally recommended for populations at risk of HIV infection [7]. PrEP has not been widely utilized [8] despite its ability to reduce HIV infections by more than 90% [9]. The reason for this, in addition to lower risk awareness [10], is the inability of primary healthcare providers to identify potential candidates who would benefit from PrEP [11]. An effective tool for identifying high-risk MSM for developing HIV infection might help health workers provide preventive services, such as PrEP medicine, to those in urgent need.

Machine learning algorithms have been demonstrated to be useful for predicting the outcomes of different diseases. Unlike conventional methods such as logistic regression [12] or the Cox proportional hazards regression model (CPH) [6,13], machine learning algorithms do not rely on statistical inference or assumptions and exhibit superior performance in handling complex nonlinear relationships and capturing patterns of high-dimensional data [14]. Several studies have presented evidence of machine learning algorithms based on clinical data to predict HIV infection risk among MSM. A study conducted in Australia developed and validated an HIV diagnostic model using gradient boosting with an area under the receiver operator characteristic curve (AUC) of 0.763 [15]. Duthe et al. employed a combination model (least absolute shrinkage and selection operator, random forest, and generalized linear model) to identify MSM individuals at risk of HIV infection and to recognize potential PrEP candidates, achieving an AUC of 0.888 [16]. Another study in Zimbabwe showed the excellent performance of recursive neural networks in predicting HIV infection status among MSM with an AUC of 0.940 [17]. He et al. utilized sentinel surveillance data of MSM individuals in Zhejiang province to predict HIV infection risk, and the random forest algorithm achieved the best performance (AUC = 0.846) [18]. However, these were cross-sectional studies that emphasized screening of the infected individuals in the populations, focusing predominantly on diagnosis. Additionally, these cross-sectional studies did not take the time which MSM became HIV-positive into account.

Longitudinal studies possess the advantage of elucidating causal relationships, a capability that cross-sectional studies lack [19], and produce time-toevent data. In the context of time-to-event data, the exclusive application of conventional logistic regression or binary-class machine learning models may lead to a substantial loss of data information. With the advancement of artificial intelligence, applying machine learning to time-to-event data significantly enhances the accuracy of prediction models relative to traditional CPH. Thus, the prediction model based on longitudinal data was more convincing in identifying MSM at high risk of HIV infection. In the present study, we conducted a secondary analysis of our previous random clinical trial which aimed to evaluate the preventive effects of different PrEP medication strategies on new HIV infections in HIV-negative MSM. The enrolled MSM were treated with different PrEP strategies, and followed up until they seroconverted to HIV positive or until the 2-year endpoint reached. Here, we developed a machine learning model to predict new HIV infections in MSM based on our previously conducted prospective cohort study.

### **Material and methods**

# Study design and participants

This present study is a secondary analysis of a randomized clinical trial and the design of this study has been previously described [20]. Briefly, the multicentre, parallel controlled clinical trial was conducted to evaluate the preventive effect of different PrEP medication strategies on new HIV infections in HIV-negative MSM. All participants were recruited from 2013 to 2015 in four provinces of China: Chongging, Sichuan, Guangxi, and Xinjiang (registration number: ChiCTR-TRC-13003849). Participants in Chongging, Sichuan, and Xinjiang were labelled with a random number at the entry of the cohort and were then randomly divided into daily PrEP, event-driven, and blank control groups at a 1:1:1 ratio. Participants in Guangxi were recruited by the local CDC, not by our researchers, and were given the chance to select which group they would prefer. MSM in the daily PrEP group were administered 300 mg TDF orally per day (Gilead Sciences, Inc. (Foster City, CA, USA), specifications: 300 mg per tablet. Lot: A818213). The event-driven group was supposed to take 300 mg TDF orally 48-24h before sexual activity and another 300 mg TDF 2h after sexual activity. The blank control group did not receive any drugs or placebo. All enrolled MSM

in each group were followed up every 12 weeks. During each follow-up visit, we conducted a follow-up guestionnaire survey and serum HIV antibody testing. The follow-up questionnaire for participants included information about sexual behaviours over the past two weeks and the medication adherence. Participants were regularly followed up until they became HIV positive or until the 2-year endpoint reached. More information for the primary study could be found in the published article [20].

