

# Margetuximab Versus Trastuzumab in Patients With Previously Treated HER2-Positive Advanced Breast Cancer (SOPHIA): Final Overall Survival Results From a Randomized Phase 3 Trial

Hope S. Rugo, MD<sup>1</sup>; Seock-Ah Im, MD, PhD<sup>2</sup>; Fatima Cardoso, MD<sup>3</sup>; Javier Cortes, MD, PhD<sup>4,5</sup>; Giuseppe Curigliano, MD, PhD<sup>6</sup>; Antonino Musolino, MD, PhD, MSc<sup>7,8,9</sup>; Mark D. Pegram, MD<sup>10</sup>; Thomas Bachelot, MD, PhD<sup>11</sup>; Gail S. Wright, MD, FACP, FCCP<sup>12</sup>; Cristina Saura, MD, PhD<sup>13</sup>; Santiago Escrivá-de-Romaní, MD<sup>13</sup>; Michelino De Laurentiis, MD, PhD<sup>14</sup>; Gary N. Schwartz, MD<sup>15</sup>; Timothy J. Pluard, MD<sup>16</sup>; Francesco Ricci, MD<sup>17</sup>; William R. Gwin III, MD<sup>18</sup>; Christelle Levy, MD<sup>19</sup>; Ursa Brown-Glaberman, MD<sup>20</sup>; Jean-Marc Ferrero, MD<sup>21</sup>; Maaïke de Boer, MD, PhD<sup>22</sup>; Sung-Bae Kim, MD, PhD<sup>23</sup>; Katarína Petráková, MD, PhD<sup>24</sup>; Denise A. Yardley, MD<sup>25</sup>; Orit Freedman, MD, FRCP(C), MSc<sup>26</sup>; Erik H. Jakobsen, MD<sup>27</sup>; Einav Nili Gal-Yam, MD, PhD<sup>28</sup>; Rinat Yerushalmi, MD<sup>29</sup>; Peter A. Fasching, MD<sup>30</sup>; Peter A. Kaufman, MD<sup>31</sup>; Emily J. Ashley, BS<sup>32</sup>; Raul Perez-Olle, MD, PhD<sup>32,33</sup>; Shengyan Hong, PhD<sup>32</sup>; Minoru Koshiji Rosales, MD, PhD<sup>32,33</sup>; and William J. Gradishar, MD<sup>34</sup>; on behalf of the SOPHIA Study Group

Clinical trials frequently include multiple end points that mature at different times. The initial report, typically based on the primary end point, may be published when key planned co-primary or secondary analyses are not yet available. Clinical Trial Updates provide an opportunity to disseminate additional results from studies, published in JCO or elsewhere, for which the primary end point has already been reported.

Final overall survival (OS) in SOPHIA (ClinicalTrials.gov identifier: [NCT02492711](https://clinicaltrials.gov/ct2/show/study/NCT02492711)), a study of margetuximab versus trastuzumab, both with chemotherapy, in patients with previously treated human epidermal growth factor receptor 2–positive advanced breast cancer, is reported with updated safety. Overall, 536 patients in the intention-to-treat population were randomly assigned to margetuximab (15 mg/kg intravenously once every 3 weeks; n = 266) plus chemotherapy or trastuzumab (6 mg/kg intravenously once every 3 weeks after a loading dose of 8 mg/kg; n = 270) plus chemotherapy. Primary end points were progression-free survival, previously reported, and OS. Final OS analysis was triggered by 385 prespecified events. The median OS was 21.6 months (95% CI, 18.89 to 25.07) with margetuximab versus 21.9 months (95% CI, 18.69 to 24.18) with trastuzumab (hazard ratio [HR], 0.95; 95% CI, 0.77 to 1.17; *P* = .620). Preplanned, exploratory analysis of CD16A genotyping suggested a possible improvement in OS for margetuximab in CD16A-158FF patients versus trastuzumab (median OS, 23.6 v 19.2 months; HR, 0.72; 95% CI, 0.52 to 1.00) and a possible improvement in OS for trastuzumab in CD16A-158VV patients versus margetuximab (median OS, 31.1 v 22.0 months; HR, 1.77; 95% CI, 1.01 to 3.12). Margetuximab safety was comparable with trastuzumab. Final overall OS analysis did not demonstrate margetuximab advantage over trastuzumab. Margetuximab studies in patients with human epidermal growth factor receptor 2–positive breast cancer with different CD16A allelic variants are warranted.

**J Clin Oncol 41:198-205. © 2022 by American Society of Clinical Oncology**

Creative Commons Attribution Non-Commercial No Derivatives 4.0 License 

## ASSOCIATED CONTENT

### Appendix Protocol

Author affiliations and support information (if applicable) appear at the end of this article.

Accepted on August 19, 2022 and published at [ascopubs.org/journal/jco](https://ascopubs.org/journal/jco) on November 4, 2022; DOI <https://doi.org/10.1200/JCO.21.02937>

## INTRODUCTION

Margetuximab-cmkb is an Fc-engineered anti-human epidermal growth factor receptor 2 (anti-HER2) immunoglobulin G monoclonal antibody that targets the same epitope as trastuzumab, with similar antiproliferative effects.<sup>1,2</sup> Compared with trastuzumab, margetuximab was designed to increase binding affinity (in vitro) for activating Fcγ receptor (FcγR) CD16A (FcγRIIIa) and decrease binding affinity for inhibitory FcγR CD32B (FcγRIIb).<sup>1,3</sup> Margetuximab has improved binding affinity

for both polymorphic allelic variants (158V or 158F) of CD16A, binds CD16A-158F with higher affinity than trastuzumab binds CD16A-158V, and enhances innate immunity, including CD16A-mediated antibody-dependent cellular cytotoxicity, more effectively than trastuzumab.<sup>1-4</sup>

Primary analysis of progression-free survival (PFS) by central review of the phase 3 study SOPHIA (ClinicalTrials.gov identifier: [NCT02492711](https://clinicaltrials.gov/ct2/show/study/NCT02492711))<sup>5</sup> led to the US Food and Drug Administration approval of

**TABLE 1.** Demographic and Baseline Disease Characteristics in the Intention-to-Treat Population (N = 536)

Characteristic	Margetuximab Plus Chemotherapy (n = 266)	Trastuzumab Plus Chemotherapy (n = 270)
Female sex, No. (%)	266 (100)	267 (98.9)
Age, years		
Median (range)	55.0 (29-83)	56.0 (27-86)
Race, No. (%)		
Asian	20 (7.5)	14 (5.2)
Black or African American	16 (6.0)	12 (4.4)
White	205 (77.1)	222 (82.2)
Others	25 (9.4)	22 (8.1)
ECOG performance status, No. (%)		
0	149 (56.0)	161 (59.6)
1	117 (44.0)	109 (40.4)
Disease extent at screening, No. (%)		
Metastatic	260 (97.7)	264 (97.8)
Locally advanced, unresectable	6 (2.3)	6 (2.2)
No. of metastatic sites, No. (%)		
≤ 2	138 (51.9)	144 (53.3)
> 2	128 (48.1)	126 (46.7)
No. of prior lines of therapy in the metastatic setting, No. (%)		
≤ 2	175 (65.8)	180 (66.7)
> 2	91 (34.2)	90 (33.3)
Prior systemic therapy in early and metastatic settings, No. (%)		
Chemotherapy		
Taxane	252 (94.7)	249 (92.2)
Anthracycline	118 (44.4)	110 (40.7)
Platinum	34 (12.8)	40 (14.8)
Prior HER2-targeted therapy in early and metastatic settings, No. (%)		
Trastuzumab	266 (100)	270 (100)
Pertuzumab	266 (100)	269 (99.6)
Ado-trastuzumab emtansine	242 (91.0)	247 (91.5)
Lapatinib	41 (15.4)	39 (14.4)
Other HER2	6 (2.3)	6 (2.2)
Prior endocrine therapy in early and metastatic settings	126 (47.4)	133 (49.3)

Abbreviations: ECOG, Eastern Cooperative Oncology Group; HER2, human epidermal growth factor receptor 2.

margetuximab with chemotherapy in patients with HER2+ metastatic breast cancer (BC) who have received  $\geq 2$  prior anti-HER2 regimens, at least one of which was for metastatic disease.<sup>6</sup> Here, we report the final overall survival (OS) analysis after 385 deaths, with updated safety information.

## PATIENTS AND METHODS

### Study Design and Participants

Study design, eligibility criteria, treatment plan, and statistical analyses are detailed in a prior publication<sup>5</sup> and are summarized in Appendix Figure A1 (online only). Sequential primary end points were PFS by central review followed by OS. These two end points were tested in a hierarchical manner, with PFS being tested first with full allocation of two-sided  $\alpha = .05$ . OS was tested at two-sided  $\alpha = .05$  only when a statistically significant difference in PFS was obtained (ie, PFS test  $P < .05$ ). Final analysis of PFS took place when 257 PFS events had occurred in the randomly assigned population. Final OS analysis was triggered when 385 events had occurred. Investigator-assessed PFS was a secondary end point. Additional planned end points included investigator-assessed objective response rate, safety, and exploratory evaluation of Fc $\gamma$ R allelic variation on efficacy. Trial conduct was in accordance with Good Clinical Practice and Principles in the Declaration of Helsinki. An independent ethics committee approved the Protocol (online only) at each participating site. All patients provided written informed consent.

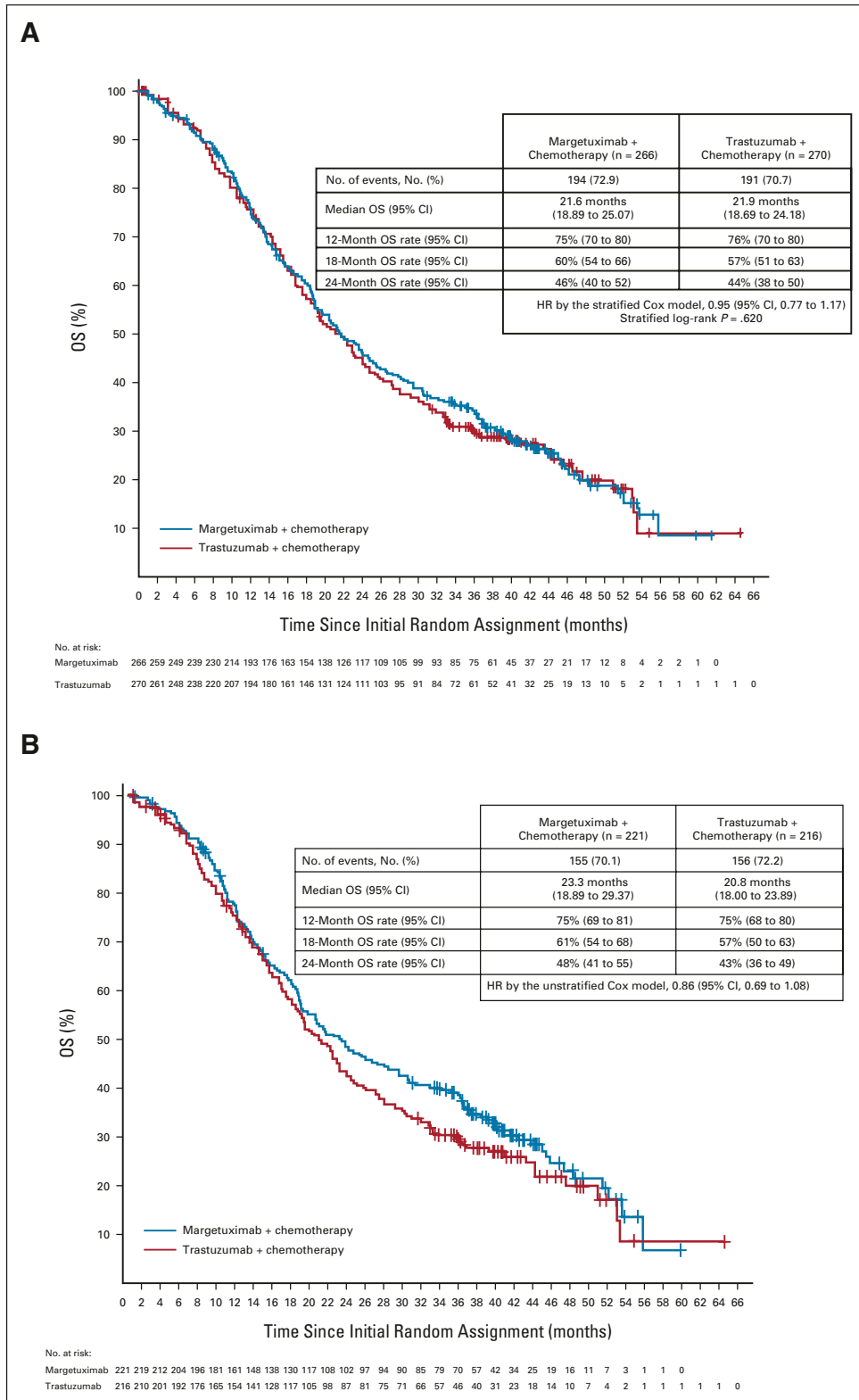
## RESULTS AND DISCUSSION

### Study Population

Baseline characteristics, previously published,<sup>5</sup> show that all patients had received prior trastuzumab, all but one had received prior pertuzumab, and 489 (91.2%) had received prior ado-trastuzumab emtansine (Table 1).<sup>5</sup> Patient disposition is summarized in Appendix Figure A2 (online only). At the data cutoff date for this analysis (June 14, 2021), 8 (3.0%) of 266 patients in the margetuximab group and 5 (1.9%) of 270 patients in the trastuzumab group remained on study, including three patients remaining exclusively on margetuximab and one patient remaining exclusively on trastuzumab. Patients received a median of seven cycles of margetuximab plus chemotherapy versus six cycles of trastuzumab plus chemotherapy, with a median treatment duration of 20.7 months (0.7-61.4) for the margetuximab group and 19.4 months (0.1-64.5) for the trastuzumab group.

