



# Reviewing the Clinical Implications of Treating Narcolepsy as an Autoimmune Disorder

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**Abstract:** Narcolepsy type 1 (NT1) is a lifelong sleep disorder, primarily characterized clinically by excessive daytime sleepiness and cataplexy and pathologically by the loss of hypocretinergic neurons in the lateral hypothalamus. Despite being a rare disorder, the NT1-related burden for patients and society is relevant due to the early onset and chronic nature of this condition. Although the etiology of narcolepsy is still unknown, mounting evidence supports a central role of autoimmunity. To date, no cure is available for this disorder and current treatment is symptomatic. Based on the hypothesis of the autoimmune etiology of this disease, immunotherapy could possibly represent a valid therapeutic option. However, contrasting and limited results have been provided so far. This review discusses the evidence supporting the use of immunotherapy in narcolepsy, the outcomes obtained so far, current issues and future directions.

**Keywords:** narcolepsy type 1, immunotherapy, immunomodulation, intravenous immunoglobulin, steroid, monoclonal antibodies

## Introduction

Narcolepsy is a chronic sleep disorder, primarily associated with excessive daytime sleepiness (EDS) and cataplexy, a sudden and transient loss of muscle tone triggered mainly by intense, usually positive, emotions, during wakefulness. Other symptoms, including sleep-related hallucinations, sleep paralyzes, and fragmented nocturnal sleep point to an intrinsic REM sleep dysfunction (ICSD3).<sup>1</sup> In most cases, symptom onset is in the first two decades of life, with up to 65% of the cases presenting before the age of 20 years.<sup>2,3</sup>

According to the American Academy of Sleep Medicine (AASM),<sup>1</sup> two distinct subtypes are identified, Narcolepsy type 1 (NT1) and Narcolepsy type 2 (NT2). NT1 results from the loss of hypothalamic hypocretin (orexin)-producing neurons as documented by reduced or undetectable levels of hypocretin-1 (hcr1) in the cerebrospinal fluid (CSF) and is clinically marked by cataplexy, whereas NT2 is characterized by normal CSF hcr1 concentration and absence of cataplexy. The CSF hcr1 deficiency observed in NT1 is due to the destruction of a small group of hypocretin secreting neurons in the lateral hypothalamus.<sup>4</sup> In NT2, a less severe loss of these neurons or an altered hypocretin receptor signalling<sup>5,6</sup> has been postulated. About 10% of NT2 cases transform into the NT1 phenotype, indicating disease progression over time, at least in some cases.<sup>7–10</sup>

Narcolepsy is classified as a rare disorder with a prevalence of 20–50/100,000 individuals worldwide<sup>11,12</sup> but is however poorly and lately recognized<sup>13,14</sup> and

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burdened by a high socioeconomic impact. Indeed, narcolepsy patients have lower education and higher unemployment rate compared to the general population, resulting in reduced incomes and lowered life standards.<sup>15–18</sup> Moreover, they present higher frequency of other medical/psychiatric comorbidities and concurrent medication usage, and reduced rates of marriage/cohabitation. Despite the availability of several symptomatic treatments,<sup>4,19</sup> complete control of symptoms is only rarely achieved.<sup>20,21</sup>

The necessity to find a cure for this lifelong and disabling condition has driven the investigation of new treatments targeting the underlying mechanisms of the disease. In this review, we will discuss the implications of treating narcolepsy as an autoimmune disorder, the therapeutic approaches used so far and their outcomes as well as the future directions.

## Evidence of Autoimmune Etiology in Narcolepsy

Autoimmune disorders are pathological conditions characterized by an aberrant immune response against “self-antigens” due to the loss of tolerance, which leads to inflammation, cell injury or dysfunction and clinical manifestations. Formal demonstration of the autoimmune nature of a disease requires several pieces of evidence.<sup>22</sup> Direct evidence is provided by the passive transfer of pathology by antibodies or T-cells from an affected individual to laboratory animals or to cells in culture. Indirect evidence comes from the simulation of disease in animal models either by active immunization or by manipulation of the immune system, or by isolation of self-reactive T-cells/autoantibodies from the organ targeted by the autoimmune attack. Finally, circumstantial evidence derives from different clinical observations such as: a) presence of genetic susceptibility (ie, recurrence in the same family and human leukocyte antigen (HLA) association); b) presence of antibodies in relation with a specific clinical phenotype; and c) response to immunotherapy.<sup>23</sup>

The loss of the hypocretin secreting cells represents the core feature of NT1. Nevertheless, the pathological mechanisms leading to the highly selective destruction of these hypothalamic cells, with sparing of the neighboring melanin-concentrating hormone neurons, are still unknown. However, the specificity of this loss itself, the strong association with the HLA DQB1\*06:02<sup>24</sup> and other genetically determined features of the immune system

pointed towards the hypothesis of the autoimmune etiology of narcolepsy. This hypothesis was further supported by circumstantial evidence coming from epidemiological studies showing an association between NT1 and infections, which can provoke autoimmune reactions through different mechanisms such as bystander activation, molecular mimicry, superantigens and epitope spreading.<sup>25</sup> A questionnaire-based study revealed an increased frequency of narcolepsy among subjects diagnosed with strep throat before the age of 21<sup>26</sup> and elevated streptococcal antibodies levels were found in patients’ sera taken within 3 years from disease onset compared to age-matched controls.<sup>27</sup> Lately, a link between narcolepsy and the influenza A virus subtype H1N1 (A/H1N1) was observed. In China, the incidence of narcolepsy increased three-fold within 6 months of the peak of the A/H1N1 influenza pandemic.<sup>28</sup> Furthermore, an increase in the incidence of narcolepsy was observed in subjects vaccinated with the H1N1 Pandemrix<sup>®</sup> vaccine.<sup>29–33</sup> These observations led to speculate that the autoimmune destruction of the hypocretin neurons could be triggered by molecular mimicry with H1N1 flu antigens. Indeed, a study reported the presence of hypocretin-specific CD4+ T-cells cross-reactive to H1N1 hemagglutinin (HA) protein in narcolepsy patients,<sup>34</sup> although this finding was not replicated.<sup>35</sup>

Indeed, HLA class II molecules, such as DQB1\*06:02, are responsible for the presentation of antigenic peptides to CD4+ T-cells, possibly implying a prominent role of T-cells in the pathogenesis of narcolepsy. Subsequently, the discovery that polymorphisms in other genes involved in the immune response, such as the T-cell receptor alpha (TCRalpha)<sup>36</sup> and the purinergic receptor subtype P2RY11 (P2RY11)<sup>37</sup> among others,<sup>38–40</sup> are associated with an increased risk of developing the disease, further implicated the T-cells in its pathogenesis. Indeed, recently an increased hypocretin-specific CD4+ T-cell response was found in blood samples from 19 NT1 patients compared to controls.<sup>41</sup> However, unexpectedly, the majority of these cells were mainly HLA-DR- and not HLA-DQ6-restricted.<sup>41</sup> Another study showed the presence, in NT1 patients, of DQ0602-restricted CD4+ T-cells cross-reactive to hypocretin and the HA protein of the pandemic 2009/2010 A/H1N1 influenza virus.<sup>34</sup> Higher frequency of hypocretin responsive CD4+ and CD8+ T-cells was also observed in NT1 children.<sup>42</sup> However, it remains unclear if<sup>43</sup> and how CD4+ T-cells are involved in the destruction of the hypocretin neurons. Indeed, one critical observation

