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# Influence of pre-stroke dependency on safety and efficacy of endovascular therapy: A systematic review and meta-analysis

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**Background and purpose:** In the landmark trials studying endovascular thrombectomy (EVT), pre-stroke dependent (PSD) patients were generally excluded. This systematic review and meta-analysis aimed to compare the safety and efficacy of EVT between PSD and pre-stroke independent (PSI) patients.

**Methods:** We searched CENTRAL, Embase, and Ovid MEDLINE up to 11 November 2021 for studies assessing PSD and PSI patients, which were separately defined as pre-stroke mRS score >2 or >1, and  $\leq$ 2 or  $\leq$ 1 accordingly. Two authors extracted data and assessed the risk of bias. A meta-analysis was carried out using the random-effects model. Adjusted OR and 95% CI were used to estimate adjusted pool effects. The main outcomes included favorable outcomes, successful recanalization, symptomatic intracranial hemorrhage, and 90-day mortality.

**Results:** A total of 8,004 records met the initial search strategy, and ten studies were included in the final decision. Compared with  $PSI_{mRS \leq 2}$ ,  $PSD_{mRS > 2}$  had a lower favorable outcome (OR 0.51; 95% CI, 0.33–0.79) and higher 90-day mortality (OR 3.32; 95% CI, 2.77–3.98). No significant difference was found in successful recanalization and sICH. After adjustment, only 90-day mortality (aOR 1.99; 95% CI, 1.58–2.49) remained significantly higher in  $PSD_{mRS > 2}$ . Compared with  $PSI_{mRS \leq 1}$ ,  $PSD_{mRS > 1}$  had lower 90-day mortality (OR, 3.10; 95% CI, 1.84–5.24). No significant difference was found regarding the favorable

outcome, successful recanalization, and sICH. After adjustment, no significant difference was found in a favorable outcome, but a higher rate of 90-day mortality (aOR, 2.13; 95% CI, 1.66–2.72) remained in  $PSD_{mRS>1}$ .

**Conclusions:** PSD does not innately influence the EVT outcomes regarding sICH and favorable outcomes but may increase the risk of 90-day mortality. Until further evidence is available, it is reasonable to suggest EVT for patients with PSD.

KEYWORDS

ischemic stroke, patient selection, treatment outcome, meta-analysis, systematic review, endovascular therapy (EVT)

# Introduction

Endovascular thrombectomy (EVT) has been proven to be an effective therapy for patients with acute ischemic stroke (1). However, most randomized controlled trials (RCTs) and real-world research limited investigation of patients with a pre-stroke modified Rankin scale (mRS) score of 0-1, and those with a score of  $\geq 2$  were largely excluded (2). There are studies showing that even with a higher mRS score, pre-stroke dependent (PSD) patients may also benefit from EVT when compared with best medical therapy (3, 4). It is less clear whether these patients achieve similar safety and efficacy as pre-stroke independent patients (PSI) after EVT. Recently, this issue has been hotly debated with inconsistent results among studies. A study conducted by Goda et al. indicated that PSD patients might have an extremely poor prognosis (5), while others indicated that PSD was not associated with less-favorable outcomes and should not be an exclusion criterion for EVT (6, 7). Therefore, in order to maximally expand the benefit of EVT in patients with acute ischemic stroke, it is necessary to clarify the impact of PSD on the outcome after EVT. This systematic review and meta-analysis aimed to provide physicians and neurointerventionalists with updated and reliable evidence of patient selection when performing EVT.

# **Methods**

#### Search strategy

We searched the Cochrane Central Register of Controlled Trails (CENTRAL), Embase, Ovid MEDLINE, and EPub ahead of the print, in-process, in-data review, and other non-indexed citations for relevant studies published from 1946 to 11 November 2021. We used the key terms *endovascular procedures*, *vascular* surgical procedures, thrombectomy, *embolectomy*, stents and cerebrovascular disorders, basal ganglia cerebrovascular disease, brain ischemia, carotid artery diseases, carotid artery thrombosis, intracranial arterial diseases, cerebral *arterial diseases, intracranial embolism and thrombosis, and stroke* as keywords. The search strategy table was listed in the Supplementary material (see Supplementary File 1 Search strategy). The study was not pre-registered.

# Study selection

Two independent reviewers (YF and XG) searched the aforementioned main databases for study selection. EndNote software (version 20) was used to manage and search for studies. First, reviewers searched for titles and abstracts to exclude irrelevant articles. Subsequently, full articles were obtained and assessed for inclusion. The reasons for inclusion or exclusion were recorded in detail. When encountering any discrepancy between the two reviewers, a third reviewer (XB) was consulted to adjudicate.

## Study criteria

The inclusion criteria were RCTs or observational studies of adult patients with ischemic stroke managed with EVT. Studies were excluded if they failed to provide a PSI group.

## Patient selection criteria

Patients aged  $\geq$ 18 who received EVT due to ischemic stroke were included. PSD was separately defined as a pre-stroke mRS score of >2 or >1, and PSI was defined as a pre-stroke mRS score of  $\leq$ 2 or  $\leq$ 1 accordingly. Patients were excluded if their mRS score was missing.

# Outcome

1. Favorable outcomes, defined as an mRS score of 0–2 or no greater than the pre-stroke mRS score.

- 2. Successful recanalization, defined as a modified Thrombolysis in Cerebral Infarction scale (m-TICI) 2b-3.
- 3. Symptomatic intracranial hemorrhage (sICH), defined as intracranial hemorrhage on imaging and ≥ 4 points increase on the National Institutes of Health Stroke Scale (NIHSS) within 24-h post-intervention in accordance with the second European Australasian Acute Stroke Study classification.
- 4. 90-day mortality.

