

# Real-Time Reverse-Transcription Quantitative Polymerase Chain Reaction Assay Is a Feasible Method for the Relative Quantification of Heregulin Expression in Non–Small Cell Lung Cancer Tissue

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**ABSTRACT:** In preclinical studies, heregulin (*HRG*) expression was shown to be the most relevant predictive biomarker for response to patritumab, a fully human anti-epidermal growth factor receptor 3 monoclonal antibody. In support of a phase 2 study of erlotinib ± patritumab in non-small cell lung cancer (NSCLC), a reverse-transcription quantitative polymerase chain reaction (RT-qPCR) assay for relative quantification of *HRG* expression from formalin-fixed paraffin-embedded (FFPE) NSCLC tissue samples was developed and validated and described herein. Test specimens included matched FFPE normal lung and NSCLC and frozen NSCLC tissue, and *HRG*-positive and *HRG*-negative cell lines. Formalin-fixed paraffin-embedded tissue was examined for functional performance. Heregulin distribution was also analyzed across 200 NSCLC commercial samples. Applied Biosystems TaqMan Gene Expression Assays were run on the Bio-Rad CFX96 real-time PCR platform. Heregulin RT-qPCR assay specificity, PCR efficiency, PCR linearity, and reproducibility were demonstrated. The final assay parameters included the Qiagen FFPE RNA Extraction Kit for RNA extraction from FFPE NSCLC tissue, 50 ng of RNA input, and 3 reference (housekeeping) genes (*HMBS*, *IPO8*, and *EIF2B1*), which had expression levels similar to *HRG* expression levels and were stable among FFPE NSCLC samples. Using the validated assay, unimodal *HRG* distribution was confirmed across 185 evaluable FFPE NSCLC commercial samples. Feasibility of an RT-qPCR assay for the quantification of *HRG* expression in FFPE NSCLC specimens was demonstrated.

**KEYWORDS:** Real-time reverse-transcription polymerase chain reaction (RT-qPCR), heregulin, non-small cell lung cancer, validation

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## Introduction

Lung cancer is currently the leading cause of cancer-related death in the United States,<sup>1</sup> and non-small cell lung cancer (NSCLC) accounts for more than 83% of all primary lung cancers.<sup>2</sup> Treatment options may include tumor resection (for resectable disease), radiation therapy, and/or chemotherapy or targeted and immune therapy (ie, immunotherapy).<sup>2</sup> Although immunotherapy offers the promise of durable responses for a subset of patients,<sup>3–5</sup> there are still unmet needs for patients who either do not respond to immunotherapy or who eventually relapse. Furthermore, data show that disease recurrence occurs in 18% to over 70% of all cases in which patients were treated with modalities such as tumor resection, curative-intent

radiotherapy, and chemotherapy.<sup>6–9</sup> Treatment efficacy and risk of developing toxicities to various cancer treatments also vary among patients and may be related to individual genetics.<sup>10</sup> It is therefore crucial to establish reliable tests for predictive biomarkers that can help match patient tumor characteristics with appropriate drugs (ie, personalized medicine)<sup>10</sup> to maximize the benefit to risk ratio, as well as guide clinical decision making.

Elevated expression of human epidermal growth factor receptor 3 (HER3) and its ligand heregulin (HRG) has been identified in various solid tumors, including NSCLC,<sup>11–15</sup> and in NSCLC, HER3 and HRG may play a role in the mediation of resistance to anti-epidermal growth factor receptor (anti-EGFR) treatment.<sup>16,17</sup> Although HER3 upregulation and

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reactivation may play a role in resistance to EGFR tyrosine kinase inhibitors,<sup>16-19</sup> in preclinical models, HRG has been shown to reverse EGFR sensitivity,<sup>20</sup> suggesting possible synergism between HER3 and EGFR inhibition to more completely block HER signaling. Given that checkpoint inhibitors do not significantly increase survival in patients with EGFR-mutant NSCLC, combining an HER3 inhibitor with anti-EGFR treatment may provide another therapeutic option.

Patritumab, a fully human anti-HER3 monoclonal antibody, was studied in the phase 2 HERALD (HER family Antagonism in Lung cancer, Daiichi-sankyo) trial in combination with erlotinib in EGFR treatment-naïve patients with advanced NSCLC who had failed at least 2 prior chemotherapies (NCT02350712).<sup>21</sup> Patritumab has been shown to inhibit HRG-mediated signaling through the HER3 pathway.<sup>22-24</sup> Preclinical studies showed that *HRG* expression, compared with HER3 and pHER3 expression, was the most relevant predictive biomarker for patritumab response.<sup>25,26</sup> It was hypothesized that high messenger RNA (mRNA) levels of HRG in tissue would correspond to greater clinical benefit to patritumab treatment.

Therefore, a sensitive and specific real-time reverse-transcription quantitative polymerase chain reaction (RT-qPCR) assay for use in the HERALD study was developed for the relative quantification of *HRG* expression in formalin-fixed paraffin-embedded (FFPE) NSCLC tissue samples. Herein, the development and validation of the HRG RT-qPCR assay in NSCLC are described.

## Materials and Methods

Feasibility and assay development studies were performed to determine an optimal RNA extraction method (kit) for FFPE NSCLC tissues, optimal RNA input for RT-qPCR, primer/probe selection, selection of optimal reference (housekeeping) genes, and *HRG* expression levels in FFPE NSCLC specimens. The final expression assays selected for *HRG* RT-qPCR analysis were then validated for specificity, PCR efficiency, PCR linearity, and reproducibility. The validation was intended to demonstrate the performance and define the parameters of TaqMan Gene Expression Assays for *HRG* and reference genes to be used for RT-qPCR analysis of FFPE NSCLC samples.

### Cell lines and tissue samples

Cell line cryovial stocks T47D (*HRG* negative, from ductal carcinoma) and A549 (*HRG* positive, from lung cancer) were cultured in 1:1 media (F12-K: Dulbecco Modified Eagle Medium). Cells were processed as either fresh (RNA extracted from frozen cell pellet) or to create a simulated FFPE sample. Cells were grown, pelleted, fixed, embedded, and sectioned, and sections were used for RNA extraction.

Matched frozen NSCLC, FFPE normal lung, and FFPE NSCLC tissue specimens were purchased from Asterand Bioscience (Detroit, MI, USA) and BioServe (Beltsville, MD,

USA). Non-small cell lung cancer specimens ( $\geq 60\%$  tumor cell content) were sectioned to a 5- $\mu\text{m}$  thickness and were used for hematoxylin-eosin (H&E) staining and RNA extraction. Only those FFPE tissues that yielded RNA of acceptable purity (1.5-2.2 using a spectrophotometric absorbance ratio of A260/280) and acceptable functional performance (cycle threshold [*Ct*] < 35) via reference gene assay analysis were used.

### Identification of an optimal RNA extraction method

RNA yields and quality from FFPE NSCLC samples were compared using the Qiagen FFPE RNA Extraction Kit (Qiagen, Germantown, MD, USA), the Ambion PureLink FFPE RNA Isolation Kit (purchased from Life Technologies, Carlsbad, CA), and a modified method using the BIOstic FFPE Extraction Kit developed by MO BIO (Carlsbad, CA, USA). Deoxyribonuclease treatment was not included in any of these methods. RNA yields were determined by A260/A280 ratios.