is that neuronal cells do not express HLA class II molecules but only class I, which are recognized by CD8+ and not CD4+ T lymphocytes. Nevertheless, a role of CD8+ T-cells is supported by the association with several HLA class I alleles<sup>44,45</sup> and by the finding, in nine NT1 patients, of mutations in P2RY11, encoding for a receptor highly expressed in cytotoxic CD8+ T lymphocytes.<sup>46</sup> The potential ability of CD8+ T-cells to destroy the hypocretinergic neurons has been further supported by the serendipitous pathological observation of extensive CD8+ T-cell infiltrates and gliosis in the hypothalamus of a patient with NT1 secondary to Ma2 antibody-mediated encephalitis.<sup>47</sup> A similar proof of concept was demonstrated in a transgenic mice animal model where cytotoxic CD8+, but not CD4+, HA-reactive T-cells were able to destroy hypocretin neurons expressing HA as a neo-antigen.<sup>48</sup> Interestingly, a higher frequency of autoreactive CD8+ T-cells was recently documented in NT1 patients' blood samples compared to controls.<sup>49</sup> Moreover, CD8+ T-cells were identified in the CSF of patient with recent onset NT2 who later on progressed into NT1 with hypocretin deficiency.<sup>41</sup> These observations suggest a direct involvement of CD8+ T-cells in the destruction of hypocretin neurons. Finally, it has recently been observed that NT1 patients display effector CD4+ T-cells with an unconventional profile which might have cytotoxic activity.<sup>50</sup>

Although these evidence point to a T-cell-mediated process associated with narcolepsy, these findings are mainly based on blood sample studies, and the primary role of these cells is not clear yet.

Since B cells are usually involved in CD4+ T-cell-mediated responses,<sup>51</sup> several studies investigated the presence of neuronal autoantibodies. Screening for antibodies against specific antigens including the hypocretin precursor-peptide,<sup>52</sup> hypocretin 1 and 2 and their receptors (HCRTR1 and 2)<sup>53</sup> and other neuronal antigens in narcoleptic patients<sup>54–57</sup> produced negative or indecisive results. Antibodies directed against Tribbles homologue 2 (TRIB2) were detected in 14% of narcoleptic patients but also in a small percentage (5%) of control sera,<sup>58</sup> a finding replicated also in other cohorts<sup>59,60</sup> but not in post-H1N1 cases.<sup>61</sup> TRIB2 is highly expressed in hypocretinergic neurons, but also in other cells types; moreover, it is an intracellular antigen, and as such is unlikely to play a primary role in the destruction of hypocretin-producing cells. Subsequently, HCRTR2 antibodies were found in 85% of post-Pandemrix<sup>®</sup> narcolepsy cases as well as in controls (35%),<sup>62</sup> but these findings have not been

reproduced in idiopathic cases.<sup>63,64</sup> Several studies showed the presence of antibodies directed against different neuronal targets in NT1 patients, but usually only in a small percentage of cases,<sup>65</sup> suggesting that the humoral response is not primarily relevant in the pathogenesis of the disease.

Therefore, despite significant progresses, most evidence supporting the autoimmune etiology of narcolepsy is still circumstantial. According to Witebsky's postulate,<sup>23</sup> definitive proof such as the passive transfer of the disease to healthy individuals by autoreactive T-cells and/or auto-antibodies or the active immunization with autoantigen able to induce the disease in animal models, are still missing.<sup>22</sup>

## Immunotherapies

Soon after the autoimmune etiology of narcolepsy was hypothesized, the first attempts to arrest the pathogenic process using immunotherapy were made. These early attempts were based on the same approaches used for the treatment of classical neurological autoimmune disorders, and included corticosteroids, plasmapheresis (PLEX) and intravenous immunoglobulins (IVIG). These therapies indeed exert their action at multiple levels and could possibly be effective independently from the primary involvement of a humoral or cellular immune response. More recently, therapies specifically targeting B- and T-cells were attempted in limited cases, as discussed below.

## Immunotherapies with Pleiotropic Effects

Corticosteroids exert a broad range of effects on the immune system, from inflammatory cytokines synthesis inhibition to impairment of function and survival of multiple types of immune cells, including neutrophils, monocytes, macrophages and B and T lymphocytes.<sup>66</sup> Because of these wide effects, corticosteroids are widely employed to treat a variety of neurological inflammatory and autoimmune disorders and were therefore the first immunomodulatory treatment used in NT1 (Table 1). An 8-year-old boy was treated two months after the acute onset of NT1 with prednisone (1 mg/kg/day) for 3 weeks. However, no clinical improvement or modification of sleep parameters was observed.<sup>67</sup> Similar negative results were reported in a 29-year-old woman who received intravenous methylprednisolone (IVMP) for a transverse myelitis of possible autoimmune etiology nine years after the onset of NT1.<sup>68</sup> Conversely, Coelho et al<sup>69</sup> described two men with a longstanding NT1 history, who reported the disappearance of EDS, and in one case also of cataplexy, upon prednisone

**Table 1** Summary of NT1 Cases Treated Mainly with Steroids or PLEX

Ref.	Study Design	Case	Age, Sex	Disease Duration	Reason for Immune Modulation	Scheme	Baseline	Treatment Outcome	Follow-Up	Side Effects	
[67]	Case report	1	8, M	2 months		PO 1 mg/kg/d for 3 weeks.	ESS N/A, CPL 0, hcr-t-1 <40, MSLT s.l. 0.6 m, SOREMs 5	ESS unchanged, MSLT: s.l. 0.0, SOREMs 4/4	N/A	None	
[70]	Case report	1	10, M	3 months after CPL onset		IVIG 1g/kg/d over 2 days	ESS N/A; CPL ≥1/d, hcr-t-1 <40, MSLT N/A	↓ EDS ↓ CPL hcr-t-1 <40 MSLT N/A	Reappearance of symptoms after steroid suspension	Headache, fever, and flushing	
						Followed by PO 1.3 mg/kg/d for 3 w and tapering	N/A	N/A			Weight gain and acne with irritant dermatitis
[69]	Case series	1	22, M	9 years	Inflammatory intestinal disease	PO 40 mg/d	ESS 15, CPL frequent s.l. 4 m SOREMs 2	Symptoms disappearance with withdrawal of methylphenidate, imipramine	N/A	None	
		2	42, M	9 years	Asthma	PO 40 mg/d for a fortnight	ESS 18, MSLT: s.l. 3 m, SOREMs 2	EDS improvement, and withdrawal of methylphenidate for 4 weeks after treatment	N/A	None	
[68]	Case report	1	29, F	9 years	Inflammatory transverse myelitis	IVMP (unknown dose)	At onset: ESS 20, MSLT: s.l. 1 m, SOREMs 3	Unchanged after treatment	N/A	None	
[74]	Case report	1	60, F	2 months	Initial diagnosis of paraneoplastic syndrome	5-day course PLEX	ESS N/A, CPL 75–100/d	80% reduction of symptoms	Symptoms reappeared after 3 days; hcr-t-1 70, MSLT: s.l. 1.8 m, SOREMs 2	Severe catheter infection	
						AZA		Suspended			Hepatitis
						IVIG		No effects			None

**Abbreviations:** ↓, reduction; AZA, azathioprine; CPL, cataplexy; d, days; EDS, excessive daytime sleepiness; ESS, Epworth sleepiness scale; F, female; hcr-t-1, hypocretin-1 CSF levels (expressed in pg/mL); IVIG intravenous immunoglobulin; IVMP, intravenous methylprednisolone; M, male; m, minutes; MSLT, multiple sleep latency test; N/A not available; PLEX, plasmapheresis; PO, prednisone; s.l., sleep latency; SOREMs, sleep onset REM periods; w, week(s).

treatment (40 mg/day) for other inflammatory conditions (inflammatory intestinal disease and asthma). However, these cases were not corroborated by CSF hypocretin-1 levels measurements nor the improvement was confirmed by repeated sleep studies after treatment and, in consideration of the long interval between disease onset and treatment, it is likely that the arousing effects of the steroids played a major role in the control of EDS.

