Correlates of Treatment Outcomes and Drug Resistance among Pulmonary Tuberculosis Patients Attending Tertiary Care Hospitals of Kolkata, India



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Abstract

Background: Worldwide highest number of new pulmonary tuberculosis (PTB) cases, was reported from India in 2012. Adverse treatment outcomes and emergence of drug resistance further complicated the prevailing scenario owing to increased duration, cost and toxicity associated with the treatment of drug-resistant cases. Hence to reinforce India's fight against TB, identification of the correlates of adverse treatment outcomes and drug resistance, seemed critical.

Methods: To estimate the associations between diagnostic findings, patient types (based on treatment outcomes), drug resistance and socio-demographic characteristics of PTB patients, a cross-sectional study was conducted in two tertiary-care hospitals in Kolkata between April 2010 and March 2013. Altogether, 350 consenting *Mycobacterium tuberculosis* sputum-culture positive PTB patients were interviewed about their socio-demographic background, evaluated regarding their X-ray findings (minimal/moderately advanced/far advanced/cavities), sputum-smear positivity, and treatment history/outcomes (new/defaulter/relapse/treatment-failure cases). Multiple-allele-specific polymerase chain reaction (MAS-PCR) was conducted to diagnose drug resistance.

Results: Among all participants, 31.43% were newly diagnosed, while 44%, 15.43% and 9.14% patients fell into the categories of relapsed, defaulters and treatment-failures, respectively. 12.29% were multi-drug-resistant (MDR: resistant to at least isoniazid and rifampicin), 57.71% had non-MDR two-drug resistance and 12% had single-drug resistance. Subjects with higher BMI had lower odds of being a relapse/defaulter/treatment failure case while females were more likely to be defaulters and older age-groups had more relapse. Elderly, females, unmarried, those with low BMI and higher grade of sputum-smear positivity were more likely to have advanced X-ray features. Higher grade of sputum-smear positivity and advanced chest X-ray findings were associated with relapse/treatment-failures. Elderly, unmarried, relapse/defaulter/ treatment-failure cases had higher odds and those with higher BMI and moderately/far advanced X-ray findings had lower odds of having MDR/non-MDR two-drug resistant PTB.

Conclusion: Targeted intervention and appropriate counseling are needed urgently to prevent adverse treatment outcomes and development of drug resistance among PTB patients in Kolkata.

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Data Availability: The authors confirm that, for approved reasons, some access restrictions apply to the data underlying the findings. The data used for the article is freely available upon written request to the corresponding author. It is not uploaded in any public repository because, in a country like India, where Tuberculosis is still a disease associated with considerable amount of social stigma and fear, any possible linkage with the patients resulting from the individual identification numbers used in the dataset, may lead to social discrimination of the patients, especially for the resistant and defaulter cases. Hence this data has not been kept in any public repository. Instead it is confidentially preserved under the supervision of Institutional Ethics Committee of the Institute of Post-Graduate Medical Education and Research (IPGMER), Kolkata, India and anybody can obtain the data freely by communicating with the corresponding author using the contact information below. Prof. (Dr) Nishith Kumar Pal (Corresponding author) Institute of Postgraduate Medical Education & Research 244 B, A.J.C. Bose Road, Kolkata 700020, India Ph: +91-9433559514 Email: nishithkpal53@qmail.com

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Introduction

Tuberculosis (TB) continues to be a major public health problem and is currently the second largest infectious cause of death worldwide. [1] As per World Health Organization's (WHO) estimate, in 2012, approximately 8.6 million people developed TB and 1.3 million died from it. [1] About 58% of these new infections were reported from Asian countries, with India being the largest contributor of incident TB infections in the world (176 per 100,000 population), accounting for 26% of the total global cases in 2012. [1].

WHO-assisted Directly Observed Treatment-Short course (DOTS) program in India not only serves more than 100,000 patients per month but also holds the distinction of being the largest and fastest expanding TB control program in the world.[2–5] However, despite the significant strides made by this program, annual incidence of TB in this country still hovers above two million cases, and on an average, two TB-related deaths are reckoned to occur in every three minutes. [4,5].

Since the anti-tubercular drugs (ATD) have become available, improper regimen, indiscriminate usage and less than optimal adherence have undermined the potential benefits - largely by facilitating the emergence of drug-resistant strains, particularly the multi-drug resistant (MDR) variety. [6,7] MDR-TB strains are distinguished by their resistance to isoniazid (INH) and rifampicin (RIF), two most potent first line ATDs, but not necessarily to other ATDs. [8] Globally, an estimated 3.6% of new (450,000) and 20.2% of previously-treated TB cases were diagnosed with MDR-TB in 2012. [1] Expectedly, patients with prior exposure to anti-TB therapy turned out to be the ones more vulnerable to developing drug resistance, [9] but it was perturbing to note that even the newly diagnosed cases had considerable propensity of having MDR, with possible contributing factors being spontaneous mutation and transmission of resistant strains from others patients harboring MDR-TB. [10,11].

Rapid emergence of drug resistance, particularly MDR constitutes a major threat to TB control in India. [7,12,13] Data on drug resistance pattern prevailing in the community are scarcely available, and there exists considerable inconsistency among the published findings. [6,10,14] A 2012 country-level estimate by WHO reported India's burden of MDR-TB to be moderately high (about 2.2% of new TB cases and 15% of retreatment cases), [1] however, there was substantial heterogeneity across reviewed studies.

The impact of MDR-TB, in terms of disease containment efforts and economic burden, on the public health infrastructure of a developing nation like India is enormous. Some of the public health challenges associated with it are: expensive laboratory procedures for diagnosis, limited number and capacity of testing facilities, long duration of treatment regime, requirement of multiple expensive, less potent, more toxic and relatively less available second line anti-TB drugs, shortage of trained staff and facilities for treatment and proper monitoring of the infected. [1,12,13].