*RNA extraction during assay validation.* Histopathology and percent of tumor content were reviewed and confirmed by a pathologist from the H&E-stained tissue sections; macrodissection was not necessary due to a high level of tumor cellularity (ie,  $\geq 60\%$ ). The Qiagen FFPE RNA Extraction Kit was used for RNA extraction.

### Identification of optimal RNA input for RT-qPCR

To identify the optimal RNA input for analysis of *HRG* expression levels in FFPE NSCLC patient samples, 3 different amounts of RNA (20, 50, and 100 ng) were tested. The 20-ng quantity was chosen as the starting level as this is the lowest RNA input amount recommended by the manufacturer for the complementary DNA (cDNA) synthesis kit. The 100-ng quantity was chosen as the final amount as this is the upper limit of cDNA reaction mix input recommended by the manufacturer for RT-qPCR reactions. Input amounts of cDNA generated from the Qiagen and MO BIO RNA extraction kits were tested using 20, 50, or 100 ng cDNA volumes in a 20- $\mu\text{L}$  reaction mix. All RT-qPCR assays were performed in triplicate.

### *HRG* primer/probe selection

For assay optimization experiments, 3 *HRG* primer/probe sets with amplicon sizes of 93 base pairs (bp) (Hs00247620\_m1 [primer/probe "A"]), 90 bp (Hs01108479\_m1 [primer/probe "B"]), and 72 bp (Hs01101537\_m1 [primer/probe "C"]) were analyzed. The 3 assays (primer/probe sets) were chosen due to their detection of HRG- $\alpha$  and HRG- $\beta$  isoforms, which are important for the HER3 pathway, as well as for their small amplicon sizes. Because RNA in FFPE specimens is heavily fragmented, small amplicons are important for successful qPCR.<sup>27</sup>

### Identification of optimal reference genes for *HRG* data normalization

**Preliminary reference gene screening.** To select reference genes, preliminary screening was conducted across 32 potential genes using RNA extracted from fresh frozen A549 cells.

**Final reference gene selection.** The purpose of the final gene selection was to identify reference genes that are appropriate to be used for normalization of *HRG* expression levels in FFPE NSCLC tissue samples. Optimal reference genes should be expressed in the range of *HRG* levels found in *HRG*-positive FFPE NSCLC samples and should not be affected by sample matrix or tissue type (ie, normal versus tumor).

**Matched frozen NSCLC versus FFPE NSCLC tissue.** The expression levels of 8 reference genes (from the original 32 genes) and *HRG* were measured in frozen NSCLC tissue samples to confirm that their expression levels were close to *HRG* expression levels. The *Ct* value shift between matched frozen NSCLC and FFPE NSCLC tissue was expected to be similar among *HRG* and housekeeping genes.

**Matched FFPE NSCLC versus FFPE normal lung tissue.** To show that the reference gene expression was not biased between FFPE normal lung and FFPE NSCLC tissue, RNA was extracted from FFPE normal lung and FFPE NSCLC samples using the Qiagen FFPE RNA Extraction Kit. A preliminary assessment of *HRG* expression levels in FFPE normal lung tissue was also performed using 5 samples of FFPE normal lung tissues matched to the FFPE NSCLC cases and 3 nonmatched (random) FFPE normal samples.

### *HRG* RT-qPCR assay validation

The purpose of the validation was to verify that the required performance characteristics of the RT-qPCR assays were met and determine whether the assays were suitable for relative quantification of *HRG* expression in FFPE NSCLC tissue samples.

**Reverse-transcription quantitative polymerase chain reaction.** RNA was extracted from FFPE normal lung and FFPE NSCLC samples using the Qiagen FFPE RNA Extraction Kit. Reverse transcription was completed with 1000 ng of RNA input in 40  $\mu$ L total volume. First strand cDNA synthesis was performed using the Applied Biosystems High Capacity cDNA Synthesis Kit (Thermo Fisher Scientific, Carlsbad, CA, USA) according to manufacturer's instructions. Complementary DNA reaction was further diluted to 80  $\mu$ L to create a 12.5 ng/ $\mu$ L working stock. The RT-qPCR analysis was completed using 4  $\mu$ L (50 ng cDNA) input in 20  $\mu$ L total volume. All qPCR reactions were run in triplicate. The qPCR analysis was performed using Applied Biosystems predesigned TaqMan Gene Expression assays and TaqMan Gene Expression Master Mix on the Bio-Rad CFX96 real-time PCR machine. Cycling was per manufacturer's

instructions. Data analysis was performed using the Bio-Rad CFX96 version 1.5 software set with a threshold of 100 and an auto baseline.

**Target specificity.** Bioinformatic analysis was performed by Applied Biosystems, using proprietary primers and probes, to show that *HRG* assays specifically targeted *HRG*. Functional testing of each assay was performed using genomic DNA (gDNA) and cDNA from A549 cells (gDNA and cDNA derived from fresh cell pellets and FFPE samples, respectively), and target specificity was confirmed by visualization of PCR amplicons from *HRG* and the 3 selected reference gene assays on a 2% agarose ethidium bromide gel. The gene expression assays were run in triplicate using gDNA and cDNA templates.

**PCR efficiency and linearity.** To determine whether the target and reference gene assays could be used for relative quantification experiments, PCR efficiency and linearity were assessed to verify similarity across a range of template input amounts (0.01, 0.1, 1, 5, 10, 25, 50 and 100 ng) using Universal Human Reference RNA from Stratagene (La Jolla, CA, USA). Acceptance criteria for PCR efficiency were 90% to 110% for each assay, corresponding to a slope of  $-3.6$  to  $-3.1$ . The coefficient of determination ( $R^2$ ) was calculated to determine the correlation between RNA input and *Ct* value for each assay. Each individual assay, *HRG*, *HMBS*, *EIF2B1*, and *IPO8*, must have an  $R^2 \geq 0.99$  to distinguish a 2-fold difference in template concentration. For each assay, 6 curves were run, in duplicate, over 3 days. The RT-qPCR analysis was completed with the gene expression assays *HRG* (primer/probe C), *HMBS* (Hs00609297\_m1), *EIF2B1* (Hs00426752\_m1), and *IPO8* (Hs00183533\_m1) using the Bio-Rad CFX96 instrument platform.

**Intra-run and inter-run reproducibility.** For intra-run reproducibility, 6 RNA extractions were performed within 1 day for each FFPE sample. The number of tissue sections equivalent to 1.5 cm<sup>2</sup> tissue area from each case was used for each RNA extraction. The RT-qPCR analysis was completed within a single day. The mean  $\Delta Ct$  and standard deviation were calculated across intra-run replicates.

For inter-run reproducibility, the same set of FFPE NSCLC samples was subject to 5 separate RNA extractions (each set comprised 6 FFPE NSCLC samples) that were completed over 3 days. RNA extraction and RT-qPCR analysis were completed by 2 operators.

The Universal Human Reference RNA was run as a positive control. No template controls were composed of qPCR master mix and water for confirmation of contaminant-free reagents.

### Data analysis

Heregulin expression levels were normalized according to the following formula:  $\Delta Ct = \text{Mean } Ct \text{ } HRG - \text{Mean } Ct \text{ (reference genes)}$ .

