BMJ Open Comparison of propofol and dexmedetomidine infused overnight to treat hyperactive and mixed ICU delirium: a protocol for the Basel ProDex clinical trial

Alexa Hollinger,¹ Katrin Ledergerber,¹ Stefanie von Felten,² Raoul Sutter,³ Stephan Rüegg,³ Lukas Gantner,¹ Sibylle Zimmermann,¹ Andrea Blum,¹ Luzius A Steiner, Stephan Marsch,⁴ Martin Siegemund¹

To cite: Hollinger A, Ledergerber K, von Felten S, *et al.* Comparison of propofol and dexmedetomidine infused overnight to treat hyperactive and mixed ICU delirium: a protocol for the Basel ProDex clinical trial. *BMJ Open* 2017;**7**:e015783. doi:10.1136/ bmjopen-2016-015783

 Additional material is published online only. To view please visit the journal online (http://dx.doi.org/10.1136/ bmjopen-2016-015783).

Received 2 January 2017 Revised 17 March 2017 Accepted 20 March 2017



¹Department of Anaesthesia. Surgical Intensive Care, Prehospital Emergency Medicine and Pain Therapy, University Hospital Basel, Basel, Switzerland ²Department for Clinical Neurophysiology, Epilepsy and Movement Disorders, University Hospital Basel, Basel, Switzerland ³Department of Clinical Research, University Hospital **Basel Basel Switzerland** ⁴Medical Intensive Care Unit, University Hospital Basel, Basel, Switzerland

Correspondence to

Dr Alexa Hollinger; alexa. hollinger@usb.ch

ABSTRACT

Background/objectives Delirium is a neurobehavioural disturbance that frequently develops particularly in the intensive care unit (ICU) population. It was first described more than half a century ago, where it was already discovered as a state that might come along with serious complications such as prolonged ICU and hospital stay, reduced quality of life and increased mortality. However, in most cases, there is still lack of proof for causal relationship. Its presence frequently remains unrecognised due to suggested predominance of the hypoactive form. Furthermore, in the general ICU population, it has been shown that the duration of delirium is associated with worse long-term cognitive function. Due to the multifactorial origin of delirium, we have several but no incontestable treatment options. Nonetheless, delirium bears a high burden for patient, family members and the medical care team. The Basel ProDex Study targets improvement of hyperactive and mixed delirium therapy in critically ill patients. We will focus on reducing the duration and severity of delirium by implementing dexmedetomidine into the treatment plan. Dexmedetomidine compared with other sedatives shows fewer side effects representing a better risk profile for delirium treatment in general. This could further contribute to higher patient safety. The aim of the BaProDex Trial is to assess the superiority of dexmedetomidine to propofol for treatment of hyperactive and mixed delirium in the ICU. We hypothesise that dexmedetomidine, compared with propofol administered at night, shortens both the duration and severity of delirium.

Methods/design The Basel ProDex Study is an investigator-initiated, one-institutional, two-centre randomised controlled clinical trial for the treatment of delirium with dexmedetomidine versus propofol in 316 critically ill patients suffering from hyperactive and mixed delirium. The primary outcome measure is delirium duration in hours. Secondary outcomes include deliriumfree days at day 28, death at day 28, delirium severity, amount of ventilator days, amount of rescue sedation with haloperidol, length of ICU and hospital stay, and pharmaceutical economic analysis of the treatments.

Strengths and limitations of the study

- The study's main strength is the implementation of a promising and more secure method of therapy for an unsolved problem: the lack of adequate and secure therapy of delirium, a condition first described more than five decades ago. Dexmedetomidine compared with other sedatives shows fewer side effects, mainly bradycardia and hypotension. Evidence strongly suggests a high benefit for treatment of delirium going along with increased comfort and safety of critically ill patients. In addition, no severe adverse events are expected by its use for sedation in delirious patients after careful enrolment following our exclusion criteria.
- Night-time infusion may normalise the day/night cycle of the patients, mimicking a more physiological circadian rhythm.
- The Basel ProDex Study will primarily recruit from two intensive care units (two in Switzerland) to achieve the calculated sample size within the foreseen time period.
- The study is limited by the heterogeneous general condition and past medical history of critically ill patients. These variable conditions will be addressed due to assessment and analysis of the Simplified Acute Physiology Score II score.

Sample size was estimated to be able to show the superiority of dexmedetomidine compared with propofol regarding the duration of delirium in hours. The trial will be externally monitored according to good clinical practice (GCP) requirements. There are no interim analyses planned for this trial.

Ethics and dissemination This study will be conducted in compliance with the protocol, the current version of the Declaration of Helsinki, the International Conference on Harmonization- Good Clinical Practice (ICH-GCP) or Europäische Norm International Organization for Standardization (ISO EN 14155; as far as applicable) as well as all national legal and regulatory requirements. Only the study team will have access to trial specific data. Anonymisation will be achieved by a unique patient identification code. Trial data will be archived for a minimum of 10 years after study termination. We plan to publish the data in a major peer-reviewed clinical journal. **Trials registration** ClinicalTrials.gov Identifier: NCT02807467 **Protocol version** Clinical Study Protocol Version 2, 16.08.2016

BACKGROUND AND RATIONALE

Dexmedetomidine is a potent selective α -2 adrenergic receptor agonist frequently used for sedation in the intensive care unit (ICU). It promotes sedation, anxiolysis and moderate analgesia with minimal respiratory depression.¹ Sedation of ICU patients remains challenging for doctors and nurses as there is evidence that the administration of sedatives in critically ill patients is potentially harmful, mainly in relation to delirium during critical care and the subsequent clinical outcome.² However, in many cases, sedation is supportive for both patients and ICU personnel allowing controlled interactions with the patient through established comfort and security.³ This supports the patient's autonomy and establishes a less threatening environment. Delirium is frequent in patients in the ICU and in hospitalised patients who are acutely ill, especially after cardiac or orthopaedic surgery and is associated with adverse outcome.⁴ It is a pathological neurobehavioural syndrome caused by transient alteration of the normal neuronal network activity secondary to systemic disturbances.⁵

In the ICUs of the University Hospital of Basel, standard therapy of hyperactive delirium phases consists of the intravenous or oral administration of haloperidol and oral quetiapine. At present, despite the recommendation against its use in the latest American guidelines for sedation, analgesia and delirium⁶ and ongoing concern for its safety and efficacy,⁷ haloperidol is the first-line agent used worldwide for the treatment of delirium in general.⁸ ⁹ Nevertheless, there seems to be evidence for its potential to prevent delirium.¹⁰

