#### ORIGINAL RESEARCH



# A Retrospective Study of COVID-19-Related Urgent Medical Visits and Hospitalizations After Outpatient COVID-19 Diagnosis in the US

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# **ABSTRACT**

Introduction: Identifying risk factors for progression to severe COVID-19 requiring urgent medical visits and hospitalizations (UMVs) among patients initially diagnosed in the outpatient setting may help inform patient management. The objective of this study was to estimate the incidence of and risk factors for COVID-19-related UMVs after outpatient COVID-19 diagnosis or positive SARS-CoV-2 test.

Methods: Data for this retrospective cohort study were from the Optum® de-identified COVID-19 Electronic Health Record database from June 1 to December 9, 2020. Adults with first COVID-19 diagnosis or positive SARS-CoV-2 test in outpatient settings were identified. Cumulative incidence function analysis stratified by risk factors was used to estimate the 30-day incidence of COVID-19-related UMVs. Competing risk regression models were used to derive adjusted hazard ratios (aHR) and 95%

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confidence intervals (95% CI) for factors associated with UMVs.

**Results**: Among 206,741 patients female, 77.5% non-Hispanic Caucasian, mean (SD) age: 46.7 (17.8) years], the 30-day incidence was 9.4% (95% CI 9.3-9.6) for COVID-19related emergency room (ER)/urgent care (UC)/ hospitalizations and 3.8% (95% CI 3.7-3.9) for COVID-19-related hospitalizations. Likelihood of hospitalization increased with age and body mass index, with age the strongest risk factor (aHR 5.61: 95% CI 4.90 - 6.32patients  $\geq 85$  years). Increased likelihood of hospitalization was observed for first presentation in the ER/UC vs. non-ER/UC outpatient settings (aHR 2.35; 95% CI 2.22-2.47) and prior all-cause hospitalization (aHR 1.90; 95% CI 1.79-2.00). Clinical risk factors of hospitalizations included pregnancy, uncontrolled diabetes, chronic obstructive pulmonary disease, chronic kidney disease, and autoimmune disease. A study limitation is that data on COVID-19 severity and symptoms were not captured. Conclusion: Predictors of COVID-19-related UMVs include older age, obesity, and several comorbidities. These findings may inform patient management and resource allocation following outpatient COVID-19 diagnosis.

**Keywords:** COVID-19; Electronic health records; Hospitalizations; Outpatient setting; Risk factors; SARS-CoV-2; Urgent medical visits

# **Key Summary Points**

# Why carry out this study?

With expanded testing for COVID-19, most patients are likely to be initially diagnosed in the outpatient setting, but an outpatient diagnosis presents challenges to health care providers for predicting which of these patients will subsequently need additional care

This study estimated the 30-day incidence of and risk factors for COVID-19-related urgent medical visits after an outpatient COVID-19 diagnosis or positive SARS-CoV-2 test

### What was learned from the study?

The 30-day incidence of subsequent COVID-19-related emergency room/ urgent care/hospitalizations was 9.4%, and 30-day incidence of COVID-19 hospitalization was 3.8%, with older age, higher body mass index, COVID-19 diagnosis in the emergency room or urgent care setting, prior any-cause hospitalization, pregnancy, and uncontrolled diabetes the strongest risk factors for subsequent hospitalization

# DIGITAL FEATURES

This article is published with digital features, including a summary slide, to facilitate understanding of the article. To view digital features for this article go to https://doi.org/10.6084/m9.figshare.14381564.

# **INTRODUCTION**

The ongoing COVID-19 pandemic, with increasing hospitalizations and deaths in the US [1], has led to focused efforts to reduce inpatient mortality, and several therapeutic agents have been found effective [2, 3]. However, with expanded testing, most patients who develop

COVID-19 are likely to be initially diagnosed in the outpatient setting. The uncertain natural history of COVID-19 in outpatients presents challenges to health care providers for predicting which patients will subsequently need additional care. Estimating the incidence of COVID-related urgent medical visits (UMVs) to the emergency room (ER), urgent care (UC), or hospital settings and identifying factors associated with these return visits may inform patient management and resource allocation. Such information may be relevant given the initially positive results of trials of monoclonal antibodies for preventing severe COVID-19 among patients first seen in the outpatient setting [4, 5] and the recent granting of Emergency Use Authorization by the US Food and Drug Administration to these two therapies, REGEN-COV2 (a cocktail of casirivimab and imdevimab) and LY-CoV555 (bamlanivimab) [6, 7]. Additionally, publication of a clinical trial of COVID-19 treatment in an outpatient setting [8] suggests the value of characterizing this population for informing design and outcomes of such clinical trials. Therefore, the aims of this study were to characterize US patients initially diagnosed with COVID-19 in the outpatient setting and to estimate the 30-day incidence of and risk factors for subsequent COVID-19-related UMVs using a large, national, electronic health records (EHR) database.

# **METHODS**

# **Data Source and Study Population**

Data for this retrospective cohort study were from the Optum® de-identified COVID-19 EHR dataset, which was created to better understand COVID-19 in the real-world setting. The data are sourced from Optum's longitudinal EHR repository, which encompasses a network of health care provider organizations, mostly integrated delivery networks (IDN), covering > 101 million lives nationally. Information processed across the continuum of care includes data on patient demographics, medications, laboratory results, vital signs, and other observable measurements as well as outpatient

and inpatient diagnoses and procedures. The data are certified as de-identified by an independent statistical expert following HIPAA statistical de-identification rules and managed according to Optum® customer data use agreements [9, 10] and currently include approximately 1 million individuals who have either been tested for SARS-CoV-2 or diagnosed with COVID-19 or related conditions since the start of the pandemic; for individual patients, EHR data may extend as far back as January 2007.

