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Research paper

Evidence of the oldest extant vascular plant (horsetails) from the Indian Cenozoic



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ABSTRACT

Equisetum (Equisetaceae) has long been a focus of attention for botanists and palaeontologists because, given its extensive and well-documented fossil record, it is considered the oldest extant vascular plant and a key element in understanding vascular plant evolution. However, to date, no authentic fossil evidence of Equisetum has been found from the Indian Cenozoic. Here, we describe a new fossil species, namely, E. siwalikum sp. nov., recovered from the middle Siwalik (Late Miocene) sediments of Himachal Pradesh, western Himalaya. We identified fossil specimens based on morphological and epidermal characters. In addition, X-Ray diffraction (XRD) analysis was used to determine the mineral composition of compressed stems of Equisetum. The close affinity of our recovered Siwalik fossils to Equisetum is supported by the presence of both macromorphological and epidermal characters. Because Equisetum generally grows in wet conditions around water reservoirs, our findings indicate that the fossil locality was humid and surrounded by swamp and lowland regions during deposition. Ample fossil evidence indicates that this sphenopsid once existed in the western Himalaya during the Siwalik period. However, at present Equisetum is confined to a particular area of our fossil locality, probably a consequence of severe environmental changes coupled with competition from opportunistic angiosperms. Our discovery of Equisetum fossils in appreciable numbers from the Siwalik sediments of the Himachal Himalayas is unique and constitutes the first reliable recognition of Equisetum from the Indian Cenozoic.

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1. Introduction

Horsetails, the unique survivors of a very ancient group of vascular plants, the Sphenophyta, have a history reaching back to the Upper Devonian and may represent the world's oldest fossil genus of living vascular plants (Stewart and Rothwell, 1993). *Equisetum* L. is the only living, free-sporing morphologically distinct genus of sphenophyte (PPG I, 2016). As the sole living representative of the ancient group of Sphenopsida (Hauke, 1963; Wu and Qin, 1991; Taylor and Taylor 1993; Taylor et al., 2009), fossil evidence of *Equisetum* has long been sought after by botanists and palaeontologists, as it may be a key to understanding the paleoenvironment.

Extant species of *Equisetum* are herbaceous in nature (small annual herbs) (Wu and Qin 1991; Zhang et al., 2007; Aung et al.,

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2020). These plants have unique morphological features, including articulated stems, with longitudinal ridges or furrows, enclosed within leaf sheaths (Taylor and Taylor, 1993). Some species, such as *E. giganteum* L. and *E. myriochaetum* Schltdl. & Cham., grow up to 5 and 8 m in height respectively (Hauke, 1963). They primarily grow in wet areas such as moist woods, ditches, wetlands, and road fill where sufficient groundwater is available (Hauke, 1990). *Equisetum* has a sub-cosmopolitan distribution, ranging from southern portions of South America and Africa to above the Arctic Circle, but no modern representative is found in Australia, New Zealand, or Antarctica (Scagel et al., 1984; Hauke, 1990). Present-day *Equisetum* species are also notably absent from the islands of the central Pacific, Indian, and South Atlantic oceans (Schaffner, 1930). The greatest concentration of *Equisetum* species is found between 40° and 60° North latitude.

Molecular studies have traditionally divided *Equisetum* into two sub-genera: *Equisetum* and *Hippochaete* (Hauke, 1963; Des Marais et al., 2003; Guillon, 2004; Zhang, 2004; Li, 2007; Zhang et al., 2007; Elgorriaga et al., 2018). The sub-genus *Equisetum* is characterised by wide, superficial, scattered, or two-more banded

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Fig. 1. General location of Siwalik formation and fossiliferous exposure. (A) map showing the present fossil locality (*after* Brozovick and Burbank, 2000; HP—Himachal Pradesh reentrant, J—Jhelum reentrant); (B) a fossiliferous exposure from where fossil *Equisetum* specimens were recovered.

stomata; non-apiculate cones, and annual regularly branched stems, whereas sub-genus *Hippochaete* is characterised by sunken stomata arranged in single lines; apiculate cones and perennial unbranched stems (Hauke, 1990; Cullen and Rudall, 2016). Christenhusz et al. (2019) proposed a new sub-genus, *Paramochaete* Christenh. and Husby, based on phylogenetic studies of four plastid DNA regions.

Fossil Equisetales were herbaceous and had the same basic body plan as present-day horsetails (Behrensmeyer, 1992). Equisetopsida first appeared in the late Devonian and diversified during the Carboniferous period. However, during the Triassic Equisetum became less diverse and limited its herbaceous growth, likely due to the increasingly dry, arid to semi-arid conditions (Behrensmeyer, 1992). In the Jurassic, Equisetales habitats were decimated and plants became smaller (Schaffner, 1930). During the Cenozoic period, Equisetales again diversified, although the rapid rise of angiosperms and the success of ferns, cycads, and conifers in dry sites may have led to the decrease in Equisetum stem size and an absence of secondary growth (Schaffner, 1930; Koske et al., 1985; Stewart and Rothwell, 1993). The oldest fossil of the sub-genus Equisetum is E. bryanii Gould, which has well-preserved stems and leaf sheaths, from the Jurassic of south-eastern Queensland (Gould, 1968). Some fossilized tuberous rhizome parts of Equisetum have also been reported from Asia (Guo, 2000; Zhou et al., 2003; Sun et al., 2013; Yang et al., 2016; Deng et al., 2020), Europe (Denk et al., 2005), and North America (Skog and Dilcher, 1994).

To date, one fossil species of *Equisetum (Equisetitis sahnii* Borkar et al.) has been reported from the Decan Intertrappean Beds (Late Cretaceous to Early Palaeocene) of Madhya Pradesh, Central India (Borkar et al., 2017). Unfortunately, no reliable fossil evidence has been reported from the Cenozoic sediments of India. Mehrotra et al. (2009) have reported a fragmentary stem impression from the Oligocene sediments of Assam and compared them with the stem of modern *Equisetum*; but proper identification was not definitive. We have discovered *Equisetum* fossils in appreciable number (thirteen well-preserved impressed and compressed fossilized parts of the jointed stem with nodes and internodes and leaf sheaths) from the middle part of Siwalik (Late Miocene) sediments of Himachal

Pradesh, the western Himalayas. Here, we describe a new fossil species of *Equisetum* based on morphological and epidermal characters, compare our fossil specimens with modern as well as earlier reported fossil species of *Equisetum*, review the Cenozoic fossil history of *Equisetum* in detail, and discuss the palaeoecological implications of our discovery. Our fossil finding constitutes the first recognition of *Equisetum* from Indian Cenozoic. Despite the rich paleobotanical heritage of the Siwalik period, our fossils are the only record of equisetaleans in Siwalik. This discovery also constitutes the youngest known *Equisetum* fossils from India.