### Efficacy

At data cutoff, with a median follow-up of 20.2 months among all intention-to-treat (ITT) patients (0.1-64.5), 385 deaths had occurred (194 [73%] in the margetuximab group and 191 [71%] in the trastuzumab group). The median OS in the ITT population was not statistically different between the two treatment groups: 21.6 months with margetuximab



**FIG 1.** (A) Final OS in the ITT population and (B-E) planned prespecified<sup>a</sup> exploratory OS analysis, per CD16A genotype<sup>b</sup> by treatment group, June 14, 2021, cutoff. (A) Kaplan-Meier estimates of OS in the ITT population (n = 536). Kaplan-Meier estimates of OS by treatment group in (B) CD16A-158F carriers (FF or FV; n = 437; 86%), (C) CD16A-158FF homozygotes (n = 192; 38%), (D) CD16A-158FV heterozygotes (n = 245; 48%), and (E) CD16A-158WV homozygotes (n = 69; 14%). <sup>a</sup>Non- $\alpha$ -allocated analysis. <sup>b</sup>A total of 506 of 536 ITT patients genotyped (94%). HR, hazard ratio; ITT, intention-to-treat; NA, not available (because cannot be calculated); OS, overall survival. (continued on following page)

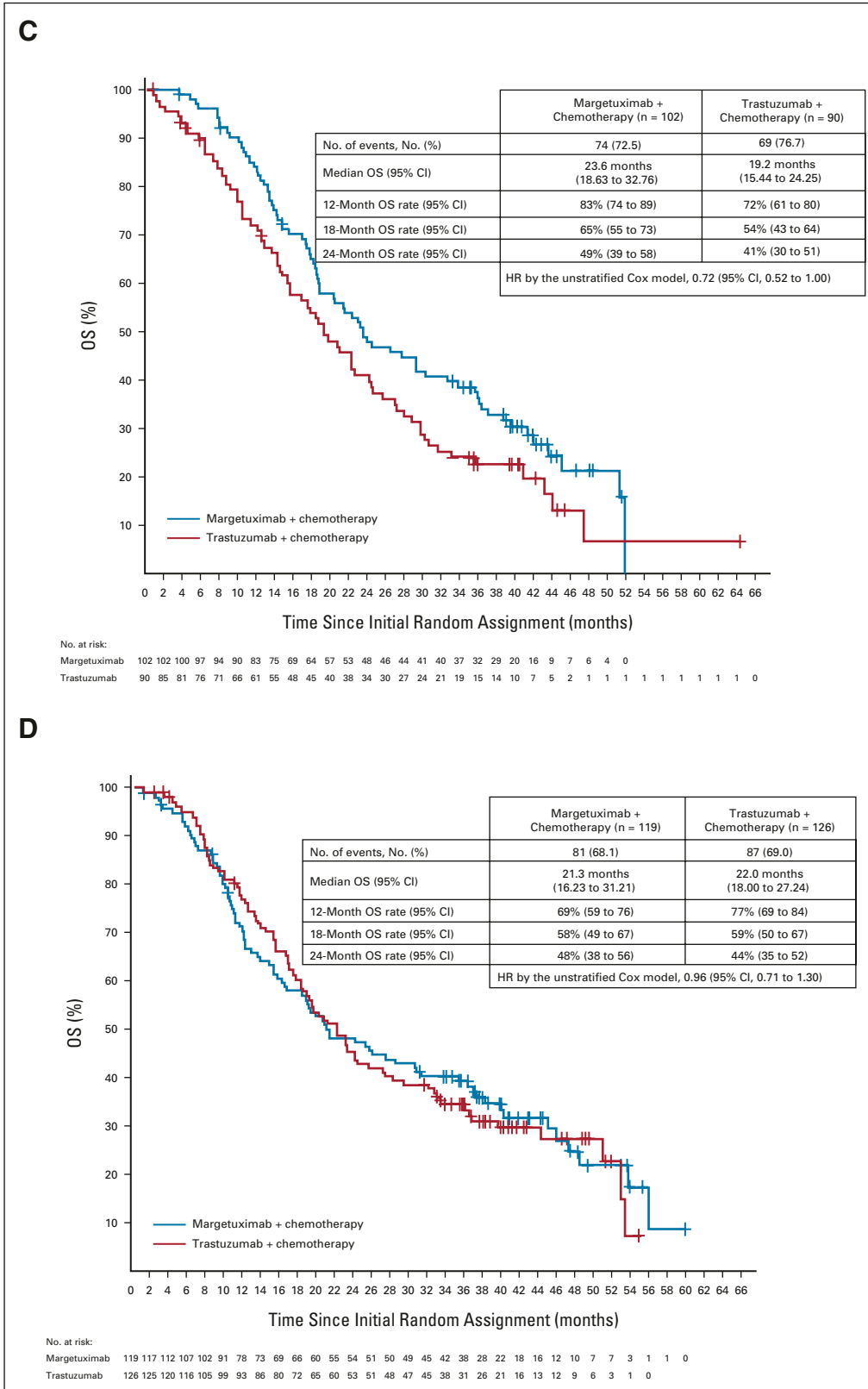
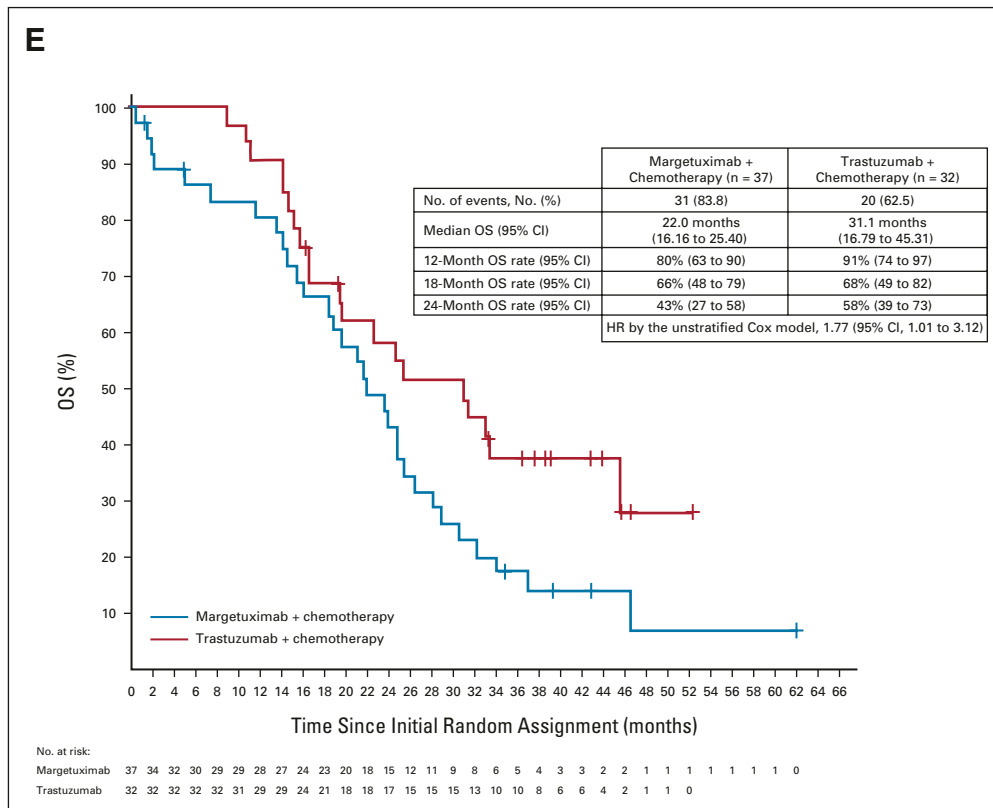


FIG 1. (continued on following page)



**FIG 1.** (Continued).

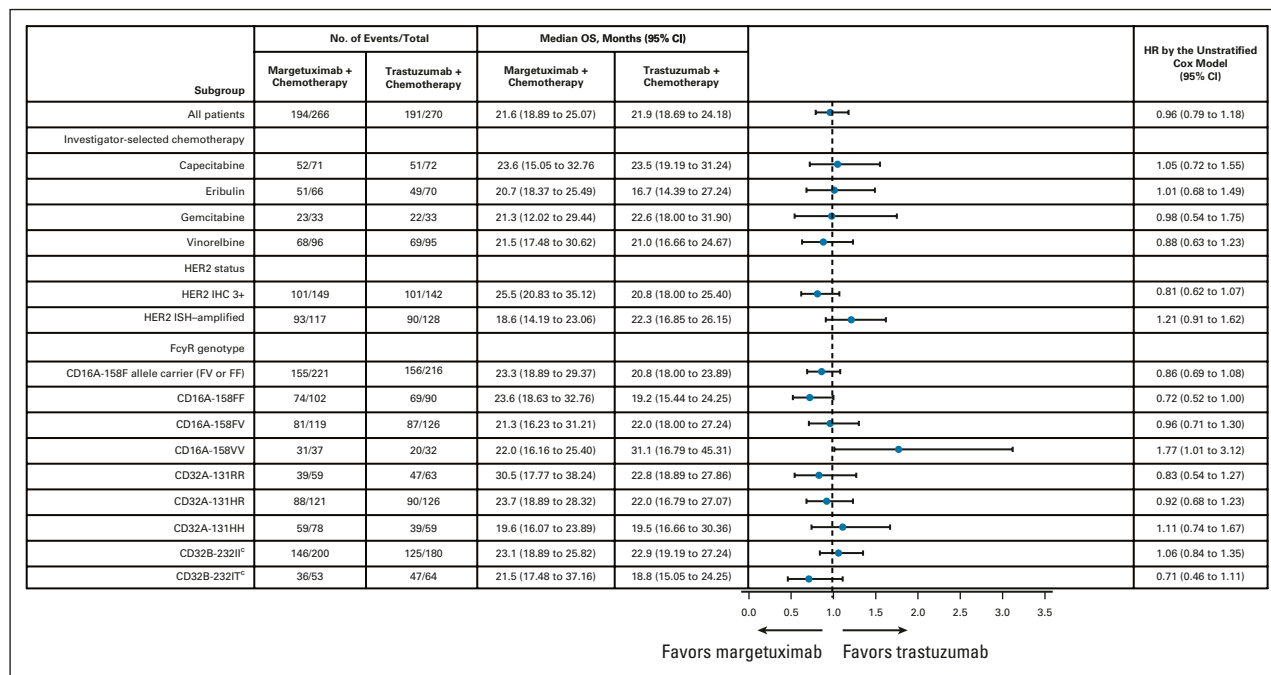
versus 21.9 months with trastuzumab (hazard ratio [HR], 0.95; 95% CI, 0.77 to 1.17;  $P = .620$ ; [Fig 1A](#)).

A planned, prespecified non- $\alpha$ -allocated subgroup analyses of OS by chemotherapy backbone, HER2 status, showed no difference in survival between margetuximab and trastuzumab ([Fig 2](#)). Prespecified non- $\alpha$ -allocated subgroup analyses of OS by Fc $\gamma$ R genotype were also conducted: genotyping was available for 506 patients (94%). Although no association was observed between CD32A or CD32B genotypes and survival benefit, OS subgroup analysis by CD16A genotype suggested a possible improvement in OS in favor of margetuximab in the CD16A-158FF patients, along with a possible improvement in OS in favor of trastuzumab in the CD16A-158VV patients. In 437 patients (86%) who carried the CD16A-158F low-affinity allele (F carriers), margetuximab prolonged the OS by 2.5 months compared with trastuzumab ([Fig 1B](#)). Median OS was 23.3 months with margetuximab versus 20.8 months with trastuzumab (HR, 0.86; 95% CI, 0.69 to 1.08; [Fig 1B](#)). Among 192 CD16A-158FF patients (38%), margetuximab prolonged the OS by 4.4 months compared with trastuzumab. Median OS was 23.6 months with margetuximab versus 19.2 months with trastuzumab (HR, 0.72; 95% CI, 0.52 to 1.00; [Fig 1C](#)). In 245 CD16A-158FV patients (48%), the median OS was 21.3 months with margetuximab versus 22.0 months with trastuzumab (HR, 0.96; 95% CI, 0.71 to 1.30; [Fig 1D](#)). By contrast, in the 69 CD16A-158VV patients (14%), the median

OS was 22.0 months with margetuximab versus 31.1 months with trastuzumab (HR, 1.77; 95% CI, 1.01 to 3.12; [Fig 1E](#)). Additional efficacy results are shown in Appendix [Figure A3](#) (online only), Appendix [Table A1](#) (online only), and are presented in [Appendix 2](#) (online only), Supplemental Efficacy Results.