Due to disturbed circadian rhythm among patients suffering from delirium, exclusive sedation with haloperidol and quetiapine, especially at night, is insufficient and leads to daytime sedation and sleepiness, and additional sedative agents such as propofol are frequently required (table 1). However, there has been evidence for a better and safer alternative: dexmedetomidine. This has been proven in the cohort of patients who underwent cardiac surgery¹¹ where perioperative use of dexmedetomidine was associated with decreased mortality up to 1 year and decreased incidence of postoperative complications and delirium. A study investigating the effect of dexmedetomidine in addition to standard care alone (placebo) on ventilator-free time in patients with agitated delirium revealed findings of more ventilator-free hours at 7 days supporting the use of dexmedetomidine for sedation in patients suffering from delirium who depend on the ventilator.¹² Teegarden and Prough suggested that dexmedetomidine could be the next step after failure of haloperidol for delirium treatment.¹³ They referred

to the non-randomised controlled trial performed by Carrasco and colleagues, who investigated dexmedetomidine for the treatment of hyperactive delirium refractory to haloperidol in non-intubated patients in the ICU. They declared dexmedetomidine as a drug that possesses all favourable properties to serve as an ideal treatment for ICU-associated delirium and agitation: relieve of symptoms without causing excessive sedation, fewer side effects than haloperidol, little interaction with other drugs, and easy to titrate.¹⁴ Other publications^{15 16} suggested dexmedetomidine to be a valuable sedative agent in the ICU population in reducing ICU length of stay and time to extubation but did not specify on delirium.

Because dexmedetomidine induces a unique sleep-like sedation state,¹⁷ it could have beneficial effects even on the disturbed circadian rhythm when infused at night. In highly selected patients, night-time dexmedetomidine infusions to induce light sedation could be shown to increase sleep efficiency and shift the 24-hour sleep pattern mainly to the night.¹⁸ The mechanism of action of dexmedetomidine is unique compared with traditionally administered sedative agents due to its lack of activity at the γ -aminobutyric acid receptor and missing anticholinergic activity.¹ Possible pathophysiological explanations for the development of delirium have been considered to stem from neurotransmitter disturbances termed 'neurotransmitter hypothesis' and include dysfunction of cholinergic transmission.¹⁹ So both suggested that beneficial impact on disturbed circadian rhythm and lack of anticholinergic activity are promising features of dexmedetomidine for delirium treatment. The former explains our proposition to infuse dexmedetomidine only during nightly hours in the study group.

HYPOTHESIS

In our prospective, randomised controlled study, we aim to test the hypothesis that continuous infusions of dexmedetomidine compared with propofol between 20:00 and 06:00 after diagnosis of hyperactive or mixed delirium may help reinstitute a normal day–night cycle mimicking a more physiological circadian rhythm due to the sleeplike sedative effect of dexmedetomidine, and therefore decrease the duration of delirium.

METHODS

Trial design

The Basel ProDex Study is an investigator-initiated, one-institutional, two-centre, prospective randomised controlled clinical trial of patients suffering from hyperactive or mixed delirium.

Approvals

Approval to conduct this study was granted by the Ethics Committee of Northwestern and Central Switzerland (EKNZ 2016–00843) in September 2016. The study is registered at the Swiss National Clinical Trial Portal

Table 1 Alphabetical listir	ng of group of drugs r	ised for sedation in the ICU
Drug group	Drug	Effects
Alpha-2 adrenergic agonists	Clonidine	In general: a study aiming to facilitate weaning of delirious postoperative patients from mechanical ventilation concluded that dexmedetornidine may help to eliminate the emergence of agitation and can be a good treatment choice for delirium after cardiac surgery. ³⁴ Beneficial effects: Teduced incidence and duration of delirium ⁵ Readoced rescue sedation with intravenous midazolam and propofol ³⁵ reduced of opioid demand ³⁶ Positive effect on sleep architecture: a meta-analysis of randomised controlled studies suggested that dexmedetomidine could help to reduced definitum in critically ill patients. ³⁶ Demandetomidine is generally superior ³ : no reduction of ventilator days or ICU length of stay using traditional drugs. ¹⁶ Mortality ⁵ ¹⁶ No reflect: No effect: No effect: No effect: No evidence for reduction of vontilator days. ¹⁶ No evidence for reduction of vontilator days. ¹⁶ No evidence for reduction of vontilator days. ¹⁶ No evidence for reduction of vontilator days. ¹⁶
Antiepileptic drugs	Gabapentin	 Only evidence for prevention of delirium.³⁷
Antipsychotics: atypical	All Risperidone	 Dexmedetomidine might be a superior alternative for light sedation.³⁸ Second-generation/atypical antipsychotics were found to be superior to haloperidol.³⁹ Moderate quality evidence for reduced delirium risk (also valid for dexmedetomidine) after emergency or elective cardiac surgery.⁴⁰ Contradiction of benefit for prevention/treatment of delirium.^{41 42} Contradiction of benefit for prevention/treatment of a lower incidence of delirium was determined by the suberior to haloperidol in postcardiotomy delirium.³⁹ Two studies concentrated on risperidone: in the first study, a lower incidence of delirium was determined by the administration of a single dose of risperidone soon after cardiac surgery with cardiopulmonary bypass.⁴² The second study report edits administration for subsyndromal delirium after on-pump cardiac surgery to be associated with a significantly lower incidence of delirium.⁴¹ Failure to treat subsyndromal delirium with risperidone as an independent risk factor for delirium.⁴¹
Antipsychotics: typical	Haloperidol	 Dexmedetomidine superior to haloperidol in postcardiotomy delirium. Evidence for treatment of delirium.⁴³ Concerns about safety and efficacy in delirium treatment.⁴⁴
		Continued

6

3

Table 1 Continued		
Drug group	Drug	Effects
Opioids	All Morphine Remifentanil	 Dexmedetomidine might be a superior alternative for light sedation.³⁸ High risk for development of agitation, especially during weaning towards extubation.⁴⁵ Similar incidence of delirium, but patients sedated with dexmedetomidine suffered 3 days less from delirium.⁵² More efficient analgesia/sedation, less hypotension, less need for vasoactive drugs but more bradycardia in dexmedetomidine group.⁶² A prospective randomised study considered morphine to be a reasonable alternative to haloperidol.⁵² Known effect on postoperative cognition: careful interpretation of this finding is necessary due to its anticholinergic effects.⁵² Incidence of postcardiotomy delirium 50% compared with 3% in dexmedetomidine group.⁵² Incidence of postcardiotomy delirium 50% compared with 3% in dexmedetomidine group.⁵² No difference concerning time to extubation, length of ICU or hospital stay and postoperative complications including group.⁵³
Steroids	Dexamethasone	Moderate quality evidence for reduced delirium risk (also for valid for dexmedetomidine). ⁴⁴
CNS, central nervous system;	ICU, intensive care unit	X, will be performed for sure; XX, hours after allocation; (X), performed if patient is still in delirium.

