

#### ORIGINAL RESEARCH

# Impact of Preoperative Sleep Disturbances on Postoperative Delirium in Patients with Intracranial Tumors: A Prospective, Observational, Cohort Study

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**Background:** Postoperative delirium (POD) is prevalent in craniotomy patients and is associated with high mortality. Sleep disturbances are receiving increasing attention from clinicians as associated risk factors for postoperative complications. This study aimed to determine the impact of preoperative sleep disturbances on POD in craniotomy patients.

**Methods:** We recruited 130 patients undergoing elective craniotomy for intracranial tumors between May 1st and December 30th, 2022. Preoperative subjective sleep disturbances were assessed using the Pittsburgh Sleep Quality Index on the day of admission. We also measured objective perioperative sleep patterns using a dedicated sleep monitoring device 3 days before and 3 days after the surgery. POD was assessed twice daily using the Confusion Assessment Model for the Intensive Care Unit within the first week after craniotomy.

**Results:** Preoperative sleep disturbances were diagnosed in 49% of the study patients, and POD was diagnosed in 22% of all the study patients. Sleep disturbances were an independent risk factor for POD (OR: 2.709, 95% CI: 1.020–7.192, P = 0.045). Other risk factors for POD were age (OR: 3.038, 95% CI: 1.195–7.719, P = 0.020) and the duration of urinary catheterization (OR: 1.246, 95% CI: 1.025–1.513, P = 0.027). Perioperative sleep patterns (including sleep latency, deep sleep duration, frequency of awakenings, apneahypopnea index, and sleep efficiency) were significantly associated with POD.

**Conclusion:** This study demonstrated that preoperative sleep disturbances predispose patients undergoing craniotomy to POD, also inferred a correlation between perioperative sleep patterns and POD. The targeted screening and intervention specifically for sleep disturbances during the perioperative period are immensely required.

**Keywords:** postoperative delirium, preoperative sleep disturbances, craniotomy, sleep pattern, confusion assessment model for the intensive care unit, Pittsburgh Sleep Quality Index

#### Introduction

Postoperative delirium (POD) is a self-limiting condition that occurs within hours to days after surgery. <sup>1,2</sup> It is marked by manifestations of brain dysfunction including acute disturbances in attention, cognitive function, and thought process. <sup>3</sup> POD occurs in 10–50% of patients after general surgery and is associated with a prolonged hospital stay, long-term cognitive impairment, poor quality of life, and increased mortality. <sup>4–7</sup> In patients undergoing craniotomy, studies have shown that 14.8% to 33.8% of these patients develop POD. <sup>2,8,9</sup> POD can be precipitated by many risk factors including pre-existing cognitive impairment, illnesses, and sleep disturbances. <sup>10</sup>

Sleep is an essential physiological process of the human body, it is required especially according to the circadian rhythms for optimal functions of the biological processes in the body and to avoid disease onset.<sup>11,12</sup> The disruption in

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the circadian rhythms like a reversed sleeping schedule that leads to a lack of sleep during the night, or sleeping with the lights on can impair the quality of sleep. 13,14 Sleep is incredibly vital for the optimal function of the brain, studies show that the lack of sleep causes a systemic low-grade inflammation without the occurrence of infection the brain. Therefore, sustained loss of sleep can cause an increased release of inflammatory cytokines such as TNF-α and IL6. The release of these cytokines activates the microglia which release more inflammatory factors and cytotoxic cytokines in the brain which cause damage to the neurons, 15,16 and neural inflammation has been considered a pathophysiological mechanism for POD onset.<sup>17</sup> Studies have shown that sleep disturbances are prevalent in patients with intracranial tumors, with the reported incidence ranging from 53% to 61.5%. <sup>18,19</sup> However, very little research has been done and only recently has there been an interest in the correlation between POD and sleep disturbances.<sup>20</sup>

Sleep disturbances are very common during the preoperative period and have been described as vital risk factors for POD.<sup>3</sup> Studies have revealed that preoperative sleep disturbances are correlated with a higher likelihood of experiencing POD and consequently lead to delayed recovery after surgery and increased mortality. 7,10,21,22 They are a combination of objective evidence and subjective sleep complaints.<sup>23</sup> These disturbances are composed of a plethora of sleep facets including sleep duration, sleep latency, and sleep efficiency which can be measured using subjective sleep quality complaints and objective evidence.<sup>24</sup> In a study by Ulsa et al, they found that the higher the severity of poor sleep in patients, the higher the likelihood of experiencing hospital-diagnosed delirium. These results did not change when only factoring in postoperative delirium.<sup>25</sup> Although numerous other factors can cause delirium, it is important to specifically report on the effect of preoperative sleep disturbance on POD as it is a common occurrence that can affect the prognosis of postoperative patients.

In this present study, we will explore the impact of preoperative sleep disturbances on POD in patients undergoing craniotomy. In addition, we will also investigate the time-dependent characteristics of perioperative sleep patterns in patients with and without POD.

