## RESEARCH ARTICLE



# Intervertebral disc microbiome in Modic changes: Lack of result replication underscores the need for a consensus in low-biomass microbiome analysis

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# Funding information

Foundation for Research in Rheumatology; Schweizerischer Nationalfonds zur Förderung der Wissenschaftlichen Forschung, Grant/Award Number: 207989

## **Abstract**

Introduction: The emerging field of the disc microbiome challenges traditional views of disc sterility, which opens new avenues for novel clinical insights. However, the lack of methodological consensus in disc microbiome studies introduces discrepancies. The aims of this study were to (1) compare the disc microbiome of non-Modic (nonMC), Modic type 1 change (MC1), and MC2 discs to findings from prior disc microbiome studies, and (2) investigate if discrepancies to prior studies can be explained with bioinformatic variations.

Methods: Sequencing of 16S rRNA in 70 discs (24 nonMC, 25 MC1, and 21 MC2) for microbiome profiling. The experimental setup included buffer contamination controls and was performed under aseptic conditions. Methodology and results were contrasted with previous disc microbiome studies. Critical bioinformatic steps that were different in our best-practice approach and previous disc microbiome studies (taxonomic lineage assignment, prevalence cut-off) were varied and their effect on results were compared.

Results: There was limited overlap of results with a previous study on MC disc microbiome. No bacterial genera were shared using the same bioinformatic parameters. Taxonomic lineage assignment using "amplicon sequencing variants" was more sensitive and detected 48 genera compared to 22 with "operational taxonomic units" (previous study). Increasing filter cut-off from 4% to 50% (previous study) reduced genera from 48 to 4 genera. Despite these differences, both studies observed dysbiosis with an increased abundance of gram-negative bacteria in MC discs as well as a

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lower beta-diversity. *Cutibacterium* was persistently detected in all groups independent of the bioinformatic approach, emphasizing its prevalence.

**Conclusion:** There is dysbiosis in MC discs. Bioinformatic parameters impact results yet cannot explain the different findings from this and a previous study. Therefore, discrepancies are likely caused by different sample preparations or true biologic differences. Harmonized protocols are required to advance understanding of the disc microbiome and its clinical implications.

#### KEYWORDS

Cutibacterium acnes, metagenomics, microbiome, Modic changes

#### 1 | INTRODUCTION

The microbiome of intervertebral discs (IVDs) has become a focal point of intense debates within the spine research community because it challenges the longstanding paradigm of the disc's sterility and because its clinical relevance is unclear. Particularly in the context of Modic changes (MC), the presence of bacteria, specifically *Cutibacterium acnes* (*C. acnes*), within the disc has been a long-debated topic.<sup>1–5</sup> Reports of a disc microbiome challenge the paradigm of the sterile disc and raise the questions, of what are commensals and what are pathogenic bacteria.<sup>6,7</sup>

Rapid technological advancements have revolutionized our ability to explore the microbiome in low-biomass samples with nextgeneration sequencing (NGS). This innovation allows us to get insight into the complete microbial DNA present within a sample, which marks a significant leap forward from the traditional approach of culturing bacteria in vitro. In particular, the significant constraint of selecting cultural conditions that favor the proliferation of specific bacteria was overcome with NGS.8 In addition, certain bacteria have very slow growth or remain completely unculturable and have therefore never been considered as part of the disc's microbiome. While DNA sequencing is highly sensitive and comprehensive, it cannot differentiate between live and deceased bacteria. Skeptics often focus on this aspect, suggesting that the identified bacterial DNA could potentially result from contamination or dead bacteria rather than from live resident bacteria with the potential to have a functional impact on the disc.

Rajasekaran et al. and Astur et al. were the first to perform indepth analysis of the disc's microbiome with NGS.<sup>6,9</sup> However, the overlap between the detected bacteria was very small. The potential causes for this disparity could be attributed to one or a combination of the following factors: First, True biologic difference, for example, geographic and ethnic differences in patients, Second, differences in sample preparation and the presence of differing contaminating bacteria in reagents, <sup>10</sup> which mask the number of true bacteria present. Third, the bioinformatic analysis. Since they used different methodologies (points 2 and 3), true biologic differences and their clinical relevance (point 1) cannot be answered, emphasizing the need to harmonize the procedures. For example, both studies handle the

control for contamination differently, which greatly affects the amount and speciation of detected bacteria. Consequently, a consensus is needed on the sample preparation, bioinformatic pipeline, and the use of contamination controls to ensure the comparability, reliability, and reproducibility of the results.

Despite the lack of methodological consensus, bacteria and particularly C. acnes have been found in discs using different methods. 7,9,11-13 The discovery of a vast number of bacteria present within the disc guestioned the importance of C. acnes and raised the possibility that other bacteria or a state of a dysbiosis may be clinically more relevant. 6,7,9 In prior NGS studies of IVDs. Astur et al. 6 did not detect C. acnes in any disc.6 In contrast, Rajasekaran identified C. acnes, however it was not among the most abundant bacterial species nor was it different between MC and non-MC discs. Hence, the new paradigm of a disc microbiome challenges the conventional notion of disc sterility and emphasizes the urgent necessity for a harmonized methodology for disc microbiome analysis. Moreover, in the context of MCs, it is essential to determine whether C. acnes should persist as the predominant pathogen under investigation or if a critical reassessment is warranted to encompass a broader spectrum of bacterial species.