(identifier: SNCTP000001922) and at ClinicalTrials.gov (identifier: NCT02807467).

Study setting

Adult ICU admitting medical or surgical patients.

Study population

Inclusion criteria

Participants fulfilling the following inclusion criteria are eligible for the study:

- Adult patients (age 18 years or older)
- Current delirium (hyperactive or mixed type) detected by a specialised assessment method (Intensive Care Delirium Screening Checklist (ICDSC) in one of the participating ICUs.

Exclusion criteria

Participants meeting the following criteria are excluded from the study:

- Delirium prior to ICU admission
- \blacktriangleright Egg²⁰ and soy allergy
- ► Hypersensitivity to the active substances
- ► Advanced heart block (grade 2 or 3) unless paced
- ► Bradycardia of different origin
- ► Uncontrolled hypotension
- ► Acute cerebrovascular conditions
- ► Severe cardiac dysfunction
- ► Age <18 years
- Terminal state
- ► Pregnancy
- Status epilepticus or postictal states following seizures on electroencephalogram (EEG)
- Active psychosis
- Delirium tremens
- ► Substance abuse with experience of acute withdrawal.

TRIAL MEDICATION

Prior to the first nightly infusion of dexmedetomidine or propofol that represents the period in which hyperactive or mixed delirium is diagnosed and delirium treatment is initiated, the administration of sedatives follows the defined ICU treatment algorithm of the ICUs of the University Hospital Basel: in the acute setting, intravenous haloperidol is administered. This is followed by oral quetiapine or, in case of pre-existing disturbed cerebral performance, trazodone hydrochloride.

Patients enrolled in the trial will be randomised to receive either dexmedetomidine (Dexdor, concentrated 200µg/2mL for intravenous administration, Orion Pharma AG, Orion Corporation, Espoo, Finland) or propofol (Propofol Lipuro 1% 1g/100mL for intravenous administration, B. Braun Medical AG, Sempach, Switzerland) administered by continuous infusion from 20:00 to 06:00 beginning the evening after diagnosis of hyperactive or mixed delirium. After dexmedetomidine or propofol infusion over the previously described period of time, we shall exclusively use haloperidol intravenously (Haldol, Janssen-Cilag AG, Schaffhausen, Switzerland)

as rescue medication and nursing care for further treatment of delirium during daytime. During the consecutive time frame between 20:00 and 06:00, the randomised study drug will be infused again if indicated. The latter will allow us to clearly detect the suggested shortening of delirium duration in the cohort where dexmedetomidine is being used as sedative agent. If intravenous sedation is needed during daytime because of aggressive behaviour, the patient will receive the assigned drug. The amount of daytime sedation will be recorded.

After randomisation, the doctor responsible for the patient will prescribe the study drug, and the nurse caring for the patient will then prepare and administer the study drug as prescribed:

- Dexmedetomine: 0.7µg/kg bolus, followed by 0.2– 1.4µg/kg/hour for desired level of sedation
- Propofol: 1–4 mg/kg/hour for desired level of sedation.

The indicated dosage of dexmedetomidine and propofol usually is sufficient for sedation in patients who are agitated. However, if this is not the case that haloperidol can also be used as rescue medication at night.

There will be no continuous infusion of propofol/ dexmedetomidine during the day. If by solely administration of haloperidol the hyperactive patient cannot be controlled ,additional sedatives will be allowed (ie, oral quetiapine and propofol by bolus).

OUTCOME MEASURES

Primary outcome measure

Delirium duration in hours.

Secondary outcome measures

- Delirium free days at 28 days
- Death until day 28
- Severity of ICU delirium (sum of highest ICDSC scores per nursing shift divided by the number of shifts)
- Number of ventilator days
- Need for rescue sedation (amount of haloperidol in milligram)
- Amount of oral quetiapine
- Total costs of medication (dexmedetomidine infused + rescue medication or propofol infusion + rescue medication)
- ► Length of ICU stay (hours)
- ► Length of hospital stay (days)
- Depth of sedation in both groups (median Richmond Agitation Sedation Scale (RASS) score or median Sedation Agitation Scale (SAS) score; proven similar rates of delirium assessment when confusion assessment method-ICU (CAM-ICU) is used²¹
- Depth of sedation in study group (determined by EEG analysis).

DEFINITIONS/CONDITIONS

Inclusion criteria

The criteria for the diagnosis of delirium are 22 :

- acute beginning and fluctuating course
- ► absence of known psychiatric disorder
- disturbance of awareness and attention
- ongoing disturbance of perception of fluctuating course
- psychomotoric disturbances (hyperactive or quiet)
- mostly no isolated connection to an acute mental strain or pre-existing psychiatric disease.

Three subtypes of delirium, hypoactive, hyperactive and mixed, were classified more than 30 years ago based on the clinical presentation focused on psychomotor behaviour.²³

Hyperactive delirium presents with restlessness, agitation and hypervigilance and is often accompanied by hallucinations and delusions. Patients showing lethargy and who seem slowed down (eg, speech and spontaneous movements) raise suspicion for development of hypoactive delirium. Mixed delirium shows features of both conditions.²⁴

Exclusion criteria

Hypersensitivity to the active substances is defined as known allergy to one of the study drugs.

Egg and soy allergy: Even though patients who are allergic to eggs are generally allergic to egg protein or albumin, not lecithin representing the egg phosphatides which are present in the propofol emulsion, an adverse allergic reaction to propofol in a patient with egg hypersensitivity has been reported.²⁰ There is no clear evidence to determine propofol administration as a contraindication in a patient with history of egg allergy,²⁵ but we will exclude these patients for safety reasons.

Cardiac rhythm: The patient has to be excluded if an advanced heart block (grade 2 or 3) is seen in the ECG or if a bradycardia of different origin is documented or assessed, unless the patient has a pacemaker.