We included adult patients (aged > 18 years) if they had their first confirmed COVID-19 diagnosis [International Classification of Diseases version 10 (ICD-10) code U07.1] or positive SARS-CoV-2 virus test in the outpatient setting between June 1 and December 9, 2020; the first diagnosis or positive test date was considered the index date. Patients were excluded if they were hospitalized on the index date or had a prior COVID-19/coronavirus diagnosis or a prior positive SARS-CoV-2 virus or antibody test result. Patients diagnosed with COVID-19 before June 1, 2020, were excluded because the early phase of the pandemic may not necessarily reflect current health care, testing, and diagnostic coding practice. Patients were also required to be part of an IDN health system and have  $\geq 1$  health care encounter within 2 years prior to the index date (baseline period) for assessment of medical history. The authors affirm that this retrospective database analysis did not entail collection, use, or transmittal of identifiable data. Based on 45CFR46.101(b)(4): Existing Data & Specimens—No Identifiers, this study is exempt from the requirement for institutional review board approval. The study is compliant with data security requirements of the Health Insurance Portability and Accountability Act of 1996.

#### **Baseline Measures**

Location of the initial index COVID-19 outpatient encounter (ER/UC vs. non-ER/UC, including telehealth) and index month were determined. Baseline variables included demographics (age, sex, race/ethnicity, and geographic region) and the following risk factors for severe COVID-19 based on the Centers for

Disease Control (CDC) [11] and the medical literature [12–15]: cancer, chronic kidney disease (CKD) chronic obstructive pulmonary disease (COPD), cardiovascular disease (CVD), autoimmune disease, obesity, diabetes, sickle cell dissmoking status (current/former), pregnancy, chronic liver disease, asthma, hypertension, depression, and anxiety. Additionally, the occurrence of > 1 ER/UC visit or hospitalization for any reason during the 2-year baseline period was determined. Except for pregnancy, risk factors were identified using diagnostic and procedure codes during the baseline period that included the index date; pregnancy was identified using diagnostic and procedure codes < 6 months pre-index. Body mass index (BMI) and hemoglobin A1c (HbA1c) levels were also identified during the baseline period; if multiple records were present, the one closest to the index date was used. The BMI was categorized as < 18.5, 18-24.9, 25-29.9, 30-34.9, 35-39.9, and  $> 40 \text{ kg/m}^2$ . Diabetes was characterized as "controlled" or "uncontrolled" based on HbA1c levels of < 7% and > 7%, respectively.

#### Outcomes

Outcomes following the initial outpatient COVID-19 diagnosis included (1) the composite endpoint of first COVID-related ER/UC/hospitalization, defined as a confirmed COVID-19 diagnosis anywhere on the visit record and (2) the first COVID-19-related hospitalization, defined as a confirmed COVID-19 diagnosis as the primary or admitting diagnosis. Patients were followed from the index date until the outcome, death, or end of the study period (December 9, 2020).

#### **Statistical Analysis**

Patients were described in terms of demographic and clinical characteristics. Frequency and counts of patients with missing data for a given variable and distribution of the values among patients with complete data for that variable are reported. To account for the competing risk of death, the Cumulative Incidence Function approach was used to estimate the

cumulative incidence of COVID-19-related UMVs over the study period overall and stratified by risk factors. Competing risk regression models [16] were used to derive unadjusted hazard ratios with their 95% confidence intervals (CI) for the association between individual risk factors and each outcome. Adjusted hazard ratios (aHRs) were derived using models that included demographics (age, sex, race/ethnicity, and geographic region), BMI, comorbidities (diabetes, controlled/uncontrolled diabetes, cancer, CKD, autoimmune disease, COPD, CVD, pregnancy, chronic liver disease, sickle cell disease, hypertension, asthma, depression, and anxiety), smoking status, location of first COVID-19 encounter, baseline period resource use (ER/UC, hospitalization), and index month.

The analytic file was created using Instant Health Data software (Panalgo, Boston, MA, USA). Statistical analyses were conducted, without imputation for missing data, using R version 3.2.1 (R Foundation for Statistical Computing, Vienna, Austria) and SAS, version 9.3 (SAS Institute Inc., Cary, NC, USA).

# **RESULTS**

#### **Population Characteristics**

We identified 246,600 patients who had their first COVID-19 diagnosis or positive SARS-CoV-2 test during the study period; among these, 40,129 patients (16.3%) were hospitalized at diagnosis or positive test and were excluded from the analysis (Online Appendix Table 1). Of the remaining 206,741 outpatients who were included, 69.7% had a diagnosis of COVID-19 and tested positive for SARS-CoV-2 on the index date, 25.4% did not have a diagnosis of COVID-19 but tested positive for SARS-CoV-2 on the index date, and 4.9% had a recorded diagnosis of COVID-19 without a record of positive laboratory results for SARS-CoV-2. There were 1550 deaths over a mean follow-up of 71 days.

Patients were primarily female (58.8%), non-Hispanic Caucasian (77.5%), and < 55 years of age (65.2%); the highest geographic representation was from the Midwest (58.7%), and the majority of patients were commercially insured

(68.6%; Table 1). The majority of patients (86.6%) were first diagnosed with COVID-19 in the non-ER/UC outpatient setting, and 13.4% were diagnosed in the ER or UC. At least one CDC-defined risk factor for severe COVID-19 was present in 54.8% of patients; obesity was the most frequent (43.5%), and others were hypertension (30.2%), asthma (10.6%), CVD (9.8%), COPD (4.3%), CKD (4.8%), and diabetes (13.0%; Table 1). Among patients with diabetes and HbA1c values available, 37.0% had uncontrolled diabetes (Table 1). Almost half (49.1%) of patients with BMI values available were obese and 12.3% were morbidly obese. During the baseline period, 34.0% of patients had at least one ER/UC visit and 27.4% had at least one hospitalization.