**Table 1.** Final RNA extraction comparison.

RNA ORIGIN	RNA CONCENTRATION, ng/μL		TOTAL RNA YIELD, ng		A260/A280	
	QIAGEN	MO BIO	QIAGEN	MO BIO	QIAGEN	MO BIO
<b>FFPE NSCLC</b>						
1173692B	119.7	74.6	3590	2239	1.78	1.84
1173127B	82.3	69.2	2470	2076	1.80	1.89
1173740B	154.7	191.2	4640	5735	1.88	1.95
2YHODAZN	104.1	80.3	3122	2409	1.90	2.05
AF8ALAN9	45.5	35.7	1364	1070	1.81	2.03
QISZA1M	88.9	69.7	2666	2090	1.91	1.99
TRQR7A81	258.1	167.5	7742	5025	1.94	1.76
<b>Cell lines<sup>a</sup></b>						
FFPE <i>HRG</i> +	65.4	91.2	1963	2735	1.90	2.06
FFPE <i>HRG</i> (-)	215.9	103.6	6476	3108	1.94	1.95

Abbreviations: FFPE, formalin-fixed paraffin-embedded; *HRG*, heregulin; NSCLC, non-small cell lung cancer.

<sup>a</sup>*HRG*-positive cell line = A549 and *HRG*-negative cell line = T47D.

To further evaluate the precision of intra- and inter-run reproducibility, the fold difference in  $\Delta Ct$  values was obtained from the set of 5 or 6 extractions per sample by the following formula (lower values represent higher expression):

$$2^{(\text{delta } \Delta Ct \text{ deviation})} = \text{fold difference}$$

$$(\Delta Ct \text{ deviation}) = \Delta Ct(\text{highest}) - \Delta Ct(\text{lowest})$$

#### *HRG* distribution in NSCLC tumor specimens

In total, 200 NSCLC commercial tissue blocks were purchased from multiple commercial tissue depository vendors, and newly sectioned slides were used to test for *HRG* gene expression using the validated RT-qPCR assay. The distribution of NSCLC subtypes in the commercial samples was matched to the distribution of NSCLC subtypes observed in the HERALD study. Biopsies were performed between December 2009 and August 2013, and specimens were analyzed between 1 and 46 months post biopsy (time between biopsy and analysis was staggered to detect any possible variation in the integrity of the stored specimens over approximately 4 years).

***HRG* mRNA distribution.** Shames et al<sup>28</sup> showed a bimodal distribution of *HRG* gene expression in squamous cell carcinoma of the head and neck tissue samples. In the HERALD study, *HRG* gene distribution was shown as a unimodal distribution among 103 samples.<sup>21,29</sup> To confirm the unimodal distribution observed in a larger sample set, the NSCLC FFPE commercial tissue blocks were analyzed to show the distribution of *HRG* expression.

## Results

### *Identification of an optimal RNA extraction method*

When the Qiagen FFPE RNA and Ambion PureLink extraction kits were compared, better RNA yield and purity were obtained using the Qiagen kit (Supplemental Table S1).

Subsequently, 7 FFPE NSCLC tissue samples and 2 FFPE cell line samples (A549 and T47D) were used in the comparison between the Qiagen FFPE RNA Extraction Kit and MO BIO-purified RNA kit. RNA yield and purity of RNA extractions were compared (Table 1). In all, 6 out of 7 NSCLC samples had higher RNA yield and better RNA purity with the Qiagen kit. The Qiagen FFPE RNA Extraction Kit was selected for use in the final assay validation studies.

### *Identification of optimal RNA input for RT-qPCR and HRG primer/probe selection*

Replicate and mean *Ct* values were obtained for *HRG* assay performed with 20, 50, and 100 ng of RNA (Table 2). Among the 3 tested primer/probe sets (A, B, and C), C generated the smallest amplicon (72 bp) and had the best performance (per detection of *HRG* transcripts) and was thus selected for further validation studies.

### *Identification of optimal reference genes for HRG data normalization*

***Preliminary reference gene screening.*** During preliminary screening, most reference genes had higher expression levels than *HRG* expression in frozen A549 cells (data not shown). Seven reference genes (*HMBS*, *PUM1*, *ABL1*, *IPO8*,

**Table 2.** Selection of *HRG* primer and amount of RNA input for the Qiagen kit.<sup>a</sup>

RNA ORIGIN	Ct VALUES								
	<i>HRG</i> PRIMER/PROBE B <sup>b</sup>			<i>HRG</i> PRIMER/PROBE A <sup>b</sup>			<i>HRG</i> PRIMER PROBE C <sup>b</sup>		
	20 ng	50 ng	100 ng	20 ng	50 ng	100 ng	20 ng	50 ng	100 ng
<b>FFPE NSCLC</b>									
1173692B	N/A	N/A	N/A	N/A	36.7	36.6	38.2	37.3	35.4
1173127B	N/A	N/A	N/A	N/A	38.6	37.7	38.0	36.5	37.0
1173740B	N/A	N/A	N/A	N/A	N/A	38.7	39.0	38.2	37.3
2YHODAZN	39.8	N/A	39.4	39.8	37.2	35.2	36.9	35.6	35.1
AF8ALAN9	39.8	38.8	38.2	39.8	34.5	33.3	34.8	33.7	32.5
QISIZA1M	36.5	35.0	34.3	36.5	32.6	31.3	34.4	33.4	31.8
TRQR7A81	32.1	31.5	30.0	32.1	28.4	27.3	29.4	28.3	26.8
<b>Cell lines<sup>c</sup></b>									
Fresh <i>HRG</i> +	29.1	29.0	27.2	27.3	26.9	25.4	26.9	26.4	24.5
FFPE <i>HRG</i> +	36.7	35.4	34.3	36.7	31.2	30.3	32.1	30.9	29.3
Fresh <i>HRG</i> (-)	N/A	N/A	N/A	N/A	N/A	39.4	38.8	37.3	38.2
FFPE <i>HRG</i> (-)	N/A	N/A	N/A	N/A	N/A	38.8	N/A	38.8	37.1

Abbreviations: Ct, cycle threshold; FFPE, formalin-fixed paraffin-embedded; *HRG*, heregulin; N/A, not amplifiable; NSCLC, non-small cell lung cancer.

<sup>a</sup>Mean Ct values for each assay for the 3 input amounts using Qiagen kit-extracted RNA.

<sup>b</sup>Primer/probe A = Hs00247620\_m1, B = Hs01108479\_m1, and C = Hs01101537\_m1.

<sup>c</sup>*HRG*-positive cell line = A549 and *HRG*-negative cell line = T47D.

*EIF2B1*, *GADD45A*, and *TBP*) that demonstrated similar expression levels to *HRG* in frozen A549 cells were selected for further testing; 1 additional reference gene with higher levels of expression (*UBC*) was also included, for a total of 8 reference genes.

For the primer/probe set C in frozen NSCLC samples, mean *HRG* Ct values ranged from 25 to 32 (mean 28.2) (Table 3). When RNA from frozen NSCLC tumor samples was analyzed, the mean Ct values for *HMBS* (24.5), *GADD45A* (25.1), and *TBP* (25.3) were closest to levels of *HRG* expression in frozen NSCLC samples (Table 4) and were therefore selected as the initial reference genes for subsequent analysis. When the mean difference in Ct between matched frozen NSCLC and FFPE NSCLC samples for *HRG* and the 3 reference genes (*HMBS*, *GADD45A*, and *TBP*) was compared using 7 paired samples, the data indicated that the Ct value shift of *TBP* and *GADD45A* was significantly higher than the Ct value shift of *HRG* (data not shown). Therefore, *HMBS*, *EIF2B1*, *IPO8*, *PUM1*, and *ABL1* were selected for further consideration.