Uncontrolled hypotension is defined as systolic pressure <30% from baseline or mean arterial pressure <60 mm Hg that cannot be controlled by noradrenaline <0.1 μ g/kg/min. Uncontrolled hypotension, as defined in the protocol, leads to exclusion of the study patient. In case of hypotensive blood pressure values above our definition of uncontrolled hypotension, we would reduce the drug dose, and this would not lead to study exclusion.

Acute cerebrovascular conditions include acute vascular ischaemic events or acute intracranial haemorrhage of traumatic origin. Hence, we will include patient's suffering from chronic subdural haematoma.

Severe cardiac dysfunction is characterised by decreased contractility and impaired fluid responsiveness or dilated ventricles (eg, EF < 30%). This will be assessed according to clinical development and echocardiography.

Age <18 years: We will only include adult patients in our study.

Terminal state: Patients suffering from an incurable disease and who have a terminal illness will not be included in our study.

Pregnancy: A negative pregnancy test will be necessary for study inclusion in women aged \leq 45 years.

Status epilepticus or postictal states following seizures on *EEG*: An unexplained unconscious state will be evaluated with EEG prior to study enrolment.

Active psychosis is of non-organic origin (classified as functional disturbance). Active psychosis will be diagnosed/excluded according to the following criteria:

- crescendo development over days to weeks (contrary to delirium which usually develops acutely within minutes)
- nodetectable organic cause for delusion, hallucinations and other types of perceptual disturbances, or for severe behavioural disturbance
- no disturbed vigilance at the beginning
- perceptual disturbances mostly discrete or of short duration (not sufficient for diagnosis of delirium)
- often connected to acute mental strain or pre-existing psychiatric disease.

Delirium tremens: Delirious symptoms that raise suspicion for a delirium due to substance withdrawal, such as visual or tactile hallucinations, body tremors, sensitivity to stimuli (light/sounds/touch).

Substance abuse with experience of acute withdrawal: Depending on the substance (ie, benzodiazepines and antipsychotics) patients might be excluded during the screening procedure. If the study participant raises suspicion for substance withdrawal (eg, completion of patient history by next of kin), he or she will be withdrawn from the study.

Desired level of sedation

SAS: 3/RASS: 0.

Primary outcome measure

Duration of delirium in hours: the onset of delirium will be defined as the start of the first of a minimum of two subsequent shifts with an ICDSC \geq 4 and an SAS >4 or RASS >1. The end of the delirium will be defined as the end of the last shift with an ICDSC \geq 4 that precedes a minimum of two subsequent shifts with an ICDSC <4.

The RASS and the SAS can be extrapolated to one another as follows:

Action/interpretation	RASS	SAS
Observation	≤ −3	<3
Screening for delirium	> -3	≥3
Severe agitation	2–4	6–7

Whereas the RASS score includes 10 levels compared with 7 levels in the SAS, the RASS is more precise in the determination of the level of agitation. However, both scores are used in the ICU. Due to lack of data, no superiority of one score over the other was shown until now. As mentioned above, with the CAM-ICU, no relevant difference for delirium assessment has been found. Because of a long-time experience in our institution and its high sensitivity and specificity as described later, the ICDSC represents the preferred assessment tool in our study.

Serious adverse event

Serious adverse events (SAEs) are classified as any medical occurrence that results in death, is life threatening, requires prolongation of existing hospitalisation or results in persistent or significant disability/incapacity.

Serious unexpected adverse drug reaction

A serious unexpected adverse drug reaction (SUSAR) indicates an adverse drug reaction that is of a nature or severity that is not consistent with the applicable product information

STUDY PERIOD OVERVIEW

The study period consists of enrolment, allocation, postallocation and closeout (table 2). Allocation is defined as

Table 2 Study period overview	W					
Study period						
	Enrolment	Allocation	Postallocation			Closeout
Time point (hours)	-t1	$\mathbf{t}_0 = 0$	t1=24	T2=48	T3=72	T4=XX
Enrolment	Х					
Eligibility screen	Х					
Informed consent	Х					
Assessments						
Vital signs		Х	Х	Х	Х	Х
Delirium screening tools	Х	Х	Х	Х	Х	Х
Drug therapy						
Dexmedetomidine or propofol infusion		Х	(X)	(X)	(X)	
Rescue medication		Х	(X)	(X)	(X)	

X = will be performed for sure; XX = hours after allocation; (X) = performed if patient is still in delirium.



Figure 1 Allocation overview.

the first day of dexmedetomidine or propofol infusion from 20:00 to 06:00 after diagnosed delirium. Closeout is defined as point of time of delirium recovery.

Screening

Following the delirium assessment part of the assess and treat pain, Awakening and Breathing trials, Coordination of care and Choice of sedative, Delirium Monitoring and Management and Early Mobility bundle²⁶ representing the core of the institutional pain, agitation and delirium (PAD) guidelines,²⁷ we will screen every patient admitted to the ICU for ongoing delirium to evaluate eligibility for study recruitment (figure 1).

Delirium assessments

Every patient admitted to the trial site will be screened for study eligibility following the inclusion and exclusion criteria. Patients meeting study participation criteria are those who fulfil the inclusion criteria and none of the exclusion criteria. Eligibility screening data will be stored using the electronic case report form established by the Clinical Trial Unit (CTU; part of Department of Clinical Research), Basel. In parallel, this will allow the opportunity for an intention-totreat (ITT) analysis.

For screening and for the whole duration of the delirium, we will assess the ICDSC during every shift. The ICDSC and the CAM-ICU are the most well-studied and widely implemented adult ICU delirium screening tools worldwide and the two delirium screening tools recommended by recently updated clinical practice guidelines. The ICUs of the University Hospital Basel routinely use the ICDSC for assessment of delirium.