In other cases, corticosteroids were used as add-on therapy. However, overall results were negative. A 10-year-old child with a 3-month NT1 history was initially

treated with IVIG infusion (1g/kg/day for 2 days) but later switched on prednisolone (1.3 mg kg/day) therapy for 3 weeks, due to side effects. Both EDS and cataplexy improved during steroid therapy but reappeared following treatment tapering. Despite the reported symptoms improvement, no changes of CSF hypocretin-1 levels were detected.<sup>70</sup> Similarly, two children, one with post-Pandemrix and one with sporadic NT1, respectively, received IVIG (1 g/kg/day for 2 days), followed by IVMP (20 mg/kg/day for 4 days) infusions repeated 3 times at monthly intervals.<sup>71</sup> In the first child, a marked

improvement of EDS and cataplexy was observed, but symptoms gradually reemerged within 1–2 weeks from treatment, although two follow-up MSLTs showed normal sleep latencies. In the second child, only a transient amelioration of sleepiness and cataplexy was noted. In both cases, hypocretin levels remained low, and indeed dropped despite treatment in patient 1. However, the improvement of cataplexy that occurred during IVMP treatment suggests that steroids could exert an immunomodulating effect, explaining the improvement of cataplexy in these cases<sup>71</sup> and in one of the previously reported cases.<sup>69</sup> Nevertheless, corticosteroids have several effects on the central nervous system,<sup>72</sup> therefore, although unlikely, a direct anticataleptic activity, ie, through their action on noradrenergic and serotonergic neurotransmission, cannot be excluded.<sup>73</sup>

PLEX is a very well-established treatment for antibody-mediated disorders. However, only a transitory benefit was observed in the single NT1 case treated so far with this procedure.<sup>74</sup> Indeed, despite an early treatment, within 2 months after onset, and an initial amelioration, the symptoms reemerged after few days. The patient was switched on IVIG therapy, but no further improvement was noticed (Table 1). The transient benefit observed with PLEX could be related to the removal of antibodies or other molecules (ie, cytokines) by this procedure, whilst its short duration as well as the lack of response to IVIG, suggest a placebo effect, or a different pathogenic mechanism, as discussed by the same authors. Indeed, the lack of a sustained effect of PLEX is in line with the lack of evidence supporting a central role of antibodies in the pathogenesis of narcolepsy (see above).

IVIG have been more extensively employed in NT1, although mostly in single cases and small case series (without any randomized controlled trial approach to date) possibly because the first few observations seemed to provide positive results. A summary of the results of these studies is given in Table 2 and Figure 1. Dauvilliers et al<sup>75</sup> treated with IVIG four typical NT1 patients with low CSF hypocretin-1. The three cases treated close to onset showed a reduction of the frequency and severity of cataplexy, whereas an improvement of the mean sleep latency on the maintenance of wakefulness test (MWT) was observed in the patient with a 9-year disease history. These positive effects continued over time.<sup>76</sup> ESS scores improved during IVIG treatment in all cases. However, the concentration of hypocretin-1 in the CSF remained unchanged in two of the three available cases, whereas in

one patient a slight increase was detected. This study pinpointed the importance of an early intervention, before the complete loss of hypocretinergic neurons, in ensuring a good outcome. This hypothesis seemed confirmed by a case where IVIG treatment, started 15 days after NT1 onset, led to cataplexy frequency reduction and CSF hypocretin-1 levels normalization. However, treatment discontinuation was followed by a progressive reoccurrence of NT1 symptoms after 4 months.<sup>77</sup> In three other cases treated within 1–4 months from symptoms' onset mixed effects were observed, with some improvement of EDS, and in two cases also of cataplexy, although no significant changes of polysomnographic parameters were documented.<sup>78</sup> A 16-year-old girl with NT1 and severe bizarre hallucinations, with an inflammatory CSF (positive oligoclonal bands and pleocytosis) and undetectable hcr-1 levels at the baseline examination, was treated with IVIG and showed only a transient improvement of the hallucinations. Interestingly, the CSF pleocytosis gradually disappeared after treatment, but CFS hcr-1 levels remained unchanged.<sup>79</sup> Among 4 NT1 children with undetectable CSF hypocretin-1, treated with IVIG for 6 months within 1 year from onset, only one case showed a significant reduction of EDS and cataplexy frequency whereas in the others no persistent clinical changes were noted.<sup>80</sup> The short-lived benefit induced by therapy was confirmed by three further pediatric cases, treated close to onset<sup>81,82</sup> and in 4 idiopathic adult cases with various disease durations.<sup>83</sup> The often-transient nature of the benefit obtained by treatment suggested a possible placebo effect, as indeed demonstrated in a double-blind placebo-controlled single-case trial. A woman with a 7-year history of NT1 received alternatively IVIG or placebo and reported an improvement of her cataplexy whilst under either treatment.<sup>84</sup> A larger longitudinal non-randomized, retrospective study including a pediatric NT1 population, evaluated the effects of IVIG in 22 patients compared to 30 controls who received standard therapy.<sup>85</sup> The study failed to show an effect of the IVIG treatment, although among patients with more severe symptoms, those receiving IVIG achieved remission earlier than controls. On the other hand, an improvement of symptoms in the treatment group was observed already before IVIG administration. Shorter disease duration did not correlate with response to treatment. The results of this study however cannot be considered as conclusive since the non-randomized study design could have introduced a selection bias. Indeed, baseline symptoms scores were higher in patients treated