## Assessment of risk bias and heterogeneity

Two reviewers (YF and XG) independently assessed the risk of bias and heterogeneity. The Cochrane Collaboration criteria were used for RCTs, and the Newcastle-Ottawa Scale was used for observational studies in the process of risk of bias assessment (8). Selection bias, performance bias, detection bias, attrition bias, and reporting bias of the selected studies were taken into consideration. Heterogeneity was evaluated by  $I^2$ , where >50% represented substantial heterogeneity. Der Simonian and Laird's random-effects model was used for pooling outcomes. Sensitivity analysis was also used to find out the reasons for heterogeneity.

#### Data extraction and statistical analysis

Two reviewers (YF and XG) independently collected data from studies under a predefined standard. The information included is as follows: (1) study characteristics-authors, publication time, country of the involved patients, type of studies, and Newcastle-Ottawa Scale score were included in the first part. (2) Patient characteristics-demographic characteristics included the number of PSD and PSI patients, gender, and age. Vascular risk factors included hypertension, diabetes mellitus, coronary artery disease, peripheral artery disease, smoking, and dyslipidemia. Medical history included myocardial infarction, atrial fibrillation, and previous transient ischemic attack (TIA) or stroke. Anti-thrombotic drugs taken prior to a stroke included anticoagulants and antiplatelets. The location of the occlusion, onset characteristics, etiology of stroke, and intervention characteristics were also recorded in detail. (3) Outcomes were as aforementioned, including favorable outcome, successful recanalization, sICH, and 90-day mortality. We tried to contact the corresponding authors of the study for any missing or wrong data.

A random-effects model was utilized by computing odds ratios (OR) and 95% confidential intervals (CI). In addition, adjusted OR and 95% CI were used to estimate adjusted pool effects. Publication bias was assessed by means of a funnel plot and Egger's test. Statistical analyses were performed using STATA version 15.0 (StataCorp LP, College Station, TX, USA).

## Results

#### Study selection and study characteristics

We found 8,004 records that met the initial standard after checking the main database at the first search step. Twenty articles were initially identified by title and abstract. Articles that did not meet the study criteria and type were excluded. Six conference abstracts were excluded (9–14). Two articles focusing on the comparison of PSD patients undergoing EVT vs. standard medical treatment (SMT) were excluded (3, 4). Two articles that failed to compare PSD and PSI groups were also excluded (15, 16). Finally, six articles which defined PSD as pre-stroke mRS > 2 (6, 7, 17–20) and four articles which defined PSD as pre-stroke mRS score >1 (5, 21–23) were eligible for meta-analysis after reading full texts. The flow diagram is shown below to demonstrate the procedure of study selection (Figure 1).

As shown in Tables 1, 2, a total of 10 studies and 8,823 patients met the inclusion criteria. All studies included patients with anterior circulation stroke, except one study with a small complement of posterior circulation stroke cases (18). All studies were published after 2017, with five conducted in Europe (6, 17, 18, 22), two in Asia (5, 7), 2 in North America (21, 23), and 1 in Oceanica (19). Five studies were multicenter trails (6, 19, 21–23) and five were single-center studies (5, 7, 17, 18, 20).

Among the six studies defining PSD as pre-stroke mRS score >2, a total of 591 patients were identified in the  $PSD_{mRS>2}$  group and 4,543 patients in the  $PSI_{mRS\leq2}$  group. The majority of  $PSD_{mRS>2}$  patients were females (383, 64.8%), but most  $PSI_{mRS\leq2}$  patients were males (2451, 54.0%). The mean NIHSS score ranged from 17 to 20 in the  $PSD_{mRS>2}$  group and 15 to 17 in  $PSI_{mRS\leq2}$  group, respectively. Hypertension was the most common vascular risk factor ( $PSD_{mRS>2}$ , 73.8%;  $PSI_{mRS\leq2}$ , 60.0%). The majority of occlusions were of the middle cerebral artery (MCA) ( $PSD_{mRS>2}$ , 77.9%;  $PSI_{mRS\leq2}$ , 88.5%; total, 86.9%), and the M1 segment was the most common in MCA occlusion ( $PSD_{mRS>2}$ , 66.0%;  $PSI_{mRS\leq2}$ , 60.3%; total, 61.1%).

Among the recruited four studies defining PSD as pre-stroke mRS score >1, one study based its baseline characteristics on patients with different 90-day mRS scores, so we were unable to extract baseline data for PSD and PSI groups separately (5). As a result, the Goda et al. (5) Japanese single-center trail (PSD, n = 51; PSI, n = 83; NOS score, 6) was ultimately ineligible for data extraction of baseline characteristics. A total of 721 patients were classified as PSD<sub>mRS>1</sub> and 2,834 were classified as PSI<sub>mRS≤1</sub> of 3 studies. The majority of PSD<sub>mRS>1</sub> patients were females (436, 60.5%), but a higher proportion of PSI<sub>mRS≤1</sub> patients were males (1490, 52.6%). The mean NIHSS score ranged from 12 to 24 in the PSD<sub>mRS>1</sub> group and 10 to 21 in PSI<sub><1</sub> group, respectively. Hypertension was the most common



vascular risk factor (PSD<sub>mRS>1</sub>, 76.7%; PSI<sub>mRS≤1</sub>, 60.1%). The most common site of occlusion was the MCA (PSD<sub>mRS>1</sub>, 72.8%; PSI<sub>mRS≤1</sub>, 69.4%; total, 70.1%), and the M1 segment was the most common in that segment (PSD<sub>mRS>1</sub>, 73.9%; PSI<sub>mRS<1</sub>, 74.5%; total, 74.4%).