# COVID-19-Related ER/UC/ Hospitalizations

The 30-day incidence of COVID-19-related ER/UC/hospitalization was 9.4% (95% CI 9.3–9.6) representing 19,520 patients (Fig. 1a); most (90.7%) COVID-19-related ER/UC/hospitalizations occurred within 15 days post-index. Patients first diagnosed with COVID-19 in the ER/UC had a significantly higher rate of subsequent COVID-19-related ER/UC/hospitalization than patients who were diagnosed in non-ER/UC outpatient settings (Table 2).

The 30-day incidence of COVID-19-related ER/UC/hospitalization increased with age and with BMI relative to normal weight; underweight patients also had a higher incidence relative to normal weight (Table 2). Incidence was higher in Hispanic or Black/African American patients; in patients living in the South; and in patients who had either been seen in the ER/ UC setting or hospitalized during the baseline period (Table 2). Pregnancy was associated with 30-day incidence of 15.8% (95% CI 14.7–16.8). Comorbidities that resulted in a higher incidence of ER/UC/hospitalization were CKD (22.8%; 95% CI 21.9-23.6), COPD (21.5%; 95% CI 20.6-22.4), CVD (19.2%; 95% CI 18.7-19.8), and uncontrolled diabetes (18.3%; 95% CI 17.5–19.1; Table 2). Patients with more CDC risk factors had an increasingly higher

**Table 1** Baseline characteristics of adults diagnosed with COVID-19 or who tested positive for SARS-CoV-2 in the outpatient setting (N = 206,741)

Variable	Value
Age, years, mean (SD)	46.7 (17.8)
Age group, years, $n$ (%)	
18–34	62,400 (30.2)
35–44	35,171 (17.0)
45–54	37,136 (18.0)
55–64	36,788 (17.8)
65–74	20,735 (10.0)
75–84	10,162 (4.9)
≥ 85	4349 (2.1)
Female, $n$ (%)	121,513 (58.8)
Race/ethnicity, $n$ (%) <sup>a</sup>	
Non-Hispanic Caucasian	141,394 (77.5)
Hispanic	18,887 (10.3)
Black/African American	18,601 (10.2)
Asian	3622 (2.0)
Geographic region, $n$ (%) <sup>a</sup>	
Midwest	117,411 (58.7)
Northeast	38,681 (19.3)
South	30,315 (15.2)
West	13,506 (6.8)
Primary insurance coverage, $n$ (%) <sup>a</sup>	
Medicare	22,013 (15.8)
Commercial	95,551 (68.6)
Medicaid	11,339 (8.1)
Other payor type	9236 (6.6)
Uninsured	1050 (0.8)
BMI, kg/m <sup>2</sup> , mean (SD)	30.9 (8.7)
BMI group, $kg/m^2$ , $n$ (%) <sup>a</sup>	
< 18.5 (underweight)	1517 (0.9)
18.5 to < 25 (normal weight)	34,933 (21.2)
25 to < 30 (overweight)	47,591 (28.9)
30 to < 35 (obese)	38,591 (23.4)

Table 1 continued

Variable	Value
35 to < 40 (severely obese)	22,069 (13.4)
$\geq 40$ (morbidly obese)	20,218 (12.3)
Smoking status, $n$ (%) <sup>a</sup>	
Never smoked	123,037 (65.2)
Previously smoked	46,310 (24.5)
Currently smoking	19,490 (10.3)
Outpatient setting of initial COVID-19 diagnosis, $n$ (%)	
ER or UC	27,736 (13.4)
Not ER or UC	179,005 (86.6)
Month of index event, $n$ (%)	
June	16,161 (7.8)
July	28,631 (13.8)
August	21,484 (10.4)
September	20,263 (9.8)
October	32,461 (15.7)
November	74,856 (36.2)
December	12,885 (6.2)
Any-cause hospitalization, $n$ (%)	56,673 (27.4)
Any-cause ER/UC visit, $n$ (%)	70,364 (34.0)
CDC risk factors, $n$ (%)	
Cancer	9702 (4.7)
CKD	10,013 (4.8)
Autoimmune disease	12,768 (6.2)
COPD	8835 (4.3)
CVD	20,167 (9.8)
Diabetes	26,856 (13.0)
Controlled (HbA1c < 7%) <sup>b</sup>	9975 (37.1)
Uncontrolled $(HbA1c \ge 7\%)^b$	9949 (37.0)
Missing HbA1c value <sup>b</sup>	6932 (25.8)
Obesity	89,989 (43.5)
Sickle cell disease	279 (0.1)
Pregnancy	5015 (2.4)

Table 1 continued

Variable	Value
Number of CDC risk factors, n (%)	
Any	93,356 (45.2)
1	72,082 (34.9)
2–3	35,520 (17.2)
$\geq 4$	5783 (2.8)
Other comorbidities $n$ (%)	
Chronic liver disease	9057 (4.4)
Hypertension	62,482 (30.2)
Asthma	21,993 (10.6)
Depression	35,841 (17.3)
Anxiety	40,956 (19.8)

BMI body mass index, CDC Centers for Disease Control, CKD chronic kidney disease, COPD chronic obstructive pulmonary disease, CVD cardiovascular disease, ER emergency room, HbA1c hemoglobin A1c, SD standard deviation, UC urgent care

incidence of ER/UC/hospitalizations that ranged from 9.5% in patients with 1 risk factor (95% CI 9.2–9.7) to 27.0% with  $\geq$  4 risk factors (95% CI 25.8–28.1; Table 2).

