



## Research paper

## Emergence of the E484K mutation in SARS-CoV-2-infected immunocompromised patients treated with bamlanivimab in Germany

Bjoern Jensen<sup>a,1,\*</sup>, Nadine Luebke<sup>b,1</sup>, Torsten Feldt<sup>a,1</sup>, Verena Keitel<sup>a</sup>, Timo Brandenburger<sup>c</sup>, Detlef Kindgen-Milles<sup>c</sup>, Matthias Lutterbeck<sup>a</sup>, Noemi F Freise<sup>a</sup>, David Schoeler<sup>a</sup>, Rainer Haas<sup>d</sup>, Alexander Dilthey<sup>e</sup>, Ortwin Adams<sup>b</sup>, Andreas Walker<sup>b,2</sup>, Joerg Timm<sup>b,2</sup>, Tom Luedde<sup>a,2</sup>

<sup>a</sup> Department of Gastroenterology, Hepatology and Infectious Diseases, University Hospital Duesseldorf, Medical Faculty, Heinrich-Heine-University Duesseldorf, Moorenstr. 5, 40225 Duesseldorf, Germany

<sup>b</sup> Institute of Virology, University Hospital Duesseldorf, Medical Faculty, Heinrich-Heine-University Duesseldorf, Universitaetsstr. 1, 40225 Duesseldorf, Duesseldorf, Germany

<sup>c</sup> Department of Anaesthesiology, University Hospital Duesseldorf, Medical Faculty, Heinrich-Heine-University Duesseldorf, Moorenstr. 5, 40225 Duesseldorf, Germany

<sup>d</sup> Department of Hematology, Oncology and Clinical Immunology, University Hospital Duesseldorf, Medical Faculty, Heinrich-Heine-University Duesseldorf, Universitaetsstr. 1, 40225 Duesseldorf, Duesseldorf, Germany

<sup>e</sup> Institute of Medical Microbiology and Hospital Hygiene, Medical Faculty, Heinrich-Heine-University Duesseldorf, Universitaetsstr. 1, 40225 Duesseldorf, Germany

## ARTICLE INFO

## Article History:

Received 4 May 2021

Revised 4 June 2021

Accepted 10 June 2021

Available online 14 July 2021

## ABSTRACT

**Background:** Monoclonal antibodies (mAb) have been introduced as a promising new therapeutic approach against SARS-CoV-2. At present, there is little experience regarding their clinical effects in patient populations underrepresented in clinical trials, e.g. immunocompromised patients. Additionally, it is not well known to what extent SARS-CoV-2 treatment with monoclonal antibodies could trigger the selection of immune escape viral variants.

**Methods:** After identifying immunocompromised patients with viral rebound under treatment with bamlanivimab, we characterized the SARS-CoV-2-isolates by whole genome sequencing. Viral load measurements and sequence analysis were performed consecutively before and after bamlanivimab administration.

**Findings:** After initial decrease of viral load, viral clearance was not achieved in five of six immunocompromised patients treated with bamlanivimab. Instead, viral replication increased again over the course of the following one to two weeks. In these five patients, the E484K substitution – known to confer immune escape – was detected at the time of viral rebound but not before bamlanivimab treatment.

**Interpretation:** Treatment of SARS-CoV-2 with bamlanivimab in immunocompromised patients results in the rapid development of immune escape variants in a significant proportion of cases. Given that the E484K mutation can hamper natural immunity, the effectiveness of vaccination as well as antibody-based therapies, these findings may have important implications not only for individual treatment decisions but may also pose a risk to general prevention and treatment strategies.

**Funding:** All authors are employed and all expenses covered by governmental, federal state, or other publicly funded institutions.

© 2021 The Author(s). Published by Elsevier Ltd. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>)

### 1. Introduction

Monoclonal antibodies (mAb), such as bamlanivimab (LY-CoV555), represent a promising new treatment option for early SARS-CoV-2 infection. They have the potential to prevent complications of severe COVID-19 disease for the individual patient and therefore might help to reduce the burden on health systems [1,2]. Compared to previous approaches of using convalescent plasma, monoclonal antibodies have several advantages, for example, their

\* Corresponding author at: Department of Gastroenterology, Hepatology and Infectious Diseases, University Hospital Duesseldorf, Medical Faculty, Heinrich-Heine-University Duesseldorf, Moorenstr. 5, 40225 Duesseldorf, Germany.

E-mail address: [bjoern-erikole.jensen@med.uni-duesseldorf.de](mailto:bjoern-erikole.jensen@med.uni-duesseldorf.de) (B. Jensen).

