## ORIGINAL RESEARCH

# Novel Bedside Dynamic Nomograms to Predict the Probability of Postoperative Cognitive Dysfunction in Elderly Patients Undergoing Noncardiac Surgery: A Retrospective Study

Junlin Li<sup>1,2,\*</sup>, Xianhai Xie<sup>1,2,\*</sup>, Jiayong Zhang<sup>3,4,\*</sup>, Po Shen<sup>3,4</sup>, Yuan Zhang<sup>3</sup>, Chen Chen<sup>2,5</sup>, Yanna Si<sup>3</sup>, Jianjun Zou<sup>2,5</sup>

<sup>1</sup>School of Basic Medicine and Clinical Pharmacy, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>2</sup>Department of Clinical Pharmacology, Nanjing First Hospital, Nanjing Medical University, Nanjing, People's Republic of China; <sup>3</sup>Department of Anesthesiology, Nanjing First Hospital, Nanjing Medical University, Nanjing, People's Republic of China; <sup>4</sup>Department of Anesthesiology, The Fourth Affiliated Hospital of Nanjing Medical University, Nanjing, People's Republic of China; <sup>5</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>5</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>5</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>5</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>5</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>6</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>6</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>6</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>6</sup>Department of Pharmacy, Nanjing First Hospital, China Pharmaceutical University, Nanjing, People's Republic of China; <sup>6</sup>Department of Pharmacy, Nanjing, People's Republic of China; <sup>6</sup>De

\*These authors contributed equally to this work

Correspondence: Yanna Si; Jianjun Zou, Department of Anesthesiology, Nanjing First Hospital, Nanjing Medical University, Nanjing, China; Department of Clinical Pharmacology, Nanjing First Hospital, Nanjing Medical University, Nanjing, People's Republic of China, Tel +86 13851639332; +86 15380998951, Email siyanna@163.com; zoujianjun100@126.com

**Purpose:** Early and accurate prediction of elderly patients at high risk of postoperative cognitive dysfunction (POCD) after non-cardiac surgery will provide favorable evidence for rational perioperative management and long-term postoperative recovery. This study aimed to develop bedside dynamic nomograms to provide accurately an individualized prediction of the risk of POCD at 6-month postoperatively with patients undergoing non-cardiac surgery and to guide clinical decision-making and postoperative management.

**Patients and Methods:** We retrospectively collected patients undergoing surgical treatment at the Nanjing First Hospital between May 2020 and May 2021. We collected the data on preoperative, intraoperative, and postoperative variables. Clinical and laboratory data on admission and intraoperative variables and postoperative variables were used. We measured the performances of the nomograms using sensitivity, specificity of the receiver operating characteristic (ROC), the area under the ROC curves (AUC), the 10-fold cross-validation, and decision curve analysis (DCA).

**Results:** POCD was observed in 23 of 415 patients (5.6%) at 6-month postoperatively. The preoperative and postoperative models obtained 91.6% and 94.0% accuracy rates on the data. Compared to the preoperative model, the postoperative model had an area under the receiver characteristic curve (AUC) of 0.973 vs 0.947, corresponding to a specificity of 0.941 vs 0.918 and a sensitivity of 0.913 vs 0.870. The overall performance of the postoperative model was better than the preoperative model.

**Conclusion:** In this study, we developed novel bedside dynamic nomograms with reasonable clinical utility that can provide individualized prediction of POCD risk at 6-month postoperatively in elderly patients undergoing non-cardiac surgery at different time points based on patient admission and postoperative data. External validations are needed to ensure their value in predicting POCD in elderly patients.

**Keywords:** postoperative cognitive dysfunction, elderly patients, noncardiac surgery, dynamic nomograms, predict, pre- and postoperative models

# Introduction

Postoperative cognitive dysfunction (POCD), a common postoperative central nervous system complication after anesthesia and surgery,<sup>1,2</sup> can occur in surgical patients of any age, especially in the elderly population.<sup>3</sup> Due to the lack of uniform diagnostic criteria for this complication, the reported prevalence varies widely. The prevalence of

Clinical Interventions in Aging 2022:17 1331-1342

1331

POCD in elderly patients (age  $\geq 60$  years) is approximately 25–42% at a week,<sup>2,4</sup> 10–21% at 3 months,<sup>4–6</sup> and 3–24% at 6 to 12 months postoperatively.<sup>4,7–9</sup> POCD is closely related to the decrease in elderly patients' self-care ability, professional ability, and self-awareness ability, which is not conducive to their physical and mental health and postoperative recovery.<sup>9–11</sup> Seriously, it can increase the mortality of elderly patients.<sup>12,13</sup> Therefore, early and accurate identification of elderly patients at risk for POCD is important because it is significant to provide reasonable evidence for perioperative and functional recovery management, identify possible interventions, and help accelerate recovery for POCD patients.

However, no studies have established models using nomograms to predict POCD after non-cardiac surgery in elderly patients. As we know, in previous studies, many have focused on the relationship between risk factors such as comorbidity, age, alcohol dependence, biomarkers or dexmedetomidine, and POCD in non-cardiac postoperative patients and concluded some important, meaningful conclusions.<sup>14–18</sup> In addition, the inflammatory response is strongly associated with POCD after cardiac surgery or non-cardiac surgery.<sup>1,19</sup> A number of studies have reported the value of biomarkers such as neuron-specific enolase (NSE), tau, S100b proteins and glial fibrillary acidic protein (GFAP) in predicting POCD.<sup>20–22</sup> However, although these studies may help clarify the risk factors for POCD, they may not be sufficient to assist anesthesiologists in quantifying patients' risk for POCD. After all, this is often done with the help of predictive models.

Nomograms are used as a visual graphical statistical tool to combine different variables to develop a scoring system that reflects accurate individual risk probabilities. Due to their simplicity, visualization, and easy interpretation, nomograms are easier for clinicians than other predictive models and have gradually become a decision-making tool.<sup>23–27</sup> Unfortunately, few studies have established predictive models for neurocognitive impairment after non-cardiac surgery.<sup>28–30</sup>

Hence, in this study, we aimed to develop the preoperative and postoperative models and establish bedside nomograms to provide an individualized prediction of POCD in elderly patients undergoing non-cardiac surgery.

