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# Survey of Opioid Risk Tool Among Cancer Patients Receiving Opioid Analgesics

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# ABSTRACT

**Background:** The risk of opioid-related aberrant behavior (OAB) in Korean cancer patients has not been previously evaluated. The purpose of this study is to investigate the Opioid Risk Tool (ORT) in Korean cancer patients receiving opioid treatment.

Methods: Data were obtained from a multicenter, cross-sectional, nationwide observational study regarding breakthrough cancer pain. The study was conducted in 33 South Korean institutions from March 2016 to December 2017. Patients were eligible if they had cancer-related pain within the past 7 days, which was treated with strong opioids in the previous 7 days. Results: We analyzed ORT results of 946 patients. Only one patient in each sex (0.2%) was classified as high risk for OAB. Moderate risk was observed in 18 males (3.3%) and in three females (0.7%). Scores above 0 were primarily derived from positive responses for personal or familial history of alcohol abuse (in men), or depression (in women). In patients with an ORT score of 1 or higher (n = 132, 14%), the score primarily represented positive responses for personal history of depression (in females), personal or family history of alcohol abuse (in males), or 16-45 years age range. These patients had more severe worst and average pain intensity (proportion of numeric rating scale  $\geq$  4: 20.5% vs. 11.4%, P < 0.001) and used rescue analgesics more frequently than patients with ORT scores of 0. The proportion of moderateor high-risk patients according to ORT was lower in patients receiving low doses of longacting opioids than in those receiving high doses (2.0% vs. 6.6%, P = 0.031). Moderate or high risk was more frequent when ORT was completed in an isolated room than in an open, busy place (2.7% vs. 0.6%, *P* = 0.089).

**Conclusions:** The score of ORT was very low in cancer patients receiving strong opioids for analgesia. Higher pain intensity may associate with positive response to one or more ORT item.

**Keywords:** Opioid Risk Tool; Opioid-Related Disorders; Narcotic-Related Disorders; Cancer Pain

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#### Disclosure

The authors have no potential conflicts of interest to disclose.

#### **Author Contributions**

Conceptualization: Koh SJ, Lee KH, Kang JH. Data curation: Oh SY, Park K. Data analysis: Oh SY, Park K, Koh SJ. Funding acquisition: Lee KH. Writing - original draft: Oh SY. Writing review & editing: Oh SY, Park K, Koh SJ, Kang JH, Chang MH, Lee KH.

## **INTRODUCTION**

Opioid analgesics are the most important drugs for controlling cancer pain. Nevertheless, their potential for dependency, misuse, and addiction has long been a major concern. Recently, the prescription of opioids has soared worldwide. Because of cultural conventions, opioid usage has been traditionally low in East Asia, but it has increased rapidly in recent years.<sup>1,2</sup> In a large-scale cohort study, the proportion of opioid users in South Korea increased six to nine times from 2002 to 2015.<sup>3</sup> Moreover, opioid-related chemical coping was 21% among South Korean patients receiving long-term opioid therapy for chronic non-cancer pain in a cross-sectional study.<sup>4</sup> Thus, concerns about opioid-related aberrant behavior (OAB) are now greater than ever.

The rate of opioid misuse is approximately 21% to 29% among patients with chronic pain, according to a systematic review of studies conducted in North America and Europe.<sup>5</sup> To prescreen high-risk patients, many tools have been developed for predicting the risk of OAB.<sup>6</sup> The Opioid Risk Tool (ORT), Current Opioid Misuse Measure (COMM), and Patient Medication Questionnaire (PMQ) are common risk assessment tools.<sup>7-9</sup> Although no controlled study has directly compared the performance of these tools, ORT is the most widely used. In the original study describing the use of ORT, approximately 66% and 24% of patients with chronic pain were classified as having a moderate and high risk of aberrant opioid use, respectively. With respect to cancer patients, the risk of OAB varies considerably according to cancer type and treatment situation. Moderate to high risk of OAB predicted by ORT has been reported in approximately 15% to 43% of cancer patients.<sup>10-12</sup> In a recent study using different screening tools, 10% to 39% of cancer patients receiving supportive care were noted to be at risk of OAB.<sup>13,14</sup>

In this paper, we describe the ORT results of a large multicenter, nationwide survey regarding breakthrough cancer pain in South Korean patients. The results of the entire study population will be published elsewhere.<sup>15</sup> In this study, we used ORT to evaluate the risk of OAB in patients receiving opioids to control cancer pain.