#### Final reference gene selection

*Matched frozen NSCLC versus FFPE NSCLC tissue.* The mean difference in Ct between matched frozen NSCLC and FFPE NSCLC samples for *HRG* and the 5 reference genes

(*HMBS*, *EIF2B1*, *IPO8*, *PUM1*, and *ABL1*) ranged from 6.7 to 9.2 (Table 5). The 3 reference genes with a difference closest to that observed for *HRG* (6.7) were *IPO8* (6.7), *EIF2B1* (7.7), and *HMBS* (7.9). These assays also demonstrated the lowest standard deviation (1.06, 1.31, and 1.32, respectively) across samples.

*Matched FFPE NSCLC versus FFPE normal lung tissue.* The mean difference in Ct between matched FFPE NSCLC and FFPE normal lung tissue for the 5 reference gene (*HMBS*, *EIF2B1*, *IPO8*, *PUM1*, and *ABL1*) ranged from -1.5 (*PUM1*) to -0.5 (*IPO8*) (Table 6). There did not appear to be bias in the expression level of the 5 reference genes due to tissue type (ie, normal versus tumor). The 3 genes with the smallest mean difference in Ct values were *EIF2B1* (-1.2), *ABL1* (-0.8), and *IPO8* (-0.5). The lowest standard deviation across samples was observed for *IPO8* (0.68), *HMBS* (0.72), *EIF2B1* (0.75), and *ABL1* (0.72).

Overall, *IPO8*, *EIF2B1*, and *HMBS* generated the lowest difference in standard deviation values for matched frozen NSCLC, FFPE normal lung, and FFPE NSCLC tissue, across all FFPE NSCLC samples (suggesting that they can perform well across varying RNA quality and are suitable for normalization of *HRG* Ct values), and were thus selected as the final 3 reference genes.

**Table 3.** *HRG* gene expression assay analysis in frozen NSCLC samples.<sup>a</sup>

RNA ORIGIN	Ct VALUES FOR <i>HRG</i> PRIMER/PROBE C <sup>b</sup>	
	MEAN	SD
<b>Frozen NSCLC</b>		
1173692F-30	28.2	0.05
1173127F-30	29.8	0.05
1173740F-30	32.0	0.19
2YHOD	28.6	0.02
AF8AL	27.8	0.06
Q151Z	25.4	0.02
TRQR7	25.6	0.09
Mean	28.2	
SD	2.29	
<b>Fresh cell lines<sup>c</sup></b>		
<i>HRG</i> +	25.5	0.05
<i>HRG</i> (-)	38.8	NC

Abbreviations: Ct, cycle threshold; *HRG*, heregulin; NC, could not be calculated; NSCLC, non-small cell lung cancer.

<sup>a</sup>Three *HRG* gene expression assays (only primer/probe C is shown) were tested in triplicate on 7 frozen NSCLC samples and 2 cell line RNA controls. Cell line RNA controls were included to correlate with previous work and not used in the final calculations for the mean and SD.

<sup>b</sup>Primer/probe C = Hs01101537\_m1.

<sup>c</sup>*HRG*-positive cell line = A549 and *HRG*-negative cell line = T47D.

**Table 4.** Reference gene expression analysis in frozen NSCLC tumor samples.<sup>a</sup>

RNA ORIGIN	Ct VALUES							
	<i>UBC</i>		<i>TBP</i>		<i>GADD45A</i>		<i>ABL1</i>	
	MEAN	SD	MEAN	SD	MEAN	SD	MEAN	SD
<b>Frozen NSCLC</b>								
1173692F-30	19.5	0.06	25.1	0.15	25.4	0.19	23.8	0.04
1173127F-30	20.2	0.03	26.1	0.12	24.6	0.13	24.3	0.07
1173740F-30	20.9	0.19	24.0	0.10	26.0	0.02	24.2	0.17
2YHOD	19.9	0.14	25.1	0.04	25.8	0.04	23.7	0.08
AF8AL	19.4	0.19	25.8	0.19	24.0	0.08	23.2	0.09
Q151Z	19.8	0.09	25.1	0.12	24.8	0.13	22.6	0.08
TRQR7	20.6	0.09	26.1	0.07	24.9	0.08	23.9	0.11
Mean	20.0		25.3		25.1		23.7	
SD	0.57		0.76		0.71		0.58	
<b>Fresh cell lines<sup>b</sup></b>								
<i>HRG</i> +	21.3	0.07	27.6	0.14	27.1	0.14	25.9	0.15
<i>HRG</i> (-)	21.7	0.12	28.6	0.11	29.2	0.12	26.1	0.10

**Table 4.** (Continued)

RNA ORIGIN	Ct VALUES							
	EIF2B1		PUM1		HMBS		IP08	
	MEAN	SD	MEAN	SD	MEAN	SD	MEAN	SD
<b>Frozen NSCLC</b>								
1173692F-30	23.2	0.16	21.5	0.08	24.2	0.07	23.9	0.16
1173127F-30	25.0	0.21	22.2	0.06	25.0	0.16	24.7	0.09
1173740F-30	23.3	0.10	21.6	0.10	24.4	0.09	24.1	0.10
2YHOD	23.5	0.05	20.9	0.07	24.2	0.05	24.4	0.02
AF8AL	23.2	0.16	21.1	0.09	23.8	0.12	23.5	0.12
Q151Z	23.1	0.09	21.4	0.08	24.4	0.09	23.6	0.17
TRQR7	24.4	0.02	22.5	0.03	25.3	0.08	24.6	0.18
Mean	23.7		21.6		24.5		24.1	
SD	0.72		0.58		0.53		0.48	
<b>Fresh cell lines<sup>b</sup></b>								
HRG+	25.7	0.25	24.7	0.11	24.6	0.01	25.9	0.17
HRG(-)	25.5	0.04	24.7	0.12	24.5	0.03	25.6	0.12

Abbreviations: HRG, heregulin; NSCLC, non-small cell lung cancer.

<sup>a</sup>Eight reference gene assays were tested in triplicate on 7 extracted RNA samples and 2 cell line RNA control samples. Cell line RNA controls were included as controls for comparison across experiments. The cell line values were not used in the final calculations for the mean and SD.

<sup>b</sup>HRG-positive cell line = A549 and HRG-negative cell line = T47D.