# **Materials and Methods**

#### **Patients**

A total of 1000 patients were screened for surgical treatment, and 415 patients were included in our study (Figure 1). The clinicopathological data of 415 patients who underwent surgery at Nanjing First Hospital from May 2020 and May 2021 underwent non-cardiac surgery were retrospectively analyzed.

Inclusion criteria: 1Elderly population aged  $\geq 60$  years undergoing non-cardiac surgery; 2American Society of Anesthesiologists class I–III;

Exclusion criteria: ①Cardiac surgery; ②Preoperative 1-day Mini-Mental State Examination (MMSE) score  $\leq 26$ ; ③Patients with a preoperative history of neurological and psychiatric disorders, including epilepsy, Parkinson's disease, schizophrenia, depression; ④Patients use long-term neuropsychiatric drugs, such as narcotic analgesics, hypnotics, anxiolytics, and antidepressants, as well as chronic alcohol abuse; ⑤Patients with severe hearing and visual impairments and those who are unable to communicate for various reasons.

## Assessment of POCD

MMSE scale was performed on patients who underwent surgery before and one week after surgery, one month after surgery, three months after surgery, and six months after surgery, and MMSE scores were recorded to determine whether patients had postoperative cognitive impairment. Patients were divided into POCD-incident and POCD-non-incident groups at six months according to whether POCD occurred. Specific components of the MMSE test<sup>31,32</sup> include time and place orientation, language (retelling, naming, and understanding instructions), mental arithmetic, immediate and short-term auditory vocabulary memory, and structural imitation. The full score of the MMSE scale is 30. The test process required about 5–10 min. The occurrence of POCD was determined based on an MMSE score  $\leq 26.^{33}$ 

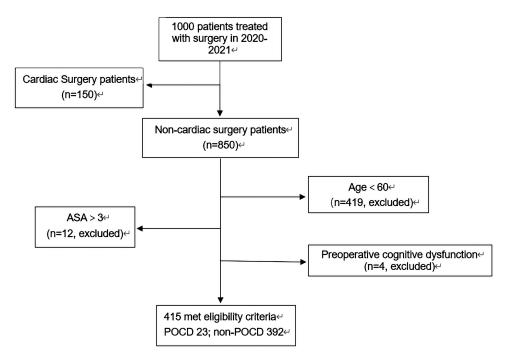


Figure I Patient flowchart. Abbreviations: POCD, Postoperative Cognitive Dysfunction; ASA, Visual Analogue Scale.

## Data Collection

Preoperative, intraoperative, and postoperative variables were collected in our data. Preoperative variables included age, sex, body mass index (BMI), education degree, marital status, history of arrhythmia, history of heart failure, history of asthma, coronary heart disease, previous anesthesia and surgery, diabetes mellitus, hypertension, ASA classification. Intraoperative variables include surgery duration, surgical position, type of anesthesia, type of surgery, emergency surgery, intraoperative hypotension, intraoperative nasogastric tube, intraoperative crystalloid fluid volume, intraoperative colloid fluid volume, vasoactive drugs, blood loss, intraoperative blood transfusion. Postoperative variables include admission to the ICU, length of stay in ICU, postoperative infection, VAS score at 24 hours postoperatively, and sleep quality on a postoperative night.

We also recorded the following laboratory data measured three days before the surgery: C-reactive protein (CRP), hemoglobin (HB), white blood cell count (WBC), platelets (PLT), blood urea nitrogen (BUN), creatinine (Cr), alanine aminotransferase (ALT), and albumin (ALB).

### Statistical Analysis

The data were analyzed using SPSS version 25.0 statistical software. The one-sample Kolmogorov–Smirnov test was used for normality testing. Median and interquartile ranges described continuous variables. The proportions of categorical variables were achieved by dividing the number of events by the total number of people. The Mann–Whitney *U*-test used continuous variables to investigate differences between groups. Differences between categorical variables were assessed with Fisher's exact test or  $\chi^2$  test. The nomogram of Logistic regression was generated with the "rms" package in R.

A multivariate logistic regression analysis was performed to generate the nomogram using the stepwise forward method, which included all variables with probability values <0.05 in the univariate analysis. The best model was selected based on the Akaike information criterion. The collinearity of the combination of variables entering the multivariate logistic regression analysis was assessed by the Variation Inflation Factors (VIF, <2 was considered non-significant). Two models were built using the statistical software package R version 4.1.3 (R Development Core Team, Auckland, New Zealand). The first preoperative model included all preoperative and laboratory-measured variables at admission. The second postoperative model included preoperative and laboratory-measured variables at admission and all intraoperative and postoperative variables.

We use receiver operating characteristic (ROC) analysis to evaluate preoperative and postoperative models' performance and predictive accuracy. The preoperative and Postoperative models were validated using 10-fold cross-validation. First, the preoperative and postoperative models are calibrated utilizing calibration plots in which the predicted probability is compared to the frequency of the observed POCD. A 45° diagonal line should mirror the prediction of a well-calibrated model. In addition, the decision curve analysis (DCA) was then used to determine the net clinical benefit associated with the use of the novel model, compared to an unadjusted logistic model.

## Results

Table 1 shows patients' clinical, demographic, and laboratory characteristics in the POCD group (n=23) and the non-POCD group (n=392), and Table 2 shows the intraoperative and postoperative variables. Detailed preoperative baseline