# **METHODS**

#### **Study design**

This study is a subgroup analysis of a multicenter, cross-sectional, nationwide observational study about breakthrough cancer pain. The study was conducted in 33 South Korean institutions from March 2016 to December 2017. The full analysis set of the original study includes 956 subjects which will be published elsewhere. This paper reports the results of subjects who completed the ORT from the original study.

#### **Patient eligibility**

Patients were eligible if they met the following criteria: 1) aged 19 years or older, 2) histologically-diagnosed cancer, 3) current or previous anti-cancer treatment (surgery, radiation, or systemic therapy) or palliative care, 4) cancer-related pain within 7 days before the date of written informed consent, 5) use of strong opioids within 7 days before the date of written informed consent, and 6) cognitive function sufficient to read and understand the informed consent form and study questionnaires.

#### **Data acquisition**

We first collected details about cancer status and treatment history from the medical records of patients who provided written informed consent. Patients were requested to complete a questionnaire of ORT which was written in Korean (**Supplementary Data 1**). If patients were unable to complete the questionnaires on their own, their caregiver was permitted to record the responses. In the absence of a caregiver, the clinical research coordinator recorded the responses. The identity of the person providing assistance was recorded, as was the physical location where the questionnaires were completed. The ORT scores of 0–3 (low risk), 4-7 (moderate risk), or  $\geq 8$  (high risk), indicated the probability of OABs according to the original study.<sup>7</sup>

#### **Statistical analyses**

Continuous variables are summarized as mean ± standard deviation (SD) or median (minimum, maximum). Frequency, percentage, and cumulative percentage are presented for categorical variables. To compare two continuous variables, the two-sample t-test was used for normally distributed data, and the Mann-Whitney U test was used for non-normally distributed variables. Chi-square test or Fisher's exact test was used to compare categorical variables between groups. All statistical analyses were performed using IBM SPSS Statistics version 26 and Microsoft Excel 2019.

#### **Ethical statement**

The authors are accountable for all aspects of this work. All authors are ensuring that questions related to the accuracy or integrity of the work are appropriately investigated and resolved. The protocol was performed in accordance with the principles of the Declaration of Helsinki (as revised in 2013) and the Good Clinical Practice Guidelines defined by the International Conference on Harmonization. After receiving approval from the KCSG Protocol Review Committee, this study was also approved by the Institutional Review Boards (IRBs) of each participating center (Pusan National University Yangsan Hospital IRB No. 04-2016-002). All patients provided written informed consent before enrollment.

# RESULTS

#### **Patient characteristics**

Total 946 patients completed the ORT. The characteristics of patients are summarized in **Table 1**. The majority of patients had stage IV cancer and a fair performance status, and most had received anti-cancer treatment within the previous 4 weeks.

#### **ORT results**

The ORT results are presented in **Table 2**. In men, scores above 0 were primarily derived from positive responses for depression, personal or familial history of alcohol abuse, and age within the 16 to 45 years range. In women, the majority of scores above 0 were derived from positive responses for depression and the 16 to 45 years age range. Drug abuse and a history of preadolescent sexual abuse or psychological disease other than depression were extremely rare in both sexes. **Fig. 1** is a color-coded depiction of the number of positive responses for each ORT item as an easy-to-read presentation of the results. The mean total ORT score was very low in both men and women, with a median value of 0 in both sexes. The distribution of total scores for each sex is presented in **Table 3**. Most males were classified as low risk, and 18 (3.3%) were considered moderate risk. Likewise, most females were classified as low