Table 2 Summary of NTI Cases Treated Mainly with IVIG

Ref.	Study Design	Case	Age, Sex	Disease Duration	Scheme	Baseline	After 1st Trial	After 2nd Trial	After 3rd Trial	After 4th Trial	Follow Up	≥ 2 y FU	Side Effects
[75, 76]	case series	1	10, M	4 months	IVIG 1g/kg/d over 2 days, for three times every 4 weeks	ESS 18, CPL > 1/d, hcr-t 1 0, MSLT: s.l. 3 m, SOREMs 5	ESS 8.7±5.2, MSLT: s.l. 1.1 m, SOREMs 5	ESS 5.7±4.4, MSLT: s.l. 0.8 m, SOREMs 4	ESS 1.2±4.4, CPL < 3/m, hcr-t 1 79, MSLT: s.l. 1.2 m, SOREMs 5	N/A	Persistent effect on CPL at 8 months FU	ESS 12, CPL < 2/m	None
		2	21, M	2 months		ESS 13, CPL 2-3/d, hcr-t 1 < 40, MSLT: s.l. 5 m, SOREMs 5	ESS 9.8 ± 1.9, MSLT: s.l. 2.9 m, SOREMs 5	ESS 8.7±1.1, MSLT: s.l. 2.8 m, SOREMs 5	ESS 8.7±1.6, CPL < 5/m, hcr-t 1 < 40, MSLT: s.l. 4.1 m, SOREMs 5	N/A	Persistent effect on CPL at 8 months FU	ESS 8, CPL < 4/m	None
		3	12, F	8 months		ESS 21, CPL > 2/d, hcr-t 1 < 40, MWT: s.l. 1.4 m, SOREMs 4	ESS 14.3 ± 2.1, MWT: s.l. 2.1 m, SOREMs 2	ESS 7.2±2.3, MWT: s.l. 3.6 m, SOREMs 4	ESS 7.1±2.2, CPL < 1/m, MWT: s.l. 4.2 m, SOREMs 3	N/A	ESS 6 CPL < 1/m at 5 months FU	ESS 9, CPL 2-3/m after 6 months from IVIG	None
[84]	double-blind placebo-controlled n = 1 trial	4	45, M	9 years		ESS 23, CPL 1-2/m, hcr-t 1 < 40, MWT: s.l. 6.1 m, SOREMs 1	ESS 18.07±4.3, MWT: s.l. 5.4 m, SOREMs 1	ESS 10.4 ± 2.9, MWT: s.l. 9.2 m, SOREMs 0	ESS 14.6±1.7, CPL < 1-3/m, hcr-t 1 < 40, MWT: s.l. 14.9 m, SOREMs 0	N/A	ESS 14 but no cataplexy at 4 months FU	ESS 6, cataplexy < 1/m	None
		5	55, F	7 years	IVIG 1g/kg/day over 2 days, repeated once after 6 m	ESS N/A; CPL 3-4/d	↓ CPL	↓ CPL	N/A	N/A	N/A	N/A	N/A
				4 trials with IVIG or placebo	↓ CPL either after placebo or treatment	↓ CPL either after placebo or treatment	↓ CPL either after placebo or treatment	↓ CPL	↓ CPL either after placebo or treatment	N/A	N/A	N/A	None



[80]	case series	6	8, M	11 months	IVIG 0.4 g/kg/d for 5 days, monthly for 3 m followed by the same single day dose every month for the following 6 m	PDSS 23, CPL >40/d, hcr<1 0, MSLT: s.l. 2.24, SOREMs 2	N/A	N/A	N/A	N/A	PDSS 19, CPL > 40/d MSLT: s.l. 2.36, SOREMs 5	N/A	N/A	N/A	None
		7	8, F	12 months		PDSS 32, CPL >40/d, hcr<1 0, MSLT: s.l. 1.36 m, SOREMs 5	N/A	N/A	N/A	N/A	PDSS 27, CPL > 40, / MSLT: s.l. 36 s SOREMs 5	N/A	N/A	None	
		8	13, F	9 months		PDSS 11, CPL 20-30/d, hcr<1 0, MSLT: s.l. 3.44 m, SOREMs 4	N/A	N/A	N/A	N/A	PDSS 6, CPL 4-5/d, MSLT: s.l. 3'50", SOREMs4	N/A	N/A	None	
		9	6, M	4 months		PDSS 26, CPL >40, hcr<1 0, MSLT: s.l. 1.30 m, SOREMs 4	N/A	N/A	N/A	N/A	PDSS 12, CPL >40, MSLT: s.l. 1.30m SOREMs 5	N/A	N/A	Skin reaction (urticaria and petechiae), 5 d after T5, T6 and T7 IVIG infusion	

(Continued)

Table 2 (Continued).

Ref.	Study Design	Case	Age, Sex	Disease Duration	Scheme	Baseline	After 1st Trial	After 2nd Trial	After 3rd Trial	After 4th Trial	Follow Up	≥ 2 y FU	Side Effects
[83]		10	43,F	3-4 months	IVMP 1000 mg/d for 3 days followed by 8 days of OP	ESS 19, CPL 2/d, hcr-I <40 MSLT: s.l. <2, SOREMs 4, MWT: s.l. 7 m SOREMs 2	Self-reported mild but transient ↓ EDS and cataplexy	N/A	N/A	N/A	N/A	N/A	none
					IVIG 1g/kg/d over 2d repeated three times at 5-week intervals	ESS 15, CPL 1/d, MSLT: s.l. 2 m MWT: s.l. 5 m	ESS 11, CPL 1/d, MSLT: s.l. 5 m, MWT: s.l. <2 m	ESS 17, CPL 1-2/d, MSLT: s.l. <2 m MWT: s.l. <2 m	N/A	ESS 17, CPL 1-2/d, MSLT: s.l. N/A, MWT: s.l. N/A	N/A	N/A	None
		11	59,F	16 years	IVIG 20 g every 3 w and one year later 2g/kg over 5 days	ESS 19, CPL 1-3/d, hcr I 0, MSLT: s.l. <3', 2 SOREMs, MWT: s.l. 9 m	ESS 20, CPL 2/d, MSLT: s.l. <3 m	ESS 20, CPL 2/d	ESS 20, CPL 2/d	N/A	ESS 20, CPL 2/d	N/A	Allergy
		12	41,M	4 years	IVIG 1g/kg/over 2 days, repeated three times at 5-week intervals	ESS 22, CPL 3-4/d, hcr-I 0, MSLT: s.l. <1 m SOREMs 4, MWT: s.l. 5 m	ESS 11, CPL 1-2/w, MSLT: s.l. <1 m MWT: s.l. 5 m	ESS 14, CPL 0-1/w, / MSLT: s.l. <1m MWT: s.l. 10 m	ESS 14, CPL 0-1/w, / MSLT: s.l. <1m MWT: s.l. 3 m	N/A	ESS 16, CPL 3-4/d	N/A	None



		13		52,F	11 months	IVIG 1 g/kg/d over 2 days, repeated three times at 5-week intervals	ESS 17, CPL >10/d, hcr-t-1 0, MSLT: s.l. <2 m, MWT: s.l. <2 m, MWT: s.l. <2 m	ESS 17, CPL 3/d, MSLT: s.l. <2 m	ESS 18, CPL 5/d, MSLT: s.l. <8m, MWT s.l. <1m	ESS 18, CPL 6/d, MSLT: sleep lat 1', MWT sleep lat <2'	N/A	ESS 18, CPL 5/d	N/A	None
[77]	case report	14	28,F	15 days	IVIG 1 g/kg/d over 2 days, repeated three times at 4-week intervals	ESS 21, CPL >1/d, hcr-t-1 0, MSLT: s.l. 5 m, SOREMs 2	↓ CPL	N/A	N/A	hcr-t-1 339, MSLT: s.l. 8.6, SOREMs 0	N/A	Reoccurrence of CPL and EDS after 4 months	N/A	None
[81]	Case series	15	12,M	5 1/2 months	IVIG treatment of 1 g/kg/d for 2 days repeated 4 times at monthly intervals	ESS 9, CPL 2-4/d, hcr-t-1 20, MSLT: s.l. 4.1 m, SOREMs 5	ESS 4, CPL 3/d	ESS 4, CPL 3/d	ESS 5, CPL 2-3/d	ESS 8, CPL 1-2/d	N/A	ESS 8, CPL 1-2/d, hcr-t-1 <10, MSLT: s.l. 2.2 m, SOREMs 5	N/A	None
		16	10,F	3 1/2 months		ESS 21, CPL 2/d, hcr-t-1 24, MSLT: s.l. 1 m, SOREMs 4	ESS 10-16, CPL few/w	ESS 4-12	ESS 4-12	ESS 4-12, CPL 2/d, hcr-t-1 <10, MSLT s.l. 2.3 m, SOREMs 3	N/A	ESS 4-12, CPL 2/d, hcr-t-1 <10, MSLT s.l. 2.3 m, SOREMs 3	N/A	None
[89]	Case report	17	21, M	22 days	IVIG treatment of 1 g/kg/day for 2 days	ESS 18, CPL sub-continuous, hcr-t-1 <10, MSLT: s.l. 36s, SOREMs 4	ESS 15-17, CPL very frequent, hcr-t-1 <10, MSLT: s.l. 36s, SOREMs 5	N/A	N/A	N/A	N/A	N/A	N/A	Headache and ↑ CSF leukocyte

(Continued)

Table 2 (Continued).