## **Materials and Methods**

## Study Design and Participants

This prospective, observational, cohort study was conducted at Sanbo Brain Hospital, Capital Medical University. Ethical approval was obtained from the institutional ethics committee (SBNK-YJ-2022-011-01), and the trial was registered on the Chinese Clinical Trial Registry (ChiCTR2200059425). Patients (≥45 years) undergoing elective craniotomy for intracranial tumors from May 2022 to September 2022 were screened for eligibility. The patient who met one of the following criteria was excluded: (1) history of delirium, cognitive dysfunction, or psychosis; (2) history of stroke; (3) American Society of Anesthesiologists physical status classification > II; (4) having dissemination and distant metastasis; (5) unable to complete assessments due to various reasons. Written informed consent was obtained from all recruited patients or their legal representatives of the study.

# Sleep Disturbances Assessment

Sleep quality has previously been reported in POD patients according to studies that used the Pittsburgh Sleep Quality Index. 26 Patients were asked to complete the Pittsburgh Sleep Quality Index (PSQI) questionnaires unassisted on the day of admission. The PSQI comprises 7 parts and 18 items in total, which assesses the sleep quality of the subject experienced over the preceding month. A score of 5 was set as an empirically determined cut-off to differentiate individuals with good or poor sleep quality.<sup>27</sup> Patients were divided into two groups based on the score of PSQI. The sleep disturbances group was defined as a score of >5, and the non-sleep disturbances group was defined as a score of  $\le 5$ .

# Objective Perioperative Sleep Patterns Assessment

A dedicated sleep device (SC-500<sup>TM</sup>; Boshi Linkage Technology, Beijing, China) with a built-in electret condenser microphone (EM246ASS<sup>TM</sup>; Hakujitsu Technology Co., Tokyo, Japan) was used to monitor the objective perioperative sleep pattern 3 days before and 3 days after craniotomy (Figure 1). The sleep device detected signals in the frequency domain of 0.01 Hz to 10kHz, which could accurately identify signals such as human heart rate, respiration, and body

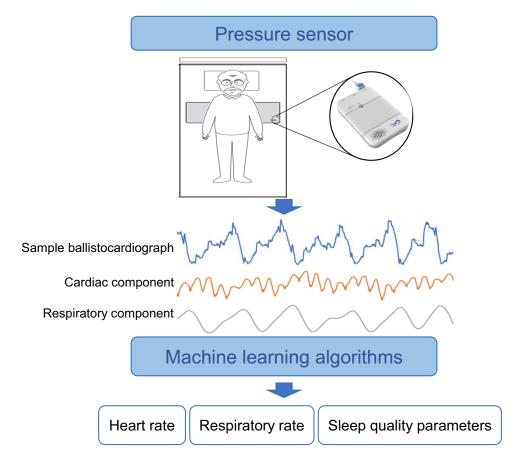


Figure I Working principle of sleep device.

movement (Figure 1). The signals measured by this device were collected and analyzed based on the algorithm of ballistocardiogram, we measured, total sleep duration, deep and light sleep duration, rapid eye movement phase duration, sleep latency, frequency of awakenings, apnea-hypopnea index, and sleep efficiency.<sup>28</sup> Studies have demonstrated the reliability of this sleep device by using polysomnography as the golden standard.<sup>29,30</sup>

# Postoperative Delirium Assessment

POD was assessed using the Confusion Assessment Model for the Intensive Care Unit (CAM-ICU). The assessment was conducted twice daily (8:00 am−10:00 am and 6:00 pm−8:00 pm, respectively) within the first week after surgery, following the Richmond Agitation Sedation Scale (RASS) evaluation. The assessment was performed only in patients with RASS higher or equal to −3, and RASS scores of −3 and higher indicate patients who are aroused and can answer questions, and can therefore be assessed by the CAM-ICU. The diagnosis of delirium is based on the presence of the first two criteria in the CAM-ICU flow sheet (ie, acute or fluctuating mental status and inattention) plus at least one of the following criteria (altered level of consciousness and or disorganized thinking). The delirium assessment investigators were unaware of the PSQI score results.

#### Clinical Data Collection

The patient demographic characteristics (including gender, age, body mass index, years of education, alcohol consumption, and smoking), medical history, cognitive function (Mini-Mental State Examination), and symptoms of depression and anxiety (Hospital Anxiety and Depression Scale) were collected at admission. The laboratory test was collected within 24 hours before surgery.

Anesthesia was performed according to our department routine (Table S1), and data related to surgery and anesthesia were also collected. Pain scores (Numerical Rating Scale) were assessed on the first postoperative day. Postoperative complications and the Barthel index assessed by the Activity of Daily Living scale were collected at hospital discharge (Supplementary File 1). The quality of life was investigated by telephonic interview 3 months after surgery according to the European Organization for Research and Treatment of Cancer, Quality of Life Questionnaire-Core 30 (EORTC QLQ-C30) (Supplementary File 2).

## Sample Size Calculation

We calculated the required sample size for the study, assuming the incidence of POD in patients with sleep disturbances is 50% with OR equal to 5.24, 31,32 and the incidence of POD in patients without sleep disturbances is 10%. 33 Under these assumptions, 102 patients were required when choosing a power of 90% and a two-sided a of 0.05. Considering the dropout rate of 10%, we planned to recruit 114 patients, with 57 patients in each group, PASS 15.0 (NCSS, LLC. Kaysville, Utah, USA) was used for sample size calculation.