The above shortcomings accompanying MDR-TB program become more glaring while addressing the problem of pulmonary TB (PTB) cases, owing to associated clinical severity. Constraints associated with the diagnosis and treatment of MDR-PTB thus call for efforts to identify the socio-demographic and clinical correlates of drug resistant PTB and poorer treatment outcomes. It is vital to take into consideration such predictors, especially the less resource intensive ones, in order to design and implement effective intervention strategies for minimizing the potential of emergence of resistant cases and improving treatment outcomes (e.g. reduction in number of relapses, defaulters and treatment-failures). In view of the above, a cross-sectional study was conducted to understand the interrelationship between diagnostic features (radiological and microscopic), patient type and drug resistance patterns among PTB patients.

Methodology

Ethics Statement

The Institutional Ethics Committee of the Institute of Post-Graduate Medical Education and Research (IPGMER), Kolkata, India approved the study content and procedures.

Written informed consents were collected from each participant before the interview and sample collection. Subjects were free to decline participation without any consequences towards their treatments.

Recruitment

Current study was conducted in the out and in-patient departments of Chest Medicine in two tertiary care hospitals (the DOTS clinic of SSKM Hospital and Chest clinic of Calcutta National Medical College) of Kolkata, a populous city of eastern India. Consenting sputum-smear-positive PTB patients (according WHO criteria and standard guidelines), [1,15] were recruited for the study during their first attendance between April 2010 and March 2013. Subjects were excluded from the analyses if they later turned out to be culture-negative for Mycobacterium, had infection with Mycobacterium other than *M. tuberculosis* or respective cultures got contaminated. As the parameter values regarding the variables of interest were not available in the study area, prior calculation of the required sample size was not possible. Hence we decided to recruit all the eligible cases identified during the study period.

Data Collection

Socio-demographic information such as age (<20/20–45/>45 years), gender (male/female), marital status (unmarried/married), socio-economic status (high/middle/low income group determined based on per capita monthly income, education and occupation of the head of the household using Kuppuswamy's Socio-Economic Status Scale) and district of residence (Kolkata/ 24 Parganas South/North) were collected through face-to-face interviews, whereas body mass index (Body weight(kg)/ Height²(mt)) and blood hemoglobin (gm/dl) levels were measured by trained medical/paramedical staff. Based on the clinical history of diagnosis and prior treatment outcomes (if any), recruited PTB cases were classified (patient types) into new/relapse/defaulter/ treatment failure according to WHO criteria. [1].

Chest X-ray and Sputum-smear Examination

Chest radiographic results of the participants, as evaluated by clinicians, were categorized into minimal/moderately advanced/ far advanced/having cavities. [15,16] As per the WHO and Government of India collaborative guidelines, grade of sputum-smear positivity was classified into scanty/single/double/triple positive status based on microscopic findings. [17].

Laboratory testing

Morning sputum samples were collected from each patient and processed by digestion and decontamination using the *N*-acetyl-L-cysteine (NALC)/sodium hydroxide (NaOH) method. [7] The resuspended sediment from the decontaminated pellet was then used for Ziehl-Neelsen (ZN) staining, inoculation on Lowenstein-Jensen (LJ) solid and Middlebrook 7H9 liquid media. [7,18].



Figure 1. Flow chart depicting the process of recruitment of the study participants. doi:10.1371/journal.pone.0109563.g001

Biochemical tests (niacin test, catalase test, nitrate reduction and aryl sulfatase) were next performed to identify *M. tuberculosis* among the Mycobacterial isolates. [13] The positive cultures were also subjected to extraction of genomic DNA following the phenolchloroform method. [19] Molecular confirmation was carried out by multiplex-PCR [20] for amplification of hsp 65, dnaj, is6110 genes specific to *M. tuberculosis*.

Detection of drug resistance pattern

For rapid detection of MDR isolates, multiple-allele-specific PCR (MAS-PCR) was conducted using allele-specific primers. [21] For detection of Isoniazid (INH), Rifampicin (RIF) or Ethambutol (EMB) resistance, amplifications of *katG* gene and promoter region of mabA-inhA, *rpoB* gene, *embB* gene were carried out, respectively. The amplified products were visualized in a 2.5% metaphor gel under a UV GEL DOC (BIO-RAD). Based on the results, participating TB cases were classified into those infected with MDR/Non-MDR two drug/Single drug resistant or susceptible strains of *M. tuberculosis*.

All the radiological (chest X-ray) and laboratory investigation results (sputum smear examination, MAS-PCR and biochemical tests) were duly double checked by a team of independent experts in the respective departments.

Data analyses

Descriptive analyses were done to understand the overall distribution (mean/proportion and corresponding 95% confidence intervals (CI)) of the patient characteristics along with drug resistance patterns. Further, stratified distributions of these characteristics across the strata of drug resistance were examined. Bivariate and multivariate logistic regression analyses [odds ratio (OR), adjusted odds ratio (AOR) and corresponding 95%CIs] were next conducted, respectively, to determine crude (OR) and adjusted (AOR: each predictor adjusted for all others) association between study variables. As the dependent variables (patient types, X-ray features and drug resistance patterns) had more than two categories, multinomial logistic regressions were used. [22] All statistical analyses were conducted using SAS version 9.3.

Results

Among the sputum samples collected from 458 recruited PTB cases, 35 (7.6%) got contaminated and 34 (7.4%) showed no growth. Biochemical tests and multiplex-PCR of the remaining 389 culture-positive isolates identified 350 samples positive for M. *tuberculosis* while rest 39 belonged to different Mycobacterium species. (Figure 1).