Variables	POCD (N=23)	Non-POCD (N=392)	p-value
Demographics			
Age, yr, median (IQR)	81 (84–87)	70(65–76)	<0.001 <sup>a,</sup> *
Gender, n (%)			0.398 <sup>c</sup>
Male	10(43.5)	208(53.1)	
Female	l 3(56.5)	184(46.9)	
BMI, kg/m <sup>2</sup> , median (IQR)	23.5(21.6-25.7)	23.6(20.8-26.3)	0.989 <sup>a</sup>
Education Degree, n (%)			0.060 <sup>b,</sup> *
Illiteracy	l 3(56.5)	118(30.1)	
Elementary school	4(17.4)	52(13.3)	
Junior high school	3(13.0)	150(38.3)	
High school	3(13.0)	55(14.0)	
Bachelor or above	0(0.0)	17(4.3)	
Marital Status, n (%)			<0.001 <sup>b,</sup> *
Unmarried	2(8.7)	2(0.5)	
Married	7(30.4)	266(67.9)	
Divorced	l (4.3)	7(1.8)	
Widowed	l 3(56.5)	117(29.8)	
Medical History, n (%)			
Arrhythmia	9(39.1)	23(5.9)	<0.001 <sup>b,</sup> *
Coronary heart disease	7(30.4)	22(5.6)	<0.001 <sup>b,</sup> *
Previous anesthesia and surgery	(47.8)	86(21.9)	0.007 <sup>c,</sup> *
Diabetes mellitus	8(34.8)	50(12.8)	0.008 <sup>c,</sup> *
Hypertension	18(78.3)	116(29.6)	<0.001 <sup>c,</sup> *
ASA classification, n (%)			0.001 <sup>c,</sup> *
I	0(0.0)	20(5.1)	
П	9(39.1)	281(71.7)	
III	14(60.9)	91(23.2)	
Laboratory parameters			
CRP, >8 mg/L, n (%)	l (4.3)	8(2.0)	0.999°
Hb, mg/L, median (IQR)	109(98–121)	128(120-140)	<0.001 <sup>a,</sup> *
WBC, 10^9/L, median (IQR)	8.2(6.5-10.2)	6.7(5.7–7.8)	0.002 <sup>a,</sup> *
PLT, 10^9/L, median (IQR)	220(180–283)	244(184–307)	0.282 <sup>a</sup>
ALT, U/L, median (IQR)	12(10-18)	13(10-21)	0.355 <sup>a</sup>
ALB, g/L, median (IQR)	38(37–41)	39(37–42)	0.390 <sup>a</sup>
BUN, mmol/L, median (IQR)	6(5–8)	6(5–7)	0.710 <sup>a</sup>
Cr, umol/L, median (IQR)	65(56–91)	70(60–81)	0.940 <sup>a</sup>

 Table I Comparison of Basic Clinical Data of the Two Groups of Patients

**Notes:** P-value refers to group comparison of POCD group vs non-POCD group by Mann–Whitney U-test<sup>a</sup>; Fisher's exact test<sup>b</sup>;  $\chi^2$  test.<sup>c</sup> \*Included into the multiple logistic regression models (P<0.05). Additionally, traditional POCD risk factor, Education degree, was added into the model.

Abbreviations: COPD, chronic obstructive pulmonary diseases; CRP, C-reactive protein; WBC, white blood cell; Hb, hemoglobin; PLT, platelet; ALT, alanine aminotransferase; ALB, albumin; BUN, blood urea nitrogen; Cr, creatinine;

Variables	POCD (N=23)	Non-POCD (N=392)	p-value
Surgery duration, min, median (IQR)	115(70–155)	62(35-109)	<0.001 <sup>a,</sup> *
Surgical position, n (%)			0.065 <sup>b</sup>
Supine position	l 6(69.6)	193(49.2)	
Prone position	0(0.0)	51(13.0)	
Lithotomy position	2(8.7)	66(16.8)	
Right lateral position	2(8.7)	24(6.1)	
Left lateral position	2(8.7)	14(3.6)	
Trendelenburg position	I (4.3)	10(2.6)	
Reverse trendelenburg position	0(0.0)	34(8.7)	
Type of anesthesia, n (%)			0.065 <sup>b,</sup> *
General anesthesia	19(82.6)	255(65.2)	
Spinal-epidural aesthesia	4(17.4)	49(12.5)	
Regional block anesthesia	0(0.0)	27(6.9)	
Local anesthesia	0(0.0)	60(15.3)	
Type of surgery			0.628 <sup>b</sup>
Cervicofacial surgery	0(0.0)	14(3.6)	
Thoracic and pulmonary surgery	0(0.0)	10(2.6)	
Gastrointestinal surgery	5(21.7)	97(24.7)	
Urological surgery	3(13.0)	87(22.2)	
Gynecological surgery	0(0.0)	3(0.8)	
Orthopedic surgery	15(65.2)	156(39.8)	
Neurosurgery	0(0.0)	8(2.0)	
Other	0(0.0)	17(4.3)	
Emergency surgery, n (%)	I (4.3)	27(6.9)	0.965°
Intraoperative hypotension, n (%)	16(69.9)	79(20.2)	<0.001 <sup>b,*</sup>
Intraoperative nasogastric tube, n (%)	5(21.7)	27(6.9)	0.058 <sup>b</sup>
Intraoperative crystalloid fluid volume, mL, n (%)	5(21.7)	27(0.7)	0.003 <sup>b</sup> ,*
≤500	11(47.8)	310(79.5)	0.005
500-≤1000	10(43.5)	48(12.3)	
1000-≤1500	2(8.7)	25(6.4)	
>1500	0(0.0)		
Intraoperative colloid fluid volume, mL, n (%)	0(0.0)	7(1.8)	0.019 <sup>b,</sup> *
≤ 50	4(17.4)	122(24.0)	0.019
≤ 50 I-≤500	4(17.4)	133(34.0)	
	13(56.5)	226(57.8)	
500-≤1000	6(26.1)	27(6.9)	
1000-≤1500	0(0.0)	5(1.3)	-0 00 l3*
Vasoactive drugs, n (%)	108(27.6)	17(73.9)	<0.001 <sup>a</sup> *
Blood loss, mL, median (IQR)	100(50–350)	0(0-0)	<0.001 <sup>a,*</sup>
Intraoperative blood transfusion, n (%)	5(21.7)	24(6.1)	0.015 <sup>c,</sup> *
Urine volume, mL, median (IQR)	150(100-400)	0(0–0)	<0.001 <sup>a</sup> *
Admission to the ICU, n (%)	11(47.8)	38(9.7)	<0.001 <sup>c</sup> *
Length of stay in ICU, d, median (IQR)	0(0-3)	0(0–0)	<0.001 <sup>a</sup> .*
Postoperative infection, n (%)	4(17.4)	10(2.6)	0.001 <sup>c</sup> ,*
VAS score at 24 hours postoperatively, point, median (IQR)	3(2-4)	0(0–0)	<0.001 <sup>a</sup> .*
Sleep quality on the postoperative night, poor, n (%)	18(78.3)	61(16.6)	<0.001 <sup>c,</sup> *

Notes: P-value refers to group comparison of POCD group vs non-POCD group by Mann–Whitney *U*-test<sup>a</sup>; Fisher's exact test<sup>b</sup>; χ2 test<sup>c</sup>. \*Included into the multiple logistic regression models (P<0.05). Additionally, traditional POCD risk factor, type of anesthesia, was added into the model. Abbreviations: ICU, intensive care unit; VAS, visual analogue scale.

patient characteristics and laboratory data used to build the preoperative model are presented in Table 1. The intraoperative variables listed in Table 2 and detailed postoperative variables were added to the preoperative model to construct the postoperative model.