<sup>1</sup> These authors contributed equally and share first authorship.

<sup>2</sup> These authors contributed equally and share last authorship.

## Research in context

### Evidence before this study

We searched PubMed Central for articles published until April 27, 2021, using the key words “SARS-CoV-2”, “bamlanivimab”, “E484K” and “immune escape”. Moreover, we screened preprint servers such as medrxiv, biorxiv and SSRN for relevant articles. There are no clinical reports describing the emergence of the E484K mutant after treatment with bamlanivimab, with the exception of data from a pivotal large randomized trial (BLAZE-1), which reported low frequencies of newly detected bamlanivimab immune escape mutants in patients receiving different doses of bamlanivimab and significantly lower frequencies in patients in the placebo arm. Several publications describe mutations associated with bamlanivimab immune escape in vitro.

### Added value of this study

In the context of using the SARS-CoV-2-specific mAb bamlanivimab in patients at increased risk for severe course of COVID-19, we observed viral rebound in five of six severely immunodeficient patients treated with bamlanivimab. Whole genome sequencing revealed the rapid emergence of the immune escape mutation at position 484 within days of bamlanivimab administration in all five patients with viral rebound. Severe clinical course of COVID-19 was not prevented in two of our patients despite early administration of bamlanivimab, one of whom had a fatal outcome. While it is known that escape mutations can evolve upon treatment with monoclonal antibodies, convalescent plasma, or under the selection pressure of natural immunity, our study provides, to our knowledge, for the first time data on a clinical constellation in which selection of the immune escape mutation E484K, which also occurs in epidemiologically important “variants of concern,” occurs in a very high proportion of patients.

### Implications of all the available evidence

SARS-CoV-2 immune escape mutants can evolve rapidly in the context of prolonged narrowly focused selection pressure, such as in the treatment of SARS-CoV-2 with single monoclonal antibodies in immunocompromised patients. Viral variants harbouring immune escape mutations not only threaten the individual response to treatment, but also potentially pose a threat to general prevention and treatment strategies due to their transmissibility. From the experience with bamlanivimab presented here, it can be inferred that treatments of immunocompromised SARS-CoV-2 patients with single monoclonal antibodies should be applied with utmost caution.

of SARS-CoV-2 with selection of immune escape mutants can occur in immunocompromised hosts [4,7]. Since this patient group is underrepresented in clinical trials with monoclonal antibodies, we characterized viral evolution in five immunocompromised patients with delayed viral clearance after treatment with the monoclonal antibody bamlanivimab.

## 2. Methods

### 2.1. Design and setting

All patients were treated with bamlanivimab for SARS-CoV-2 infection at an academic tertiary referral center in Germany because of their increased individual risk for progression to severe COVID-19. Viral load was measured consecutively before and after bamlanivimab administration. After identifying immunocompromised patients with viral rebound under treatment with bamlanivimab, we characterized the available SARS-CoV-2-isolates with cycle threshold < 30 by whole genome sequencing.

### 2.2. Isolation of viral genomic material and SARS-CoV-2 quantification

Respiratory samples from nasopharyngeal swabs were used for total nucleic acid extraction using the EZ1 Virus Mini Kit v2.0 on an EZ1 Advanced XL (Qiagen, Germany) according to the manufacturer’s instructions. SARS-CoV-2 was detected as previously described [8] by the cobas® SARS-CoV-2 test on the cobas® 6800 system (Roche), or by the SARS-CoV-2 test on the NeuMoDx™ platform (Qiagen) with a plasmid-standard for quantification [9].

### 2.3. SARS-CoV-2 whole genome sequencing

Viral RNA was reversely transcribed to single-strand cDNA using random hexamers and SuperScript reverse transcriptase (ThermoFisher) [10]. Viral cDNA was PCR-amplified using the ARTIC network SARS-CoV-2 protocol with V3 primers [11,12], employing an extended annealing/extension time of 10 min. Prior to library preparation, for each sample, ARTIC PCR pools 1 and 2 were combined (500 ng DNA per pool). Sequencing was carried out on the Oxford Nanopore MinION device, utilizing MIN106 flow cells and the SQL-LSK109 ligation sequencing kit. Barcoding was carried out with the native Barcoding Expansion 96 Kit (EXP-NBD196).

Data analysis and generation of consensus sequences were carried out as previously described [13]. Briefly, after base-calling with Guppy v3.4.5+fb1fbfb, the ARTIC pipeline1 with default settings was applied to each sequencing run, analyzing each sample independently with Nanopolish and Medaka [14]. Generated VCF files and consensus FASTA sequences were manually curated by a) carrying out a comparison between the Nanopolish- and Medaka-based VCF files and b) visual inspection with IGV [15], checking for i) false-positive calls; ii) polymorphic positions with more than one plausible allele; iii) false-negative calls.