Ref.	Study Design	Case	Age, Sex	Disease Duration	Scheme	Baseline	After 1st Trial	After 2nd Trial	After 3rd Trial	After 4th Trial	Follow Up	≥ 2 y FU	Side Effects
[71]	Case series	18	2.5, M	1 month	IVIg 1g/kg/d for 2 days, followed by IVMP 20 mg/kg/d for 4 for 3 times monthly	ESS 14, CPL several/d, hcr-I 77 MSLT: s.l. 5 m, SOREMs 4/4	ESS 3, CPL 0 but reappeared 1-2 w after treatment	ESS 2, CPL 0 but reappeared 1-2 w after treatment	ESS 7, CPL 6/d, hcr-I <40 MSLT: s.l. 11.5 m, SOREMs 3/4	N/A	N/A	ESS 12, CPL 1/d, MSLT: s.l. 12.5 m, SOREMs 5	None
		19	6, M	4 months, 2 months from CPL		ESS 17, CPL 8/d, hcr I <40, MSLT s.l. 2.1 m, SOREMs 4	ESS 11, CPL 6/d	N/A	ESS 16, CPL 6-7/d, Hcr-I <40	N/A	N/A	N/A	None
[82]	Case report	20	13, F	3 months	IVIg unknown dose	ESS 11, CPL >10/d	ESS 11, CPL >10 d	ESS 2, CPL >10/d	ESS 2, CPL 10/d	ESS 4, CPL 3-4/d	ESS 4, CPL 2-3/d	N/A	None

[78]	Case series	21		27, M	1 month after CPL	IVIG 1g/kg/d for 2 days repeated 3 times at 4-week intervals	ESS N/A, naps $\leq 3/d$ , CPL $> 5/d$ , hcr-t-1 $< 40$ , MSLT: s.l. 5.8 m, SOREMs 3	naps 1/d, CPL 2/w, MSLT: s.l. 1.6 m, SOREMs 3	naps 1/d, CPL 2/w, MSLT: s.l. 2.1 m, SOREMs 3	naps 1/d, CPL 2/w, MSLT: s.l. 2 m, SOREMs 4	N/A	naps 1-2/d, CPL $> 5/w$	N/A	Some transient complaints of minor headache. The two children had infectious episodes, a flu-like syndrome for one and viral gastroenteritis for the other, leading to a few missed school days.
		22		10, F	2 months after CPL	IVIG treatment of 1 g/kg/day for 1 day repeated 3 times at 4-week intervals	ESS N/A, naps 5-6/d, CPL $> 5/d$ , hcr-t-1 $< 40$ , MSLT s.l. 3.2 m, SOREMs 4	naps 5-6/d, CPL $> 5/d$ , MSLT: s.l. 1.2 m, SOREMs 5	naps 5-6/d, CPL $> 5/d$ , MSLT s.l. 0.7 m, SOREMs 4	naps 1/d, CPL 3/w	N/A	naps 0, CPL 3/w	N/A	
		23		7, M	4 months after CPL		ESS N/A, naps 2/d, CPL $< 1/d$ , hcr-t-1 $< 40$ , MSLT s.l. 8 m, SOREMs 2	naps $\leq 2/d$ , CPL $< 1/w$ , MSLT: s.l. 2.9 m, SOREMs 3	naps $\leq 2/d$ , CPL $< 1/w$ , MSLT: s.l. 2.6 m, SOREMs 5	naps $\leq 2/d$ , cataplexy $< 1/w$ , MSLT: s.l. 9.2 m, SOREMs 5	N/A	naps 1/d, CPL 0	N/A	
[79]	Case report	24		16, F	/	IVIG 0.4 g/kg/d over 5 days repeated three times every 6 weeks	ESS 23, hcr-t-1 0, MSLT: s.l. 1 m, SOREMs 4	↓hallucinations, EDS and cataplexy unchanged hcr-t-1 0	N/A	Bizarre and disruptive hallucinations and severe dream-reality confusion; EDS and CPL unchanged	N/A	N/A	N/A	None

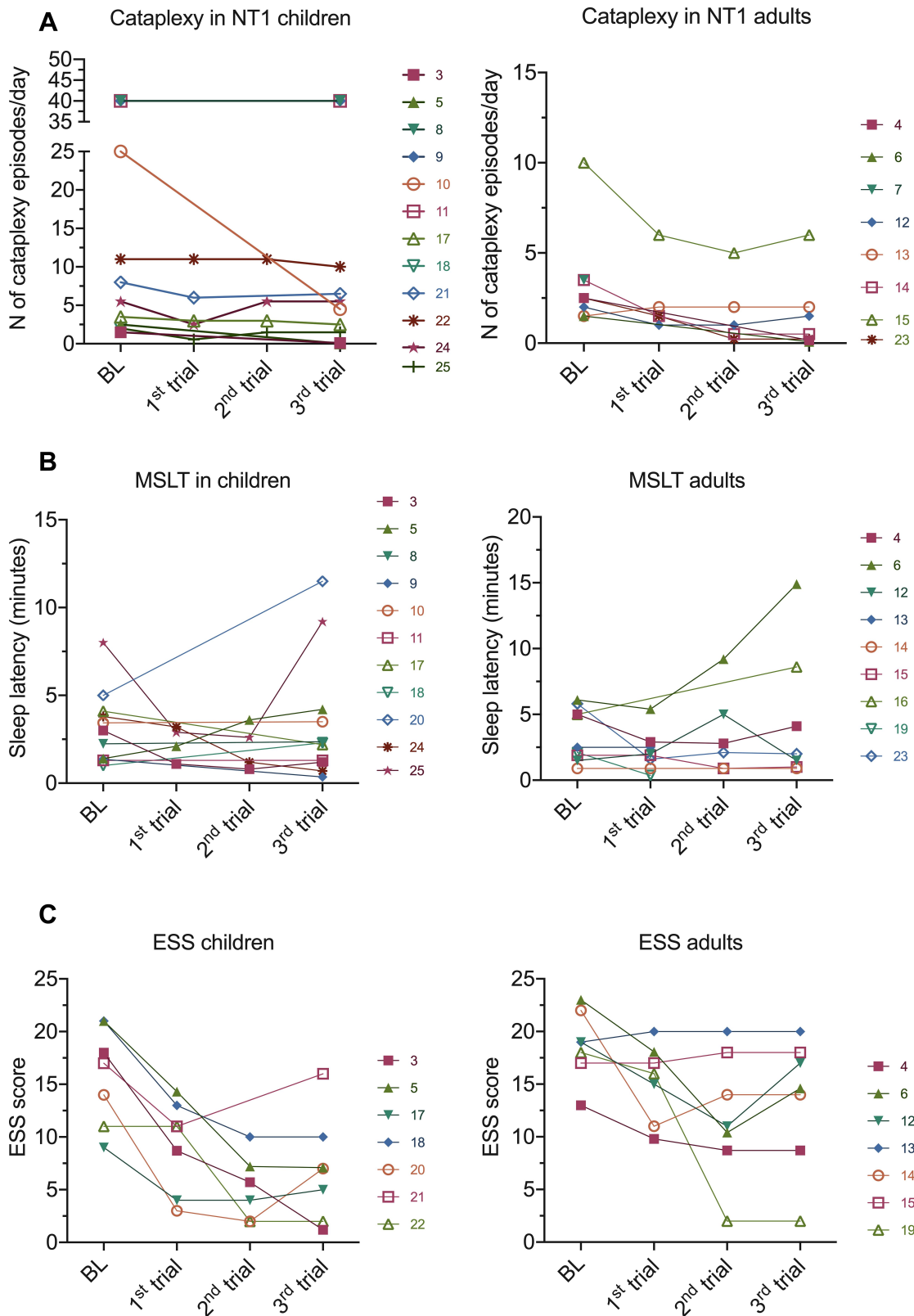
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Table 2 (Continued).