The inclusion criteria for MSM were as follows: (1) 18-65 years, (2) HIV negative at enrolment, (3) had at least one sexual intercourse with men every two weeks, (4) one more male sex partner before their entry into this study, and (5) signed the informed consent form. In the current study, individuals who did not participant any of the follow-up visits were excluded for analysis. Written informed consent was obtained from all individual participants included in the primary study, which declared that they consented to the use of their data for research related to this study.

### **Data collection**

At recruitment, the participants were required to complete a questionnaire investigating their demographic information, AIDS-related knowledge, and sexual behaviours. Demographic information included age, monthly income, education, career, and marital status. At each follow-up, blood was collected to test for the presence of HIV-1 and HIV-2 antibodies using ELISA. Once the HIV-1 or HIV-2 antibody became positive, the participant met our endpoint and we ceased follow-up for this participant. Medication adherence to PrEP was evaluated at each follow-up based on the latest two-week medication: medication rate = (number of pills that should have been taken, number of pills that were missed)/(number of pills that should have been taken).

# Missing data handling

For missing data with missing proportion < 20%, multiple imputations using the chained equation (MICE) were conducted for numerical and category variables. The proportion of missing data for each predictor is shown in Figure S1. In our data, the missing proportion of all variables was <10%.

### **Derivation and validation cohort**

The participants in the derivation cohort were enrolled from Chongging, Sichuan, and Guangxi provinces. The

participants from Xinjiang were divided into validation cohorts. For numerical variables, Student's t-test was used to compare the differences in characteristics between the derivation and validation cohorts. A chi-square test was conducted to detect differences in categorical variables. p < 0.05 was considered statistically significant.

### **Predictors selection**

We used a random survival forest (RSF) to identify important predictors of new HIV infection. First, all 19 predictors were included in the model, and the importance of the variables in this model was calculated using the permutation-based variable importance scores. If one variable negatively contributed to the model, it was removed from the next model construction. Second, all the predictors that positively contributed to the model in the last step were included to build the next model. This process was cycled for 500 iterations to ensure that all predictors included in the final model positively contributed to the model.

### **Outcome**

New HIV infection during the follow-up visits was defined as the event of this analysis.

# Development and validation of machine learning models

Currently, mainstream machine learning models are primarily based on the following theories: decision tree theory, ensemble learning theory, support vector machine theory, and neural network theory. In this study, five machine learning approaches were utilized to predict new HIV infections in MSM: random survival forest model (RSF), survival support vector machine (SSVM), gradient boosting survival model (GBM), extreme gradient boosting survival model (XGBoost), and deep learning-based survival model (DeepSurv). The random survival forest model, gradient boosting survival model, and extreme gradient boosting model are extensions of the random forest model, gradient boosting model, and extreme gradient boosting model, respectively, which were developed based on decision tree models and designed for survival data. DeepSurv is a deep neural network-based Cox proportional hazards approach that analyzes the effects of covariates on patient outcome. SSVM is an extension of a support vector machine for censored survival data. Additionally, we constructed a traditional multivariate CPH to predict new HIV infection in MSM.

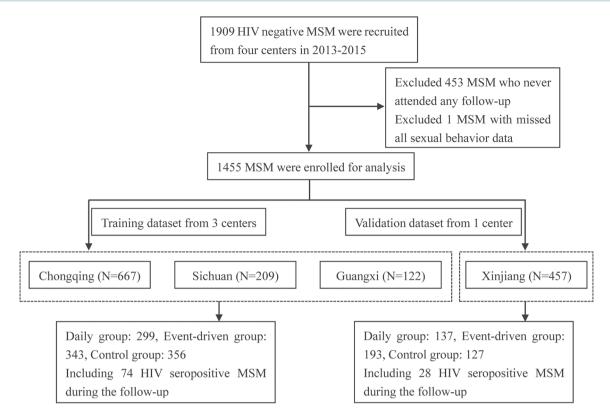


Figure 1. Flowchart of this study.