In the adjusted models, the strongest risk factor for ER/UC/hospitalization within 30 days was older age, with aHRs of 2.95 (95% CI 2.76-3.14) and 3.13 (95% CI 2.86-3.39) for those 75–84 years and  $\geq$  85 years, respectively (Fig. 2). Race and ethnicity were significant risk factors, compared to Whites, with the highest risk among Hispanics followed by Black and Asians (Fig. 2). Other risk factors included COVID-19 diagnosis in the ER/UC setting compared to non-ER/UC outpatient settings (aHR 2.46, 95% CI 2.38-2.54), baseline history of any-cause hospitalization (aHR 2.13; 95% CI 2.06-2.21), pregnancy (aHR 2.07; 95% CI 1.91-2.23), and morbid obesity (aHR 1.71; 95% CI 1.61-1.81). Most individual comorbidities only slightly increased the likelihood of ER/UC/ hospitalization (Fig. 2).

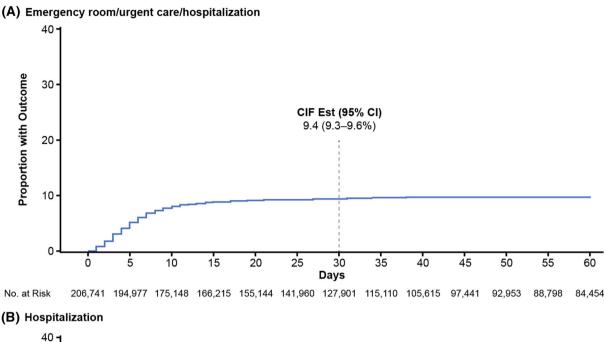
# **COVID-19-Related Hospitalizations**

The incidence of COVID-19-related hospitalizations within 30 days of an outpatient COVID-19 diagnosis or positive SARS-CoV-2 test was 3.8% (95% CI 3.7–3.9), representing 7808 patients (Fig. 1b); most (91.9%) COVID-19-related hospitalizations occurred within 10 days post-index. Patients first diagnosed with COVID-19 in the ER/UC outpatient setting had a significantly higher rate of subsequent COVID-19-related hospitalizations than those diagnosed in non-ER/UC outpatient settings (Table 2).

For all variables except pregnancy, the 30-day incidence of COVID-related hospitalization showed similar trends to those observed for the composite category of ER/UC/hospitalization (Table 2). While pregnancy did not increase the incidence of composite ER/UC/hospitalization in the adjusted model (Fig. 3), pregnancy significantly increased the likelihood of a COVID-19-related hospitalization (aHR 1.47; 95% CI

<sup>&</sup>lt;sup>a</sup> Distribution based on patients with non-missing data: 24,237 (11.7%) had missing race/ethnicity; 6828 (3.3%) had missing region; 67 552 (32.7%) had missing insurance type; 41,822 (20.2%) had missing BMI; 17,904 (8.7%) had unknown smoking status

b Proportions reflect those with diabetes



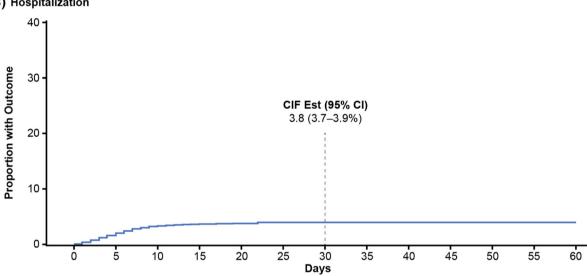


Fig. 1 Cumulative incidence of COVID-19-related urgent medical visits subsequent to a COVID-19 diagnosis in the outpatient setting. CI confidence interval, CIF Est estimated cumulative incidence function

206,741 200,196 183,959 175,751 164,454 150,724 136,009 122,553 112,541 103,865 99,065

1.22–0.73). The adjusted models showed that the strongest risk factor for hospitalization within 30 days post-diagnosis was older age, [aHRs 4.91 (95% CI 4.40–5.43) and 5.61 (95% CI 4.90–6.32) for 75–84 years and  $\geq$  85 years, respectively; Fig. 3]. While non-Hispanic/non-White race and ethnicity were risk factors for hospitalization, Asians had the highest risk of hospitalizations (Fig. 3). Other risk factors were COVID-19 diagnosis in the ER/UC setting (aHR 2.35; 95% CI

2.22–2.47), baseline history of any-cause hospitalization (aHR 1.90; 95% CI 1.79–2.00), and morbid obesity (aHR 2.07; 95% CI 1.88–2.26). The presence of most comorbidities only slightly increased the likelihood of hospitalization (Fig. 3), and, although both uncontrolled and controlled diabetes were associated with a higher likelihood of hospitalization, the aHR was higher with uncontrolled diabetes, 1.46 (95% CI 1.34–1.57) and 1.16 (95% CI 1.07–1.26), respectively (Fig. 3).

94,653

No. at Risk

**Table 2** Incidence and unadjusted hazard ratios of COVID-19-related emergency room/urgent care/hospitalizations and COVID-19-related hospitalizations within 30-days of a COVID-19 diagnosis or SARS-CoV-2 positive test in the outpatient setting, stratified by demographic characteristics and risk factors

