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# Full length article

# Diagnosis of insomnia sleep disorder using short time frequency analysis of PSD approach applied on EEG signal using channel ROC-LOC



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## ABSTRACT

Insomnia is a sleep disorder in which the subject encounters problems in sleeping. The aim of this study is to identify insomnia events from normal or effected person using time frequency analysis of PSD approach applied on EEG signals using channel ROC-LOC. In this research article, attributes and waveform of EEG signals of Human being are examined. The aim of this study is to draw the result in the form of signal spectral analysis of the changes in the domain of different stages of sleep. The analysis and calculation is performed in all stages of sleep of PSD of each EEG segment. Results indicate the possibility of recognizing insomnia events based on delta, theta, alpha and beta segments of EEG signals.

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# 1. Introduction

The complexity of the human brain is one of the most well documented fields of academic study. Nowadays multiple technologies exist to record brain wave patterns, Electroencephalography being one of them. Through Electroencephalogram based signals, a better understanding of the complex inner mechanisms and their association with psychological and psychiatric disorders can be established [1].

Insomnia or sleeplessness is a sleep disorder in which there is an inability to fall asleep or to stay asleep as long as desired. Insomnia is characterized by:

- 1. Frequently waking up during the night.
- 2. Waking up early.
- 3. General feeling of exhaustion.
- 4. Deficit concentration prowess.

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5. Feeling slow and not-refreshed during the day.

Insomnia cases have seen a rapid climb over the past few years and coupled with pain and fatigue is one of the most common

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gsrivastava2@lko.amity.edu (G. Srivastava), s.saeed@rediffmail.com (S.H. Saeed). Peer review under responsibility of Brazilian Association of Sleep. disorders in urban societies. Even though cases of insomnia are at alarming levels, awareness about it is at abysmal levels, both in victims and doctors. Compounding the issue is the fact that there are no widely accepted forms standard treatments [2].

DSM-5 criteria for insomnia contain the following: [13].

- Predominant grievance of dissatisfaction with sleep quantity or quality, associated with one (or more) of the following symptoms:
- Difficulty initiating sleep. (In children, this may manifest as difficulty initiating sleep without caregiver intervention.)
- Difficulty maintaining sleep, characterized by frequent awakenings or problems returning to sleep after awakenings. (In children, this may manifest as difficulty returning to sleep without caregiver intervention.)
- Early-morning awakening with inability to return to sleep.

General sleepless nights happen to everyone, leading to the misappropriation that insomnia is a natural reaction of an organism to tension or noise. However, insomnia is not symptom of other disorders but secondary to other medical conditions. The effects generated due to insomnia are:

- 1. Daytime sleepiness.
- 2. Irritable mood.
- 3. Increased possibility of workplace accidents.
- 4. Inability to effectively operate machinery.
- 5. Lapse in concentration while driving.

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Abbreviations: EEG, Electro Encephalogram; ROC-LOC, Right of Central & Left of Central; PSD, Power spectrum Density

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# 2. Classification of insomnia

# 2.1. According to etiology

### 2.1.1. Insomnia disorder

When insomnia has no recognized physical (pain), affecting (depression/anxiety), Environmental (noise at night) or substance (drugs) cause, the condition is called Insomnia Disorder.

### 2.1.2. Comorbid Insomnia

This is when the victim has sleep problems because of something else, such as a fitness condition like asthma, depression, arthritis, cancer or stomachache; pain; drug being taken; or a material being used, like alcohol [3].

#### 2.2. According to sleep pattern

## 2.2.1. Sleep-onset insomnia

When the victim takes a long time to get to sleep, but can sleep through the dark once sleep starts.

#### 2.2.2. Sleep-maintenance insomnia

When sufferer wakes regularly during the night and sleep is fragmented [4].

# 2.3. According to duration

# 2.3.1. Transient insomnia

Durable less than a week. This is the most common and extensive form among the population.

#### 2.3.2. Acute insomnia

Lasting between one and four weeks. It is connected to stress factors, but more longer-lasting than for transitory insomnia.

#### 2.3.3. Chronic insomnia

Lasts for four or more weeks and may be due to essentially causes in the organism, eg a long-term physical or psychiatric sickness or it may have no obvious underlying cause [2].