For the development of the machine learning model, 5-folds cross-validation was used to train the model, which enabled it to confirm the best hyperparameters. The 5-fold cross-validation split the derivation cohort into five folds, in which four folds were defined as the training datasets and the remaining as the test dataset. Each proportion of the five folds was used as a test dataset. The performance of each model was evaluated using Harrel's c-index. When the averages of the C-index reached a peak, these hyperparameters were selected to construct machine-learning model. The performance of the model was validated in an external validation cohort, which was also evaluated using the C-index. In addition, we employed calibration curve and Brier score to further illustrate the performance of the final model.

To interpret the machine learning model, the SHAP value was calculated to explore the contribution of each variable to HIV infection.

### Statistical analysis

R software (version 4.3.0) was used to construct the machine learning models, including the RSF, GBM, XGBoost, and SSVM models. The DeepSurv model was constructed using the deepsurv package in Python software. In addition, the python package shap v0.44.0, was applied to calculate the SHAP values. For the sensitivity analysis, we used a model with missing data to

verify the stability of the model. p < 0.05 was considered significant differences.

### Results

### **Baseline characteristics of enrolled MSM**

During 2013-2015, we recruited 1909 qualified HIV-negative MSM. A total of 453 MSM were excluded from this study because of the absence of any of follow-up visits, and one participant was excluded due to the lack of all sexual behaviour data. Eventually, 1455 MSM were enrolled for analysis, of whom 998 were in the deprivation cohort and 457 were in the validation cohort (Figure 1). The baseline characteristics of the enrolled MSM from the two cohorts are stated in Table 1. The mean age of MSM in the derivation cohort was not statistically different from that of MSM in the validation cohort (p=0.716). Additionally, sex role, number of sex partners, use of condoms, diagnosis of sexually transmitted diseases, and frequency of commercial sex were also found to be comparable between the derivation and validation cohorts (p>0.05). In the derivation cohort, a higher proportion of MSM came from the countryside (p < 0.001) and the AIDS-related knowledge score was significantly lower (p=0.004). In the derivation cohort, more MSM reported using illicit drugs in the past six months (p=0.019). The PrEP medication adherence was lower

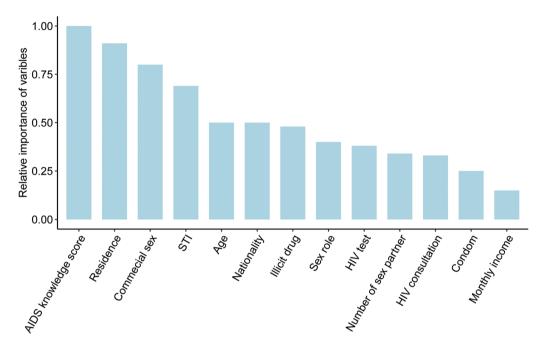
Table 1. Comparison of baseline characteristics between derivation cohort and validation cohort.