Ref.	Study design	Participants	Age, gender	Disease duration	Scheme	Baseline	0-6 months after IVIG	6-12 months after IVIG	12-18 months after IVIG	18-24 months after IVIG	>24 months after IVIG	Comments	Side effects
[85]	Non-randomized, open-label, retrospective	22 patients receiving IVIG	9.7 ± 2.6 y, 12 M	0.7 (0.01-2.4)*	IVIG 1g/kg/d 1 infusion for 3 times every month	PDSS 17.7 ± 6.2, CASS 16.3 ± 4.7, UNS 25.8 ± 6-8, CGI-1-cataplexy 3 (0-6), CGI-sleepiness 4 (2-5) MSLT s.l. 4.1 (2-11)*	No significant change in any parameter	No significant change in any parameter	No significant change in any parameter	No significant change in any parameter	No significant change in any parameter	In patients with high baseline symptoms, a subset of IVIG-treated patients achieved remission more rapidly than control patients.	None
		30 patients receiving standard treatment	9.5 ± 3.3 y, 17 M	1.4 (0.1-6.5)	standard treatment	PDSS 18.5 ± 6, CASS 14.8 ± 6.1, UNS 22.6 ± 8.4, CGI-1-cataplexy 3 (0-6), CGI-sleepiness 4 (2-6) MSLT s.l. 6.9 (2-26)	No significant change in any parameter	No significant change in any parameter	No significant change in any parameter	No significant change in any parameter	No significant change in any parameter		None

Note: \*Value significantly different from controls.

Abbreviations: ↑, increase; ↓, reduction; CASS, Child and Adolescent Sleepiness Scale; CGI, clinical global impression scale; CPL, cataplexy; CSF, cerebrospinal fluid; d, days; EDS, excessive daytime sleepiness; ESS, Epworth sleepiness scale; F, female; hcr1, hypocretin-1 CSF levels (expressed in pg/mL); IVIG, intravenous immunoglobulin; IVMP, intravenous methylprednisolone; M, male; m, minutes; MSLT, multiple sleep latency test; MWT, maintenance of wakefulness test; N/A, not available; PDSS, pediatric daytime sleepiness scale; PLEX, plasmapheresis; PO, prednisone; s, seconds; s.l., sleep latency; SOREMS, sleep onset REM periods; UNS, Ullanlinna narcolepsy scale; w, week(s).



**Figure 1** Outcomes of IVIG treatment in children and adults NTI patients. The graphs show the individual patients outcome assessment values at baseline and after each IVIG infusion. Each line represents a single individual, numbered according to the case numbers in Table 2. No significant variations were observed in the majority of cases in respects to cataplexy frequency (A), sleep latency at the multiple sleep latency test (MSLT) (B) or Epworth sleepiness scale (ESS) scores (C) in children and adults.

**Table 3** Summary of NT1 Cases Treated with Rituximab

Ref.	Case	Age, Sex	Disease Duration	Additional Features	Scheme	Baseline	After 1st Trial	After 2nd Trial	After 3rd Trial	After 4th Trial	After 5th Trial	Side Effects
[90]	I	12, M	45 days	Previous Pandemrix vaccination; 1 year after NC onset severe psychiatric symptoms	IVIg 1g/kg for 2 days  Rx 500 mg x2, 500 mg after 6 months and 1000 mg x 5 at 1 week interval 1 year later	ESS N/A, CPL > 10/d, hcr-t-1 0	No changes	N/A	N/A	N/A	N/A	Hypotension, nausea
[91]	I	28, M	5 months EDS 2 monthsCPL		Rx 1000 mg every 6 months (5 courses)	ESS 21 CPL 1-3/day Hcr-t-1 105 MSLT: s.l. 2.5 m; SOREMs 0	ESS 16-17, Hcr-t-1 100-106, CPL 1-3/d	No changes	No changes; persistent psychiatric and behavioural disorders	N/A	N/A	None
[92]	I	5, M	1 month	Brain MRI: Abnormal high signals in the right cerebellum and brachium pontis in FLAIR; negative and than positive AQ4-ab (interpreted as false positive)	IVIg 2g/kg for 4 days	EDS > 3h CPL> 10/d MSLT s.l. 6.4 m SOREMs 4	EDS and CPL no change	N/A	N/A	N/A	N/A	None
					IVMP 0.5 g/day x3 days (3 weeks) followed by oral PO		EDS 1 h/d CPL<3/day	EDS 1 h/d CPL <10/day	N/A	N/A	N/A	None
					Rx 400 mg/4 weeks repeated after 6 months (2 courses)		EDS 1 h/day CPL >10/day	EDS 1 h/day CPL >10/day	EDS 1 h/day CPL <10/day	EDS 1 h/day CPL <10/d MSLT s.l. 2 m SOREMs 5	N/A	None

**Abbreviations:** ↓, reduction; CPL, cataplexy; d, days; AQ4-ab, aquaporin-4 antibodies; EDS, excessive daytime sleepiness; ESS, Epworth sleepiness scale; F, female; hcr-t-1, hypocretin-1 CSF levels (expressed in pg/mL); IVIG, intravenous immunoglobulin; IVMP, intravenous methylprednisolone; M, male; m, minutes; MSLT, multiple sleep latency test; N/A, not available; NC, narcolepsy; PO, prednisone; Rx, rituximab; s.l., sleep latency; SOREMs, sleep onset REM periods.

with IVIG compared to controls.<sup>85</sup> This selection bias, together with the significant spontaneous amelioration of cataplexy and EDS documented in non-treated NT1 children underline the probable significant bias in the observations obtained without any randomized study design.<sup>86</sup>

Indeed, notwithstanding prospective randomized studies, the utility of IVIG in the treatment of idiopathic NT1 remains to date unclear. In most cases, results have been disappointing or short-lasting. The benefits noted in some anecdotal reports could be related to both placebo effect and a spontaneous improvement of symptoms over time, as observed occasionally.<sup>85–88</sup> On the other hand, it cannot be excluded that in rare instances immunotherapy could partially reverse a hypofunction of hypocretin cells preceding their actual destruction, explaining the disappearance of cataplexy in few individual cases.