Thus, altogether 350 PTB cases having *M. tuberculosis* positive sputum were included in the final analyses. Majority of them were male (71.43%), aged between 20–45 years (72.29%), married

Table 1. Distribution of socio-demographics, patient types, physical parameters, microscopic features, X-ray findings and drug resistance patterns among PTB patients in tertiary care hospitals of Kolkata, India, 2010–2013 (N = 350).

Continuous Variables		n	Mean (95% CI)
Body mass index (kg/m²)		350	19.24 (19.07–19.41)
Blood hemoglobin level (gm/dl)		350	10.68 (10.52–10.85)
Categorical Variables	Categories	n	Percentage (95% CI)
Gender	Male	250	71.43 (66.67–76.18)
	Female	100	28.57 (23.82–33.33)
Age	<20 Yrs	48	13.71 (10.09–17.35)
	20-45 Yrs	253	72.29 (67.57–77.00)
	>45 Yrs	49	14.00 (10.35–17.65)
Marital Status	Married	275	78.57 (74.25–82.89)
	Unmarried	75	21.43 (17.11–25.75)
Socio-economic status	LIG	303	86.57 (82.98–90.16)
	MIG	45	12.86 (9.33–16.38)
	HIG	2	0.57 (0.00–1.37)
Resident of	North 24 Parganas	13	3.71 (1.72–5.71)
	South 24 Parganas	56	16.00 (12.14–19.85)
	Kolkata	281	80.29 (76.10-84.47)
Patient type	New Infection	110	31.43 (26.54–36.32)
	Relapsed	154	44.00 (38.77–49.23)
	Defaulter	54	15.43 (11.63–19.23)
	Treatment failure	32	9.14 (6.11–12.18)
Sputum smear grade	Scanty	0	0
	Single positive	165	47.14 (41.89–52.40)
	Double positive	157	44.86 (39.62–50.09)
	Triple positive	28	8.00 (5.14–10.86)
X Ray Findings	Minimal	126	36.00 (30.95-41.05)
	Moderately advanced	132	37.71 (32.61–42.82)
	Far advanced	60	17.14 (13.18–21.11)
	Cavity	32	9.14 (6.11–12.18)
Multiple allele specific PCR for detection of drug resistance	Multi-drug resistance	43	12.29 (8.83–15.74)
	Non-MDR two drug resistance	202	57.71 (52.51–62.92)
	Only Isoniazide resistance*	20	5.71 (3.27–8.16)
	Only Rifampicin resistance*	15	4.29 (2.15–6.42)
	Only Ethambutol resistance*	7	2.00 (0.53–3.47)
	Susceptible	63	18.00 (13.96–22.04)

N = Total number of subjects in the study; n = Number of subjects in different categories.

CI = Confidence interval; LIG = Low-income group; MIG = Middle-income group; HIG = High-income group *Total number of single drug resistant cases = 42. doi:10.1371/journal.pone.0109563.t001

(78.57%), from low-income group (86.57%) and residents of Kolkata (80.29%). Mean BMI of the subjects was 19.24 kg/m² and mean blood hemoglobin level was 10.68 gm/dl. While 31.43% (95%CI: 26.54–36.32) of the TB cases were newly diagnosed, the proportions of relapse cases, defaulters and treatment-failures were 44.00% (95%CI: 38.77–49.23), 15.43% (95%CI: 11.63–19.23) and 9.14% (95%CI: 6.11–12.18), respectively. X-Ray findings in 37.71% patients fell into the category of moderately advanced, 17.14% had far advanced X-Ray features and 9.14% had evidence of cavities. Single-positive sputum-smears were obtained from 47.14% cases, whereas double-positive and triple-positive results, respectively, were seen in 44.86% and 8.00% of patients. Among the included sputum-smear positive subjects, 12.29% (95%CI: 8.83–15.74) had MDR-TB, 57.71%

(95%CI: 52.51–62.92) had non-MDR two drug resistance, while 12% (95%CI: 8.58–15.42) had resistance to any one of the first line of drugs (5.71%, 4.29% and 2.00% to only INH, Ethambutol and Rifampicin, respectively). Isolates obtained from only 18.00% (95%CI: 13.96–22.04) patients were susceptible to all three first line ATDs. (Table 1).

Distribution of the socio-demographic characteristics along with clinical, radiological and laboratory parameters across the strata of drug resistance pattern is presented in Table 2. Results of bivariate and multivariate (each predictor adjusted for all others) regression analyses (to determine association between patient types and their physical/socio-demographic/microscopic/X-ray features) are presented in Table 3. Patients with higher mean BMI had lower odds (reference: new infection) of being a relapse [AOR = 0.06(0.03-