## Statistical Analysis

The normality of the variable's distribution was analyzed using the Kolmogorov-Smirnov test. The data were presented as mean ± standard deviation (SD), median (interquartile range, IQR), frequency (percentage), and estimated mean (EM) [95% CI], as appropriate. The differences in categorical variables were assessed using the chi-square and Fisher's exact tests. The differences in continuous variables were analyzed using the independent sample t-test or Mann–Whitney U-test based on the data distribution. The association between POD and sleep disturbances was analyzed using the multivariate logistic regression analysis with a backward stepwise method. The adjustment was performed based on the risk factors selected by the univariate analysis, including age, neutrophil-to-lymphocyte ratio, postoperative intubation time, length of stay in the intensive care unit (ICU), and duration of urinary catheterization. The time-dependent characteristics of perioperative sleep patterns in patients with and without POD were analyzed using generalized estimating equations with a main effect of time, group, and group-by-time interaction. The missing data on sleep patterns during the study period were considered missing at random and were not imputed. A P-value <0.05 was considered statistically significant in all analyses. All statistical analyses were performed using SPSS Statistics 25.0 (IBM Corp, Armonk, NY, USA).

### Results

# Characteristics of Study Participants

Of the 213 patients screened in this study, 130 patients (age  $57 \pm 8$  years) were recruited, and 48% (62/130) of the patients were male (Figure 2). Among all the patients studied, 22% of them were diagnosed with POD. About 63 (49%) of the patients recruited were diagnosed with preoperative sleep disturbances according to the PSQI, of which 32% (20/ 63) of them were diagnosed with POD, this number was significantly higher than that of patients without preoperative sleep disturbances (12%, P=0.006) (Table 1). There was no difference in the time of onset and duration of POD between patients with sleep disturbances and without sleep disturbances (Table 1).

# Preoperative Sleep Disturbances and Postoperative Delirium

The patient characteristics regarding POD status are presented in Table 2 and Table 3. The risk factors analyzed by univariate analysis associated with POD were: age (P = 0.001), sleep disturbances (P = 0.006), PSQI score (P = 0.008), neutrophil-to-lymphocyte ratio (P = 0.025), postoperative intubation time (P = 0.047), length of stay in the ICU (P = 0.047), length of stay in the ICU (P = 0.047). 0.047), and duration of urinary catheterization (P = 0.001). After adjusting for potential confounding effects (P < 0.05) by multivariate logistic regression analysis, the sleep disturbances still had a significant correlation with POD (OR: 2.709, 95% CI: 1.020–7.192, P = 0.045). Other risk factors for POD were age (OR: 3.038, 95% CI: 1.195–7.719, P = 0.020) and the duration of urinary catheterization (OR: 1.246, 95% CI: 1.025–1.513, P = 0.027) (Table 4).

https://doi.org/10.2147/NSS.S432829

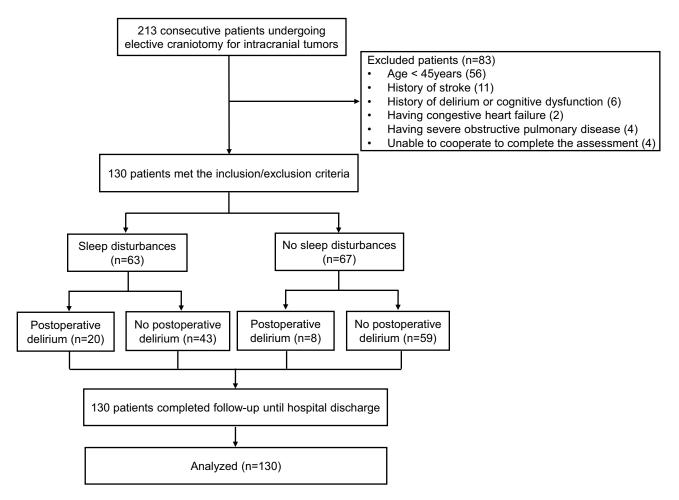


Figure 2 Flow chart of included population.

## Perioperative Sleep Patterns and Postoperative Delirium

We analyzed data on sleep patterns using a dedicated sleep monitoring device before diagnosing POD, excluding data collected after the onset of POD. A total of 480 days of data on sleep patterns were analyzed, 122 days in 22 patients with POD and 358 days in 65 patients without POD (<u>Tables S2</u> and <u>S3</u>).

# Sleep Stages

The sleep latency decreased immediately after the surgery (please indicate the statistic and p-value for this result), but no change was noted in either group before surgery. Compared with patients without POD, the sleep latency was longer on the first (EM: 36.1, [95% CI: 27.5–44.6] min vs EM: 23.7, [95% CI: 20.5–27.0] min, P = 0.008) and second (EM: 30.7, [95% CI: 23.4–37.9] min vs EM: 22.3, [95% CI: 19.2–25.5] min, P = 0.038) postoperative days in patients with POD (Figure 3A). The overall change in deep sleep duration increased after craniotomy in both groups. There were significant differences between groups one day before surgery (EM: 54.0, [95% CI: 44.7–66.3] min vs EM: 66.6, [95% CI: 58.3–74.8] min, P = 0.047) (Figure 3B). No significant differences were found in the parameters including total sleep duration, light sleep duration, and rapid eye movement phase duration between the two groups (Figure S1).