The study aimed: (1) to compare our methods and results with previous disc microbiome studies and to identify the differences in the bioinformatic pipeline, (2) to demonstrate, based on our data, how variations in the previously identified bioinformatic parameters can yield distinct outcomes; and (3) to gain insights into the differences in microbiome profiles among non-Modic change (nonMC) discs, Modic type 1 change (MC1) discs, and Modic type 2 change (MC2) discs.

## 2 | METHODS

# 2.1 | Disc collection

Twenty four nonMC, 25 MC1, and 21 MC2 IVDs were collected aseptically from patients undergoing lumbar spinal fusion surgery at the Balgrist University Hospital Zurich between May 25, 2021 and October 6, 2022. The research followed the principles outlined in the Declaration of Helsinki and discs were collected with informed

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consent and with approval from the local ethics commission. Exclusion criteria were previous lumbar spinal fusions and current or chronic systemic inflammatory or infectious diseases.

A board-certified radiologist specialized in musculoskeletal conditions graded disc degeneration according to Pfirrmann, <sup>14</sup> and classified adjacent bone marrow changes according to Modic<sup>15</sup> based on pre-operative magnetic resonance imaging not older than 3 months. General demographic metrics such as age, gender and BMI were collected, and patients filled out the visual analog score (VAS) for back pain and the Oswestry disability index (ODI) before surgery. Friedman's test was used to determine significant differences in age, body mass index (BMI), ODI, and VAS back pain score among the three groups. Wilcoxon test was used to test differences in Pfirrmann grade between the groups and the gender distribution differences between the three groups were examined with the use of a Fisher's exact test.

Once the disc was removed, it was immediately placed in sterile tubes. All subsequent steps were also conducted in a sterile environment. The entire procedure also involved an additional 10 contamination control samples, which included all the buffers but did not contain any tissue. Each disc was minced into small pieces and mixed. Finally, the discs were snap-frozen and stored at  $-80^{\circ}$ C until all samples were collected and processed for genomic DNA extraction, 16S rRNA DNA amplification, and amplicon sequencing.

# 2.2 | DNA isolation

All discs underwent genomic DNA extraction using the Qiagen Pathogen Kit, following the manufacturer's protocol with an initial overnight incubation step with Proteinase K.

#### 2.3 | 16S rRNA PCR

The 16s rRNA V3-V4 region was amplified using primers with MiSeqoverhang adapters (Fwd: 5′TCGTCGGCAGCGTCAGATGTGTATA AGAGACAGCCTACGGGNGGCWGCAG and Rev: 5′GTCTCGTG GGCTCGGAGATGTGTATAAGAGACAGGACTACHVGGGTATCT AATCC). The PCR reaction consisting of 7  $\mu$ L forward/reverse Primer Mix (2  $\mu$ M), 12.5  $\mu$ L 2× KAPA Hifi HotStart Ready Mix (Roche, Basel, Switzerland) and 5.5  $\mu$ L DNA template was performed using the following cycle conditions: initial denaturation at 94°C for 2 min, 35 cycles of denaturation at 94°C for 20 s, annealing at 64°C for 30 s, elongation at 68°C for 30 s, final elongation step at 72°C for 5 min. PCR products were verified with an agarose gel.

# 2.4 | Library preparation and sequencing

A 2-step PCR approach was used to generate libraries; the first PCR amplifies the specific region (Forward primer: CCTACGGGNGGCWG-CAG, Reverse primer: GACTACHVGGGTATCTAATCC) and the

second PCR adds Illumina adapters and 8 bp barcodes to the amplicons. This approach employs Truseq tag sequences. The PCR products generated from the second PCR were purified with magnetic beads. The quality and quantity of the libraries were validated using the Agilent 4200 TapeStation system and the GloMax<sup>®</sup> Explorer System, Promega. After library quantification, libraries were normalized to

10 nM in Tris-Cl 10 mM, pH 8.5 with 0.1% Tween 20 and pooled

The Miseq Sequencing Systems (Illumina, Inc, California, USA) was used for cluster generation and sequencing according to standard protocol. Sequencing was performed with a run configuration of pair end 250 bp. The sequencing raw data were processed with Trimmomatic (0.39) by trimming the Illumina-specific adapters, removing reads below average quality of Q20 and shorter than 30 bp. The reads were then mapped to GRCh38.p13 human reference genome using Bowtie2 (2.4.2) with a standard set of parameters, to check for contamination resulting from off-target amplification. The reads that mapped to the human genome were filtered out from both the forward and the reverse reads using Seqtk (1.3).

#### 2.5 | 16 s rRNA data analysis

equimolarly.