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Both clinical scoring systems have been recommended for the screening of delirium in ICU by the Society of Critical Care Medicine based on high-quality evidence.⁶ Direct comparisons of the diagnostic accuracy of the CAM-ICU and the ICDSC have been performed in recent studies with heterogeneous ICU populations revealing a higher sensitivity and specificity of the ICDSC than the CAM-ICU.^{28–30}

According to the studies and guidelines mentioned above, an ICDSC \geq 4 was defined as delirium.^{6 31}

The ICDSC is an eight-item checklist of delirium symptoms evaluated over an 8-24 hour period. Patients are given one point for each symptom that manifests during the specified time frame (zero points if a symptom did not manifest). The eight symptoms are: level of consciousness, inattention, disorientation, /delusions/psychosis, psychomotor hallucinations agitation or retardation, inappropriate speech or mood, sleep/wake cycle disturbances and symptom fluctuation. A score \geq 4 indicates a positive ICDSC and the presence of delirium. Key symptoms of delirium can be part of a focused evaluation by the bedside clinician. For example, as the nurse introduces himself/herself to the patient and performs the clinical assessment he/ she also looks for signs that may indicate the patient is inattentive, has disorganised thinking, psychomotor agitation/retardation, and so on. Presence of any symptoms noted during an initial focused evaluation can immediately be scored on the ICDSC. The patient can subsequently be observed and scored for additional symptoms that manifest or fluctuate during the remainder of the specified time period. Without objective criteria, there could be variation in how symptoms are identified in intubated patients. See table 3 for suggestions on how to assess delirium symptoms in this special population using the ICDSC.³²

Three and 12 months' follow-up

To also assess long-term follow-up of patients that received dexmedetomidine and compare it with those who received propofol, we will perform a follow-up 3 and 12 months after the prevailing hospital case has been officially closed (discharge date). By this follow-up we will assess the following information equally at 3 and 12 months:

- death during hospital stay
- death after hospital discharge
- hospital readmission
- ► activities of daily life questionnaire.

ASSESSMENTS

Assessment of delirium

Delirium will be assessed by the ICDSC as explained above.

Assessment of sedation and pain level

For assessment of sedation level, we will comply with the SAS and the RASS, and for pain with the Critical Care

Pain Observational Tool and/or Visual Analogue Scale/ Numeric Rating Scale (VAS/NRS).

All scores (ie, ICDSC, RASS/SAS, CPOT and VAS/NRS) are assessed by the treating nurses of the study patient. In the centres involved, advanced nurse practitioners coach the nursing staff and checks agitation and delirium assessments in the study patients in regular intervals.

Assessment of study drug side effects

To evaluate potential side effects, we will record the following parameters:

- ► heart rate
- blood pressure
- fluid balance
- blood count
- ► creatinine
- blood urea nitrogen
- triglycerides

Blood pressure (lowest systolic pressure and lowest mean arterial pressure) and heart rate will be carefully monitored to detect the most common side effect of dexmedetomidine and propofol: hypotension and brady-cardia. A heart rate below 40/min or a systolic pressure of <20% from baseline will indicate the need to evaluate safety of continued study drug administration.

Creatine kinase, myoglobin and lactate will be also monitored to detect propofol-related infusion syndrome (PRIS), a threatening side effect of long-term propofol infusion. If the latter-mentioned values are elevated, we will discontinue the drug. If after discontinuation of the drug we measure further elevation of these values, we will perform a muscle biopsy, if the syndrome leads to haemodynamic abnormalities, lactic acidosis and rhabdomyolysis.

Electroencephalography

EEG evaluation will enable us to analyse different patterns of sleep architecture under the influence of dexmedetomidine.

Former investigations were able to show, after analysis of density, duration, amplitude and frequency of sleep spindles, that EEG activity under dexmedetomidine sedation is quite similar to the normal sleep pattern of the physiological sleep state N2 with light to moderate appearance of slow-wave activity and a lot of sleep spindle activity. Within quantitative EEG analyses, the sleep spindles were alike during sedation with dexmedetomidine and normal sleep. This finding supports prior evidence of activation of normal non-rapid eye movement sleep-promoting pathways caused by the sedative agent that will be further investigated in our trial.¹⁷

Assessments documented on the case report form

On our case report form, we will document the following information on our study participants (sequential order):

- patient information
- study group
- study eligibility

Table 3 Suggestions for assessing delirium with the ICDSC* 1 Attend local of assessing delirium with the ICDSC*		
1. Altered level of consciousness: choose one from A to E		
A. Exaggerated response to normal stimulation	SAS=5, 6, 7 or RASS =+1 to+4	(1 point)
B. Normal wakefulness	SAS=4 or RASS=0	(0 points)
C. Response to mild or moderate stimulation (follows commands)	SAS=3 or RASS=-1 to -3	(0 points)
D. Response only to intense and repeated stimulation (eg, loud voice and pain)	SAS=2 or RASS=-4	Stop assessment
E. No response	SAS=1 or RASS=-5	Stop assessment
2. Inattention		(1 point if any present)
A. Difficulty in following commands or		
B. Easily distracted by external stimuli or		
C. Difficulty in shifting focus		
Does the patient follow you with their eyes?		
3. Disorientation		(1 point if any abnormality)
A. Mistake in either time, place or person		
Does the patient recognise ICU caregivers who have cared for him/h place are you in? (list examples)	er and not recognise those that I	have not? What kind of
4. Hallucinations or delusions		(1 point if any abnormality)
A. Equivocal evidence of hallucinations or a behaviour due to halluci there with NO stimulus) or	nations (hallucination=perception	n of something that is not
B. Delusions or gross impairment of reality testing (delusion=false be	lief that is fixed/unchanging)	
Any hallucinations now or over past 24 hours? Are you afraid of the p the clinical situation)	eople or things around you? (fea	r that is inappropriate to
5. Psychomotor agitation or delay		(1 point for either)
A. Hyperactivity requiring the use of additional sedative drugs or rest intravenous lines or hitting staff) or	raints in order to control potenti	al danger (eg, pulling out
B. Hypoactive or clinically noticeable psychomotor slowing or delay		
Based on documentation and observation during shift by primary car	regiver	
6. Inappropriate speech or mood		(1 point for either)
A. Inappropriate, disorganised or incoherent speech or		
B. Inappropriate mood related to events or situation		
Is the patient apathetic to current clinical situation (ie, lack of emotion inappropriately demanding?	n)? Any gross abnormalities in sp	eech or mood? Is patient
7. Sleep/wake cycle disturbance		(1 point for any abnormality)
A. Sleeping less than four hours at night or		
B. Waking frequently at night (does not include wakefulness initiated	by medical staff or loud environ	ment) or
C. Sleep ≥4 hours during day		
Based on primary caregiver assessment		
8. Symptom fluctuation		(1 point for any)
Fluctuation of any of the above items (ie, 1–7) over 24 hours (eq. fron	n one hospital shift to another)	
Based on primary caregiver assessment		
Total ICSDC score (add 1–8)		

ICDSC, Intensive Care Delirium Screening Checklist; ICU, intensive care unit; RASS, Richmond Agitation Scale; SAS, Sedation Agitation Scale.

- results of the foreseen assessment tools
- administered drugs
- cardiovascular parameters
- lab values
- drugs administered
- ► outcome overview
- ► EEG analysis
- ▶ follow-up at 3 and 12 months.