# **Events During Sleep**

The events of awakening and apnea were also recorded and presented as the frequency of awakenings and the apneahypopnea index. The frequency of awakenings significantly increased the day before surgery and gradually decreased in the postoperative period in both groups. Patients with POD had a higher frequency of awakenings on the day before Liu et al Dovepress

Table I Univariate Analysis According to Sleep Disturbances

	All patients (n=130)	Sleep Disturbances (n=63)	No Sleep Disturbances (n=67)	Statistics	P value
Age, yr, n (%)				9.430	0.002*
45–60	89 (68.5)	35 (55.6)	54 (80.6)		
>60	41 (31.5)	28 (44.4)	13 (19.4)		
Gender, M/F	62/68	30/33	32/35	0.000	0.987*
Body mass index, kg/m <sup>2</sup>	23.9 (22.3, 26.0)	23.4 (21.2, 25.6)	24.5 (22.6, 26.3)	-1.652	0.099‡
Years of education, years	9.0 (6.0, 12.0)	9.0 (6.0, 12.0)	9.0 (6.0, 12.0)	-0.300	0.764 <sup>‡</sup>
Alcohol consumption, n (%)	19 (14.6)	12 (19.0)	7 (10.4)	1.924	0.165*
Smoking, n (%)	28 (21.5)	16 (25.4)	12 (17.9)	1.077	0.299*
Hypertension, n (%)	36 (27.7)	17 (27.0)	19 (28.4)	0.031	0.861*
Diabetes, n (%)	9 (6.9)	4 (6.3)	6 (7.5)	0.000	1.000*
PSQI score	5.0 (3.0, 9.0)	9.0 (7.0, 12.0)	3.0 (2.0, 4.0)	-9.888	0.000 <sup>‡</sup>
Tumor classification, n (%)				1.112	0.774*
Meningioma	47 (36.2)	25 (39.7)	22 (32.8)		
Glioma	47 (36.2)	20 (31.7)	27 (40.3)		
Acoustic neuroma	16 (12.3)	8 (12.7)	8 (11.9)		
Other	20 (15.4)	10 (15.9)	10 (14.9)		
Tumor location, n (%)				0.647	0.421*
Supratentorial	91 (70.0)	42 (66.7)	49 (73.1)		
Infratentorial	39 (30.0)	21 (33.3)	18 (26.9)		
Malignant, n (%)	64 (49.2)	26 (41.3)	38 (56.7)	3.100	0.078*
Tumor size, cm <sup>3</sup>	36.0 (16.9, 80.2)	36.0 (16.3, 90.0)	36.0 (17.1, 77.1)	-0.165	0.869 <sup>‡</sup>
Postoperative delirium, n (%)	28 (21.5)	20 (31.7)	8 (11.9)	7.537	0.006*
Time of onset of POD, day	3.0 (2.0, 4.0)	3.0 (2.3, 4.8)	3.0 (2.0, 3.8)	-0.686	0.493 <sup>‡</sup>
Duration of POD, days	2.0 (2.0, 3.0)	2.0 (2.0, 3.0)	2.0 (2.0, 2.8)	-0.489	0.625 <sup>‡</sup>

Notes: Data are presented as n (%), mean ± standard deviation, or median (Interquartile range). These analyses were performed using \*Chi-square test, and †Mann–Whitney *U*-test.

Abbreviation: POD, Postoperative Delirium.

surgery (EM: 6.5, [95% CI: 5.7–7.4] vs EM: 5.1, [95% CI: 4.5–5.7], P = 0.006) and on the third day after surgery (EM: 4.9, [95% CI: 4.0–5.8] vs EM: 3.2, [95% CI: 2.7–3.7], P = 0.001) (Figure 3C). The apnea-hypopnea index was significantly higher on the first postoperative day in both groups and then gradually decreased. Patients with POD had a higher apnea-hypopnea index compared to patients without POD, especially on the day before surgery (EM: 17.1, [95% CI: 14.8–19.4] vs EM: 12.8, [95% CI: 11.0–14.7], P=0.005) and three days after surgery (EM: 19.5, [95% CI: 16.5–22.4] vs EM: 14.8, [95% CI: 13.0–16.7], P = 0.010) (Figure 3D).

# Sleep Efficiency

The sleep efficiency remained stable in both groups during the perioperative period. However, the sleep efficiency in patients with POD on the second postoperative day was lower than that in patients without POD (EM: 78.1, [95% CI: 75.2–81.0] % vs EM: 81.8, [95% CI: 80.7–82.8] %, P = 0.021) (Figure 3E).

#### Clinical Outcomes

Compared with patients without POD, the cost of hospitalization was higher in patients with POD (103,261 [IQR: 97,105, 118,064] RMB vs 96,987 (89,874, 106,597) RMB, P = 0.007). The Barthel index measured at discharge was significantly lower in patients with POD (80.0 [IQR: 70.0, 90.0] vs 90.0 [IQR: 83.8, 100.0], P < 0.001). However, no difference was observed in other clinical outcomes (Table 5).