# **Risk of bias**

We used the Newcastle-Ottawa Scale to assess the quality of the studies and the risk of bias (see <u>Supplementary File 2</u>). All studies were of high quality, which implies a low

#### TABLE 1 Baseline characteristics of the $PSD_{mRS>2}$ group.

Basic information         Publication time $2021$ $2021$ $2021$ $2021$ $2017$ Country       France       Netherland       Sweden       Australia       Switzerland       Israel         Type of studies       Single center       Multicenter       Single center       Sis		Florent et al. (17)		Goldhoorn et al. (6)		Larsson et al. (18)		Nababan et al. (19)		Oesch et al. (20)		Leker et al. (7)	
Participation $2 \cup I$	Basic information												
Country $\operatorname{Firster}$ $\operatorname{Nethering}$ $\operatorname{Setter}$ $Setter$	Publication time	20	21	2018		2020		2021		2021		2017	
Type of studiesSingle $\sim$ MulticerSingle $\sim$ MulticerMulticerSingle $\sim$ Single $\sim$ <	Country	France		Netherland		Sweden		Australia		Switzerland		Israel	
NOS score767666Demographic characteristic $PSD (n = 155)$ $PSI (n = 767)$ $PSD (n = 157)$ $P$	Type of studies	Single center		Multicenter		Single center		Multicenter		Single center		Single center	
Demographic characteristics         PSD (n = 155)         PSI (n = 767)         PSD (n = 157)         PSI (n = 1284)         PSD (n = 90)         PSI (n = 501)         PSD (n = 82)         PSI (n = 720)         PSI (n = 1163)         PSD (n = 23)         PSI (n = 1163)         PSI (n =	NOS score	5	7	6		7		5		6		6	
PSD $(n = 155)$ PSI $(n = 767)$ PSD $(n = 157)$ PSI $(n = 1284)$ PSD $(n = 90)$ PSI $(n = 501)$ PSD $(n = 82)$ PSI $(n = 720)$ PSD $(n = 163)$ PSD $(n = 23)$ PSI $(n = 163)$ PSI <td>Demographic characteristics</td> <td></td>	Demographic characteristics												
Gender, male, $n$ (%)       40 (25.8)       383 (49.9)       64 (41)       706 (55)       36 (40.0)       283 (56.5)       27 (33)       395 (55)       32 (38.1)       628 (54.0)       9 (39)       56 (52)         Age (years) Mean $\pm$ SD       80.3 $\pm$ 12.4       67.4 $\pm$ 14.8       80 (71–86)       69 (59–78)       86 (57)       74 (82)       85 (78–89)       73 (62–82)       81 (73.75–85)       72 (60–79)       80.3 $\pm$ 10       66.9 $\pm$ 10         Median [IQR]       Vascular risk factors         Humothering $n$ (%)       125 (80.6)       491 (62.7)       97 (62)       618 (48)       65 (73.0)       323 (64.0)       NR       NR       67 (70.8)       784 (67.6)       20 (67)       70 (73)		PSD ( $n = 155$ )	PSI ( $n = 767$ )	PSD ( $n = 157$ )	PSI ( $n = 1284$ )	PSD ( $n = 90$ )	PSI ( $n = 501$ )	PSD ( $n = 82$ )	PSI ( $n = 720$ )	PSD ( $n = 84$ )	PSI ( $n = 1163$ )	PSD ( $n = 23$ )	PSI $(n = 108)$
Age (years) Mean $\pm$ SD       80.3 $\pm$ 12.4       67.4 $\pm$ 14.8       80 (71–86)       69 (59–78)       86 (57)       74 (82)       85 (78–89)       73 (62–82)       81 (73.75–85)       72 (60–79)       80.3 $\pm$ 10       66.9 $\pm$ 10         Median [IQR]       Vascular risk factors         Humostancing $\pi$ (%)       NR       67 (70.8)       784 (67.6)       20 (87)	Gender, male, n (%)	40 (25.8)	383 (49.9)	64 (41)	706 (55)	36 (40.0)	283 (56.5)	27 (33)	395 (55)	32 (38.1)	628 (54.0)	9 (39)	56 (52)
Median [IQR] Vascular risk factors Humortancian (r (%) 125 (80.6) 481 (62.7) 97 (62) 618 (48) 65 (73.0) 222 (64.0) NIR NIR 67 (70.8) 784 (67.6) 20 (87) 70 (72)	Age (years) Mean $\pm$ SD	$80.3 \pm 12.4$	$67.4 \pm 14.8$	80 (71–86)	69 (59–78)	86 (57)	74 (82)	85 (78-89)	73 (62–82)	81 (73.75-85)	72 (60–79)	$80.3\pm10$	$66.9\pm14$
Vascular risk factors         Humostansing $\pi_1(k)$ 125 (90.6)       491 (62.7)       07 (62)       618 (49)       65 (73.0)       322 (64.0)       NIR       NIR       67 (70.9)       784 (67.6)       20 (97)       70 (73)	Median [IQR]												
Himpertone = (0.) 125 (00.6) 401 (62.7) 07 (62) 619 (40) 65 (72.0) 222 (64.0) NID NID 67 (70.0) 794 (67.6) 20 (97) 70 (72)	Vascular risk factors												
11y pertension, n (70) 123 (60.0) 461 (62.7) 57 (62) 016 (46) 03 (73.5) 322 (64.5) INK INK 07 (73.6) 764 (07.0) 20 (67) 79 (73)	Hypertension, <i>n</i> (%)	125 (80.6)	481 (62.7)	97 (62)	618 (48)	65 (73.9)	322 (64.9)	NR	NR	67 (79.8)	784 (67.6)	20 (87)	79 (73)
