## [ Athletic Training ]



SPORTS HEALTH

# Concussion in Sports: The Sideline Assessment

Steven P. Broglio, PhD, ATC,\*+ and Kevin M. Guskiewicz, PhD, ATC+

Context: The vast differences between individual athletes makes identifying and evaluating sports-related concussion one of the most complex and perplexing injuries faced by medical personnel.

**Evidence Acquisition:** This review summarizes the existing literature supporting the use of a multifaceted approach to concussion evaluation on the sideline of the athletic field. Information was drawn from a PubMed search (MEDLINE) for the terms sport *concussion* for the most recent and relevant literature.

**Conclusions:** By using a standardized clinical examination that is supported by objective measures of concussion-related symptoms, mental status, and postural control, the medical professional becomes well equipped to make an informed diagnosis.

Keywords: mild traumatic brain injury; symptoms; postural control; neurocognitive status

oncussion, as that occurring in the context of sports, is defined as a complex pathophysiological process that affects the brain, as induced by traumatic biomechanical forces.<sup>2,30,31</sup> Although concussions occur in a number of other settings (eg, automobile accidents), injuries resulting from sports participation typically represent, not a structural change, but an alteration in the functional capacity of the cerebral tissue.<sup>13</sup> Traditional concussion incidence estimates (300 000 cases in a given year<sup>6</sup>) grossly underestimate the true injury occurrence by excluding injuries that do not result in a loss of consciousness. More recent estimates propose that many injuries have gone unreported and undiagnosed, suggesting that the true injury incidence is between 1.6 and 3.8 million per year.<sup>20</sup> The incidence of concussion varies widely between sports,<sup>10</sup> and for some sports (ie, women's ice hockey), the incidence of concussion may exceed that of all other injuries.<sup>1</sup> Regardless of the setting, certified athletic trainers (ie, ATCs) and other sports medicine professionals will likely deal with this complex and highly misunderstood injury at least once in their careers.

Much of the inherent complexity in evaluating athletes suspected of sustaining a concussion lies in the broad spectrum of outcomes associated with the injury. For example, impact location and magnitude,<sup>17</sup> previous history of concussive injuries,<sup>16</sup> learning disabilities,<sup>7</sup> and age<sup>11</sup> have all been shown to influence the risk of concussion and outcomes following injury. To further complicate matters, no definitive diagnostic tool is available for concussion at this time. Standard computed tomography and magnetic resonance imaging are insensitive to the functional deficits observed following concussion.<sup>31</sup> In addition, although functional magnetic resonance imaging, diffuse tensor imaging, and single photon emission computed tomography show promise, their widespread use as an objective diagnostic tool has not yet been substantiated. Thus, several objective measures are recommended to support the clinical examination. Although the advent of these tools has vastly improved the concussion diagnosis, the clinical examination remains the gold standard for evaluation.<sup>14</sup>

The athletic trainer often represents the frontline of defense in protecting concussed athletes from returning to a game or practice and placing themselves at risk for further injury. Athletic trainers have the distinct advantage of knowing the personalities and habits of their athletes, which affords them the opportunity to rapidly identify alterations that would lead one to suspect a concussion. Once an athlete has been suspected of sustaining a concussion, a physician must be involved in the return-to-play decision, but the certified athletic trainer often manages the injury alone on the sideline. Similar to the evaluation of orthopaedic injuries, a systematic approach to concussion assessment permits medical personnel to rule out other, more serious injuries.

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## Graded Symptom Checklist (GSC)