RANDOMISATION

Trial staff will have access 24/7 to the electronic case report form where patients are screened and randomised to one of the trial arms. Randomisation will be performed by the CTU Basel. A unique patient identification code will be assigned to every screened patient consisting of the patient's initials, year of birth, gender and type of delirium (ie, H=hyperactive and M=mixed). Since every screened patient will be assessed using our case report form, we may replace the 'H' or 'M' (type of delirium) with 'W' to indicate patient withdrawal after assessment of exclusion criteria.

Stratified block randomisation will be performed with stratification for gender, treating unit, sepsis and heart surgery.

We will randomise every hyperactively delirious patient to our study. For the mixed delirium, it follows that such a patient would be recruited at the first point of time he or she shows agitation assessed by the RASS/SAS score. Study patients do not have to be hyperactive for a minimum number of assessments.

BLINDING

Not applicable. Potential drug side effects are drug specific.

The treating medical team as well as the study team will not be blinded for the outcome assessment. The statistician who will analyse the final data will be blinded for study drug medication as far as applicable (eg, not possible for EEG analysis).

PATIENT INFORMATION AND INFORMED CONSENT

Because all study participants are delirious, they do not have the capacity to give their consent for the study. For this reason, an independent auditing physician, acting as the patient's representative, will declare each patient's suitability for trial participation in the patient's name. The signed document of the independent physician is the prevailing condition for inclusion of the patient in our study.

If possible, depending on the general condition of the delirious participants, the investigators will explain to each participant the nature of the study, its purpose, the procedures involved, the expected duration, the potential risks and benefits and any discomfort it may entail. Each participant (depending on constitution) or the next of kin will be informed that the participation in the study is voluntary and withdrawal from the study is possible any time and that withdrawal of consent will not affect the subsequent medical assistance and treatment.

If anyhow possible, the participant or the next of kin must be informed that the medical record may be examined by authorised individuals other than their treating physician.

All participants in the study will be provided a participant information sheet describing the study and providing sufficient information for the participant and his/her next of kin to make an informed decision about their participation in the study. There will also be a consent form for the participant's next of kin.

The patient and next of kin information sheet and the consent forms have been submitted to the competent ethics committee for revision and have been approved. If possible, the formal consent of a participant's next of kin, using the approved consent form, must be obtained before the participant is subjected to any study procedure.

If possible, the next of kin should read and consider the statement before signing and dating the informed consent form and should be given a copy of the signed document. The consent form must also be signed and dated by the investigator (or his designee). The signed form will be retained as part of the study records.

After recovery, the patient will be informed about his/ her participation in the trial and he/she will have the possibility to withdraw their data from the study. In case of ex-post study withdrawal, patient data will be destroyed.

SAFETY

Since dexmedetomidine compared with other sedatives shows fewer side effects, mainly bradycardia and hypotension, and evidence strongly suggests high benefit for treatment of delirium going along with increased comfort and safety also for critically ill patients, no severe adverse events are expected by its use for sedation in delirious patients after careful enrolment following our exclusion criteria. We will monitor additional laboratory parameters for early detection of threats by long-term PRIS as mentioned above.

An individual subject will be excluded from the study if any of the following occur in the subject in question:

- withdrawal of consent by the independent physician or a next of kin
- an adverse event that in the opinion of the sponsor contraindicates further measuring (emergency setting).

Categorisation of the study

Dexmedetomidine comes under risk category A in our trial. It is a medicinal product authorised in Switzerland, and its use here is in accordance with the prescribing information: sedation in the ICU of patients aged ≥ 18 years targeting arousability on verbal stimuli (RASS score 0 to -3).

Serious adverse reactions

The occurrence of SAEs will be assessed during every shift based on the bedside visit and study of vital and laboratory parameters and will be recorded daily on the electronic case report form.

All changes in research activity and unanticipated problems have to be reported to the competent Ethics Committee by the sponsor and the principal investigator. An SAE or SUSARs has to be reported within 7 days maximum if fatal, otherwise within 15 days. An annual safety report will be provided by the sponsor.

Dexmedetomidine

No SAEs are specified in the product characteristics of dexmedetomidine (https://pubchem.ncbi.nlm.nih.gov/ compound/dexmedetomidine) if used according to the indicated cautions:

- ▶ renal/hepatic impairment
- ▶ risk of hypotension, bradycardia and sinus arrest
- caution in cardiovascular disease, diabetes and in patients receiving vasodilators
- ► potential withdrawal symptoms if abruptly withdrawn after >24 hours of continuous use
- use beyond 24 hours associated with tolerance, tachyphylaxis and dose-related increase in adverse effects (eg, ARDS, respiratory failure and agitation).

The only contraindication listed is hypersensitivity to the product. Adverse reactions are listed as follows:

- ▶ hypotension (28%)
- ▶ bradycardia (1%–10%)
- ▶ atrial fibrillation (1%-10%)
- ▶ anaemia (1%–10%)
- ▶ fever (1%–10%)
- ▶ pleural effusion (1%-10%)
- ▶ leucocytosis (1%–10%)
- ▶ pulmonary oedema (1%–10%).

Propofol

Propofol is contraindicated under the following circumstances to avoid adverse reactions:

- lack of ventilatory support
- ► severe cardiac dysfunction
- documented hypersensitivity, egg allergy, soybean/soy allergy.

List of known adverse reactions:

- ► >10% hypotension (adults 3%-26%)
- ► apnoea lasting 30–60s (adults 24%)
- ► apnoea lasting >60 s (adults 12%)
- ▶ movement (adults 3%–10%)
- ▶ injection site burning/stinging/pain (adults 18%)
- ► 1%-10% respiratory acidosis during weaning (3%-10%)
- ▶ hypertriglyceridaemia (3%–10%)
- ▶ rash (adults 1%-3%)
- ▶ pruritus (1%–3%)
- ► arrhythmia (1%–3%)
- ▶ bradycardia (1%–3%)

- cardiac output decreased (1%-3%; concurrent opioid use increases incidence)
- ► tachycardia (1%-3%)
- ► <1% arterial hypotension, anaphylaxis, asystole, bronchospasm, cardiac arrest, seizures, opisthotic reaction, pancreatitis, pulmonary oedema, phlebitis, thrombosis and renal tubular toxicity.

PATIENT WITHDRAWAL

Patients withdrawn from the study will be included in the ITT analysis. Subjects who could not be followed over the intended period and all designated points of assessments, regardless of reason, will not be followed. Unless consent for follow-up is withdrawn, subjects discontinued before closeout will be followed for the full study period with all laboratory and clinical evaluations collected as defined in the protocol. We can assure that the measurements will by no means delay therapy.

