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**RESEARCH ARTICLE** 

# RNA folding using quantum computers

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# Abstract

The 3-dimensional fold of an RNA molecule is largely determined by patterns of intramolecular hydrogen bonds between bases. Predicting the base pairing network from the sequence, also referred to as RNA secondary structure prediction or RNA folding, is a nondeterministic polynomial-time (NP)-complete computational problem. The structure of the molecule is strongly predictive of its functions and biochemical properties, and therefore the ability to accurately predict the structure is a crucial tool for biochemists. Many methods have been proposed to efficiently sample possible secondary structure patterns. Classic approaches employ dynamic programming, and recent studies have explored approaches inspired by evolutionary and machine learning algorithms. This work demonstrates leveraging quantum computing hardware to predict the secondary structure of RNA. A Hamiltonian written in the form of a Binary Quadratic Model (BQM) is derived to drive the system toward maximizing the number of consecutive base pairs while jointly maximizing the average length of the stems. A Quantum Annealer (QA) is compared to a Replica Exchange Monte Carlo (REMC) algorithm programmed with the same objective function, with the QA being shown to be highly competitive at rapidly identifying low energy solutions. The method proposed in this study was compared to three algorithms from literature and, despite its simplicity, was found to be competitive on a test set containing known structures with pseudoknots.

# Author summary

The recent FDA approval of mRNA-based vaccines has increased public interest in synthetically designed RNA molecules. RNA molecules fold into complex secondary structures which determine their molecular properties and in part their efficacy. Determining the folded structure of an RNA molecule is a computationally challenging task with exponential scaling that is intractable to solve exactly, and therefore approximate methods are used. Quantum computing technology offers a new approach to finding approximate solutions to problems with exponential scaling. We formulate a simplistic, yet effective, model of RNA folding that can easily be mapped to quantum computers and we show that currently available quantum computing hardware is competitive with classical methods.

#### Introduction

RNA molecules fold into complex secondary structures, which determine their molecular properties such as thermal stability and compactness. In addition, RNA folding has an impact on RNA function in protein translation, transcriptional regulation, and other vital cellular processes [1–3]. Secondary structure is also key to the function of synthetic RNAs which are used in a variety of applications ranging from protein design and genome editing to mRNA vaccine development (for example, [4–6]).

Methods for RNA structure determination are therefore of great interest and importance for basic research, applied biotechnology, and rational drug discovery. Experimental approaches developed for this purpose are extremely time consuming and expensive, and therefore their use is limited in practice. Computational methods are an attractive alternative as they aim to predict the folded structure of an RNA molecule based solely on sequence information, which can be readily obtained from high-throughput sequencing experiments. There are varied approaches to computational RNA structure prediction, ranging from physics-based methods that assign thermodynamic scores to a pre-defined set of structural features [7–9], to deep learning models trained on large RNA databases [10–13].

Like proteins, secondary structure of RNA molecules is largely determined by the sequence. Unlike proteins, where the folding is a global process largely driven by hydrophobic forces, single-stranded RNA molecules undergo a hierarchical folding process that is dominated by the formation of hydrogen bonds between nucleotides. Compared to protein folding, the formation of RNA tertiary structure is relatively slow. The RNA folding process involves the formation of strong restrictive local geometries which usually results in well-defined, thermally stable structures with little flexibility compared to protein structures [14].

The standard RNA hydrogen bonding pairs, (GC and AU) are known as the Watson-Crick base-pairs. Another common type of interaction that can form, known as the Wobble interaction, is between G and U. A variety of local structures, such as internal loops, hairpin loops, stacks, bulged loops, and multi-loops, can be formed by Hoogsteen- or CH-edges and Sugar-edges. Such edges can accommodate types of interactions other than Watson-Crick type edges, including the formation of base triplets (base-pairs between three bases) that can modulate the stability of helices all the way to quaternary structures. A set of consecutive base pairs is often referred to as a stem or a helix, but the particular definitions are not consistent across literature. RNA structures also undergo formation of long-range interactions such as pseudoknots, which occur when base pairs cross without overlapping (see Fig A in <u>S1 Text</u>).

RNA structure prediction is a computationally expensive task, particularly when the solution space includes pseudoknots. Folding algorithms that do not account for pseudoknots tend to scale polynomially, with the most efficient method having sub-cubic scaling [15]. There also exist approximate methods that have been shown to have linear scaling [16]. A widely used minimum free energy (MFE) approach to RNA secondary structure with pseudoknots is an NP-complete computational problem [17]. For an RNA strand with *N* possible stems, the total size of the combinatorial space is  $2^N$ . Many of the possible combinations of stems are invalid, and one of the challenges of the algorithm is to efficiently exclude these invalid regions and focus on the relevant parts of the search space. For example, the SARS-CoV2 spike glycoprotein segment of the Pfizer-BioNTech COVID-19 vaccine [18] contains approximately 486,000 possible stems, so the total combinatorial space contains  $2^{486,000}$  (~  $10^{146,440}$ ) possible solutions. For reference, the number of atoms in the universe is approximately  $10^{80}$ , inferred from the cosmological parameters presented in the Planck Collaboration [19]. Although many of the possible combinatorial solutions likely contain overlapping stems, the total number of