		Susceptible	Single drug resistance	Non-MDR two drug resistance	Multi-drug resistance (MDR)
Continuous Variables		n Mean (95% Cl)	n Mean (95% Cl)	n Mean (95% Cl)	n Mean (95% Cl)
Body mass index (kg/m ²)		63 19.99(19.63–20.35)	42 19.74(19.25–20.22)	202 18.99(18.78–19.20)	43 18.87(18.37–19.36)
Blood hemoglobin level (gm,	(lþ)	63 10.40(9.98–10.81)	42 10.43(9.89–10.97)	202 10.85(10.64–11.06)	43 10.58(10.12–11.05)
Categorical variables		Susceptible	Single drug resistant	Non-MDR two drug resistant	MDR
Variables	Categories	n Percentage (95% Cl)	n Percentage (95% Cl)	n Percentage (95% CI)	n Percentage (95% Cl)
Gender	Male	44 69.84(58.19–81.49)	31 73.81(59.94–87.68)	143 70.80(64.47–77.12)	32 74.42(60.83–88.01)
	Female	19 30.16(18.51–41.81)	11 26.19(12.32–40.06)	59 29.21(22.88–35.53)	11 25.58(11.99–39.16)
Age	<20 Yrs	15 23.81(12.99–34.62)	6 14.29(3.25–25.32)	23 11.39(6.97–15.8)	4 9.30(0.26–18.35)
	20-45 Yrs	44 69.84(58.19-81.49)	28 66.67(51.80–81.53)	150 74.26(68.18-80.34)	31 72.09(58.12–86.06)
	>45 Yrs	4 6.35(0.15–12.54)	8 19.05(6.66–31.43)	29 14.36(9.48–19.23)	8 18.60(6.49–30.72)
Marital Status	Married	45 71.43(59.96-82.90)	33 78.57(65.63–91.51)	162 80.20(74.66–85.74)	35 81.40(69.28–93.51)
	Unmarried	18 28.57(17.10-40.04)	9 21.43(8.49–34.37)	40 19.80(14.26–25.34)	8 18.60(6.49–30.72)
Socio-economic status	FIG	52 82.54(72.90–92.18)	35 83.33(71.58–95.09)	179 88.61(84.20–93.03)	37 86.05(75.26–96.84)
	MIG	11 17.46(7.82–27.09)	6 14.29(3.25–25.32)	22 10.89(6.56–15.22)	6 13.95(3.16–24.74)
	BIH	1	1 2.381(0–7.19)	1 0.50(0-1.47)	1
Resident of	North 24 Parganas	2 3.17(0.00–7.63)	4 9.52(0.27–18.78)	7 3.47(0.92–6.00)	1
	South 24 Parganas	5 7.94(1.07–14.80)	9 21.43(8.49–34.37)	37 18.32(12.93–23.70)	5 11.63(1.65–21.61)
	Kolkata	56 88.89(80.91–96.87)	29 69.05(54.47–83.63)	158 78.22(72.48–83.96)	38 88.37(78.39–98.35)
Patient type	New Infection	44 69.84(58.19–81.49)	23 54.76(39.06–70.46)	36 17.82(12.50–23.14)	7 16.28(4.78–27.78)
	Relapsed	13 20.63(10.36-30.91)	15 35.71(20.60–50.83)	106 52.48(45.53–59.42)	20 46.51(30.98–62.04)
	Defaulter	5 7.94(1.07–14.80)	3 7.14(0–15.27)	38 18.81(13.38–24.25)	8 18.60(6.49–30.72)
	Treatment failure	1 1.59(0.00–4.76)	1 2.38(0–7.19)	22 10.89(6.56–15.22)	8 18.60(6.49–30.72)
Sputum smear grade	Scanty	0 0	0 0	0 0	0 0
	Single positive	34 53.97(41.31–66.62)	12 28.57(14.32–42.82)	99 49.00(42.06–55.96)	20 46.51(30.98–62.04)
	Double positive	27 42.86(30.29–55.42)	28 66.67(51.80–81.53)	81 40.10(33.28–46.91)	21 48.84(33.27–64.40)
	Triple positive	2 3.17(0–7.63)	2 4.76(0-11.48)	22 10.89(6.56–15.22)	2 4.65(0-11.21)
X- Ray Findings	Minimal	21 33.33(21.37–45.30)	11 26.19(12.32-40.06)	76 37.62(30.89–44.36)	18 41.86(26.50–57.22)
	Moderately advanced	27 42.86(30.30-55.42)	18 42.86(27.25–58.47)	74 36.63(29.93–43.33)	13 30.23(15.93–44.53)
	Far advanced	12 19.05(9.08–29.02)	9 21.43(8.49–34.37)	29 14.36(9.48–19.23)	10 23.26(10.10–36.41)
	Cavity	3 4.76(0–10.17)	4 9.52(0.27–18.78)	23 11.39(6.97–15.80)	2 4.65(0-11.21)