STATISTICS

Detailed methodology for summaries and statistical analyses of the data collected in this study will be documented in a statistical analysis plan, which will be finalised before database closure and will be under version control by the CTU, University Hospital of Basel.

Hypothesis

We hypothesise that the infusion of dexmedetomidine compared with propofol over the designated period of time leads to shorter duration and diminished severity of delirium.

The two-sided statistical null hypothesis to be tested for the primary endpoint: as an addition to standard therapy, there is no difference regarding the duration of delirium between patients receiving dexmedetomidine compared with propofol.

Determination of sample size

Sample size was estimated to be able to show the superiority of dexmedetomidine compared with propofol regarding the duration of delirium in hours.

Sample size calculation was based on pilot data on the number of intensive care shifts (three per day) in which delirium was detected in 118 patients with hyperactive or mixed type delirium on the ICU. Delirium duration was calculated as (number of observed shifts with delirium minus 1)×8 hours. The first shift with delirium will be subtracted because it is an inclusion criterion, and patients will be randomised to either drug after delirium has been diagnosed for the first time. Multiplication by 8 hours is due to the shift duration. Furthermore, it is assumed that these patients were treated with propofol and that treatment with dexmedetomidine would lead to a reduction of the delirium duration by θ % (relative effect).

Sample size was calculated with a semiparametric resampling method as suggested by Davison and Hinkley

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Figure 2 Total sample size (number of patients, not including dropouts) needed to be able to show the superiority of *dexmedetomidine* to propofol regarding the duration of delirium (hours), depending on the relative effect (% reduction). The numbers on the curves show the corresponding power. An example is shown for a relative effect of -25% for patients with *dexmedetomidine* compared with patients with propofol, and a power of 80%. The curves are smoothed and for illustration only.

(1997).³³ This allows to simultaneously account non-parametrically for the distribution of delirium duration in the pilot data set (which is fairly skewed) and parametrically for the treatment shift, θ . Each sample size, n_{i} $_{1-49}$ =20, 500, was evaluated by sampling 9999 times n. individual patients with replacement from the pilot data. Half of the patients were randomly assigned to dexmedetomidine and propofol. Thereby, different relative effects, θ (percentage reduction in delirium duration), ranging from -50 to -10, were applied to the patients in the dexmedetomidine group by multiplying their delirium durations with a factor $(1 + \theta/100)$. Thereafter, a Wilcoxon rank-sum test was used to test for a difference between the two groups. Sample size was set to ensure at least 80% power $(1 - \beta = 0.8)$, at a significance level of 5% $(\alpha = 0.05).$

For this study, assuming a relative effect of $\theta = -25\%$ (which corresponds to a reduction in the median duration of delirium by 24hours), 316 patients should be recruited to ensure 300 evaluable patients considering a dropout rate of 5%. figure 2 presents the sensitivity of sample size with respect to the expected reduction in the duration of delirium.

Planned analyses

Datasets to be analysed, analysis populations

We will conduct an ITT and and a per protocol analysis. The ITT set will include all patients randomised to dexmedetomidine or propofol. According to the ITT principle, patients will be analysed according to the randomised treatment.

The PP set will include all patients from the ITT set who meet the inclusion criteria, do not meet any of the exclusion criteria and do not have a major protocol violation (eg, inclusion criteria not met or exclusion criteria met). Patients not receiving the randomised treatment will be analysed according to the received treatment.

Primary analysis

The primary outcome, duration of the delirium, will be compared between patients treated with dexmedetomidine and patients treated with propofol by a Wilcoxon rank-sum test (ie, Mann-Whitney test) applied to the ITT set.

To assess the sensitivity of the result with regard to the analysis used, we will conduct the following sensitivity analyses:

S1: analysis described above applied to the PP set.

S2: a linear model on log-transformed duration of the delirium (instead of the non-parametric Wilcoxon rank-sum test) will be applied to the ITT set.

S3: as S2 but adjusting the treatment effect by including covariates in the model that might also affect the duration of delirium.

Secondary analyses

Continuous secondary outcomes will be compared between the two trial arms by Wilcoxon rank-sum tests or linear models depending on whether the normality assumption for the residuals will be violated. The lengths of stay (ICU and hospital) will be compared between the two trial arms as the time to discharge using Cox proportional hazards models. Categorical secondary outcomes will be compared between the two trial arms by generalised linear models. All analyses on secondary endpoints will be applied to the ITT set.

The emergence of different sleep-like patterns and/or the presence and changes of patterns of acute encephalopathy during sedation will be analysed descriptively based on EEG characteristics in patients from the dexmedetomidine trial arm.

Deviation(s) from the original statistical plan

If for whatever reason substantial deviations of the analysis, as outlined in this section, are needed, the protocol will be amended. All deviations of the analysis from the protocol or from the detailed analysis plan will be listed and justified in a separate section of the final statistical report.

Handling of missing data and dropouts

Missing values on the primary endpoint will be imputed. A detailed description of the imputation methods and corresponding sensitivity analyses will be specified in the detailed analysis plan. Missing values on secondary endpoint will not be assigned (complete case analyses).

DATA REGISTRATION

Data will be entered into a web-based electronic case report form established by the CTU Basel. Paper case report forms will be used in parallel also because of possible technical difficulties.

DATA HANDLING AND MANAGEMENT

All data from this study will be kept within the Investigator Site File, and only the study team will have access. In case of a patient's ex-post denial of study participation, the data collected will not be used for publication involving neither the corresponding trial nor future trials. In such cases, the data will be destroyed.

All study data will be archived in a designated place on our Surgical ICU at the University Hospital of Basel for a minimum of 10 years after study termination or premature termination of the clinical trial. We plan to store the data also within an electronic case report form (eCRF).

MONITORING

We have appointed an experienced study nurse of the University Hospital of Basel to be responsible for trial monitoring focusing on data entry.

No regular monitoring visits at the investigator's site prior to the start of the study are planned by the Sponsor. Monitoring will commence with the trial initiation visit, followed by regular monitoring visits within time frames that will have to be determined in the beginning. A daily monitoring of eCRF performance will be conducted by a member of the study team.

The source data/documents are accessible to monitors, and questions are answered during possible monitoring.

ETHICAL JUSTIFICATION

Due to the nature of delirium, patients eligible for study participation are not able to give their consent. As described above, we will seek the patient's approval for use of collected data for our publication after the delirium has resolved.