<u></u>	(T) (		A ( 11	10.17	
Symptom	Time of	2-3 Hours	24 Hours	48 Hours	72 Hours
Dhumod winion	injury	postinjury	postinjury	postinjury	postinjury
Blurred vision		<u> </u>			
Dizziness					
Drowsiness					
Excess sleep					
Easily distracted					
Fatigue					
Feel "in a fog"					
Feel "slowed down"					
Headache					
Inappropriate emotions					
Irritability					
Loss of consciousness					
Loss or orientation					
Memory problems					
Nausea					
Nervousness					
Personality change					
Poor balance/coordination					
Poor concentration					
Ringing in ears					
Sadness					
Seeing stars					
Sensitivity to light					
Sensitivity to noise					
Sleep disturbance					
Vacant stare/glassy eyed					
Vomiting					
NOTE: The GSC should be u	sed not only for	r the initial eval	uation but for ea	ch subsequent f	`ollow-up
assessment until all signs and symptoms have cleared at rest and during physical exertion. In lieu of				n lieu of	
imply checking each symptom present, the ATC can ask the athlete to grade or score the severity of the			verity of the		
symptom on a scale of 0-6, w	here 0=not pres	sent, 1=mild, 3=	moderate, and 6	most severe.	

Figure 1. The Graded Symptom Checklist. Reprinted with permission from The Journal of Athletic Training.<sup>15</sup>

#### THE ON-FIELD ASSESSMENT

### Primary Survey

The identification of the concussed athlete remains the most difficult aspect of the assessment process. Most athletes neglect to inform medical personnel if they have a concussion,<sup>27</sup> and

most will not show visible signs of concussion. In fact, only 10% of concussive injuries will involve a loss of consciousness.<sup>9,16</sup> If an athlete is rendered unconscious, medical personnel should suspect a cervical spine injury when approaching the athlete on the playing field. The initial assessment should first include a determination of level of consciousness, then a

primary survey of the athlete's airway, breathing, and circulation while the cervical spine is maintained in a neutral position. Once the athlete regains consciousness and more severe injuries have been ruled out (eg, cervical spine or cranial fracture), the athlete can be taken to the sideline for further evaluation. If the athlete remains in an unconscious state, he or she should be transported to the nearest medical facility for further evaluation.

#### Secondary Survey

The sideline assessment for concussion begins before the competitive season. The highly variable nature of concussion mandates an individualized approach to injury management,<sup>2,30</sup> and it necessitates the use of baseline assessments for each athlete, when injury free. Regardless of the tests employed, the evaluation should include measures of concussion-related symptoms, postural control, and neurocognitive function<sup>15</sup> (each of which is addressed in detail below). When an athlete is evaluated following a suspected concussive blow, the premorbid data can be used to objectively identify postinjury change that will support the decision derived from the clinical examination.

#### History

The sideline assessment for concussion should follow a standardized protocol similar to the orthopaedic evaluation, with the initial appraisal related to the injury history. In many instances, the concussive blow was witnessed and the mechanism of injury known. The athlete, teammates, coaches, or other personnel may provide beneficial information concerning the mechanism of injury. Questioning the athlete about the injury will provide pertinent information relative to the injury. First, a level of consciousness can be established through dialogue with the athlete. If the athlete is not alert enough to understand the questions or is passing in and out of consciousness, he or she should be transported to a medical facility for further evaluation. Second, it is important to determine whether the athlete is suffering from retrograde or anterograde amnesia. To establish the presence or absence of retrograde amnesia, injury history questions should start at the time of impact and work backward. For example, the athlete may be asked the following: "Do you remember getting hit?" "Do you recall the play you were running?" "What team are we playing against?" and "Do you remember arriving at the field before the game?" Conversely, the assessment of anterograde amnesia should begin with questions surrounding events following injury, such as "Who was the first person you saw on the field?" and "Do you recall coming over to the bench?"

A follow-up series of questions should address the presence or absence of concussion-related symptoms—a number of which have been identified. The National Athletic Trainers' Association recommends the use of the Graded Symptom Checklist (Figure 1),<sup>15</sup> although a number of similar assessment tools are available.<sup>21,34,35</sup> These scales implement a Likert-type rating of concussion-related symptoms, permitting the quantification of severity and/or duration. The presentation of symptoms will vary widely between concussed individuals, but some symptoms appear more often than others. For instance, headache has been reported in up to 83% of concussed athletes, whereas dizziness (65%) and confusion (57%) may also appear but less frequently.<sup>9,16,19,29</sup> Some athletes who are removed from the field of play may report symptoms related to dehydration (up to 2.5%) and not injury.<sup>33</sup> Notably, the notion that loss of consciousness must occur for the injury to be classified as a concussion is obsolete because only 10% of all concussions result in this clinical sign.<sup>23</sup> Regardless of which signs and symptoms appear, the endorsement of any symptom related to a concussion is enough to withhold an athlete from play.