evaluations required to exhaustively sample the solution space grows exponentially with the number of stems. Since the solution space cannot be exhaustively sampled for many biologically relevant RNA sequences, either an alternative approach is required to identify an approximate solution, or pseudoknots must be disregarded. Classic approaches to sampling RNA secondary structure configurations utilize dynamic programming [9,20,21], while more recent methods have employed simulated annealing and Monte Carlo methods [22–24]. In this study, we investigate the viability of utilizing quantum computers (QCs) to efficiently identify high-quality solutions to RNA secondary structure prediction.

To date state-of-the-art quantum devices are able to outperform classical computers in a narrow range of tasks [25,26], however, there have not yet been any concrete demonstrations of quantum advantage for commercial applications primarily due to the fact that QCs are limited in size and capabilities [27]. It is speculated that the pharmaceutical, chemical, and life sciences industries will be the first fields to benefit from quantum computing technology [28,29]. While applications utilizing quantum mechanical calculations, such as quantum chemistry, have clear maps to QCs (see, for example, [30-33]) at present these problems require too many qubits for current hardware to solve industrially relevant problems [29,34]. To date there are primarily two models of QCs: gate model and quantum annealing. Gate model quantum devices have a broad application range and are the most commonly used for quantum chemistry and quantum machine learning calculations. An alternative design, pioneered by the company D-Wave, is the QA. Compared to the multitude of applications of gate model QCs, QAs have a much narrower range and only focus on optimizing solutions to problems by minimizing a problem Hamiltonian. To date, QAs containing thousands of qubits have been built, and these devices are capable of solving sufficiently large discrete combinatorial optimization problems to permit testing against real world industrial use-cases. Rather than being programmed by sequences of quantum operators, QAs are designed to anneal quadratic Hamiltonians in the form of Eq (1).

$$\mathcal{H} = \sum_{i} h_i q_i + \sum_{j} \sum_{k < j} J_{jk} q_j q_k \tag{1}$$

Here,  $q_i$ ,  $q_j$ , and  $q_k$  represent the values of the qubits which can either be {0, 1} or {-1, 1} for binary or spin representations, respectively,  $h_i$  are the one-body terms, and  $J_{jk}$  are the twobody interactions. For the Ising model of a ferromagnet, h represents the magnetic dipole moments of the atomic spins and J represents the energy of the interactions between the spins.

The D-Wave QA used in this study is an analog device containing approximately 5,000 qubits. The device is programmed by setting values of local magnetic fields and coupling strengths, and the annealing process works by adiabatically lowering the strength of a transverse magnetic field. This design is similar to simulated annealing of an Ising Hamiltonian, with the key difference being that the annealing process avoids getting trapped in local minima via quantum tunneling instead of thermal fluctuations, and the probability of hopping out of a local minimum is determined by the width of the barrier rather than the height [35]. QAs therefore provide a compelling strategy for solving combinatorial optimization problems that can be broken down into one- and two-body interactions, and these types of problems could offer quantum advantage for practical use-cases in the near term [36]. For example, a recent study explored the potential for leveraging QAs and gate model devices for codon optimization, a crucial process in reagent generation and mRNA vaccine development [37].

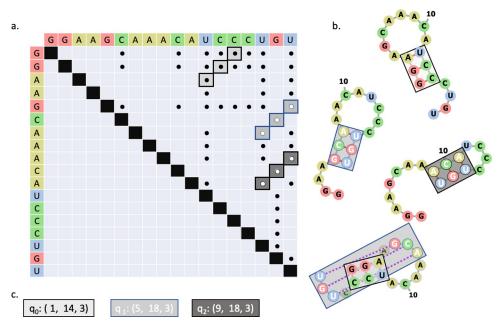
In this study we show that the RNA secondary structure prediction problem can be mathematically formulated as a BQM and thus be addressed using quantum computing technology. This representation requires translating the objective function utilized in classical approaches to a polynomial Hamiltonian where the eigenstates represent combinations of secondary structure elements, and the eigenvalues represent the scores. Implementing this approach on the D-Wave Advantage 1.1 hybrid solver provides performance competitive with a parallel replica exchange Monte Carlo (REMC) algorithm utilizing 64 cores programmed with the same objective function.