### 2.4. Role of the funding source

The funders had no role in study design, data collection, data analysis, interpretation or writing of the report.

## 3. Results

After bamlanivimab became available for clinical use in Germany as the first monoclonal antibody directed against SARS-CoV-2, our clinic treated six patients in whom we feared a severe course in the setting of SARS-CoV-2 with known severe humoral and/or cellular immunodeficiency. The main clinical characteristics of the six patients are presented in Table 1.

high specificity of binding, homogeneity and the lack of potential transmission of infectious agents. However, in other disease contexts, such as HIV therapy, potential drawbacks of monoclonal antibodies have also become clear, such as immune escape by selection of viral mutations [3]. In SARS-CoV-2 infection, several viral variants of concern (VOCs) are associated with immune evasion from neutralizing antibodies after resolved infection or vaccination and therefore potentially compromise the efficacy of prevention and treatment strategies [4,5]. Based on pathophysiological considerations, immunocompromised COVID-19-patients may be at increased risk of not achieving rapid viral clearance, thereby favoring the selection of viral mutations under therapy pressure. For influenza, it has been shown that antiviral resistance to neuraminidase inhibitors readily develops in immunocompromised individuals with persistent viral shedding [6]. It has been already reported that viral persistence and evolution

**Table 1**  
Patient characteristics.

	Age	Sex	Medical Condition	Immunosuppressive medication	Days post first positive SARS-CoV-2 qPCR at the time of bamlanivimab administration	Immune escape	Viral strain	Outcome
Patient 1	early seventies	male	ANCA-associated vasculitis with end-stage renal disease	rituximab, prednisolon	D2	yes, E484K and E484Q	B.1	Died
Patient 2	early forties	female	AIDS		D3	yes, E484K	B.1.1	Discharge from Hospital
Patient 3	early sixties	male	relapsed follicular lymphoma	obinutuzumab, thiotepa, cytarabine, etoposide	D76	yes, E484K	B.1.177	Discharge from Hospital
Patient 4	late sixties	male	Heart transplant recipient (about 30 years ago)	cyclosporine, azathioprine, prednisolone	D2	yes, E484K	B.1.177	Discharge from Hospital
Patient 5	late sixties	male	Chronic lymphatic leukemia	–	D45	yes, E484K	B.1.258	Discharge from Hospital
Patient 6	mid sixties	female	Kidney transplant recipient (about 2 months ago)	tacrolimus, mycophenolate mofetil, prednisolone	D17	no	B.1.160	Discharge from Hospital

Patient 1 (Fig. 1A) was a caucasian male in his early seventies, suffering from ANCA-associated vasculitis with end-stage renal disease. He was on therapy with the CD20-directed antibody rituximab (last infusion approximately three months before admission) and additionally was on prednisolone 20 mg qd. Upon hospital admission (day 1), he presented with mild symptoms of upper respiratory tract infection and fever to the emergency department, leading to the rapid diagnosis of SARS-CoV-2 infection with an initial viral load of  $8.47 \times 10^7$  copies/mL. Being a patient with significantly increased risk for a severe course of COVID-19 due to his past medical history, he was treated with bamlanivimab (700 mg) intravenously at day 2. On day 4, his respiratory situation deteriorated with the need for oxygen supplementation, thus prednisolone was switched to dexamethasone 6 mg qd. Viral load dropped to  $4.62 \times 10^5$  copies/mL on day 8. However, his clinical condition further deteriorated and by day 12, the viral load had increased again by four log levels ( $2.27 \times 10^9$  copies/mL). This prompted us to perform whole genome sequence analysis, which revealed the presence of the E484K immune escape mutation in strain B.1. Of note, this substitution was not present before the treatment with bamlanivimab (Fig. 1A). Interestingly, at day 15 we observed continuous evolution to E484Q, reverting back to E484K on day 16 after administration of three units of convalescent plasma (CP). Unfortunately, the patient could not be stabilized and died on day 20 due to multi-organ failure.