Characteristics	Values (N = 946)
Age, yr	63 (27, 91)
Sex	()
Male	537 (56.8)
Female	409 (43.2)
Time from initial diagnosis, mon	15 (0, 384)
Relapse	
No	625 (66.1)
Yes, relapsed	312 (33.0)
Unknown	9 (1.0)
Time to relapse, mon (n = 312)	12 (0, 164)
Primary cancer diagnosis	
Colorectal	125 (13.2)
Lung	110 (11.6)
Breast	107 (11.3)
Stomach	100 (10.6)
Pancreas	91 (9.6)
Other	413 (43.6)
Stage	
1	10(1.1)
2	31 (3.3)
3	74 (7.8)
4	798 (84.4)
Unknown	33 (3.5)
ECOG PS	
0	60 (6.3)
1	598 (63.2)
2	188 (19.9)
3	58 (6.1)
4	18 (1.9)
Unknown	24 (2.5)
Recent anti-cancer treatment (past 4 wk)	
Yes	658 (69.6)
No	288 (30.4)
Type of recent anti-cancer treatment (n = 658)	
Chemotherapy	632 (88.4) <sup>a</sup>
Adjuvant	33 (5.2)
Neoadiuvant	9(1.4)
Palliative	584 (92.4)
Unknown	6 (0.9)
Radiotherapy	77 (10.8)
Surgery	6 (0.8)

Table 1. Patient characteristics

Values are number (percentage) or median (minimum, maximum).

ECOG = Eastern Cooperative Oncology Group, PS = performance status.

<sup>a</sup>Overlap was permitted.

risk, and only three (0.7%) were classified as moderate risk. Only one subject (0.2%) in each sex was considered high risk according to ORT. The proportion of moderate- or high-risk patients was higher in men than in women (3.5% vs. 1.0%, P = 0.011 by Fisher's exact test).

#### **ORT scores and pain intensity**

Because the vast majority of subjects had a 0 ORT score, we further analyzed the patients who answered 'YES' on any item of the ORT (n = 132, 14%). These patients had a higher average pain intensity score during the past week than those with a 0 ORT score (mean  $\pm$  SD: 4.04  $\pm$  2.10 vs. 3.22  $\pm$  1.94, *P* < 0.001 by *t*-test). Furthermore, patients with moderate or severe pain according to the average 1-week pain intensity score were more likely to answer 'YES' to at least one ORT item than those with weak pain intensity (20.5% vs. 11.4%, *P* < 0.001 by

Table 2. Opioid Risk Tool results according to sex

ORT items and total scores	Male (n = 537)	Female (n = 409)
Family history of substance abuse		
Alcohol		
No	521 (97)	401 (98)
Yes	16(3)	8 (2)
Illegal drugs		
No	537 (100)	409 (100)
Yes	0 (0)	0 (0)
Prescription drugs		
No	531 (98.9)	408 (99.8)
Yes	6 (1.1)	1 (0.2)
Personal history of substance abuse		
Alcohol		
No	518 (96.5)	406 (99.3)
Yes	19 (3.5)	3 (0.7)
Illegal drugs		
No	535 (99.8)	409 (100)
Yes	1 (0.2)	0 (0)
Prescription drugs		
No	535 (99.6)	408 (99.8)
Yes	2 (0.4)	1 (0.2)
Age (16–45 yr)		
Not 16-45	522 (97.2)	385 (94.1)
16-45	15 (2.8)	24 (5.9)
History of preadolescent sexual abuse		
No	537 (100)	408 (99.8)
Yes	0 (0)	1 (0.2)
Psychological disease		
Attention deficit, obsessive-compulsive disorder, bipolar, schizophrenia		
No	533 (99.3)	408 (99.8)
Yes	4 (0.7)	1 (0.2)
Depression		
No	519 (96.6)	371 (90.7)
Yes	18 (3.4)	38 (9.3)
Total score		
Mean ± SD	$0.3 \pm 1.2$	$0.2 \pm 0.7$
Median (minimum, maximum)	0 (0, 12)	0 (0, 8)

Data for ORT items are numbers (percentage). NA = not available, ORT = Opioid Risk Tool, SD = standard deviation.