# Results

RNA secondary structure prediction was implemented as a BQM on a D-Wave QA with a Hamiltonian designed to optimize the number of non-overlapping, consecutive, intramolecular base pairs ( $\mathcal{H}_B$ ) with penalties imposed for pseudoknots ( $\delta_p$ ) and additional constraints to prevent bases from forming more than one base pair ( $\delta_c$ ) (see Methods for derivations and mathematical details).

$$\mathcal{H} = c_{\rm B} \mathcal{H}_{\rm B} \delta_{\rm p} + c_{\rm L} \mathcal{H}_{\rm L} + \delta_{\rm c} \tag{2}$$

In this simplified equation,  $\mathcal{H}_L$  is an energetic term that rewards longer stems and  $c_B$  and  $c_L$  are tunable constants. The list of all possible stems is pre-computed classically using the methods described in [24]. It is important to note that the list of all possible stems is not just the list of maximal stems, rather, it is an exhaustive list of all possible sub-stems. Fig 1A shows an example matrix formulation for sequence: GGAAGCAAACAUCCCUGU, and Fig 1B provides a visualization of the base pairing patterns identified in Fig 1A. The classical data was encoded onto the quantum device by mapping each possible stem to a qubit (Fig 1C). The qubits which return "1" upon measurement represent the stems contributing to the secondary structure. The final secondary structure pattern is determined by recording the values of the qubits and saving all stems represented by qubits that returned "1".



**Fig 1.** (a) Matrix representation of potential base pairs. Stems are highlighted in shades of gray and outlined in black or blue. The colors serve only to help distinguish the stems. (b) Structural representations of stems. Grey lines indicate covalent bonds, red lines indicate base pairing, and dashed purple lines represent base pairs in a pseudoknot configuration. The color of the boxes map to the stems identified in (a). (c) Each possible stem is assigned to a qubit on the quantum device. If the qubit returns "1", then the associated stem is included in the RNA secondary structure. Otherwise, the stem is excluded.

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Directly programming the Quantum Processing Unit (QPU) with the BQM yields noisy data. Fig B in <u>S1 Text</u> shows the distribution of scores for systems requiring up to 45 physical qubits while Table D in <u>S1 Text</u> provides a tabulated version of the results. There are a multi-tude of factors that contribute to the noise, such as thermal fluctuations, and in general the noise is exacerbated by increasing the number of qubits. However, D-Wave offers a hybrid solver which uses a classical device to break down the problem into smaller pieces that are handled by the QPU. The following sections compare the performance of the hybrid solver against a REMC algorithm programmed with the same objective function and exact enumeration of the solution space where possible.

## Exact enumeration (< = 45 stems)

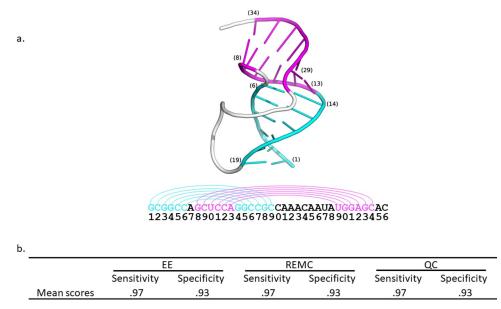
Problems with sufficiently small solution spaces can be solved exactly. An MPI-enabled solver was written (see Methods) to exhaustively solve systems using massively parallel resources. Using this method, systems containing up to 45 qubits ( $2^{45}$  (~10<sup>13</sup>) possible solutions) can be solved in under 24 hours using 7,900 CPU cores. Comparing the lowest energy solution to the experimentally determined solution (referred to as the known solution) is used to assess the suitability of the objective function. The structure predicted by the algorithm is compared to the known solution by computing the sensitivity and the specificity. The sensitivity reflects the fraction of experimentally determined base pairs that were correctly identified. A high sensitivity score (maximum score is 1) means the algorithm predicted every experimentally determined base pair and a low sensitivity score (minimum score is 0) means the algorithm did not predict many of the experimentally determined base pairs. The specificity reflects the fraction of predicted base pairs that map to the experimentally determined structure in the correct order. A specificity score of 1 indicates that the algorithm only predicted many base pairs that do not map to the known structure.

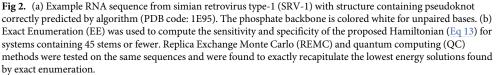
A test set was derived by scraping PseudoBase for examples of RNA sequences with experimentally confirmed structures containing pseudoknots [38–40]. Redundant sequences, defined as sequences with higher than 95% similarity, were removed from the set. The number of possible stems was computed for the remaining sequences in the database, and it was found that most sequences yielded too many possible stems for exact enumeration. To reduce the total number of possible stems down to a set small enough for exact enumeration, the length of the smallest possible stem was increased to 4. This restriction excludes stems of lengths 2 and 3 from the sets, thereby reducing the size of the combinatorial solution space. Imposing this constraint resulted in a test set containing 27 sequences. Fig 2A shows an example pseudoknotted structure from the test set. Fig 2B shows the sensitivity of the objective function was calculated to be 0.97 and the specificity was found to be 0.93, indicating that 97% of the experimentally determined base pairs were recapitulated by the algorithm, and 93% of the base pairs predicted by the algorithm correctly map to the experimentally determined structures. The full list of results can be found in Table A in S1 Text.