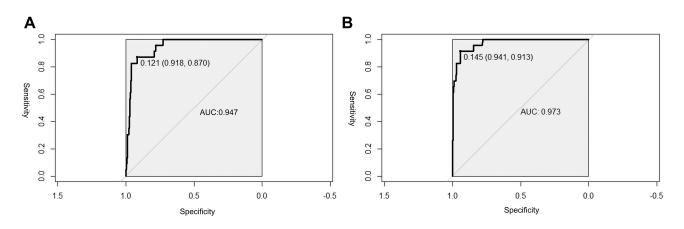


Figure 2 The ROC curve of the nomogram used for predicting 6-month POCD by the preoperative model and postoperative model. (A) The ROC curve in the preoperative model. (B) The ROC curves in the postoperative model. (A) The ROC curve in the postoperative model. (A) The ROC curve in the postoperative model. (B) The ROC curves in the postoperative model. (B) The ROC curves in the postoperative model. (B) The ROC curves in the postoperative model. (C) The ROC curve in the postoperative model. (C) The ROC curves in the postoperative model. (C) The ROC curve in the postoperative model curve in the postoperative model. (C) The ROC curve in the postoperative model cur

The nomogram is obtained by assigning each factor an initial score ranging from 0 to 100. Then, the scores obtained for all factors are added together to obtain a total score, which is finally transformed into a 6-month POCD individual risk, expressed as a percentage, ranging from 0 to 100%. It is predicted that a higher total score on the nomogram is associated with a higher likelihood of POCD, while a lower total score is associated with a lower likelihood of POCD.

POCD at 6-month postoperatively was observed in 23 (5.6%) patients. Figure 2A and B depict the ROC curves, and Table 3 gives the model performance for the preoperative and postoperative models at the 10-fold cross-validation. The postoperative model outperformed the preoperative model by AUC, as detailed below.

#### **Preoperative Model**

In univariate logistic regression analyses, eleven risk factors and education degree were statistically associated with POCD (Table 1). In multivariate logistic regression analyses, which included only statistically significant variables, we found that age (OR: 1.204, p < 0.001), history of arrhythmia (OR: 4.692, p=0.007), Hb (OR: 0.944, p < 0.001), diabetes (OR: 3.433, p=0.031), were finally entered into the properative model to construct the nomogram for predicting the probability of POCD after non-cardiac surgery (Tables 1 and 4, Figure 3A). No significant covariance was observed among the four variables that entered the multivariate logistic regression analysis. The logistic regression model resulted:  $Log(p[x]/1-p[x]) = -11.255 + (0.186 \times age) + (1.546 \times history of arrhythmia) + (1.233 \times diabetes) + (-0.057 \times Hb);$  where p(x) was the probability of 6-month POCD. The clinician can mark the patient's values on each axis and draw a line perpendicular to the point axis; then, the points of all variables are added together. Next, the sum was marked on the total score axis and a line perpendicular to the probability axis was drawn. The corresponding value on the probability axis is the probability of POCD occurring in this patient within 6 months. For example, a non-cardiac surgery patient with preoperative diabetes (16 points), age 76 years (38 points), history of arrhythmia (20 points), and Hb of 110 mg/L (68 points) with a total score of 142 points corresponds to the occurrence of POCD of 34.8%. To facilitate the usability of the nomogram model in clinical practice, we developed a dynamic nomogram, a web-based calculator available at <u>https://</u>xxh152.shinyapps.io/DynNomapp/.

Table 3 Performance Metrics for Preoperative Model and Postoperative Model

	Specificity	Sensitivity	Accuracy	10-Fold Cross-Validation	
				Accuracy	Карра
Preoperative model Postoperative model	0.9184 0.9413	0.8696 0.9130	0.9157 0.9398	0.9400 0.9688	0.2518 0.6308

	В	VIF	Р	OR (95% CI)
Preoperative model				
Age	0.186	1.056	<0.001	1.204(1.112–1.304)
History of arrhythmia	1.546	1.003	0.007	4.692(1.541-14.290)
Diabetes	1.233	1.059	0.031	3.433(1.116–10.559)
Hb	-0.057	1.065	<0.001	0.944(0.917–0.973)
Postoperative model				
Age	0.140	1.018	0.001	1.150(1.056–1.252)
History of arrhythmia	1.555	1.023	0.034	4.722(1.112–19.865)
Hb	-0.070	1.244	<0.001	0.933(0.897–0.970)
VAS score	1.123	1.237	<0.001	3.054(1.936–4.817)

 Table 4 Significant Predictors of 6-Month POCD in the Preoperative and Postoperative Models

The preoperative model scored an AUC of 0.947 (95% CI 0.913–0.980; p < 0.001) (Figure 2A) on the data with a sensitivity and specificity of 0.870 and 0.918. The accuracy and kappa values of the model in the 10-fold cross-validation were 0.940 and 0.252, respectively. The cross-validation results showed good generalizability and accuracy of the preoperative model in predicting 6-month POCD risk. When the nomogram produced a POCD probability between 0.05 and 0.55, the DCA showed that in the preoperative model, the nomogram provided more benefit than the all-treatment or no-treatment strategy (Figure 4).