Diabetes mellitus, n (%)       36 (23.2)       144 (18.8)       46 (29)       197 (15)       20 (22.2)       84 (16.8)       NR       NR       26 (31.0)       185 (15.9)       8 (35)       38 (35)	Diabetes mellitus, <i>n</i> (%)	36 (23.2)	144 (18.8)	46 (29)	197 (15)	20 (22.2)	84 (16.8)	NR	NR	26 (31.0)	185 (15.9)	8 (35)	38 (35)
Coronary artery disease, n (%)         NR         NR         NR         NR         NR         NR         14 (17.1)         217 (18.8)         NR         NR	Coronary artery disease, n (%)	NR	NR	NR	NR	NR	NR	NR	NR	14 (17.1)	217 (18.8)	NR	NR
Peripheral artery disease, n (%)         NR         NR         109 (8)         NR	Peripheral artery disease, $n$ (%)	NR	NR	23 (15)	109 (8)	NR	NR	NR	NR	NR	NR	NR	NR
Smoker, n (%)         40 (25.8)         311 (40.5)         30 (19)         297 (23)         NR         NR         NR         9 (14.1)         237 (22.3)         4 (17)         33 (31)	Smoker, <i>n</i> (%)	40 (25.8)	311 (40.5)	30 (19)	297 (23)	NR	NR	NR	NR	9 (14.1)	237 (22.3)	4 (17)	33 (31)
Dyslipidemia, n (%) 65 (41.9) 338 (44.1) 59 (38) 351 (27) 30 (34.1) 197 (40.5) NR NR 43 (52.4) 661 (57.6) 14 (61) 51 (47)	Dyslipidemia, n (%)	65 (41.9)	338 (44.1)	59 (38)	351 (27)	30 (34.1)	197 (40.5)	NR	NR	43 (52.4)	661 (57.6)	14 (61)	51 (47)
Medical history	Medical history												
Myocardial infarction, n (%) 21 (13.5) 90 (11.7) 45 (29) 170 (13) NR NR NR NR NR NR NR NR 15 (26) 43 (40)	Myocardial infarction, <i>n</i> (%)	21 (13.5)	90 (11.7)	45 (29)	170 (13)	NR	NR	NR	NR	NR	NR	15 (26)	43 (40)
Atrial fibrillation, n (%) 102 (65.8) 269 (35.1) 58 (37) 262 (20) 56 (62.2) 204 (40.9) NR NR NR NR 14 (61) 56 (52)	Atrial fibrillation, $n$ (%)	102 (65.8)	269 (35.1)	58 (37)	262 (20)	56 (62.2)	204 (40.9)	NR	NR	NR	NR	14 (61)	56 (52)
Previous TIA or stroke, n (%) 50 (32.3) 130 (16.9) 52 (33) 183 (14) 25 (90) 66 (13.2) NR NR 27 (32.9) 105 (9.1) 9 (39) 18 (17)	Previous TIA or stroke, <i>n</i> (%)	50 (32.3)	130 (16.9)	52 (33)	183 (14)	25 (90)	66 (13.2)	NR	NR	27 (32.9)	105 (9.1)	9 (39)	18 (17)
Anti-thrombotic drugs prior	Anti-thrombotic drugs prior												
to stroke	to stroke												
Anticoagulants, n (%)         50 (32.3)         122 (15.9)         40 (25)         145 (11)         NR         NR         NR         54 (65.1)         491 (42.6)         NR         NR	Anticoagulants, n (%)	50 (32.3)	122 (15.9)	40 (25)	145 (11)	NR	NR	NR	NR	54 (65.1)	491 (42.6)	NR	NR
Antiplatelets, n (%)         70 (45.2)         218 (28.4)         70 (45)         400 (31)         NR         NR <th< td=""><td>Antiplatelets, n (%)</td><td>70 (45.2)</td><td>218 (28.4)</td><td>70 (45)</td><td>400 (31)</td><td>NR</td><td>NR</td><td>NR</td><td>NR</td><td>NR</td><td>NR</td><td>NR</td><td>NR</td></th<>	Antiplatelets, n (%)	70 (45.2)	218 (28.4)	70 (45)	400 (31)	NR	NR	NR	NR	NR	NR	NR	NR
Loction of the occlusion	Loction of the occlusion												
M1-MCA, n (%) 97 (63.0) 363 (47.5) 98 (62) 704 (55) NR NR 48 (59) 385 (53) NR NR 15 (65) 73 (68)	M1-MCA, <i>n</i> (%)	97 (63.0)	363 (47.5)	98 (62)	704 (55)	NR	NR	48 (59)	385 (53)	NR	NR	15 (65)	73 (68)
M2-MCA, n (%) 23 (14.9) 113 (14.8) 15 (10) 154 (12) NR NR 18 (22) 177 (25) NR NR NR NR NR	M2-MCA, <i>n</i> (%)	23 (14.9)	113 (14.8)	15 (10)	154 (12)	NR	NR	18 (22)	177 (25)	NR	NR	NR	NR
ICA+MCA, n (%) 30 (19.4) 278 (36.2) NR S (22) 13 (12)	ICA+MCA, <i>n</i> (%)	30 (19.4)	278 (36.2)	NR	NR	NR	NR	NR	NR	NR	NR	5 (22)	13 (12)
Isolated M1 MCA, n (%)         NR         NR         NR         NR         NR         NR         NR         NR         2 (9)         11 (10)	Isolated M1 MCA, n (%)	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR	2 (9)	11 (10)
Isolated ICA occlusion, n (%)         4 (2.6)         11 (1.4)         29 (18)         273 (21)         NR	Isolated ICA occlusion, <i>n</i> (%)	4 (2.6)	11 (1.4)	29 (18)	273 (21)	NR	NR	NR	NR	NR	NR	NR	NR
ICA, n (%) NR NR 5 (3) 75 (6) 30 (33.3) 152 (30.3) 16 (20) 153 (21) NR NR 1 (4) 11 (10)	ICA, <i>n</i> (%)	NR	NR	5 (3)	75 (6)	30 (33.3)	152 (30.3)	16 (20)	153 (21)	NR	NR	1 (4)	11 (10)