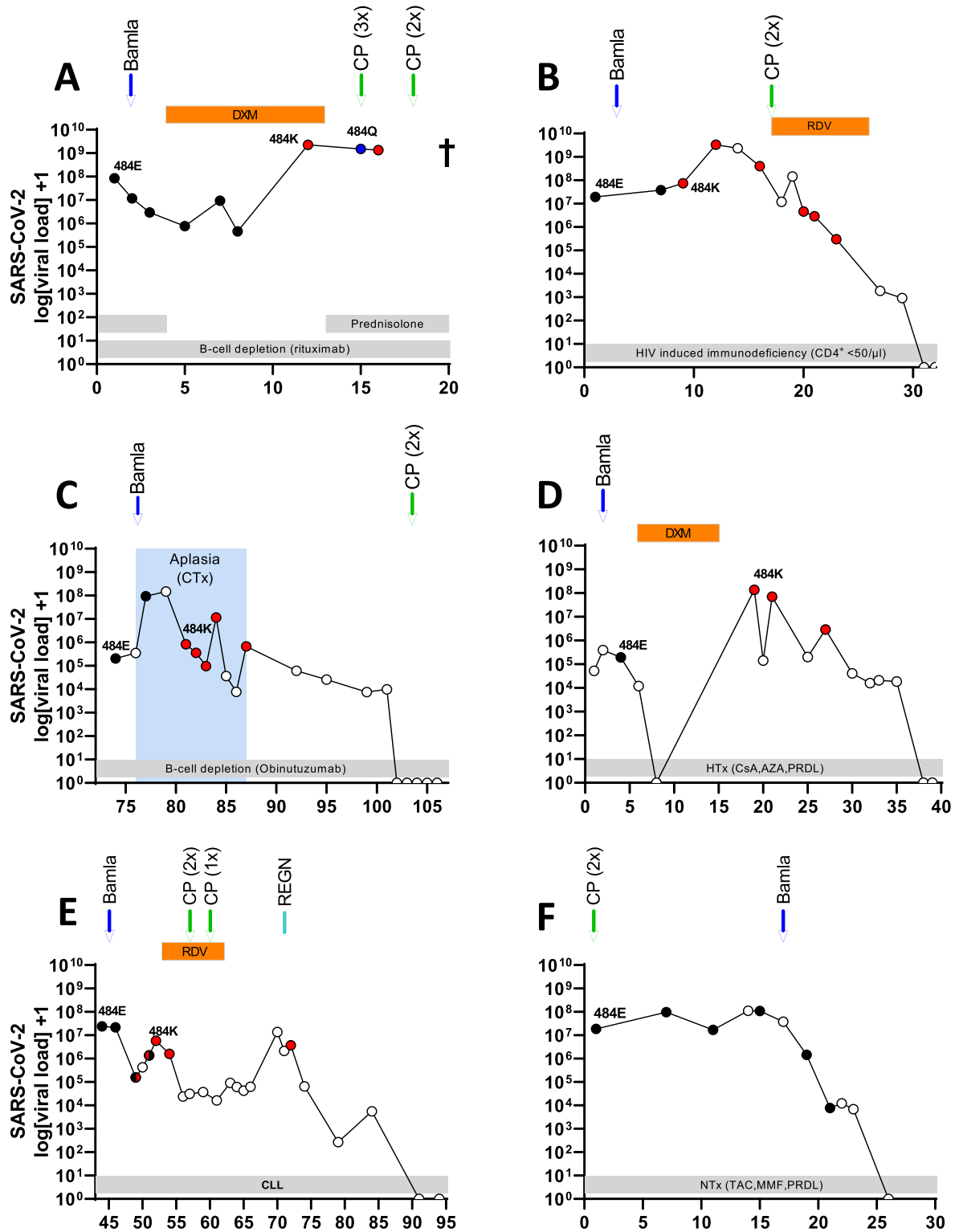
Patient 2 (Fig. 1B) was a caucasian female in her early forties presenting with advanced HIV-1 infection (CD4+ cells  $0/\mu\text{L}$ ) and cerebral toxoplasmosis. Antiretroviral treatment was initiated with tenofovir alafenamide, emtricitabine and bictegravir for HIV-1 as well as a combination regimen of pyrimethamine and clindamycin for cerebral toxoplasmosis. Admission screening (d1) revealed a SARS-CoV-2 infection with  $2.22 \times 10^7$  copies/mL (strain B.1.1, harbouring E484E). The patient reported only mild symptoms (impaired taste). In the high risk setting of severely impaired T-cell response, bamlanivimab 700 mg was administered intravenously on day 3. SARS-CoV-2-RNA levels remained high for about one week and then further increased up to  $2.9 \times 10^9$  copies/mL with simultaneous detection of the E484K substitution. Due to persistently high viral replication levels, the patient was treated with the antiviral drug remdesivir and two units of CP, resulting in a decrease of SARS-CoV-2 RNA by three log levels over the following 10 days. At day 32 the patient could be discharged from hospital with two consecutive negative SARS-CoV-2 qPCR tests.