The same analysis was performed using the REMC and QC methods. The average results are shown in Fig 2B, and the full list of results are shown in Table A in <u>S1 Text</u>. Each system was run 1 time through each algorithm, and in every case the result obtained matched the result from exact enumeration. Therefore, both methods are able to rapidly identify the minimum energy solution with high probability for systems containing fewer than 45 stems.

## Simulated annealing vs. quantum computing (>45 stems)

Scaling the system size beyond 45 stems requires tremendous computational resources for exact enumeration therefore these systems are only evaluated against approximate methods.





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Systems containing 45–881 stems were evaluated using both the REMC and QC methods. Similar to the previous section, the test sequences derive from PseudoBase. However, the minimum stem length was set to 3 and the minimum loop length was set to 2, allowing for significantly larger problem sizes. Each sequence was run through both algorithms 10 times to estimate the variance of the results. The sensitivity and specificity in these cases are less indicative of the fitness of the objective function since it is unlikely that the true minimum energy solution will be found each time. Fig 3A compares the scores of the REMC and QC methods. Points that fall on the dashed line indicate that the same exact score was found for both methods. Points that fall below the line indicate the REMC method found a lower energy solution, and similarly points above the line indicate the REMC method found a better solution. On average, the methods produce results of similar quality. Fig 3B shows the ensemble average sensitivity and specificity for each method. The REMC method reported slightly higher sensitivity and specificity than the QC method. The full list of results can be found in Table B in S1 Text.

#### Comparison to existing methods

The results presented in the previous sections were compared to three algorithms found in the literature. Two of the methods, SPOT-RNA [11] and ProbKnot [41], are capable of predicting pseudoknots while the other method, ViennaRNA [42], is not. The algorithms were tested on the same datasets as the previous sections. Fig 4 shows a summary of the overall sensitivity and specificity for each method applied to each dataset, listed in descending order. The method presented in this study had the best overall performance on both datasets. All methods performed worse on the dataset containing larger systems (> = 45 stems) compared to the dataset containing smaller systems (<45 stems).

ViennaRNA yielded the poorest agreement with both datasets. Given that the method is not advertised to predict pseudoknots, this was the expected outcome. SPOT-RNA, a recently

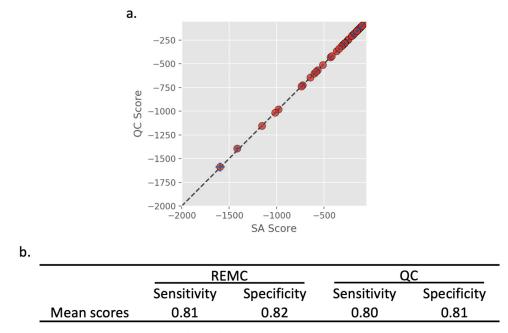


Fig 3. Replica Exchange Monte Carlo (REMC) and Quantum Computing algorithms were tested on systems containing more than 45 stems, which exceeds the practical limit of what can be solved with exact enumeration. The eigenvalues of the lowest energy systems are compared in (a). The dashed line represents y = x.

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proposed method using an ensemble of deep neural networks, performed almost as well as the QC on the dataset containing sequences with more than 45 stems and had overall the most consistent performance between the two datasets. A tabulated comparison of the three algorithms can be found in Table C in <u>S1 Text</u>.

# Discussion

Quantum computers have the potential to drive an exponential leap in computational power and, thus, the ability to provide faster and more accurate solutions to certain types of problems —particularly those involving an intractably large space of possible solutions. *In silico* RNA folding is an important task that falls into this category given a huge number of secondary structures that can be found for a given RNA sequence. The purpose of this study was to present a model that enables RNA structure prediction using existing quantum hardware.

	<= 45 Stems		> 45 Stems	
	Sensitivity	Specificity	Sensitivity	Specificity
EE	0.97	0.93		
REMC	0.97	0.93	0.81	0.82
QC	0.97	0.93	0.80	0.81
SPOT-RNA	0.84	0.88	0.76	0.79
ProbKnot	0.69	0.76	0.63	0.63
ViennaRNA	0.45	0.70	0.56	0.64

**Fig 4.** Comparison of methods on the datasets described in previous sections. Exact Enumeration (EE) is not computed for systems containing more than 45 stems.

