

# The role of VEGF in the diagnosis and treatment of malignant pleural effusion in patients with non-small cell lung cancer (Review)

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**Abstract.** Malignant pleural effusion (MPE) is a severe medical condition, which can result in breathlessness, pain, cachexia and reduced physical activity. It can occur in almost all types of malignant tumors; however, lung cancer is the most common cause of MPE, accounting for ~1/3 of clinical cases. Although there are numerous therapeutic approaches currently available for the treatment of MPE, none are fully effective and the majority can only alleviate the symptoms of the patients. Vascular endothelial growth factor (VEGF) has now been recognized as one of the most important regulatory factors in tumor angiogenesis, which participates in the entire process of tumor growth through its function to stimulate tumor angiogenesis, activate host vascular endothelial cells and promote malignant proliferation. Novel drugs targeting VEGF,

including endostar and bevacizumab, have been developed and approved for the treatment of various tumors. Data from recent clinical studies have demonstrated that drugs targeting VEGF are effective and safe for the clinical management of MPE. Therefore, VEGF-targeting represents a promising novel strategy for the diagnosis and treatment of MPE. The present review summarized recent advances in the role of VEGF in the pathogenesis, diagnosis and clinical management of MPE in patients with non-small cell lung cancer.

## Contents

1. Introduction
2. Diagnostic value of VEGF for MPE in patients with NSCLC
3. The role of VEGF in the pathogenesis of MPE
4. VEGF-targeted strategies for the management of MPE in patients with NSCLC
5. VEGF in the prognosis of MPE in various subtypes of NSCLC and in patients with advanced NSCLC
6. Conclusion and perspectives

## 1. Introduction

In developing countries, the morbidity and mortality of lung cancer has grown rapidly with the increasing prevalence of smoking and worsening air pollution. The most recent epidemiological data indicate that in the majority of unindustrialized regions, lung cancer is the main cause of mortality among the most common malignant tumors (1). According to data in the 'China Cancer Registration Annual Report 2015' released by the Chinese National Cancer Center, lung cancer is the most common malignant disease, which accounts for ~1/4 of all cancer cases in adult men in China (2). Non-small cell lung cancer (NSCLC) is the most common type of lung cancer, representing ~85% of all lung cancer cases (1). Unfortunately, the majority of patients with lung cancer (~70%) are diagnosed at a late stage, and NSCLC accounts for 80% of these cases (2). Malignant pleural

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**Abbreviations:** CEA, carcinoembryonic antigen; HIF-1, hypoxia-inducible factor-1; IFN, interferon; IL, interleukin; MAPK, mitogen-activated protein kinase; MMP, matrix metalloproteinase; MPE, malignant pleural effusion; NSCLC, non-small cell lung cancer; ORR, objective response rate; PFS, progression-free survival; PI3K, phosphoinositide 3-kinase; PLC, phosphoinositide phospholipase C; RR, overall response rate; STAT, signal transducer and activator of transcription; TNF, tumor necrosis factor; TTF-1, thyroid transcription factor-1; TTP, time to progression; VEGF, vascular endothelial growth factor; VEGFR, vascular endothelial growth factor receptor

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effusion (MPE) is one of the most common complications of advanced NSCLC, which is diagnosed by the presence of malignant cells in pleural effusion (3,4). The occurrence of MPE in patients with NSCLC often indicates an average life expectancy of ~3.3 months, depending on the subtypes of the tumor and its clinical stage (3,4). There is strong evidence to suggest that patients with lung cancer and MPE have a shorter survival time, whereas patients with MPE caused by other malignant diseases, including ovarian cancer and carcinoma of unknown primary, usually survive longer (3,4). Current therapeutic approaches for MPE in patients with NSCLC include chest puncture drainage, thoracic catheter drainage and intracavity chemotherapy (3,4); however, the clinical effects of these approaches are often poor and unsatisfactory.

Vascular endothelial growth factor (VEGF) is a family of endothelial growth factors, which includes VEGFA, -B, -C, -D and -E, and placental growth factor (5). As early as 1939, Ide *et al* (6) speculated that tumor cells may produce and release proangiogenic factors. Recognizing the role of the tumor microenvironment in tumorigenesis, in 1971, Folkman (7) proposed that 'tumor growth is angiogenesis dependent'. In addition, it was suggested that: i) Virtually all tumors would be restricted to a microscopic size in the absence of angiogenesis; ii) tumors would be revealed to secrete diffusible angiogenic molecules; and, iii) tumor dormancy would result from suppressed angiogenesis. In 1983, Senger *et al* (8) confirmed that the speculated 'vascular permeability factor' is a VEGF. Ferrara and Henzel (9) successfully isolated and purified the first VEGF in 1989 and demonstrated a critical role for VEGF as an important proangiogenic factor. Later in 1992, De Vries *et al* (10) identified the first receptor for VEGF. VEGF has now been recognized as the most important regulatory factor in tumor angiogenesis, participating in the entire process of tumor growth through its ability to stimulate tumor angiogenesis, activate host vascular endothelial cells and promote malignant proliferation with the increase of local essential oxygen and nutrients for tumor metastasis (11). It has been reported that VEGF is not only the most important angiogenic factor, but also a potent stimulator that increases vascular permeability and triggers endothelial cell migration (11,12). High expression levels of VEGF have been confirmed in various normal human tissues and an increased level of VEGF has been reported in the serum of patients with numerous types of cancer and in pleural effusions due to malignant diseases (12). Specifically, VEGF levels in MPE are closely associated with the clinical prognosis of patients with NSCLC; therefore, VEGF may be a critical pathological factor in the occurrence and development of MPE in patients with NSCLC (12,13). Notably, NSCLC cells can produce and secrete VEGF, promoting pleural effusion formation, angiogenesis and tumor metastatic progression (14). Improved understanding of the pathogenic mechanisms, coupled with novel local and/or systemic administration of drugs targeting VEGF, has the potential to improve the efficacy of current management strategies for MPE. The present review examined the role of VEGF in the pathophysiology, diagnosis and management of MPE in patients with NSCLC.