#### Table 1

Normal person recorded data.

#### 3. Subject details and recorded data

Total twenty five volunteer subjects' were selected for this study [5]. Nine subjects were suffering from the sleep disorder of insomnia and sixteen subjects were referred to as the normal group. The subject's details like gender, age, sleep duration of each stage etc. were taken. The subject demographics of both groups are shown in Tables 1 and 2 Respectively.

### 4. Analysis of EEG signal

#### 4.1. Load EEG data

Load EEG Signals from physionet.org [5] in MATLAB workspace and the name of various signals Load (matName) command gives a signal in workspace named as 'val'.

Fig. 1 shows the full signals and is on time basis. The signal is of one minute [6].

# 4.2. Extracting EEG signals

As discussed in the previous topic, we took the EEG signal where all the channels are interwoven in a single signal. Now from that Fig. 1 we extracted different common channels of all insomnia victims:

ROC-LOC, C4-P4, C4-A1, F4-C4, ECG1-ECG2, EMG1-EMG2, P4-O2 [6,7].

The channels shown here are C4-A1 and ROC-LOC. Fig. 2 is based on frequency basis. Here the sampling frequency is 256 Hz.

#### 4.3. Extracting stage of sleep from EEG signal

Now the Fig. 3 is based on time respect. The duration of clipped signal is 1 min (60 s) consisting of EEG signal of respective channel for S0 sleep stage.

# 4.4. Filtering of EEG signals

Now each clipped signal is preprocessed and then passed through the Hanning window low pass filter for removing the high frequency components that eventually indicate noise because major proportion of EEG signals are limited within the range of

S. NO.	O. SUBJECT SLEEP TIME DURA TION OF SO SLEEP STAGE		e dura- ) sleep	SLEEP TIME DURA- TION OF S1 SLEEP STAGE		SLEEP TIME DURA- TION OF S2 SLEEP STAGE		SLEEP TIME DURA- TION OF S3 SLEEP STAGE		SLEEP TIME DURA- TION OF S4 SLEEP STAGE		SLEEP TIM TION OF R STAGE	e dura- em sleep		
	G	P ID	A	START TIME	END TIME	START TIME	END TIME	START TIME	END TIME						
1	F	N1	37	22:09:33	22:10:33	06:19:33	06:20:33	23:29:33	23:30:33	00:35:03	00:36:03	22:40:33	22:41:33	23:36:33	23:37:33
2	М	N2	34	22:19:06	22:20:06	00:44:06	00:45:06	23:20:06	23:21:06	22:47:06	22:48:06	22:52:06	22:53:06	00:20:36	00:21:36
3	F	N3	35	23:10:42	23:11:42	04:57:42	04:58:42	23:55:12	23:56:12	23:20:42	23:21:42	23:25:12	23:26:12	01:00:42	01:01:42
4	F	N4	25	22:36:37	22:37:37	06:41:37	06:42:37	23:54:07	23:55:07	03:33:07	03:34:07	00:29:07	00:30:07	01:07:37	01:0/8:37
5	F	N5	35	22:49:48	22:50:48	22:53:18	22:54:18	00:01:18	00:02:18	00:52:48	00:53:48	01:05:48	01:06:48	01:18:48	01:19:48
6	Μ	N6	31	22:38:39	22:39:39	NA	NA	23:03:39	23:04:39	01:03:39	01:04:39	00:34:39	00:35:39	23:58:09	23:59:09
7	Μ	N7	31	22:21:11	22:22:11	06:27:41	06:28:41	00:00:41	00:01:41	03:21:41	03:22:41	23:04:11	23:05:11	00:31:11	00:32:11
8	F	N8	42	22:17:41	22:18:41	05:58:41	05:59:41	00:00:41	00:01:41	22:56:41	22:57:41	23:06:41	23:07:41	00:23:41	00:24:41
9	Μ	N9	31	22:56:13	22:57:13	23:15:43	23:16:43	23:43:13	23:44:13	23:49:13	23:50:13	23:52:43	23:53:43	00:38:43	00:39:43
10	Μ	N10	23	23:24:52	23:25:52	NA	NA	23:58:22	23:59:22	01:34:22	01:35:22	00:04:52	00:05:52	01:12:52	01:13:52
11	F	N11	28	22:37:16	22:38:16	NA	NA	23:08:46	23:09:46	00:38:16	00:39:16	23:36:16	23:37:16	00:17:16	00:18:16
12	Μ	N12	29	15:14:22	15:15:22	NA	NA	15:30:52	15:31:52	15:38:52	15:39:52	15:55:22	15:56:22	16:34:22	16:35:22
13	F	N13	24	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
14	F	N14	35	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
15	Μ	N15	34	22:00:22	22:01:22	22:14:52	22:15:52	22:28:52	22:29:52	22:34:52	22:35:52	NA	NA	23:46:22	23:47:22
16	F	N16	41	22:35:17	22:36:17	NA	NA	23:58:17	23:59:17	NA	NA	NA	NA	23:50:17	23:51:17