Delirium is a serious condition calling for immediate diagnosis and therapy. Because ICU length of stay is associated with patient morbidity and mortality, we chose to investigate a therapeutic approach that might reduce the duration and severity of delirium, thus leading to shorter ICU and hospital stays.

By achieving our goal, we can positively influence patient well-being after severe medical condition and, most importantly, promote a reduction in patient morbidity and mortality and enhance patient satisfaction. This in turn would have a positive impact on our society, and on the economy.

ENROLMENT

Patients from both Surgical and Medical ICUs of the University Hospital Basel are primarily scheduled for trial participation. The study is planned to begin in January 2017 and will continue for a 3-year period.

TRIAL MANAGEMENT AND ORGANISATION

The trial will be organised and managed by the research team of the Surgical ICU, University Hospital of Basel, in collaboration with the staff of the Surgical ICU, the Medical ICU and the Department for Clinical Neurophysiology, Epilepsy and Movement Disorders, University Hospital of Basel. The latter will be responsible for carry out the EEG and analysis. Monitoring will be provided by an experienced study nurse who is not member of the research team. The statistical research plan and statistical analysis as well as the establishment of the eCRF will be ensured by the CTU Basel.

Coenrolment of study participants in other clinical trials is basically allowed but will have to be discussed among the competing research teams prior to randomisation.

INSURANCE

Insurance will be provided by the sponsor through the liability insurance of the University Hospital Basel.

DATA SHARING AND PUBLICATION

Study results will be communicated to patients based on expected speed-up in convalescence from delirious state. During the ongoing study and until publication, there will be no public access to the data. We plan to publish the data in a major peer-reviewed clinical journal.

A public description of the study in German will be available on the SNCTP after gaining approval for study conduction from the competent ethics committee.

TIMELINE

Study conductance is planned as follows (table 4).

Table 4	
Year	Procedure
2016	 Approval from competent ethics committee Trial registration Funding application Purchase of EEG device Establishment of eCRF Development of monitoring plan Medical staff study training
2017–2019	 Inclusion of 316 patients Follow-up of 316 patients Annual safety report
2019	 Data analysis Writing and submission of manuscript for publication

Open	Ac

ess

Table 5Calculation of average cost of dexmedetomidinestudy treatment per day			
Price of dexmedetomidine: 200 µg/2 mL, 1 box = five 2 mL ampoules	CHF	143.24	
Price of dexmedetomidine per ampoule	CHF	28.65	
Patient weight: assumed average	kg	80.00	
Duration of dexmedetomidine infusion: 20:00–06:00	hrs	10.00	
Average dose of dexmedetomidine per hour: Bolus of 0.7 µg/kg/hour, followed by 0.2–1.4 µg/kg/hour for desired level of sedation			
 Bolus of 0.7 µg/kg/hour (first hour) Continuous infusion of 0.8 µg/kg/hour (median; 9 hours) 	μg + μg = μg	56.00 576.00 632.00	

FINANCES

Funding

Approval of financial support over CHF 92 500 was given by the Research Foundation of the University Hospital Basel.

- Study drug/personnel/laboratory will be financed by the above-mentioned grant approved by the Research Foundation of the University Hospital Basel.
- All other drugs used during the study are part of the routine treatment of patients with delirium. No additional costs will arise.

There has not been or will be any influence on trial design and conductance by any funding sources.

Rationale: dexmedetomidine as considerable cost factor

Calculation of average cost of dexmedetomidine study treatment per day (Table 5; for price overview, see online supplementary appendix).

Calculation of average dexmedetomidine costs:

To administer the dose of $632 \mu g$ of dexmedetomidine calculated for 1 day, four ampoules will need to be used: 632/200=3.16 ampoules. Therefore, the total cost per day attributed to dexmedetomidine is 4*28.65=CHF 114.6.

In the final analysis, we could compare the cost difference of dexmedetomidine to propofol with the average ICU length of stay defined by the average cost of an ICU treatment/24 hours in Switzerland.

DISCUSSION Trial rationale

We hypothesise that the infusion of dexmedetomidine compared with propofol over the designated period of time will lead to a shorter duration and diminished severity of delirium. The results of this study may lead to better algorithms for the treatment of delirium, which could improve clinical care for patients, reduce the burden of family members and protect the patient's longterm autonomy and health.

Population

We include patients admitted to the ICU suffering from hyperactive or mixed delirium that calls for treatment independent from the study.

Intervention

There is limited but promising evidence that dexmedetomidine shortens the duration of hyperactive delirium, diminishes delirium severity and induces a sleep-like pattern that shifts sleep activity to nightly hours. In our trial, we aim to confirm the superiority of dexmedetomidine over propofol for the delirium treatment. The most common side effects of both study drugs, dexmedetomidine and propofol (comparator), are bradycardia and hypotension. Study data suggest that dexmedetomidine compared with propofol reveals a safer risk profile concerning these side effects.

Comparator

We chose propofol as active comparator as it has been established as a standard sedative agent for continuous infusion in compromised patients due to limited self-control under the influence of hyperactive and mixed delirium. Benzodiazepines as other possible comparators stand under strong suspicion to induce delirium.

Outcome

Because of evidence that dexmedetomidine shortens the duration of delirium, we chose to evaluate the duration of delirium in hours to be able to calculate a significant reduction in its duration and thereby prove our hypothesis. The number of delirium free days at day 28 will be used to compensate for competing risks like intensive care mortality.

Sample size

As described above, sample size was estimated to be able to show the superiority of dexmedetomidine compared with propofol regarding the duration of delirium in hours.

Perspective

The Basel ProDex study aims to improve quality of delirium treatment by implementation of dexmedetomidine into the treatment regime based on high quality data.

Trial status

The ethics committee granted approval of this study in September 2016. Inclusion of first patient planned for March 2017.

Study protocol publication

The manuscript was written by following the Spirit Checklist.

Acknowledgements We thank Allison Dwileski for language contribution.

Contributors The authors have contributed, are contributing and will contribute to this manuscript/study conductance/publication of study results as follows: conception or design of the work: MS, SR, RS, LAS and AH. Data collection: KL, SZ, RS, MS, AB and AH. Data analysis and interpretation: SvF, MS, SR, RS, LAS, SM and

AH Drafting the article: AH, LG and MS. Critical revision of the article: all declared authors. Final approval of the version to be published: all declared authors.

Competing interests None declared.

Ethics approval Ethics Committee (EKNZ: Ethikkommission Nordwest- und Zentralschweiz).

Provenance and peer review Not commissioned; externally peer reviewed.

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