## 2. Diagnostic value of VEGF for MPE in patients with NSCLC

The gold standard for the diagnosis of MPE remains the detection of malignant cells in pleural effusion or in the tissues of a pleural biopsy (4,15). The MPE diagnostic methods include chest imaging, pleural fluid cytology and detection of tumor markers, pathological evaluation of pleural biopsy and molecular biotechnology (Table I). The sensitivity and specificity of these methods vary and possess certain limitations (4,15-26); more specific diagnostic methods with a higher sensitivity are therefore required.

The diagnostic methods for MPE measure total cell counts, individual cell counts, protein levels, lactate dehydrogenase, glucose and pH, in addition to microbiological and cytological measurements. A recent meta-analysis report summarized these routine tumor markers in pleural effusion for the diagnosis of MPE, including carcinoembryonic antigen (CEA), carbohydrate antigen (CA) 153, CA 19-9, CA 125 and cytokeratin 19 fragment (CYFRA 21-1) (17). In terms of specificity, a higher level of CEA in pleural effusion may rule out the possibility of malignant mesothelioma; CA 153, CA 19-9 and CYFRA 21-1 may have a high specificity but their sensitivity is relatively low (17). However, the combination of two or more tumor markers in pleural effusion can usually increase the sensitivity of the diagnosis (17). Recent developments in modern molecular biological technology have provided novel markers for the diagnosis of MPE. For example, an elevated level of thyroid transcription factor-1 (TTF-1) mRNA was detected in 73.2% of patients with MPE, with a sensitivity of 93% and a specificity up to 100%, whereas a high level of TTF-1 mRNA has not been reported in the pleural effusions of non-malignant patients (21-24).

Due to its high level in the pleural effusions of patients with MPE, VEGF has been implicated as an important marker with significant diagnostic value (17). Elevated mRNA expression levels of VEGF and endostatin in pleural effusion are more frequently detected in patients with MPE than in pleural effusions caused by non-malignant diseases (17); the sensitivity and specificity for elevated VEGF mRNA expression in MPE are 82.6 and 84.3%, respectively. The specificity for elevated endostatin mRNA expression in MPE is almost 100%. A fluorescence *in situ* hybridization-based approach has recently been established to reliably detect the copy number of VEGF mRNA in pleural cells from patients with MPE (20). In addition, the mRNA expression levels of VEGF in MPE samples from patients with NSCLC are usually significantly increased compared with in pleural effusion samples from patients with non-malignant diseases (17). In addition, increased VEGF mRNA expression, coupled with VEGF receptor (VEGFR) expression in pleural effusion, can significantly increase the diagnostic sensitivity of MPE in patients with NSCLC (18). It has been reported that a combination of elevated levels of VEGF and endostatin in pleural fluid can increase the diagnostic sensitivity of MPE in patients with NSCLC, particularly for the differential diagnosis of tuberculous pleural effusion (19). A combination of elevated levels of VEGF and CEA in pleural effusion can also increase the diagnostic sensitivity of MPE in patients with NSCLC (26). In addition, the serum levels of VEGF are associated with its level in pleural effusions in patients with NSCLC and MPE (17).

Table I. Diagnostic methods for MPE.

Author (year)	Method	Key measurement	(Refs.)
<b>Cell counts</b>			
Nam (2014) and Light (2011)	Lymphocytes	>50% of cases of MPE have higher lymphocyte count. Lymphocyte count >85% suggests tuberculous pleurisy, lymphoma, sarcoidosis, chronic rheumatoid pleurisy, yellow syndrome, or chylous pleurisy	(21,25)
Nam (2014) and Light (2011)	Red blood cells	Severe bloody effusions suggest MPE, in addition to benign asbestos pleurisy, heart injury syndrome, trauma and pulmonary infarction	(21,25)
Nam (2014) and Light (2011)	Eosinophils	12-24% of eosinophilic effusions (count >10%) are due to malignant tumors	(21,25)
<b>Chemical analysis</b>			
Nam (2014) and Light (2011)	Proteins and LDH	Light's criteria: Most MPE has secretions, 3-10% for exudative; however, LDH >1,000 IU/l indicates empyema, rheumatic pleurisy and paragonimiasis	(21,25)
Nam (2014) and Light (2011)	Glucose	15-20% of cases of MPE have a glucose level <60 mg/dl, which is also common for rheumatoid pleurisy, complicated pneumonia parietal effusions, tuberculous pleurisy, lupus pleurisy, or esophageal rupture. Lower levels of blood glucose in patients with MPE suggest a poor prognosis	(21,25)
Nam (2014) and Light (2011)	pH	30% of cases of MPE are associated with pH <7.30, low glucose levels are usually associated with a lower pH	(21,25)
Nam (2014) and Light (2011)	Amylase	10% of cases of MPE have MPE exhibit high amylase levels (>100 IU/l), which are associated with short-term survival. Not recommended as a routine test unless for differential diagnosis of pancreatic diseases or rupture of the esophagus	(21,25)
<b>Tumor markers</b>			
Sriram <i>et al</i> (2011), Nam (2014) and Liu (2013)	CEA	High levels can rule out mesothelioma, with a sensitivity of 54% and specificity of 94% for MPE	(18,21,26)
Sriram <i>et al</i> (2011), Nam (2014) and Liu (2013)	CA153, CA199 and CYFRA21-1	CA153, CA199 and CYFRA21-1 exhibit a high specificity; however, the sensitivity is relatively low for MPE	(18,21,26)
Sriram <i>et al</i> (2011), Nam (2014) and Liu (2013)	VEGF	Sensitivity ~75% and specificity ~70%	(18,21,26)
<b>Cytology and biopsy</b>			
Nam (2014)	Cytology	An ideal combination of immunohistochemical markers for MPE is not feasible; sensitivity varies for these immunohistochemical immunological markers	(21)
Nam (2014)	Biopsy	Recommended if cytology is negative. Image-guided biopsy puncture can improve the diagnostic rate, as it is possible to obtain biopsy tissues from pleural <5 mm thick	(21)
<b>Molecular biology</b>			
Nam (2014) and Palaoro <i>et al</i> (2007)	DNA copy number and sequence	The sensitivity of silver-stained nucleolar organizer protein is ~95%. The cytogenetic specificity is high but takes time and requires specialized cell culture and technical expertise. The fluorescence <i>in situ</i> hybridization technique includes chromosome karyotype analysis, CGH and CGH arrays	(21,24)