**Table 5.** Comparison of Ct values in matched frozen to FFPE NSCLC tissue with HRG plus 5 reference genes.

	MEAN Ct VALUE								
	HRG (72 bp)			HMBS (64 bp)			EIF2B1 (75 bp)		
	FROZEN NSCLC	FFPE NSCLC	ΔCt FFPE VS FROZEN NSCLC	FROZEN NSCLC	FFPE NSCLC	ΔCt FFPE VS FROZEN NSCLC	FROZEN NSCLC	FFPE NSCLC	ΔCt FFPE VS FROZEN NSCLC
<b>FFPE NSCLC</b>									
TRQR7A81	25.6	28.3	2.7	25.3	30.9	5.6	24.4	29.6	5.2
2YHODAZN	28.6	36.8	8.2	24.2	31.8	7.6	23.5	31.1	7.6
QISIZA1M	25.4	33.8	8.3	24.4	32.0	7.7	23.1	31.5	8.3
AF8ALAN9	27.8	33.4	5.6	23.8	31.2	7.4	23.2	30.0	6.8
1173692B	28.2	36.5	8.4	24.2	34.0	9.8	23.2	32.1	9.0
1173127B	29.8	37.5	7.8	25.0	33.9	8.9	25.0	33.2	8.2
1173740B	32.0	37.9	5.9	24.4	32.4	8.0	23.3	31.9	8.5
Mean	28.2	34.9	6.7	24.4	32.3	7.9	23.7	31.3	7.7
SD	2.29	3.38	2.10	0.53	1.21	1.32	0.72	1.25	1.31

(Continued)

Table 5. (Continued)

	MEAN $C_t$ VALUE								
	<i>IPO8</i> (71 bp)			<i>ABL1</i> (91 bp)			<i>PUM1</i> (89 bp)		
	FROZEN NSCLC	FFPE NSCLC	$\Delta C_t$ FFPE VS FROZEN NSCLC	FROZEN NSCLC	FFPE NSCLC	$\Delta C_t$ FFPE VS FROZEN NSCLC	FROZEN NSCLC	FFPE NSCLC	$\Delta C_t$ FFPE VS FROZEN NSCLC
<b>FFPE NSCLC</b>									
TRQR7A81	24.6	29.3	4.6	23.9	28.9	5.1	22.5	28.3	5.8
2YHODAZN	24.4	31.5	7.0	23.7	32.4	8.7	20.9	30.1	9.1
QISIZA1M	23.6	31.1	7.5	22.6	31.7	9.1	21.4	31.2	9.8
AF8ALAN9	23.5	29.5	6.1	23.2	29.9	6.7	21.1	29.3	8.2
1173692B	23.9	31.6	7.7	23.8	33.1	9.3	21.5	32.0	10.5
1173127B	24.7	31.9	7.2	24.3	32.3	8.1	22.2	32.5	10.2
1173740B	24.1	30.9	6.8	24.2	33.7	9.5	21.6	32.1	10.4
Mean	24.1	30.8	6.7	23.7	31.7	8.1	21.6	30.8	9.2
SD	0.48	1.03	1.06	0.58	1.70	1.63	0.58	1.60	1.70

Abbreviations:  $C_t$ , cycle threshold; FFPE, formalin-fixed paraffin-embedded; NSCLC, non-small cell lung cancer.

Table 6. Comparison of  $C_t$  values in FFPE NSCLC tissues and FFPE normal lung tissue for *HRG* plus 5 reference genes.

	MEAN $C_t$ VALUES								
	<i>HRG</i> (72 bp)			<i>HMBS</i> (64 bp)			<i>EIF2B1</i> (75 bp)		
	FFPE NSCLC	FFPE NORMAL LUNG	$\Delta C_t$ FFPE NSCLC VS NORMAL LUNG	FFPE NSCLC	FFPE NORMAL LUNG	$\Delta C_t$ FFPE NSCLC VS NORMAL LUNG	FFPE NSCLC	FFPE NORMAL LUNG	$\Delta C_t$ FFPE NSCLC VS NORMAL LUNG
<b>FFPE NSCLC</b>									
TRQR7A81	28.3	34.3	-6.0	30.9	32.9	-2.0	29.6	31.0	-1.4
2YHODAZN	36.8	36.1	0.7	31.8	33.4	-1.6	31.1	32.9	-1.8
QISIZA1M	33.8	34.3	-0.6	32.0	32.2	-0.1	31.5	31.4	0.1
AF8ALAN9	33.4	34.9	-1.5	31.2	32.4	-1.3	30.0	31.3	-1.3
1173692B	36.5	37.6	-1.0	34.0	35.6	-1.7	32.1	33.7	-1.6
<b>FFPE normal lung</b>									
1171535B	NA	35.1	NA	NA	35.5	NA	NA	32.6	NA
1173394B	NA	29.0	NA	NA	29.8	NA	NA	27.6	NA
1173400B	NA	29.2	NA	NA	29.7	NA	NA	27.6	NA
Mean	33.77	33.8	-1.7	32.0	32.7	-1.3	30.9	31.0	-1.2
SD	3.41	3.10	2.55	1.21	2.21	0.72	1.06	2.29	0.75
	MEAN $C_t$ VALUE								
	<i>IPO8</i> (71 bp)			<i>ABL1</i> (91 bp)			<i>PUM1</i> (89 bp)		
	FROZEN NSCLC	FFPE NSCLC	$\Delta C_t$ FFPE VS FROZEN NSCLC	FROZEN NSCLC	FFPE NSCLC	$\Delta C_t$ FFPE VS FROZEN NSCLC	FROZEN NSCLC	FFPE NSCLC	$\Delta C_t$ FFPE VS FROZEN NSCLC
<b>FFPE NSCLC</b>									
TRQR7A81	29.3	30.2	-2.0	28.9	30.5	-1.6	28.3	30.4	-2.1
2YHODAZN	31.5	31.7	-0.3	32.4	33.4	-1.0	30.1	32.4	-2.4



Table 6. (Continued)

	IPO8 (71 bp)			ABL1 (91 bp)			PUM1 (89 bp)		
QISZA1M	31.1	30.5	0.6	31.7	31.3	0.4	31.2	31.2	0.0
AF8ALAN9	29.5	30.5	-1.0	29.9	30.9	-0.9	29.3	30.8	-1.5
1173692B	31.6	32.4	-0.8	33.1	33.7	-0.7	32.0	33.7	-1.7
<b>FFPE Normal lung</b>									
1171535B	NA	31.5	NA	NA	32.7	NA	NA	32.3	NA
1173394B	NA	27.1	NA	NA	27.0	NA	NA	25.9	NA
1173400B	NA	27.2	NA	NA	26.6	NA	NA	25.9	NA
Mean	30.6	30.2	-0.5	31.2	30.8	-0.8	30.2	30.3	-1.5
SD	1.12	1.97	0.68	1.72	2.71	0.72	1.49	2.94	0.94

Abbreviations: Ct, cycle threshold; FFPE, formalin-fixed paraffin-embedded; HRG, heregulin; NA, not applicable (no matched tissue available); NSCLC, non-small cell lung cancer.

### HRG RT-qPCR assay validation

**Target specificity.** Polymerase chain reactions (*HRG*, *HMBS*, *EIF2B1*, and *IPO8*) produced amplification from a cDNA template, and no amplification was detected from the gDNA template. When PCR products were run on a 2% agarose ethidium bromide gel, a single expected band for *HRG*, *HMBS*, *EIF2B1*, or *IPO8* was observed from *HRG*, *HMBS*, *EIF2B1*, or *IPO8* PCR reactions, respectively, when cDNA was used as template; no band was observed from PCR reactions when gDNA was used as template (expected, because of the use of primers that are designed to span exon-exon boundaries; data not shown). These data demonstrated specificity of the primer/probes for *HRG* and the 3 reference gene assays.

**PCR efficiency and linearity.** For *HRG* and the 3 housekeeping genes (*HMBS*, *EIF2B1*, and *IPO8*), based on the mean values for slope and  $R^2$  across 6 runs, the average PCR efficiency and linearity across 6 runs met the predefined PCR efficiency (90%-110%; slope -3.6 to -3.1) and coefficient of determination ( $R^2 \geq 0.99$ ) requirements (Table 7 and representative graphs in Figure 1).