Limited efficacy of IVIG therapy was also observed in post-vaccine narcolepsy, even when treatment was administered close to onset.<sup>89,90</sup>

## Immunotherapies Targeting B and T Cells

A summary of the results of the studies employing these therapies is given in [Tables 3](#) and [4](#).

Rituximab is a monoclonal antibody targeting the CD20 antigen, mainly expressed on the surface of B cells. Upon binding to its target, this antibody mediates cells lysis with consequent B cells depletion. This therapy has proven effective in several antibody-mediated neurological disorders, including myasthenia gravis and autoimmune encephalitis. Recently, a 13-year-old boy, with post-Pandemrix NT1, treated with IVIG without benefit, received two rituximab infusions after the onset of a severe psychiatric disorder characterized by daytime hallucinations, behavioral problems and severe aggressivity requiring commitment to a psychiatric department.<sup>90</sup> After treatment, an improvement of narcolepsy symptoms and behavioural and psychiatric disorders was observed. However, this beneficial effect lasted only for 2 months and subsequent infusions did not have any effect. Another patient, a 28-year-old man was treated with 5 courses of rituximab (1000 mg every 6 months) 5 months after the onset of NT1. Despite a subjective improvement of EDS 1 month after each infusion, no change of cataplexy frequency was observed. Moreover, longitudinal assessment of hcr1 CSF levels showed a progressive decrement from 100 to around 60 pg/mL.<sup>91</sup> More recently, a 5-year-old boy, with NT1 and a suspected neuromyelitis optica spectrum disorder (NMOSD) was treated with a combination

of IVIG, steroids and rituximab. A transitory improvement was noticed only after high dose IVMP treatment.<sup>92</sup> The failure of B cells depletion in reverting the disease course supports the lack of a major role of humoral immunity in driving the pathological process and suggests that treatments targeting the T- cells could be more effective.

A 79-year-old man with a very long NT1 history was treated for a T-cell lymphoma with alemtuzumab,<sup>93</sup> a humanized monoclonal antibody directed against the CD52 antigen causing CD4+ T-cells suppression.<sup>94</sup> During treatment, the patient reported disappearance of cataplexy, but not of other disease manifestations. Interestingly, methotrexate, another immunosuppressive treatment acting on several immune cells, including T-cells,<sup>95</sup> which was administered before alemtuzumab, did not impact narcolepsy symptoms. Why and how alemtuzumab could selectively affect cataplexy, particularly in a patient with a 58-year history of cataplexy, is unclear. It is possible that this drug exerts other effects beside T-cells suppression, such as neuroprotection and repair.<sup>96</sup>

Rarely, narcolepsy can develop together with multiple sclerosis (MS), either secondarily to hypothalamic demyelinating lesions or as a concomitant disorder.<sup>97</sup> In a small series of NT1 cases with concomitant MS, two patients reported EDS improvement upon treatment with IVIG and long-term steroid therapy, respectively.<sup>97</sup> Among the five patients receiving disease-modifying MS drugs, no response was observed with glatiramer acetate (n=1) or beta-interferon (n=2) and, of the two patients treated with natalizumab, only one reported reduction of EDS.<sup>97,98</sup> It is unclear if this improvement is related to a direct effect of natalizumab on the pathogenic mechanism underlying narcolepsy or to a more complex effect, since natalizumab treatment was shown also to improve fatigue and EDS in MS patients without narcolepsy.<sup>99,100</sup> Natalizumab is a recombinant monoclonal antibody directed against the cell adhesion molecule alpha4-integrin expressed on the surface of human leukocytes. This treatment is expected to prevent cellular infiltration of the CNS and could be a promising treatment for NT1. Recently, a 21-year-old woman was treated with IVIG followed by natalizumab 3 months after NT1 onset. Despite treatment, no symptoms improvement was noted and, on the contrary, CSF hypocretin-1 levels dropped from 70 pg/mL to 17 pg/mL.<sup>101</sup> Although disappointing, this result could be explained by the relatively delayed treatment, administered when most of the hypocretin secreting cells had already been lost.



**Table 4** Summary of NT1 Cases Treated with T Cells Targeting Drugs

Ref.	Case	Age, Sex	Disease Duration	Additional Features	Baseline Narcolepsy Feature	Treatment	Outcome	Follow-Up
[93]	1	79, M	62 years	Low-grade T-cell lymphoma	Daily CPL	Alemtuzumab	Disappearance of CPL the 1.5 y treatment	N/A
[97]	1	39, F	4 years (CPL)	RR MS since age 29 y	ESS 16, CPL +, hcrt-I 22, MSLT s.l. 4.5 m, SOREMs 3	IVIg	Short-term EDS improvement	N/A
						Interferon beta 1b, dimethyl fumarate	No effects	N/A
	2	45, F	28 years (EDS)	RR MS since age 40 y	ESS 17, CPL +, hcrt-I <20, MSLT: s.l. 1 m, SOREMs 3/4	Natalizumab	EDS improvement	N/A
	3	26, F	12 years (EDS)	RR MS since age 19 y	ESS 14, CPL +, hcrt-I N/A, MSLT s.l. 1 m, SOREMs 5	Interferon beta 1a	No effects	N/A
	4	45, F	9 years	RR MS since age 35 y	ESS 12, CPL +, hcrt-I <20, MSLT s.l. 1 m, SOREMs 2	Glatiramer acetate	No effects	N/A
[98]	1	21, M	3 months	MS and narcolepsy after Pandemrix vaccination	N/A	IVMP 1 g/d for 3 days than natalizumab	No effects	N/A
[101]	3	21, F	1 month		ESS 18 CPL 5–10/d hcrt-I 60 MSLT: s.l. 0.2 m; SOREMs 2	IVIg 2g/kg for 2 days	No effects	N/A
			3 months			Natalizumab 300 mg IV monthly; 12 doses total	hcrt-I 13.6	hcrt-I 17.8

**Abbreviations:** +, present; CPL, cataplexy; d, days; EDS, excessive daytime sleepiness; ESS, Epworth sleepiness scale; F, female; hcrt-I, hypocretin-I CSF levels (expressed in pg/mL); IVIG intravenous immunoglobulin; IV, intravenous; IVMP, intravenous methylprednisolone; M, male; m, minutes; MS, multiple sclerosis; MSLT, multiple sleep latency test; N/A not available; RR, relapsing remitting; s.l., sleep latency; SOREMs, sleep onset REM periods.