Table 2 Baseline Characteristics Associated with Postoperative Delirium

	All patients	No postoperative delirium	Postoperative delirium	Statistics	P value
	(n=130)	(n=102)	(n=28)		
Age, yr, n (%)				10.835	0.001*
45–60	89 (68.5)	77 (75.5)	12 (42.9)		
>60	41 (31.5)	25 (24.5)	16 (57.1)		
Gender, M/F	62/68	48/54	14/14	0.076	0.783*
Body mass index, kg/m <sup>2</sup>	23.9 (22.3, 26.0)	24.1 (22.5, 26.1)	23.3 (21.2, 25.3)	-1.005	0.315 <sup>‡</sup>
Years of education, years	9.0 (6.0, 12.0)	9.0 (6.8, 12.0)	8.5 (6.0, 12.0)	-1.255	0.209 <sup>‡</sup>
Alcohol consumption,	19 (14.6)	17 (16.7)	2 (7.1)	0.925	0.336*
n (%)					
Smoking, n (%)	28 (21.5)	25 (24.5)	3 (10.7)	2.474	0.116*
Hypertension, n (%)	36 (27.7)	32 (31.4)	4 (14.3)	3.203	0.073*
Diabetes, n (%)	9 (6.9)	7 (6.0)	2 (7.1)	0.000	1.000*
Sleep disturbances, n (%)	63 (48.5)	43 (42.2)	20 (71.4)	7.537	0.006*
Tumor classification, n (%)				2.521	0.509*
Meningioma	47 (36.2)	37 (36.3)	10 (35.7)		
Glioma	47 (36.2)	34 (33.3)	13 (46.4)		
Acoustic neuroma	16 (12.3)	13 (12.7)	3 (10.7)		
Other	20 (15.4)	18 (17.6)	2 (7.1)		
Tumor location, n (%)				2.506	0.113*
Supratentorial	91 (70.0)	68 (66.7)	23 (82.1)		
Infratentorial	39 (30.0)	34 (33.3)	5 (17.9)		
Malignant, n (%)	64 (49.2)	49 (48.0)	15 (53.6)	0.269	0.604*
Tumor size, cm <sup>3</sup>	36.0 (16.9, 80.2)	34.0 (14.5, 77.0)	69.0 (30.0, 119.7)	-1.883	0.060 <sup>‡</sup>
PSQI score	5.0 (3.0, 9.0)	4.0 (3.0, 8.3)	7.5 (4.3, 12.0)	-2.660	0.008 <sup>‡</sup>
MMSE score	27.0 (25.0, 30.0)	27.0 (25.8, 30.0)	27.0 (25.0, 28.8)	-1.252	0.211 <sup>‡</sup>
HADS-Anxiety score	2.0 (0, 4.0)	2.0 (0, 4.0)	3.0 (0, 8.0)	-1.695	0.090 <sup>‡</sup>
HADS-Depression score	2.0 (0, 4.0)	2.0 (0, 4.0)	2.5 (0, 7.5)	-1.329	0.184 <sup>‡</sup>
Hemoglobin, g/L	135.1±14.01	134.9±14.33	136.0±13.00	-0.383	0.703 <sup>†</sup>
Albumin, g/L	39.3 (37.8, 40.8)	39.2 (37.8, 40.5)	40.4 (37.5, 42.2)	-1.575	0.115 <sup>‡</sup>
Glucose, mmol/L	5.2 (4.8, 5.8)	5.8 (5.2, 6.7)	5.6 (5.4, 5.9)	-0.464	0.642 <sup>‡</sup>
Neutrophil-to-lymphocyte	2.2 (1.6, 3.1)	2.1 (1.6, 2.9)	3.0 (1.7, 3.6)	-2.240	0.025 <sup>‡</sup>
ratio					
Monocyte-to-lymphocyte	0.2 (0.2, 0.3)	0.2 (0.2, 0.3)	0.2 (0.2, 0.3)	-0.289	0.772 <sup>‡</sup>
ratio					

**Notes**: Data are presented as n (%), mean ± standard deviation, or median (Interquartile range). These analyses were performed using \*Chi-square test, †independent Student's t-test, and †Mann–Whitney U-test.

Abbreviations: MMSE, Mini-Mental State Examination; HADS, Hospital Anxiety and Depression Scale.

#### **Discussion**

This study showed that sleep disturbances are associated with POD in patients underwent craniotomy. We found that patients with sleep disturbances (PSQI >5) had 2.7 times the odds of developing POD and these odds were determined to be independent of other risk factors. In addition, our study demonstrated significant differences in perioperative sleep patterns between patients with and without POD.

In our study, the incidence of POD is 32% in patients with sleep disturbances. To the best of our knowledge, our study is the first to investigate the correlation between sleep disturbances and POD in patients receiving craniotomy for intracranial tumors. The rate of POD in our cohort is consistent with findings from previous studies, which range from 21.7% to 50%. However, these studies were mainly focused on non-neurosurgical patients. Neural inflammation has been demonstrated as a major mechanism for POD development and studies showed that sleep disturbances could lead to neural inflammation, characterized by increased levels of pro-inflammatory cytokines and overactivated microglia and astrocytes, as its downstream effect. The sleep disturbances may further exaggerate the surgery-induced

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Table 3 Surgical Characteristics Associated with Postoperative Delirium