	Validation cohort	Derivation cohort	<u></u>
	(N=457)	(N=998)	P-value
ew HIV infection	· · ·		
egative	429 (93.9%)	924 (92.6%)	0.439
ositive	28 (6.1%)	74 (7.4%)	
lge			
Mean (SD)	30.3 (7.62)	30.1 (9.12)	0.716
rEP strategy			
aily	137 (30.0%)	299 (30.0%)	0.004
vent driven	193 (42.2%)	343 (34.4%)	
lone	127 (27.8%)	356 (35.7%)	
esidence			
ity	386 (84.5%)	679 (68.0%)	< 0.001
ountryside	71 (15.5%)	317 (31.8%)	
lissing	0 (0%)	2 (0.2%)	
ationality	404 (07 70)	0.45 (0.4.00)	
an • ·	401 (87.7%)	946 (94.8%)	<0.001
linority	55 (12.0%)	52 (5.2%)	
lissing	1 (0.2%)	0 (0%)	
ducation	2 (0 40/)	0 (0 00()	0.001
iteracy	2 (0.4%)	8 (0.8%)	< 0.001
unior	5 (1.1%)	28 (2.8%)	
liddle	27 (5.9%)	110 (11.0%)	
enior	95 (20.8%)	308 (30.9%)	
unior college	102 (22.3%)	241 (24.1%)	
ollege 	224 (49.0%)	303 (30.4%)	
Missing	2 (0.4%)	0 (0%)	
areer	245 (70.00/)	740 /74 00/	
mployed	365 (79.9%)	748 (74.9%)	0.009
etired	0 (0%)	12 (1.2%)	
tudent	62 (13.6%)	135 (13.5%)	
nemployed	29 (6.3%)	101 (10.1%)	
lissing	1 (0.2%)	2 (0.2%)	
Marriage	260 (70 00/)	721 (72.20()	0.050
pinsterhood	360 (78.8%)	721 (72.2%)	0.050
Married	62 (13.6%)	188 (18.8%)	
vivorced	35 (7.7%)	88 (8.8%)	
Vidow	0 (0%)	1 (0.1%)	
Nonthly income	CA (14 00/)	171 (17.10()	.0.001
1 k	64 (14.0%)	171 (17.1%)	< 0.001
-3 k	108 (23.6%)	427 (42.8%)	
-5 k	198 (43.3%)	296 (29.7%)	
–10 k ·10 k	71 (15.5%)	72 (7.2%)	
	9 (2.0%)	19 (1.9%)	
lissing	7 (1.5%)	13 (1.3%)	
IDS knowledge score	202 (44.20/)	260 (26 10/)	0.004
igh	202 (44.2%)	360 (36.1%)	0.004
ow IIV test	255 (55.8%)	638 (63.9%)	
	20 (0 50/)	242 (24 20/)	-0.001
lo es	39 (8.5%) 418 (91.5%)	242 (24.2%) 753 (75.5%)	<0.001
	418 (91.5%) 0 (0%)	753 (75.5%) 3 (0.3%)	
Missing IIV consultation	0 (070)	3 (0.3%)	
o	96 (21.0%)	432 (43.3%)	<0.001
o es	360 (78.8%)	432 (43.3%) 561 (56.2%)	<0.001
es Nissing		561 (56.2%) 5 (0.5%)	
3	1 (0.2%)	J (U.J70)	
ex role	116 (25.4%)	264 (26 50/)	0.220
nsertive only Insertive mostly	116 (25.4%) 102 (22.3%)	264 (26.5%) 214 (21.4%)	0.220
gual to be insertive or receptive			
•	106 (23.2%) 80 (17.5%)	277 (27.8%) 143 (14.3%)	
eceptive mostly	80 (17.5%) 52 (11.4%)	143 (14.3%)	
eceptive only	52 (11.4%) 1 (0.2%)	96 (9.6%)	
lissing	1 (0.2%)	4 (0.4%)	
umber of sex partner	244 (75 20/)	743 /74 40/\	0.503
1	344 (75.3%)	743 (74.4%)	0.502
-5	111 (24.3%)	245 (24.5%)	
_9 	2 (0.4%)	5 (0.5%)	
10	0 (0%)	5 (0.5%)	
Condom	262 (57 597)	407 (40.000)	
llways use	263 (57.5%)	497 (49.8%)	0.060
ometimes use	137 (30.0%)	295 (29.6%)	
lever use	31 (6.8%)	97 (9.7%)	
Missing	26 (5.7%)	109 (10.9%)	

(Continued)

Table 1. Continued.

	Validation cohort (N=457)	Derivation cohort (N=998)	 P-value
No	414 (90.6%)	918 (92.0%)	0.398
Yes	42 (9.2%)	77 (7.7%)	
Missing	1 (0.2%)	3 (0.3%)	
Commercial sex			
No	438 (95.8%)	940 (94.2%)	0.330
⁄es	19 (4.2%)	55 (5.5%)	
Missing	0 (0%)	3 (0.3%)	
llicit drug			
No	429 (93.9%)	967 (96.9%)	0.019
⁄es	19 (4.2%)	19 (1.9%)	
Missing	9 (2.0%)	12 (1.2%)	



**Figure 2.** Relative variable importance of the RSF model for the prediction of HIV infection in the derivation cohort using the permutation-based parameter importance scores.

than 80%, with mean medication adherence of 57% and 39% in the daily PrEP and event-driven groups, respectively (Figure S2).

### **HIV** seroconversion rate

In the derivation cohort, during the mean observation period of 1.18 years, 74 MSM (7.4%) were newly infected with HIV. In the validation cohort, the mean observation period was 1.01 years and 28 MSM were infected with HIV, with an infection rate of 6.1%. HIV seroconversion rates between the derivation and validation cohorts were comparable (p=0.439).