The described bioinformatic workflow will be referred to as our best practice approach throughout the paper. Data analysis of the preprocessed 16S rRNA reads was performed with QIIME 2 nextgeneration microbiome bioinformatics pipeline (v2022.2). Raw reads were transformed into a QIIME 2 artifact format (.gza) and the amplicon sequencing variants (ASVs) were extracted from the data using Divisive Amplicon Denoising Algorithm 2 (DADA2) implemented in QIIME 2 as a plugin. DADA2 corrected amplicon errors, dereplicated and denoised the sequences, identified and removed chimeras and merged the paired end reads. 17 The extracted representative sequences were assigned a taxonomic lineage using a sklearn-based Naive Bayes classifier trained on the SILVA v138 99% 16S database narrowed down to the V3-V4 region. The taxonomic classification was compared with a classifier trained on the Greengenes v138 99% database. SILVA database was chosen as the preferred choice due to the close correspondence between the two but an outdated classification for a small set of bacterial genomes by the Greengenes database. 18,19 The phylogeny plugin in QIIME 2, which utilizes MAFFT and FastTree for alignment, was also used to estimate the rooted and the unrooted tree. The data were subsequently processed in Rstudio (version 4.3). First, bacterial contaminants in the experimental samples were identified and removed from the samples with Decontam (1.20.0), using the prevalence method, comparing the composition of the positive samples to the negative controls (threshold = 0.5). Additionally, ASVs present in less than 4% of the samples and having a count of less than or equal to 1 were filtered out. The gram stain of each genus was determined using the AMR R package (v2.1.0). Phyloseq (1.44.0) was used to measure a set of standard alpha diversity metrics (Shannon, Simpson, Observed, Chao1, ACE and Pielou). The significance of the difference in Shannon diversity index between



samples was tested using the all-group and pairwise Kruskal-Wallis test (a non-parametric version of ANOVA). Beta diversity (Jaccard, Bray-Curtis and weighted Unifrac) was also estimated with the phyloseq package and it was performed on transformed counts (counts per taxon normalized by the total sum of counts per sample).

# 2.6 | Comparison to other disc microbiome studies

Our study's methods and bioinformatic pipeline were compared to the ones used by Astur et al.<sup>6</sup> and Rajasekaran et al.<sup>9</sup> who previously used metagenomics to investigate the disc microbiome. For comparison of the microbiome disc results only the study of Rajasekaran et al.'s study<sup>9</sup> was used, given that Astur et al.'s<sup>6</sup> research focused on herniated discs. The main difference between the groups of our study to that of Rajasekaran et al.<sup>9</sup> lies in our study's additional division of the MC group into MC1 and MC2.

# 2.7 | Comparison of different bioinformatic approaches

Based on the comparison to Rajasekaran et al.'s study the most critical steps in the bioinformatic processing pipeline were identified and the data of this study were used to compare the results when applying the methodologies from Rajasekaran's study. The main result that was compared was the extracted bacterial genera. The bioinformatic methodologies compared were (1) taxonomic lineage assignment: ASV versus operational taxonomic unit (OTU) and (2) different prevalence cut-offs: 4% versus 50%. When the prevalence cut-off is mentioned, it consistently corresponds to the minimum percentage of samples in which a particular ASV or OTU, depending on the analysis, was detected.

OTU table was computed from the ASV table with the tip glom function from the phyloseq R package using agglomerative hierarchical clustering (agnes). The data was then subsequently agglomerated at a taxonomic level of interest (genus). For comparison of OTUs and ASVs, the analysis involved the assessment of the detected genera, their distribution within each group, and the median abundance of all ASVs/OTUs across the three MC groups, both overall as well as split into gram positive and negative genera. The significance of the difference in median abundance among the groups was evaluated with use of the Friedman's test, followed by multiple comparisons adjusted for false positives through Dunn's statistical hypothesis testing.

The different filter methods were based on the number of samples in which a specific OTU or ASV was detected. Three distinct cutoff criteria were assessed: the requirement for the ASV to be present in at least 4% of all patients, the presence of the ASV in at least 50% of patients within at least one group, or the presence of the ASV in at least 50% of all patients. Pie charts showing the genera distribution within each group based on their prevalence were used for comparison of the different filters.

Invariant results from the bioinformatic variations were compared between nonMC, MC1 and MC2 and compared to Rajasekaran et al.'s<sup>9</sup> MC microbiome study.

#### 3 | RESULTS

#### 3.1 | Patient demographics

Age and gender did not differ between groups (Table 1). Disc degeneration was not significantly different between the groups (p = 0.448). The nonMC group had higher BMI compared to the MC1 group (p = 0.019) (Table 1).

# 3.2 | Comparison to previous disc microbiome studies

The comparison of the sample preparation and bioinformatic methods of the three disc metagenomic studies is shown in Table 2. All three studies used the same variable 16 s rRNA region, and the same sequencing machine. DNA extraction was the same for Astur et al. and Rajasekaran et al. For contamination controls, our study included 10 tubes with only the reagents. The other two studies did not mention any control samples.

For sequencing analysis, the three studies all used very different approaches. Differences were seen in taxonomic lineage assignment, filtering strategies, and the database used to annotate the bacteria. No information was provided for bioinformatic identification of potential contaminant reads in the other two studies.