**Intra-run and inter-run reproducibility.** The intra-run reproducibility experiments demonstrated that normalized *HRG* expression levels could vary from 1.1-fold to 5.4-fold between independent extractions (Table 8). In FFPE specimens, inter-run reproducibility experiments demonstrated that normalized *HRG* expression levels could vary from 1.1-fold to 2.5-fold between independent extractions, independent RT-qPCR runs, and 2 operators (Table 9). The fold difference in normalized expression levels for the positive control sample was 1.1. The results also support data from the PCR efficiency and linearity experiments which demonstrated that mean Ct values greater than 35 for either *HRG* or reference gene expression assays trend toward a higher SD. Variability in *HRG*  $\Delta Ct$  values can also be attributed to the use of serial tissue sections for each independent extraction due to tumor heterogeneity.

Results of the *HRG* gene expression assay validation study are summarized in Table 10; the performance of the validation

met predefined acceptance criteria and the assay was deemed to be validated and suitable for use for analysis of clinical samples.

### HRG Distribution in NSCLC Tumor Specimens

Of the 200 NSCLC commercial tissue blocks freshly sectioned and analyzed, most (59.0%) were adenocarcinoma subtypes (Table 11). The distribution of NSCLC subtypes in 200 NSCLC commercial samples was matched to the distribution of NSCLC subtypes observed in the HERALD study. Tumor stage was known for 197 of the 200 matched HERALD specimens in patients with histologically confirmed stage I (26.8%), stage II (37.1%), stage III (30.4%), and stage IV (5.7%) NSCLC; most of the specimens (52.5%) had  $\geq 85\%$  tumor content (91.5% had  $\geq 65\%$  tumor content).

**HRG mRNA distribution.** Figure 2 illustrates a unimodal distribution of *HRG* expression levels across 185 evaluable samples, with a median  $\Delta Ct$  of 4.6. Approximately, 43% to 44% of NSCLC samples could be classified as *HRG* "high expressors" ([*HRG*-high] high and low *HRG* expression was defined by the median  $\Delta Ct$  of blinded data from the RT-qPCR assay in the HERALD study).<sup>29</sup>

### Discussion

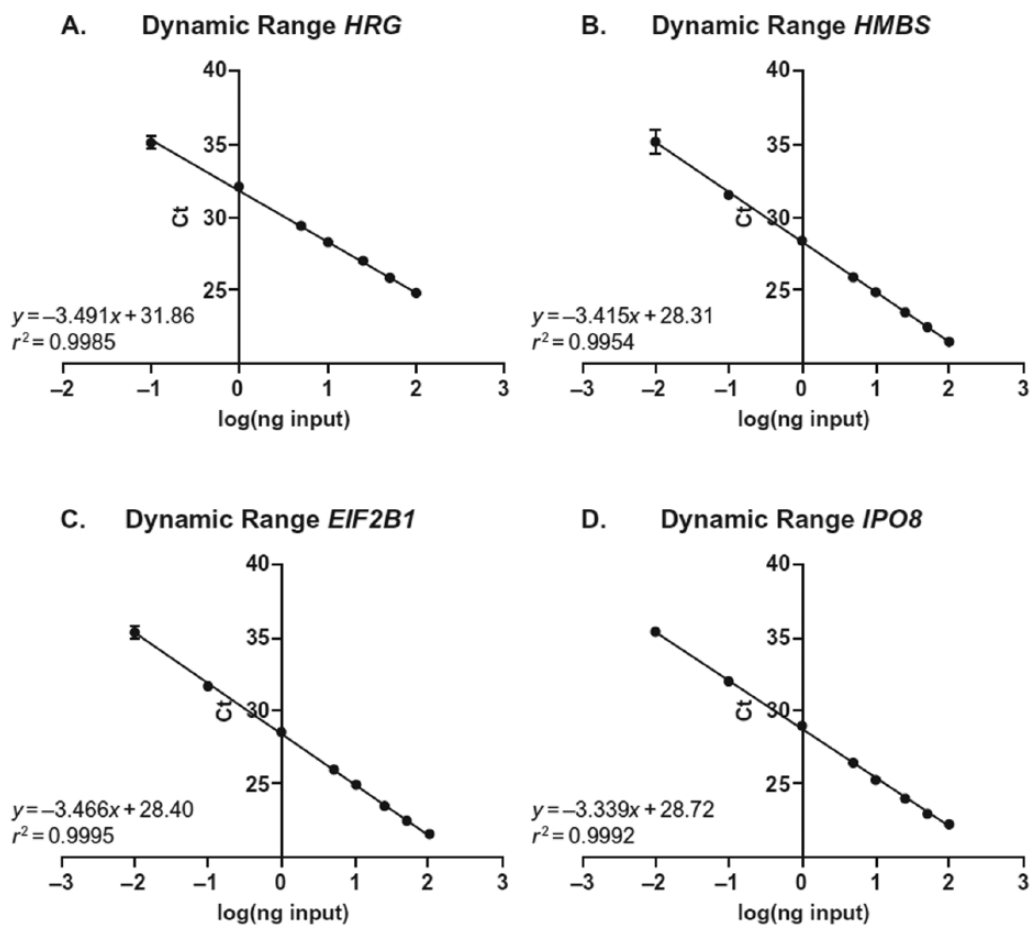
An RT-qPCR assay was developed and validated for the relative quantification of *HRG* expression in FFPE NSCLC tissue samples. Assay feasibility was demonstrated by comparison of data from fresh and FFPE cell line pellets, matched frozen NSCLC and FFPE NSCLC samples, and FFPE normal lung and FFPE NSCLC. Performance was demonstrated and parameters were defined for the Applied Biosystems TaqMan Gene Expression assays for the target gene, *HRG*, and 3 reference genes (*HMBS*, *IPO8*, and *E12FB1*). This validated RT-qPCR assay was subsequently used to measure *HRG* mRNA from FFPE tissue in the phase 2 HERALD study and in commercial samples, in patients with NSCLC.

**Table 7.** PCR efficiency and linearity for *HRG* and the 3 housekeeping genes (*HMBS*, *EIF2B1*, and *IPO8*).

GENE TARGET	RUN 1	RUN 2	RUN 3	RUN 4	RUN 5	RUN 6	MEAN
<b>PCR efficiency, %</b>							
<i>HRG</i>	93.3 <sup>a</sup>	97.6 <sup>a</sup>	92.6 <sup>a</sup>	91.0 <sup>a</sup>	98.1 <sup>a</sup>	88.5 <sup>a</sup>	93.5 <sup>a</sup>
<i>HMBS</i>	94.8	93.3	91.2	97.8	99.0	102.3	96.4
<i>EIF2B1</i>	93.3	93.6	94.7	92.5	93.8	98.4	94.4
<i>IPO8</i>	100.0	101.0	99.1	99.8	96.1	99.9	99.3
<b>R<sup>2</sup></b>							
<i>HRG</i>	0.9966 <sup>a</sup>	0.9922 <sup>a</sup>	0.9986 <sup>a</sup>	0.9954 <sup>a</sup>	0.9958 <sup>a</sup>	0.9972 <sup>a</sup>	0.9960 <sup>a</sup>
<i>HMBS</i>	0.9988	0.9925	0.9925	0.9987	0.9986	0.9977	0.9965
<i>EIF2B1</i>	0.9987	0.9994	0.9988	0.9986	0.9982	0.9979	0.9986
<i>IPO8</i>	0.9992	0.9986	0.9989	0.9991	0.9989	0.9984	0.9989

Abbreviation: *HRG*, heregulin.