### Safety

As of June 14, 2021, the safety population included 264 patients in the margetuximab group and 266 patients in the trastuzumab group (Appendix [Table A2](#), online only). Common adverse events (AEs) occurring in  $\geq 20\%$  of patients, regardless of causality, were fatigue, nausea, diarrhea, and neutropenia in both groups, as well as vomiting and pyrexia (margetuximab group) and anemia (trastuzumab group; Appendix [Table A3](#), online only). Grade 3 or greater AEs in at least 5% of patients were neutrophil count decreased and anemia in both groups, as well as fatigue (margetuximab group) and febrile neutropenia (trastuzumab group; Appendix [Table A3](#)). Discontinuations from study treatment because of AEs were 4% (10 patients) in each treatment group (Appendix [Table A2](#)). There were six deaths because of AEs, none of which were considered treatment-related: four patients (2%) in the margetuximab group and two patients (1%) in the trastuzumab group (Appendix [Table A2](#)). Additional safety results are presented in [Appendix 2](#), Supplemental Safety Results.



**FIG 2.** Planned prespecified<sup>a</sup> exploratory OS subgroup analyses (cutoff, June 14, 2021)<sup>b</sup>. Median OS, HRs, and 95% CIs are shown by subgroup. <sup>a</sup>Non- $\alpha$ -allocated analysis. <sup>b</sup>A total of 506 of 536 ITT patients genotyped (94%). <sup>c</sup>CD32B-232TT not included in the forest plot because n = 9 is too small (five on margetuximab and four on trastuzumab) to make the analysis meaningful. HER2, human epidermal growth factor receptor 2; HR, hazard ratio; IHC, immunohistochemistry; ISH, in situ hybridization; OS, overall survival.

In SOPHIA, the final OS analysis after 385 deaths in the ITT population did not demonstrate a survival advantage for margetuximab plus chemotherapy compared with trastuzumab plus chemotherapy in patients with pretreated HER2+ advanced BC.

The prespecified non- $\alpha$ -allocated evaluation of Fc $\gamma$ R allelic variation on efficacy including an analysis of CD16A genotypes (FF, FV, and VV) suggested a possible improvement in OS in favor of margetuximab in the CD16A-158FF patients, along with a possible improvement in OS in favor of trastuzumab in the CD16A-158VV patients. Of note, there was an imbalance in poor prognostic characteristics between the two treatment groups in the CD16A-158VV patients<sup>5</sup> although there is no other clear explanation for why margetuximab did not provide a greater clinical benefit in these patients. In this study, the proportion of CD16A-158FF patients versus CD16A-158VV patients was 38% versus 14%, similar to other studies of HER2 agents in HER2+ BC.<sup>7-13</sup> Margetuximab improved median PFS (27% relative risk reduction) and objective response rate assessed by the investigator over trastuzumab, at the time of this final OS analysis.

The safety profile of margetuximab plus chemotherapy assessed at the time of this final OS analysis of SOPHIA confirmed an acceptable profile comparable with trastuzumab

plus chemotherapy, similar to previous reports<sup>5</sup> and consistent with the US Food and Drug Administration–approved label for margetuximab.<sup>6</sup> Infusion-related reactions occurred at a higher frequency in the margetuximab plus chemotherapy arm but were manageable with premedications. Left ventricular dysfunctions occurred at a similar frequency in both arms. Left ventricular dysfunction requiring delay or cessation of margetuximab/trastuzumab administration occurred in fewer patients receiving margetuximab than in patients receiving trastuzumab.

In conclusion, PFS advantage with margetuximab plus chemotherapy observed in the previous analysis<sup>5</sup> and confirmed in this analysis did not translate into a significant difference in OS in the ITT population of SOPHIA. However, margetuximab plus chemotherapy is an available treatment option for patients with pretreated HER2+ advanced BC. Studies of margetuximab in patients with HER2+ BC with different CD16A allelic variants are warranted, including MARGOT (ClinicalTrials.gov identifier: [NCT04425018](https://clinicaltrials.gov/ct2/show/study/NCT04425018)), a randomized phase 2, neoadjuvant investigator-initiated study on the efficacy of margetuximab versus trastuzumab (both plus pertuzumab and paclitaxel) in patients with stage II–III HER2+ BC carrying the CD16A-158F low-affinity allele.

**AFFILIATIONS**

<sup>1</sup>University of California San Francisco Helen Diller Family Comprehensive Cancer Center, San Francisco, CA

<sup>2</sup>Cancer Research Institute, Seoul National University College of Medicine, Seoul National University Hospital, Seoul, South Korea

<sup>3</sup>Breast Unit, Champalimaud Clinical Center/Champalimaud Foundation, Lisbon, Portugal

<sup>4</sup>Quironsalud Group, International Breast Cancer Center (IBCC), Madrid and Barcelona, Spain

<sup>5</sup>Department of Medicine, Faculty of Biomedical and Health Sciences, Universidad Europea de Madrid, Madrid, Spain

<sup>6</sup>Istituto Europeo di Oncologia, IRCCS, University of Milano, Milan, Italy

<sup>7</sup>Department of Medicine and Surgery, University of Parma, Parma, Italy

<sup>8</sup>Medical Oncology and Breast Unit, University Hospital of Parma, Parma, Italy

<sup>9</sup>Gruppo Oncologico Italiano di Ricerca Clinica (GOIRC), Parma, Italy

<sup>10</sup>Stanford Comprehensive Cancer Institute, Stanford University School of Medicine, Stanford, CA

<sup>11</sup>Medical Oncology Department, Centre Leon Berard, Lyon, France

<sup>12</sup>Florida Cancer Specialists & Research Institute, New Port Richey, FL

<sup>13</sup>Medical Oncology Service, Vall d'Hebron University Hospital and Vall d'Hebron Institute of Oncology (VHIO), Barcelona, Spain

<sup>14</sup>Department of Breast and Thoracic Oncology, Istituto Nazionale Tumori IRCCS "Fondazione Pascale," Naples, Italy

<sup>15</sup>Division of Medical Oncology, Dartmouth-Hitchcock Medical Center, Lebanon, NH

<sup>16</sup>Saint Luke's Cancer Institute, Kansas City, MO

<sup>17</sup>Institut Curie, Paris, France

<sup>18</sup>Division of Medical Oncology/Seattle Cancer Care Alliance, University of Washington, Seattle, WA

<sup>19</sup>Centre François Baclesse, Institut Normand du Sein, Caen, France

<sup>20</sup>Division of Hematology/Oncology, University of New Mexico Comprehensive Cancer Center, Albuquerque, NM

<sup>21</sup>Department of Medical Oncology, Centre Antoine Lacassagne, University Côte d'Azur, Nice, France

<sup>22</sup>Division of Medical Oncology, Department of Internal Medicine, Maastricht University Medical Center, GROW-School of Oncology and Developmental Biology, Maastricht, the Netherlands

<sup>23</sup>Asan Medical Center, University of Ulsan College of Medicine, Seoul, South Korea

<sup>24</sup>Department of Comprehensive Cancer Care, Masaryk Memorial Cancer Institute, Brno, Czech Republic

<sup>25</sup>Sarah Cannon Research Institute and Tennessee Oncology PLLC, Nashville, TN

<sup>26</sup>RS McLaughlin Durham Regional Cancer Centre, Lakeridge Health, Oshawa, ON, Canada

<sup>27</sup>Department of Oncology, Vejle Hospital, Vejle, Denmark

<sup>28</sup>Chaim Sheba Medical Center, Breast Oncology Institute, Ramat Gan, Israel

<sup>29</sup>Davidoff Cancer Center, Rabin Medical Center, Beilinson Hospital, Petah Tikva, Israel

<sup>30</sup>Department of Gynecology and Obstetrics, Comprehensive Cancer Center Erlangen-EMN, Erlangen University Hospital, Friedrich Alexander University of Erlangen-Nuremberg, Erlangen, Germany

<sup>31</sup>Breast Oncology, Division of Hematology/Oncology, University of Vermont Cancer Center, Burlington, VT

<sup>32</sup>MacroGenics, Inc, Rockville, MD

<sup>33</sup>Former Employees of MacroGenics, Inc, Rockville, MD

<sup>34</sup>Division of Hematology/Oncology, Northwestern University, Chicago, IL

## CORRESPONDING AUTHOR

Hope S. Rugo, MD, University of California San Francisco Helen Diller Family Comprehensive Cancer Center, 1825 Fourth St, 3rd Floor, PO Box 1710, San Francisco, CA 94158; e-mail: hope.rugo@ucsf.edu.

## DISCLAIMER

This study was designed by academic investigators and sponsor representatives. The sponsor participated in regulatory/ethics approval, safety monitoring, data cleaning/collection, and statistical analysis. The sponsor and coauthors analyzed data.

## PRIOR PRESENTATION

Presented at the ASCO Annual Meeting, Chicago, IL, May 31-June 4, 2019; the San Antonio Breast Cancer Symposium, San Antonio, TX, December 10-14, 2019; and the San Antonio Breast Cancer Symposium, San Antonio, TX, December 7-10, 2021.

## SUPPORT

Supported by MacroGenics, Inc.

## CLINICAL TRIAL INFORMATION

NCT02492711

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at DOI <https://doi.org/10.1200/JCO.21.02937>.

## DATA SHARING STATEMENT

Overall data will be available but not individual patient data.

## AUTHOR CONTRIBUTIONS

**Conception and design:** Hope S. Rugo, Seock-Ah Im, Fatima Cardoso, Javier Cortes, Giuseppe Curigliano, Mark D. Pegram, Cristina Saura, Shengyan Hong, William J. Gradishar

**Administrative support:** Hope S. Rugo, Giuseppe Curigliano, Peter A. Kaufman, Emily J. Ashley

**Provision of study materials or patients:** Seock-Ah Im, Fatima Cardoso, Javier Cortes, Giuseppe Curigliano, Antonino Musolino, Thomas Bachelot, Gail S. Wright, Cristina Saura, Santiago Escrivá-de-Romaní, Michelino De Laurentiis, Gary N. Schwartz, Timothy J. Pluard, Ursa Brown-Glaberman, Jean-Marc Ferrero, Maaïke de Boer, Sung-Bae Kim, Katarína Petráková, Denise A. Yardley, Einav Nili Gal-Yam, Rinat Yerushalmi, Peter A. Fasching, Peter A. Kaufman, William J. Gradishar

**Collection and assembly of data:** Hope S. Rugo, Seock-Ah Im, Giuseppe Curigliano, Thomas Bachelot, Gail S. Wright, Cristina Saura, Santiago Escrivá-de-Romaní, Michelino De Laurentiis, Gary N. Schwartz, Timothy J. Pluard, Francesco Ricci, Christelle Levy, Ursa Brown-Glaberman, Jean-Marc Ferrero, Maaïke de Boer, Katarína Petráková, Denise A. Yardley, Orit Freedman, Erik H. Jakobsen, Rinat Yerushalmi, Peter A. Fasching, Peter A. Kaufman, Emily J. Ashley, Minoru Koshiji Rosales, William J. Gradishar

**Data analysis and interpretation:** Hope S. Rugo, Seock-Ah Im, Fatima Cardoso, Giuseppe Curigliano, Antonino Musolino, Mark D. Pegram, Gail S. Wright, Cristina Saura, Michelino De Laurentiis, Timothy J. Pluard, Francesco Ricci, Christelle Levy, Ursa Brown-Glaberman, Sung-Bae Kim, Katarína Petráková, Denise A. Yardley, Einav Nili Gal-Yam, Rinat Yerushalmi, Peter A. Fasching, Peter A. Kaufman, Emily J. Ashley, Raul Perez-Olle, Shengyan Hong, Minoru Koshiji Rosales, William J. Gradishar

**Manuscript writing:** All authors

**Final approval of manuscript:** All authors

**Accountable for all aspects of the work:** All authors

## ACKNOWLEDGMENT

The authors thank all the patients, their families, and the entire staff who participated in this trial. The authors thank Lupe G. Salazar, MD (University of Washington/Seattle Cancer Care Alliance, Seattle, WA) for previous involvement in the trial. Writing and editorial assistance was provided by Emily Cullinan, PhD, CMPP, and Francesca Balordi, PhD, CMPP, of The Lockwood Group, Stamford, CT, in accordance with Good Publication Practice, GPP3 guidelines, with funding by MacroGenics, Inc, Rockville, MD. All the authors reviewed and approved manuscript submission. Information on the SOPHIA study group can be found in [Appendix 1](#) (online only).