A step-by-step description of our methodology is depicted in Figure 1, highlighting different methodologies compared to Rajasekaran's study. Initial taxonomic lineage assignment assigned 2415 ASVs (Figure 1, step 8). Decontam eliminated 120 ASVs (step 9) mainly affecting ASVs belonging to the phylum Proteobacteria, Actinobacteria, and Firmicutes and on genus level this mainly affected ASVs belonging to Escheria-Shigella, Staphylococcus, and Pelomonas. The second filtration step eliminated ASVs found in fewer than either 4% or 50% of samples with counts greater than 1 (step 10). This resulted in the removal of over 2000 ASVs, which leaves a final count of 180 and 26 ASVs, respectively.

Both Rajasekaran et al.'s and our study aimed to identify differences in disc microbiome in MC discs. Therefore, we compared indepth the two methodologies. The discrepancies consist of two steps that had different parameters (steps 8 & 10), two steps which were only implemented in this microbiome study (steps 6 & 9) (Figure 1). The two steps that differed between our and Rajasekaran's study were selected for further comparison. We found that different filters had a large effect and that ASVs detected more genera than OTU (Figure 1B). All possible combinations of filters and taxonomic lineage assignment failed to converge our results with Rajasekaran's result. No overlaps in genera were found when using the same parameters as in Rajasekaran's study, indicating that the observed differences are not due to filtering thresholds or taxonomic lineage assignment. Using

**TABLE 1** Patient demographics of the groups divided into no Modic change (nonMC), Modic type 1 change (MC1), and Modic type 2 change (MC2) discs. Age, body mass index (BMI), Oswestry disability index (ODI), and visual analog scale (VAS) are indicated as mean ± standard deviation (SD). Gender distribution is described as percentage of females per group and Pfirrmann grade is indicated as the median and the interquartile ratio (IQR). Significant *p*-values are highlighted in bold.

	nonMC(n=24)	MC1(n=25)	MC2(n=21)	<i>p</i> -value
Pfirrmann Grade (Median [IQR])	4 [2, 4]	4 [3, 4]	4 [4, 4.5]	0.267
Age (Average ± SD)	63.5 ± 17.1	62.0 ± 15.6	64.2 ± 10.2	0.781
BMI (Average ± SD)	30.5 ± 6.2	26.3 ± 4.2	28.2 ± 4.2	0.019
Female (Percentage)	42%	56%	29%	0.197
ODI (Average ± SD)	43.3 ± 17.8	42.9 ± 19.7	36.4 ± 15.4	0.848
VAS back pain (Average ± SD)	6.6 ± 2.2	6.1 ± 2.7	6.7 ± 1.9	0.708

a setup similar to Rajasekaran's setup (OTU, 50% filtering), *Methylobacterium-Methylorubrum* was the sole remaining genera in our dataset, a bacterium that Rajasekaran did not detect. They reported as the top four bacteria *Psuedomonas*, *Acinetobacter*, *Prevotella*, and *Orchobactrum*. In contrast, under our preferred parameters for exploratory screening (ASVs, 4% filtering) as well as with our most stringent filter (ASVs, 50% filtering), the top four genera were *Pelmonas*, *Sphingomonsa*, *Methylobacterium-Methylorubrum*, and *Cutibacterium*.

# 3.3 | Effect of ASVs versus OTUs with respect to MC microbiome

A decrease in bacterial diversity in MC groups was observed, which was not influenced by using ASVs or OTUs. Alpha diversity was

similar in all groups (Supplementary Figure 1). Beta diversity was lowest for MC1 compared to all other groups and highest for nonMC compared to all other groups (Figure 2A).

The number of genera detected with ASV and OTU were largely different. OTU annotation identified 22, ASV annotation 48 different genera with use of a 4% prevalence cut-off. All genera identified by OTUs were also identified with ASVs (Figure 2B), indicating that ASVs provided better resolution. The distribution of genera was also strongly affected by taxonomic lineage assignment. ASVs revealed four prominent genera, namely *Sphingomonas*, *Pelmonas*, *Methylobacterium-Methylorubrum*, and *Blastomonas* while OTUs were characterized mainly by *Methylobacterium-Methylorubrum* and *Hathewaya* in nonMC and MC1 and by *Methylobacterium-Methylorubrum* together with Acinetobacter in MC2 (Figure 2C,D). Interestingly, two out of the four dominant genera that contributed significantly to the microbiome

**TABLE 2** The methods for metagenomic sequencing and analysis of discs of this study were compared to two prior disc metagenomic studies of Rajasekaran et al.<sup>9</sup> and Astur et al.<sup>6</sup> study.