Characteristic	COVID-19-Related ER/UC/hospitalizations		COVID-19-related hospitalizations	
	30-day incidence, % (95% CI)	Unadjusted HR (95% CI)	30-day incidence, % (95% CI)	Unadjusted HR (95% CI)
Age group, years				
18-34	6.2 (6.0, 6.4)	1.00 (Reference)	1.6 (1.5, 1.7)	1.00 (Reference)
35–44	7.4 (7.1, 7.7)	1.18 (1.12, 1.24)	2.2 (2.1, 2.4)	1.36 (1.24, 1.49)
45-54	8.5 (8.2, 8.8)	1.35 (1.29, 1.42)	3.1 (3.0, 3.3)	1.91 (1.75, 2.07)
55-64	10.2 (9.9, 10.5)	1.64 (1.57, 1.72)	4.6 (4.4, 4.8)	2.79 (2.59, 3.02)
65–74	14.6 (14.1, 15.1)	2.41 (2.30, 2.53)	7.0 (6.7, 7.4)	4.35 (4.02, 4.72)
75–84	21.5 (20.7, 22.3)	3.65 (3.47, 3.85)	12.1 (11.4, 12.7)	7.55 (6.95, 8.21)
≥ 85	21.9 (20.6, 23.2)	3.77 (3.51, 4.04)	13.0 (12.0, 14.1)	8.14 (7.34, 9.03)
Sex				
Female	9.3 (9.1, 9.4)	1.00 (Reference)	3.4 (3.3, 3.5)	1.00 (Reference)
Male	9.7 (9.5, 9.9)	1.04 (1.01, 1.07)	4.4 (4.3, 4.5)	1.29 (1.24, 1.35)
Race/ethnicity				
Non-Hispanic Caucasian	9.1 (9.0, 9.3)	1.00 (Reference)	3.9 (3.8, 4.0)	1.00 (Reference)
Hispanic	12.1 (11.6, 12.6)	1.35 (1.29, 1.41)	4.3 (4.0, 4.6)	1.13 (1.05, 1.21)
Non-Hispanic Black	13.5 (13.0, 14.0)	1.51 (1.45, 1.58)	4.8 (4.5, 5.1)	1.23 (1.15, 1.32)
Asian	7.5 (6.6, 8.4)	0.80 (0.71, 0.90)	3.3 (2.8, 3.9)	0.84 (0.70, 1.00)
Geographic region				
Midwest	9.5 (9.3, 9.7)	1.00 (Reference)	4.1 (4.0, 4.2)	1.00 (Reference)
Northeast	4.0 (3.8, 4.2)	0.42 (0.40, 0.44)	1.8 (1.6, 1.9)	0.43 (0.39, 0.46)
South	16.2 (15.8, 16.6)	1.79 (1.74, 1.85)	5.4 (5.1, 5.6)	1.32 (1.25, 1.40)
West	8.7 (8.2, 9.2)	0.91 (0.86, 0.97)	4.0 (3.7, 4.4)	0.98 (0.90, 1.07)
Outpatient setting of initial COVID-19 diagnosis				
ER or UC	20.5 (20.0, 21.0)	2.80 (2.72, 2.89)	8.2 (7.9, 8.6)	2.69 (2.56, 2.82)
Not ER or UC	7.7 (7.6, 7.9)	1.00 (Reference)	3.1 (3.1, 3.2)	1.00 (Reference)
Index month				
June 2020	10.2 (9.7, 10.6)	1.00 (Reference)	4.2 (3.9, 4.5)	1.00 (Reference)
July 2020	10.9 (10.5, 11.2)	1.03 (0.97, 1.09)	4.1 (3.9, 4.4)	0.93 (0.85, 1.01)
August 2020	8.4 (8.0, 8.8)	0.82 (0.77, 0.87)	3.5 (3.2, 3.7)	0.79 (0.72, 0.88)
September 2020	8.8 (8.5, 9.2)	0.85 (0.80, 0.91)	3.7 (3.5, 4.0)	0.85 (0.77, 0.94)