No. of 'YES'	Male	Female
Age (16-45 yr)	15	24
F.Hx: alcohol	16	8
F.Hx: illegal drugs	0	0
F.Hx: prescription drugs	6	1
Hx: alcohol	19	3
Hx: illegal drugs	1	0
Hx: prescription drugs	2	1
Hx: sexual abuse	0	1
Psychological disorders	4	1
Depression	18	38

Fig. 1. The number of patients who answered 'YES' to each Opioid Risk Tool item are displayed in a different color according to the size of number. The higher the number, the darker the color. F.Hx = family history, Hx = personal history.

Table 3. Distribution of ORT total scores according to sex

Total ORT score	Male	Female
0	475 (88.5)	339 (82.9)
1	22 (4.1)	61 (14.9)
2	1 (0.2)	3 (0.7)
3	20 (3.7)	2 (0.5)
4	9 (1.7)	3 (0.7)
5	3 (0.6)	0
6	5 (0.9)	0
7	1 (0.2)	0
8	0	1 (0.2)
12	1 (0.2)	0
Sum	537 (100)	409 (100)

Values are presented as number (%).

NA = not available, ORT = Opioid Risk Tool.

chi-squared test) (Table 4). Likewise, patients with an ORT score of 1 or more had a higher maximal pain intensity during the previous 1 week than those with a 0 ORT score (mean  $\pm$  SD: 6.66  $\pm$  2.53 vs. 6.00  $\pm$  2.47, P = 0.013 by t-test). Patients with an ORT score of at least 1 also used short-acting opioids more frequently to control breakthrough pain, compared to patients with an ORT score of 0 ( $2.5 \pm 1.6$  times/day vs.  $2.0 \pm 1.6$  times/day, P = 0.013 by t-test). Additionally, pain interfered with the enjoyment of life in the past 24 hours more in patients with an ORT of least 1 than in those with an ORT score of 0 (Brief Pain Inventory-Short Form, Korean version, scores:  $6.7 \pm 2.9$  vs.  $6.1 \pm 2.9$ , P = 0.037 by t-test).

#### **Opioid utilization and ORT score**

The daily dose of total long-acting opioids was converted to oral morphine equivalents (OME) for each patient. The mean and median OMEs were  $93.1 \pm 246.8$  mg and 60 mg (0, 6,300), respectively. The mean daily OME of patients classified as moderate or high risk according to ORT was not statistically significantly higher than that of the low-risk patients (mean  $\pm$  SD: 143.1  $\pm$  194.6 mg vs. 91.9  $\pm$  247.9 mg, P = 0.228 by t-test). However, the proportion of patients who were classified as moderate or high risk was lower in the low-dose group than in the high-dose group (2.1% vs. 6.6%, P = 0.031 by Fisher's exact test) (Table 5).

Table 4. Association between average pain intensity in the previous week and ORT scores

ORT score <sup>a</sup>	Average pain intensity		Sum	P value <sup>b</sup>
	Weak	Moderate or severe		
0	601 (64)	213 (23)	814 (86)	( 0.001
≥ 1	77 (8)	55 (6)	132 (14)	< 0.001
Sum	678 (72)	268 (28)	946 (100)	

Values are presented as number (%).

ORT = Opioid Risk Tool.

<sup>a</sup>Based on the number of ORT items with a 'YES' answer, excluding the age range item;  ${}^{b}\chi^{2}$  test.

Table 5. Association between ORT risk classification and dose of long-acting opioids for background pain				
Dose of long-acting opioids <sup>a</sup>	ORT risk		Sum	P value <sup>b</sup>
	Low	Moderate or high		
Low	852	18	870	0.031
High	71	5	76	
Sum	923	23	946	

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ORT = Opioid Risk Tool.