#### **Observation and Palpation**

Observation and palpation of the athlete can be completed throughout the injury evaluation process. Attention should be paid to variance in the athlete's normal speech pattern, with difficulty finding or saying the correct words when responding to questions (ie, aphasia).<sup>37</sup> Pupil size, reaction to light, and fluidity of eye movement in multiple directions (ie, nystagmus) should also be noted. Furthermore, an evaluation of pulse and blood pressure should be completed to rule out a lifethreatening condition. A high pulse pressure (ie, systolic minus diastolic > 60 mmHg) immediately following exercise is a common result of increased stroke volume8 but should restore itself within 10 minutes. If the pulse pressure remains high and is combined with a pulse rate that is substantially lower than that expected following physical exertion, the athlete may be suffering from increased intracranial pressure possibly attributed to a brain hemorrhage.38

A clinical examination that reveals abnormalities in any of these areas suggests that the injury is more significant than a concussion and so warrants immediate transport and examination at a medical facility. The cervical spine and facial bones should be palpated to rule out fractures or other trauma to these areas.

#### **Special Tests**

Special tests to evaluate for concussion on the sideline should consist of an evaluation of neurocognitive status, postural control, and cranial nerve integrity. Although a variety of tests and questions have been implemented in the past, traditional evaluations of concentration have been found to be insensitive to the effects of concussion—such as the serial seven examination and asking questions such as "What is your name?"<sup>24,28</sup> Alternatively, the Standardized Assessment of Concussion (SAC) was developed as a quick and reliable mental status exam for the field practitioner.<sup>28</sup> Different from more comprehensive pen-and-paper or computer-based neuro-cognitive assessments, the SAC does not require training in neuropsychology for the purposes of administration or interpretation.<sup>25</sup> These characteristics make it an ideal test for administration on the sideline, requiring only 5 or 6 minutes.

The SAC consists of 4 sections that evaluate the areas of orientation, immediate memory, concentration, and delayed

## 1) **ORIENTATION**:

Month:	0	1
Date:	0	1
Day of week:	0	1
Year:	0	1
Time (within 1 hr.):	0	1
		_

Orientation Total Score \_\_\_\_\_ 5

2) <u>IMMEDIATE MEMORY</u>: (all 3 trials are completed regardless of score on trial 1 & 2; total score equals sum across all 3 trials)

List	Trial 1	Trial 2	Trial 3
Word 1	0 1	0 1	0 1
Word 2	0 1	0 1	0 1
Word 3	0 1	0 1	0 1
Word 4	0 1	0 1	0 1
Word 5	0 1	0 1	0 1
Total			

Immediate Memory Total Score / 15

(Note: Subject is not informed of Delayed Recall testing of memory)

## NEUROLOGICAL SCREENING:

Loss of Consciousness: (occurrence, duration)

<u>Pre- & Post-traumatic Amnesia</u>: (recollection of events pre- and post-injury)

Strength:

Sensation:

Coordination:

## 3) CONCENTRATION:

<u>Digits Backward</u> (If correct, go to next string length. If incorrect, read trial 2. Stop after incorrect on both trials)

4-9-3	6-2-9	0 1
3-8-1-4	3-2-7-9	0 1
6-2-9-7-1	1-5-2-8-6	0 1
7-1-8-4-6-2	5-3-9-1-4-8	0 1

<u>Months in reverse order</u>: (entire sequence correct for 1 point) Dec-Nov-Oct-Sep-Aug-Jul Jun-May-Apr-Mar-Feb-Jan \_\_\_\_0 1