Patient 3 (Fig. 1C) was a caucasian male in his early sixties with relapsed follicular lymphoma, who had persistent positive SARS-CoV-2 qPCR approximately 2 months after the first positive SARS-

CoV-2 RT-qPCR in November 2020 (day 1). In order to ensure viral clearance before a scheduled and urgently indicated high-dose chemotherapy and autologous hematopoietic stem cell transplantation (HSCT), 2 units of CP were administered on day 57 and one unit on day 59. After nasopharyngeal swabs repeatedly tested negative for SARS-CoV-2, high-dose chemotherapy with obinutuzumab, thiotepa, cytarabine and etoposide was started on day 69. On day 74, SARS-CoV-2 RT-qPCR was positive again on a nasopharyngeal swab with  $2.0 \times 10^5$  copies/mL (strain B.1.177, harbouring E484E). Although the initial SARS-CoV-2-positive sample was not available for viral sequencing and therefore reinfection cannot be formally excluded, a persistent but undetected infection with loss of immune control after high-dose chemotherapy seems more likely. The patient reported mild symptoms (fatigue), and bamlanivimab 700 mg was administered intravenously on day 76. The patient received autologous HSCT on day 78. Viral load further increased and peaked at day 79 with  $1.47 \times 10^8$  copies/mL. Whole genome sequencing revealed the E484K mutant on day 87. Following stem cell engraftment and subsequent improvement of cellular immunity, viral titers decreased, and viral clearance was achieved at day 103. The patient could be discharged from hospital in good clinical condition.

Patient 4 (Fig. 1D) was a caucasian male in his late sixties with successful heart transplant for severe myocarditis almost 30 years before. He received 60 mg cyclosporine qd, 12.5 mg azathioprine qd, and 5 mg prednisolone qd as long-term immunosuppressive therapy. He had presented to the emergency room of a peripheral hospital due to unexplained abdominal pain and was transferred to our center after a positive rapid test due to his complex medical history. Two days before the positive SARS-CoV-2 test, the patient reported mild fatigue but no further symptoms. Bamlanivimab 700 mg was administered on day 2. Viral load was initially low with  $5.27 \times 10^4$  copies/mL (strain B.1.177, harbouring E484E), increased on the day of bamlanivimab administration, then dropped and became negative over the next few days. In parallel, clinical and radiological signs of pneumonia developed during the same period, resulting in short-term therapy with high-flow oxygen and dexamethasone for 10 days. Due to the lack of a thorough clinical improvement, a new nasopharyngeal swab was taken on day 19, which was again positive, with a high viral load of  $6.89 \times 10^7$  copies/mL. At this point, whole genome sequencing revealed the E484K mutant. Without further specific antiviral therapy the patient improved slowly and could be discharged from the hospital at day 40 with two negative consecutive SARS-CoV-2 qPCR tests.

Patient 5 (Fig. 1E) was a caucasian male in his late sixties with B-cell chronic leukemia (CLL) stage Binet C who had persistent positive



**Fig. 1.** Selection of E484K in SARS-CoV-2 infected patients with severe immunosuppression.

(A) Patient 1 with ANCA-associated vasculitis and pronounced immunosuppression; (B) Patient 2 with severe HIV-associated immunosuppression; (C) Patient 3 with follicular lymphoma and severe immunosuppression due to high-dose chemotherapy; (D) Patient 4 with severe immunosuppression due to heart transplantation; (E) Patient 5 with severe immunosuppression due to chronic lymphocytic leukemia; (F) Patient 6 with severe immunosuppression due to kidney transplantation. Bamla, bamlanivimab; CP, convalescent plasma; RDV, remdesivir; REGN, REGN—COV2, casirivimab/imdevimab; CTx, chemotherapy; HTx heart transplantation; PRDL, prednisolone; DXM, dexamethasone; MMF, mycophenolate mofetil; TAC, tacrolimus; CsA, cyclosporin A; AZA, azathioprine; CLL, chronic lymphocytic leukemia; E484K, substitution in the receptor-binding domain (RBD) associated with immune escape. Time points are color coded according to their sequence. White, not determined; black, 484E; red, 484 K; blue, 484Q (for details see suppl. Table 1).