<sup>a</sup>No amplification was seen in the *HRG* assay at 0.01 ng complementary DNA. The calculation was based on 7 data points instead of 8 data points.



**Figure 1.** PCR efficiency and linearity for *HRG* and 3 housekeeping genes: (A) *HRG*<sup>a,b</sup> (B) *HMBS*<sup>b</sup>, (C) *EIF2B1*<sup>b</sup>, and (D) *IPO8*<sup>b</sup>. *Ct* values as a function of input template. *Ct* indicates cycle threshold.

<sup>a</sup>The *HRG* figure represents a 7-point curve analysis due to no amplification seen in lowest point of 0.01 ng RNA.

<sup>b</sup>Each point represents the mean *Ct* across 6 runs.

**Table 8.** Intra-run reproducibility: normalized Ct values for *HRG* ( $\Delta Ct$ ) for each RNA extraction and FFPE sample and mean  $\Delta Ct$  deviation comparison.

SAMPLE ID	INTRA-RUN $\Delta Ct$								INTRA-RUN MEAN $\Delta Ct$ DEVIATION COMPARISON <sup>a</sup>			
	RUN 1	RUN 2	RUN 3	RUN 4	RUN 5	RUN 6	MEAN	SD	$\Delta Ct$ HIGHEST	$\Delta Ct$ LOWEST	X = HIGHEST - LOWEST	$\Delta Ct$ DEVIATION (2 <sup>x</sup> )
<b>FFPE NSCLC</b>												
1173692B	3.6	N/A	3.3	3.0	3.0	2.4	3.0	0.43	3.6	2.4	1.2	2.3
1173127B	5.5	4.3	4.7	3.6	4.2	4.1	4.4	0.65	5.5	3.6	2.0	3.8
1173740B	7.4	7.0	N/A	7.2	6.6	7.0	7.0	0.27	7.4	6.6	0.7	1.7
2YHODAZN	5.9	5.8	5.4	5.3	7.7	5.7	6.0	0.89	7.7	5.3	2.4	5.4
TRQR7A81	-1.6	-1.7	-1.5	-1.6	-1.4	-1.7	-1.6	0.11	-1.4	-1.7	0.3	1.2
FFPE normal lung <sup>b</sup>	3.3	3.0	3.1	3.0	3.4	3.1	3.2	0.16	3.4	3.0	0.4	1.3
Positive control <sup>c</sup>	3.7	3.7	3.6	3.6	3.6	3.6	3.6	0.04	3.7	3.6	0.1	1.1

Abbreviations: Ct, cycle threshold; Ext., extraction (RNA); *HRG*, heregulin.

<sup>a</sup> $\Delta Ct$  deviation calculations using highest and lowest *HRG* expression values for each patient extraction set.

<sup>b</sup>TRQR7N58.

<sup>c</sup>Universal Human Reference RNA.

**Table 9.** Inter-run reproducibility: normalized Ct values for *HRG* ( $\Delta Ct$ ) for each run and FFPE sample and mean  $\Delta Ct$  deviation comparison.

SAMPLE ID	INTER-RUN $\Delta Ct$								INTER-RUN MEAN $\Delta Ct$ DEVIATION COMPARISON <sup>a</sup>			
	RUN 1	RUN 2	RUN 3	RUN 4	RUN 5	MEAN	SD	$\Delta Ct$ HIGHEST	$\Delta Ct$ LOWEST	X = HIGHEST - LOWEST	$\Delta Ct$ DEVIATION (2 <sup>x</sup> )	
<b>FFPE NSCLC</b>												
1173692B	3.5	3.8	3.7	4.2	3.6	3.7	0.27	4.2	3.5	0.7	1.7	
1173127B	5.3	4.7	5.4	4.1	5.4	5.0	0.58	5.4	4.1	1.3	2.5	
1173740B	6.6	7.9	7.1	6.9	6.6	7.0	0.53	7.9	6.6	1.3	2.5	
2YHODAZN	5.4	5.5	5.2	6.0	6.2	5.7	0.43	6.2	5.2	1.0	2.0	
TRQR7A81	-1.2	-1.2	-1.2	-1.3	-1.1	-1.2	0.06	-1.1	-1.3	0.2	1.1	
FFPE normal lung <sup>b</sup>	3.0	2.9	3.0	2.8	3.1	3.0	0.09	3.1	2.8	0.2	1.2	
Positive control <sup>c</sup>	3.5	3.6	3.6	3.6	3.6	3.6	0.04	3.6	3.5	0.1	1.1	

Abbreviations: Ct, cycle threshold; *HRG*, heregulin.

<sup>a</sup> $\Delta Ct$  deviation calculations using highest and lowest *HRG* expression values for each patient extraction set.

<sup>b</sup>TRQR7N58.

<sup>c</sup>Universal Human Reference RNA.

The RT-qPCR assay was used to analyze 2 sets of samples. The first set included 186 FFPE NSCLC tissue samples procured from commercial vendors. When plotted, the distribution of expression levels of 185 evaluable samples was representative of a unimodal distribution (unimodal *HRG* distribution was confirmed in a separate analysis; data not shown). This finding is in contrast to a study by Shames et al,<sup>28</sup> in which data showed a bimodal distribution of *HRG* (on a log<sub>10</sub> scale) in squamous cell carcinoma of the head and neck. This may be due to an insufficient number of samples used to define the bimodal distribution, different assay

parameters, different tumor samples (primary vs metastasis), or different tumor histology. In addition, these results were comparable with the distribution observed in the samples from the phase 2 HERALD trial.<sup>29</sup>

Acceptable RT-qPCR results were obtained from tissue samples ranging in age from 1 month to approximately 4 years from the time of collection. A limitation to the RT-qPCR assay is that, compared with immunohistochemistry (IHC), multiple tissue sections are required for RNA extraction. The availability of sufficient tissue sections (1000 ng RNA needed for a final 50-ng yield for assay input) may pose a challenge in the clinical trial

**Table 10.** Summary of *HRG* gene expression assay validation and final assay conditions for *HRG* gene expression assay analysis.

MEASURE OR REQUIREMENT	FINAL RESULT
<b>Assay validation</b>	
Tissue samples used	FFPE NSCLC samples FFPE normal lung tissue
RNA sample used	Universal Human Reference RNA
Cell lines used	T47D (fresh and FFPE): <i>HRG</i> negative A549 (fresh and FFPE): <i>HRG</i> positive
Specificity	Single amplicon generated with cDNA template No amplification with gDNA template
PCR efficiency and linearity	Target and reference gene expression assays amplify with an efficiency of $100 \pm 10\%$ and a coefficient of determination ( $R^2$ ) $\geq 0.99$ across 7 or 8 RNA input amounts, respectively
Reproducibility	Complete intra-run extractions and analysis within 1 day and inter-run extractions and analysis over 3 days
Intraplate	Fold difference between highest and lowest $\Delta Ct$ values $\leq 5.4$
Interplate	Fold difference between highest and lowest $\Delta Ct$ values $\leq 2.5$

Abbreviations: cDNA, complementary DNA; FFPE, formalin-fixed paraffin-embedded; gDNA, genomic DNA; *HRG*, heregulin; NSCLC, non-small cell lung cancer; PCR, polymerase chain reaction.