# RSF model performs best for predicting new HIV infection in MSM

After 500 iterations, 13 parameters were selected to construct the models, including age, AIDS-related

knowledge score, sex role, and number of sex partners. The relative importance of the included parameters was calculated using a permutation-based importance score, as depicted in Figure 2.

Among these machine learning models, extreme overfitting was observed in the SSVM model, with a C-index of 0.9741 for the derivation cohort and 0.4956 for the validation cohort (Figure 3A,B; Table S2). The discriminative ability of the DeepSurv model was poor in both cohorts. In the derivation cohort, the RSF, GBM, and XGBoost models achieved good performance, with a C-index exceeding 0.75. For the CPH model, fair agreement was achieved, with a C-index of 0.7075. In the validation cohort, RSF outperformed other machine learning approaches and had superior discriminative ability for predicting new HIV infection in MSM, with a C-index of 0.7013, compared to GBM (C-index: 0.6732), CPH (C-index: 0.6454), and XGBoost (C-index: 0.6261). Moreover, we evaluated the

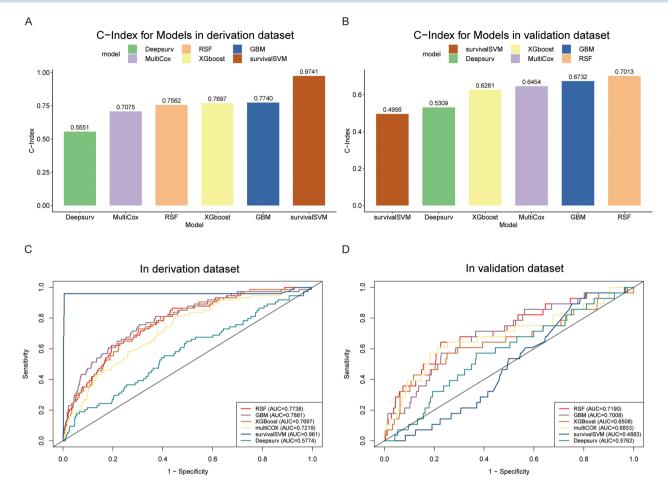


Figure 3. Performance assessment of the six models by c-index and AUC. Random survival forest model performed best in the validation cohort.

prediction accuracy of these models by using the receiver operating characteristic curve. The results demonstrated that the RSF model outperformed the CPH model and other machine-learning models (Figure 3C,D). The calibration curve and Brier score indicated the RSF model performed well in both derivation and validation cohorts (Figure S3).

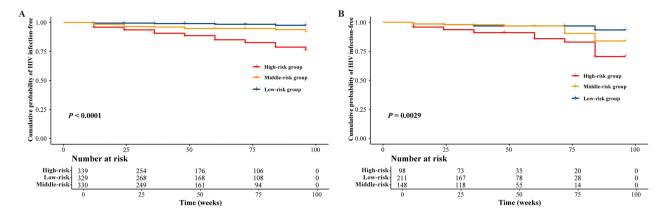
Overall, the RSF model outperformed other machine learning and CPH models. Thus, the RSF model was used for further analysis.

# RSF could significantly discriminate high-risk MSM of developing HIV

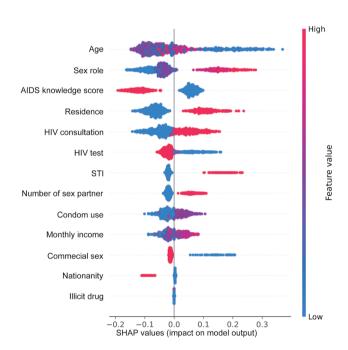
Based on the tertiles of the risk score in derivation dataset, we categorized the samples in both derivation cohort and validation cohort into three risk groups: low-risk, middle-risk and high-risk. The results of Kaplan-Meier curves showed that the cumulative HIV infection probability was significantly different in both the derivation and validation cohorts (p < 0.01, log-rank test). MSM in the high-risk group had a higher probability of developing an HIV infection (Figure 4A,B).