	All Patients	No Postoperative	Postoperative	Statistics	P value
	(n=130)	Delirium (n=102)	Delirium (n=28)		
Intraoperative characteristics					
Midazolam administration, n (%)	113 (86.9)	88 (86.3)	25 (89.3)	0.010	0.919*
Propofol administration, n (%)	120 (92.3)	94 (92.2)	26 (92.9)	0.000	1.000*
Blood loss during surgery, mL	300.0	300.0	400.0	-1.016	0.310‡
	(200.0, 462.5)	(200.0, 400.0)	(200.0, 500.0)		
Urine volume, mL	1600.0	1600.0	2275.0	-0.318	0.750 <sup>‡</sup>
	(1100.0, 2300.0)	(1100.0, 2425.0)	(1500.0, 2550.0)		
Crystalloid solution volume, mL	2700.0	2700.0	2700.0	-0.790	0.429 <sup>‡</sup>
	(2200.0, 3425.0)	(2200.0, 3625.0)	(2075.0, 3200.0)		
Colloidal solution volume, mL	1000.0	1000.0	1000.0	-0.525	0.599 <sup>‡</sup>
	(1000.0, 1000.0)	(1000.0, 1000.0)	(1000.0, 1000.0)		
Mannitol infusion volume, mL	250.0	250.0	250.0	-0.819	0.413 <sup>‡</sup>
	(100.0, 250.0)	(100.0, 250.0)	(250.0, 250.0)		
Autologous blood volume, mL	0 (0, 125.0)	0 (0, 125.0)	0 (0, 125.0)	-0.085	0.933 <sup>‡</sup>
Duration of surgery, mins	281.5	280.0	305.0	-1.119	0.263 <sup>‡</sup>
	(225.0, 350.0)	(221.5, 347.0)	(243.5, 362.5)		
Postoperative characteristics					
Postoperative opioid analgesics, n (%)				2.903	0.408*
None	28 (21.5)	21 (20.6)	7 (25.0)		
Dezocine	61 (46.9)	51 (50.0)	10 (35.7)		
Sufentanil	11 (8.5)	7 (6.9)	4 (14.3)		
Sufentanil and Dezocine	30 (23.1)	23 (22.5)	7 (25.0)		
NRS score	0.5 (0, 4.0)	0 (0, 4.0)	2.5 (0, 4.0)	-0.510	0.610 <sup>‡</sup>
Postoperative intubation time, hours	3.0 (2.0, 4.0)	3.0 (2.0, 4.0)	4.0 (3.0, 5.0)	-1.969	0.049 <sup>‡</sup>
Length of stay in the ICU, hours	7.0 (5.5, 13.5)	6.5 (5.0, 12.5)	11.5 (6.6, 15.5)	-2.560	0.010‡
Duration of urinary catheterization, days	2.0 (2.0, 3.0)	2.0 (2.0, 3.0)	3.0 (2.0, 5.0)	-3.367	0.001‡
Duration of drainage tube retention, days	2.0 (0, 4.0)	2.0 (0, 4.0)	3.0 (0, 5.0)	-0.794	0.427 <sup>‡</sup>

**Notes**: Data are presented as n (%) or median (Interquartile range). These analyses were performed using \*Chi-square test and <sup>‡</sup>Mann–Whitney *U*-test. **Abbreviations**: NRS, Numerical Rating Scale; ICU, Intensive Care Unit.

Table 4 Variables Associated with Postoperative Delirium on Multivariable Analysis

	Regression Coefficient	S.E.	Wald	P	OR	95% CI for OR
Sleep disturbances	0.997	0.498	4.002	0.045	2.709	1.020–7.192
Age (> 60)	1.111	0.476	5.452	0.020	3.038	1.195–7.719
Duration of urinary catheterization	0.220	0.099	4.891	0.027	1.246	1.025–1.513

**Notes**: These analyses were performed using multivariate logistic regression analysis. **Abbreviations**: S.E., Standard error; OR, Odds ratio; Cl, Confidence interval.

neuroinflammation in post-craniotomy patients. Therefore, it may constitute a significant risk factor for POD. In our study, we found that the neutrophil-to-lymphocyte ratio, a marker for systemic inflammation, was significantly higher in patients with POD, indicating that inflammation plays a role in POD development. However, we did not investigate the inflammation biomarkers of the central nervous system. Therefore, its effect required further study to confirm. Furthermore, cortical and subcortical atrophy has been demonstrated to contribute to the development of POD, <sup>35</sup> and sleep disturbances, especially REM-sleep behavior disturbance, can result in cortical and subcortical atrophy. In patients with intracranial tumors, cortical and subcortical atrophy frequently occur due to the compression of lesions and surgical procedures. Therefore, sleep disturbances-induced cortical atrophy may aggravate brain atrophy in patients with intracranial tumors, subsequently increasing the risk of POD.

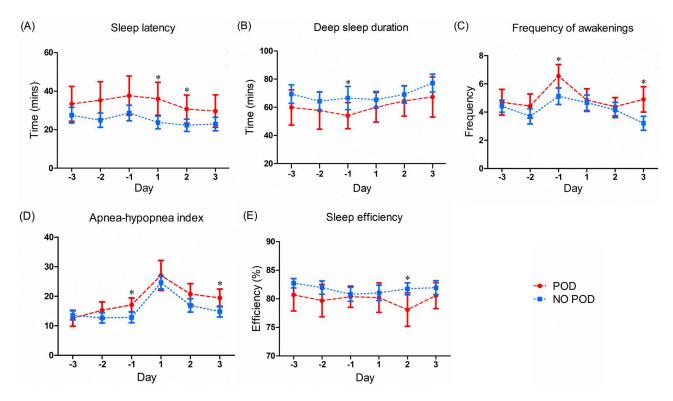


Figure 3 Different parameters of perioperative sleep patterns in patients with and without POD ((A): Sleep latency; (B) Deep sleep duration; (C) Frequency of awakenings; (D) Apnea-hypopnea index; (E) Sleep efficiency).