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MCA, <i>n</i> (%) Other: M3/anterior/vertebral/ basilar/posterior, <i>n</i> (%) Left hemisphere, <i>n</i> (%) Systolic blood pressure, mean mm Hg (SD)	Florent et al. (17)		Goldhoorn et al. (6)		Larsson et al. (18)		Nababan et al. (19)		Oesch et al. (20)		Leker et al. (7)	
MCA, <i>n</i> (%)	NR	NR	NR	NR	43 (47.8)	275 (54.8)	NR	NR	NR	NR	NR	NR
Other: M3/anterior/vertebral/	NR	NR	5 (3)	11 (1)	12 (13.3)	97 (19.4)	0 (0)	5 (1)	NR	NR	NR	NR
basilar/posterior, n (%)												
Left hemisphere, n (%)	NR	NR	91 (58)	683 (53)	NR	NR	NR	NR	NR	NR	17 (74)	57 (53)
Systolic blood pressure,	NR	NR	149 (26)	150 (24)	NR	NR	NR	NR	NR	NR	NR	NR
mean mm Hg (SD)												
Initial median NIHSS score	20 (16-23)	17 (13–21)	17 (13–20)	16 (11–20)	18 (9)	16 (8)	17 (12–20)	15 (9–19)	18 (11–21)	15 (10–19)	$19.7\pm5.9$	$16.0\pm6.6$
Mean $\pm$ SD, Median [IQR]												
ASPECTS Mean $\pm$ SD, Median	8 (6-9)	7 (5-9)	9 (8–10)	9 (7-10)	NR	NR	9 (8-10)	9 (7-10)	NR	NR	NR	NR
[IQR]												
Causes of stroke												
Large artery atherosclerosis, n	18 (11.6)	118 (15.4)	NR	NR	NR	NR	NR	NR	5 (6)	170 (14.6)	NR	NR
(%)												
Cardioembolic, n (%)	100 (64.5)	347 (45.2)	NR	NR	NR	NR	NR	NR	41 (48.8)	485 (41.7)	15 (65)	73 (67)
Other, <i>n</i> (%)	5 (3.2)	44 (5.7)	NR	NR	NR	NR	NR	NR	3 (3.6)	70 (6.0)	NR	NR
Unknown, <i>n</i> (%)	32 (20.6)	258 (33.6)	NR	NR	NR	NR	NR	NR	35 (41.7)	438 (37.7)	NR	NR
Intervention characteristics												
General anesthesia, $n$ (%)	2 (1.3)	14 (1.8)	NR	NR	NR	NR	NR	NR	NR	NR	NR	NR
IVT, <i>n</i> (%)	75 (48.4)	534 (69.6)	100 (64)	1023 (80)	38 (42.2)	252 (50.4)	11 (13)	111 (15)	NR	NR	10 (45)	36 (33)
Duration of intervention	NR	NR	61 (45-85)	64 (40-90)	NR	NR	34 (23-50)	30 (20-47)	NR	NR	NR	NR
(min),												
Mean $\pm$ SD, Median [IQR]												
Onset to recanalization, Mean	NR	NR	291 (222-338)	266 (216-330)	NR	NR	245 (170-363)	273 (180–521)	NR	NR	$254\pm126$	$288\pm176$
$\pm$ SD, Median [IQR]												

PSD<sub>mRS>2</sub>, pre-stroke dependent, was defined as modified Rankin scale score > 2; NOS, Newcastle-Ottawa Scale; SD, standard deviation; IQR, interquartile range; TIA, transient ischemic attack; MCA, middle cerebral artery; M1, a segment of the MCA; M2, a segment of the MCA; M3, a segment of the MCA; ICA, intracranial carotid artery; NIHSS, National Institutes of Health Stroke Scale; ASPECTS, Alberta Stroke Program Early CT Score; IVT, intravenous thrombolysis; NR, not reported.

risk of bias. Funnel plots were used to assess the risk of publication bias (Supplementary Files 3–6, 11–13) When comparing the  $PSD_{mRS>2}$  group with the  $PSI_{mRS\leq2}$  group,

the Egger test *p*-value for a favorable outcome, successful recanalization, sICH, and 90-day mortality were 0.967, 0.893, 0.895, and 0.976, which suggests no evidence of publication

TABLE 2 Baseline characteristics of the PSD<sub>mRS>1</sub> group.