SARS-CoV-2 RT-qPCR ( $3.12 \times 10^7$  copies/mL, strain B.1.258, harbouring E484E) 44 days after the first positive SARS-CoV-2 RT-qPCR in December 2020 before scheduled initiation of CLL treatment with ibrutinib. In view of the current risk constellation and the previous illnesses, bamlanivimab 700 mg was applied on day 45, which resulted in only a transient decrease in SARS-CoV-2 viral load. A subsequent increase of the viral load to  $4.82 \times 10^6$  copies/mL prompted us to perform whole-genome sequencing on day 52 (day 8 after bamlanivimab administration), which identified the E484K mutant. An off-label-use therapy with remdesivir was then initiated for a total of 10 days. In addition, cumulatively 3 units of CP were administered (Fig 1E). In the further course a high SARS-CoV-2 viral load persisted ( $2.26 \times 10^6$  copies/mL, still harbouring E484K) so that we decided to administer imdevimab/casirivimab, which was well tolerated by the patient. Viral load subsequently decreased and became negative on day 91. The patient could be discharged from hospital in moderately reduced clinical condition.

Patient 6 (Fig. 1F) was a caucasian female in her mid-sixties with allogeneic cadaveric kidney transplantation performed about 2 months before hospital admission for SARS-CoV2-infection. Her immunosuppressive therapy consisted of 3 mg tacrolimus bid, mycophenolate mofetil 1 g bid (paused after admission) and prednisolone 20 mg qd. At admission, her SARS-CoV-2 viral load was  $9.36 \times 10^6$  (strain B.1.160, harbouring E484E). Considering the increased risk for a severe course of COVID-19, we decided to administer 2 units of CP on the day of admission. Because viral load did not decrease over the next 2 weeks, we additionally administered 700 mg of bamlanivimab. Viral load subsequently dropped and became negative on day 26. Without further complications, the patient could be discharged from hospital in good clinical condition.

#### 4. Discussion

Of six severely immunosuppressed SARS-CoV-2-infected patients treated with bamlanivimab, we observed viral immune escape in five patients. The E484K mutation selected here in common SARS-CoV-2 variants is also present in different VOCs associated with immune evasion, including the variant B.1.351 initially described in South Africa (WHO label Beta) and the variants P1 (WHO label Gamma) and P2 (WHO label Zeta) detected in Brazil [16–18] which are currently rare in Germany. To investigate the local baseline prevalence of the E484K mutation, all SARS-CoV-2 whole genome sequences available from the Dusseldorf region at that time were screened. Remarkably, only 3 out of the 1270 available sequences presented the E484K mutation and were characterized as B.1.351 isolates. This observation and the fact that all our patients harboured variants with the E484E mutation at baseline support our hypothesis that the E484K mutation was indeed newly selected under the specific immune pressure of bamlanivimab in five of six patients with impaired humoral and cell-mediated immunity. It should also be mentioned that the recently described variant B.1.617.1 (first documented in India, WHO label Kappa) harbours the E484Q mutation [19], which was also selected in patient 1.

While it was reported in vitro that SARS-CoV-2 variants harbouring the mutations E484K or E484Q are resistant against neutralization by the monoclonal antibody bamlanivimab [16,18], our clinical observation that these mutations newly emerged under bamlanivimab therapy and potentially impaired clinical outcomes of patients could have important implications not only for the clinical management of individual patients but also concerning epidemiological measures for pandemic control. Especially when used in immunocompromised patients in the outpatient setting, there would be a risk of transmission of viruses with immune escape mutations, which may become highly relevant when such mutations are selected in VOCs associated with increased viral transmission such as the variant B.1.1.7. Indeed, there are already different reports that describe the E484K substitution in

the context of B.1.1.7. Due to the complexity of the immunosuppressed patients treated with bamlanivimab, we treated them exclusively as inpatients in single rooms in a dedicated COVID-19 isolation ward with staff trained and highly experienced in PSA, and there was no evidence of transmission of these emerging viral strains harbouring E484K in this setting. After the potential threat was recognized upon receipt of sequencing results, all patients were followed for an extended period of time and only discharged after persistently low and eventually negative SARS-CoV-2 PCR results.

Cautious management and strict adherence to infection prevention and control practices appear to be highly advisable in the context of mAb treatment of SARS-CoV-2-positive immunosuppressed individuals.

Gottlieb and colleagues reported an emergence of escape mutants (E484K; E484Q; F490S and S494P) in 28/297 (9.4%) patients who received bamlanivimab monotherapy and even in 7/145 (4.8%) of patients receiving placebo in the phase 2/3 BLAZE-1 trial [2]. The fact that we observed the occurrence of E484K in a much higher percentage (5/6; 83.3%) when treating severely immunosuppressed patients suggests a significantly higher risk of viral escape in this setting. While the exact reason for the observed emergence of immune escape mutants predominantly in immunocompromised patients is unclear, it is likely that the persistent impairment of humoral and cellular immune control in these patients results in prolonged intervals of viral replication. In addition, with mAb targeting specific epitopes of SARS-CoV-2, escaping antibody neutralization by mutation is easier in the context of a single mAb compared to e.g. polyclonal immune sera and natural immunity. The combination of prolonged intervals of viral replication under narrowly focused selection pressure may explain the rapid viral immune escape observed in our patients.