<sup>a</sup>Total daily dose of long-acting opioids (i.e., extended-release, controlled-release, and slow-release forms) for background pain converted to oral morphine equivalents. High dose was defined as daily OME of 200 mg or higher; <sup>b</sup>Fisher's exact test.

#### **Circumstances during ORT completion**

We further investigated whether the circumstances when completing ORT affected the ORT results. First, we compared the scores of self-completed ORT (n = 420) with the scores of ORTs completed with the assistance of a caregiver (n = 56) or study staff (n = 471). Although all high-risk patients were in the self-completed group, there was no statistically significant difference in ORT scores according to whether the ORT was completed alone or with assistance (P = 0.111, Likelihood ratio Chi-Square). Second, we tested whether the environment where patients completed the ORT correlated with ORT scores. ORT scores tended to be lower when ORT was completed in an open, busy space (n = 154) than when it was completed in a quiet, isolated room (n = 792) (moderate or high risk in open, busy location vs. quiet, isolated location: 0.6% vs. 2.7%, P = 0.089 by Fisher's exact test, **Supplementary Table 1**).

### DISCUSSION

In this study, we investigated the risk of OAB by using ORT to survey cancer patients who were already receiving strong opioids for pain control. To our knowledge, this is the first study to investigate ORT results in Korean patients with cancer. In this study, the score of ORT was very low in cancer patients receiving strong opioids for cancer-related pain. Moderate risk was observed rare; in 18 males (3.3%) and in three females (0.7%). Among almost 1,000 patients, only one man and one woman were classified as high risk. This proportion (0.2%) was lower than the percentages previously reported in the literature. Nearly 89% of males and 83% of females had ORT scores of 0. Of those patients with an ORT score of 1 or higher, the score primarily reflected positive responses for a history of depression, alcohol abuse, and age with the 16 to 45 years range.

In this study, we demonstrated several new findings. Patients with an ORT score of 1 or more had higher average and worst pain intensities, reported more interference with their enjoyment of life because of pain, and used more short-acting opioids for breakthrough pain, when compared to patients with an ORT score of 0. Additionally, high-dose opioid users tended to be classified as moderate or high risk according to ORT more frequently than low-dose opioid users. We also noted that the place where ORT is completed may influence the results. Patients were more frequently classified as moderate or high risk when ORT was completed in a quiet, independent environment than when it was compared in a busy, open space. This result suggests that if researchers use ORT in a future study, it should be completed in a quiet, independent location.

Although ORT scores seemed be associated with pain intensity, it is not clear that patients with more severe pain have a higher risk of OAB. However, the likelihood that patients with a higher risk for OAB may demand more opioids cannot be ruled out. Conversely, consideration should be given to the possibility that positive response on some of the ORT items may reflect a lower pain threshold. Many studies have reported substantial genetic influences in drug addiction, reflecting the hereditability of addiction.<sup>16-18</sup> This genetic trait may influence both ORT scores and opioid demands. We can assume that genetic predisposition of drug addiction may have association with lowered pain threshold. Some studies already suggested that pain threshold may vary among individuals by genetic predisposition.<sup>19</sup> Few candidate genes (such as COMT, OPRM1, GCH1, TRPV1, or OPRD1), haplotypes or single nucleotide polymorphisms are investigated as contributing traits.<sup>20-24</sup>

Because this study was cross-sectional, we cannot check causality and should interpret this finding with caution. However, we believe that these findings could provide hints for future studies.