#### Postoperative Model

In univariate logistic regression analyses, 24 risk factors and education degree were statistically associated with POCD (Tables 1 and 2). In multivariate logistic regression analyses, which included only statistically significant variables, we found that age (OR: 1.150, p =0.001), history of arrhythmia (OR: 4.722, p=0.034), Hb (OR: 0.933, p< 0.001), VAS score (OR:3.054, p<0.001), were finally entered into the postoperative model to construct the nomogram for predicting the probability of POCD after non-cardiac surgery (Tables 2 and 4, Figure 3B). No significant covariance was observed among the four variables that entered the multivariate logistic regression analysis. The logistic regression model resulted:  $Log(p[x]/1-p[x]) = -7.580 + (0.140 \times age) + (1.555 \times history of arrhythmia) + (-0.070 \times Hb) + (1.123 \times VAS score); where p(x) was the probability of 6-month POCD. For example, a non-cardiac surgery patient with a preoperative history of arrhythmia (16 points) and age 76 years (24 points) has a postoperative VAS score of 3 (37) and Hb of 110 mg/L (68 points), for a total score of 145, corresponding to the occurrence of POCD of 56.7%. To facilitate the usability of the nomogram model in clinical practice, we developed a dynamic nomogram, a web-based calculator available at https://xxx152.shinyapps.io/DynNomapp/.$ 

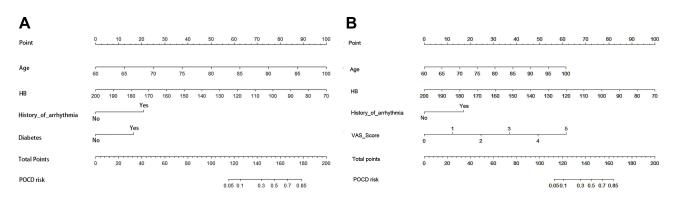


Figure 3 The nomograms used for predicting 6-months POCD of elder patients with non-cardiac surgery. (A) The nomogram in the preoperative model. (B) The nomogram in the postoperative model.

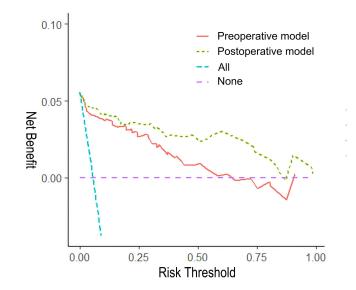


Figure 4 Decision curve analysis of nomogram in the preoperative model and postoperative model.

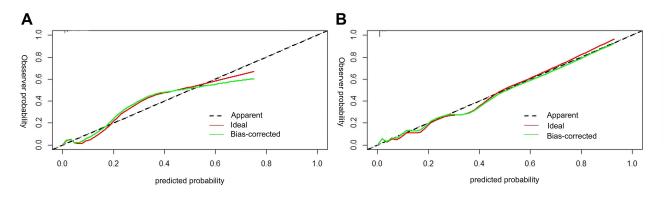


Figure 5 The calibration plot for the nomogram used for predicting 6-month POCD by the preoperative model and postoperative model. (A) The calibration plot in the preoperative model. (B) The calibration plot in the postoperative model. A dashed line is a reference line where an ideal nomogram would lie. The dotted line is the performance of the nomogram, whereas the solid line corrects for any bias in the nomogram.

The postoperative model scored an AUC of 0.973 (95% CI 0.949–0.996; p < 0.001) (Figure 2B) on the data with a sensitivity and specificity of 0.913 and 0.941. The accuracy and kappa values of the model in the 10-fold cross-validation were 0.969 and 0.631, respectively. The postoperative model performed well in predicting the risk of POCD in elderly patients at 6 months postoperatively, as shown by AUC and cross-validation. When the nomogram produced a POCD probability between 0.05 and 0.85, the DCA showed that in the postoperative model, the nomogram provided more benefit than the all-treatment or no-treatment strategy (Figure 4).

Finally, the Hosmer-Lemeshow goodness-of-fit test showed good calibration of the nomogram in the preoperative model (p = 0.757) and postoperative model (p = 0.995). The preoperative model (Figure 5A) and the postoperative model (Figure 5B) for predicting 6-month POCD risk fit adequately in the called calibration chart. Taken together, the results indicated that our nomograms had acceptable and favorable discriminatory ability.

#### Discussion

In the present study, we developed a preoperative model with admission information and a postoperative model with postoperative information based on the electronic medical record (EMR), together with intraoperative and postoperative related materials for POCD in elderly patients undergoing non-cardiac surgery. In the preoperative model, we identified

age, history of arrhythmia, diabetes, and lower hemoglobin as independent predictors. In the postoperative model, we identified age, history of arrhythmia, lower hemoglobin, and VAS score as independent predictors. Our nomogram models performed well in predicting the probability of POCD in elderly patients. The DCA also showed that our models had some clinical value. Considering the static limitations of traditional nomograms, which required the user to manually calculate the total score for each patient and then find the corresponding risk of specific outcome based on a risk axis, we created dynamic nomograms for both models. The web-based dynamic nomogram calculators were accessible on <a href="https://xxh152.shinyapps.io/DynNomapp/">https://xxh152.shinyapps.io/DynNomapp/</a> (preoperative model) and <a href="https://xxt152.shinyapps.io/DynNomapp/">https://xxt152.shinyapps.io/DynNomapp/</a> (preoperative model) and <a href="https://xxt152.shinyapps.io/DynNomapp/">https://xxt152.shinyapps.io/DynNomapp/</a> (postoperative nomograms to evaluate the risk of 6-month POCD in elderly patients undergoing non-cardiac surgery postoperatively.

As we know, there have been great advances in preoperative assessment, anesthesia techniques, surgical operations, and monitoring devices, which have greatly improved patients' prognoses and reduced the incidence of perioperative complications in recent years. However, the occurrence of POCD in elderly patients still cannot be ignored and should be given widespread attention. Persistent POCD may eventually lead to dementia.<sup>3,34</sup> Dementia severely interferes with a patient's ability to perform daily functions, placing a heavy burden on the patient and the patient's family. Therefore, early identification of patients at risk for POCD is particularly important.