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QAs were chosen to demonstrate the viability of the method due to the relative maturity of the technology, but BQM's are readily implementable on all types of QCs. A recent study compared the performance and accuracy of QAs and gate models for a BQM describing a biological system and showed that the gate model platforms lack the qubits and physical connectivity required to test realistically large systems [37]. However, there are proposals to build gate model error corrected devices with up to 1,000,000 qubits by 2025 [43]. Such a device would be capable of encoding the 10<sup>146,440</sup> possible solutions to the RNA structure of the SARS-CoV2 spike glycoprotein. Existing solutions based on conventional hardware have employed several strategies to deal with the dauntingly large space of possible solutions. For example, some methods only consider base pairs formed by nucleotides not further than N positions apart, whilst others disregard pseudoknots. Although these strategies make the problem more feasible, long-range structures and pseudoknots are known to have important molecular functions. Therefore, the ability to find better approximations to massive combinatorial problems like this could have a tremendous impact on vaccine design and drug discovery. The objective function used in this study is designed to jointly maximize the number of base pairs and the average length of the stems. There are cases where the sampling methods identify patterns of base pairs that score higher in our metric than what is observed in nature, indicating that the scoring function does not perfectly recapitulate the physics driving RNA folding. However, despite the simplicity of the scoring function, it was shown that the algorithm correctly identified the natural base pairs with 97% sensitivity and 93% specificity in smaller cases where exact enumeration was possible. There were 4 cases where either the sensitivity or the specificity was below 0.75. In one of the cases, the algorithm preferred a configuration containing four stems of length five instead of two stems, one of length five and one of length six. In the other 3 cases the algorithm found solutions containing more base pairs with at least 70% specificity.

In larger cases where exact enumeration is impossible, both the quantum and the REMC methods yielded results of similar quality using similar amounts of execution time. Without knowing details about the classical hardware used by D-Wave's hybrid algorithm, it is difficult to make concrete performance comparisons between the QA and REMC approaches. In general, larger systems tended to have poorer agreement with experimentally determined structures. Overall, the method presented in this study outperformed the three comparator methods in terms of sensitivity and specificity. However, the datasets used in this study only included structures containing pseudoknots, but there are many classes of RNAs and many other types of secondary structure that need to be tested for a more thorough understanding of where the method is applicable.

The model and the objective function used in this study were designed to be simple and interpretable, but there is significant room for improvement. The model is restricted to Watson-Crick pairs (A-U, G-C) and wobble pairs (G-U) which accounts for the majority of observed base pairs, but it is known that other non-Watson-Crick pairs can also form, such as G-G, G-A, A-A, etc. (see [44] for a thorough discussion about non-Watson-Crick base pairs). Furthermore, it has been shown that bases can pair with more than one other base (see [45] for examples and discussion). Expanding the model to include more types of base-pairs and the possibility of pairing more than two bases incurs an exponential cost to the size of the combinatorial space.

The objective function was found to recapitulate many base pairing patterns in the test set, but there are many ways it could be improved. For example, the force field could consider the number of hydrogen bonds formed by each type of interaction which could potentially reduce the number of degenerate low-lying states. Furthermore, base pairs involved in pseudoknots are penalized by scaling back their energetic contribution to the Hamiltonian. The energy contribution for such base pairs is expected to be smaller because the formation of the knot requires the backbone to bend which puts stress on the hydrogen bonded base pairs. For simplicity, this effect was accounted for as a constant, but a systematic structural study could be performed to derive a more realistic model.

It should be noted that the purpose of this work was not to develop a higher fidelity RNA folding potential but rather to show how one could develop a classical folding potential that can be easily mapped to quantum hardware. If one sticks to a discrete polynomial formalism more complex potentials can be mapped to existing and future QCs with ease. Hence in conclusion QCs are very promising for RNA folding. They can rapidly find minimum energy solutions for large combinatorial search spaces and have the flexibility to employ more complex potentials which should deliver higher specificity and sensitivity with limited impact on computational time. As the capabilities of QCs improve, in terms of qubit count, connectivity and signal to noise ratios it will become feasible to fold very large RNA sequences that would be intractable using classical methods.

# Methods

#### RNA secondary structure prediction algorithm

For a given RNA sequence containing *N* bases, an *NxN* matrix in constructed where rows and columns represent the bases. The upper diagonal elements of the matrix are populated with 1's for combinations of bases that form base pairs (A-U, G-C, G-U) and 0's for combinations that do not form base pairs. For a reasonably strong interaction to persist in an RNA structure, a minimum of 3 consecutive base pairs are required. The simplest way to identify consecutive base pairs, called stems, stems, or helices is to scan the matrix for repeated 1's in a diagonal perpendicular to the diagonal of the matrix. Fig 1A shows the matrix construction and corresponding base pairing patterns for an example sequence, GGAAGCAAACAUCCCUGU, with the base pairs represented by dots to simplify the graphic. Consecutive base pairs (with three or more bonds in a row) are highlighted in varying shades of gray, and representations of RNA folds subjected to these bonding patterns are displayed in Fig 1B. The stem finding algorithm, inspired by [24], executes in quadratic time.