Concentration Total Score / 5

## EXERTIONAL MANEUVERS

(when appropriate) 5 jumping jacks 5 push-ups 5 sit-ups 5 knee-bends

## 4) DELAYED RECALL

Word 1	0	1
Word 2	0	1
Word 3	0	1
Word 4	0	1
Word 5	0	1

Delayed Recall Total Score \_\_\_\_ / 5

## Summary of Total Scores :

Orientation	/ 5
Immediate Memory	/ 15
Concentration	/ 5
Delayed Recall	/ 5

Overall Total Score \_\_\_\_/ 30

McCrea, Kelly & Randolph, 2000

Figure 2. The Standardized Assessment of Concussion. Reprinted with permission from M. McCrea.

recall (Figure 2); a brief screening is included to rule out gross neurological deficiencies. Performance on the cognitive domains is summed for a total possible score of 30. The most accurate postmorbid assessment is completed when the postinjury score is compared to a preseason baseline assessment. Multiple versions of the SAC are available to reduce potential practice effects associated with multiple test administrations. Performance decrements of 1 point or more are consistent with impaired cognitive functioning following concussion. Specifically, when the assessment is administered immediately following injury, a 94% sensitivity and 76% specificity are obtained when a 1-point drop in test performance is used as a cutoff for concussion.3 A follow-up investigation yielded similar sensitivity (80%) and specificity (91%) when concussed and control athletes were evaluated at similar time points following injury.26 Other sideline assessment measures, such as the Sideline Concussion Assessment Tool.<sup>30</sup> have similar uses in sports medicine but are limited by undefined psychometric properties and evolving content.30,31

A decreased ability to maintain balance is one of the hallmark signs of concussion. Concussed individuals will commonly show



Figure 3. Stances for the Balance Error Scoring System.

increased postural sway following injury, the degree of which will often increase when the eyes are closed, thereby removing visual referencing. The Romberg test follows this protocol and has been used clinically.36 The test, however, is limited by the subjective nature of the postinjury interpretation, and it has never been validated for clinical management of sport concussion. The Balance Error Scoring System (BESS), however, was developed as an objective postural control measure that can be implemented on the sideline,36 and it has been studied extensively for the past 10 years. The BESS test is conducted under 6 stance conditions (Figure 3): a double-leg stance, single-leg stance, and heel-to-toe tandem stance-all on a firm surface, then all on a compliant foam surface (Alcan Airex AG, Switzerland). Each stance is evaluated for 20 seconds, and the athlete places his or her hands on the hips with the eyes closed. During the trials, the number of errors that the athlete commits are

#### Table 1. Balance Error Scoring System countable errors.<sup>18</sup>

Errors
Hands lifted off the iliac crests
Opening eyes
Step, stumble, or fall
Moving the hip into more than $30^\circ$ of flexion or extension
Lifting the forefoot or heel
Remaining out of the testing position for more than 5 seconds

No.	Name	Function	Nerve Type	Clinical Assessment
I	Olfactory	Smell	Sensory	Provide distinct smelling objects
II	Optic	Visual acuity	Sensory	Read small print
III <sup>b</sup>	Oculomotor	Pupillary reaction	Motor	Shine light in each eye to assess reaction: upward, downward, and medial gaze
IV <sup>b</sup>	Trochlear	Eye movement	Motor	Have eye follow finger downward and laterally without moving head
V	Trigeminal	Mastication and facial sensation	Both	Identify location of touch about face, hold mouth open against resistance, clench teeth
VIb	Abducens	Lateral eye movement	Motor	Have patient move eyes from side to side, lateral gaze
VII	Facial	Facial expressions and taste	Both	Smile, wrinkle forehead, wink, puff cheeks, close eyes tight, identify tastes with anterior tongue
VIIIc	Vestibulocochlear	Equilibrium and hearing	Sensory	Identify sounds, balance assessment
IX	Glossopharyngeal	Voice and swallow	Both	Say "ah," swallow, test gag reflex
Xď	Vagus	Voice and gag reflex	Both	Hoarse voice, test gag reflex
XI <sup>e</sup>	Accessory	Neck strength: sternocleidomastoid and trapezius	Motor	Resist head rotation and shoulder shrugs
XII	Hypoglossal	Tongue movement	Motor	Stick out tongue, resist with tongue depressor

Table 2. Cranial nerve functions with suggested field tests.<sup>a</sup>

<sup>a</sup>Adapted from Moore and Dalley.<sup>32</sup>

<sup>b</sup>Evaluated during the observation portion of the concussion assessment.

evaluated during the special tests when performing the Balance Error Scoring System or other balance tests.