Table 3. Association between patient type and th 2010–2013 (N = 350).	neir physical, socio-demograp	hic, mic	croscopic and X-ray f	eatures	among PTB patients i	n terti	ary care hospitals of Kolk	ata, India,
			Patient type(ref: New i	nfection)				
			Relapsed		Defaulter		Treatment failure	
Continuous Variables			OR(95%Cl)	d	OR(95%Cl)	0	OR(95%Cl)	d
Body mass index (kg/m²)		UOR	0.06(0.04-0.11)	<.001	0.44(0.30-0.66)	<.001	<0.01(<0.01-0.01)	<.001
		AOR	0.06(0.03-0.11)	<.001	0.45(0.28-0.74)	0.002	<0.01(<0.01-0.01)	<.001
Blood hemoglobin level (gm/dl)		UOR	2.58(1.98-3.35)	<.001	3.33(2.32–4.79)	<.001	1.47(1.03–2.10)	0.035
		AOR	1.94(1.22–3.07)	0.005	4.00(2.55–6.26)	<.001	0.67(0.29–1.57)	0.354
Categorical variables			Relapsed		Defaulter		Ŧ	
Variables	Categories		OR(95%CI)	d	OR(95%Cl)		OR(95%Cl)	d
Gender (ref: male)	Female	UOR	0.71(0.41-1.24)	0.228	1.27(0.63–2.53) (.503	1.40(0.61–3.19)	0.423
		AOR	1.18(0.38–3.61)	0.779	3.66(1.33-10.06)	0.012	1.70(0.32-9.01)	0.535
Age (ref:<20 yrs)	20-45 yrs	UOR	2.50(1.21-5.16)	0.013	1.57(0.65–3.83) (.319	2.35(0.65-8.55)	0.194
		AOR	10.44(1.6964.46)	0.012	2.80(0.59–13.32)	.195	65.61 (2.78->99.99)	0.010
	>45 yrs	UOR	3.56(1.37-9.24)	0.009	1.20(0.32-4.47) (0.788	3.83(0.81–18.09)	060.0
		AOR	8.95(1.05-76.41)	0.045	1.76(0.25–12.71) (.574	77.02(1.90->99.99)	0.022
Marital status (ref: Married)	Unmarried	UOR	0.33(0.18-0.60)	0.001	0.40(0.17–0.89)	0.026	0.55(0.22-1.40)	0.210
		AOR	0.99(0.19–5.21)	066.0	0.58(0.14–2.45) (.455	5.27(0.36-76.93)	0.224
SES (ref: LIG)	MIG	UOR	0.52(0.24–1.09)	0.081	0.89(0.36–2.19) (.799	0.98(0.33–2.90)	0.975
		AOR	0.46(0.13-1.72)	0.251	0.69(0.23–2.10)	.515	1.57(0.19–12.99)	0.678
	BIH	UOR	I	I	I		1	I
		AOR	1	I			1	I
Resident of (ref: Kolkata)	North 24 Parganas	UOR	0.23(0.05–1.19)	0.079	1.35(0.36–5.03) (.656	0.54(0.06-4.66)	0.573
		AOR	0.14(0.01-2.19)	0.160	1.55(0.31–7.76) (.593	0.05(0.01-2.60)	0.139
	South 24 Parganas	UOR	1.16(0.60–2.24)	0.669	0.83(0.32–2.16) (.707	0.76(0.24–2.45)	0.643
		AOR	0.88(0.25-3.08)	0.846	0.55(0.15–1.10) (.366	0.22(0.03-1.90)	0.170
Sputum smear grading (ref: Single positive)	Double positive	UOR	2.55(1.52-4.29)	0.001	1.07(0.54–2.14) (.840	11.81(3.28-42.53)	0.001
		AOR	1.48(0.47–4.73)	0.505	1.01(0.35–2.90)	.988	2.23(0.25-19.85)	0.472
	Triple positive	UOR	3.45(1.06–11.26)	0.040	1.05(0.18–6.00) (.960	57.49(11.18-295.51)	<.001
		AOR	0.84(0.07-10.56)	0.892	1.05(0.06–17.33) (.971	0.92(0.03-32.08)	0.963
X-ray (ref: Minimal)	Moderately advanced	UOR	1.69(1.00–2.95)	0.065	1.67(0.82–3.38) (.157	12.60(1.54–103.43)	0.018
		AOR	1.15(0.38–3.49)	0.806	1.99(0.78–5.07)	.152	1.64(0.11–24.83)	0.722
	Far advanced	UOR	2.86(1.29–6.37)	0.010	1.69(0.58–4.96) (.334	76.35(9.12–639.18)	<.001
		AOR	1.95(0.32–11.91)	0.468	1.66(0.30–9.24)	.563	9.77(0.44–217.99)	0.150

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		Patient type(ref: N	ew infectior	-			
		Relapsed		Defaulter		Treatment failure	
Continuous Variables		OR(95%CI)	d	OR(95%Cl)	d	OR(95%Cl)	d
	Cavity UOR	8.17(2.29–29.07)	0.001	0.89(0.09–9.03)	0.921	130.65(1.91->99.99)	<.001
	AOR	4.54(0.41–50.66)	0.220	0.55(0.02-13.24)	0.713	33.93(0.84->99.99)	0.062

refers to cells for which due to inadequate number of observation valid statistical results (OR, CI, p value) could not be determined.

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[AOR = 0.45(0.28 - 0.74)]/treatment 0.11)]/defaulter failure [AOR = <0.01(<0.01-0.01)] TB case; while those with higher hemoglobin levels had twice the odds (compared to being a new infection) of belonging to relapse [AOR = 1.94(1.22-3.07)] or defaulter [AOR = 4.00(2.55-6.26)] category. Female PTB cases, as against males, had 2.6 times higher odds of being a defaulter [AOR = 3.66(1.33-10.06)] than being a new infection case. Older age groups, compared to those aged <20 years, had significantly higher odds [20-45 years: AOR = 10.44(1.69-64.46) and >45 years: AOR = 8.95(1.05-76.41)] of having relapse than having new infection. Patients with worse categories of sputum positivity (vs. single positive sputum) and poorer X-ray features (vs. minimal advanced) were detected to have increased odds of having relapse/ treatment-failure, although the associations did not reach levels of statistical significance, possibly due to lack of power. (Table 3).

Patients with higher mean BMI had lower odds of having features [AOR X-ray for: moderately poorer advanced = 0.71(0.55-0.91) and far advanced = 0.69(0.49-0.98)], whereas cases diagnosed with double-positive sputum-smear (vs. single-positive sputum) were more likely to have the same [AOR for: moderately advanced = 3.40(1.88-6.14) and far advanced = 88.95(19.72-401.20)], compared to having minimal advanced X-ray features. Female [AOR = 2.28(1.18-4.41, reference: male)], elderly [AOR = 4.13(1.02–16.68, reference: age <20 years)] and unmarried [AOR = 4.39(1.63-11.86, reference: married)] cases were also found to have higher odds of moderately advanced (compared to minimal advanced) X-ray features. (Table 4).