**Notes**: These analyses were performed using analysis of generalized estimating equations (\*P <0.05). Patients with POD: n=22; Patients without POD: n=65. Estimated mean and 95% CI are plotted for each group for each day. **Abbreviation**: POD, Postoperative delirium.

In addition, a meta-analysis was conducted for 18 studies, resulting in a pooled incidence of POD after craniotomy of 19%.<sup>37</sup> In our cohort, the incidence of POD was 21.9% which was relatively high. The difference in incidence might be attributed to the location of neurosurgery, different delirium assessment tools, and the time of assessments. In this study, 31.5% of patients had a surgical location in the prefrontal or parietal lobes closely associated with cognitive function, which may explain the higher incidence of POD. Additionally, the POD was defined as within 7 days after the operation in our study, this was longer than previous primary studies which were conducted within  $\leq 3$  days.<sup>37</sup> Our data showed that 32% (9/28) of the patients presented with POD after the third postoperative day; this may further explain the relatively higher incidence of POD.

Perioperative sleep disturbances have also been shown to increase the risk of postoperative cognitive dysfunction.<sup>38</sup> However, no studies have been conducted on neurosurgical patients. In the present study, we investigated the association between perioperative sleep patterns and POD in patients undergoing craniotomy in depth. We derived parameters related to sleep stages, events during sleep, and sleep efficiency using a dedicated sleep monitoring device.

Firstly, for the parameters of sleep stages, both sleep latency and deep sleep duration showed significant differences between patients with and without POD (please show statistics when stating these results and p-value). However, no differences were found in total sleep duration, light sleep duration, and rapid eye movement phase duration. Consistent with our findings, previous studies found that reduced sleep time and prolonged sleep latency recorded by an electroencephalogram on the first postoperative day were associated with increased incidence and severity of POD in orthopedic patients. Furthermore, deep sleep has been demonstrated to be associated with an increase in the convective exchange of cerebrospinal fluid with interstitial fluid to reduce the concentration of  $\beta$ -amyloid and cerebral metabolic wastes via the glymphatic pathway. Meanwhile, deep sleep could facilitate memory consolidation, especially for hippocampus-dependent memories. Hence, the loss of deep sleep may be causally associated with delirium.

**Table 5** Clinical Outcomes Associated with Postoperative Delirium

	No Postoperative Delirium	Postoperative Delirium	Statistics	P value
DVT, n (%)	13 (12.7)	6 (21.4)	0.723	0.395*
Nausea and vomiting, n (%)	51 (50.0)	14 (50.0)	0.000	1.000*
Pneumonia, n (%)	13 (12.7)	5 (17.9)	0.148	0.700*
LOS, days	16.0 (14.0, 20.0)	17.0 (16.0, 21.8)	-1.843	0.065 <sup>‡</sup>
Postoperative LOS, days	11.0 (9.0, 14.0)	12.5 (10.3, 14.8)	-1.913	0.056 <sup>‡</sup>
Hospitalization costs, RMB	96,987.0 (89,874.0, 106,597.0)	103,261.0 (97,105.0, 118,064.0)	-2.696	0.007 <sup>‡</sup>
Barthel index	90.0 (83.8, 100.0)	80.0 (70.0, 90.0)	-3.857	<0.001 <sup>‡</sup>
EORTC QLQ-C30§				
Global health status	75.0 (66.7, 83.3)	75.0 (66.7, 83.3)	-1.157	0.247 <sup>‡</sup>
Physical functioning	60.0 (53.3, 73.3)	60.0 (46.7, 80.0)	-0.882	0.378 <sup>‡</sup>
Role functioning	66.7 (50.0, 83.3)	66.7 (50.0, 83.3)	-1.287	0.198 <sup>‡</sup>
Emotional functioning	75.0 (58.3, 83.3)	75.0 (58.3, 83.3)	-0.169	0.866 <sup>‡</sup>
Cognitive functioning	66.7 (33.3, 83.3)	50.0 (33.3, 66.7)	-0.835	0.403 <sup>‡</sup>
Social functioning	66.7 (50.0, 83.3)	66.7 (50.0, 83.3)	-0.320	0.749 <sup>‡</sup>
Fatigue	33.3 (33.3, 55.6)	44.4 (33.3, 44.4)	-0.668	0.504 <sup>‡</sup>
Nausea and vomiting	8.3 (0, 33.3)	16.7 (0, 33.3)	-1.094	0.274 <sup>‡</sup>
Pain	0 (0, 33.3)	0 (0, 16.7)	-0.420	0.675 <sup>‡</sup>
Dyspnoea	0 (0, 33.3)	0 (0, 0)	-1.029	0.303 <sup>‡</sup>
Insomnia	33.3 (0, 41.7)	0 (0, 33.3)	-0.455	0.649 <sup>‡</sup>
Appetite loss	0 (0, 33.3)	0 (0, 33.3)	-0.060	0.952 <sup>‡</sup>
Constipation	33.3 (0, 66.7)	33.3 (0, 66.7)	-0.559	0.576 <sup>‡</sup>
Diarrhea	0 (0, 33.3)	0 (0, 33.3)	-0.222	0.825 <sup>‡</sup>
Financial difficulties	33.3 (0, 66.7)	33.3 (0, 33.3)	-0.390	0.697 <sup>‡</sup>

Notes: Data are presented as n (%) or median (Interquartile range). These analyses were performed using \*Chi-square test and †Mann–Whitney U-test. §113 patients completed follow-up, including 23 patients with POD and 90 patients without POD.