Table I. Continued.

Author (year)	Method	Key measurement	(Refs.)
Jiang <i>et al</i> (2008)	TTF-1	The detection rate of TTF-1 mRNA in MPE is ~70% and absent in benign disease. In tumors associated with MPE, TTF-1 mRNA sensitivity was 93%, specificity was 100%, and accuracy was 96.6%	(23)
Jiang <i>et al</i> (2008)	VEGF	VEGF and endostatin RNA levels are significantly higher in MPE than in benign effusions; a higher VEGF RNA was 82.6% sensitive and 84.3% accurate, and higher endostatin RNA was 100% specific	(23)
Nam (2014)	miRNA	Diagnostic value of miRNAs in MPE has not been investigated	(21)

CA, carbohydrate antigen; CEA, carcinoembryonic antigen; CGH, comparative genomic hybridization; CYFRA, cytokeratin 19 fragment; miRNA, microRNA; MPE, malignant pleural effusion; LDH, lactate dehydrogenase; TTF-1, thyroid transcription factor-1; VEGF, vascular endothelial growth factor.

### 3. The role of VEGF in the pathogenesis of MPE

MPE is the result of integrated interactions between host and tumor cells, as summarized by Stathopoulos and Kalomenidis (27). Many effector molecules, from either the host or tumor cells, are involved in its pathogenesis. These effectors can generally be classified into two categories: Immunoregulatory effectors and modulators that increase vascular permeability (Fig. 1). The immunoregulatory factors include interleukin (IL)-2, tumor necrosis factor (TNF) and interferons. Important modulators that induce vascular permeability are VEGF, matrix metalloproteinases (MMPs) and numerous others (28-30). Of these effector modulators, VEGF serves a central role in the accumulation of pleural effusion.

VEGF is a highly conserved homodimeric glycoprotein with a molecular weight ranging between 35 and 44 kDa. It has a broad range of biological functions, including stimulation of vascular proliferation, cellular differentiation, migration, survival and germ tube formation, and regulation of vascular permeability and angiogenesis (5,9,31). VEGF has numerous isoforms, including VEGFA, -B, -C and -D, and placental growth factor in humans, which can specifically bind to one or numerous types of the three VEGFRs (VEGFR1, -2 and -3) (31,32). Upon activation, the VEGFR undergoes autophosphorylation and subsequently activates cell type-dependent signaling cascades, including the phosphoinositide phospholipase C, mitogen-activated protein kinases (MAPKs), nitric oxide synthases and phosphoinositide 3-kinase, in addition to signal transducer and activator of transcription (STAT)3 and STAT5. Activation of distinct intracellular signaling pathways results in various outcomes associated with the regulation of vascular permeability depending on cell type or state, including induction of inflammatory responses and loss of intracellular integrity and gap formation (31). VEGF-VEGFR interactions can also activate downstream MAPK1 signal cascades to regulate endothelial cell proliferation and migration, and consequently promote tumor angiogenesis and metastatic progression (9,31). Several splicing variants of VEGF have been reported; for example, five VEGF forms of 121-206 amino acids are produced from a single gene by alternative splicing, each with different biological effects to promote neovascularization through distinct mechanisms (33). Therefore, VEGF may promote the occurrence and development of MPE in patients with NSCLC through two integrated mechanisms: By increasing vascular permeability (a direct effect) and by promoting angiogenesis (an indirect effect; Fig. 2).

Elevated VEGF expression has been well demonstrated in various tumor cells in humans, including pancreatic, stomach, colorectal, breast and prostate cancers, melanoma, and cancers of a number of other tissues (34). Patients with various types of lung cancer, particularly those with NSCLC, usually exhibit elevated VEGF expression levels in cancer cells (17-19). Numerous factors in the local tumor environment may contribute to the induction of VEGF expression in tumor cells, including the occurrence of hypoxia and the presence of various growth factors (including epidermal growth factor, transforming growth factor and insulin-like growth factor) and hormones (35,36) (Fig. 2). Among these factors, hypoxia can activate hypoxia-associated transcription factors and