	Our study	Rajasekaran et al. <sup>9</sup>	Astur et al. <sup>6</sup>
Patients	70 (24 nonMC, 25 MC1, 21 MC2)	40 (20 non-Modic, 20 Modic, 20 Control)	17 (herniated discs)
Patient average age	63 (From 13 to 89 years)	47 (From 19 to 70 years)	42.8 years (From 31 to 59 years)
Variable region used	V3-V4 (341F and 806R)	V3-V4 (341F and 806R)	V3-V4
Filtered for human genome content	Yes, with Bowtie2 (reference genome GRCh38p13)	No	No
DNA extraction	QIAmp UCP Pathogen Mini Kit	QIAmp DNA Microbiome Kit	QIAmp DNA Microbiome Kit
Contamination controls	10 tubes included	N/A	N/A
Sequencing platform	Illumina	Illumia NovoSeq 6000	Illumina
Decontamination strategy	Decontam R package	N/A	N/A
Database	SILVA	Inhouse database consisting of: Greengenes, SILVA and 16s core bacterial database	RDP tools version 2.12
Filtering	ASVs present at count <1 Comparison of multiple cutoffs:  > >4% overall  > >50% per group  > >50% overall	Presence in at least 70% of samples & >100 OTUs	Minimum of 20 sequence reads
Bioinformatic Pipeline	QIIME2	QIIME2	Geneious Prime Softwares (Geneious Prime 2020.1.2)
Taxonomic lineage assignment	ASVs	OTUs	Neither

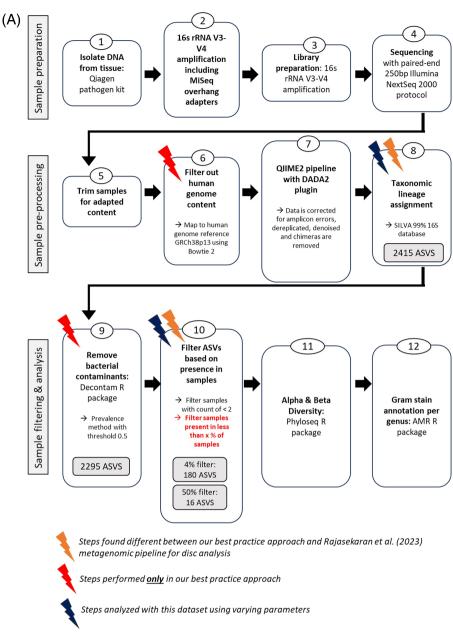


FIGURE 1 (A) The workflow used to perform our study in a best practice approach. Orange lightning bolts indicate steps found to differ from the prior investigation of the MC metagenome by Rajasekaran et al., while red indicates steps done only in this workflow and not in prior MC microbiome studies. Blue lightning bolts indicate the steps which were further investigated with the use of the dataset from this study. (B) Direct comparison of the number of genera detected in our study compared to the number presented by Rajasekaran et al.9 Different parameters were used for the analysis of our samples including the use of either ASVs or OTUs as well as the application of two different prevalence cut-off filters for the number of samples indicating the presence of these genera. ASV, amplicon sequencing variant; OTU, operational taxonomic unit.

(B)		ASVs	OTUs			
	4 % filter	Our study Rajasekaran et al. 2023	Our study Rajasekaran et al. 2023			
	50 % filter	Our study Rajasekaran et al. 2023	Our study Rajasekaran et al. 2023			

with the use of ASVs were absent in the OTU data, which resulted in the pronounced overrepresentation of the previously named bacteria evident in the pie charts of the OTUs (Figure 2C,D). The analysis of median abundance for all ASVs across the groups resulted in a significantly lower median ASV abundance in nonMC compared to both MC1 (p < 0.001) and MC2 (p < 0.001). Additionally,

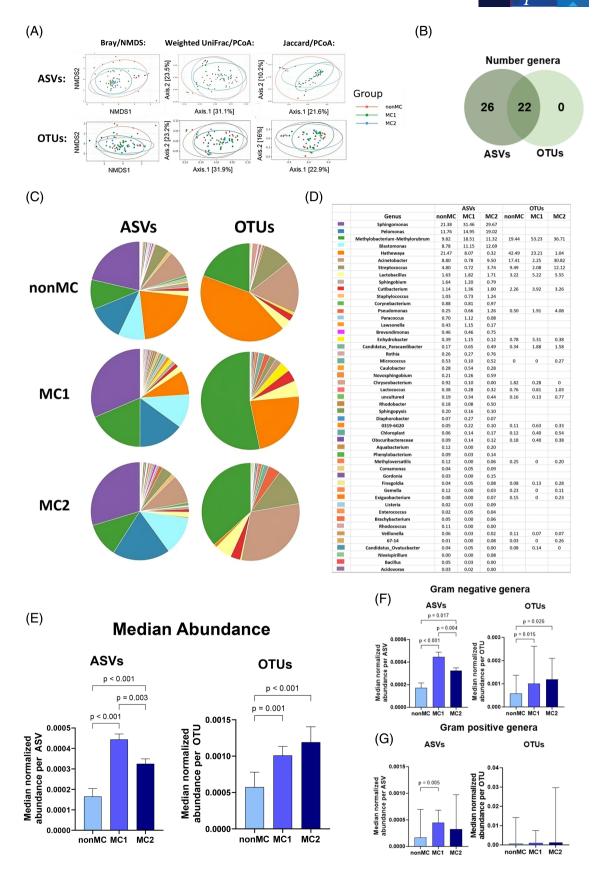
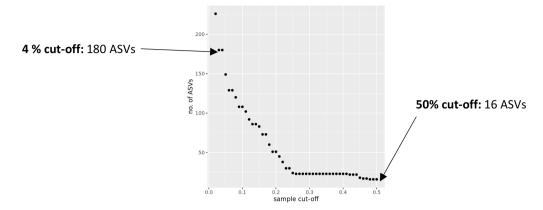


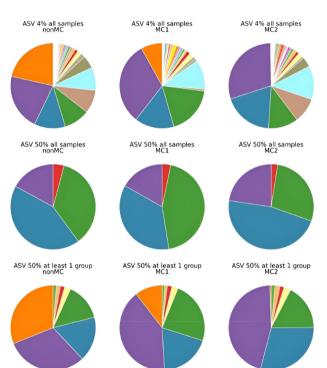
FIGURE 2 Legend on next page.