Some risk models in past studies have been developed to predict the risk of POCD in elderly non-cardiac surgery patients,<sup>4,28</sup> but their application in the clinical setting is still limited. We believe that the nature of the model itself may partly explain this. During the model development, few models will consider the effects of more specific variables related to the intraoperative and postoperative periods. Moreover, due to the impact of different types of surgery, these models might not be suitable for our non-cardiac surgery patients. Wang et al<sup>28</sup> showed that a logistic regression model using collected clinicopathological characteristics could accurately predict the occurrence of POCD at one week postoperatively of elderly gastric cancer surgery patients, with the AUC of 0.820,<sup>28</sup> which is much lower than our models. Unfortunately, their model was not visualized. As a practical graphical visualization tool, nomograms can supply an individualized, evidence-based, and risk assessment.<sup>35</sup> At present, nomograms have been built and verified in medical applications and are widely used in the outcome analysis of various diseases.<sup>23,27</sup>

Our models demonstrated a few fascinating traits: according to the ROC curve analysis, both the pre-and postoperative models could achieve excellent model discriminatory ability (Figure 2), with AUCs of 0.947 (95% CI 0.913– 0.980) and 0.973 (95% CI 0.949–0.996), respectively. The overall performance of the postoperative model outperformed the preoperative model, with higher sensitivity (0.913 vs 0.870), specificity (0.941 vs 0.918), and accuracy (0.940 vs 0.916). In addition, the kappa values of the postoperative model were significantly higher than those of the preoperative model, showing better consistency.

On the one hand, our study confirmed that older age<sup>1,36,37</sup> and lower preoperative hemoglobin<sup>28</sup> were independent predictors of POCD in elderly patients, consistent with many previous studies' findings. Increasing age has been reported to cause an increase in the incidence of anemia.<sup>24</sup> Lower hemoglobin values are strongly associated with anemia. The association between hemoglobin values and POCD may be related to chronic cerebral hypoxemia caused by anemia.<sup>38</sup> Decreased brain oxygenation has been shown to contribute to reversible cognitive impairment.<sup>39</sup>

On the other hand, our study also confirmed that a history of arrhythmias was independently associated with POCD. The possible mechanism includes fluctuating rhythm abnormalities resulting in insufficient volume per beat, which leads to insufficient cerebral perfusion and cognitive impairment in patients.<sup>40</sup> In one study,<sup>25</sup> a history of arrhythmias was independently associated with POCD after cardiac surgery. Moreover, our preoperative model suggested that diabetes was independently associated with the development of POCD after non-cardiac surgery. Diabetes has been considered to enhance the risk of postoperative delirium<sup>41</sup> and dementia.<sup>42</sup>

More importantly, our postoperative nomogram was the first model to indicate that the VAS score on the first postoperative day was a significant independent predictor of POCD. VAS scores quantified the patients' perceived pain. Moreover, a higher score means that patients experience more severe pain after surgery. Postoperative pain is a unique acute state that seriously affects patients' postoperative rehabilitation and quality of life.<sup>43</sup> Pain is one of the main factors<sup>44</sup> affecting the quality of sleep in patients, and sleep is the foundation of good health which can provide time for the body to repair and recover. Postoperative pain and POCD may be related to the following causes. Firstly,

postoperative nerve injury can cause neuropathic pain, and nerve injury is closely related to POCD.<sup>45</sup> Second, surgical stress trauma leads to an inflammatory response in the patient's central nervous system, and muscle damage and local tissue damage can induce or release inflammatory cytokines, promoting the occurrence of inflammatory pain.<sup>46</sup> Finally, POCD is associated with changes in hippocampal function mediated by inflammatory cytokines. It should be noted that when a patient's VAS score exceeds 3 points (a total score of 10 points), our surgeons will treat the patient with a variety of postoperative remedial analgesic measures, such as intravenous analgesia and pharmacological interventions, to reduce the patient's painful stimulation.

Our two models provide individualized predictive assessments for elderly patients, combined variables from preoperative and postoperative periods. In this study, the preoperative and postoperative nomogram models are expected to be used at different time points for better clinical application. The two models were properly calibrated, and they showed good discrimination, as signified by the calibration curve results and cross-validation. Both of our models have good predictive power and can guide clinical practice to some extent. At the same time, because the variables in the model are easy to obtain, this also makes our model convenient for clinical application. For those patients with advanced age, history of arrhythmia, and low preoperative hemoglobin value, clinicians should carry out relevant prevention and intervention treatment for patients from the perioperative period, strengthen psychological counseling for patients, early control of pain indicators, and communication with family members.

This study had some limitations that might have an impact on the results. Firstly, our data were collected retrospectively at a single center. The study bore the inherent disadvantages of retrospective studies, such as selection bias, confounding bias, and missing information. Secondly, our data was not a large sample data, and there might be an insufficient sample size. The size of our study population needs to be further expanded in the future. Thirdly, the MMSE scale may not be comprehensive enough to assess POCD. Other cognitive function assessment tools should be considered for joint application with the MMSE scale to assess cognitive function better. Fourthly, this study did not investigate the potential correlation between POCD and certain factors, such as sleep quality, diet, and VAS scores, during the three days after surgery. Finally, it is necessary for the nomograms we developed to be further validated with external data. Despite these limitations, the current study was the first attempt to establish nomograms predicting the risk of POCD at 6-month after surgery in an elderly non-cardiac surgery population.

## Conclusion

In this study, we developed novel bedside dynamic nomograms with reasonable clinical utility that can provide individualized prediction of POCD risk at 6-month postoperatively for elderly patients undergoing non-cardiac surgery at different time points based on patient admission and postoperative data. However, external validations are needed to ensure their value in predicting POCD in elderly patients.

# **Ethical Considerations**

This study complied with the principles of the Declaration of Helsinki and postoperative ethical requirements. Ethical approval for the study was obtained from the Ethics Committee of Nanjing First Hospital (document number: KY20220621-05-KS-01). Due to the retrospective nature of the study, the requirement for written informed consent was waived. This study was not concerned with confidential patient information.

## Acknowledgments

This work was supported by the National Natural Science Foundation of China (Grant Number 81873954, 82173899), Six Talent Peaks Project in Jiangsu (WSW-106), and Jiangsu Pharmaceutical Association (Grant Number H202108, A2021024).