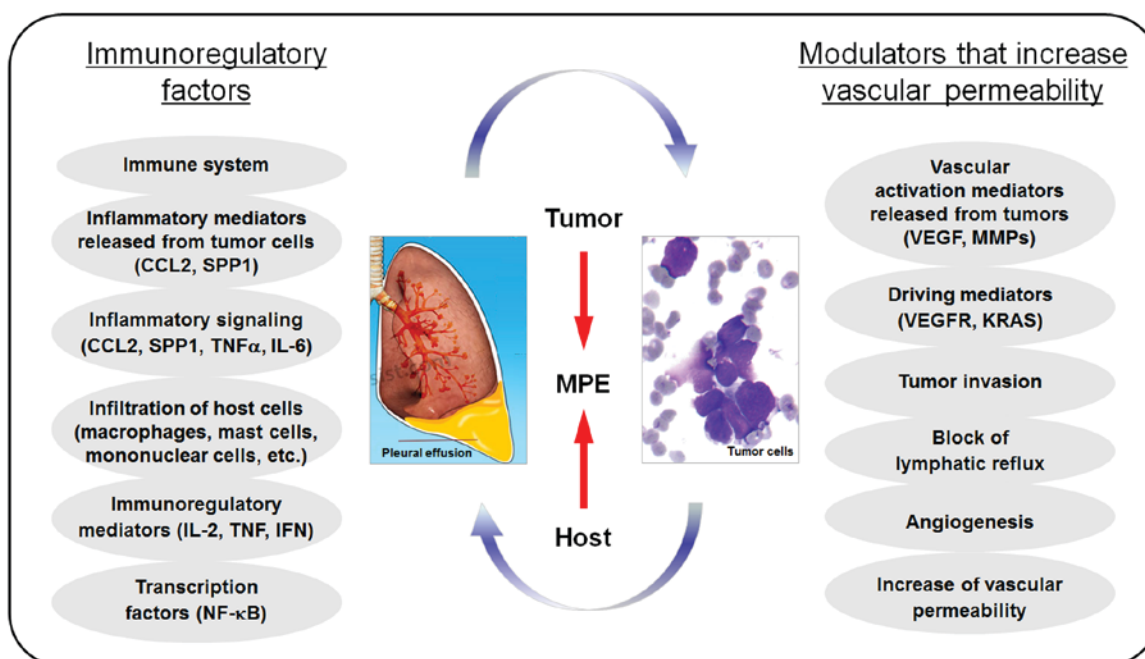


Figure 1. Pathogenesis of MPE. Numerous effector molecules, from either the host cells or tumor cells, are involved in the pathogenesis of MPE. These effectors can generally be classified into two categories. The first group of these effector molecules is important immunoregulatory factors, including IL-2, TNF and IFNs. The second group of effector molecules is effective modulators that increase vascular permeability, including VEGFs, MMPs and numerous others. CCL, C-C motif chemokine ligand; IFNs, interferons; IL, interleukin; KRAS, GTPase KRas; MMPs, matrix metalloproteinases; MPE, malignant pleural effusion; NF, nuclear factor; SPP, S1P phosphatase; TNF, tumor necrosis factor; VEGFs, vascular endothelial growth factors; VEGFR, VEGF receptor.

trigger the transcription of hypoxia-inducible genes (37). One hypoxia-inducible gene is hypoxia-inducible factor-1 (*HIF-1*); transcription of the *HIF-1* gene can be induced by hypoxia via transcriptional activation of the mechanistic target of rapamycin (33). Binding of HIF-1 to the regulatory promoter region of the *VEGF* gene can enhance transcription of the *VEGF* gene locus (38). Elevated levels of VEGF in the blood and in malignant tissues of patients with solid tumors are often associated with the expression levels of HIF-1 (33). Notably, increased levels of VEGF in MPE may also be caused by interactions between VEGF and VEGFRs, which further stimulate tumor cells and mesothelial cells alike to secrete VEGF (12). IL-6 can trigger transcription of the *VEGF* gene, whereas *IL-6* itself appears to be a VEGF-targeted gene in numerous cancer cells (39,40). This autocrine signaling-mediated IL-6 production can further promote the expression of VEGF in cancer, particularly in NSCLC cells (41) (Fig. 2).

#### 4. VEGF-targeted strategies for the management of MPE in patients with NSCLC

**Current MPE management.** Effective management of MPE remains a clinical challenge and current methods for the treatment of MPE in patients with NSCLC include diuretics, limited intake of salt, thoracic puncture, long-term catheter drainage and intrathoracic administration of chemotherapy drugs or biological agents (3,4). There remains a lack of consensus on which approach is most effective and what the dose, interval and course should be for the administration of the drugs (3,4). In addition, identification of the potential long-term effects and the best combination of drugs requires large samples of patients and randomized controlled clinical studies (3,4).

With an improved understanding of the pathogenesis of MPE, particularly the appreciation of a role for angiogenesis in tumor metastatic progression due to the development of vascular-dependent tumor growth theory since 1971, significant advances in the clinical management of MPE in patients with NSCLC have been made in recent years (3,4,7). Novel drugs targeting these effectors and signaling pathways for angiogenesis, coupled with the development of more effective anti-cell proliferation drugs, have provided new strategies for the clinical management of MPE in patients with NSCLC (7). Of these novel drugs, recombinant human endostatin (endostar) and the monoclonal VEGF antibody bevacizumab have demonstrated promising therapeutic benefits for patients with NSCLC and MPE (42).