# (A) Number of ASVs remaining with use of different sample cut-off filters dependent on number of patients with ASV detected







		4 % filter overall		50 % filter overall			50 % filter group specific			
	Genus	nonMC	MC1	MC2	nonMC	MC1	MC2	nonMC	MC1	MC2
-	Pelomonas	11.76	14.95	19.02	43.06	35.78	46.95	17.02	19.23	29.15
	Methylobacterium-Methylorubrum	9.82	18.51	11.32	35.72	44.17	27.93	14.21	23.82	17.34
-	Sphingomonas	21.38	31.46	29.67	17.04	16.79	22.64	30.94	40.48	45.47
-	Cutibacterium	1.14	1.36	1.00	4.18	3.27	2.48	1.65	1.76	1.54
	Hathewaya	21.47	8.07	0.32				31.06	10.39	0.49
	Lactobacillus	1.63	1.82	1.71				2.35	2.34	2.62
	Corynebacterium	0.88	0.81	0.97				1.27	1.04	1.48
	Staphylococcus	1.03	0.73	1.24				1.49	0.94	1.91
-	Blastomonas	8.78	11.15	12.69						
-	Acinetobacter	8.80	0.78	9.50						
-	Streptococcus	4.80	0.72	3.74						
-	Sphingobium	1.64	1.20	0.79						
	Pseudomonas	0.25	0.66	1.26						
	Paracoccus	0.70	1.12	0.08				1		
	Lawsonella	0.43	1.15	0.17						
	Brevundimonas	0.46	0.46	0.75				1		
-	Enhydrobacter	0.39	1.15	0.12				1		
	Candidatus_Paracaedibacter	0.17	0.65	0.12	1			1		
-	Rothia	0.26	0.03	0.76				1		
-	Micrococcus	0.53	0.10	0.52				1		
-	Caulobacter	0.33	0.10	0.32				1		
	Novosphingobium	0.28	0.26	0.59				-		
		0.92	0.10	0.39				-		
	Chryseobacterium	0.32	0.10	0.32				-		
-	Lactococcus	0.38	0.28	0.32				-		
	Rhodobacter	0.19	0.08	0.50				-		
-		0.18	0.08	0.50				-		
=	Sphingopyxis							-		
	Diaphorobacter	0.07	0.27	0.07				-		
-	0319-6G20	0.05	0.22	0.10				-		
-	Chloroplast	0.06	0.14	0.17				-		
-	Obscuribacteraceae	0.09	0.14	0.12				-		
-	Aquabacterium	0.12	0	0.20				-		
-	Phenylobacterium	0.09	0.03	0.14						
•	Methyloversatilis	0.12	0	0.06						
-	Comamonas	0.04	0.05	0.09						
-	Gordonia	0.03	0	0.15						
	Finegoldia	0.04	0.05	0.08						
•	Gemella	0.12	0	0.03						
•	Exiguobacterium	0.08	0	0.07						
-	Listeria	0.02	0.03	0.09						
-	Enterococcus	0.02	0.05	0.04						
•	Brachybacterium	0.05	0	0.06						
-	Rhodococcus	0.11	0	0						
•	Veillonella	0.06	0.03	0.02						
•	67-14	0.01	0	0.08						
-	Candidatus_Ovatusbacter	0.04	0.05	0						
	Niveispirillum	0	0	0.08						
	Bacillus	0.05	0.03	0						
	Acidovoray	0.03	0.02	0						

**FIGURE 3** Comparison of different filter cut-offs. (A) The graph shows the number of ASVs left when the prevalence filter cut-off is set at different percentages. (B) Genera distribution based on the median abundance per genera with three different filters: genera detected in more than 4% of patients overall (top row), genera detected in more than 50% of patients in at least one group (middle row) and genera detected in overall more than 50% of patients (bottom row). (C) The table depicts the information from the pie charts in percentages based on the median abundance per genus. ASV, amplicon sequencing variant.

FIGURE 2 ASV compared to OTU annotation of genera found in at least 4% of all samples. (A) Beta-diversity calculated with Bray/NMDS, Weighted UniFrac/PCoA and Jaccard/PCoA with either ASV annotation or OTU annotation. (B) The overlap of genera detected with ASVs compared to OTUs. (C) The microbial distribution of ASVs compared to OTU on the genera level with a corresponding (D) table indicating the percentage of each genus based on the median normalized abundance. (E) The median abundance of genera extracted with ASVs or OTUs compared between nonMC, MC1, and MC2. (F) Gram-negative or (G) gram—positive extracted ASVs and OTUs compared between nonMC, MC1, and MC2. Significance tested with Friedman's test and corrected for multiple comparisons with Dunn's statistical hypothesis testing. ASV, amplicon sequencing variant; OTU, operational taxonomic unit.