## REFERENCES

1. Nordstrom JL, Gorlatov S, Zhang W, et al: Anti-tumor activity and toxicokinetics analysis of MGAH22, an anti-HER2 monoclonal antibody with enhanced Fc gamma receptor binding properties. *Breast Cancer Res* 13:R123, 2011
2. Stavenhagen JB, Gorlatov S, Tuailon N, et al: Fc optimization of therapeutic antibodies enhances their ability to kill tumor cells in vitro and controls tumor expansion in vivo via low-affinity activating Fc gamma receptors. *Cancer Res* 67:8882-8890, 2007
3. Liu L, Yang Y, Burns R, et al: Margetuximab mediates greater Fc-dependent anti-tumor activities than trastuzumab or pertuzumab in vitro. *Cancer Res* 79:1538, 2019 (abstr 1538)
4. Bang YJ, Giaccone G, Im SA, et al: First-in-human phase 1 study of margetuximab (MGAH22), an Fc-modified chimeric monoclonal antibody, in patients with HER2-positive advanced solid tumors. *Ann Oncol* 28:855-861, 2017
5. Rugo HS, Im SA, Cardoso F, et al: Efficacy of margetuximab vs trastuzumab in patients with pretreated ERBB2-positive advanced breast cancer: A phase 3 randomized clinical trial. *JAMA Oncol* 7:573-584, 2021
6. MARGENZA® (Margetuximab-Cmkb): US Prescribing Information. Rockville, MD, MacroGenics, 2020. [www.margenza.com/pdf/prescribing-information.pdf](http://www.margenza.com/pdf/prescribing-information.pdf)
7. Gavin PG, Song N, Kim SR, et al: Association of polymorphisms in FCGR2A and FCGR3A with degree of trastuzumab benefit in the adjuvant treatment of ERBB2/HER2-positive breast cancer: Analysis of the NSABP B-31 trial. *JAMA Oncol* 3:335-341, 2017
8. Musolino A, Gradishar WJ, Rugo HS, et al: Role of Fc gamma receptors in HER2-targeted breast cancer therapy. *J Immunother Cancer* 10:e003171, 2022
9. Hurvitz SA, Betting DJ, Stern HM, et al: Analysis of Fc gamma receptor IIIa and IIa polymorphisms: Lack of correlation with outcome in trastuzumab-treated breast cancer patients. *Clin Cancer Res* 18:3478-3486, 2012
10. Musolino A, Naldi N, Bortesi B, et al: Immunoglobulin G fragment C receptor polymorphisms and clinical efficacy of trastuzumab-based therapy in patients with HER-2/neu-positive metastatic breast cancer. *J Clin Oncol* 26:1789-1796, 2008
11. Musolino A, Naldi N, Dieci MV, et al: Immunoglobulin G fragment C receptor polymorphisms and efficacy of preoperative chemotherapy plus trastuzumab and lapatinib in HER2-positive breast cancer. *Pharmacogenomics J* 16:472-477, 2016
12. Norton N, Olson RM, Pegram M, et al: Association studies of Fc gamma receptor polymorphisms with outcome in HER2+ breast cancer patients treated with trastuzumab in NCCTG (Alliance) trial N9831. *Cancer Immunol Res* 2:962-969, 2014
13. Tamura K, Shimizu C, Hojo T, et al: Fc gamma R2A and 3A polymorphisms predict clinical outcome of trastuzumab in both neoadjuvant and metastatic settings in patients with HER2-positive breast cancer. *Ann Oncol* 22:1302-1307, 2011

---

## ASCO® in Action

### Your Source for Cancer Policy and Practice News

ASCO in Action provides the latest news and analysis on cancer policy and practice issues through a frequently updated newsfeed and biweekly newsletter. ASCO in Action provides key details for the cancer community on critical issues affecting the delivery of care, including federal funding for cancer research, the ongoing response to COVID-19, physician reimbursement, and more.

For more information, visit [asco.org/ascoaction](http://asco.org/ascoaction).



**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST****Margetuximab Versus Trastuzumab in Patients With Previously Treated HER2-Positive Advanced Breast Cancer (SOPHIA): Final Overall Survival Results From a Randomized Phase 3 Trial**

The following represents disclosure information provided by authors of this manuscript. All relationships are considered compensated unless otherwise noted. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. Relationships may not relate to the subject matter of this manuscript. For more information about ASCO's conflict of interest policy, please refer to [www.asco.org/rwc](http://www.asco.org/rwc) or [ascopubs.org/jco/authors/author-center](http://ascopubs.org/jco/authors/author-center).

Open Payments is a public database containing information reported by companies about payments made to US-licensed physicians ([Open Payments](#)).

**Timothy J. Pluard**

**Consulting or Advisory Role:** Pfizer, MacroGenics, Genentech, Seattle Genetics, Novartis, H3 Biomedicine, AstraZeneca/Daiichi Sankyo, Gilead Sciences  
**Speakers' Bureau:** Genentech/Roche, Novartis, Seattle Genetics, Gilead Sciences

**Research Funding:** Seattle Genetics (Inst), Zymeworks (Inst), HiberCell (Inst), Pfizer (Inst), H3 Biomedicine (Inst), DAEHWA Pharmaceutical (Inst), G1 Therapeutics (Inst), Olema Pharmaceuticals (Inst), Dantari (Inst), AstraZeneca/Daiichi Sankyo (Inst), Orinove (Inst), Sanofi (Inst)

**Sung-Bae Kim**

**Stock and Other Ownership Interests:** Neogene TC Corp, Genopeak

**Honoraria:** DAEHWA Pharmaceutical, ISU ABXIS

**Consulting or Advisory Role:** Lilly (Inst), AstraZeneca, DAEHWA Pharmaceutical, ISU Abxis, BeiGene, Daiichi Sankyo/Astra Zeneca

**Research Funding:** Novartis (Inst), Dongkook Pharma (Inst), Genzyme (Inst)

**Peter A. Fasching**

**Honoraria:** Roche, Novartis, Pfizer, Daiichi Sankyo, Eisai, Merck Sharp & Dohme, AstraZeneca, Hexal, Lilly, Cepheid (Inst), BioNTech (Inst), Pierre Fabre, Seattle Genetics, Agendia, Gilead Sciences

**Consulting or Advisory Role:** Roche, Novartis, Pfizer, Daiichi Sankyo, Eisai, Merck Sharp & Dohme, AstraZeneca, Hexal, Pierre Fabre, Seattle Genetics, Agendia, Lilly, Gilead Sciences

**Research Funding:** Novartis (Inst), BioNTech (Inst), Cepheid (Inst), Roche

**Antonino Musolino**

**Consulting or Advisory Role:** Lilly, Eisai Europe, Seattle Genetics, Daiichi Sankyo/Astra Zeneca

**Research Funding:** Lilly, Roche (Inst)

**Travel, Accommodations, Expenses:** Pfizer

**Maike de Boer**

**Honoraria:** Roche

**Research Funding:** Roche (Inst), AstraZeneca (Inst), Novartis (Inst), Pfizer (Inst), Lilly (Inst)

**Rinat Yerushalmi**

**Honoraria:** Roche/Genentech, Novartis, Pfizer, AstraZeneca, Teva, MSD, Gilead Sciences, Lilly

**Consulting or Advisory Role:** Roche, Novartis, Lilly, AstraZeneca, Pfizer, ProGenetics

**William J. Gradishar**

**Consulting or Advisory Role:** Genentech/Roche, AstraZeneca, Pfizer, Puma Biotechnology, Seattle Genetics, Merck, BeyondSpring Pharmaceuticals

**Mark D. Pegram**

**Employment:** Lilly

**Honoraria:** Genentech/Roche, Pfizer, Seattle Genetics

**Consulting or Advisory Role:** Genentech/Roche, Pfizer, Seattle Genetics, Lilly

**Katarína Petráková**

**Consulting or Advisory Role:** Pfizer, Lilly, Novartis, AstraZeneca

**Travel, Accommodations, Expenses:** Pfizer, Lilly, Gilead Sciences, Novartis

**Fatima Cardoso**

**Consulting or Advisory Role:** Roche, Novartis, Pfizer, AstraZeneca, Teva, Astellas Pharma, Merus, Celgene, Eisai, Daiichi Sankyo, Genentech, Merck Sharp & Dohme, Sanofi, Pierre Fabre, MacroGenics, Amgen, GE Healthcare, GlaxoSmithKline, Mylan, Mundipharma, Samsung Bioepis, Medscape, Prime Oncology, IQVIA, Gilead Sciences, Seattle Genetics, TouchIME

**Travel, Accommodations, Expenses:** Pfizer, Roche, AstraZeneca, Novartis

**Cristina Saura**

**Consulting or Advisory Role:** AstraZeneca, Daiichi Sankyo, Eisai, Exact Sciences, Roche, Exeter Pharmaceuticals, MediTech, Merck Sharp & Dohme, Novartis, Pfizer, Philips Healthcare, Pierre Fabre, Puma Biotechnology, Sanofi/Aventis, Seattle Genetics, Zymeworks, Ax's Consulting, Byondis, ISSECAM, Pint Pharma

**Research Funding:** Genentech (Inst), AstraZeneca (Inst), Aragon Pharmaceuticals (Inst), Bayer (Inst), Boehringer Ingelheim Espana (Inst), Bristol Myers Squibb (BMS) (Inst), CytomX Therapeutics (Inst), Daiichi Sankyo (Inst),

F. Hoffmann-La Roche (Inst), German Breast Group Forschungs (Inst), GlaxoSmithKline (Inst), InnoUp (Inst), International Breast Cancer Study Group (Inst), Lilly (Inst), MacroGenics (Inst), MedSIR (Inst), Menarini (Inst), Merus (Inst), Millennium (Inst), Novartis FarmacÁutica (Inst), Pfizer (Inst), Puma Biotechnology (Inst), Roche (Inst), Sanofi (Inst), Seattle Genetics (Inst)  
**Travel, Accommodations, Expenses:** Pfizer, Novartis, Roche, AstraZeneca, Genomic Health, Puma Biotechnology

**Raul Perez-Olle**

**Employment:** MacroGenics, Imvax

**Stock and Other Ownership Interests:** MacroGenics

**Seock-Ah Im**

**Consulting or Advisory Role:** AstraZeneca, Novartis, Roche/Genentech, Eisai, Pfizer, Amgen, Hanmi, Lilly, GlaxoSmithKline, MSD, Daiichi Sankyo

**Research Funding:** AstraZeneca (Inst), Pfizer (Inst), Roche/Genentech (Inst), Daewoong Pharmaceutical (Inst), Eisai (Inst), Boryung Pharmaceuticals (Inst)

**Other Relationship:** Roche

**Gail S. Wright**

**Stock and Other Ownership Interests:** Roche, Puma Biotechnology, Odonate Therapeutics, MacroGenics

**Research Funding:** Novartis, AbbVie, Incyte, Genentech, Lilly, Janssen, Tesaro, Astellas Pharma, Boehringer Ingelheim, E.R. Squibb Sons, LLC, Pfizer, Seattle Genetics, Celgene, Medivation, Innocrin Pharma, H3 Biomedicine, G1 Therapeutics, AstraZeneca, Taiho Pharmaceutical, NuCana, Bristol Myers Squibb

**Emily J. Ashley**

**Employment:** MacroGenics

**Stock and Other Ownership Interests:** MacroGenics

**Research Funding:** MacroGenics

**Travel, Accommodations, Expenses:** MacroGenics

**Giuseppe Curigliano**

**Honoraria:** Ellipses Pharma

**Consulting or Advisory Role:** Roche/Genentech, Pfizer, Novartis, Lilly, Foundation Medicine, Bristol Myers Squibb, Samsung, AstraZeneca, Daiichi-Sankyo, Boehringer Ingelheim, GlaxoSmithKline, Seattle Genetics, Guardant Health, Veracuity, Celcuity, Hengrui Therapeutics, Menarini

**Speakers' Bureau:** Roche/Genentech, Novartis, Pfizer, Lilly, Foundation Medicine, Samsung, Daiichi Sankyo, Seattle Genetics, Menarini

**Research Funding:** Merck (Inst)

**Travel, Accommodations, Expenses:** Roche/Genentech, Pfizer, Daiichi Sankyo

**Michelino De Laurentiis**

**Honoraria:** Roche, Novartis, Pfizer, Lilly, Amgen, Pierre Fabre, AstraZeneca, MSD, Seattle Genetics, Gilead Sciences, Takeda, Ipsen

**Consulting or Advisory Role:** Roche, Novartis, Pfizer, Lilly, Amgen, AstraZeneca, MSD, Pierre Fabre, Seattle Genetics, Gilead Sciences, Ipsen, Takeda, Genzyme

**Speakers' Bureau:** Novartis

**Research Funding:** Novartis (Inst), Roche (Inst), Puma Biotechnology (Inst), Lilly, Pfizer (Inst), Daiichi Sankyo (Inst), MSD (Inst), MacroGenics (Inst), Bristol Myers Squibb (Inst), Genzyme (Inst), AstraZeneca (Inst), Eisai (Inst)