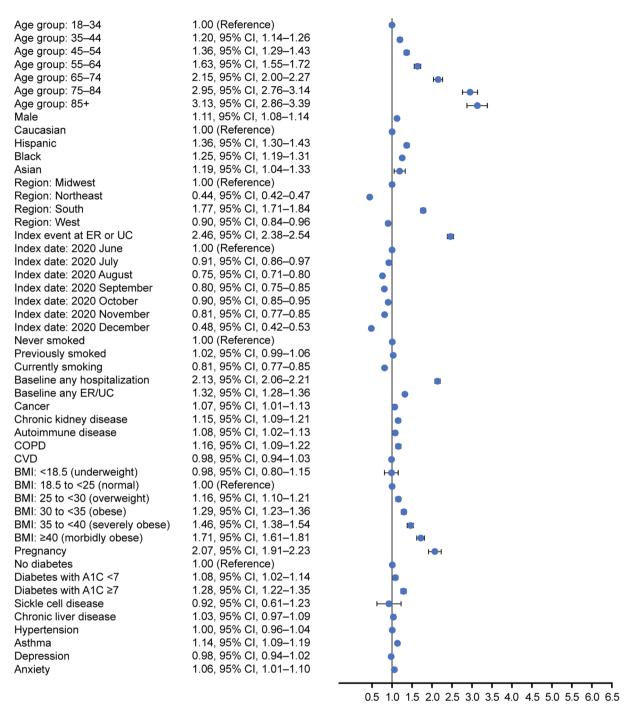
Table 2 continued

	COVID-19-Related ER/UC/hospitalizations		COVID-19-related hospitalizations	
Characteristic	30-day incidence,% (95% CI)	Unadjusted HR(95% CI)	30-day incidence, % (95% CI)	Unadjusted HR(95% CI)
October 2020	10.6 (10.3, 10.9)	1.01 (0.96, 1.07)	4.6 (4.3, 4.8)	1.03 (0.95, 1.13)
November 2020	9.0 (8.8, 9.2)	0.86 (0.82, 0.91)	3.7 (3.5, 3.8)	0.84 (0.77, 0.91)
December 2020	N/A	0.51 (0.46, 0.56)	N/A	0.28 (0.23, 0.35)
Baseline hospitalization: no	6.2 (6.1, 6.4)	1.00 (Reference)	2.5 (2.4, 2.6)	1.00 (Reference)
Baseline hospitalization: yes	17.9 (17.6, 18.3)	3.09 (3.00, 3.18)	7.3 (7.1, 7.5)	2.99 (2.86, 3.13)
Baseline ER/UC: no	6.8 (6.7, 6.9)	1.00 (Reference)	2.9 (2.8, 3.0)	1.00 (Reference)
Baseline ER/UC: yes	14.6 (14.3, 14.8)	2.22 (2.16, 2.29)	5.7 (5.5, 5.9)	2.01 (1.93, 2.10)
CDC risk factors				
Smoking status				
Never smoked	8.8 (8.7, 9.0)	1.00 (Reference)	3.4 (3.3, 3.5)	1.00 (Reference)
Previously smoked	12.1 (11.8, 12.4)	1.39 (1.34, 1.43)	5.7 (5.5, 5.9)	1.70 (1.61, 1.78)
Currently smoking	8.8 (8.4, 9.2)	1.01 (0.96, 1.06)	2.8 (2.6, 3.0)	0.81 (0.74, 0.89)
BMI				
< 18.5 (underweight)	8.5 (7.1, 10.0)	1.25 (1.05, 1.49)	3.2 (2.3, 4.1)	1.24 (0.92, 1.65)
18.5 to < 25 (normal weight)	7.0 (6.7, 7.3)	1.00 (Reference)	2.5 (2.4, 2.7)	1.00 (Reference)
25 to < 30 (overweight)	9.1 (8.9, 9.4)	1.32 (1.25, 1.38)	3.6 (3.4, 3.8)	1.40 (1.29, 1.52)
30 to < 35 (obese)	10.8 (10.4, 11.1)	1.54 (1.47, 1.62)	4.3 (4.1, 4.5)	1.66 (1.53, 1.80)
35 to < 40 (severely obese)	12.2 (11.7, 12.6)	1.77 (1.68, 1.87)	5.0 (4.7, 5.3)	1.93 (1.77, 2.11)
$\geq$ 40 (morbidly obese)	14.1 (13.6, 14.6)	2.07 (1.96, 2.18)	6.2 (5.8, 6.5)	2.43 (2.23, 2.65)
Cancer: no	9.2 (9.0, 9.3)	1.00 (Reference)	3.6 (3.5, 3.7)	1.00 (Reference)
Cancer: yes	15.0 (14.3, 15.8)	1.71 (1.62, 1.80)	7.8 (7.3, 8.4)	2.25 (2.08, 2.42)
CKD: no	8.8 (8.6, 8.9)	1.00 (Reference)	3.4 (3.3, 3.5)	1.00 (Reference)
CKD: yes	22.8 (21.9, 23.6)	2.82 (2.70, 2.94)	12.6 (11.9, 13.3)	3.92 (3.69, 4.17)
Autoimmune disease: no	9.2 (9.1, 9.4)	1.00 (Reference)	3.7 (3.6, 3.8)	1.00 (Reference)
Autoimmune disease: yes	12.6 (12.0, 13.2)	1.40 (1.33, 1.47)	5.8 (5.4, 6.2)	1.61 (1.50, 1.74)
COPD: no	8.9 (8.8, 9.0)	1.00 (Reference)	3.5 (3.4, 3.6)	1.00 (Reference)
COPD: yes	21.5 (20.6, 22.4)	2.60 (2.48, 2.73)	11.7 (11.0, 12.4)	3.48 (3.26, 3.72)
CVD: no	8.4 (8.3, 8.5)	1.00 (Reference)	3.2 (3.1, 3.2)	1.00 (Reference)
CVD: yes	19.2 (18.7, 19.8)	2.44 (2.35, 2.52)	10.0 (9.6, 10.4)	3.30 (3.13, 3.47)
Pregnancy: no	9.3 (9.2, 9.4)	1.00 (Reference)	3.8 (3.8, 3.9)	1.00 (Reference)