Cases belonging to older age groups, as against those aged <20years, had higher odds of having MDR (AOR_{MDR}) and non-MDR two drug resistant TB (AOR_{NM2DR}) than having a TB strain susceptible to all three first-line drugs [for 20-45 years age-group: $AOR_{MDR} = 7.30(1.10-48.59)$ and $AOR_{NM2DR} = 4.59(1.16-18.12)$ while for >45 years, $AOR_{MDR} = 30.01(2.70-333.06)$ and $AOR_{NM2DR} = 12.14(1.82-80.92)$]. Unmarried cases (vs. married) had more than four times odds of being diagnosed with either AOR_{MDR} or AOR_{NM2DR}, compared to being in the all susceptible group $[AOR_{MDR} = 4.83(1.10-48.59) \text{ and } AOR_{NM2DR} = 4.59$ (0.99-23.66)]. Higher odds of AOR_{MDR} and AOR_{NM2DR} were also observed for relapse/defaulter/treatment-failure groups, as compared to new infection cases [relapse: $AOR_{MDR} = 13.84(2.55 - 10.000)$ 75.06) and AOR_{NM2DR} = 12.02(3.51-41.15); defaulter: AOR_{MDR} = 33.01(5.96-182.78) and AOR_{NM2DR} = 16.87(4.77-59.69); treatment-failure: $AOR_{MDR} = 63.19(3.63 - >99.99)$ and $AOR_{NM2DR} =$ 31.86(2.66-381.62)], whereas patients with moderately/far advanced X-ray findings (vs. minimal advanced) were less likely to be suffering from either AOR_{MDR} or AOR_{NM2DR} [moderately advanced: $AOR_{MDR} = 0.16(0.05-0.53)$ and $AOR_{NM2DR} = 0.27$ (0.11-0.67); far advanced: AOR_{MDR} = 0.14(0.03-0.68) and $AOR_{NM2DR} = 0.14(0.04-0.49)$]. (Table 5).

Discussion

Among 350 adolescent and adult smear-confirmed PTB patients due to M. tuberculosis infection, who attended two tertiary care hospitals in Kolkata between 2010–13, 68.57% belonged to relapse (44.00%), defaulter (15.43%) or treatment-failure (9.14%) categories, 64.00% had poorer X-ray features (moderately advanced = 37.71%, far advanced = 17.14% and cavities = 9.14%) and 12.29% were diagnosed with MDR-PTB. MDR-TB consisted 6.36% of total new infections cases, but 15% among the previously treated group. These findings

			X-Ray findings (ref: N	linimal)				
			Moderately advanced	_	Far advanced		Cavity	
Continuous Variables			OR(95%CI)	d	OR(95%CI)	d	OR(95%Cl)	d
Body mass index (kg/m²)		UOR	0.71(0.57–0.87)	0.001	0.53(0.40-0.70)	<.0001	0.42(0.29-0.63)	<.0001
		AOR	0.71(0.55-0.91)	0.006	0.69(0.49-0.98)	0.036	0.64(0.38-1.05)	0.078
Blood hemoglobin level (gm/dl)		UOR	0.97(0.79–1.19)	0.757	0.96(0.74–1.24)	0.737	1.31 (0.93–1.86)	0.119
		AOR	1.01(0.78–1.31)	0.926	0.89(0.62–1.28)	0.537	1.41(0.85–2.37)	0.187
Categorical variables			Moderately advanced		Far advanced		Cavity	
Variables	Categories		OR(95%Cl)	d	OR(95%Cl)	d	OR(95%CI)	d
Gender (ref:Male)	Female	UOR	1.63(0.93–2.85)	0.085	1.88(0.96–3.71)	0.066	1.37(0.57–3.29)	0.482
		AOR	2.28(1.18-4.41)	0.015	2.37(0.93–6.04)	0.071	2.96(0.86–10.23)	0.087
Age (ref:<20 yr)	20–45 yr	UOR	0.92(0.45–1.90)	0.832	0.60(0.26-1.38)	0.232	3.89(0.49–30.85)	0.198
		AOR	2.53(0.80-7.98)	0.113	0.56(0.11–2.87)	0.483	0.67(0.03-15.22)	0.800
	>45 yr	UOR	1.45(0.55–3.80)	0.447	0.76(0.23–2.48)	0.653	11.77(1.32–104.99)	0.027
		AOR	4.13(1.02-16.68)	0.047	0.66(0.09–4.92)	0.685	1.71(0.06–48.71)	0.752
Marital status (ref: Married)	Unmarried	UOR	1.84(1.01–3.34)	0.045	1.58(0.75–3.31)	0.231	0.15(0.02-1.18)	0.071
		AOR	4.39(1.63–11.86)	0.004	1.16(0.26–5.10)	0.845	0.12(0.01–2.43)	0.166
SES (ref:LIG)	MIG	UOR	1.25(0.59–2.64)	0.555	1.05(0.40–2.75)	0.925	1.90(0.67–5.44)	0.230
		AOR	1.12(0.48–2.63)	0.786	1.01(0.31–3.33)	0.982	2.27(0.53–9.80)	0.271
	DIH	UOR	I	I	I	I	4.44(0.27-73.42)	0.298
		AOR	I	I	I	I	I	I
Resident of (ref: Kolkata)	North 24 Parganas	UOR	2.04(0.60–6.98)	0.257	0.53(0.06-4.87)	0.575	I	I
		AOR	1.85(0.47–7.27)	0.375	0.33(0.03–3.65)	0.365	I	I
	South 24 Parganas	UOR	1.25(0.63–2.46)	0.526	1.18(0.51–2.74)	0.702	1.33(0.48–3.69)	0.580
		AOR	0.98(0.46–2.08)	0.952	0.81(0.28–2.31)	0.695	1.45(0.40–5.26)	0.574
Sputum smear grading (ref: Single positive)	Double positive	UOR	3.97(2.28–6.90)	<.0001	92.31(21.04-405.03)	<.0001	1	I
		AOR	3.40(1.88–6.14)	<.0001	88.95(19.72-401.20)	<.0001	1	I
	Triple positive	UOR	I	I	I	I	1	T
		AOR	I	ı	ı	I	I	ı