2. Materials and methods

2.1. Materials and locality

The fossil specimens (impression-compression of stems with nodes and internodes enclosed with leaf sheaths with parts and counterparts and an impression of strobilus) similar to *Equisetum* described in the present study were collected from the middle Siwalik beds exposed in a northeast roadside road cutting section (31°44′26″N, 76°43′33″E) near Sarkaghat town, which is located about 60 km from the district headquarters at Mandi District of Himachal Pradesh, western India (Fig. 1).

2.2. Age of the fossil materials

The middle Siwalik succession has been dated to the Late Miocene (Brozovic and Burbank, 2000). About 8–10 km from our locality is the Nalad Khad section ($31^{\circ}46'N$, $76^{\circ}43'E$), which is located on the western limb of the Sarkaghat anticline and in the Jawalamukhi thrust sheet. Brozovic and Burbank (2000) palaeomagnetically dated (~12–8 Ma) the Nalad Khad section.

2.3. Sedimentary context

The middle Siwalik succession essentially consists of thick medium to fine-grained sandstone bodies separated by red or grey mudstone beds (Fig. 2). A sharp erosive base of the fining-upward sandstone units, often marked by intraformational conglomerate layers and capped by 4–12 m thick red mudstone with calcareous paleosols indicates the fluvial origin of the middle Siwalik succession. The sandstones are 5–11 m thick, amalgamated to form sandstone bodies up to 40 m thick. The sandstone-red mudstone succession is interlayered with a few grey mudstone layers (14–22 m thick). The well-preserved fossil specimens of *Equisetum* are mostly recovered from these grey mudstones. About 300 m of the middle Siwalik succession were logged for details of the lithologic characters and to show the position of the fossil locality (Fig. 2).

2.4. Preparation and morphological study

Fossil specimens were recovered by manual laceration of large fossiliferous sedimentary rock with the aid of heavy sledgehammers and chisels. We cleaned specimens carefully with a wet paintbrush and extra flakes of sediments were scratched by a fine sharp needle. Fossil specimens were first observed with a hand lens and photographed by a digital camera (Canon EOS 1500D) (Figs. 3–9 and S1). We identified fossil specimens based on a detailed morphological study using an optical light microscope and epidermal characters using inverted fluorescence microscopy. The characteristic morphological details (e.g., ridges, furrows, leaf sheath, sheath leaf teeth) were observed on a stereo zoom microscope (Olympus SZX7; Maycam DC5 camera). Morphological characters were measured by ImageJ software (v.1.44p; National Institutes of Health, Bethesda, Maryland, USA). The ridges of the fossil stems bearing fine longitudinal striae, whorled leaf sheaths, the shape of teeth, and details were drawn in CorelDraw v.2021 and edited with Adobe Photoshop software (Figs. 3–9). The terminology for the morphological descriptions of fossil specimens follows Pole and McLoughlin (2017) and Chen et al. (2021). For descriptions of epidermal anatomy, we follow the terms of Thomasson (1980) and Brown (1977). We compared current fossils with modern species of Equisetum using digital herbarium catalogues, viz., (Kew herbarium catalogue. https://apps.kew.org/herbcat/gotoCiteUs.do. accessed on 28-08-2022), United States National Herbarium (PteridoPortal. 2022. https://www.pteridoportal.org. accessed on 30-08-2022; STRI Research Portal. https://panamabiota.org/stri/taxa/index. accessed on 02-09-2022), and the Florida Plant Atlas (http://florida. plantatlas.usf.edu. accessed on 04-09-2022) (Table S1). Author citation of a new plant fossil species is mainly after Punt (1994). The recovered fossil specimens (SKBU/PPL/HP/P/E1-21) are kept in the Department of Botany, Palaeobotany and Palynology Laboratory, Sidho-Kanho-Birsha University, Purulia, India.

2.5. Inverted fluorescence microscopic study

The fluorescence technique identifies the cuticular characters for the taxonomic identification of plant macrofossils (Hu et al., 2016) and has an advantage for fossil research because the fossil material does not need to be chemically treated and will not be damaged (Kerp, 1990). In our study, inverted fluorescence microscopy was used to investigate the epidermal features (epidermal cells and stomata) of compressed leaf sheath specimens (SKBU/PPL/HP/PI21) (Fig. 10). We used a Carl Zeiss, Axio Vert microscope with transmitted light: halogen lamp, LED (wavelength 400–700 nm, peak at 460 nm); reflected light: HBO 50, HBO 100, HXP 120 C, LED modules (wavelength, nm): 365, 385, 420, 445, 455, 470, 505, 530, 590, 615, 625 or neutral white: 540–580 nm.

2.6. X-ray diffraction (XRD) analysis

XRD analysis was used to study the mineral composition of *Equisetum* compressed stems (Table S2). The stem fossil sample

(SKBU/PPL/HP/P/E5) was sectioned to approximate thickness with a jeweler's saw. After that sectioned fossilized stem cuticle samples were chipped with a small hammer. Small chipping particles were washed with distilled water and dried for 4 h in a hot air oven at 60 °C. After that the sample was crushed and powdered using a mortar and pestle. A 2–3 mg powdered sample was taken for XRD analysis. X-ray diffraction was carried out on a Panalytical X'Pert Pro diffractometer. The instrument was equipped with a Cu Kα source, capable of high-resolution and lower-resolution measurements. The divergence slit size was 1.9076° and phase identification was made by X'pert High Score plus software.

3. Results

3.1. Systematics

Division: Pteridophyta Schimp. in K.A. Zittel, 1879–1890. Class: Polypodiopsida Cronquist, Takht. and W. Zimm., 1966 Order: Equisetales DC. ex Bercht. and J. Presl, 1820 Family: Equisetaceae Michx. ex DC, 1804 Genus: *Equisetum* L., 1753 Subgenus: *Equisetum* L., 1753 Species: *Equisetum siwalikum* Kundu, Hazra et Khan sp. nov. Holotype: SKBUH/PPL/HP/E1 (Fig. 3A) Additional specimens: SKBUH/PPL/HP/E2–22 (Figs. 3C–E; 4D, E;

5A; 6A–D,F,G; 8C; 9D; Figs. S1A–G)

Repository: Palaeobotany and Palynology Laboratory, Department of Botany, Sidho-Kanho-Birsha University, Purulia.