**Endostar to target vascular endothelial cells.** In 1997, an endogenous glycoprotein with 184 amino acids (molecular mass, ~20 kDa) was isolated from mouse endosomes and was named endostatin by O'Reilly *et al* (43). Endostatin has been demonstrated to possess strong antivasculature activity, with limited side effects, and was able to almost completely inhibit tumor-induced angiogenesis in murine models (44). Subsequently, a recombinant human endostatin with an additional 9 amino acid sequence (MGGSHHHHH) added to the N-terminal of the protein was engineered; the product of this modification was named endostar (45). Such modification has been reported to significantly enhance the purification, solubility and stability of the protein (45). Endostar also has a longer half-life than endostatin, with much improved medicinal properties and efficacy, resulting in a significant improvement of its biological function (45). It is now a common angiogenesis antagonist used to treat lung cancer; in particular, it is used

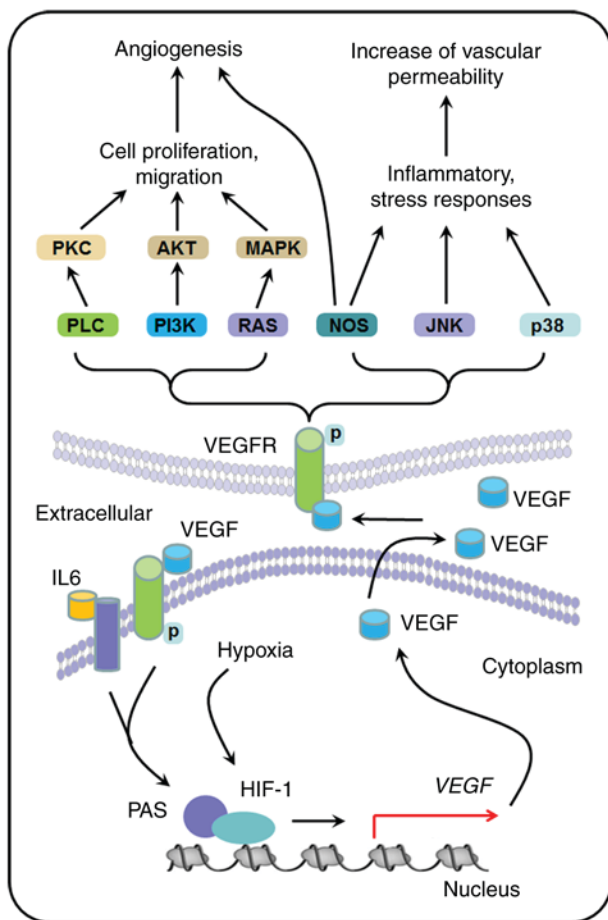


Figure 2. VEGF signaling pathways and their role in the pathogenesis of MPE. VEGF increases vascular permeability and promotes tumor angiogenesis by binding to one of its three receptors VEGFR1, -2 and -3 on vascular endothelial cells. Upon activation, the VEGFR undergoes phosphorylation and subsequently activates cell type-dependent signaling cascades, including PLC, PI3K, NOS and MAPKs. Many factors of the local tumor environment may contribute to the induction of VEGF expression in tumor cells, including the occurrence of hypoxia and presence of various growth factors (e.g., VEGF and IL-6). Hypoxia can activate hypoxia-associated transcription factors, including HIF-1, resulting in transcription of the *VEGF* gene. Through its role in regulation of vascular permeability and angiogenesis, VEGF serves a central role in the accumulation of pleural effusion in tumor patients. HIF-1, hypoxia-inducible factor-1; IL, interleukin; JNK, c-jun NH2-terminal kinase; MAPKs, mitogen-activated protein kinases; MPE, malignant pleural effusion; NOS, nitric oxide synthases; PAS, pathway activation signature; PI3K, phosphoinositide 3-kinase; PKC, protein kinase C; PLC, phosphoinositide phospholipase C; VEGF, vascular endothelial growth factor; VEGFR, VEGF receptor.

for the management of relapse and metastasis in patients with NSCLC (46), and has been widely used in clinical practice to treat other tumors, including squamous cell carcinoma (47).

**Antiangiogenic mechanisms of endostatin and endostar.** The process of tumor angiogenesis is finely regulated by a complex interaction between proangiogenic growth and antiangiogenic factors (5,11,12). Given the wide variety of antitumor growth effects of endostatin, the following mechanistic themes have been speculated for the antiangiogenic effects of endostatin/endostar. Firstly, endostatin/endostar has been demonstrated to act on the endothelial cells of newly formed blood vessels, inhibit endothelial cell migration and induce endothelial cell apoptosis, thus resulting in limited tumor

growth (47). Secondly, endostatin/endostar can downregulate the expression of Neuropilin-1 and VEGFA, which are two potent proangiogenic growth factors in numerous types of tumor due to their actions in triggering endothelial cell proliferation (48). Thirdly, endostatin/endostar can interact with the heparin sulfate proteoglycan receptors and block the receptor binding of proangiogenic growth factors, including VEGF and  $\beta$  fibroblast growth factor, thus resulting in inhibition of endothelial cell proliferation and tumor angiogenesis (49). Fourthly, endostatin/endostar has been reported to specifically recognize and bind to integrin  $\alpha 5\beta 1$  and  $\alpha V\beta 3$  on the endothelial cell surface (50). Such interactions may prevent the adhesion of endothelial cells in extracellular matrix-mediated migration, induce tyrosine phosphorylation of adhesion focal kinase and paxillin to promote elastic fiber formation, and consequently inhibit tumor cell adhesion and metastatic progression (50). Finally, endostatin/endostar can inhibit the transcription of *MMP2* and *MMP9* genes (51). In addition, recent studies using murine models have revealed that overexpression of endostatin may result in downregulation of the *VEGFC* gene, and inhibition of lymphangiogenesis and tumor metastasis to nearby lymph nodes (52). Therefore, recombinant human endostatin/endostar is a potent antiangiogenic drug with a wide range of potential research prospects.