Table 2	
Insomnia	patient recorded data

SL NO.	O. PATIENT DETAILS		SLEEP TIM TION OF S STAGE	IE DURA- 0 SLEEP	SLEEP TIM TION OF S STAGE	ie dura- 1 sleep	SLEEP TIME DURA- TION OF S2 SLEEP STAGE		SLEEP TIME DURA- TION OF S3 SLEEP STAGE		SLEEP TIME DURA- TION OF S4 SLEEP STAGE		SLEEP TIME DURA- TION OF REM SLEEP STAGE		
	ID	GEN	AGE	START	END	START	END	START	END	START	END	START	END	START	END
			(Yrs)	TIME	TIME	TIME	TIME	TIME	TIME	TIME	TIME	TIME	TIME	TIME	TIME
1	INS1	Μ	54	22:32:28	22:33:28	23:00:28	23:01:28	23:12:28	23:33:28	23:45:28	23:46:28	N/A	N/A	00:23:28	00:24:28
2	INS2	F	58	18:27:38	18:28:38	N/A	N/A	23:24:38	23:25:38	00:17:38	00:18:38	N/A	N/A	00:54:38	00:55:38
3	INS3	Μ	82	22:50:12	22:51:12	23:57:12	23:58:12	01:20:12	01:21:12	02:50:12	02:51:12	03:10:12	03:11:12	03:56:12	03:57:12
4	INS4	F	58	21:36:34	21:37:34	N/A	N/A	01:46:34	01:47:34	22:36:34	22:37:34	23:40:34	23:41:34	22:50:34	22:51:34
5	INS5	F	59	18:01:48	18:02:48	23:30:48	23:31:48	23:33:48	23:34:48	05:56:48	01:03:48	05:57:48	00:11:48	01:03:48	01:04:48
6	INS6	F	54	23:40:47	23:41:47	01:00:47	01:01:47	00:00:47	00:01:47	01:36:47	01:37:47	00:10:47	00:11:47	00:57:47	00:58:47
7	INS7	F	47	20:00:14	20:01:14	05:51:14	05:52:14	23:28:14	23:29:14	23:55:14	23:56:14	22:18:14	22:19:14	23:17:14	23:18:14
8	INS8	М	64	22:44:34	22:45:34	23:38:34	23:39:34	02:21:34	02:22:34	05:09:34	05:10:34	N/A	N/A	02:09:34	02:10:34
9	INS9	Μ	72	22:37:44	22:38:44	23:15:44	23:16:44	23:21:44	23:22:44	23:39:44	23:40:44	02:38:44	02:39:44	02:40:44	02:41:44



Fig. 2. Different extracted signals on frequency basis.

25 Hz. Hence, the filter based in FIR filter design of order 200 with cut off frequency of 25 Hz with shape of hanning window is designed for low pass filtering of each sleep sage [6,8].

The Fig. 4 is showing filtered signals for different channel.

# 4.5. Comparison between original signal and filtered signal

The differences between original and filtered waveform is shown in Fig. 5. The MATLAB function 'filtfilt', which is a zero-phase filtering,



Fig. 5. Minute differences between original and filtered waveform.

is used as the filtering method [6]. Now both the original and filtered waveform is cut in 1:1000 ratios which give the detail on the minute differences of both signals.