Cyclophosphamide (CYC) is an alkylating agent with antineoplastic and immunosuppressive and immunomodulating effects. Its cytotoxic activity is mainly due to DNA cross-linkage leading to cell apoptosis.<sup>102</sup> CYC induces B and T cells depletion therefore inhibiting both, humoral and cell-mediated immune response. However, it can also exert beneficial immunomodulatory effect by reducing the number of regulatory T-cells and inducing T-cells growth factors.<sup>103,104</sup> CYC is highly effective in the treatment of several autoimmune conditions although is burdened by several toxicities.<sup>105</sup> To our knowledge, to date, no patients with NT1 treated with CYC have been reported. However, disappearance of hypersomnia was reported in a 36-year-old woman who developed NT2 in the context of a neurolupus and was treated with 4 monthly CYC and IVIM infusions.<sup>106</sup> On the other hand, no benefit was observed in four patients with paraneoplastic NT1.<sup>107–110</sup>

### Side Effects of Immunotherapy

Corticosteroids and PLEX have been largely used in clinical practice to treat autoimmune disorders and adverse events are well known. In the few NT1 patients who underwent these treatments, side effects included acne and dermatitis<sup>70</sup> and a severe catheter infection.<sup>74</sup> Although IVIG treatment is considered safe, side effects, even severe, can occur and were indeed observed also in NT1 patients. In adult cases, headache, sometimes associated with stiff neck<sup>101</sup> or rise in CSF leukocytes,<sup>89</sup> was the most common complaint, whereas one patient presented a not better-defined allergic reaction.<sup>83</sup> In children, side effects included infectious episodes (a flu-like syndrome and viral gastroenteritis),<sup>78</sup> skin reactions with urticaria and petechiae,<sup>80</sup> hypotension and nausea,<sup>90</sup> headache, fever, and flushing<sup>70</sup> requiring therapy withdrawal.

Although until now no serious adverse events occurred in NT1 patients treated with monoclonal antibodies, these drugs have potentially lethal side effects, ie, severe infusion-related reactions, secondary autoimmunity<sup>94</sup> and life-threatening infections secondary to immunosuppression, in particular progressive multifocal leukoencephalopathy (PML). The risk of PML is higher in patients treated with drugs reducing T-cells trafficking to the brain such as natalizumab, but rare cases have been also reported in association with rituximab.<sup>111</sup> Therefore, clinicians should keep in mind this risk and predispose adequate monitoring. Scammell and colleagues<sup>101</sup> proposed a treatment trial with natalizumab of a maximum of 1 or 2 years, to reduce the risk of PML in NT1 patients.

### Open Issues and Future Directions

Most of the information on the effects of immunotherapy in narcolepsy derive from uncontrolled case studies, with small sample sizes, different treatment schemes and highly heterogeneous outcome measures. Therefore, current data is not sufficient to support the use of immunotherapy for narcolepsy and randomized controlled clinical trials are needed to provide substantial evidence and avoid bias related to placebo effect and to spontaneous disease improvements. To date, most of the attempts to reverse NT1 symptoms have been disappointing, and this could be related to several open issues that need to be addressed and that are summarized below.

#### What is the Best Immunomodulating/Immunosuppressive Treatment for Narcolepsy?

Since the autoimmune basis of narcolepsy remains unproven, and our understanding of the immune process involved is still limited (ie, associated or pathogenetic), it is difficult to provide a definite answer to this question. However, mounting evidence underpins the primary role of T-cells in NT1 disease pathogenesis. Many of the studies on immunotherapy have been focused on treatments acting mainly on the antibody-mediated immune response, possibly explaining the lack of a meaningful effect. T-cells targeting drugs have been only rarely employed; therefore, agents such as natalizumab or alemtuzumab could be promising treatments for future clinical trials.

#### When to Treat?

This is an apparently easy question with a seemingly easy answer, the sooner, the better. Indeed, since immunotherapy might prevent the loss of the hypocretinergic neurons, it

should be given before this process reach completion. On this assumption, many of the previous studies focused on treating patients close to disease onset. However, cataplexy manifests when most, about 80%, of the hypocretin-secreting neurons are already lost,<sup>112</sup> therefore it could be possibly more effective to treat patients who have not yet developed cataplexy. Although the natural history of the hypocretin cells death is unclear, most NT1 patients refer experiencing EDS months or years before the appearance of cataplexy<sup>13</sup> implying a progression over time, as documented in few cases by hcr-1 CSF assessment.<sup>7-10,41</sup> These cases show that at least in few cases, narcolepsy symptoms evolve over time along with changes of CSF markers suggesting that in HLA DQB1\*06:02 positive subjects with EDS and other narcolepsy features CSF markers should be monitored over time. This could potentially offer a window of opportunity to intervene with immunotherapies in early stages in order to try preventing or reducing the hypocretinergic neuronal loss. Interestingly, Latorre et al<sup>41</sup> observed the presence of reactive CD8+ T-cell clones in the CSF of a recently diagnosed NT2 patient who later evolved into NT1 suggesting that these cells could be a potentially reliable early marker. The identification of early markers of progression could be crucial to identify those patients who could benefit from immunotherapy before the complete destruction of hypocretinergic neurons leading to NT1. On the other hand, CSF hypocretin loss can progress quickly and shortly after symptom onset,<sup>113</sup> even before the appearance of sleep-onset rapid eye movement periods, therefore prompt diagnosis and CSF examination are crucial for the identification of cases who can benefit from immunotherapy.

#### How to Assess the Clinical Outcome?

Many of the cases reported so far used heterogeneous measurements, including subjective sleepiness scales, CSF hypocretin-1 levels, multiple sleep latency test (MSLT), MWT, alone or in various combinations, or patients' self-reports to monitor the response to therapy. In certain cases, patients subjectively experienced an improvement of EDS or cataplexy, but the use of objective sleep parameters, often failed to pair these subjective evaluations, showing the intrinsic limitations of current methodology for the assessment of treatment efficacy, as well as their poor correlation with clinically relevant outcome measures.<sup>21</sup> For some narcolepsy manifestations including cataplexy or sleep paralysis, there are not

appropriate assessment tools and only recently an overall narcolepsy severity scale has been proposed.<sup>114</sup> Most of the previous studies on IVIG efficacy involved children and employed the same tools used to evaluate adults, with only few studies adopting age-appropriate scales.<sup>80,85</sup> However, children manifest significantly different NT1 symptoms compared to adults thus calling for specific evaluation approaches. Recently, Wang et al<sup>115</sup> proposed a subjective sleepiness scale and a cataplexy diary for pediatric narcolepsy, and, although not yet validated, they have been already applied in a clinical trial.<sup>116</sup> There is an urgent need of new standardized assessment tools of disease severity aimed at better following the disease course and documenting treatments' effects.

## Conclusion

To date only symptomatic treatments are available for narcolepsy, with new drugs recently showing promising results;<sup>4,19</sup> however, chronic pharmacological treatments met with frequent side effects and may not sufficiently impact on disease burden.<sup>21</sup> No disease-modifying cure is available, calling for future research on treatment strategies as well as on diagnostic approaches able to identify patients who will develop NT1 among those complaining only EDS. NT1 is considered an immune-mediated disease, nevertheless the absence of definitive proofs represents a limit to design targeted clinical trials on immunotherapy. To date, indeed, only case series and case reports are available. However, although not yet conclusive, the evidence gathered so far suggest that is the time for randomized, double-blind, placebo-controlled trials that would contribute to answer the question whether immunotherapy is useful in narcolepsy.

## Disclosure

Maria Pia Giannoccaro and Fabio Pizza reports no conflicts of interest in this work. Rocco Liguori reports personal fees from Argenx, Biogen, Sanofi-Genzyme, Argon Healthcare s.r.l., Amicus Therapeutics s.r.l. and Alfasigma for Advisory Board consultancy and Lecture fees from Dynamicom Education, SIMG Service, Adnkronos Salute Unipersonale s.r.l. and DOC Congress s.r.l., outside the submitted work. Giuseppe Plazzi participated in advisory board for UCB Pharma, Idorsia, Jazz pharmaceuticals and Bioprojet.

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