Type locality: Road cutting section (31°44′26″N, 76°43′33″E) along the Sarkaghat-Dharmpur road near Sarkaghat area in Mandi district, Himachal Pradesh, western India.

Type horizon: Middle part of the Siwalik succession of sediments (Late Miocene)

Etymology: The Latin specific epithet '*siwalikum*' is chosen for the Siwalik deposits from where fossil specimens were recovered.

Specific diagnosis

Preserved articulated stem 3–4 mm in wide, composed of nodes and internodes, with longitudinal ridges and furrows; nodes enclosed with distinct leaf sheaths, 5–7 mm in length and 3–5 mm in width; leaf sheath teeth triangular, acute and joined towards the base; strobilus bilaterally symmetrical, elliptical to elongate in shape; in leaf sheaths of stem epidermal cells well-developed, stomata wide and superficial.

Description

External morphological features (Figs. 3–9 and S1)

Stems

Some fossil specimens preserved as external impressions (Fig. 3A and B) and some as siliceous compressions with wellpreserved cuticles (Fig. 3C, D, E and S1C); stem well-preserved, narrow, preserved length 10–11 cm, jointed, composed of distinct nodes and internodes (Figs. 3A and 5B) with longitudinal ridges and furrows (Figs. 3C and D, 10F and S1C), ridges slightly pronounced in stem exteriors bearing fine longitudinal striae; nodes bulbous and enclosed with distinct leaf sheaths (Figs. 3A–E and 5H), 5–7 mm in length and 3–5 mm in width; internodes 10–15 mm in length and 3–4 mm in width; leaf sheath dark in colour, spreading, attached to ring-like meristem, consisting of ~ 5–8 basally fused linear leaves (Fig. 3A, C, D, E), maximum preserved leaf sheath length ~ 6–7 mm, distally free with triangular acute leaf teeth, joined towards the base (Figs. 3C, D and S1B, C).



Fig. 2. The detailed sedimentological lithology of the middle Siwalik rocks exposed in the road-cutting section near Sarkaghat, Himachal Pradesh.



Fig. 3. (A) A fossil stem species *Equisetum siwalikum* sp. nov. with distinct nodes and internodes (Holotype: SKBUH/PPL/HP/P/E1) (scale bar = 5 mm); (B) line drawing of holotype specimen; (C–E) another fossil specimens showing stem axis with a whorl of basely fused sheath leaves above a node (specimen numbers SKBUH/PPL/HP/P/E2, E3, E4); (F) Modern stem of *Equisetum* having similar nature of node, internode and leaf sheaths (scale bar = 5 mm) (ridges marked by blue arrows, leaf sheaths marked by red arrows and bulbous nodes marked by black arrows).

Isolated leaf-sheaths

Well-preserved as external impressions and carbonaceous compressions (Fig. 6A-E and G); 1 cm in width and 6-7 mm in

length; 11–12 longitudinal ridges present, 0.5–0.9 cm apart; ridges slightly more pronounced and bearing fine longitudinal striae; remnants of each leaf sheath linear to narrowly triangular, apically



Fig. 4. (A) Enlarged view of a fossil stem of specimen SKBUH/PPL/HP/PE4; (B) Line drawing of (A) showing acute triangle-shaped leaf teeth; (C) modern stem of *Equisetum* enclosed by similar nature of leaf sheaths (scale bar (A-C) = 5 mm); (D,E) enlarged view of specimen no. SKBUH/PPL/HP/PE3; (F) line drawing of specimen SKBUH/PPL/HP/PE2 (scale bar = 5 mm); (G,H) enlarged view of specimen no. SKBUH/PPL/HP/P/E1 respectively (scale bar = 2 mm) (ridges marked by blue arrows, leaf sheaths marked by red arrows, and bulbous stem marked by black arrows).



Fig. 5. (A) Two fossil stem specimens of *Equisetum* consisting of characteristic ridges and furrows (SKBUH/PPL/HP/P/E5-6); (B,C) enlarged view of SKBUH/PPL/HP/P/E6; (D) line drawing of image C; (E) enlarged view of modern *Equisetum* showing jointed stem enclosed with leaf sheaths; (F) enlarged view of a portion of image C; (G) enlarged view a portion of modern *Equisetum* stem; (H) enlarged view of the fossilized bulbous node of specimen SKBUH/PPL/HP/P/E5; (I) line drawing of image H; (J) a portion of modern stem showing characteristic triangular leaf sheaths with acute tips; (scale bar = 10 mm) (ridges marked by yellow arrows and furrows marked by pink arrows, leaf sheaths marked by red arrows).



Fig. 6. (A–E) Isolated leaf sheaths of fossil *Equisetum* stem specimens showing pointed acute-shaped teeth (SKBUH/PPL/HP/PE7-11); (F) an impression of elongate-shaped strobilus showing hexagonal sporangiophores marked by black arrows (SKBUH/PPL/HP/P/E12); (G) strobilus of modern *Equisetum* showing sporangiophores marked by arrows; (H) seemingly compressed whorl of fertile stem sheath (SKBUH/PPL/HP/P/E13); (I) fertile stem sheath of modern horsetail; (scale bar = 2 mm) (leaf sheaths marked by red arrows).

pointed (Figs. 6A–E, G; 7A, C, F; 8A, C, E; 9A, B, D and 10A–C) with longitudinally straight and basally fused lamina segments.

Strobilus

Poorly preserved; bilaterally symmetrical, elliptical to elongate in shape (Fig. 6F); preserved strobilus about 6 mm in length and 2 mm in width, seemingly consists of whorls of the hexagonal sporangiophores; two sporangiophores seen (Fig. 6F).

Epidermal characters (Fig. 10)

Epidermal cells of the compressed leaf sheath specimen ~45 μ m in length and ~30 μ m in width; regularly arranged, generally rectangular (Fig. 10D and E) with oblique end walls; anticlinal walls more or less straight and periclinal walls smooth; stomata elongated, irregularly distributed, and randomly oriented, 35–40 μ m in length and 28–34 μ m in width, stomata superficial, not sunken, one lateral subsidiary cell per guard cell (Fig. 10H); guard cell superficial, elongated, 9–12 μ m long and 4–6 μ m wide..