**Table 11.** Characteristics of NSCLC commercial specimens used for RT-qPCR assay validation (N = 200).

CHARACTERISTIC	N	%	$\Delta Ct$ (N = 185) <sup>a,b</sup>	
			MEDIAN	RANGE
<b>NSCLC tumor subtype</b>	N = 200			
Adenocarcinoma	118	59.0	4.9	1.7–9.4
Squamous cell carcinoma	62	31.0	2.9 <sup>a</sup>	0.4–8.1 <sup>a</sup>
Large cell carcinoma	18	9.0	6.2	1.1–9.2
Adenosquamous carcinoma	2	1.0	[4.3] <sup>f</sup>	[4.3] <sup>f</sup>
<b>Tumor stage<sup>c</sup></b>	N = 194 <sup>d</sup>			
I	52	26.8	4.3	1.2–8.5
II	72	37.1	4.6	0.4–9.2
III	59	30.4	4.2 <sup>a</sup>	1.1–9.4 <sup>a</sup>
IV	11	5.7	3.6	0.6–8.1
<b>Tumor content, %</b>	N = 200			
50–60	17	8.5	4.3	2.6–7.9
65–70	36	18.0	3.9	0.4–8.1
75–80	42	21.0	4.6	0.6–8.1
85–90	73	36.5	4.4 <sup>e</sup>	0.5–9.4 <sup>a</sup>
$\geq 95$	32	16.0	5.9	1.3–8.9
<b>Time from biopsy to analysis, mo</b>	N = 197 <sup>e</sup>			
1–6	31	15.7	4.1	2.0–7.4
7–12	55	27.9	4.1	0.5–7.1
13–18	7	3.6	2.9	1.3–6.9

Table 11. (Continued)

CHARACTERISTIC	N	%	$\Delta Ct$ (N = 185) <sup>a,b</sup>	
			MEDIAN	RANGE
19–24	31	15.7	3.97	1.7–8.1
25–30	16	8.1	4.4 <sup>a</sup>	0.4–8.9 <sup>a</sup>
31–36	31	15.7	4.8	0.6–9.4
37–42	15	7.6	5.8	1.4–7.8
43–46	11	5.6	5.6	2.8–5.9

Abbreviations: Ct, cycle threshold; NSCLC, non–small cell lung cancer.

<sup>a</sup>One specimen (squamous cell carcinoma, stage IIIA) was excluded from the analysis due to an outlier  $\Delta Ct$  value of  $-7.2$ .

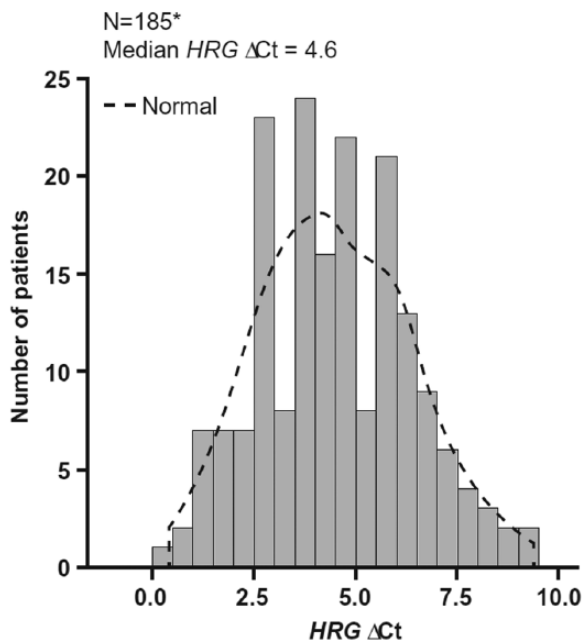
<sup>b</sup> $\Delta Ct$  results missing for 10 adenocarcinoma, 2 squamous cell carcinoma, 1 large-cell carcinoma, and 1 adenosquamous carcinoma specimen.

<sup>c</sup>Stage I includes stage IA, stage II includes stages IIA and IIB, and stage III includes stage IIIA.

<sup>d</sup>N = 194: tumor stage was unknown for 6 specimens.

<sup>e</sup>N = 197: biopsy dates were missing for 3 specimens.

<sup>f</sup> $\Delta Ct$  value available from only 1 patient with adenosquamous carcinoma.



**Figure 2.** Unimodal *HRG* distribution in NSCLC commercial samples using the validated RT-qPCR assay. *Ct* indicates cycle threshold; *HRG*, heregulin.

\*One sample with  $\Delta Ct = -7.2$  excluded from the analysis.

setting, in which biopsy tissue, which may be used for multiple purposes, including morphological interpretation and IHC, may be inadequate for molecular studies.<sup>30</sup> Another limitation is that, compared with IHC or RNAscope in situ hybridization (a commercially available branched in situ hybridization method<sup>31</sup>), higher tumor content is required for RT-qPCR to limit the inference of RNA extracted from normal cells. Immunohistochemistry can also show variations between individual cells in expression level, whereas RT-qPCR gives an average value across the sample. However, an overall advantage of RT-qPCR is an objective data output, in contrast to the subjective nature of IHC or RNAscope in situ hybridization data interpretation.

Data from preclinical studies (mouse xenograft model) have demonstrated that *HRG* mRNA is the best biomarker for correlation of in vivo response to treatment with single-agent patritumab.<sup>25,26</sup> A secondary objective of HERALD was to identify which patients would most likely benefit from the addition of patritumab to erlotinib treatment by defining a primary biomarker hypothesis prior to data unblinding and then testing.<sup>32</sup> In HERALD, patients were randomized to treatment with erlotinib plus either placebo or patritumab (high or low dose), and levels of mRNA from *HRG* and the 3 reference genes (*HMBS*, *EIF2B1*, and *IPO8*) identified herein were measured by RT-qPCR. In 2 separate analyses (including a simulation analysis to account for any possible imbalances between arms in patients with sensitizing EGFR mutations [6.6%]),<sup>29</sup> data indicated significant clinical benefits for patritumab-treated patients with high *HRG* mRNA levels.<sup>21,29</sup> Subgroup analysis by *HRG* mRNA expression levels demonstrated clinical benefit as judged by hazard ratio (HR) for progression-free survival from patritumab in patients with high *HRG* mRNA levels, including those patients in the patritumab high-dose (HR: 0.37;  $P = .0283$ ) and low-dose (HR: 0.29;  $P = .0027$ ) treatment arms.<sup>21,29</sup>

Overall, data from this study demonstrated the feasibility of a RT-qPCR assay for the quantification of *HRG* expression in RNA-extracted FFPE NSCLC specimens. Data from the *HRG* distribution study in 200 NSCLC FFPE commercial tumor specimens further indicated a unimodal distribution of *HRG* levels (median  $\Delta Ct$ : 4.6). Previously published data (median  $\Delta Ct$ : 4.1) included the 186 FFPE samples from the current analysis plus 93 fresh frozen tissue samples.<sup>29</sup> The slight difference between median  $\Delta Ct$  values is caused by different sample sets. The *HRG* RT-qPCR assay was analytically validated prior to clinical sample analysis in the HERALD phase 1/2b study in patients with advanced NSCLC, and, based on the results of HERALD, may have identified a subgroup of patients who will receive clinical benefits from patritumab therapy.

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## Author Contributions

KN, MS, CS, and WF designed the study. JK and KS collected and assembled the data for the study. XJ, RAB, DF, CS, and WF performed data analysis and interpreted the data. All authors wrote the report and approved the final version of the manuscript.

## Statement of Ethical Assurance

WF is the guarantor of this work and, as such, had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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