<sup>d</sup>Evaluated when obtaining a history from the athlete.

<sup>e</sup>Evaluated when performing manual muscle tests on the athlete.

counted (Table 1), with a higher number of errors representing suppressed balance. An increase of 3 errors or more over the baseline score may represent a significant change indicative of a balance impairment,<sup>40</sup> with a sensitivity to concussion reported at 34% and with the specificity at 91%.<sup>26</sup>

Similar to the SAC assessment, an individualized approach to interpreting the postinjury BESS scores provides the clinician with the most accurate information. Thus, comparing the postconcussion assessment to the athlete's baseline assessment is recommended. Certain factors should be noted to obtain the most accurate results. For example, application of an external ankle support (eg, taping or bracing) has been shown to negatively influence BESS scores.<sup>4</sup> Thus, when athletes are evaluated on the sideline, it may be necessary to remove any ankle support not present at the time of baseline testing. Furthermore, Wilkins et al<sup>41</sup> noted a decrease in BESS performance when individuals were subjected to a 20-minute fatigue protocol. Athletes removed from a competition for an injury evaluation are likely experiencing some level of fatigue, thereby necessitating some pretest rest (13 to 20 minutes<sup>12,39</sup>).

If a significant increase in the athlete's presentation of symptoms, SAC score (1 or more point decrement<sup>3</sup>), or BESS score (3 or more point increase<sup>40</sup>) is present during the sideline evaluation, the athlete should be removed from play. In the event that the SAC and BESS scores are normal in the postinjury evaluation and the symptoms resolve within 20 minutes, the athlete should still be withheld. Emerging evidence has revealed that symptoms may continue evolving in the subsequent hours following injury<sup>16</sup> and that concussed athletes whose symptoms resolved within 25 minutes of injury have displayed impaired neurocognitive function 36 hours following the trauma.<sup>22</sup>

Although complete disruption of one or more cranial nerves is rare in the sporting context, the cranial nerves may be impaired as a result of the concussive blow. The assessment of many cranial nerves is imbedded throughout the concussion assessment (eg, CN VIII is evaluated during the BESS test), but the integrity of the remaining nerves should be appraised as part of the sideline assessment (Table 2). A cranial nerve assessment revealing functional decrements may indicate a more severe injury and so warrant a timely assessment at a medical facility.

#### Manual Muscle Testing

Should the symptom reports and BESS and SAC scores all appear normal, manual muscle testing and an evaluation of

Table 3. Physician referral checklist. <sup>15</sup>
Immediate Referral (Day of Injury)
1. Loss of consciousness on the field
2. Amnesia lasting longer than 15 minutes
3. Deterioration of neurologic function <sup>a</sup>
4. Decreasing level of consciousness <sup>a</sup>
5. Decrease or irregularity in respirations <sup>a</sup>
6. Decrease or irregularity in pulse <sup>a</sup>
7. Increase in blood pressure
8. Unequal, dilated, or unreactive pupils <sup>a</sup>
9. Cranial nerve deficits
10. Any signs or symptoms of associated injuries, spine or skull fracture, or bleeding <sup>a</sup>
11. Mental status changes: lethargy, difficulty maintaining arousal, confusion, agitation <sup>a</sup>
12. Seizure activity <sup>a</sup>
13. Vomiting
14. Motor deficits subsequent to initial on-field assessment
15. Sensory deficits subsequent to initial on-field assessment
16. Balance deficits subsequent to initial on-field assessment
17. Cranial nerve deficits subsequent to initial on-field assessment
18. Postconcussion symptoms that worsen
19. Additional postconcussion symptoms as compared with those on the field
20. Athlete still symptomatic at the end of the game (especially at high school level)
Delayed Referral (After the Day of Injury)
1. Any of the findings in the day-of-injury referral category
2. Postconcussion symptoms worsen or do not improve over time
3. Increase in the number of postconcussion symptoms reported
4. Postconcussion symptoms begin to interfere with the athlete's daily activities (eg, sleep disturbances, cognitive difficulties)
<sup>a</sup> Requires that the athlete be immediately transported to the nearest emergency department