Fig. 7. (A) Enlarged view of the leaf sheath of fossil *Equisetum* specimen SKBUH/PPL/HP/P/E10 showing apically pointed teeth and basally fused lamina; (B) line drawing of image A; (C) enlarged view of teeth of leaf sheath of image A; (D,E) enlarged view of leaf sheaths of modern *Equisetum*; (F) enlarged view of leaf sheath of fossil *Equisetum* (SKBUH/PPL/HP/P/ E11); (G) line drawing of (F); (scale bar = 2 mm) (longitudinal striae marked by yellow arrows, leaf sheaths marked by red arrows).



Fig. 8. (A–F) Leaf sheaths of fossil *Equisetum*, (A) enlarged view of fossil specimen SKBUH/PPL/HP/P/E8; (B) line drawing of (A); (C) enlarged view of fossil specimen SKBUH/PPL/HP/P/E7; (D) line drawing of (C); (E) enlarged view of fossil specimen SKBUH/PPL/HP/P/E13, (F) line drawing of (E); (scale bar = 2 mm) (ridges mark by blue arrows, leaf sheaths mark by red arrows).



Fig. 9. (A) Fossil stems specimen SKBUH/PPL/HP/P/E9 showing whorl of basely fused leaf sheaths with pointed teeth (marked by red arrow) at the node; (B) enlarged view of (A); (C) line drawing of (A); (D) carbonaceous compression a portion of stem showing leaf sheaths enclosed at nodal region (SKBUH/PPL/HP/P/E14) (scale bar = 2 mm).

3.2. XRD analysis (Table S2 and Fig. 11)

The principal components of fossilized *Equisetum* stem are quartz (SiO₂) and muscovite. Our XRD analysis reveals that 67.79% quartz, 63.86% muscovite, and 14.78% calcite were deposited in 2-3 mg powdered *Equisetum* compressed stem. The total value of the relative intensity of all known and unknown minerals is 219.65 and the total value of the relative intensity of quartz is 148.91, muscovite 140.273, and calcite 32.48.

3.3. Floristic components in the middle Siwalik sediments

Besides *Equisetum*, the sediments yielded plant megafossils of many other angiosperm taxa such as *Gynocardia* (Achriaceae), *Millettia, Albizia, Cynometra* (Fabaceae), *Ventilago* (Rhamnaceae), *Terminalia* (Combretaceae), *Daemonorops* (Arecaceae) as well as diverse monocots (Prasad et al., 2013; Kundu et al., 2021) and pteridophytic ferns such as *Microsorum* Link of Polypodiaceae, and *Adiantum* L, *Ampelopteris* (Retz.) Copel., *Pteris* L, *Christella* H. Lev.



Fig. 10. Inverted fluorescence microscopic image of leaf sheath cuticle of *Equisetum siwalikum* sp. nov.; (A–C) leaf sheath teeth (SKBUH/PPL/HP/P/E10); (D,E) row of rectangular epidermal cells (SKBUH/PPL/HP/P/E9); (F) ridges and furrows of stem (G) epidermal cell of leaf sheath; (H) well-preserved epidermal cells and elongated superficial stomata (scale bar = 20 μm).

attributable to the Thelypteridaceae. In addition, some fossil forms are assignable to modern genera (e.g., *Quercus, Populus, Betula, Carpinus, Viburnum*) typical of cool temperate deciduous wood-lands found today at high elevations in the Himalayas.

4. Discussion

4.1. Comparison with modern species of Equisetum

The significant morphological characteristics for the identification of the extant *Equisetum* are jointed stems with longitudinal ridges and furrows and that are composed of distinct nodes and internodes enclosed with leaf sheaths (Fig. 3F) (Zhang, 2004; Zhang and Nicholas, 2012). Because our Siwalik Late Miocene specimens possess the above-mentioned morphological features, we regard modern Equisetum as the most closely related genus to the recovered fossil specimens. Additionally, the present fossil specimens characterised by superficial stomata (Fig. 10H) exhibit characteristics of the sub-genus Equisetum (Hauke, 1963, 1990). However, the other sub-genus of Equisetum, Hippochaete, is characterised by sunken stomata arranged in single lines. Seven modern species, such as E. variegatum Schleich, E. giganteum L., E. hyemale L., E. laevigatum A. Braun and Engelm, E. myriochaetum Schltdl. and Cham., E. ramosissimum Desf., E. scirpoides Michx., belong to the sub-genus Hippochaete (Hauke, 1963). Only two extant species, E. diffusum D. Don and E. fluviatile L., placed under subgenus Equisetum, are more or less similar to our Siwalik fossil specimens in the length of internodes, as well as the length and width of leaf sheaths (Table S3). However, the width of the stem of the above-mentioned two modern species is comparatively narrower than that of the current fossil specimens. In addition, the number of ridges was greater in the Siwalik specimens than in modern species. Thus, our fossil specimens are ascribed to the genus *Equisetum* (subgenus *Equisetum*) based on morphological and epidermal characters.

4.2. Comparisons with Cenozoic fossil species of Equisetum

Equisetum-like fossils, commonly known as *Equisetostachys*, *Equisetites*, and *Equisetum*, have been reported from the Mesozoic and Cenozoic sediments of the Northern Hemisphere by stems fragments, leaf sheaths, rhizomes, or tubers (Becker, 1969; Brown, 1975; McIver and Basinger, 1989; Sun et al., 2013). The Mesozoic sphenophyte fossils were assigned to the organ genus *Equisetostachys* and the fossil genus *Equisetites*, sharing some morphological similarities with extant *Equisetum* (Kelber and van Konijnenburg-van Cittert, 1998; DiMichele et al., 2005; Villar de Seoane, 2005; Weber 2005). However, most Cenozoic sphenophyte fossils, especially the vegetative parts, have been assigned to the genus *Equisetum* (Table 1; Si and Li, 1963; Mei and Tian, 1988; Taylor and Taylor, 1993; Kelber and van Konijnenburg-van Cittert, 1998; Silva–Pineda et al., 2009).