## 4.6. Estimation of power spectral density

An improved estimator of the PSD is the one proposed by

Welch. The method consists of dividing the time series data into (possibly overlapping) segments, computing a modified periodogram of each segment, and then averaging the PSD estimates. The result is Welch's PSD estimate. Welch's method is implemented in the toolbox by the Welch method. Since PSD gives signal power with respect to the frequency spectrum, we require specifying the number of frequency slots to distribute the spectral power. It is



# Table 3

Normalized power of Delta Activity.

Stage SO	Normal 1	Normal 2	Normal 3	Insomnia 1	Insomnia 5	Insomnia 6
P_delta (Normalized)	0.58457	0.766656	0.75848	0.8999	0.81859	0.8732
	LOW	/		— HIGH		

#### Table 4

Normalized power of Theta Activity.

Stage SO	Normal 1	Normal 2	Normal 3	Insomnia 1	Insomnia 6
P_theta (Normalized)	0.28546 ————————————————————————————————————	0.17031	0.19047	0.078008 LOW ——	0.11943

called as number of FFT points (NFFT). The Fig. 6 is showing power spectral density (PSD) of different channels [6,9,12].

# 5. Result and discussion

Normalized power (P norm) of normal cases having no symptoms of sleep is analyzed and compared with pathological cases during S0 stage [10,11]. Normalized power indicates the percentage of a particular EEG activity out of complete power. So it is found that it gives a better indication of measurements of detection of features instead of taking average power of particular EEG activity. We took 16 normal cases and 9 insomnia cases and then compared the results [6].

According to Table 3, delta activity normalized power for normal cases is found in range of 0.58–0.76 and for insomnia cases it is found in range of 0.81–0.89, hence we can see that normalized power of normal case is low as compared to insomnia victims.

Similarly for normalized power of theta activity, Pnorm for normal cases is high i.e. 0.17–0.28 and for insomnia case the range is from 0.078 to 0.16 which low (see Table 4).

For the alpha activity, the normalized power for normal cases is in the range of 0.04–0.12 which is high while for the insomnia cases the range is low i.e. 0.006–0.02 (see Table 5).

According to Table 6, normalized power of beta activity for normal cases are found in range 0.004–0.007 which is high, on the other hand in insomnia cases the range is 0.0005–0.0007 which is quite low as compared to normal cases.

Tables 4–6 indicate that insomnia patient have low normalized power for respectively theta, alpha and beta waves of EEG Signal. Table 3 indicate that insomnia patient have high normalized power for Delta wave of EEG signal.

#### Table 5

Normalized power of Alpha Activity.

Stage SO	Normal 1	Normal 2	Normal 3	Insomnia 1	Insomnia 5	Insomnia 6
P_alpha (Normalized)	0.12228	0.058187	0.04643	0.02153	0.01366	0.0066697
	——————————————————————————————————————			— LOW		

#### Table 6

Normalized power of Beta Activity.

Stage S0	Normal 1	Normal 2	Normal 3	Insomnia 1	Insomnia 5	Insomnia 6
P_beta (Normalized)	0.0076992 ——— HIGI	0.004942 H	0.0046171	0.00056601	0.00067993	0.00070318

#### 6. Conclusion

We presented EEG Signals comparison between normal individuals and insomnia victims on basis of PSD of using ROC-LOC channel and defined the range for normalcy and for detecting insomnia victims, we utilizing the approach of short time frequency analysis of PSD applied on EEG signals. This method will replace the graphical method of detection that is in contemporary use. In the past we have diagnosed rapid eye movement behavior disorder and the future work will focus on the employment of this technique for other diseases like epileptic seizures and other sleep disorders. Based on the short time frequency analysis of Power Spectrum Density, the results indicate the possibility of recognizing insomnia events based on delta, theta, alpha and beta segments of EEG signals.

## **Competing interests**

MMS is researcher. The other authors declare that they have no competing interests.

# Authors' contributions

MMS designed the algorithm for experiments and performed the experiments and analyzed the data. GS and SHS help me and guide me during my work. All authors read and approved the final manuscript.

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