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MC1 displayed a higher overall median ASV abundance compared to MC2 (p=0.003) (Figure 2E). Gram-negative bacteria significantly increased in MC1 compared to nonMC for ASVs and OTUs, with an additional increase in MC1 compared to MC2 in ASVs (Figure 2F). For gram-positive bacteria, ASVs but not OTU revealed a significantly greater abundance in MC1 compared to nonMC (Figure 2G).

Consistent findings independent of the use of ASV or OTU were that MC1 had lower beta diversity, yet more bacteria, in particular gram-negative genera (Figure 2A,E,F). In addition, similar patterns can be observed when looking at *Hathewaya* which was found to make up a large part of the microbiome in nonMC and MC1 but not in MC2, while *Acinetobacter* took its place in MC2.

# 3.4 | Comparison of different filter cut-offs

Using different filter cut-offs strongly affected the number and type of detected ASVs and genera (Figure 3). While a 4% cut-off leaves 180 ASVs and 48 genera, a 50% prevalence cut-off leaves only the genera *Pelmonsa*, *Methylobacterium-Methyloruburm*, *Sphingomonas*, and *Cutibacterium*. However, when a group specific 50% prevalence cut-off was applied, four additional genera make the cut, as they are predominantly found in nonMC discs. These include *Hathewaya*, *Lactobacillus*, *Corynebacterium*, and *Staphylococcus* (Figure 3B,C).

# 3.5 | Cutibacterium in the spotlight

Two ASVs were extracted which belong to the genus *Cutibacterium*. One was detected in 43 samples, the other in 44 out of the 70 samples, with all of them overlapping and the 44 just having one additional patient. *Cutibacterium* ASVs were present in 71% of nonMC patients, 56% of MC1, and 62% of MC2 discs without statistical difference. This high rate of occurrence led to the genera *Cutibacterium* being one of four bacteria that was retained throughout all bioinformatic approaches tested.

# 4 | DISCUSSION

The microbiome of the disc challenges the paradigm of a sterile disc and represents a novel and relatively unexplored domain that is currently receiving careful and critical consideration in the field. Disc dysbiosis has previously been reported for MC discs by Rajasekaran et al. and with this study we provide further evidence that the disc harbors its own microbiome. In this study, consistent with Rajasekaran et al., reduced bacterial diversity in MC discs was found, indicating a state of dysbiosis. In addition, absolute number of bacteria was higher in MC1 discs, mainly of gram-negative bacteria. This suggests that they either infiltrated MC1 discs or that the environment of MC1 discs favored their proliferation. However, large differences in the number and speciation of bacteria were found compared to Rajasekaran's study. There are three possible explanations for the observed

differences: (1) true biological differences due to, for example, geographic and ethnic differences, (2) differences in sample preparation, for example, DNA isolation, contaminations in buffers, (3) differences in bioinformatic analysis. Point 1 is the most clinically relevant, yet it cannot be addressed until the source of data variance originating from points 2 and 3 is understood and minimized using a harmonized protocol. With this goal in mind, we used our dataset to test if the bioinformatic discrepancies between Rajasekaran's and our study could account for the observed differences in results. We compared the results generated by our dataset when using: (1) different taxonomic assignments, that is, ASVs versus OTUs and (2) three different filter cut-offs. We found that changing taxonomic assignment and filter cut-offs largely affects results but cannot explain the discrepancies to Rajasekaran's study. They reported 35 different genera using OTU and a 50% filter-parameters that, as evidenced by our dataset, lead to a reduction in the number of identified bacteria. In this study, these settings resulted in only one genus. To match the high number of genera detected in their study, a less restrictive 4% filter had to be used. Yet, the majority of genera detected remained different from the ones reported by Rajasekaran et al. This suggests that either their patients had a much richer and different microbiome (biologic variance), or that more and different bacteria were introduced during their sample preparation (e.g., different buffer solutions).

It is known that different taxonomic assignments affect the results of microbiome analysis. OTU uses a 97% sequence similarity to assign taxonomy. This causes grouping of similar sequences. In contrast, ASVs capture single nucleotide differences, and hence provide higher resolution and specificity than OTU. It has been suggested to use ASVs as standard due to their comprehensiveness and easier reproducibility. OTU almost doubled the number of genera found and mainly increased the abundance of the bacteria found in MC2 discs. Yet, both ASV and OTU consistently showed reduced bacterial diversity in MC discs and more gram-negative bacteria in MC1 discs potentially making these robust results.