Table 5. Association between patient charact	eristics and drug resis	tance patte	ns among PTB pat	ients in tert	iary care hospitals o	f Kolkata,	India, 2010–2013 (N =	350).
			Drug resistant stat	us (ref:Suscep	otible)			
			Single drug resista	nce Non	-MDR two drug resista	JCe	Multi-drug resistance (MDR)
Continuous Variables			OR(95%Cl)	p OR(95%Cl)	d	OR(95%Cl)	d
Body mass index (kg/m²)	UOR		0.83(0.59–1.16)	0.279 0.49(0.38-0.63)	<.0001	0.44(0.31–0.63)	<.0001
	AOR		1.18(0.66–2.13)	0.572 0.73(0.46-1.15)	0.171	0.62(0.34–1.15)	0.130
Blood hemoglobin level (gm/dl)	UOR		1.02(0.74–1.41)	0.896 1.38(1.08–1.75)	0.009	1.14(0.82–1.57)	0.435
	AOR		0.92(0.61–1.39)	0.685 1.00(0.71-1.41)	0.994	0.71(0.45–1.13)	0.148
Categorical variables			Single drug resista	nce Non	-MDR two drug resista	JCe	Multi-drug resistance (MDR)
Variables	Categories		OR(95%Cl)	p OR(9	95%Cl)	d	OR(95%CI)	d
Gender (ref: Male)	Female	UOR	0.82(0.34–1.97)	0.660 0.95(0.51-1.77)	0.885	0.80(0.33-1.90)	0.608
		AOR	1.02(0.37–2.86)	0.965 1.43(0.63–3.27)	0.391	1.01(0.35–2.96)	0.981
Age(ref:<20 yr)	20-45 yr	UOR	1.59(0.55–4.59)	0.390 2.22(1.07-4.62)	0.032	2.64(0.80-8.77)	0.111
		AOR	1.64(0.30–8.86)	0.563 4.59	(1.16–18.12)	0:030	7.30(1.10–48.59)	0.040
	>45 yr	UOR	5.00(1.08–23.06)	0.039 4.73(1.38–16.19)	0.013	7.45(1.47–38.27)	0.015
		AOR	5.69(0.64–50.65)	0.119 12.1	4(1.82-80.92)	0.010	30.01(2.70-333.06)	0.006
Marital status (ref: Married)	Unmarried	UOR	0.68(0.27–1.71)	0.413 0.62(0.32-1.18)	0.144	0.57(0.22-1.47)	0.244
		AOR	1.71(0.38–7.67)	0.483 4.16	(1.20–14.48)	0.025	4.83(0.99–23.66)	0.052
SES (ref: LIG)	MIG	UOR	0.81(0.27–2.39)	0.704 0.58(0.26-1.28)	0.176	0.77(0.26–2.26)	0.630
		AOR	0.47(0.13–1.67)	0.243 0.64(0.24-1.73)	0.382	0.92(0.25–3.35)	0.904
	HIG	UOR	I	ı I		I	I	I
		AOR	I	ı I		I	I	I
Resident of (ref: Kolkata)	North 24 Parganas	UOR	3.86(0.67–22.35)	0.131 1.24(0.25-6.15)	0.792	I	I
		AOR	5.37(0.69–41.42)	0.107 2.46(0.30–20.49)	0.405	I	I
	South 24 Parganas	UOR	3.48(1.07–11.33)	0.039 2.62(0.98-7.00)	0.054	1.47(0.4–5.44)	0.561
		AOR	3.61(1.03-12.63)	0.044 3.54	(1.14–10.91)	0.028	1.93(0.45–8.16)	0.374
Sputum smear grading (ref: Single positive)	Double positive	UOR	2.94(1.26–6.83)	0.012 1.03(0.57–1.85)	0.920	1.32(0.60–2.92)	0.491
		AOR	2.52(0.87–7.35)	0.089 0.65(0.27-1.56)	0.338	0.90(0.29–2.83)	0.858
	Triple positive	UOR	2.83(0.36–22.39)	0.324 3.78(0.84–16.91)	0.082	1.70(0.22–13.02)	0.610
		AOR	2.10(0.18–25.19)	0.557 2.41(0.34–16.99)	0.377	0.85(0.06–11.27)	0.904
X-ray(ref: Minimal)	Moderately advanced	UOR	1.27(0.50–3.27)	0.616 0.76(0.39–1.46)	0.405	0.56(0.22-1.40)	0.216
		AOR	0.83(0.27–2.51)	0.738 0.27	(0.11-0.67)	0.004	0.16(0.05-0.53)	0.003
	Far advanced	UOR	1.43(0.46–4.44)	0.534 0.67(0.29–1.53)	0.339	0.97(0.34–2.78)	0.958
		AOR	0.58(0.14–2.44)	0.455 0.14	(0.04–0.49)	0.002	0.14(0.03-0.68)	0.015
	Cavity	UOR	2.54(0.48–13.46)	0.272 2.12(0.58–7.75)	0.257	0.78(0.12–5.18)	0.795

Table 5. Cont.							
			Drug resistant status	(ref:Susceptible)			
			Single drug resistand	e Non-MDR two drug resista	nce	Multi-drug resistance (MDR	2
Continuous Variables			OR(95%CI)	<i>p</i> OR(95%Cl)	d	OR(95%CI)	2
		AOR	0.78(0.11–5.96)	0.827 0.29(0.05-1.64)	0.161	0.11(0.01-1.17)	0
Patient type (ref: New Infection)	Relapsed	UOR	2.20(0.90-5.42)	0.084 9.97(4.83–20.58)	<.0001	9.67(3.35–27.91)	V
		AOR	3.01(0.62–15.35)	0.168 12.02(3.51-41.15)	<.0001	13.84(2.55-75.06)	0
	Defaulter	UOR	1.15(0.25–5.24)	0.859 9.23(3.31–26.05)	<.0001	10.06(2.55–39.69)	0
		AOR	1.79(0.31–10.18)	0.510 16.87(4.77–59.69)	<.0001	33.01(5.96–182.78)	۷
	Treatment failure	UOR	1.91(0.11–32.00)	0.652 26.88(3.45–209.19)	0.002	50.28(5.42-465.91)	0
		AOR	1.67(0.05–59.93)	0.780 31.86(2.66–381.62)	0.006	63.19(3.63->99.99)	0

group; HIG = High-income group; UOR = Unadjusted Odds ratio; AOR = Adjusted Odds ratio; OR = Odds ratio; CI = Confidence interval; p = p value. refers to cells for which due to inadequate number of observation valid statistical results (OR, Cl, p value) could not be determined = Socio-economic status; LIG = Low-income group; MIG = Middle-income doi:10.1371/journal.pone.0109563.t005 SES