Abbreviations: DVT, Deep vein thrombosis; LOS, Length of stay; EORTC QLQ-C30, The European Organization for Research and Treatment of Cancer, quality of life questionnaire-core 30.

Secondly, we also observed a higher frequency of awakenings and apnea during sleep in patients with POD (Figure 3). A higher frequency of awakenings indicates a more pronounced degree of sleep fragmentation. In a multicenter study, the researchers recruited 150 ICU patients and recorded their sleep via actigraphy. The study found that sleep fragmentation was related to worse cognitive performance assessed by the Repeatable Battery for the Assessment of Neuropsychological Status after seven days of ICU discharge.<sup>41</sup> Evidence suggests that sleep fragmentation is linked to impaired spatial memory, verbal fluency, attention, and executive function, contributing to cognitive dysfunction in older adults.<sup>42</sup> Additionally, intermittent hypoxemia caused by sleep apnea can mediate cognitive dysfunction via decreasing brain-derived neurotrophic factor levels, damaging the blood-brain barrier, and increasing the activation of pro-inflammatory factors and microglia. 43 The Toll-like receptor 4-myeloid differentiation primary response 88 (TLR2-MyD88) signaling pathway is one of the mechanistic pathways by which hypoxia triggers neuroinflammatory responses in hippocampal CA1 and dentate gyrus (DG) areas. 44 The CA1 and DG areas are essential in consolidating hippocampus-dependent learning and memory and maintaining normal cognitive function.45

Thirdly, our study found a significant difference in sleep efficiency between patients with and without POD (Figure 3). Meanwhile, there was no difference in total sleep duration, further demonstrating that patients with POD have a longer sleep latency and have more difficulty falling asleep.

All in all, pre-existing sleep disturbances combined with poor perioperative sleep quality could induce overlapping pathophysiological pathways of delirium and lead to the occurrence of POD. However, the present study did not calculate the sample size based on perioperative sleep patterns. Therefore, the impact of perioperative sleep patterns on POD is explorative. Given the results of the current study, we plan to design a new protocol to investigate this relationship.

The follow-up results of this study found that the patients in the POD group had a higher hospitalization cost during their hospital stay and poor mobility at the time of discharge. These results were in line with previous findings. <sup>46</sup> Although the course of POD is usually transient and characterized by fluctuations, POD is also associated with an increased risk of long-term cognitive impairment. <sup>6</sup> The EORTC QLQ-C30 showed that patients with POD had lower cognitive function scores 3 months after surgery than those without POD, but the result did not differ significantly. The outcome may be attributed to our inadequate sample size and the scale's relatively simple and rough evaluation of cognitive function, which only assesses two dimensions: attention and memory.

The present study systematically investigated the correlation between sleep disturbances and POD, including the sleep disturbances presented at the admission and an in-depth analysis of perioperative sleep patterns. The results from this study elucidate a correlation between sleep disturbances and POD, and thus may help in reducing the occurrence of POD. Notably, 30% to 40% of cases of delirium are preventable. The clinical care strategies for elderly postoperative patients and the perioperative use of melatonin have been demonstrated to reduce the incidence of POD. Ala, 49 Thus, targeted strategies to identify patients at risk of POD in advance and intervene aggressively might lead to significantly improved clinical outcomes.

However, there are also some limitations. First, polysomnography is the golden standard for monitoring sleep patterns, but due to the cumbersome procedures, we chose to use a dedicated sleep device. Although we did not test its reliability in patients undergoing craniotomy, previous studies have demonstrated its significant correlation with polysomnography. For the sleep stages, the mean agreement ratio was 77.5% compared with polysomnography.<sup>29</sup> The accuracy, sensitivity, and specificity of the estimated apnea hypopnea index were 87.3%, 85.7%, and 88.1%, respectively, compared with polysomnography.<sup>30</sup> Second, this was a single-center cohort study, which may limit the generalizability of our result to other institutions. However, this study was conducted in one of the top neurosurgical specialty hospitals in China. Patients admitted to the hospital include various neurosurgical conditions from most parts of China; the annual surgery volume is about 4000 cases. Therefore, our research unit ensured the diversity of neurosurgical patients.

## **Conclusions**

In conclusion, we found that sleep disturbances were independent risk factors for POD. In addition, perioperative sleep patterns, including sleep latency, deep sleep duration, frequency of awakenings, apnea-hypopnea index, and sleep efficiency, were found to be associated with POD. From our results, we can infer the correlation between sleep disturbances and POD. Therefore, ameliorating sleep disturbances may help in lowering the likelihood of POD onset in patients undergoing craniotomy.

# **Data Sharing Statement**

The data used to support the findings of this study are available from the corresponding author (Baoguo Wang) upon request.

#### **Ethics Statements**

This study was conducted in accordance with the Declaration of Helsinki, and approved by the Ethics Committee of Sanbo Brain Hospital of Capital Medical University (SBNK-YJ-2022-011-01). All patients had signed an informed consent form for inclusion.

#### **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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## **Funding**

This research was supported by the Application and Evaluation of Active Health Cloud Platform in China, National Key Research and Development Program of China (2018YFC2000704).

#### **Disclosure**

The authors report no conflicts of interest in this work.

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