Here, we compare our Siwalik specimens with earlier reported stem fossil species of *Equisetum (E. oregonense* Knowlton, *E. insculptum* Jennings; *E. octangulatum* Smith, *E. alexoensis* Bell, *E. miocenicum* Graham, *E. aquatile* Becker, *E. clarnoi* Brown, *E. magnum* Hickey, *E. fluviatoides* Mciver and Basinger, *Equisetum* sp. Uhl et al., *E. myriochaetum* Silva—Pineda et al., *Equisetum* sp. Uhl et al., *E. myriochaetum* Silva—Pineda et al., *Equisetum* sp. Pole and McLoughlin, *Equisetum* cf. *fluviatoides* Chen et al., *E. arcticum* Pavlyutkin et al.) from the Cenozoic Period of Asia, North America, Europe, and Africa (Table 2; Knowlton, 1902; Jennings 1920; Smith, 1938; Bell, 1949; Graham, 1963; Becker, 1973; Brown, 1975; Hickey, 1977; Mciver and Basinger, 1989; Verdcour, 1999; Uhl et al., 2002; Silva—Pineda et al., 2009; Pole and McLoughlin, 2017; Chen et al., 2021; Pavlyutkin et al., 2020). The current Siwalik specimens are characterized by the narrow-jointed stem, 10–15 mm long



Fig. 11. X-ray diffraction analysis of a powdered sample of compressed Equisetum stem (SKBUH/PPL/HP/P/E5).

Table 1

Cenozoic macrofossil records of Equisetum in the World.

Age	Fossil species	Locality	Organ	Reference
Pleistocene	Equisetum fluviatile	Canada	_	Penhallow (1896)
	E limossum	Canada	_	Penhallow (1896)
	E scirnoides	Ontario	_	Penhallow (1896)
	E. subaticum	Canada		Dowson and Penhallow (1890)
	E. Sylvaticum Equisatum sp	California		LaMotte (1944)
	Equisetum sp.	Camolina		LaMotte (1944)
Discono	Equiserum sp.	China	- Dhizomo with podes and interpodes	Auga et al. (2020)
Photene	E. yongpingense	Cillia America	Kinzonie with nodes and internodes	Aulig et al. (2020)
	Equisetum sp.	America	Leaf-sheaths	Thomasson (1980)
	E. oregonense	America	Stem and leaf sheaths	Knowlton (1902)
	Equisetum sp.	America	Stem	Knowlton (1902)
	E. aravense	United States	-	Knowlton et al. (1921)
	E. myriochaetum	Central Mexico	Fragmented stem	Silva—Pineda et al. (2009)
	Equisetum sp.	California	-	Lesquereux (1883);
				Axelrod (1985)
	Equisetum sp.	California	-	Lesquereux (1883)
Miocene	Equisetum sp.	Japan	Stem	Huzioka (1963,1964);
				Ina (1992): Yabe (2008)
	Equisetum sp.	South Korea	Rhizome tuber with nodes and internodes	leong et al. (2017)
	F miocenicum	America	Stem	Graham (1963)
	Fauisetum sp	New Zealand	Stem	Pole and Mcloughlin (2017)
	F alexanderi	America	_	Brown (1937)
	E. alexanueri Fauisatum sp	Oregon	_	Arnold (1937)
	Lyuisetuni sp.	Switzerland	—	Million (1957)
		Jouland	— Phinama tuhan	Deple et al. (2005)
	Equisetum sp.	Iceland	KIIIZOME TUDEF	Denk et al. (2005)
	E. octangulatum	Canada	stem with node	Smith (1938)
	Equisetum sp.	United States		Knowlton (1898)
	E. yenbaiense	Vietnam	Rhizome with nodes and internodes	Aung et al. (2020)
	E. cf. pratense	China	One branch of tuber; Incomplete stem, isolated leaf	Zhang et al. (2007)
			sheaths	
	E. oppositum	China	Rhizome tuber	Ma et al. (2012)
	Equisetum sp.	China	Rhizome tuber	Tao and Du (1987)
	Equisetum sp.	Iceland	Rhizome with nodules	Denk et al. (2011)
	Equisetum sp.	Spain	Stem with nodes and internodes	Barrón and Postigo-Mijarra (2011)
	Equisetum sp. nov. (Current	India	Compressed and impressed stem with nodes and	This study
	fossil)		internodes, leaf sheaths, an impression of	-
			strobilus	
Oligocene	E. cf. arcticum	America	Rhizome tuber	Becker (1969)
	E aquatile	America	Stem	Becker (1973)
	F insculptum	America	Stem	Jennings (1920)
	F florissantense	America	_	MacGinitie (1955)
	E. fiorissumense	United States		Cockerell (1915)
	Equisatum sp	Cormany	Shoot fragmont	Ubl et al (2002)
	Equisetum sp.	Oragon	Shoot hagment	Arnold (1027)
	Equisetum sp.	Ulegoli	- Starra	Alliold (1957)
	Equiserum sp.	liiula	Stelli	Versenate and Verseeler (1000)
	E. arcticum	Japan	-	Yamamoto and Yonesaka (1999);
				Momohara (2005)
	E. kitamurae	Japan	-	Matsuo (1971)
	Equisetum sp.	Japan	- 	Uemura et al. (1999)
	E. oppositum	China	luber	Deng et al. (2020)
	E. cf. fluviatoides	China	Stem	Chen et al. (2021)
	Equisetum sp.	Ethiopian plateau	Stem	García Massini et al. (2010)
Late Eocene-	Equisetum sp.	Australia	Stem	Rozefelds et al. (2019)
Early	E. similkamense	Columbia	-	Dawson (1879)
Oligocene				
Eocene	E. clarnoi	America	Stem	Brown (1975)
	E. haydenii	America	-	Lesquereux (1878); Lamotte (1952)
	E. oregoense		-	Newberry (1898); Knowlton (1902)
	E. hornii		-	Lesquereux (1888)
	E. perlaevigatum			Knowlton (1930)
	E. wyomingense	United States	_	Cockerell (1925)
	E. winchesteri	United States	_	Brown (1929)
	E. tipperarense	Ireland	_	Berry (1930)
	Eauisetum sp.	Texas	_	Berry (1930)
	Eauisetum sp	United States	_	Berry (1929)
	Fauisetum	America	_	MacCinitie (1969)
	Fauisetum	America	_	Buchheim and Surdam (1091)
	Equisetum	Amorica	-	Muore (1001)
	Lydisetum E grotigum	Autorica Duccia	 Stam	$\frac{1}{2} \frac{1}{2} \frac{1}$
	E. urclicum	Russia	Stern	Pavlyutkill et al. (2020)
	Equisetum sp.	KUSSIA	Stem	Paviyutkin et al. (2020)
	Equisetum	Canada	-	Lowe et al. (2018)
	Equisetum	Germany	-	Wilde (1995)
	Equisetum sp.	China	-	Guo (1979)
	E. hunchunense	China	Rhizome tuber	Guo (2000)
	E. ezoense	Japan	-	Endo (1968)