**Jean-Marc Ferrero**

**Consulting or Advisory Role:** Pfizer, Daichi, Novartis

**Einav Nili Gal-Yam**

**Honoraria:** Roche, Pfizer, MSD, Lilly, AstraZeneca

**Consulting or Advisory Role:** MSD Oncology, AstraZeneca, Lilly

**Travel, Accommodations, Expenses:** Pfizer

**Minori Koshiji Rosales**

**Employment:** MacroGenics

**Leadership:** Sesen Bio

**Stock and Other Ownership Interests:** Sesen Bio

**Hope S. Rugo**

**Honoraria:** Puma Biotechnology, Mylan, Samsung Bioepis, Chugai Pharma, Blueprint Medicines

**Consulting or Advisory Role:** Napo Pharmaceuticals

**Research Funding:** OBI Pharma (Inst), Pfizer (Inst), Novartis (Inst), Lilly (Inst), Genentech (Inst), Merck (Inst), Odonate Therapeutics (Inst), Daiichi Sankyo (Inst), Sermonix Pharmaceuticals (Inst), AstraZeneca (Inst), Gilead Sciences (Inst), Ayala Pharmaceuticals (Inst), Astellas Pharma (Inst), Seattle Genetics (Inst), MacroGenics (Inst), Boehringer Ingelheim (Inst), Polyphor (Inst)

**Open Payments Link:** <https://openpaymentsdata.cms.gov/physician/183398>

**Thomas Bachelot**

**Consulting or Advisory Role:** Roche, Novartis, AstraZeneca, Pfizer, Seattle Genetics, MSD Oncology

**Research Funding:** Roche (Inst), Novartis (Inst), AstraZeneca (Inst), Seattle Genetics (Inst), Pfizer (Inst)

**Travel, Accommodations, Expenses:** Roche, Pfizer, AstraZeneca

**William R. Gwin III**

**Consulting or Advisory Role:** CoreA Therapeutics

**Research Funding:** Veana Therapeutics, Lilly

**Denise A. Yardley**

**Consulting or Advisory Role:** Novartis (Inst), Biotheranostics (Inst), G1 Therapeutics (Inst), Athenex (Inst), Immunomedics (Inst), Sanofi/Aventis (Inst), Lilly (Inst), Merck (Inst), Pfizer (Inst), AstraZeneca (Inst), Gilead Sciences (Inst)

**Research Funding:** Genentech/Roche (Inst), Novartis (Inst), MedImmune (Inst), Lilly (Inst), Medivation (Inst), Pfizer (Inst), MacroGenics (Inst), AbbVie (Inst), Merck (Inst), Clovis Oncology (Inst), Amgen (Inst), BioMarin (Inst), Bio-Thera (Inst), Dana Farber Cancer Hospital (Inst), Incyte (Inst), Innocrin Pharma (Inst), Nektar (Inst), NSABP Foundation (Inst), Odonate Therapeutics (Inst), Polyphor (Inst), Ambrx (Inst), G1 Therapeutics (Inst), Merrimack (Inst)

**Peter A. Kaufman**

**Stock and Other Ownership Interests:** Amgen

**Honoraria:** Lilly, Polyphor, MacroGenics, Eisai, AstraZeneca, Sanofi

**Consulting or Advisory Role:** Polyphor, Roche/Genentech, Lilly, Eisai, MacroGenics, Pfizer, Merck, AstraZeneca, Sanofi, Laekna Therapeutics

**Speakers' Bureau:** Lilly

**Research Funding:** Eisai (Inst), Polyphor (Inst), Roche/Genentech (Inst), Lilly (Inst), Novartis (Inst), MacroGenics (Inst), Pfizer (Inst), Sanofi (Inst), Laekna Therapeutics (Inst)

**Expert Testimony:** Seattle Genetics

**Travel, Accommodations, Expenses:** Lilly, Polyphor, MacroGenics

**Javier Cortes**

**Stock and Other Ownership Interests:** MedSIR, Nektar, Leuko

**Honoraria:** Novartis, Eisai, Celgene, Pfizer, Roche, Samsung, Lilly, Merck Sharp & Dohme, Daiichi Sankyo

**Consulting or Advisory Role:** Celgene, Cellestia Biotech, AstraZeneca, Roche, Seattle Genetics, Daiichi Sankyo, ERYTECH Pharma, Polyphor, Athenex, Lilly, SERVIER, Merck Sharp & Dohme, GlaxoSmithKline, Leuko, Clovis Oncology, Bioasis, Boehringer Ingelheim, Ellipses Pharma, HiberCell, Biolnvent, GEMoab, Gilead Sciences, Menarini, Zymeworks, Reveal Genomics

**Research Funding:** ARIAD (Inst), AstraZeneca (Inst), Baxalta (Inst), Bayer (Inst), Eisai (Inst), Guardant Health (Inst), Merck Sharp & Dohme (Inst), Pfizer (Inst), Puma Biotechnology (Inst), Queen Mary University of London (Inst), Roche (Inst), PIQUR (Inst)

**Patents, Royalties, Other Intellectual Property:** Pharmaceutical Combinations of a PI3K Inhibitor and a Microtubule Destabilizing Agent. Javier Cortés Castán, Alejandro Piris Giménez, Violeta Serra Elizalde. WO 2014/199294 A, HER2 as a predictor of response to dual HER2 blockade in the absence of cytotoxic therapy. Aleix Prat, Antonio Llombart, Javier Cortés. US 2019/0338368 A1

**Travel, Accommodations, Expenses:** Roche, Pfizer, Eisai, Novartis, Daiichi Sankyo, Gilead Sciences

**Santiago Escrivá-de-Romaní**

**Consulting or Advisory Role:** Roche, Daiichi Sankyo/Astra Zeneca, Seattle Genetics

**Speakers' Bureau:** Roche, Daiichi Sankyo/Astra Zeneca, Pfizer

**Research Funding:** Roche (Inst), Synthon (Inst), Byondis (Inst), Lilly (Inst), MEDSIR (Inst)

**Travel, Accommodations, Expenses:** Pfizer, Roche

**Ursa Brown-Glaberman**

**Consulting or Advisory Role:** Novartis, Biotheranostics, Seattle Genetics, Taiho Oncology, Sanofi/Aventis, MacroGenics

**Speakers' Bureau:** Seattle Genetics

**Erik H. Jakobsen**

**Consulting or Advisory Role:** Pfizer

**Shengyan Hong**

**Employment:** MacroGenics

**Stock and Other Ownership Interests:** MacroGenics

No other potential conflicts of interest were reported.

## APPENDIX 1. GROUP INFORMATION FOR THE SOPHIA STUDY (CLINICALTRIALS.GOV IDENTIFIER: NCT02492711)

*Austria*—Daniel Egle, MD (Universitätsklinik Innsbruck, Innsbruck), Alois Lang, MD, and Holger Rumpold, MD (Landeskrankenhaus Rankweil, Vorarlberg). *Belgium*—Sevilay Altintas, MD, PhD (UZ Antwerpen—Oncologie, Edegem), Annelore Barbeaux, MD (CHR Verviers East Belgium, Verviers), Jean-Francois Baurain, MD, PhD (Cliniques Universitaires Saint-Luc—Oncology, Bruxelles), Marleen Borms, MD (AZ Groeninge—Campus Loofstraat, Kortrijk), Nele Claes, MD (AZ Sint-Jan Brugge—Oostende AV—Campus Sint-Jan, Brugge), Caterina Confente, MD (INDC Entité Jolimontoise—CH de Jolimont-Lobbes, Haine-Saint-Paul), Ines Deleu, MD (AZ Nikolaas—Campus Sint-Niklaas Moerland, Sint-Niklaas), Luc Dirix, MD, PhD (GZA Ziekenhuizen—Campus Sint-Augustinus, Wilrijk), Christel Fontaine, MD (UZ Brussel—Campus Jette, Bruxelles), Marie-Pascale Graas, MD (CHC MontLégia, Liège), Stephanie Henry, MD, Donatienne Taylor, MD, and Peter Vuylsteke, MD (CHU UCL Namur—Site Sainte-Elisabeth, Namur), Jeroen Mebis, MD, PhD (Jessa Ziekenhuis—Campus Virga Jesse, Hasselt), Renaud Poncin, MD (Clinique Saint Pierre Ottignies, Ottignies), Isabelle Spormans, MD (AZ Damiaan, Oostende). *Canada*—Orit Freedman, MD, FRCP(C), MSc (RS McLaughlin Durham Regional Cancer Centre, Lakeridge Health, Oshawa), Skander Ghedira, MD (Dr Leon Richard Oncology Centre, Moncton), Ravi Ramjeesingh, MD, PhD (The Atlantic Clinical Cancer Research Unit, Halifax). *Czech Republic*—Zdenek Kral, MD, CSc (Fakultni nemocnice Brno, Brno), Bohuslav Melichar, MD, PhD (Fakultni nemocnice Olomouc, Olomouc), Katarína Petráková, MD, PhD (Masaryk Memorial Cancer Institute, Brno), Jana Prausova, MD, PhD, MBA (Fakultni nemocnice v Motole, Praha 5). *Denmark*—Vesna Glavicic, MD (Næstved Sygehus, Næstved), Erik H. Jakobsen, MD (Vejle Hospital, Vejle), Julia Kenholm, MD (Regionshospitalet Herning (Herning Centralsygehus), Herning), Sven Langkjer, MD, PhD (Aarhus Universitets Hospital, Aarhus C). *Finland*—Johanna Mattson, MD, PhD (Helsinki University Central Hospital—Meilahden Sair, Helsinki), Minna Tanner, MD, PhD, MSc (Tampere University Hospital, Tampere). *France*—Thomas Bachelot, MD, PhD (Centre Leon Berard, Lyon Cedex 08), Etienne Brain, MD, PhD (Institut Curie—Hopital Rene Huguenin, Saint-Cloud), Mario Campone, MD, PhD (Centre de Lutte Contre le Cancer—Institut de Cancer, Saint Herblain), Bruno Coudert, MD, and Audrey Hennequin, MD (Centre Georges Francois Leclerc, Dijon), Veronique Dieras, MD, MSc (Centre De Lutte Contre Le Cancer—Institut Curie, Paris), Jean-Marc Ferrero, MD (University Côte d'Azur, Centre Antoine Lacassagne, Nice), Cyril Foa, MD, and Robert Herve, MD (Hôpital Privé Clairval, Marseille), Christelle Levy, MD (Centre François Baclesse, Institut Normand du Sein, Caen Cedex 5), Marie-Ange Mouret-Reynier, MD (Centre Jean Perrin, Clermont-Ferrand Cedex 1), Francesco Ricci, MD, PhD (Centre De Lutte Contre Le Cancer—Institut Curie, Paris). *Germany*—Bahriye Aktas, MD, PhD, and Oliver Hoffmann, MD, PhD (Universitaetsklinikum Essen—Klinik fuer Frauenheilkunde, Essen), Nikola Bangemann, MD (Campus Charité Mitte, Berlin), Malgorzata J. Banys-Paluchowski, MD, and Gerhard Gebauer, MD, MBA (Katholisches Marienkrankenhaus gGmbH—Frauenklinik, Hamburg), Wolfgang Eiermann, MD (Interdisziplinäres Onkologisches Zentrum München, München), Peter A. Fasching, MD (Erlangen University Hospital, Comprehensive Cancer Center Erlangen-EMN, Friedrich Alexander University of Erlangen-Nuremberg, Erlangen), Aristoteles Giagounidis, MD (Marien Hospital Düsseldorf, Düsseldorf), Eva-Maria Grischke, MD (Universitaetsklinikum Tuebingen, Tuebingen), John Hackmann, MD (Marien Hospital, Witten), Meinolf Karthaus, MD (Städtisches Krankenhaus München—Neuperlach, München), Anita Prechtel, MD (Praxis für Frauenheilkunde, München), Andreas Schneeweiss, MD (University Hospital Heidelberg, Heidelberg), Pauline Wimberger, MD (Medizinische Fakultät Carl Gustav Carus, Dresden). *Israel*—Noa Efrat, MD (Kaplan Medical Center, Rehovot), David Geffen, MD, and Margarita Tokar, MD (Soroka University Medical Center, Beer Sheva), Goldberg Hadassah, MD (Galilee Medical Center, Nahariya), Natalya Karminsky, MD, PhD (The Edith Wolfson Medical Center, Holon), Bella Kaufman, MD (Chaim Sheba Medical Center, Breast Oncology