# **Author Contributions**

Yanna Si and Jianjun Zou are joint corresponding authors. Junlin Li, Xianhai Xie and Jiayong Zhang share first authorship. All authors made significant contributions to the work reported, as in the conception, study design, execution, acquisition of data, analysis, and interpretation. In addition, they took part in drafting, revising, or critically reviewing the

article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

## References

- 1. Alalawi R, Yasmeen N. Postoperative cognitive dysfunction in the elderly: a review comparing the effects of desflurane and sevflurane. *J Perianesth Nurs*. 2018;33(5):732-740. doi:10.1016/j.jopan.2017.04.009
- Kim J, Shim JK, Song JW, et al. Postoperative cognitive dysfunction and the change of regional cerebral oxygen saturation in elderly patients undergoing spinal surgery. Anesth Analg. 2016;123(2):436–444. doi:10.1213/ANE.000000000001352
- 3. Rundshagen I. Postoperative cognitive dysfunction. Dtsch Arztebl Int. 2014;111(8):119-125. doi:10.3238/arztebl.2014.0119
- 4. Monk TG, Weldon BC, Garvan CW, et al. Predictors of cognitive dysfunction after major noncardiac surgery. *Anesthesiology*. 2008;108(1):18–30. doi:10.1097/01.anes.0000296071.19434.1e
- 5. Paredes S, Cortinez L, Contreras V, et al. Post-operative cognitive dysfunction at 3 months in adults after non-cardiac surgery: a qualitative systematic review. Acta Anaesthesiol Scand. 2016;60(8):1043–1058. doi:10.1111/aas.12724
- 6. Evered L, Scott DA, Silbert B, et al. Postoperative cognitive dysfunction is independent of type of surgery and anesthetic. *Anesth Analg.* 2011;112 (5):1179–1185. doi:10.1213/ANE.0b013e318215217e
- 7. Silbert B, Evered L, Scott DA, et al. Preexisting cognitive impairment is associated with postoperative cognitive dysfunction after hip joint replacement surgery. *Anesthesiology*. 2015;122(6):1224–1234. doi:10.1097/ALN.000000000000671
- Leiendecker J, Hocker J, Meybohm P, et al. Postoperative neurocognitive function and microembolus detection in patients undergoing neck dissection: a pilot study. *Eur J Anaesthesiol.* 2010;27(5):417–424. doi:10.1097/EJA.0b013e328336c633
- Newman MF, Kirchner JL, Phillips-Bute B, et al. Longitudinal assessment of neurocognitive function after coronary-artery bypass surgery. N Engl J Med. 2001;344(6):395–402. doi:10.1056/NEJM200102083440601
- 10. Morandi A, Pandharipande PP, Jackson JC, et al. Understanding terminology of delirium and long-term cognitive impairment in critically ill patients. Best Pract Res Clin Anaesthesiol. 2012;26(3):267–276. doi:10.1016/j.bpa.2012.08.001
- 11. Tachibana S, Hayase T, Osuda M, et al. Recovery of postoperative cognitive function in elderly patients after a long duration of desflurane anesthesia: a pilot study. J Anesth. 2015;29(4):627-630. doi:10.1007/s00540-015-1979-y
- 12. Schaefer ST, Koenigsperger S, Olotu C, et al. Biomarkers and postoperative cognitive function: could it be that easy? *Curr Opin Anaesthesiol*. 2019;32(1):92–100. doi:10.1097/ACO.0000000000676
- Monk TG, Saini V, Weldon BC, et al. Anesthetic management and one-year mortality after noncardiac surgery. Anesth Analg. 2005;100(1):4–10. doi:10.1213/01.ANE.0000147519.82841.5E
- 14. Bi J, Shan W, Luo A, et al. Critical role of matrix metallopeptidase 9 in postoperative cognitive dysfunction and age-dependent cognitive decline. Oncotarget. 2017;8(31):51817–51829. doi:10.18632/oncotarget.15545
- Terrando N, Monaco C, Ma D, et al. Tumor necrosis factor-α triggers a cytokine cascade yielding postoperative cognitive decline. Proc Natl Acad Sci USA. 2010;107(47):20518–20522. doi:10.1073/pnas.1014557107
- 16. Terrando N, Brzezinski M, Degos V, et al. Perioperative cognitive decline in the aging population. *Mayo Clin Proc.* 2011;86(9):885-893. doi:10.4065/mcp.2011.0332
- 17. Hudetz JA, Iqbal Z, Gandhi SD, et al. Postoperative cognitive dysfunction in older patients with a history of alcohol abuse. *Anesthesiology*. 2007;106(3):423–430. doi:10.1097/00000542-200703000-00005
- Zhang J, Liu G, Zhang F, et al. Analysis of postoperative cognitive dysfunction and influencing factors of dexmedetomidine anesthesia in elderly patients with colorectal cancer. Oncol Lett. 2019;18(3):3058–3064. doi:10.3892/ol.2019.10611
- 19. Glumac S, Kardum G, Sodic L, et al. Effects of dexamethasone on early cognitive decline after cardiac surgery. *Eur J Anaesthesiol*. 2017;34 (11):776–784. doi:10.1097/EJA.0000000000647
- Wiberg S, Holmgaard F, Zetterberg H, et al. Biomarkers of cerebral injury for prediction of postoperative cognitive dysfunction in patients undergoing cardiac surgery. J Cardiothorac Vasc Anesth. 2022;36(1):125–132. doi:10.1053/j.jvca.2021.05.016
- 21. Kok WF, Koerts J, Tucha O, et al. Neuronal damage biomarkers in the identification of patients at risk of long-term postoperative cognitive dysfunction after cardiac surgery. *Anaesthesia*. 2017;72(3):359–369. doi:10.1111/anae.13712
- 22. Chi YL, Li ZS, Lin CS, et al. Evaluation of the postoperative cognitive dysfunction in elderly patients with general anesthesia. Eur Rev Med Pharmacol Sci. 2017;21(6):1346–1354.
- Kawai K, Ishihara S, Yamaguchi H, et al. Nomogram prediction of metachronous colorectal neoplasms in patients with colorectal cancer. Ann Surg. 2015;261(5):926–932. doi:10.1097/SLA.00000000000881
- 24. Anía BJ, Suman VJ, Fairbanks VF, et al. Incidence of anemia in older people: an epidemiologic study in a well defined population. J Am Geriatr Soc. 1997;45(7):825–831. doi:10.1111/j.1532-5415.1997.tb01509.x
- 25. Xie N, Yan S, Sun X, et al. Establish a nomogram of cardiac postoperative cognitive dysfunction. *Heart Surg Forum*. 2021;24(2):E320–E326. doi:10.1532/hsf.3551
- 26. Iasonos A, Schrag D, Raj GV, et al. How to build and interpret a nomogram for cancer prognosis. J Clin Oncol. 2008;26(8):1364–1370. doi:10.1200/JCO.2007.12.9791
- 27. Song W, Zhu ZG, Wu Q, et al. A nomogram to predict overall survival for biliary tract cancer. *Cancer Manag Res.* 2018;10:1535–1541. doi:10.2147/CMAR.S163291
- 28. Wang M, Wang J, Li X, et al. A predictive model for postoperative cognitive dysfunction in elderly patients with gastric cancer: a retrospective study. *Am J Transl Res.* 2022;14(1):679–686.
- 29. Zhang X, Tong DK, Ji F, et al. Predictive nomogram for postoperative delirium in elderly patients with a Hip fracture. Injury. 2019;50(2):392-397.