The differential therapeutic response to bamlanivimab in terms of viral load with at least initial decrease in patients 1, 4, 5, and 6, but on the other hand, unchanged or increasing SARS-CoV-2 viral load after bamlanivimab administration in patients 2 and 3 could be explained by a combination of several factors. First, therapy occurred at different time points within the natural history of SARS-CoV-2 infection, with typically an initial rapid increase in viral load, subsequent stabilization at a high level, and subsequent clearance by the onset of the adaptive immune response. However, this would certainly not explain the course of patient 3, who had been SARS-CoV-2 positive for an extended period of time. Similarly, the development of the immune escape mutation E484K during the course of the disease cannot conclusively explain the lack of timely response to mAb therapy in patients 2 and 3.

We therefore hypothesize that in the context of the severe immunosuppression of these bamlanivimab-treated patients, their own immune function, which changed significantly in some of the patients during the course of the disease, contributed quite substantially to these viral load courses. Patient 2 initially had a very severe cellular immunodeficiency with CD4+ cells of  $0/\mu\text{l}$ , which improved only gradually after initiation of antiretroviral therapy, whereas in patient 3 cellular immunity was transiently profoundly impaired by high-dose chemotherapy with consecutive aplasia. In our view, it should therefore be discussed to what extent a certain degree of cellular immune function may be essential for a successful therapeutic response after administration of monoclonal antibodies.

CP and casirivimab/imdevimab (REGN—COV2) appeared to remain at least partially effective from a clinical perspective when used in our patients with viral rebound even in the presence of E484K (Fig. 1). However, due to the different disease courses, the additional use of remdesivir in two cases and the small number of patients, further data are needed regarding the efficacy in this specific clinical setting.

It is also noteworthy that the only severely immunosuppressed patient without viral rebound (patient 6) had previously been given CP

and thus had not actually received mAb monotherapy. Combinations of two or more mAbs or polyclonal antisera may therefore increase the genetic barrier sufficiently to largely prevent escape of the immune system as known from other viral infections [20]. Furthermore, in the context of variants of concern that already harbour immune escape mutations, it should be kept in mind that functional monotherapy may also be present when a combination of two mAbs is used. However, this needs to be thoroughly evaluated, especially when treating severely immunocompromised patients infected with SARS-CoV-2. The U.S. Food and Drug Administration has recently withdrawn the Emergency Use Authorization for bamlanivimab in consideration of the increasing prevalence of immune escape mutants in the USA. The European Medicines Agency (EMA) issued a recommendation on treatment with bamlanivimab and etesevimab in early March 2021. The EMA concludes that this mAb combination can be used to treat confirmed COVID-19 in patients who do not require supplemental oxygen and who are at high risk for progression of COVID-19 to a severe disease course. The agency also examined the use of bamlanivimab alone, which was available as monotherapy in Germany from the end of January 2021, and concluded that it could be also considered as a treatment option despite uncertainties about the benefits of monotherapy.

Until further data will be available, our results suggest that caution is warranted in the use of monoclonal antibodies in immunocompromised patients infected with SARS-CoV-2.

### Contributors

BJ, NL, TF, AW, JT, TL were responsible for conceptualization and supervised the study. BJ, TF, VK, TL, ML, NF, DS, TB, DK, RH, NL, AW, JT contributed to investigation and data curation. BJ, NL, AW, OA, AD, JT conducted the formal analysis. BJ, TF, NL, AW, JT were responsible for methodology, data validation and visualization. BJ, NL, TF, VK, AW, JT, TL contributed to the original draft. All authors critically revised the manuscript and approved the final version of the manuscript.

### Data availability statement

Raw data were generated at University Hospital Duesseldorf. Derived or additional data supporting the findings of this study are available from the corresponding author [BJ] upon reasonable request.

### Declaration of interests

BJ received honoraria for presentations from Gilead (Remdesivir) as well as Falk, Janssen-Cilag, MSD, BMS, Abbvie, Viiv, Gilead, Boehringer, Fresenius Medical Care (outside the submitted work) and served on advisory boards for Viiv, BMS, Gilead, Theratechnologies (outside the submitted work). VK received lecture fees from Abbvie, Falk, Albiro, Gilead (outside the submitted work). TF was PI for a Gilead clinical trial (Remdesivir) and served on Gilead advisory boards. All other authors declare no competing interests regarding this work.