Table 1 (continued)

Age	Fossil species	Locality	Organ	Reference	
	Equisetum sp.	Japan	_	Matsuo (1967)	
	Equisetum sp.	Alberta	-	Berry (1930)	
Palaeocene-	E. magnum	United States	Rhizome and stem	Hickey (1977)	
Eocene	E. newberryi	North America	_	Knowlton (1919)	
	E. robustum	Washington state	_	Newberry (1898)	
	E. oppositum	China	Rhizome tuber	Yang et al. (2016)	
Palaeocene	E. alexoensis	Canada	Stem	Bell (1949)	
	E. arcticum	Norway	_	Heer (1868)	
	E. parlatorii	Alberta	_	Penhallow (1908)	
	Equisetum sp.	Alberta and Canada	_	Dawson (1875)	
	E. boreale	Greenland	_	Heer (1868); Bell (1949) Knowlton (1898)	
	E. canaliculatum	United States	_		
	E. deciduum	United States	_	Knowlton (1898)	
	E. coloradense	Colorado	_	Knowlton (1919, 1930)	
	Equisetum sp.	Colorado	_	Knowlton (1919, 1930)	
	E. fluviatoides	Canada	Vegetative and fertile remains	Mciver and Basinger (1989)	
	Equisetum sp.	California	-	LaMotte (1944)	
	E. haguel	United States	-	Knowlton (1898)	

internodes, 10–12 teeth present in deep brown leaf sheath, basal fused lamina, and apically pointed tip with longitudinal striae, key characters that differentiate our specimens from earlier reported stem fossil species of Equisetum. The width of the stem of five fossil species (e.g., E. oregonense, E. miocenicum, Equisetum sp., E. magnum, *E.* octangulatum, and *E.* alexoensis) is much wider (9–45 mm) than those of our specimens (2–5 mm). However, the width of the stem of two fossil species (Equisetum sp. and E. aquatile) is much narrower (0.5-2 mm) than our specimens. In E. myriochaetum, E. cf. fluviatoides, E. clarnoi, and E. fluviatoides, the length of the internode ranges from 13 to 20 mm, whereas the length of internode in our fossil specimens is 10-15 mm. In addition, our specimens differ from Equisetum cf. fluviatoides, and E. clarnoi in having prominent leaf sheaths with pointed tips. Among the rest, Equisetum sp., E. insculptum, and E. arcticum are almost similar in widths of the stem (less than 5 mm), but the number of ridges preserved in those two fossil species is > 14 (Table 2).

Our use of fluorescence microscopy allows us to compare the epidermal features of our specimens to those of previously discovered *Equisetum* fossils, although to date epidermal anatomy has only been characterized in two fossil species of *Equisetum* (Brown, 1975; Thomasson, 1980). One species, *Equisetum* sp., was reported by Thomasson (1980) from the Ash Hollow Formation (Late Miocene to Pliocene) and the other species, *E. clarnoi*, from Clarno Chert Formation, Oregon (Middle Eocene) of North America (Brown, 1975). Our specimens represent the first authentic record of *Equisetum* specimens with epidermal anatomy from Asia. Our specimen differs from the North American fossils in having superficial stomata. However, both North American fossil species of *Equisetum* species exhibited sunken stomata.

Taken together, macro and micromorphological analyses indicate that the Late Miocene Himachal specimens differ from earlier reported fossil species of *Equisetum*. We have, thus, described these specimens under a new specific name. Because the fossil specimens resemble *Equisetum* and are recorded from the Siwalik sediments for the first time, they are described here as a new species, *E. siwalikum* Kundu, Hazra et Khan sp. nov.

4.3. Silica on Equisetum fossil stem

Modern *Equisetum* tissue is often rich in phosphorus, potassium, calcium, magnesium, and silicon (Marsh et al., 2000). Among all terrestrial plants, only the horsetails require silicon as an essential mineral nutrient (Epstein, 1999). The silicon concentrations in *Equisetum* stems are the highest among vascular plants (Hodson et al., 2005). Silica accumulates on the epidermis of the *Equisetum* plants

and is also incorporated into the cell walls, increasing their rigidity and stability (Currie and Perry, 2009). Kaufman et al. (1971) suggest that the silica on *Equisetum* stems protects horsetails against insect feeding or fungal diseases and also reduces water loss through the epidermis.

Our XRD analysis of Siwalik compressed stems reveals that 67.79% of mineral content in *Equisetum* compressed stems consisted of quartz. This finding confirms the preservation potential of silica in the fossil stem of horsetails (Fig. 11) and corroborates the mineral data on modern *Equisetum* stems. The present study represents the first report on the mineral composition of the fossil stem of *Equisetum*. Silica studied from the fossilized stem may be an indicator of defense mechanisms of past *Equisetum* against herbivores or fungi and provides insights into how horsetails interacted with their environment. A thorough further study of the mineral composition in plants will offer useful insights into the evolution of minerals over geological time.

4.4. Cenozoic fossil history of Equisetum

The Cenozoic Equisetum fossils have been recorded mainly from Asia, North America, and Europe, with a few fossil records from Australia, New Zealand, and South America (Table 1; Fig. 12). Ample fossil evidence indicates that this sphenopsid was widespread during the Cenozoic period. Fossil evidence indicates that the genus Equisetum flourished without hindrance from the Eocene to Pleistocene in North America (Table 1). Two fossil species of Equisetum, E. arcticum and Equisetum sp., have been reported from the Early Eocene Uglovka Formation of Russia (Pavlyutkin et al., 2020). Another two Eocene fossil species (E. tipperarense Berry and Equisetum sp.) were reported by Berry (1930) and Wilde (1995) from Ireland and Germany respectively. A fossilised shoot fragment of Equisetum with nodes and internodes was also reported from the Oligocene flora of Hochstetten-Dhaun of Germany (Uhl et al., 2002). Four Miocene fossil species of Equisetum have been reported from the European continental region (Heer, 1868; Denk et al., 2005, 2011; Barrón and Postigo-Mijarra, 2011). A fossil species E. oppositum was recovered at different epochs (Palaeocene-Eocene, Oligocene, and Miocene) of the Cenozoic Era from China (Ma et al., 2012; Yang et al., 2016; Deng et al., 2020), which indicates that this Equisetum species used to grow in abundance during that era. Aung et al. (2020) described two fossil species, E. yongpingense Aung et al., recovered from the middle Miocene sediments of Yunnan Province, China, and E. yenbaiense Aung et al., collected from the Late Miocene sediments of Yenbai Province, Vietnam. Additionally, some Equisetum fossils have also been reported from the Cenozoic sediments of China (Tao and Du, 1987; Zhang et al., 2007; Chen et

Table 2

Comparative morphological as well as epidermal characters of the stem fossil species of Equisetum.