Table 2 continued

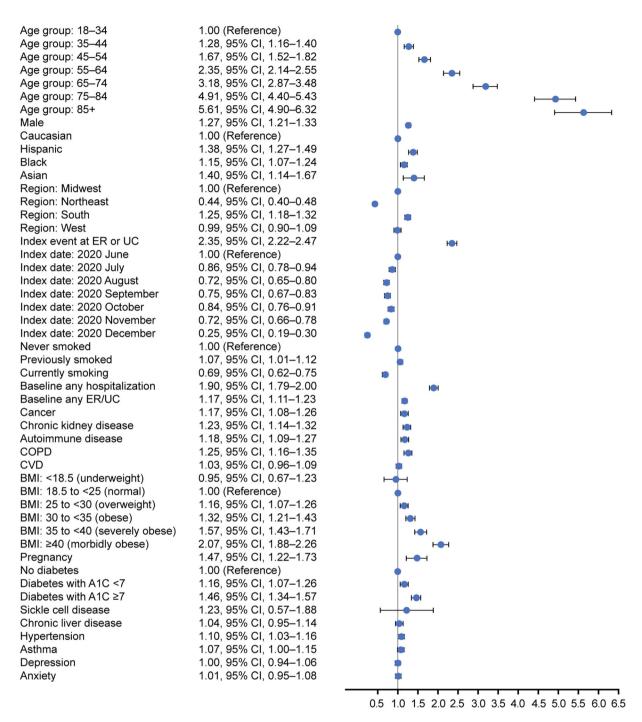
	COVID-19-Related ER/UC/hospitalizations		COVID-19-related hospitalizations	
Characteristic	30-day incidence,% (95% CI)	Unadjusted HR(95% CI)	30-day incidence, % (95% CI)	Unadjusted HR(95% CI)
Pregnancy: yes	15.8 (14.7, 16.8)	1.86 (1.74, 1.99)	3.1 (2.6, 3.6)	0.81 (0.69, 0.94)
Diabetes				
No diabetes	8.2 (8.1, 8.3)	1.00 (Reference)	3.0 (3.0, 3.1)	1.00 (Reference)
Diabetes with HbA1c < 7%	16.3 (15.5, 17.0)	2.07 (1.96, 2.17)	8.1 (7.6, 8.7)	2.72 (2.52, 2.93)
Diabetes with HbA1c ≥ 7%	18.3 (17.5, 19.1)	2.34 (2.23, 2.46)	9.5 (8.9, 10.1)	3.19 (2.98, 3.42)
Sickle cell disease: no	9.4 (9.3, 9.6)	1.00 (Reference)	3.8 (3.7, 3.9)	1.00 (Reference)
Sickle cell disease: yes	12.5 (8.9, 16.7)	1.51 (1.11, 2.07)	5.2 (2.9, 8.2)	1.43 (0.86, 2.37)
Number of CDC risk factors				
0	6.0 (5.9, 6.2)	1.00 (Reference)	2.1 (2.0, 2.2)	1.00 (Reference)
1	9.5 (9.2, 9.7)	1.59 (1.53, 1.64)	3.4 (3.3, 3.5)	1.61 (1.52, 1.71)
2–3	15.6 (15.2, 16.0)	2.69 (2.60, 2.79)	7.5 (7.2, 7.7)	3.63 (3.42, 3.85)
$\geq 4$	27.0 (25.8, 28.1)	5.04 (4.77, 5.33)	15.2 (14.3, 16.2)	7.78 (7.18, 8.43)
Other comorbidities				
Chronic liver disease: no	9.2 (9.1, 9.3)	1.00 (Reference)	3.7 (3.6, 3.8)	1.00 (Reference)
Chronic liver disease: yes	14.5 (13.7, 15.2)	1.60 (1.52, 1.70)	6.4 (5.9, 7.0)	1.75 (1.61, 1.91)
Hypertension: no	7.1 (7.0, 7.3)	1.00 (Reference)	2.4 (2.3, 2.5)	1.00 (Reference)
Hypertension: yes	14.8 (14.5, 15.1)	2.15 (2.09, 2.21)	7.1 (6.9, 7.3)	3.00 (2.87, 3.14)
Asthma: no	9.0 (8.9, 9.1)	1.00 (Reference)	3.7 (3.6, 3.8)	1.00 (Reference)
Asthma: yes	13.0 (12.6, 13.5)	1.49 (1.43, 1.55)	5.0 (4.7, 5.3)	1.39 (1.30, 1.48)
Depression: no	8.9 (8.8, 9.0)	1.00 (Reference)	3.6 (3.5, 3.7)	1.00 (Reference)
Depression: yes	12.0 (11.7, 12.4)	1.38 (1.34, 1.43)	4.9 (4.7, 5.1)	1.38 (1.31, 1.46)
Anxiety: no	9.0 (8.9, 9.1)	1.00 (Reference)	3.7 (3.6, 3.8)	1.00 (Reference)
Anxiety: yes	11.2 (10.9, 11.5)	1.26 (1.22, 1.30)	4.3 (4.1, 4.5)	1.17 (1.11, 1.23)

BMI body mass index, CDC Centers for Disease Control, CI confidence interval, CKD chronic kidney disease, COPD chronic obstructive pulmonary disease, CVD cardiovascular disease, ER emergency room, HbA1c hemoglobin A1c, HR hazard ratio, N/A not applicable, UC urgent care



**Fig. 2** Adjusted hazard ratios of risk factors associated with subsequent COVID-19-related ER/UC/hospitalization visit within 30 days following a COVID-19 diagnosis or SARS-CoV-2-positive test in the outpatient setting.

BMI body mass index, CI confidence interval, COPD chronic obstructive pulmonary disease, CVD cardiovascular disease, ER emergency room, UC urgent care



**Fig. 3** Adjusted hazard ratios of risk factors associated with subsequent COVID-19-related hospitalization within 30 days following a COVID-19 diagnosis or SARS-CoV-2 positive test in the outpatient setting. *BMI* body mass

index, CI confidence interval, COPD chronic obstructive pulmonary disease, CVD cardiovascular disease, ER emergency room, UC urgent care

# DISCUSSION

This study, which focused on patients with an initial diagnosis of COVID-19 or SARS-CoV-2positive test in the outpatient setting, found that subsequent COVID-19-related UMVs tended to occur within 10-15 days of the index event: overall 30-day risks of COVID-19-related return visits were 9.4% for ER/UC/hospitalizations and 3.8% for hospitalizations. While multiple demographic variables and comorbidities affected the incidence of subsequent COVID-19-related visits, in adjusted models, the strongest risk factors were older age, setting first encounter, prior hospitalization, increasing BMI, and pregnancy. Individual comorbidities tended to increase the risk only slightly.

To our knowledge, this is the largest study to evaluate COVID-19 patients diagnosed in the outpatient setting and to estimate the incidence of 30-day UMVs following such a diagnosis. Resource use generally occurred within the first 15 days of the first encounter, which is consistent with the symptomatology and natural history of COVID-19 especially related to the acute phase [17]. Our estimate of 30-day cumulative incidence of 3.8% hospitalization following an outpatient diagnosis or positive SARS-CoV-2 test is considerably lower than the 14.8% reported in a Spanish study of a similar population with regard to sex (58.7% female), age (61.6% < 55 years), and comorbidities [18]. The higher rate may be due to analysis at an earlier time point in the pandemic and use of broader criteria to identify COVID-19 hospitalizations than the current analysis, which relied on a more specific definition of a COVID-19related hospitalization that consisted of a COVID-19-specific ICD-10 code as the primary or admitting diagnosis. However, it should be noted that our observation of hospitalizations generally occurring within 10 days of diagnosis was consistent with the Spanish study [18].