the neck's range of motion should follow. Range of motion for flexion, extension, and rotation in both directions should be conducted actively and passively; manual muscle testing in the same directions should be similarly performed. If limitations are noted in either range of motion or muscle strength, the athlete should be withheld for additional evaluation. Limitations in these areas may place the athlete at risk for further injury by restricting his or her ability to scan the field for oncoming opponents, or it may reduce his or her ability to brace for a pending impact.

## **Functional Testing**

The final step in the sideline concussion assessment is the functional testing of the athlete. Functional testing should not be confused with same-day return-to-play decision making, which is not recommended in most instances. Rather, functional testing should be completed only if the athlete has performed at or above the baseline level of evaluation on concussion-related symptoms, BESS, and SAC and there are no other contraindications that appeared during the remainder of the clinical examination. Functional testing is performed to elicit symptoms that may occur under the physical demands that the athlete will face once he or she returns to play. A progressive approach to the physical activity should be taken-namely, asking whether concussion-related symptoms have been elicited before moving to the next step. Simple tasks such as a Valsalva maneuver, push-ups, and sit-ups should be performed first, followed by jogging and short sprints. In the final step, the athlete should be able to complete a series of sport-specific activities at an intensity necessitated by the level of play. At each step in the progression, the athlete should be asked whether concussionrelated symptoms have emerged. If symptoms have resulted from the exertion, then the athlete should not be allowed back into play. If no symptoms emerge and all other tests demonstrate normal findings, the athlete has likely not sustained a concussion and can thus return to play.

## CONCLUSION

There is no doubt that concussion remains one of the most complicated injuries faced by athletic trainers and other medical personnel. The injury typically has no visible signs, thus leaving a heavy reliance on the keen eye of medical personnel to detect subtle differences in the athlete. Furthermore, no diagnostic test is available for this injury, which leaves the clinical examination and a battery of indirect objective tests as the primary means of clinical diagnosis. The clinical examination of concussion should follow the same systematic process used with orthopaedic injuries. After conducting a primary survey, the examiner should garner an injury history and observe and palpate the athlete for indications of more severe trauma. Special tests for mental status and postural control, along with reports of concussion-related symptoms, will provide the objective information that supports the clinical exam. Use of assessment tools that evaluate each domain provides the highest sensitivity (94%) and specificity (89%) to concussion<sup>26</sup> and so gives the clinician the most information to make an informed decision. If these tests appear normal, range of motion of the neck and manual muscle testing of the surrounding musculature should be completed to reduce the risk of

Clinical Recommen	dations
SORT: Strength of Recommendation Tax A: consistent, good-quality patient-oriented evid B: inconsistent or limited-quality patient-oriented ev C: consensus, disease-oriented evidence, usual practice, expert of	conomy ence vidence pinion, or case series
Clinical Recommendations <sup>a</sup>	SORT Evidence Rating
	Δ
Varying responses to injury necessitate a systematic approach to concussion management.	A
Varying responses to injury necessitate a systematic approach to concussion management. A baseline/follow-up approach using symptoms, cognitive functioning, and postural control will provide the most set the clinical exam.	nsitive objective data to support

subsequent injury. Finally, should all these measures indicate a healthy status, a functional evaluation should be completed. Throughout the evaluation process, the medical team should inquire about the development, presence, intensity, or return of concussion-related symptoms. In no instance should an athlete return to play if he or she reports a symptom consistent with concussion, substantiating the dictum "When in doubt, sit them out."<sup>5</sup> In the end, the management of and return to participation following a sports-related concussion should involve a team approach, which includes educating athletes, parents, and coaches about the injury. Consultation with a physician may be necessary on the day of injury, but it is mandated in all cases before a return to participation (Table 3).

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