Fossil species of Equisetum	Equisetum External morphological features						Epidermal anatomy	Reference		
	Stem width	Internode length	No. of	Leaf sheath	Leaf teeth number	Leaf sheath shape	Size of teeth			
	(mm)	(mm)	ridges	length (mm)	in the leaf sheath		Length (mm)	Width (mm)		
Equisetum sp. (Subgenus: Hippochaete)	-	_	_	_	Fragmentary (2–4)	_	2.4 -3.5 mm	0.5– 1 0.6 mm	Bar-shaped thickenings surrounding stomatal openings; sunken stomata arranged in two regular rows on the flanks of each segment and by the massive development of sheath ornamentation	Thomasson (1980)
E. oregonense	30 mm	-	_	_	_	Obtuse dentation	-	_	-	Knowlton (1902)
Equisetum sp.	5 mm	-	16	8 mm	16	Sharp teeth	-	-	-	Knowlton (1902)
E. myriochaetum	4–20 mm	13–82 mm	4-20	_	-	Sharp teeth	3–5 mm	0.5 mm	-	Silva—Pineda et al. (2009)
E. miocenicum	14 mm	10–45 mm	-		_	Nodal sheath narrow, inconspicuous	-	_	-	Graham (1963)
E. octangulatum	45 mm	33 mm	4-5	_	-	_	-	-	-	Smith (1938)
Equisetum sp.	9–19 mm	62-63	Visible	_	-	Apically pointed teeth	_	-	-	Pole and Mcloughlin (2017)
Equisetum sp.	0.5–2 mm	2 mm	-	-	-	Needle-like leaves	-	-	-	Barrón and Postigo-Mijarra (2011)
E. aquatile	0.3–0.7 mm	Visible	10-12	_	_	_	_	_	_	Becker (1973)
E. insculptum	4 mm	Visible	18	_	-	-	_	_	_	Jennings (1920)
Equisetum sp.	_	12–13 mm	_		-	_	_		_	Uhl et al. (2002)
E. cf. fluviatoides	3–19 mm	10–30 mm	12-30	_	-	_	_	_	_	Chen et al. (2021)
E. clarnoi	< 8 mm	25 mm	Visible	_	_	_	_	_	Sunken stomata arranged vertically in a single line flanking each of the external biangulate stem ridges	Brown (1975)
E. arcticum	5	Visible	14–16	_	-	-	-	-	-	Pavlyutkin et al. (2020)
E. magnum	10-18	> 43	30–60	_	-	Acute to acuminate	1–3 mm	3 mm	-	Hickey (1977)
E. alexoensis	< 10 mm	50 mm	> 24	_	-	-	-	-	-	Bell (1949)
E. fluviatoides	3–19 mm	30 mm	12-30	9–23 mm	12-30	Attenuate	-	-	-	Mciver and Basinger (1989)
E. siwalikum sp. nov.	2–5 mm	10—15 mm	10–12	2–5.5 mm	10–12	Pointed acute apex	2 -2.5 mm	0.5 ı —1 mm	Epidermal cells Irregularly rectangular oblique end walls; anticlinal walls more or less straight and periclinal walls smooth; stomata elongated, irregularly distributed and randomly oriented, stomata superficial, one lateral subsidiary cell per guard cell	This study



Fig. 12. Cenozoic macrofossil records of Equisetum in the World.

al., 2021). Two Miocene fossil species of Equisetum have been reported from the eastern part of Asia (Matsuo, 1971; Uemura et al., 1999; Yamamoto and Yonesaka, 1999; Momohara, 2005; Yabe, 2008; Jeong et al., 2017). Massini et al. (2010) reported a fossilized stem of this sphenophyte from the northwestern Ethiopian Plateau of Africa. However, only two Equisetum fossils have been recorded from Australia and New Zealand (Pole and McLoughlin, 2017; Rozefelds et al., 2019). Horsetails have frequently been recorded from Cenozoic deposits in China, USA, Europe, Australia, New Zealand, and South America collectively, indicating that the genus was widely distributed during the Cenozoic (Table 1). The decline of equisetaleans from Australia and New Zealand at the present day is linked to the substantial climatic and environmental changes that occurred as a result of Australia's rapid northward drift from Antarctica during the Cenozoic (Macphail et al., 1994; Pole and McLoughlin, 2017).

4.5. Paleoecology

Equisetum is an antique genus and comprises the only surviving representatives of the class Sphenopsida (Scagel et al., 1984), which first appeared in the fossil record during the Late Devonian, according to macrofossil evidence of the earliest unambiguous sphenopsid *Pseudobornia ursina* Scagel et al. (Scagel et al., 1984; Stewart and Rothwell, 1993). After that sphenopsids diversified greatly during the Early Carboniferous (Stewart and Rothwell, 1993). The Carboniferous sphenopsids are presently divided into two orders: Sphenophyllales and Equisetales (Stewart and Rothwell, 1993). The common components of the palaeoflora are represented by Equisetales, including Archaeocalamitaceae, Calamitaceae, and Equisetaceae (Stewart and Rothwell, 1993). The family Equisetaceae consists of the living genus *Equisetum* as well as other extinct herbaceous sphenopsids resembling *Equisetum*.