**Endostatin/endostar in the clinical management of MPE in patients with NSCLC.** Endostar has been used in clinical practice for numerous years for the treatment of advanced NSCLC in combination with vinorelbine and cisplatin (53,54). Emerging evidence suggests that endostar in combination with chemotherapy (vinorelbine plus cisplatin) can prolong the time to progression (TTP), and improve the overall response rate (RR) and clinical benefit rate (CBR) with a favorable toxic profile in patients with advanced NSCLC (55). One phase III clinical trial was carried out between April 2003 and July 2004 to investigate the therapeutic effects of endostar in combination with chemotherapy (vinorelbine plus cisplatin) on advanced NSCLC; a total of 486 patients were recruited for the study from 24 medical centers across the country (55). The results demonstrated that the RRs of the experimental group and the control group (NP) were 35.4 and 19.5% ( $P < 0.001$ ), the CBRs were 73.3 and 64.0% ( $P < 0.05$ ), and the median TTPs were 6.3 and 3.6 months ( $P < 0.001$ ), respectively. For patients with relapsed NSCLC, the RRs of the endostar in combination with chemotherapy group and the control group were 23.9 and 8.5% ( $P < 0.01$ ), the CBRs were 65.2 and 61.7% ( $P = 0.68$ ), and the median TTPs were 5.7 and 3.2 months ( $P < 0.001$ ), respectively. No significant difference was identified in the incidence of moderate to severe adverse reactions in patients between the endostar in combination with chemotherapy group and the control group (55).

Endostar has been used via intracavity injection for the treatment of patients with malignant serous effusions, including MPE and malignant peritoneal effusion. Evidence indicates that endostar alone or in combination with chemotherapy drugs is safe and effective for the treatment of malignant serous effusions in patients with cancer (56-69). Only a few of these clinical studies will be discussed in the present study and others are summarized in Table II. Results from a recent randomized controlled clinical study (56), with

Table II. Endostatin/endostar in the clinical management of MPE in patients with NSCLC.

Author (year)	Groups	Cases	Efficacy (%)	Clinical outcome	(Refs.)
Qin (2016)	I: Cisplatin (50 mg/m <sup>2</sup> )	42	I: 47.62 II: 76.19	Improved quality of life: Group I, 47.62%; Group II, 42.86%	(62)
	II: Cisplatin (50 mg/m <sup>2</sup> ) + Endostar (60 mg)				
Xu <i>et al</i> (2014)	I: Nedaplatin (60 mg) + Endostar (60 mg)	70	I: 74.28 II: 48.57	Quality of life improved significantly, no significant difference in adverse reactions	(63)
	II: Nedaplatin (60 mg)				
Huang (2014)	I: Cisplatin (50 mg/m <sup>2</sup> )	50	I: 48 II: 78	Quality of life improved significantly, no significant difference in adverse reactions	(64)
	II: Cisplatin (50 mg/m <sup>2</sup> ) + Endostar (30 mg)				
Yang <i>et al</i> (2013)	I: Cisplatin (40 mg/m <sup>2</sup> )	42	I: 42.86 II: 80.95	Quality of life improved significantly, no significant difference in adverse reactions	(65)
	II: Cisplatin (40 mg/m <sup>2</sup> ) + Endostar (30 mg)				
Liu <i>et al</i> (2010)	I: Cisplatin (40 mg/m <sup>2</sup> )	96	I: 43.75 II: 40.63 III: 78.13	Improved quality of life: Group I, 40.63%; Group II, 36.38%; Group III, 56.25%	(66)
	II: Endostar (60 mg/m <sup>2</sup> )				
	III: Cisplatin (40 mg/m <sup>2</sup> ) + Endostar (60 mg)				
Huang (2010)	I: Cisplatin (60 mg/m <sup>2</sup> )	36	I: 43.75 II: 77.8	Quality of life improved significantly, no significant difference in adverse reactions	(67)
	II: Cisplatin (60 mg/m <sup>2</sup> ) + Endostar (45 mg)				
Li (2014)	I: Cisplatin (60 mg/m <sup>2</sup> )	42	I: 42.86 II: 80.95	Improved quality of life: Group I, 52.38%; Group II, 76.19%	(68)
	II: Cisplatin (60 mg/m <sup>2</sup> ) + Endostar (45 mg)				
Tu (2014)	I: Cisplatin (40 mg/m <sup>2</sup> )	90	I: 51.11 II: 82.22	Quality of life improved significantly, no significant difference in adverse reactions	(69)
	II: Cisplatin (40 mg/m <sup>2</sup> ) + Endostar (45 mg)				

MPE, malignant pleural effusion; NSCLC, non-small cell lung cancer.

Table III. Bevacizumab in the clinical management of malignant pleural effusion in patients with non-small cell lung cancer.

Author (year)	Groups	Cases	Efficacy (%)	Clinical outcome	(Refs.)
Lin <i>et al</i> (2010)	I: Cisplatin + Bevacizumab II: Cisplatin	94	I: 70.2 II: 44.7	Significant improvement of quality of life, with very mild side effects	(76)
Chi <i>et al</i> (2016)	I: Pemetrexed + Carboplatin II: Pemetrexed + Carboplatin + Bevacizumab	46	I: 65.21 II: 86.96	Significant improvement of quality of life, with very mild side effects	(77)
Chen and Xia (2015)	I: Cisplatin + Bevacizumab II: Cisplatin	54	I: 85.7 II: 69.2	Significant improvement of quality of life, with very mild side effects	(78)
Qu <i>et al</i> (2015)	I: Cisplatin + Bevacizumab II: Cisplatin	63	I: 84.3 II: 61.3	Significant improvement of quality of life, with very mild side effects	(79)
Huang (2016)	I: Cisplatin + Bevacizumab II: Cisplatin	73	I: 81.08 II: 58.33	Significant improvement of quality of life, with very mild side effects	(80)
Han <i>et al</i> (2013)	I: Pemetrexed + Carboplatin + Bevacizumab II: Pemetrexed + Carboplatin	42	I: 85.0 II: 68.2	Significant improvement of quality of life, with very mild side effects	(81)
Liu <i>et al</i> (2016)	I: Bevacizumab (d1, pleural cavity) + Cisplatin (d1, d3)/Pemetrexed (d1) II: Cisplatin (d1, d3)/Pemetrexed (d1)	84	I: 83.3 II: 64.29	Significant improvement of quality of life, with very mild side effects	(82)