### Acknowledgements

The authors would like to thank the patients and their relatives, the clinical staff involved in the care of the patients, the laboratory staff involved in the virological analyses, and all others who contributed to the study.

### Supplementary materials

Supplementary material associated with this article can be found in the online version at <https://doi.org/10.1016/j.lanepe.2021.100164>.

### References

- [1] Pallotta AM, Kim C, Gordon SM, Kim A. Monoclonal antibodies for treating COVID-19. *Cleve Clin J Med* 2021.
- [2] Gottlieb RL, Nirula A, Chen P, et al. Effect of bamlanivimab as monotherapy or in combination with etesevimab on viral load in patients with mild to moderate COVID-19: a randomized clinical trial. *JAMA* 2021;325(7):632–44.
- [3] Schoofs T, Klein F, Braunschweig M, et al. HIV-1 therapy with monoclonal antibody 3BNC117 elicits host immune responses against HIV-1. *Science* 2016;352(6288):997–1001.
- [4] Kemp SA, Collier DA, Datir RP, et al. SARS-CoV-2 evolution during treatment of chronic infection. *Nature* 2021.
- [5] Collier DA, De Marco A, Ferreira I, et al. SARS-CoV-2 B.1.1.7 sensitivity to mRNA vaccine-elicited, convalescent and monoclonal antibodies. *medRxiv* 2021.
- [6] Kossyvakis A, Mentis AA, Tryfinopoulou K, et al. Antiviral susceptibility profile of influenza A viruses; keep an eye on immunocompromised patients under prolonged treatment. *Eur J Clin Microbiol Infect Dis* 2017;36(2):361–71.
- [7] Choi B, Choudhary MC, Regan J, et al. Persistence and Evolution of SARS-CoV-2 in an Immunocompromised Host. *N Engl J Med* 2020;383(23):2291–3.
- [8] Corman VM, Landt O, Kaiser M, et al. Detection of 2019 novel coronavirus (2019-nCoV) by real-time RT-PCR. *Euro Surveill* 2020;25(3).
- [9] Lübke N, Senff T, Scherger S, et al. Extraction-free SARS-CoV-2 detection by rapid RT-qPCR universal for all primary respiratory materials. *J Clin Virol* 2020;130:104579.
- [10] Walker A, Houwaart T, Wienemann T, et al. Genetic structure of SARS-CoV-2 reflects clonal superspreading and multiple independent introduction events, North-Rhine Westphalia, Germany, February and March 2020. *Euro Surveill* 2020;25(22).
- [11] Quick J. ARTIC amplicon sequencing protocol for MinION for nCoV-2019. 2020.
- [12] Quick J, Grubaugh ND, Pullan ST, et al. Multiplex PCR method for MinION and Illumina sequencing of Zika and other virus genomes directly from clinical samples. *Nat Protoc* 2017;12(6):1261–76.
- [13] Walker A, Houwaart T, Finzer P, et al. Characterization of SARS-CoV-2 genetic structure and infection clusters in a large German city based on integrated genomic surveillance, outbreak analysis, and contact tracing. 2021.
- [14] Loman NJ, Quick J, Simpson JT. A complete bacterial genome assembled de novo using only nanopore sequencing data. *Nat Methods* 2015;12(8):733–5.
- [15] Robinson JT, Thorvaldsdottir H, Winckler W, et al. Integrative genomics viewer. *Nat Biotechnol* 2011;29(1):24–6.
- [16] Starr TN, Greaney AJ, Dingens AS, Bloom JD. Complete map of SARS-CoV-2 RBD mutations that escape the monoclonal antibody LY-CoV555 and its cocktail with LY-CoV016. *bioRxiv* 2021.
- [17] Wibmer CK, Ayres F, Hermanus T, et al. SARS-CoV-2 501Y.V2 escapes neutralization by South African COVID-19 donor plasma. *Nat Med* 2021.
- [18] Widera M., Wilhelm A., Hoehl S., et al. Bamlanivimab does not neutralize two SARS-CoV-2 variants carrying E484K in vitro. 2021.
- [19] Cherian S, Potdar V, Jadhav S, et al. Convergent evolution of SARS-CoV-2 spike mutations, L452R, E484Q and P681R, in the second wave of COVID-19 in Maharashtra, India. *bioRxiv* 2021.04.22.440932.
- [20] Margolis DM, Koup RA, Ferrari G. HIV antibodies for treatment of HIV infection. *Immunol Rev* 2017;275(1):313–23.