### Interpretation of the RSF model

To determine how these predictors modulate HIV infection risk, we implemented SHAP values to explain the output of the RSF model. The SHAP summary plot described below describes the impact of these variables on HIV infection risk (Figure 5). According to the results, younger MSM were at higher risk of HIV infection. Compared with MSM who only engaged in insertive anal intercourse (IAI), the HIV infection risk of MSM who only engaged in receptive anal intercourse (RAI) increased significantly. In addition, MSM with higher AIDS-related knowledge scores, without a history of sexually transmitted diseases (STI), living in a city, fewer sex partners, always using condoms, and never engaged in commercial sex had a decreased risk of HIV infection. On the other hand, MSM who had never attended any HIV consultation or HIV test were found to be at a



**Figure 4.** Kaplan–Meier curve of HIV infection in derivation cohort (A) and validation plot (B). Patients in both cohorts were divided into high-risk group, middle-risk group and low-risk group based on the tertile of risk score in derivation cohort.



**Figure 5.** SHAP summary plot of RSF model. The colour of the dots indicated the feature value. The higher the SHAP value, the higher the risk of HIV infection.

higher risk of HIV infection. These results suggested that participants who were more concerned about their health had a lower risk of HIV infection.

Furthermore, we noticed that younger MSM were at higher risk of HIV infection; therefore, we compared the differences in sexual behaviours between younger MSM and older MSM, grouped by median age. We found that younger MSM tended to engage in RAI and had more sex partners (Table S1), which might explain why younger MSM were at a higher risk of HIV acquisition.

### Sensitivity analysis of RSF model

We also assessed the performance of the RSF model using missing data to evaluate its stability. The results

indicated that RSF performed well in the presence of missing data, with a C-index of 0.7553.

### **Discussion**

In this study, we aimed to develop and validate a machine learning algorithm to refine the accuracy of HIV risk prediction in the MSM population, which could help identify individuals at an increased risk of HIV infection, improve the utilization of preventive measures, and help reduce HIV infection. Our findings demonstrate that machine learning algorithms improve the prediction accuracy of new HIV infections in MSM. The predictive performance of the RSF model surpassed that of the other machine learning models and the conventional CPH model, yielding the highest C-index. Sensitivity analysis revealed that the RSF model maintained robust predictive performance, even in scenarios involving missing data. Hence, compared to traditional models, machine learning algorithms have the potential to enhance the performance of HIV prediction models, which is consistent with previous research outcomes [8,15]. Besides, our study used the time-to-event data to construct the model based on the prospective study in West China, which was also different from previous cross-sectional Longitudinal studies possess the advantage of elucidating causal relationships [19]. Therefore, our study, as compared to previous cross-sectional or retrospective studies, is better to demonstrate the causal link between sexual behaviour and HIV Specifically, our model is useful for practical application by public health workers. They can utilize the model to calculate the HIV infection risk for MSM, take timely intervention measures for those at high risk, and prioritize interventions for those at higher risk of HIV acquirement. However, the predictive ability of our model was not perfect, with C-index of 0.7013.

Therefore, we recommend combining the results of our model with other HIV risk assessment tools to determine the HIV infection risk level of MSM. One Chinese researcher developed an HIV risk assessment tool for HIV-negative MSM in west China based on Delphi methods [21], with higher score indicating a higher risk of HIV infection. However, the researchers did not provide a cut-off value to distinguish risk levels. The absence of a clear threshold can lead to uncertainty in clinical decision-making. It would be helpful for the public health workers to assess the HIV infection risk of MSM by combining this tool and our model.

In the RSF model, the AIDS knowledge score, residence, commercial sexual behaviour, and STI history were identified as the most critical predictive variables for HIV infection. MSM with lower AIDS-related knowledge had a higher risk of acquiring HIV. Another study has also shown that MSM with higher AIDS-related knowledge scores have a 0.61 times lower likelihood of HIV infection [18], consistent with our conclusion. Most research reports have indicated that individuals residing in urban areas have a higher likelihood of HIV infection, primarily due to greater wealth, which provides them with more opportunities for sexual partners [22-25]. Conversely, we found that rural MSM were more susceptible to HIV infection, which might be because rural MSM are more likely to engage in high-risk behaviour and primarily meet sexual partners through dating applications [26]. Commercial sexual behaviour is an important risk indicator for predicting new HIV infections. A meta-analysis showed that the risk of new HIV infections was greater in the MSM population engaged in commercial sex, with an HR of 4.11 [27]. Similarly, a history of STI diagnosis is a major risk factor, as evidenced by various studies [15,18]. A diagnosis of STI can serve as a predictive factor for HIV due to shared high-risk behaviours [28].