## Implementation of objective function

The goal of the optimization algorithm is to identify the combination of non-overlapping stems that jointly maximizes the number of consecutive base pairs along with the average length of the chosen set of stems. There are three parts to the global objective function; the first term reflects the number of base pairs with an adjustable penalty accounting for pseudoknots, the second term adds a penalty for adding short stems, and finally a constraint which adds an infinite penalty to combinations of overlapping stems.

#### Scoring consecutive base pairs

The base pair scoring function is inspired by the fitness function from Kai et al [24] which takes into consideration the number of consecutive base pairs and the number of pseudoknots. While there are many approaches to scoring base pairing networks, this approach was chosen due to its simplicity. Each possible stem is parameterized by the index of the first base, *i*, the index of the last base, *j*, and the length of the stem, *k*. This is compactly written as  $m_n = (i_n, j_n, k_n)$  for stem *n*. For a set of stems  $M = \{m_0, m_1, \ldots, m_N\}$ , the number of consecutive base pairs, *b*, is computed by summing over the lengths of the chosen subset of stems divided by the total number of possible stems:

$$b(M) = \sum_{i=1}^{N} k_i \tag{3}$$

To prioritize base pairing configurations with longer stems, the Hamiltonian incorporates Eq (3) squared.

$$b(M)^{2} = \left(\sum_{i=1}^{N} k_{i}\right)^{2} = \sum_{i=1}^{N} \sum_{j=1}^{N} k_{i}k_{j}$$
(4)

Eq (4) can be formulated as the Hamiltonian of a quantum system by taking the inner product with the vector of qubits comprising the quantum state,  $q = (q_0, q_1, ..., q_N)$ , where  $q_i \in \{0,1\}$ , and  $c_B$  is a tunable constant (set to 1.0 for all calculations reported in this manuscript):

$$\mathcal{H}_{B} = c_{B} \sum_{i}^{N} \sum_{j}^{N} k_{i} k_{j} q_{i} q_{j}$$

$$\tag{5}$$

This representation maps each possible stem to a labeled qubit, and the values of the binary values of the qubits determine which stems from the set contribute to the overall sum.

The matrix represented in the double sum needs to be restricted to a sum over the upper triangular elements, consistent with Eq (1). By decomposing the sum into the trace and a term that sums the contributions of the off-diagonal elements, the sum can be restricted to the upper triangular elements. The trace requires a single summation over  $k_i^2$ . Since qubits map to binary values, they are idempotent with themselves and therefore  $q_i^2 = q_i$ .

$$Tr\left[\sum_{i}^{N}\sum_{j}^{N}k_{i}k_{j}q_{i}q_{j}\right] \equiv \sum_{i}^{N}k_{i}^{2}q_{i}$$

$$\tag{6}$$

Since the matrix is symmetric, all off-diagonal terms are accounted for in an upper triangular form by multiplying by 2. Thus,

$$\mathcal{H}_{B} = -c_{B} \sum_{i}^{N} k_{i}^{2} q_{i} - 2c_{B} \sum_{j}^{N} \sum_{k < j}^{N} k_{j} k_{k} q_{j} q_{k}$$
(7)

#### Incorporating pseudoknot penalties

A pseudoknot is defined as two non-overlapping stems,  $m_a = (i_a, j_a, k_a)$  and  $m_b = (i_b, j_b, k_b)$ where  $i_a < i_b < j_a < j_b$  or  $i_b < i_a < j_b < j_a$ . Pseudoknots require the molecule to fold back onto itself, and in some cases, this introduces tension on the backbone and strain on the base pairs involved in the structural element. Therefore, penalties are often utilized to reduce the energetic contribution of base pairs involved these types of structures. Pseudoknots are detected by a delta function,

$$\delta_{ij} = \begin{cases} c_p & \text{if pseudoknot between stems i and } j \\ 1 & \text{if no pseudoknots} \end{cases}, 0 \le c_p \le 1 \tag{8}$$

Where  $c_P$  is a tunable parameter set by the user. This penalty is designed to reduce the contribution of base pairs from pseudoknots. When  $c_P$  is set to 1, base pairs from pseudoknots are not penalized. Conversely, when  $c_P$  is set below 0, pseudoknots will not exist in the global minimum and can be avoided altogether. If  $c_P$  is set to zero, the global minimum could be degenerate with structures containing pseudoknots and the same structures with the pseudoknots removed. Incorporating this factor into the Hamiltonian yields Eq (9).

$$\mathcal{H}_{B} = -c_{B} \sum_{i}^{N} k_{i}^{2} q_{i} - c_{B} \sum_{j}^{N} \sum_{k < j}^{N} k_{j} k_{k} q_{j} q_{k} \delta_{jk}$$

$$\tag{9}$$

The delta-function is omitted from the single summation since a stem cannot be in a pseudoknot with itself, and therefore the function will always evaluate as 1.