	Havenon	et al. (21)	Millan e	et al. (22)	Salwi et al. (23)		
Basic information							
Publication time	20	021	2	021	2020 United States		
Country	United	d States	Sj	pain			
Type of studies	Multi	icenter	Mult	icenter	Mult	icenter	
NOS score		6		6	6		
Demographic characteristics							
	PSD ( $n = 53$ )	PSI ( $n = 354$ )	PSD ( $n = 409$ )	PSI ( $n = 1978$ )	PSD ( $n = 259$ )	PSI ( $n = 502$ )	
Gender, male, <i>n</i> (%)	18 (34.0)	191 (54.0)	171 (42)	1031 (52)	96 (37.1)	268 (53.4)	
Age (years) Mean $\pm$ SD Median [IQR]	73.3±16.5	65.2±14.5	$77 \pm 11$	$70 \pm 13$	80 (67-88)	67 (57–77)	
Vascular risk factors							
Hypertension, <i>n</i> (%)	41 (77.4)	262 (74.0)	279 (71)	1026 (55)	221 (85.3)	348 (69.3)	
Diabetes mellitus, <i>n</i> (%)	11 (20.8)	91 (25.7)	86 (22)	313 (17)	72 (27.8)	112 (22.3)	
Smoker, <i>n</i> (%)	9 (17.0)	81 (23.0)	29 (7)	281 (15)	NR	NR	
Dyslipidemia, <i>n</i> (%)	29 (54.7)	171 (48.3)	177 (45)	731 (39)	NR	NR	
Medical history							
Atrial fibrillation, <i>n</i> (%)	37 (69.8)	142 (40.1)	151 (38)	413 (22)	147 (56.8)	170 (33.9)	
Previous TIA or stroke, <i>n</i> (%)	NR	NR	60 (15)	153 (8)	NR	NR	
Anti-thrombotic drugs prior to stroke							
Anticoagulants, n (%)	NR	NR	97 (25)	261 (14)	49 (18.9)	63 (12.5)	
Antiplatelets, n (%)	NR	NR	NR	NR	123 (47.5)	187 (37.3)	
Loction of the occlusion							
M1-MCA, <i>n</i> (%)	NR	NR	198 (48)	973 (49)	161 (62.2)	310 (61.8)	
M2-MCA, <i>n</i> (%)	NR	NR	80 (19)	354 (18)	47 (18.1)	84 (16.7)	
Isolated ICA occlusion, <i>n</i> (%)	NR	NR	57 (14)	277 (14)	NR	NR	
ICA, <i>n</i> (%)	NR	NR	32 (8)	110 (6)	48 (18.5)	106 (21.1)	
Other: M3/anterior/vertebral/basilar/tandem, n (%)	NR	NR	264 (13)	43 (11)	3 (1.2)	2 (0.4)	
Left hemisphere, <i>n</i> (%)	NR	NR	218 (53)	1097 (56)	NR	NR	
Initial median NIHSS score Mean $\pm$ SD, Median [IQR]	18 (13-24)	17 (13-21)	17 (12–20)	17 (11–21)	17 (12–22)	15 (10-20)	
ASPECTS Mean $\pm$ SD, Median [IQR]	NR	NR	10 (8–10)	9 (8–10)	9 (8–10)	9 (8–10)	
Intervention characteristics	NR	NR	NR	NR	NR	NR	
IVT, <i>n</i> (%)	18 (34.0)	199 (56.2)	139 (34)	835 (42)	113 (43.6)	263 (52.4)	
Onset to recanalization, Mean $\pm$ SD, Median [IQR]	NR	NR	281 (203–431)	300 (210-466)	273.5 (190.0-431.5)	289.5 (198.75-458.5)	
Onset to puncture, Mean $\pm$ SD, Median [IQR]	228.5 (183-312)	283 (193-430)	NR	NR	NR	NR	

PSD<sub>mRS>1</sub>, pre-stroke dependent, was defined as modified Rankin scale score > 1; NOS, Newcastle-Ottawa Scale; SD, standard deviation; IQR, interquartile range; TIA, transient ischemic attack; MCA, middle cerebral artery; M1, a segment of the MCA; M2, a segment of the MCA; ICA, intracranial carotid artery; NIHSS, National Institutes of Health Stroke Scale; ASPECTS, Alberta Stroke Program Early CT Score; IVT, intravenous thrombolysis; NR, not reported.

TABLE 3 The  $PSD_{mRS>2}$  group's analysis of outcomes.

Outcomes	OR (95% CI)	Lower	Upper	$I^{2}(\%)$	Þ	aOR (95% Cl)	Lower	Upper	$I^{2}(\%)$	p
Favorable outcome	0.51	0.33	0.79	78.1	0.000	1.01	0.78	1.29	67.1	0.033
Successful recanalization	0.72	0.47	1.08	62.0	0.032	NR	NR	NR	NR	NR
Symptomatic ICH	1.11	0.71	1.73	27.1	0.231	1.22	0.77	1.92	0.0	0.902
90 day-mortality	3.32	2.77	3.98	0.0	0.648	1.99	1.58	2.49	35.1	0.201

PSD<sub>mRS>2</sub>, pre-stroke dependent, was defined as modified Rankin scale score > 2; OR, odds ratio; CI, confidence interval;  $I^2$ , the variation attributable to heterogeneity; aOR, adjusted odds ratio; ICH, intracranial hemorrhage; NR, not reported.

bias (see Supplementary Files 7–10). After adjustment, the Egger test *p*-value for favorable outcomes and sICH, 90-day mortality were 0.515, 0.535, and 0.448, suggesting no evidence of publication bias (see Supplementary Files 14–16). When comparing  $PSD_{mRS>1}$  group with  $PSI_{mRS\leq1}$  group, the Egger test *p*-value for a favorable outcome and 90-day mortality were 0.964 and 0.431, which suggests no evidence of publication bias (see Supplementary Files 19, 20). After adjustment, the Egger test *p*-value for a favorable outcome and 90-day mortality were 0.522 and 0.376, respectively, which also suggests no evidence of publication bias (see Supplementary Files 23, 24).