We also found that sex role is an important factor for HIV infection. Our results suggest that MSM engaging only in RAI have a higher risk of developing HIV infection compared to those who only engage in IAI. The existing meta-analysis supported our findings, which showed that the risk of HIV infection for MSM practicing RAI was 6.2 times higher than for those practicing only IAI [29]. One possible explanation for this may be that the anal mucosa is more susceptible to HIV infection than the keratinized squamous epithelium of the penis [29]. Second, engaging in RAI may drive changes in the gut microbiota [30], causing immune activation and consequently increasing the risk of HIV infection [31]. This suggests that MSM engaging in RAI need to pay more attention to safe sexual behaviour, and health workers are expected to prioritize effective interventions for MSM who often conduct receptive anal intercourse.

Notably, the PrEP strategy did not emerge as a significant predictive factor in our predictive model largely because of the low medication adherence of the study participants. In fact, the efficacy of oral PrEP depends heavily on medication adherence [32]. In this cohort, mean medication adherence was approximately 57% and 39% in the daily PrEP and event-driven groups, respectively. Our previously published research showed that the differences in the HIV incidence rates among the daily PrEP, event-driven, and blank control groups were not statistically significant, and the HIV incidence rates in the daily PrEP and event-driven groups were significantly lower than those in the blank control group, but when adherence to medication was ≥80% [20]. Therefor at this perspective, the treatment and blank control groups of the current study can be considered homogeneous populations. Thus, our model is suitable for HIV-negative MSM populations who have not used PrEP medication. It is also applicable when MSM have taken PrEP drugs but with low adherence, such as below 80%. It is worth noting that this result does not suggest that PrEP could not prevent HIV infection. In contrast, high medication adherence is the key to achieving effective HIV prevention; thus, we advocate improving medication adherence to PrEP. We have also performed a lot of work to improve medication adherence to PrEP. For instance, we developed a reminder system based on WeChat to remind MSM to take pill on time [33].

However, our study had several limitations. First, the data we investigated stemmed from self-reported information, potentially harbouring a degree of reporting bias. Second, we did not consider the changes of the sexual behaviours in the final machine learning model. In our primary study, we investigated participants' sexual behaviours over a two-week period during each follow-up visits, which was different from the behavioural information in the baseline. Therefor we did not include follow-up behaviour data in our model. Future studies are encouraged to utilize the dynamic behavioural data to construct the prediction model. Finally, our model was developed and validated based on data from Western China, thus necessitating further validation of its applicability in different settings.

### **Conclusion**

In summary, compared to the conventional CPH model, the RSF model, as a machine learning algorithm, exhibited better performance in predicting new HIV

infections among the MSM population. The developed and validated RSF model can be applied to stratify HIV infection risks among the MSM population, aiming to improve risk awareness and identify potential PrEP candidates, ultimately facilitating effective utilization of preventive measures.

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### **Authors contributions**

Conceptualization: Zhong and Xie; Funding acquisition: Zhong; Data curation: Li, Shi, Lin, and Tao; Fornal analysis: Li, Shi, Zeng, and Wang; Methodology: Zhang, Deng, and Zou; Writing-original draft: Li, and Shi; Writing-review & editing: Zhong, Xie, Li, and Shi. All the authors have read and approved the final manuscript.

# **Ethics approval**

The current study was a secondary analysis of our previous clinical trial. Our primary study was conducted in accordance with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of Chongqing Medical University (Ethical Approval code: 2012010).

# Consent to participate

Informed consent was obtained from all enrolled individual participants in the primary study. All participants declared that they consented to the use of their data for related researches.

# **Disclosure statement**

No potential conflict of interest was reported by the authors.

# **Data availability**

The data reported in this work is available upon request from the lead contact, Prof. Zhong (zhongxiaoni@cqmu.edu.cn).

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