#### Maximize average length of stem

One of the distinguishing features of the objective function introduced in [24] is an energetic preference for longer stems. Longer stems are assigned lower energetic values in Eq (9), but this term does not distinguish between multiple short stems and one long stem. For example, two stems of length three would yield a score of  $(3+3)^2 = 36$ , whereas a single stem of length six would yield a score of  $6^2 = 36$ . A single stem of length 6 would be preferred in most cases to two separate stems of lengths three because a penalty is incurred for interrupting the contiguous pi-pi interactions between bases. Since there are exceptions (see <u>Results</u>), this feature is incorporated into the Hamiltonian as a tunable parameter  $c_L$  (set to 10.0 for all calculations reported in this manuscript).

The average stem length can be maximized by minimizing the difference between the length of each possible stem in the set,  $k_i$ , with the length of the largest stem in the set of all possible stems,  $\mu$ .

$$\Delta_L = \sum_{i}^{N} \left( k_i - \mu \right)^2 \tag{10}$$

Eq 10 is rewritten as a Hamiltonian by expanding the product and projecting with the vector representing the qubits,  $\mathbf{q}$ .

$$\mathcal{H}_{L} = c_{L} \sum_{i}^{N} (k_{i}^{2} - 2k_{i}\mu + \mu^{2})q_{i}$$
(11)

#### Hamiltonian with constraints

Bases are only able to form bonds with exactly one other base, so combinations of stems that require more than one bond for any given base must be excluded from the solution space. A delta-function is introduced to detect such combinations:

$$\delta \prime_{ij} = \begin{cases} \infty & \text{if stems i and j overlap} \\ 0 & \text{if stems i and j do not overlap} \end{cases}$$
(12)

The total Hamiltonian is thus constructed by adding the Hamiltonian defined in Eq (9) with the constraint defined in Eq (12):

$$\mathcal{H} = \sum_{i}^{N} (c_{L}(k_{i}^{2} - 2k_{i}\mu + \mu^{2}) - c_{B}k_{i}^{2})q_{i} - \sum_{j}^{N} \sum_{k < j}^{N} [c_{B}k_{j}k_{k}\delta_{jk} + \delta'_{jk}]q_{j}q_{k}$$
(13)

#### Algorithm implementations

The current approach to performing calculations on quantum devices requires the interaction terms to be precomputed on classical devices and read into the quantum devices via specialized APIs. The interaction parameters from Eq (13) were precomputed in python 3.7 using standard libraries and numpy arrays [46]. The numpy arrays were converted to dictionaries in accordance with the expected input for the D-Wave libraries. The execution of the BQM was carried out using libraries described in the following sections. Each calculation was run 10 times. The pseudoknot penalty,  $c_P$ , was set to 0.5 for all calculations.

**D-wave advantage 1.1.** The RNA secondary structure BQM was implemented on the D-Wave Advantage System 1.1 utilizing the Leap Hybrid Solver. This quantum annealing device contains more than 5,000 superconducting qubits. Each qubit is connected to 15 others described by a Pegasus  $P_{16}$  graph [47]. The Advantage system was accessed through the D-Wave Leap web interface, which serves as an access point to QPU hardware as well as an integrated developer environment with built-in support for the full D-Wave API.

The program was constructed and executed using python libraries provided by D-Wave systems. The BinaryQuadraticModel class in the dimod 0.9.10 python library was used to construct the model from the classically prepared data and convert it to a data structure compatible with the quantum device. The one- and two-body interaction terms were precomputed, stored in numpy arrays, and passed into the BinaryQuadraticModel instance along with an offset of 0.0 as a dimod.BINARY representation. The model was executed using the LeapHybrid-Sampler classes in the dwave.system python library. The solver was allotted 3 s of execution time. The eigenstate with the lowest associated eigenvalue was chosen to represent the result of the simulation.

Replica exchange Monte Carlo. Replica exchange Monte Carlo (REMC) is a well-established and widely used method for identifying global minima on high complexity objective surfaces that contain many local minima [48-50]. Here, a very basic REMC search algorithm was implemented to explore the folding landscape with the following steps: 1. An initial state is created composed of up to 5 randomly selected stems from the set of all stems. 2. The objective function is evaluated for this initial state. 3. A Monte Carlo move is performed in which three changes to the state are possible, each with equal probability: a) a stem is added, b) a stem is removed, or c) a stem is swapped for another from the set of all stems. In each case, if the move improves the objective function, the state is accepted, otherwise the state is accepted subject to the Metropolis-Hastings criteria [51] with a probability proportional to the Boltzmann distribution at a particular temperature. Subsequent Monte Carlo moves are then performed a fixed number of times, after which the final state of the system is returned. The Monte Carlo search when combined with simulated annealing and exponential cooling was found to be effective in identifying the global minimum of the objective for systems having fewer than 45 stems. However, as the complexity of the objective surface grows with increasing numbers of stems and possible states, obtaining effective sampling of the landscape becomes challenging with simulated annealing, often requiring increasingly complex cooling schedules, continuous tuning of the initial sampling temperature, or drastically increasing the number of sampling steps [52].