participation from numerous institutions, provided strong evidence that endostar is effective for the treatment of MPE. In addition, Qin *et al* (56) compared the efficacy of endostar on MPE between patients treated with endostar or cisplatin alone, or with the combination of the two drugs. The analysis revealed that either endostar alone or in combination with cisplatin is effective and safe for the treatment of patients with malignant cavity effusion, with objective response rates (ORRs) of 51, 49 and 36%, for the combination-treated group, endostar-treated group and cisplatin group, respectively. Specifically, for patients with MPE, the ORRs were 62, 58 and 38% for the combination-treated group, endostar-treated group and cisplatin group, respectively. For patients with ascites, the ORRs were 39, 42 and 32%, respectively (56). These effects of endostar on MPE are supported by results of a similar clinical report by Hu *et al* (57). Similarly, 63.6% of patients with MPE demonstrated improvement following treatment with endostar in combination with cisplatin, which was significantly better than cisplatin treatment alone (40.6%;  $P=0.022$ ). The average progression-free survival (PFS) time for patients with MPE who received treatment with endostar in combination with cisplatin was 95 days, which was significantly longer than that of patients treated with cisplatin alone (PFS, 53 days;  $P=0.039$ ) (57). Endostar has also been demonstrated to inhibit ascites formation and prolong survival in mouse models of malignant ascites established using S180 and H22 tumor cells (58). The tumor cells collected from the ascites in endostar-treated mice demonstrated a decrease in the expression of VEGF mRNA (58). In addition, treatment of S180 and H22 tumor cells with endostar revealed a significant inhibition of VEGF protein secretion and VEGF mRNA expression, but no effect on cellular proliferation (58). The inhibitory effects of recombinant human endostatin/endostar on tumor growth have also been reported in other cancer types, including ovarian cancer, malignant melanoma and colon cancer, and in liver transplantation-associated angiogenesis (59).

Despite the general inhibitory effects of endostatin/endostar on tumor progression, and on MPE in patients with NSCLC, opinions on the best dosage and administration, and the duration of treatment remain controversial. In most stage II-IV clinical studies, endostar was administered at 30-60 mg/m<sup>2</sup>, intravenously for 3-4 h/day for 1-14 days. Increasing evidence indicates that the antitumor effects of endostar are time- and dose-dependent; prolongation of administration time and a gradual increase in its blood level can improve its antitumor effects (47). When it was administered intraperitoneally (i.p.) in a single bolus dose to tumor-bearing mice, endostatin was rapidly cleared in the tumor tissues within 2 h, whereas endostatin administered continuously via implanted mini-osmotic pump maintained systemic concentrations of 200-300 ng/ml for the duration of administration (42). In addition, continuous i.p. administration of endostatin resulted in more effective tumor suppression at significantly reduced doses (5-fold) compared with bolus administration (42). Results of clinical studies have also demonstrated that the antitumor effects of continuous i.p. administration of endostatin/endostar are better compared with the same dose in short-term intravenous administration (60,61). Continuous administration via



implanted osmotic pump may be able to maintain a stable plasma concentration of endostatin/endostar, so that it can persistently act on newly-formed vascular endothelial cells, resulting in a sustained and constant treatment effect (60). Therefore, continuous administration via an implanted mini-osmotic pump provides a novel method to further improve the therapeutic effects of endostatin/endostar on MPE in patients with NSCLC.

**Bevacizumab to target VEGFA.** The identification and isolation of VEGFA in 1989 provided a novel avenue for the development of antiangiogenic strategies (9). Consequently, a recombinant humanized monoclonal anti-VEGFA antibody, termed bevacizumab, was generated to block angiogenesis by inhibiting VEGFA. In 2006, the USA Food and Drug Administration approved bevacizumab for use in first-line treatment for advanced nonsquamous NSCLC in combination with carboplatin/paclitaxel chemotherapy. Results of the BEYOND study led by Qingcun Zhou at Tongji University suggested that bevacizumab is safe and effective for the treatment of patients with advanced or recurrent nonsquamous NSCLC in China, including patients with the epidermal growth factor receptor mutation (70). Bevacizumab was then approved by the Chinese Food and Drug Administration for NSCLC in China on July 1, 2015, providing an additional choice for the treatment of MPE in patients with NSCLC.

**The antiangiogenic mechanism of bevacizumab.** Acting by promoting the formation of new blood vessels and increasing vascular permeability, VEGFA is one of the most important key mediators for the development of MPE, including in patients with NSCLC (12). VEGFA has been demonstrated to induce inflammatory responses and disrupt cell-cell connections to increase vascular permeability and, consequently, promote tumor cell migration. VEGFR1 and VEGFR2, two receptor tyrosine kinases, are the receptors for VEGFA on endothelial cells (71). VEGFR2 may be more important than VEGFR1 for VEGFA-mediated endothelial cell proliferation, angiogenesis and vascular permeability (71). Upon ligation by VEGFA, VEGFR2 can be activated through receptor dimerization and autophosphorylation, thus resulting in activation of various downstream signal cascades (71). Bevacizumab can block the binding of VEGFA to its receptors and thus inhibit activation of the downstream signaling pathways (72,73).