Institute, Ramat Gan), Iryna Kuchuk, MD (Meir Medical Center, Kfar Saba), Michelle Leviov, MD (Clalit Health Services—Lin Medical Center, Haifa), Larisa Ryvo, MD, and Ido Wolf, MD (Tel Aviv Sourasky Medical Center, Tel Aviv), Beatrice Uziely, MD (Hadassah Medical Center, Jerusalem), Rinat Yerushalmi, MD (Davidoff Cancer Center, Rabin Medical Center, Beilinson Hospital, Petah Tikva). *Italy*—Antonio Ardizzoia, MD (Presidio Ospedaliero “Alessandro Manzoni”, Lecco), Rossana Berardi, MD (Azienda Ospedaliero-Universitaria Ospedali Riuniti Umberto I, GM Lancisi, G Salesi, Ancona), Antonio Bernardo, MD, and Lorenzo Pavesi, MD (Istituti Clinici Scientifici Maugeri, Pavia), Laura Biganzoli, MD (Nuovo Ospedale di Prato Santo Stefano, Prato), Roberto Bordonaro, MD (Presidio Ospedaliero Garibaldi-Nesima, Catania), Marco Colleoni, MD (European Institute of Oncology, Milan), Giuseppe Curigliano, MD, PhD (European Institute of Oncology, University of Milano, Milan), Mauro D’Amico, MD (Ente Ospedaliero Ospedali Galliera, Genova), Bruno Daniele, MD, and Vincenza Tinessa, MD (Azienda Ospedaliera San Pio, Presidio Gaetano Rummo, Benevento), Michelino De Laurentiis, MD, PhD (Istituto Nazionale Tumori “Fondazione Pascale,” Naples), Alfredo Falcone, MD (Azienda Ospedaliero-Universitaria Pisana Santa Chiara, Pisa), Gabriella Farina, MD, and Nicla Maria La Verde, MD (Azienda Ospedaliera Fatebenefratelli e Oftalmico, Milano), Guido Francini, MD (Azienda Ospedaliero-Universitaria Senese, Siena), Antonio Frassoldati, MD (Azienda Ospedaliero-Universitaria “Arcispedale Sant’Anna,” Ferrara), Daniele Generali, MD (Presidio Ospedaliero di Cremona, Cremona), Donatella Grasso, MD, and Paolo Pedrazoli, MD (Fondazione IRCCS Policlinico San Matteo, Pavia), Vito Lorusso, MD (Istituto Oncologico Giovanni Paolo II, Bari), Gabriele Luppi, MD (Azienda Ospedaliero-Universitaria Policlinico di Modena, Modena), Paolo Marchetti, MD (Azienda Ospedaliero-Universitaria Sant’Andrea, Roma), Filippo Montemurro, MD (Istituto di Candiolo, Candiolo), Antonino Musolino, MD, PhD, MSc (University of Parma—University Hospital of Parma, Parma), Andrea Rocca, MD (Istituto Scientifico Romagnolo per lo Studio e la Cura die Tumori, Meldola), Elena Rota Caremoli, MD (Azienda Ospedaliera Papa Giovanni XXIII, Bergamo), Enzo Ruggeri, MD (Ospedale Belcolle, Viterbo), Armando Santoro, MD (Istituto Clinico Humanitas, Rozzano), Giuseppe Tonini, MD (Policlinico Universitario Campus Bio-Medico, Roma). *Korea*—Seock-Ah Im, MD, PhD (Seoul National University Hospital, Cancer Research Institute, Seoul National University College of Medicine, Seoul), Young-Hyuck Im, MD, PhD (Samsung Medical Center, Seoul), Sung-Bae Kim, MD, PhD (Asan Medical Center, Seoul), Joo Hyuk Sohn, MD, PhD (Severance Hospital, Yonsei University Health System, Seoul). *the Netherlands*—Maaike de Boer, MD, PhD (Maastricht University Medical Center, GROW-School of Oncology and Developmental Biology, Maastricht), Franciscus Erdkamp, MD (Stichting Zuyderland Medisch Centrum, Sittard-Geleen), Daniel Houtsma, MD, and Johanna Portielje, MD, PhD (Haga Ziekenhuis, Leiden), Robbert van Alphen, MD (Elisabeth-Tweesteden Ziekenhuis, Tilburg). *Poland*—Barbara Bauer-Kosinska, MD (Mazowiecki Szpital Onkologiczny, Wieliszew), Dorota Garncarek-Lange, MD, PhD (Wojewódzki Szpital Specjalistyczny we Wrocławiu, Wrocław), Bartosz Itrych, MD, and Tomasz Sarosiek, MD, PhD (Magodent Sp. z o.o. Szpital Elblaska, Warszawa), Tomasz Jankowski, MD, PhD (Centrum Onkologii Ziemi Lubelskiej im. sw. Jana z Dukli, Lublin), Zbigniew Nowecki, MD, PhD (Narodowy Instytut Onkologii im. Marii Skłodowskiej-Curie—Państwowy Instytut Badawczy, Warszawa), Tadeusz Pieńkowski, MD, PhD (Radomskie Centrum Onkologii, Warszawa), Piotr Wysocki, MD, PhD (Szpital Uniwersytecki w Krakowie, Uniwersyteckie Lecznictwo Szpitalne, Krakow). *Portugal*—Miguel Abreu, MD (Instituto Português Oncologia Francisco Gentil do Porto, Porto), Fatima Cardoso, MD, and Maria Rita Dionisio, MD (Champalimaud Clinical Center—Champalimaud Foundation, Lisbon), Luis Costa, MD, PhD (Hospital de Santa Maria, Centro Hospitalar Lisboa Norte, Lisbon). *Puerto Rico*—Mirelis Acosta, MD (Fundacion de Investigacion de Diego, San Juan). *Spain*—José Alés Martínez, MD, PhD (Hospital Nuestra Señora de Sonsoles, Avila), Begoña Bermejo de las Heras, MD, PhD (Hospital Clínico Universitario de Valencia, Valencia), Beatriz Cirauqui, MD (Institut Català d’Oncologia-Hospital Universitari Germans Trias i Pujol, Badalona), Javier Cortes Castan, MD, PhD (IOB Institute of Oncology,

Quironsalud Group, Madrid and Barcelona—Vall d'Hebron Institute of Oncology, Barcelona), Joan Dorca Ribugent, MD (ICO-Hospital Universitari de Girona Dr Josep Trueta, Girona), María Fernández Abad, MD, PhD (Hospital Universitario Ramón y Cajal, Madrid), Laura García Estévez, MD, and Elena Sevillano Fernández, MD (Hospital Madrid Norte Sanchinarro, Madrid), José García Sáenz, MD (Hospital Clínico San Carlos, Madrid), Joaquín Gavilá Gregori, MD (Fundación Instituto Valenciano de Oncología, Valencia), Antonio Gonzalez Martin, MD, PhD, and Raúl Márquez Vázquez, MD (MD Anderson Center Madrid, Madrid), Santiago González Santiago, MD (Hospital San Pedro de Alcántara, Cáceres), José Juan Illarramendi Manas, MD (Hospital de Navarra, Pamplona), Mireia Melé Olivé, MD (Hospital Universitari Sant Joan de Reus, Reus), Serafín Morales Murillo, MD (Hospital Universitari Arnau de Vilanova, Lleida), Laura Palomar Abad, MD, and Ana Santaballa Bertrán, MD (Hospital Universitari i Politècnic La Fe, Valencia), José Pérez García, MD, PhD (Hospital Quirón Barcelona, Barcelona), José Ponce Lorenzo, MD (Hospital General Universitario de Alicante, Alicante), Manuel Ruiz Borrego, MD (Hospital Universitario Virgen del Rocío, Sevilla), Cristina Saura Manich, MD, PhD (Vall d'Hebron University Hospital and Vall d'Hebron Institute of Oncology, Barcelona), Miguel Angel Seguí Palmer, MD, PhD (Hospital Universitari Parc Taulí, Sabadell), Sonia Servitja Tormo, MD, PhD (Hospital del Mar, Barcelona). *the United Kingdom*—Pavel Bezecny, MD, DVM, MRCP (Blackpool Victoria Hospital, Blackpool), Steve Chan, MBBS, DM, FRCR, FRCP (Nottingham University Hospital, Nottingham), Amandeep Dhadda, MBChB, MSc, MRCP, FRCR (Castle Hill Hospital, Hull), Janine Graham, MBChB, MRCP (South Tees NHS Foundation Trust, Middlesbrough), Catherine Harper-Wynne, MBBS, MRCP, MD, CCST, FRCP (Maidstone Hospital—Kent Oncology Centre, Maidstone), Martin Hogg, MBBS, MRCP (Royal Preston Hospital, Blackburn), Chiara Intrivici, MD, PhD (United Lincolnshire Hospitals NHS Trust, Boston, MA), Janine Mansi, MBBS, MRCP, FRCP, MD (Guys and Saint Thomas NHS Foundation Trust, London); Christopher J. Poole, MBBChir, MRCP, FRCP (University Hospital Coventry, Coventry). *the United States*—Apurv Agrawal, MD (New Jersey Hematology Oncology Associates, Brick, NJ), Eugene Ahn, MD, and Dennis Citrin, MBChB, MRCP, PhD (Cancer Treatment Centers of America at Midwestern Reg, Zion, IL), Sramila Aithal, MD (Eastern Regional Medical Center Inc, Philadelphia, PA), Eleni Andreopoulou, MD, and Linda Vahdat, MD, MBA (Breast Center at Weill Cornell Medicine, New York, NY), Shakeela Bahadur, MD (Banner MD Anderson Cancer Center, Gilbert, AZ), Samuel Bailey, MD, and Hassan Ghazal, MD (ARH Cancer Clinic, Hazard, KY), Reema Batra, MD (Sharp Memorial Hospital, San Diego, CA), Chiara Battelli, MD, PhD (New England Cancer Specialists, Scarborough, ME), Thaddeus Beeker, MD, and Michael Meshad, MD (Southern Cancer Center, Mobile, AL), Christiana M. Brenin, MD (University of Virginia Cancer Center, Charlottesville, VA), Ursa Brown-Glaberman, MD (University of New Mexico Comprehensive Cancer Center, Albuquerque, NM), Adam Brufsky, MD, PhD, FACP (University of Pittsburgh Medical Center, Pittsburgh, PA), Daniel Bruetman, MD, and Ebenezer Kio, MD (IU Health Goshen Center For Cancer Care, Goshen, IN), Jennifer Carney, MD, MA (Kaiser Permanente Hawaii Moanalua Medical Center, Honolulu, HI), Helen Chew, MD (UC Davis Medical Center—UC Davis Comprehensive Cancer, Sacramento, CA), Marc Citron, MD (ProHealth Care Associates, LLP, Lake Success, NY), Melody Cobleigh, MD (Rush University Medical Center, Chicago, IL), Suzanne Cole, MD (Mercy Clinic Oncology and Hematology, Oklahoma City, OK), Jessica Croley, MD (Saint Joseph Hospital, Lexington, KY), Christopher Croot, MD (Jewish Medical Center Northeast, Louisville, KY), Brooke Daniel, MD (Tennessee Oncology PLLC, Chattanooga, TN), Robert Dichmann, MD (Central Coast Medical Oncology, Santa Maria, CA), Alfred DiStefano, MD (Arlington Cancer Center, Arlington, TX), Tracy Dobbs, MD (Tennessee Cancer Specialists, PLLC—Dowell Springs, Knoxville, TN), Robert Droder, MD, and Arielle Lee, MD (HOPE Cancer Center of East Texas, Tyler, TX), Erin Ellis, MD (Swedish Cancer Institute, Seattle, WA), John Erban, MD, and Rachel Buchsbaum, MD (Tufts Medical Center Cancer Center, Boston, MA), Louis Fehrenbacher, MD, and Jennifer Suga, MD (Kaiser Permanente Medical Center, Vallejo, CA), Trevor Feinstein, MD (Piedmont Cancer Institute, PC, Atlanta, GA), Erin Fleener, MD (Saint Joseph Health Cancer Center, Bryan, TX), William Fusselman, MD (Physicians Clinic of Iowa, Cedar Rapids, IA), Nashat Gabrail, MD (Gabrail Cancer Center Research, Canton, OH), Christopher Gallagher, MD (MedStar Washington Hospital Center, Washington, DC), William J. Gradishar, MD, FASCO, FACP (Northwestern University, Chicago, IL), Deena Graham, MD (Hackensack University Medical Center—John Theurer Cancer Center, Hackensack, NJ), Maria Grosse-Perdekamp, MD (Carle Cancer Center, Urbana, IL), Barbara Haley, MD (University of Texas Southwestern Medical Center, Dallas, TX), Kathleen Harnden, MD, and Mary Wilkinson, MD (Inova Schar Cancer Institute, Fairfax, VA), Lowell Hart, MD, FACP (Florida Cancer Specialists & Research Institute, Fort Myers, FL), John Hrom, MD (Hattiesburg Clinic, PA, Hattiesburg, MS), Sara Hurvitz, MD, FACP (UCLA Hematology Oncology, Ventura, Los Angeles, CA), Nicholas Iannotti, MD, FACP (Hematology/Oncology Associates of the Treasure Coast, Port Saint Lucie, FL), Sujith Kalmadi, MD (Ironwood Cancer & Research Center, Chandler, AZ), Edward Kaplan, MD (Hematology Oncology of the North Shore, Skokie, IL), Peter A. Kaufman, MD, and Gary Schwartz, MD (Dartmouth-Hitchcock Norris Cotton Cancer Center, Lebanon, NH), Mary Kemeny, MD, FACS (Queens Hospital Center, Jamaica, NY), Stephen Kendall, MD (Utah Cancer Specialist, Salt Lake City, UT), Elisa Krill-Jackson, MD, FACP (Mount Sinai Comprehensive Cancer Center, Miami Beach, FL), Bradley Lash, MD (Guthrie Medical Group, PC, Corning, NY), Andrew Brown, MD, and Anna Litvak, MD (Cancer Center of Saint Barnabas Medical Center, Livingston, NJ), Philip Lowry, MD (Guthrie Medical Group, PC, Sayre, PA), Kit Lu, MD (UPMC Pinnacle Health Cancer Institute, Harrisburg, PA), Cynthia Lynch, MD (Western Regional Medical Center, Inc, Goodyear, AZ), Ajit Maniam, MD (Pacific Cancer Medical Center, Inc, Anaheim, CA), Monte Martin, MD (Flaget Cancer Center, Bardstow, KY), Samuel McCachren, MD, Suratha Murali, MD, MS, and Wengting Zhang, MD, PhD (Thompson Cancer Survival Center, Knoxville, TN), Diana Medgyesy, MD, FACP, and Ann Stroh, DO (Poudre Valley Health Care, Inc, Fort Collins, CO), Susan Melin, MD (Wake Forest University Baptist, Winston Salem, NC), Raul Mena, MD (East Valley Hematology and Oncology Medical Group, Burbank, CA), Kathy Miller, MD (Indiana University Health Melvin and Bren Simon Cancer Center, Indianapolis, IN), Aldemar Montero, MD (Gwinnett Medical Center—Center for Cancer Care, Lawrenceville, GA), Mahvish Muzaffar, MD (ECU—Leo W Jenkins Cancer Center, Greenville, NC), Bichlien Nguyen, MD (Long Beach Memorial Medical Center, Bakersfield, CA), Damien Hansra, MD, and Mary Ninan, MD (Southeastern Regional Medical Center, Newnan, GA), Yelena Novik, MD, FACP (New York University Clinical Cancer Center, New York, NY), Brian O'Connor, MD (Frederick Memorial Hospital, Frederick, MD), Ira Oliff, MD (Orchard Healthcare Research Inc, Skokie, IL), Raul Oyola, MD (Northwest Georgia Oncology Centers, PC, Marietta, GA), Mark D. Pegram, MD (Stanford Comprehensive Cancer Institute, Stanford University School of Medicine, Stanford, CA), Alejandra Perez, MD (University of Miami Sylvester Comprehensive Cancer Center, Plantation, FL), Timothy J. Pluard, MD (Saint Luke's Cancer Institute, Kansas City, MO), David Riseberg, MD (Mercy Medical Center, Baltimore, MD), Angel Rodriguez, MD (Austin Cancer Center, Austin, TX), Hope S. Rugo, MD, FASCO (University of California San Francisco Helen Diller Family Comprehensive Cancer Center, San Francisco, CA), Lupe Salazar, MD (University of Washington—Seattle Cancer Care Alliance, Seattle, WA), Nikita Shah, MD (UF Health Cancer Center at Orlando Health, Orlando, FL), Sagun Shrestha, MD (Southwestern Regional Medical Center Inc, Tulsa, OK), Bethany Sleckman, MD (Mercy Hospital Saint Louis, Saint Louis, MO), Robert Somer, MD (Cooper University Hospital, Camden, NJ), Scott Sonnier, MD (Touro Infirmary Hospital, Marrero, LA), Elizabeth Tan-Chiu, MD (FL Cancer Research Institute, Plantation, FL), Saritha Thumma, MD (Cancer Care Northwest, PS, Spokane, WA), Michaela Tsai, MD (Virginia Piper Cancer Institute, Minneapolis, MN), Sonia Varghese, MD, MBBS (Mercy Clinic Oncology and Hematology, Dallas, TX), Sumithra Vattigunta, MD (Palm Beach Cancer Institute, West Palm Beach, FL), Pramvir Verma, MD (Fort Belvoir Community Hospital, Fort Belvoir, VA), Jeanine L Werner, MD (Anne Arundel Medical Center, Annapolis, MD), Gail S. Wright, MD, FACP, FCCP (Florida Cancer Specialists & Research