The MC method was modified to allow for the simultaneous evolution of *N* replicas, each at a fixed effective temperature. Replicas sample states with probabilities proportional to the Boltzmann distribution at that replica's temperature. The stochastic nature of the algorithm and the initial random configuration of the system necessitates that the replicas be able to exchange states with those at neighboring temperatures. Without exchange, replicas at lower temperatures may become stuck in the numerous local minima of the objective function, while those at higher temperatures will never sample the desired higher probability states. Thus, by allowing swapping of states of neighboring temperatures, lower probability states are sampled in replicas at higher temperatures, which are then exchanged with replicas at lower temperatures to sample the desired higher probability states [48–50].

In this implementation, exchanging states between replicas is performed according to the Metropolis-Hastings criteria [51], with a probability proportional to the difference in the objective's value between the two states and their temperatures. Exchange attempts are performed every fixed number of steps, allowing for independent MC sampling to occur in each replica between exchange attempts, ensuring detailed balance. The replica temperature range,

as well as their spacing are selected such that exchanges between neighboring replicas occurs roughly twenty percent of the time. The final state of the system is chosen from the best scoring state from the collection of replicas following the final step.

Using this REMC approach, hundreds of potential stems could be efficiently sampled using only 64 replicas, with values of  $\beta$  (1/kT, where k is the Boltzmann constant and T is the effective temperature) in arbitrary units ranging from 1 to 30, 10<sup>6</sup> total steps, and exchange attempts every 10<sup>3</sup> steps. The total time to identify the global minimum for a system composed of 400 stems is roughly twelve seconds.

The REMC method was implemented in pure Python and takes advantage of NumPy [46], and Python's Random and Copy libraries. Replica parallelization, including synchronization and swapping of replica states was implemented using MPI for Python [53–55].

#### **Comparator methods**

Three additional, previously published methods were run using the same datasets and the same criteria for comparing to known structures as the method proposed in this study. RNAs-tructure ProbKnot 6.3 [41], SPOT-RNA [11], and ViennaRNA RNAfold 2.4.12 [42] were all run locally on an HPC cluster using command line defaults. The iterations parameter required by ProbKnot was set to 1000. The base pairs were extracted by mining the output PostScript (ps) file (ViennaRNA) or Connectivity Table (ct) file (ProbKnot and SPOT-RNA).

## Metrics for comparing stems

The predicted secondary structure was compared to the experimentally determined structure by computing the sensitivity and specificity. The sensitivity,  $\sigma_{SN}$ , is computed by comparing the number of correctly identified base pairs, *C*, to the number of predicted base pairs missing from the known structure, *M*, with the following formula:

$$\sigma_{\rm SN} = \frac{C}{C+M} \tag{14}$$

The specificity,  $\sigma_{SP}$ , is computed by comparing *C* to the number of predicted base pairs that are not represented in the known structure, *I*.

C

$$\sigma_{SP} = \frac{C}{C+I} \tag{15}$$

# Supporting information

**S1 Text.** Fig A. (a) 2-dimensional representation of pseudoknot structure. Dark grey lines represent base pairing. Green and orange boxes highlight the paired bases. b) 1-dimensional diagram showing the base pairing patterns. Green and orange arcs map to the boxes from (a). Fig B. (left) Minimum QPU score vs hybrid score for systems containing <60 stems. Points that fall on the line y = x indicate that the best score from the QPU is equal to the best score from the hybrid solver. (right) Average QPU score vs hybrid score. Standard deviation in the QPU scores is represented by blue bars. Positive scores indicate no suitable solution was found. Table A. Sensitivity and specificity scores for each sequence in the small test set (sequences containing 45 or fewer stems) using exact enumeration, REMC, and QC methods. Each method was run one time. Names derive from Pseudobase. Bolded numbers at the bottom of each column represent the mean. There are several cases where the exact solution does not have a sensitivity or a specificity of 1.0 which calls to attention limitations in the scoring function. These are cases where the lowest energy configuration found by the scoring function differs from the configuration found in nature. Table B. Sensitivity and specificity scores for each

sequence in the large test set (sequences containing more than 45 stems) using REMC and QC methods. Each method was run ten times. Names derive from PseudoBase. Bolded numbers at the bottom of each column represent the mean. Table C. Sensitivity and specificity scores for each sequence in the large test set (sequences containing more than 45 stems) using three methods found in literature. Bolded numbers at the bottom of each column represent the mean. Table D in <u>S1 Text</u>. Direct programming QPU vs Hybrid solver. (DOCX)

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