There were clear positive trends between the 30-day incidence with outcomes of increasing age and BMI. After adjustment, both increased age and BMI remained independent predictors of 30-day COVID-19-related UMVs, with the

oldest age groups having the highest aHRs of all the risk factors for both outcomes. Age has consistently been identified as a risk factor for greater disease severity that results in hospitalization and poorer outcomes [19, 20], including among those initially diagnosed with COVID-19 in the outpatient setting [18]. This association is likely a result of greater frailty, including age-related differences in immune response, that may be incompletely captured by comorbidities. Older age may also be a proxy for comorbidities, including dementia, which has recently been shown to be associated with a higher risk of COVID-19 infection and poorer outcomes in the elderly [21]. Similarly, obesity has been reported to convey a higher risk for hospital admission and death [19, 22-24] and may be a relevant risk factor among a younger demographic, although the mechanism underlying this relationship remains unclear [22, 25].

Location of first COVID-19 diagnosis or SARS-CoV-2-positive test was an independent predictor of subsequent COVID-19-related ER/UC/hospitalization and COVID-19-related hospitalizations. This observation suggests that patients initially presenting in the ER/UC may have more severe COVID-19 that results in additional health-seeking behavior or medical care than patients whose first encounter is outside of the ER/UC setting. However, it is also possible that at least some of the subsequent resource use may be due to increased vigilance rather than immediate medical need.

While males were slightly more likely to have COVID-19-related UC/ER/hospitalization or hospitalizations within 30-days of an outpatient diagnosis, pregnancy was one of the strongest risk factors for subsequent COVID-19 related visits, although these subsequent visits may be precautionary rather than of necessity. Pregnancy has been identified as a risk factor for severe COVID-19, as pregnant women with COVID-19 were more likely to be hospitalized, admitted to intensive care, and receive mechanical ventilation support than non-pregnant women [26, 27]. Moreover, pregnant women are also potentially at higher risk for adverse birth outcomes such as preterm delivery and pregnancy loss [26, 28]. A more severe manifestation of COVID-19 among pregnant women and increased risk of adverse birth outcomes may explain the strong association between pregnancy and 30-day UMVs.

Race and ethnicity were significant risk factors for both outcomes, albeit the group with the highest risk was different for the composite outcome (Hispanics) than for hospitalizations (Asians). Increased risk and poorer outcomes of COVID-19 have been suggested to disproportionately affect some racial and ethnic minority groups [13, 29, 30]. Such effects may arise from several factors including social and economic determinants as well as a higher prevalence of comorbid conditions in some of these populations and are of special concern because of disparities in health care [31, 32].

While most comorbidities were associated with an increase in 30-day incidence of UMVs, after adjusting for age and other risk factors, the association between most comorbidities and outcomes was weak. Comorbidities that remained independent risk factors for subsequent COVID-19-related UMVs were CKD, autoimmune disease, COPD, CVD, and diabetes. We also found that uncontrolled diabetes conveys a slightly higher risk than controlled diabetes, which is consistent with a study that found that uncontrolled diabetes was associated with poorer outcomes among patients hospitalized for COVID-19 [33]. Interestingly, having a prior baseline hospitalization for any reason was more strongly associated with COVID-19related health care utilization than individual comorbidities, even after adjusting for other risk factors. A previous hospitalization may be a proxy for frailty, which itself may be a strong risk factor for severe disease and worse outcomes.

#### Limitations

Limitations of this study include that severity of COVID-19 could not be determined and that neither viral load nor symptom data were reliably captured in the database. We could not determine whether the initial outpatient COVID-19 diagnosis or positive SARS-CoV-2 test was driven by the presence or severity of symptoms. The database is also restricted to

patients seeking care within IDNs and may under-represent other populations such as those in rural areas, under-served communities, and those lacking insurance. Misclassification of outcomes is possible; visits occurring outside of IDNs will not be captured and subsequent COVID-19-related visits could be underestimated. Moreover, the reason for the encounter may be prone to error, particularly for UC/ER visits, because COVID-19 may not necessarily have been the primary motivation for seeking care. Furthermore, the database is not nationally representative, with under-representation of some geographic regions, reducing generalizability to the overall US population. Last, this analysis reflects a specific time period that may not necessarily be generalizable based on changes in epidemiology, geographic preparedness, adaptation of measures to reduce risk of infection, and rapidly evolving trends in disease management.

# CONCLUSIONS

This study characterized patients diagnosed with COVID-19 in the outpatient setting and their subsequent patterns of COVID-19-related UMVs. The overall risk of 30-day COVID-19-related ER/UC/hospitalization was 9.4% and 3.8% for COVID-19-related hospitalizations but they varied substantially by demographic and clinical factors. The strongest risk factors for subsequent COVID-19-related return visits were older age, obesity (with increasing risk with increasing BMI), first presentation in ER/UC, prior hospitalization, and pregnancy. Closer monitoring of these high-risk patients may help reduce subsequent hospitalizations and can be used to guide resource allocation, including identifying outpatients who may benefit from specific therapies.

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Compliance with Ethics Guidelines. The authors affirm that this retrospective database analysis did not entail collection, use, or transmittal of identifiable data. Based on 45CFR46.101(b)(4): Existing Data & Specimens—No Identifiers, this study is exempt from

the requirement for institutional review board approval. The study is compliant with data security requirements of the Health Insurance Portability and Accountability Act of 1996.

**Data Availability.** The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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