Institute, New Port Richey, FL), Denise A. Yardley, MD (Sarah Cannon Research Institute and Tennessee Oncology PLLC, Nashville, TN), Robyn Young, MD (The Center for Cancer and Blood Disorders, Fort Worth, TX), Andrew Zahalsky, MD (Monongahela Valley Hospital, Monongahela, PA).

**APPENDIX 2. SUPPLEMENTAL MATERIAL**

**Supplemental Efficacy Results**

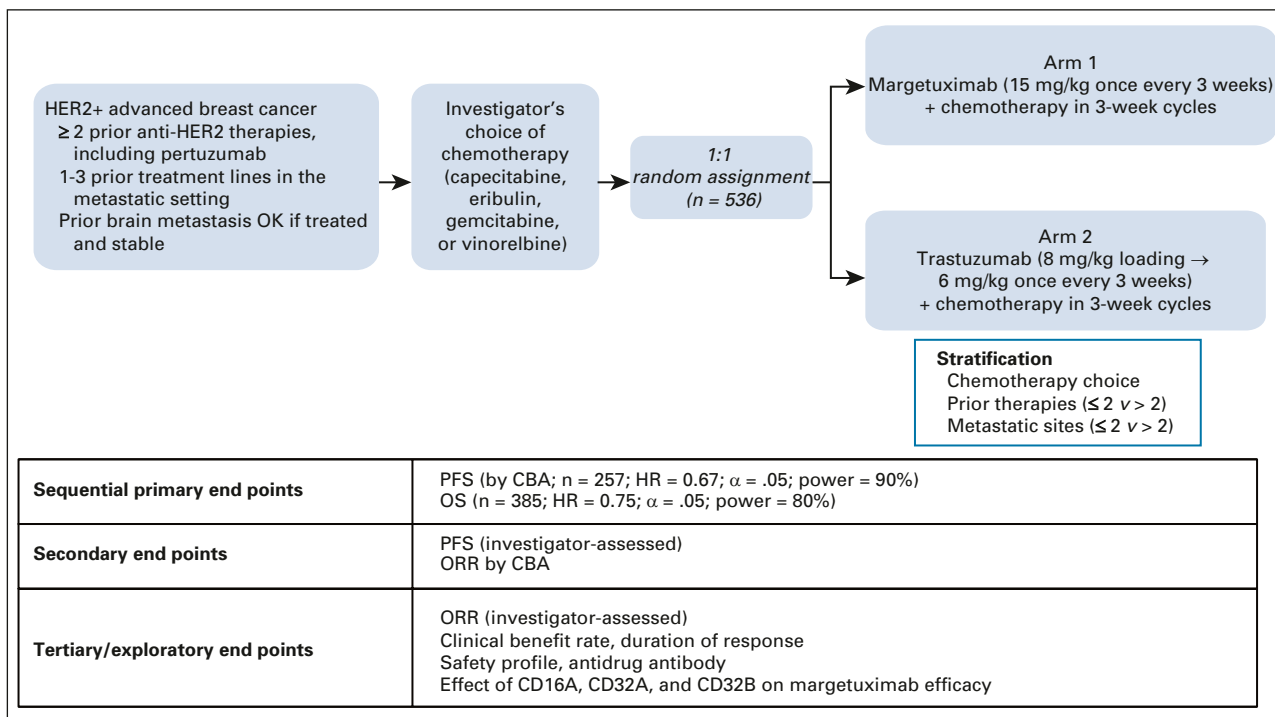
The median progression-free survival assessed by the investigator in the intention-to-treat population was nominally statistically different between the two treatment groups: 5.7 months with margetuximab versus 4.4 months with trastuzumab (hazard ratio, 0.73; 95% CI, 0.60 to 0.88; *P* = .001; Appendix Fig A3). These findings were similar at the cutoff of September 2019 when the median progression-free survival assessed by the investigator was 5.7 months with margetuximab versus 4.4 months with trastuzumab (hazard ratio, 0.71; 95% CI, 0.58 to 0.86; *P* < .001).<sup>5</sup>

All 536 patients were evaluable for response. Margetuximab recipients had higher investigator-assessed objective response rate (ORR) than trastuzumab recipients (26% v 14%; Appendix Table A1). These rates were similar at the cutoff of September 2019 when the

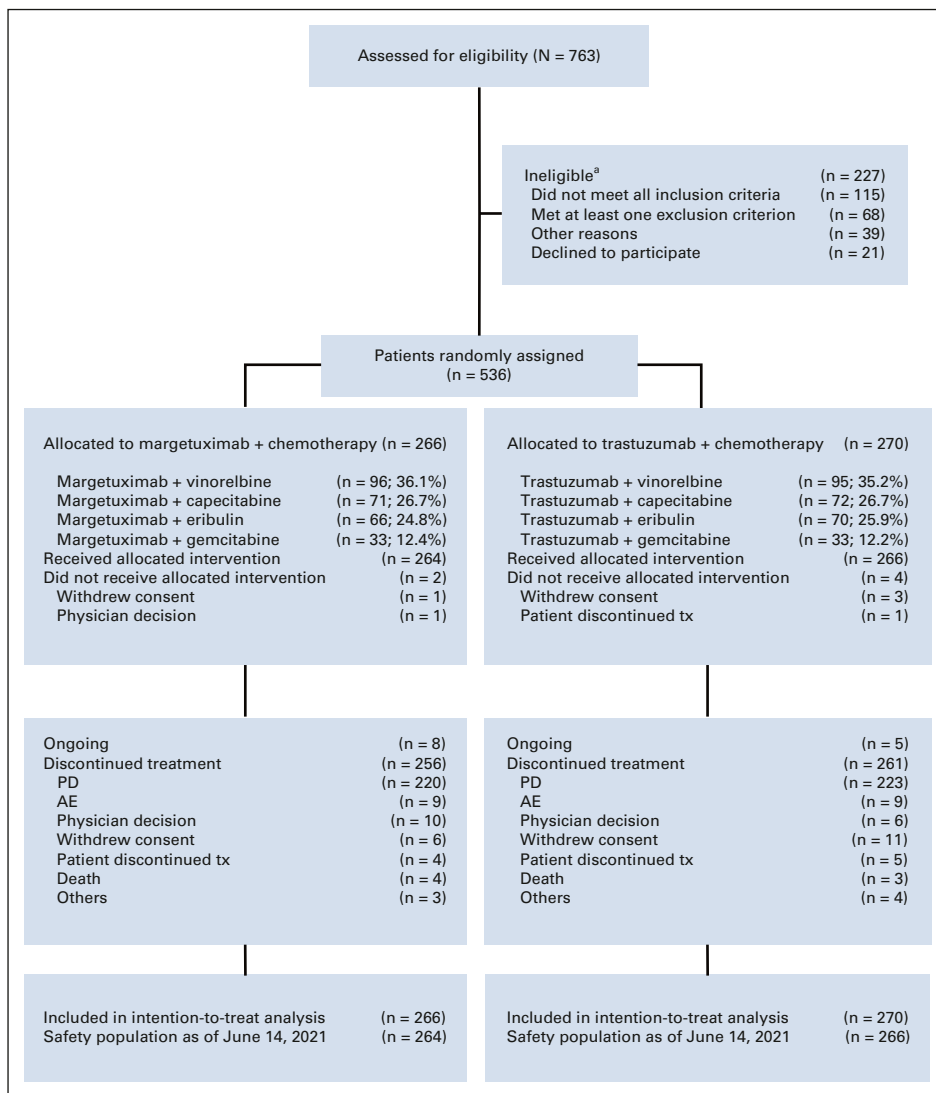
investigator-assessed ORR was 25% versus 14%.<sup>5</sup> Subgroup analysis of ORR by CD16A genotype showed that margetuximab improved ORR over trastuzumab across all CD16A-158 genotypes, except in the CD16A-158V homozygous patients, who experienced improved ORR from trastuzumab treatment instead (Appendix Table A1).