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Correlates of Drug Resistant Tuberculosis in Kolkata, India

probably serve as a grim reminder of the prevailing complex scenario of PTB in the largest metropolitan city of eastern India, which is not unlike rest of the country. [14,23,24] It is worth noting that the burden of MDR-PTB among newly infected [24] and previously treated cases [23] in most Indian states have consistently remained high during past two decades, and even more alarmingly, a recent report suggested a gradually increasing trend in MDR-PTB prevalence across the country. [14] In view of the above, our study findings convey that Kolkata is no exception.

In this study, corroborating with prior researches, having higher BMI was negatively associated with being a relapse/defaulter/ treatment-failure case. [25,26] Improved nutritional status, which can positively influence immunity and treatment outcome, could be cited as a possible explanation; however, such finding could also have been an artifact of reverse causation, as implicated by deterioration of general health among relapse/defaulter/treatment-failure cases. We believe, our results indicate the importance of providing nutritional improvement counseling/advices during the course of TB treatment.

Relapsed cases and defaulters were found to have, counterintuitively, higher hemoglobin level. However, this again might be explained by possible reverse causation resulting from discontinuation of ATD therapy following initial physiological improvement (thus increase in hemoglobin) with the therapy. Thus, proper adherence counseling of patients at the time of initiating ATD is profoundly recommended.

Female subjects, in our study, were more likely to default in treatment compared to males, contradicting findings from previous studies, conducted elsewhere. [25,27,28] We hypothesize that the socio-cultural norms prevailing in the study area might have led to either lower awareness/adherence regarding ATD among females or had resulted in an underrepresentation of females among attendees of tertiary healthcare centers with uncomplicated, new infections.

Higher age was associated positively with relapse, potentially owing to poorer treatment outcomes resulting from different biological (diminishing immune function with increasing age) and social issues (self-neglect and poor knowledge/perception among elderly populace) working independently or in tandem. [29].

Although the employed multivariate model lacked sufficient statistical power, results of bivariate analyses suggested that poorer prognostic categories of sputum-smear and chest radiographic features were both associated with higher likelihood of being a relapse/treatment-failure case. Taking into account tubercular disease pathogenesis, these findings seem inherently plausible. In the current study, compared to the corresponding reference groups, females, elderly, unmarried and worse grades of sputumsmear were positively associated with poorer/more advanced chest-X-ray features, but higher BMI appeared to be a negative correlate of the same. Based on above, it is suggested that these socio-demographic and clinical parameters might well be taken into account while planning, designing and implementing preventive and curative components of the TB control program in this part of the country.

In this study higher age was found to be associated with higher odds of being MDR or non-MDR 2 drug resistant PTB cases, which highlighted the crucial role of poor awareness/perception, healthcare access/utilization and relatively lower social attention towards the elderly populace. [29]. Unmarried subjects also appeared to be more likely to be suffering from MDR or non-MDR 2 drug resistant PTB, compared to their married counterparts, and behavioral factors might be implicated behind this association. [30].

In sync with our observation regarding treatment outcomes, higher BMI seemed to be also associated negatively with emergence of drug resistance among participating PTB cases.

In corroboration with prior findings, relapse/defaulters/treatment-failure cases were found to have higher propensity of being MDR and non-MDR 2 drug resistant cases of PTB. [17,31,32].

Interestingly, it was observed that subjects with advanced radiological features had lower odds of having MDR or non-MDR 2 drug resistant PTB. Such observation points towards the possibility that cases with advanced radiological findings might be more likely to have been subjected to aggressive therapeutic and behavioral (counseling) interventions, often leading to improved treatment response and adherence, and therefore, lower likelihood of development of resistance.

Overall, the findings regarding resistance pattern seen in our study participants, make us infer that appropriate and intensive counseling of ATD initiates should be emphasized upon, in order to improve adherence to the treatment protocol among the PTB cases, and thereby reduce emergence of resistance.

Our study suffered from some major limitations. Due to the cross-sectional design, causal interpretations of the observed associations were not possible and temporal ambiguity affected inferences due to the potential for reverse causation. As the study was conducted in two tertiary care hospitals of Kolkata,

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often receiving referred (and relatively severe) cases from lower level healthcare providers, we might have overestimated the overall burden and some severity characteristics. Hence, extrapolation of the results beyond the study sample is not recommended, as lack of external validity may be suspected.

Despite of these limitations, we believe, our study findings have been bolstered by employing advanced laboratory techniques like MAS-PCR and sophisticated statistical analyses. The results of this study may help concerned policy makers to gain important insights into the aspects and correlates of drug resistance and treatment outcomes of PTB cases in this part of India, where similar efforts have been scanty till date.

Conclusions

Implementation of targeted intervention and appropriate counseling seem to be an urgent requirement in order to improve the efficacy of various TB control approaches for specific demographics like females, elderly and unmarried in Kolkata.

Author Contributions

Conceived and designed the experiments: AG UC TJM SR SKD NKP. Performed the experiments: AG UC TJM BB SD. Analyzed the data: AG UC TM SM. Contributed reagents/materials/analysis tools: TM SM SR SKD NKP. Wrote the manuscript: AG UC TM SM AD BB NKP. Thorough copy-editing of the manuscript for language usage, spelling, and grammar: AD.

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