The Carboniferous period represented the peak of diversity and abundance of pteridophytes and coal swamps dominated by giant arborescent lycopods that indicated a warm and humid climate with wet tropical low-lying areas (Lesquereux, 1879–1884;

Pearson, 1995; Rothwell, 1996). During this period, two land masses (Laurasia and Gondwana) collided and began the formation of the supercontinent Pangea (Parrish, 1993). However, climate changes in the Early Permian began the demise of the great coal swamps. During this time, the equatorial regions of Pangea became drier and rainfall became more seasonal (Parrish, 1993). In addition, the climate became cooler with extensive glaciation in the southern hemisphere. This scenario continued through the Triassic (Kelber and van Konijnenburg-van Cittert, 1998), when arid to semiarid climates prevailed (Stewart and Rothwell, 1993). This led to a universal change from hydric to mesic conditions, which are less favorable to the growth of sphenopsids. Additionally, sphenopsids reduced their ability to compete with the increasingly successful growth of ferns, cycads, and conifers (Koske et al., 1985). These changes probably led to the extinction of both Calamites (during the Early Permian) and Sphenophyllales (by the end of the Permian).

The above-mentioned major extinctions left the remaining members of the Equisetales as the only representatives of the Sphenopsida (Kidston, 1892; Stewart and Rothwell, 1993) and Eq*uisetum* as the sole living representative of the ancient group of Sphenopsida (Hauke, 1963; Wu and Qin, 1991; Taylor and Taylor 1993; Taylor et al., 2009). Thus, botanists and palaeontologists have searched for the fossil evidence of Equisetum because it is considered a key element in understanding the paleoenvironment. Hickey (1977) reported fossil species E. magnum from the Golden Valley Formation of North Dakota of Eocene sediments, which indicate an emergent aquatic paleovegetation with poorly drained low land and low pH and Eh favorable for the development of aquatic and marsh plants. Based on fossil evidence of Equisetum, Chen et al. (2021) concluded that the Qaidam Basin of the northeastern Tibetan Plateau in China was humid and covered by a water drainage system during the Oligocene. In addition, some fossiliferous parts of Equisetum (stem with node and internodes, rhizome tuber, strobilus) have been preserved in situ in association with ferns (Collinson, 1988; Denk et al., 2005; Yabe, 2008; Yang et al., 2016; Jeong et al., 2017) that indicate swampy to marshy floodplains. The evidence of in-situ Equisetum stems in association with



Fig. 13. Recovered fern fossils from the same fossil locality in association with Equisetum; (A) cf. Christella; (B–D) cf. Cyclosorus; (F) cf. Ampelopteris (scale bar = 1 cm).

well-preserved ferns (*Marsilea* sp., *Acrostichum* sp.) and abundant fossil fishes (Cyprinidae) in the same layer of the fossil locality

indicate that *Equisetum* grew in wet conditions (river side or lake side) (Zhang et al., 2007; García Massini et al., 2010; Ma et al., 2012;



Fig. 14. Palaeoecological reconstruction of the ancient forest during the late Miocene in Himachal Pradesh, western India.

Yang et al., 2018; Aung et al., 2020). Modern-day *Equisetum* primarily grows on open, sunny riverside banks, lake margins, marshes, and in other wet places such as moist woods, ditches, and wetlands (Hauke, 1963; Husby, 2013). Some species grow in open, standing water and few grow on roadsides and railroad embankments, where sufficient underground water is available. According to Hauke (1963, 1978), *Equisetum* is a rapid colonizer of disturbed habitats that directly indicates wetland habitats.

Ample megafossil evidence of Equisetum recovered from the middle Siwalik (Late Miocene) strata of Himachal Pradesh indicates that this sphenopsid once existed in the western Himalayas during the Siwalik period. Thus, we can conclude that our fossil locality was humid, and surrounded by swamp and lowland regions during the time of deposition. Generally, Equisetum grows in wet conditions around water reservoir sides. The occurrence of Equisetum in association with ferns generally suggests an impression of flooded terranean or marshy land conditions (Collinson, 1988; Falder et al., 1999; Falcon-Lang and Cantrill, 2002; Cantrill and Hunter, 2005). This observation can be extended back through the period of Siwalik sedimentation because Equisetum co-occurs with ferns found in Siwalik strata (Late Miocene) of the Himachal Pradesh (Fig. 13). Interestingly, besides Equisetum, we recovered a large number (approx. one hundred) of ferns similar to modern Cyclosorus Link, Ampelopteris (Retz.) Copel., Christella H. Lev., Pteris L., Adiantum L. of Thelypteridaceae and Microsorum Link of Polypodiaceae from the same fossil locality (Fig. 13), suggesting that the same ecological conditions prevailed in the Siwalik area during the time of deposition. The fossil locality now has a drier tropical climate (Srikantia and Bhargava, 2021). This clearly shows that there has been a substantial change in the climate in this region since the Late Miocene. Two main factors such as Himalayan uplift and recession of the Tethys Sea are responsible for this change. We reconstructed the palaeoecology of the ancient Siwalik forests that showed floristic components, including *Equisetum* and ferns, during the Late Miocene on the fossil locality of Himachal Pradesh (Fig. 14).

Presently, *Equisetum* is a rare element in the flora of Himachal Pradesh (Chowdhery and Wadhwa, 1984) from where the fossil *Equisetum* and ferns were recovered. This sphenophyte now grows in some regions of this state of western India. We have collected a modern species, E. ramosissimum Desf., from forests adjacent to the fossil locality (Fig. S2). However, the width of the stem of the abovementioned modern species is comparatively narrower (1-2 mm)than the fossil specimens (2-5 mm). Thus, we suggest that during Siwalik time Equisetum was comparatively larger than present-day Equisetum. It is presumed that significant changes in the climate due to the Himalayan Orogeny during the Late Miocene might be a possible reason for the disappearance of this sphenophyte from the present-day vegetation as well as the decrease in size of the present-day Equisetum. The rise of opportunistic angiosperms may be another cause of vulnerability of Equisetum growth (Pole and McLoughlin, 2017). Therefore, the decline of equisetaleans, otherwise unknown from the Siwalik period, was possibly a consequence of severe environmental changes experienced in the Siwalik, coupled with competition from opportunistic angiosperms. These factors may explain why our fossil evidence is the only record of equisetaleans in Siwalik despite the rich angiosperm heritage of the Siwalik period (Prasad, 2008).

Author contributions

All authors contributed to the study conception and design. Preparation of materials, data collection and analysis were performed by SK, TH and MAK. The first draft of the manuscript was written by SK, MAK and all authors commented on previous version of the manuscript. All authors read and approved the final manuscript.

Declaration of Competing Interest

The authors declare that they have no competing interests.

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Appendix A. Supplementary data

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