#### Meta-analysis of outcomes

Table 3 summarizes the main outcomes of the meta-analysis in  $PSD_{mRS>2}$  and  $PSI_{mRS\leq2}$  patients. The rate of a favorable outcome in  $PSD_{mRS>2}$  and  $PSI_{mRS\leq2}$  patients was 27.8 and 43.7%, respectively. There were 71.3% of  $PSD_{mRS>2}$  patients and 76.5% of  $PSI_{mRS<2}$  who achieved successful recanalization. The rate of sICH was 6.6% in  $\ensuremath{\text{PSD}_{mRS>2}}$  patients and 6.1% in  $\text{PSI}_{\text{mRS}\leq 2}$  patients, respectively. The 90-day mortality rate was 46.9% in PSD<sub>mRS>2</sub> patients and 21.8% in PSI<sub>mRS>2</sub> patients. Using a random-effects model, pooling of six studies showed a significant difference in favorable outcomes (OR, 0.51; 95% CI, 0.33–0.79; p = 0.000;  $I^2 = 78.1\%$ ) (Figure 2A) and 90-day mortality (OR, 3.32; 95% CI, 2.77–3.98; p = 0.648;  $I^2 = 0.0\%$ ) (Figure 2D) between the  $\text{PSD}_{mRS>2}$  and  $\text{PSI}_{mRS\leq2}$  groups. There was no significant difference in successful recanalization (OR, 0.72; 95% CI, 0.47–1.08; p = 0.032;  $I^2 = 62.0\%$ ) (Figure 2B) and sICH (OR, 1.11; 95% CI, 0.71-1.73; p = 0.231;  $I^2 = 27.1\%$ ) (Figure 2C) between the two groups. Considering potential confounding bias from baseline differences between the two groups of patients, we further extracted aOR from the original articles to investigate the results mentioned above. There was no significant difference in favorable outcome (aOR, 1.01; 95% CI, 0.78–1.29; p = 0.033;  $I^2 = 67.1\%$ ) (Figure 3A) and sICH (aOR, 1.22; 95% CI, 0.77–1.92; p = 0.902;  $I^2 = 0.0\%$ ) (Figure 3B) between the  $\text{PSD}_{mRS>2}$  and  $\text{PSI}_{mRS\leq2}$  groups after adjustment. A significant difference remained in 90-day mortality (aOR,



Forest plots of meta-analyses of  $PSD_{mRS>2}$  primary outcomes based on OR. (A) Favorable outcome; (B) Successful recanalization; (C) Symptomatic intracranial hemorrhage; and (D) 90-day mortality.



TABLE 4 The PSD<sub>mRS>1</sub> group's analysis of outcomes.

Outcomes	OR (95% CI)	Lower	Upper	$I^{2}(\%)$	p	aOR (95% Cl)	Lower	Upper	$I^{2}(\%)$	p
Favorable outcome	0.71	0.34	1.49	91.1	0.000	0.85	0.69	1.06	89.5	0.000
Successful recanalization	0.90	0.71	1.14	0.0	0.487	NR	NR	NR	NR	NR
Symptomatic ICH	1.22	0.61	2.43	66.6	0.084	NR	NR	NR	NR	NR
90 day-mortality	3.10	1.84	5.24	82.0	0.004	2.13	1.66	2.72	50.3	0.134

PSD<sub>mRS>1</sub>, pre-stroke dependent, was defined as modified Rankin scale score > 1; OR, odds ratio; CI, confidence interval;  $I^2$ , the variation attributable to heterogeneity; aOR, adjusted odds ratio; ICH, intracranial hemorrhage; NR, not reported.

1.99; 95% CI, 1.58–2.49; p = 0.201;  $I^2 = 35.1\%$ ) (Figure 3C). For successful recanalization outcome, we could not estimate pooled effect because only one study reported an aOR (17).

Table 4 summarizes the main outcomes of a meta-analysis in PSD<sub>mRS>1</sub> and PSI<sub>mRS<1</sub> patients. The rate of a favorable outcome in  $\text{PSD}_{mRS>1}$  and  $\text{PSI}_{mRS\leq1}$  patients was 25.3 and 30.2%, respectively. In total, 84.0% of PSD<sub>mRS>1</sub> patients and 85.1% of PSI<sub>mRS<1</sub> patients achieved successful recanalization. The rate of sICH was 5.5% in  $PSD_{mRS>1}$  patients and 3.9% in PSI<sub>mRS<1</sub> patients, respectively. The 90-day mortality rate was 35.0% in  $PSD_{mRS>1}$  patients and 16.8% in  $PSI_{mRS<1}$  patients. There remained a significant difference in 90-day mortality (OR, 3.10; 95% CI, 1.84–5.24; p = 0.004;  $I^2 = 82.0\%$ ) (Figure 4D) between the  $\text{PSD}_{mRS>1}$  and  $\text{PSI}_{mRS\leq1}$  groups. There was no significant difference in favorable outcome (OR, 0.71; 95% CI, 0.34–1.49; p = 0.000;  $I^2 = 91.1\%$ ) (Figure 4A), successful recanalization (OR, 0.90; 95% CI, 0.71–1.14; p = 0.487;  $I^2 =$ 0.0%) (Figure 4B) and sICH (OR, 1.22; 95% CI, 0.61-2.43; p = 0.084;  $I^2$  = 66.6%) (Figure 4C) between two groups. After adjustment, there was no significant difference in favorable outcome (aOR, 0.85; 95% CI, 0.69–1.06; p = 0.000;  $I^2 = 89.5\%$ ) (Figure 5B) between the PSD<sub>mRS>1</sub> and PSI<sub>mRS<1</sub> groups. A significant difference remained in 90-day mortality (aOR, 2.13; 95% CI, 1.66–2.72; p = 0.134;  $I^2 = 50.3\%$ ) (Figure 5A). For successful recanalization outcome and sICH, we could not estimate the pooled effect as no study reported aOR for successful recanalization and only one study reported an aOR for sICH.