**Bevacizumab in the clinical management of MPE in patients with NSCLC.** Preclinical evidence suggests that bevacizumab can reduce vascular permeability and decrease the formation of pleural effusion (12). The efficacy of bevacizumab in combination with paclitaxel/carboplatin in the treatment of advanced nonsquamous NSCLC with MPE without chemotherapy was studied in Japan, in a multi-center, clinical phase II prospective study (74). After 2-6 cycles of treatment with bevacizumab in combination with paclitaxel/carboplatin, it was demonstrated that patients exhibited an ORR of 60.8% and a disease control rate of 87.0%; the disease control rate of MPE was higher compared with in patients who received paclitaxel/carboplatin chemotherapy alone (with a disease control rate of 78.3%) (74). Data from two retrospective studies also confirmed that bevacizumab combined with

chemotherapy drugs through intravenous injection can effectively control MPE in patients with nonsquamous NSCLC, with a MPE control rate of 92.3% and a MPE release rate of  $\leq 71.4\%$  (4). The efficacy of bevacizumab combined with platinum through local pleural administration in the treatment of MPE was investigated by Hsu *et al* (75). The results indicated that local pleural administration of bevacizumab plus cisplatin, alongside the systemic administration of paclitaxel, resulted in a much higher ORR (83.3 vs. 50.0%;  $P < 0.05$ ), compared with that in patients who received systemic administration of paclitaxel plus local cisplatin only (75). Patients in the first group demonstrated a significant reduction in the amount of pleural effusion, accompanied with a markedly improved quality of life, and tolerated the treatment well (75). Substantial additional clinical studies have all reported that bevacizumab is safe and effective for the treatment of MPE in patients with NSCLC (Table III) (76-82). Therefore, local administration of bevacizumab in the pleural cavity plus systemic administration of chemotherapy drugs may effectively control MPE in patients with advanced nonsquamous NSCLC.

## 5. VEGF in the prognosis of MPE in various subtypes of NSCLC and in patients with advanced NSCLC

VEGF can promote the occurrence and development of MPE in patients with NSCLC directly (via increasing vascular permeability) and indirectly (via promoting angiogenesis and tumor migration). Accordingly, the therapeutic efficiency of VEGF-targeted strategies for the management of MPE in patients with NSCLC depends on their inhibitory effects on vascular permeability and tumor angiogenesis. The most common types of NSCLC are squamous cell carcinoma, large cell carcinoma and adenocarcinoma, but there are several other types that occur less frequently. All types can occur in unusual histological variants and as mixed cell-type combinations and thus vary in metastatic features and VEGF-VEGFR functional signatures (47). Therefore, various types of NSCLC may differ in their response to VEGF-targeted strategies for the management of associated MPE. Nevertheless, although detailed information is limited in the current literature and more comprehensive clinical studies are required, it appears that endostar and bevacizumab are effective for the treatment of MPE in most NSCLC types, including squamous cell carcinoma and adenocarcinoma (83-85).

It is increasingly clear that levels of VEGF in pleural effusion may be one of the critical indicators of the prognosis of MPE in patients with advanced NSCLC, and anti-VEGF therapy is of important therapeutic value (47). Firstly, VEGF is overexpressed in the majority of patients with advanced NSCLC and MPE, and VEGF levels in pleural effusion are increased and associated with the prognosis of patients with advanced NSCLC and MPE (17-20). A higher concentration of VEGF in pleural effusion implies a higher risk of distant metastasis for patients with NSCLC (75). Specifically, it has been demonstrated that the levels of VEGF and endostatin in pleural effusion, together with the serum levels of endostatin, are prognostic parameters for patients with advanced NSCLC and MPE (17-20,86,87). Secondly, anti-VEGF therapy is safe and effective for patients

with advanced NSCLC (47). In a number of phase II trials in patients with advanced metastatic NSCLC, the addition of bevacizumab to standard carboplatin/paclitaxel chemotherapy significantly increased the TTP and increased the RR when compared with chemotherapy alone. This was particularly impressive in the subset of patients with non-squamous histology. Bevacizumab is generally well tolerated and does not appear to increase the incidence or severity of nausea/vomiting, neuropathy and renal toxicity, which are typically associated with carboplatin/paclitaxel chemotherapy (74,88-91). Nevertheless, although bevacizumab improves outcomes when added to platinum-based chemotherapy in advanced-stage non-squamous NSCLC, a recent phase III trial study demonstrated that the addition of bevacizumab to adjuvant chemotherapy did not improve overall survival for patients with surgically resected early-stage NSCLC (92). In the future, bevacizumab may be used alongside novel molecular therapies or immuno-oncology drugs, in order to optimize RRs and overcome resistance in patients with advanced NSCLC (93).

## 6. Conclusion and perspectives

VEGF is of great significance to the diagnosis and clinical treatment of MPE in patients with NSCLC. With the recent development of molecular biological technology, great advances have been made in the diagnosis of MPE, including biochemical analysis, cytopathology and imaging examination of pleural effusion. Notably, the pathogenesis of MPE involves numerous factors and complex molecular mechanisms. With an improved understanding of the role for VEGF in the development of MPE, particularly in patients with NSCLC, targeting VEGF has provided a novel strategy for the diagnosis and treatment of patients with MPE. Since approval of the clinical use of endostar and bevacizumab, substantial clinical studies have been conducted worldwide. Results from these studies have provided strong evidence to suggest that endostar and bevacizumab are safe and effective for the treatment of MPE, particularly in patients with NSCLC. With treatment, patients with NSCLC and MPE not only exhibited an improved quality of life but also, to a certain extent, an improved survival rate. It has been speculated that additional clinical studies, particularly well-controlled ones with a larger number of patient cases currently ongoing, may provide additional comprehensive insights for the enhanced judgment of the efficacy of targeting VEGF in patients with NSCLC and MPE.

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## Authors' contributions

YC, NWM and HL wrote the paper. All authors read and approved the final manuscript.

## Ethics approval and consent to participate

Not applicable.

## Consent for publication

Not applicable.

## Competing interests

The authors declare that they have no competing interests.

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