- 30. Jiang Z, Cai Y, Zhang X, et al. Predicting delayed neurocognitive recovery after non-cardiac surgery using resting-state brain network patterns combined with machine learning. *Front Aging Neurosci.* 2021;13:715517. doi:10.3389/fnagi.2021.715517
- Inouye SK, Alessi CA, Balkin S, Siegal AP, Horwitz RI. Clarifying confusion: the confusion assessment method. A new method for detection of delirium. Ann Intern Med. 1990;113(12):941–948. doi:10.7326/0003-4819-113-12-941
- 32. Siegel RL, Miller KD, Jemal A. Cancer statistics, 2020. CA Cancer J Clin. 2020;70(1):7-30. doi:10.3322/caac.21590
- Delavaran H, Jonsson AC, Lovkvist H, et al. Cognitive function in stroke survivors: a 10-year follow-up study. Acta Neurol Scand. 2017;136 (3):187–194. doi:10.1111/ane.12709
- 34. Evered LA, Silbert BS, Scott DA, et al. Prevalence of dementia 7.5 years after coronary artery bypass graft surgery. *Anesthesiology*. 2016;125 (1):62–71. doi:10.1097/ALN.00000000001143
- 35. Zhu W, Li W, Tian Z, et al. Nomogram for stability stratification of small intracranial aneurysm based on clinical and morphological risk factors. Front Neurol. 2020;11:598740. doi:10.3389/fneur.2020.598740
- Moller JT, Cluitmans P, Rasmussen LS, et al. Long-term postoperative cognitive dysfunction in the elderly: ISPOCD1 study. Lancet. 1998;351 (9106):857–861. doi:10.1016/S0140-6736(97)07382-0
- 37. Luo A, Yan J, Tang X, et al. Postoperative cognitive dysfunction in the aged: the collision of neuroinflammaging with perioperative neuroinflammation. *Inflammopharmacology*. 2019;27(1):27–37. doi:10.1007/s10787-018-00559-0
- Atti AR, Palmer K, Volpato S, et al. Anaemia increases the risk of dementia in cognitively intact elderly. *Neurobiol Aging*. 2006;27(2):278–284. doi:10.1016/j.neurobiolaging.2005.02.007
- Mutch WAC. Jugular bulb saturation and cognitive dysfunction after cardiopulmonary bypass. Ann Thorac Surg. 1995;60(1):231–232. doi:10.1016/ S0003-4975(00)80005-3
- 40. Cacciatore F, Testa G, Langellotto A, et al. Role of ventricular rate response on dementia in cognitively impaired elderly subjects with atrial fibrillation: a 10-year study. *Dement Geriatr Cogn Disord*. 2012;34(3-4):143-148. doi:10.1159/000342195
- 41. Lin Y, Chen J, Wang Z. Meta-analysis of factors which influence delirium following cardiac surgery. J Card Surg. 2012;27(4):481–492. doi:10.1111/j.1540-8191.2012.01472.x
- Cukierman T, Gerstein HC, Williamson JD. Cognitive decline and dementia in diabetes–systematic overview of prospective observational studies. Diabetologia. 2005;48(12):2460–2469. doi:10.1007/s00125-005-0023-4
- 43. Lovich-Sapola J, Smith CE, Brandt CP. Postoperative pain control. Surg Clin North Am. 2015;95(2):301-318. doi:10.1016/j.suc.2014.10.002
- 44. Cakit MO, Cakit BD, Genc H, et al. The association of skinfold anthropometric measures, body composition and disease severity in obese and non-obese fibromyalgia patients: a cross-sectional study. Arch Rheumatol. 2018;33(1):59–65. doi:10.5606/ArchRheumatol.2018.6180
- 45. Cregg R, Anwar S, Farquhar-Smith P. Persistent postsurgical pain. Curr Opin Support Palliat Care. 2013;7(2):144-152. doi:10.1097/SPC.0b013e328360b09e
- 46. Lupien SJ, Maheu F, Tu M, et al. The effects of stress and stress hormones on human cognition: implications for the field of brain and cognition. Brain Cogn. 2007;65(3):209–237. doi:10.1016/j.bandc.2007.02.007

**Clinical Interventions in Aging** 

#### **Dove**press

Publish your work in this journal

Clinical Interventions in Aging is an international, peer-reviewed journal focusing on evidence-based reports on the value or lack thereof of treatments intended to prevent or delay the onset of maladaptive correlates of aging in human beings. This journal is indexed on PubMed Central, MedLine, CAS, Scopus and the Elsevier Bibliographic databases. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit http://www.dovepress.com/testimonials.php to read real quotes from published authors.

Submit your manuscript here: https://www.dovepress.com/clinical-interventions-in-aging-journal