It is worth considering why our population had an overall low score of ORT. One possibility is that the actual risk is low in patients with cancer pain. In the past, it was generally accepted that cancer patients had an extremely low likelihood of opioid addiction or OAB.25-27 However, more recent studies have reported that the rate of opioid addiction or aberrant behavior is increasing in this patient population. The prevalence of OAB was reported as low as 7.7% and as high as 43% in these more recent studies.<sup>10-14,28</sup> Therefore, it is necessary to consider the possibility that the risk of OAB in cancer patients is higher than previously known. Another possible explanation for the overall low risk observed in the current study was that patients may have wanted to be perceived as a 'good patient' by their doctors.<sup>29,30</sup> Consequently, they may have concealed elements of their past history that they thought would have little effect, or even a negative impact, on their treatment. Although statistical significance was not achieved, no one was classified as high risk when ORT was completed with the assistance of medical staff. All high-risk patients were in the group of patients who completed ORT by themselves. A third, and most important, possibility is that ORT is not a suitable risk predicting tool in cancer patients who are already receiving opioid analgesics. ORT was originally developed to predict the probability of aberrant behaviors indicative of abuse in patients with chronic pain.<sup>7</sup> The original study presented no information about the primary diagnosis of the subjects. In cancer patients, the risk of OAB has been reported to vary considerably, from 10% to 40%.<sup>10-14</sup> In a study comparing ORT of cancer patients and heart failure patients, the proportion of moderate- to high-risk patients was higher in cancer patients (39% versus 23%), although the difference was not statistically significant.<sup>31</sup> Some studies have reported that the predictive performance of ORT is relatively poor in various populations of patients with chronic non-cancer pain. The ORT scores of chronic non-cancer pain patients using a physician-administered ORT in a tertiary care pain clinic were quite different from those reported in the original ORT study.<sup>32</sup> In another study of patients with chronic pain, neither patient-generated nor physician-generated ORT was predictive of moderate-to-severe aberrant drug-related behavior.<sup>33</sup> Thus, ORT may not be an appropriate predictor for OAB, and some investigators have tried to simplify and improve the performance of this risk assessment tool.<sup>34</sup> A final consideration is whether selection bias occurred in this study. This study enrolled subjects of various carcinomas with very simple inclusion/exclusion criteria. In fact, most cancer patients who were prescribed opioids within one week for cancer pain were able to participate. In a nationwide study of 2003 Korean cancer patients, opioids were administered in 65% of patients with cancer pain.<sup>35</sup> Whereas, only patients who were prescribed opioids were enrolled in this study, so it is possible that subjects with relatively more severe pain were included in this study. However, in terms of the prescribed opioids dose, the OME dose was 93 mg (mean, median is 60 mg) per day, which is not significantly different from the daily doses of an average of between 100 mg and 250 mg in a systematic review.<sup>36</sup> In a Korean study, though it was hydromorphone, the mean daily OME dose was 53mg among a similarly advanced cancer patient population.<sup>37</sup> Therefore, the probability of selection bias seems to be low.

This study has several limitations, First, this study was not specifically designed to evaluate OAB; instead, ORT was completed as part of a study regarding cancer pain. Thus, because the study's endpoint was not targeted for ORT, one must be careful about drawing conclusions regarding OAB in cancer patients. As discussed above, another screening tool for OAB may have performed better in this patient population, which could be explored in future studies.

Second, the cancer stage was heterogenous in our study population. At least 115 patients (12%) were at stage I to III, indicating that they may have had no residual tumor. Opioid prescribing may differ substantially between patients with and without viable tumor tissue. Third, ORT was completed under varying circumstances, according to the study timeline and study sites, and environment and location may affect ORT results. For example, ORT contains personal information, which may be embarrassing to patients. Therefore, it is probably more appropriate to complete this survey in an isolated space.

In summary, the score of ORT was very low in Korean cancer patients receiving strong opioids for pain control. Patients with at least one ORT risk item (ORT score of 1 or higher) had higher average and worse pain intensities, reported more interference with enjoyment of life because of pain, and used short-acting opioids more frequently. The circumstances under which ORT was completed may have influenced the results. In future studies evaluating the risk of OAB, it is recommended that risk predicting tools be selected according to the subjects' characteristics.

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# SUPPLEMENTARY MATERIALS

#### **Supplementary Data 1**

The Korean form of Opioid Risk Tool.

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#### Supplementary Data 2

A complete list of participating sites and staffs.

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#### **Supplementary Table 1**

The relationship of place where ORT was surveyed and the score of ORT

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