## Discussion

This systematic review and meta-analysis are the first to compare the safety and efficacy of EVT between PSD and PSI patients. The results of this study expand the existing knowledge regarding the efficacy of EVT in PSD patients who sustained AIS. Compared with the PSI<sub>mRS<2</sub> group, the PSD<sub>mRS>2</sub> group had a lower rate of favorable outcome (OR, 0.51; 95% CI, 0.33-0.79) and a higher rate of 90-day mortality (OR, 3.32; 95% CI, 2.77-3.98). Both groups had a comparable rate of successful recanalization and sICH. After adjustment, only 90-day mortality was still significantly higher in the PSD<sub>mRS>2</sub> group (aOR, 1.99; 95% CI, 1.58–2.49). Compared with the  $PSI_{mRS<1}$ group,  $PSD_{mRS>1}$  group had a higher rate of 90-day mortality (OR, 3.10; 95% CI, 1.84-5.24). No significant difference was found in favorable outcomes, successful recanalization, and sICH. After adjustment, a higher rate of 90-day mortality (aOR, 2.13; 95% CI, 1.66-2.72) remained in the PSD<sub>mRS>1</sub> group. Thus, in both the  $\text{PSD}_{mRS>2}$  group and  $\text{PSD}_{mRS>1}$  group, PSD patients were found to achieve a comparably favorable outcome, but there is an expectedly higher mortality risk for PSD compared to PSI patients.

Several causes may contribute to PSD status, such as dementia, cognitive impairment, and other medical comorbidities (7), but these were not specifically documented in most studies. It should be noted that previous RCTs have also generally excluded this group of patients (24, 25). In addition, there are studies showing that PSD increases the mortality rate.





It is less likely to achieve favorable outcomes after intravenous thrombolysis (26). With the population worldwide growing substantially older, clinicians are more likely to encounter the question of deciding whether to perform EVT on patients with neurological and non-neurological disabilities. Thus, clarifying the efficacy of EVT in PSD patients is crucial to meeting the evidence threshold to make challenging "real-world" decisions around the candidacy.

Pre-stroke dependent patients may have unique baseline characteristics, such as generally being older and harboring other

comorbidities, especially cerebrovascular risk factors (20). This is also suggested by our compiled data showing hypertension was more likely to be present in PSD patients. Nevertheless, according to our results, performing EVT still seems effective in one-third of PSD patients, maintaining the same level of disability without progressing to a more debilitating state at 90-day follow-up. In some studies, a numerically lower rate of favorable outcomes was observed in PSD patients, and other variables may influence functional outcomes, such as age and stroke severity (17, 20). The compiled aORs in our study may support the notion that PSD is not the primary cause of the lower rate of favorable outcomes. Furthermore, sICH after EVT is closely associated with functional outcomes and has been shown to be comparable between both groups. Thus, the safety profile of EVT in PSD patients may be acceptable. It seems reasonable that large vessel occlusion stroke patients harboring pre-stroke disability should not be universally excluded from EVT.

Although maintaining a pre-stroke level of function after receiving EVT was 27.8% in the  $PSD_{mRS>2}$  group and 25.3% in the PSD<sub>mRS>1</sub> group, respectively, higher mortality rates of 46.9% and 35.0% should not be overlooked. In the study by Larsson et al. (18) besides pre-stroke disability, other variables, including higher age, unsuccessful recanalization, comorbidities, and stroke severity, were risk factors for 90-day mortality. Fragility related to old age and comorbidity may be the primary reasons for the higher mortality in PSD patients. Thus, careful selection of PSD patients for EVT is necessary, and future studies with costeffectiveness analysis and other detailed measurements are needed. At the same time, rates of successful reperfusion remain uncertain. In the study of Florent et al. (17) PSD patients were less likely to achieve successful reperfusion, and they ascribed it to several reasons, such as vascular anatomy, intravenous thrombolysis (IVT), anesthesia, and operator experience. More data are unmistakably needed to verify these suspicions.

# Limitations

There are several limitations inherent to this systematic review and meta-analysis. Studies recruited were not RCTs and could harbor unavoidable bias from the study design itself. We were unable to identify the primary cause of pre-stroke independence. Additionally, most of the studies were from European countries, and subgroup analysis based on different countries was impossible. Despite these shortcomings, this first relevant systematic review and meta-analysis provided the most updated clinical evidence of performing EVT in PSD patients. Future studies should investigate whether PSD patients with acute stroke might benefit more from EVT than SMT (e.g., IVT), but it is currently not available due to limited comparative studies (3, 4). Additionally, cost-effectiveness analyses and more detailed measurements of outcomes are required to better assess the true benefit and risk profiles when performing EVT in this particular group of patients.

# Conclusion

Pre-stroke dependent patients do not innately influence the EVT outcomes regarding sICH and favorable outcomes but may be associated with a higher risk of 90-day mortality. Thus, it seems reasonable to recommend EVT in selected PSD patients, although a greater amount of prospective evidence is needed.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author/s.

# Author contributions

XB and LJ developed the initial idea for this study. WW, YF, and LD developed and revised the search strategy. HZ, XB, XM, and LJ formulated the study design. WCh and LJ were consulted about clinical issues. HZ, XB, WL, and QT contributed to the original draft. HZ, XB, WL, XG, AD, AP, TY, WCb, XM, and LJ were responsible for revising the draft. All authors approved the final version of the manuscript before submission.

# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fneur.2022.956958/full#supplementary-material

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