**Supplemental Safety Results**

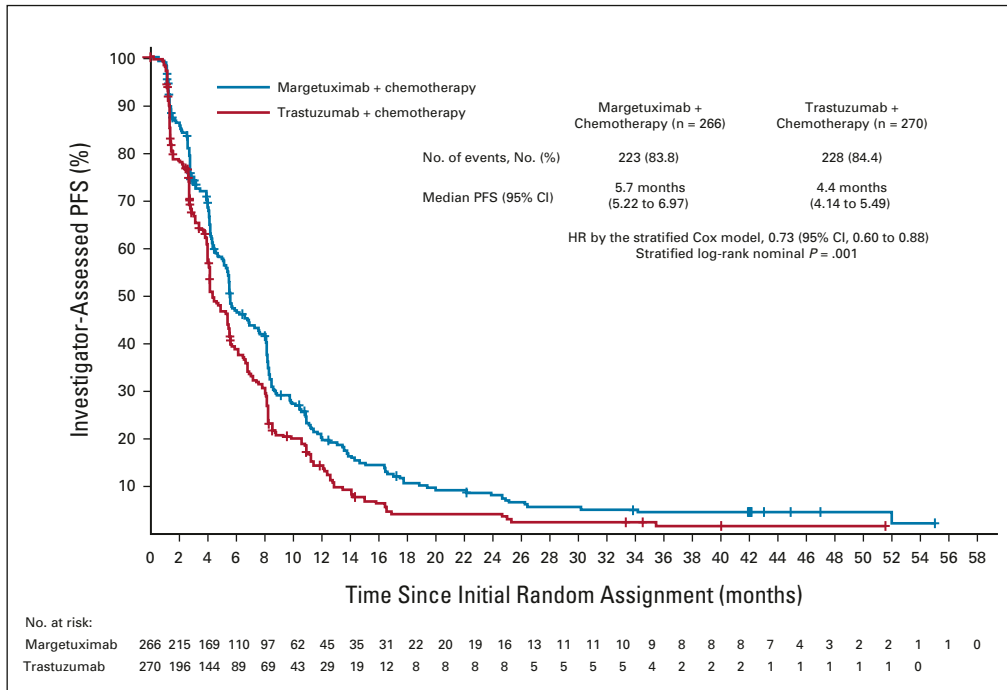
Adverse events (AEs) of special interest included infusion-related reactions (IRRs) and left ventricular (LV) dysfunction. All-grade IRRs were more common with margetuximab than with trastuzumab (36 [14%] v 9 [3%], respectively; Appendix Tables A2 and A3). Among margetuximab recipients, grade ≥ 3 IRRs were reported in five (2%) patients and IRRs leading to discontinuation in three (1.1%) patients. No trastuzumab recipients had grade ≥ 3 IRRs or IRRs leading to discontinuation. AEs of LV dysfunction occurred in eight patients (3%) in both treatment groups (Appendix Table A2). Grade ≥ 3 LV dysfunction AEs were observed in three margetuximab recipients (1%) and one trastuzumab recipient (0.4%). AEs of LV dysfunction requiring dose delay or discontinuation were experienced in four margetuximab-treated (2%) versus seven trastuzumab-treated patients (3%).



**FIG A1.** SOPHIA study design. CBA, central blinded analysis; HR, hazard ratio; ORR, objective response rate; OS, overall survival; PFS, progression-free survival.



**FIG A2.** CONSORT diagram. All randomly assigned patients were included in the intention-to-treat population; randomly assigned patients who received at least one dose of study treatment were included in the safety population. Reasons for withdrawals are shown. <sup>a</sup>A patient may have more than one reason for screening failure. AE, adverse event; PD, progressive disease; tx, treatment.



**FIG A3.** PFS assessed by the investigator in the intention-to-treat population (cutoff, June 14, 2021; n = 536). HR, hazard ratio; PFS, progression-free survival.

**TABLE A1.** Investigator-Assessed ORR by CD16A

Responses	Response Evaluable Population (n = 536)		CD16A-158F Carriers (F/F and F/V; n = 437)		CD16A-158F Homozygotes (F/F; n = 192)		CD16A-158F/V Heterozygotes (n = 245)		CD16A-158V Homozygotes (V/V; n = 69)	
	M + CTX (n = 266)	T + CTX (n = 270)	M + CTX (n = 221)	T + CTX (n = 216)	M + CTX (n = 102)	T + CTX (n = 90)	M + CTX (n = 119)	T + CTX (n = 126)	M + CTX (n = 37)	T + CTX (n = 32)
BOR, No. (%)										
CR	6 (2.3)	4 (1.5)	6 (2.7)	2 (0.9)	4 (3.9)	2 (2.2)	2 (1.7)	0	0	2 (6.3)
PR	62 (23.3)	33 (12.2)	56 (25.3)	27 (12.5)	24 (23.5)	13 (14.4)	32 (26.9)	14 (11.1)	6 (16.2)	5 (15.6)
SD	142 (53.4)	158 (58.5)	121 (54.8)	131 (60.6)	61 (59.8)	48 (53.3)	60 (50.4)	83 (65.9)	18 (48.6)	15 (46.9)
PD	40 (15.0)	57 (21.1)	30 (13.6)	45 (20.8)	11 (10.8)	21 (23.3)	19 (16.0)	24 (19.0)	9 (24.3)	9 (28.1)
NE/NA	16 (6.0)	18 (6.7)	8 (3.6)	11 (5.1)	2 (2.0)	6 (6.7)	6 (5.0)	5 (4.0)	4 (10.8)	1 (3.1)
ORR, No. (%)	68 (25.6)	37 (13.7)	62 (28.1)	29 (13.4)	28 (27.5)	15 (16.7)	34 (28.6)	14 (11.1)	6 (16.2)	7 (21.9)

Abbreviations: BOR, best overall response; CR, complete response; CTX, chemotherapy; F/F, CD16A-158FF homozygotes; F/V, CD16A-158FV heterozygotes; M, margetuximab; NA, not available; NE, not evaluable; ORR, objective response rate; PD, progressive disease; PR, partial response; SD, stable disease; T, trastuzumab; V/V, CD16A-158V homozygotes.



**TABLE A2.** Summary of AEs in the Safety Population (cutoff, June 14, 2021)

<b>Incidence</b>	<b>Margetuximab + Chemotherapy (n = 264), No. (%)</b>	<b>Trastuzumab + Chemotherapy (n = 266), No. (%)</b>
Any-grade AE	260 (98.5)	261 (98.1)
HER2-targeted treatment-related AE of any grade	163 (61.7)	133 (50.0)
Chemotherapy-related AEs of any grade	238 (90.2)	239 (89.8)
Any-grade infusion-related AEs	36 (13.6)	9 (3.4)
Grade $\geq$ 3 infusion-related AEs	5 (1.9)	0
Any-grade LVEF dysfunction	8 (3.0)	8 (3.0)
Grade $\geq$ 3 LVEF dysfunction	3 (1.1)	1 (0.4)
Grade $\geq$ 3 AE	146 (55.3)	141 (53.0)
HER2-targeted treatment-related grade $\geq$ 3 AE	37 (14.0)	22 (8.3)
Chemotherapy-related grade $\geq$ 3 AE	113 (42.8)	108 (40.6)
Any SAE	47 (17.8)	51 (19.2)
HER2-targeted treatment-related SAE	4 (1.5)	4 (1.5)
Chemotherapy-related SAE	15 (5.7)	24 (9.0)
AE leading to treatment discontinuation from combined antibody plus chemotherapy	11 (4.2)	8 (3.0)
AE leading to chemotherapy discontinuation	35 (13.3)	17 (6.4)
AE leading to discontinuation from the study	10 (3.8)	10 (3.8)
Discontinuation of HER2-targeted treatment because of IRRs	3 (1.1)	0
LVEF dysfunction leading to dose delay or discontinuation	4 (1.5)	7 (2.6)
AE resulting in deaths	4 (1.5) <sup>a</sup>	2 (0.8) <sup>b</sup>
HER2-targeted treatment-related AE resulting in deaths	0	0

Abbreviations: AE, adverse event; HER2, human epidermal growth factor receptor 2; IRR, infusion-related reaction; LVEF, left ventricular ejection fraction; SAE, serious AE.

<sup>a</sup>Two patients had pneumonia, one had pneumonia aspiration, and one had coronavirus infection.

<sup>b</sup>One patient had pneumonia, and the other had acute kidney injury.

**TABLE A3.** AEs in the Safety Population, Regardless of Causality (cutoff, June 14, 2021)

Preferred Term	Margetuximab + Chemotherapy (n = 264)		Trastuzumab + Chemotherapy (n = 266)	
	All Grade <sup>a</sup>	Grade ≥ 3 <sup>b</sup>	All Grade <sup>a</sup>	Grade ≥ 3 <sup>b</sup>
Nonhematologic AEs, No. (%)				
Fatigue <sup>c</sup>	112 (42.4)	14 (5.3)	95 (35.7)	8 (3.0)
Nausea	88 (33.3)	3 (1.1)	87 (32.7)	1 (0.4)
Diarrhea	69 (26.1)	6 (2.3)	67 (25.2)	6 (2.3)
Vomiting <sup>d</sup>	55 (20.8)	2 (0.8)	38 (14.3)	4 (1.5)
Pyrexia	52 (19.7)	1 (0.4)	37 (13.9)	1 (0.4)
Constipation	51 (19.3)	2 (0.8)	45 (16.9)	2 (0.8)
Headache	50 (18.9)	0	44 (16.5)	0
Asthenia	49 (18.6)	6 (2.3)	33 (12.4)	5 (1.9)
Alopecia	47 (17.8)	0	39 (14.7)	0
Cough	42 (15.9)	1 (0.4)	32 (12.0)	0
Decreased appetite	38 (14.4)	1 (0.4)	38 (14.3)	1 (0.4)
Infusion-related reaction <sup>e,f</sup>	36 (13.6)	5 (1.9)	9 (3.4)	0
Dyspnea	34 (12.9)	3 (1.1)	30 (11.3)	6 (2.3)
PPE syndrome	33 (12.5)	1 (0.4)	43 (16.2)	8 (3.0)
Pain in extremity	32 (12.1)	3 (1.1)	24 (9.0)	0
Arthralgia	28 (10.6)	0	23 (8.6)	1 (0.4)
Stomatitis	28 (10.6)	2 (0.8)	21 (7.9)	0
Abdominal pain	26 (9.8)	4 (1.5)	37 (13.9)	3 (1.1)
Urinary tract infection	26 (9.8)	2 (0.8)	28 (10.5)	3 (1.1)
Peripheral neuropathy	26 (9.8)	1 (0.4)	28 (10.5)	3 (1.1)
Dizziness	26 (9.8)	1 (0.4)	17 (6.4)	0
Mucosal inflammation <sup>g</sup>	26 (9.8)	0	8 (3.0)	1 (0.4)
Back pain	24 (9.1)	1 (0.4)	27 (10.2)	3 (1.1)
Hypokalemia	17 (6.4)	5 (1.9)	21 (7.9)	4 (1.5)
Hypertension	14 (5.3)	5 (1.9)	8 (3.0)	2 (0.8)
Pneumonia	9 (3.4)	5 (1.9)	11 (4.1)	9 (3.4)
Pleural effusion	8 (3.0)	2 (0.8)	13 (4.9)	4 (1.5)
Syncope	4 (1.5)	4 (1.5)	0	0
Hematologic AEs, No. (%)				
Neutropenia <sup>h</sup>	76 (28.8)	54 (20.5)	55 (20.7)	33 (12.4)
Anemia <sup>i</sup>	50 (18.9)	13 (4.9)	63 (23.7)	17 (6.4)
Neutrophil count decreased	33 (12.5)	23 (8.7)	39 (14.7)	28 (10.5)
ALT increased	26 (9.8)	5 (1.9)	26 (9.8)	4 (1.5)

(continued in next column)

**TABLE A3.** AEs in the Safety Population, Regardless of Causality (cutoff, June 14, 2021) (continued)

Preferred Term	Margetuximab + Chemotherapy (n = 264)		Trastuzumab + Chemotherapy (n = 266)	
	All Grade <sup>a</sup>	Grade ≥ 3 <sup>b</sup>	All Grade <sup>a</sup>	Grade ≥ 3 <sup>b</sup>
AST increased	22 (8.3)	7 (2.7)	34 (12.8)	3 (1.1)
WBC decreased	20 (7.6)	7 (2.7)	26 (9.8)	8 (3.0)
Leukopenia	14 (5.3)	4 (1.5)	10 (3.8)	1 (0.4)
Febrile neutropenia <sup>j</sup>	8 (3.0)	8 (3.0)	13 (4.9)	13 (4.9)

Abbreviations: AE, adverse event; PPE, palmar-plantar erythrodysesthesia.

<sup>a</sup>All-grade AEs with an incidence of 10% or more in either treatment group.

<sup>b</sup>Grade ≥ 3 with an incidence of at least 2% in either treatment group.

<sup>c</sup>Exact test *P* value for nonprespecified comparison of all-grade fatigue between treatment groups (42.4% v 35.7%): *P* = .1301. Exact test *P* value for nonprespecified comparison of grade ≥ 3 fatigue between treatment groups (5.3% v 3.0%): *P* = .1991.

<sup>d</sup>Exact test *P* value for nonprespecified comparison of all-grade vomiting between treatment groups (20.8% v 14.3%): *P* = .0525.

<sup>e</sup>Infusion-related reactions include hypersensitivity/anaphylactic/anaphylactoid reactions.

<sup>f</sup>Exact test *P* value for nonprespecified comparison of all-grade infusion-related reaction between treatment groups (13.6% v 3.4%): *P* < .0001.

<sup>g</sup>Exact test *P* value for nonprespecified comparison of all-grade mucosal inflammation between treatment groups (9.8% v 3.0%): *P* = .0013.

<sup>h</sup>Exact test *P* value for nonprespecified comparison of all-grade neutropenia between treatment groups (28.8% v 20.7%): *P* = .0345. Exact test *P* value for nonprespecified comparison of grade ≥ 3 neutropenia between treatment groups (20.5% v 12.4%): *P* = .0138.

<sup>i</sup>Exact test *P* value for nonprespecified comparison of all-grade anemia between treatment groups (18.9% v 23.7%): *P* = .2035.

<sup>j</sup>Exact test *P* value for nonprespecified comparison of grade ≥ 3 febrile neutropenia between treatment groups (3.0% v 4.9%): *P* = .3737.