Filtering is an important step to reduce noise and errors from sequencing, mitigate sample contamination, to focus on dominant bacteria, to avoid statistical zero-inflation, and enhance statistical power. However, in low microbiome biomass tissue like the disc, stringent filtering can remove potentially important bacteria species that are only present in a subset of samples. In this study we found that a stringent filter, which only retained ASVs present in over 50% of patients, excluded group specific ASVs. For example, Hathewaya, Lactobacillus, Corynebacterium, and Staphylococcus were filtered out, because they were predominantly found in nonMC samples. To avoid this risk, the 50% cut-off filter was applied to each group rather than all samples. This filtering strategy overcomes the mentioned drawbacks by emphasizing the bacteria most relevant for the majority of patients, potentially identifying those crucial for clinical treatments. However, when the aim of the study is to explore the diversity of the microbiome in a low biomass sample, it is not advisable to use such stringent filtering and for this case we propose the use of the 4% cutoff. To address the risk of contamination reads when using a 4% cutoff filter, 10 contamination control samples (not tissue) were used along with the decontamination algorithm "Decontam" Decontam leverages the inverse relationship contaminant-derived sequences and total DNA concentration for effective decontamination without data loss in low-biomass metagenomic data.<sup>23</sup> It has previously been suggested to use ligamentum flavum or surrounding tissues as decontamination controls.<sup>6</sup> However, considering them as 'negative controls' may be inappropriate because soft tissue may also harbor a microbiome.<sup>24</sup> In addition, the exclusion of discs with microbial presence in the surrounding tissues could eliminate critical samples if the assumption is that pathogenic bacteria enter the disc through surrounding tissue. Besides bioinformatic variations, physical sample processing before sequencing is a likely source of variance. 25,26 Therefore, we suggest that a harmonized protocol for disc microbiome analysis should encompass bioinformatic analysis and protocols to isolate bacterial DNA from disc tissue.

Lastly, this dataset adds further evidence for the presence of *Cutibacterium* in the disc. Notably, the genus *Cutibacterium* persisted among the five genera even under stringent filter methods using ASVs, being detected in over 50% of samples. Our data are in agreement with Rajasekaran et al.<sup>9</sup> who also found *Cutibacterium* in discs, not as one of the most abundant genera, and also without a clear association with MC discs. However, the complexity of factors that influence bacterial pathogenicity beyond absolute abundance, underscores the need to further investigate the potential pathogenic role of *Cutibacterium* in MC.<sup>27,28</sup>

This study has some limitations. First, multiple surgeons and technical operation assistants were involved in collecting the tissue. Different harvesting techniques may have different risks for contamination. There was no assurance that fresh surgical tools were used to collect the disc into sterile containers. While this could have affected the observed microbiome, this random effect does not affect the observed dysbiosis in MC nor does it restrict the notion that bioinformatic processing has a large impact. Second, the classification into nonMC, MC1, and MC2 does not consider the diverse sub- and endo-phenotypes identified within MCs, nor was endplate damage or potential herniations included in the grouping of the patients. This could also largely impact the composition of the microbiome in each of the groups.<sup>29</sup> In addition to this, variations in MRI machines and sequences used among different centers can also influence the classification. Despite this limitation, it can be said that the overall bacteria detected in the disc through our approach was largely different from the bacteria detected by previous authors investigating the microbiome of the disc, independent of MC classification.

In conclusion, changing key bioinformatic parameters, that is, taxonomic lineage assignment and filtering cut-offs had a large impact on the resulting microbiome. However, using similar parameters as a prior study investigating the MC microbiome did not converge results. Therefore, the observed discrepancies were either introduced during sample processing or are true biologic differences. Before any clinically relevant conclusions about the role of bacteria in MC and disc degeneration can be drawn, the source of variance needs to be identified and understood, and harmonized protocols for sample processing and bioinformatic analysis are required. Ultimately, the availability of a robust and reproducible methodology will allow the exploration of this untapped metagenomic landscape as a new source of biomarkers and potential treatment targets.

#### **AUTHOR CONTRIBUTIONS**

Tamara Mengis: Data curation; investigation; methodology; writing original draft; visualization. Natalia Zajac: Bioinformatic data analysis; writing-original draft; visualization. Laura Bernhard: Methodology; data curation; writing-review. Irina Heggli: Methodology; writingreview and editing. Nick Herger: Writing-review and editing; methodology. Jan Devan: Writing-review and editing. Roy Marcus: Data curation; writing-review. Florian Brunner: Writing-review and editing. Christoph Laux: Data curation; writing-review. Mazda Farshad: Writing-review and editing. Oliver Distler: Funding acquisition; editing. Stefan Dudli: writing-review and **Funding** acquisition; writing-review and editing.

#### **ACKNOWLEDGMENTS**

We would also like to thank the Swiss Center for Musculoskeletal Biobanking (SCMB), which worked together with us to provide the samples in the shortest time possible for further processing. Lastly, we would like to thank the Functional Genomic Center Zurich staff for giving their inputs in the project planning and performing the sequencing.

# **FUNDING INFORMATION**

This study was supported by a career research grant from the Foundation for Research in Rheumatology (FOREUM) (SD and OD), by a FOREUM Research Voucher (SD), by the ISSLS Basic Science Research Grant (SD) and by a grant from the Swiss National Fond (SD, Grant No. 207989).

# **CONFLICT OF INTEREST STATEMENT**

The authors declare no conflicts of interest.

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

How to cite this article: Mengis T, Zajac N, Bernhard L, et al. Intervertebral disc microbiome in Modic changes: Lack of result replication underscores the need for a consensus in low-biomass microbiome analysis. *JOR Spine*. 2024;7(2):e1330. doi:10.1002/jsp2.1330