

Research

Assessing the impact of comparative genomic sequence data on the functional annotation of the *Drosophila* genome

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Published: 30 December 2002

Genome Biology 2002, **3**(12):research0086.1–0086.20

The electronic version of this article is the complete one and can be found online at <http://genomebiology.com/2002/3/12/research/0086>

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(Print ISSN 1465-6906; Online ISSN 1465-6914)

Received: 8 October 2002

Revised: 25 November 2002

Accepted: 5 December 2002

Abstract

Background: It is widely accepted that comparative sequence data can aid the functional annotation of genome sequences; however, the most informative species and features of genome evolution for comparison remain to be determined.

Results: We analyzed conservation in eight genomic regions (*apterous*, *even-skipped*, *fushi tarazu*, *twist*, and *Rhodopsins 1, 2, 3 and 4*) from four *Drosophila* species (*D. erecta*, *D. pseudoobscura*, *D. willistoni*, and *D. littoralis*) covering more than 500 kb of the *D. melanogaster* genome. All *D. melanogaster* genes (and 78-82% of coding exons) identified in divergent species such as *D. pseudoobscura* show evidence of functional constraint. Addition of a third species can reveal functional constraint in otherwise non-significant pairwise exon comparisons. Microsynteny is largely conserved, with rearrangement breakpoints, novel transposable element insertions, and gene transpositions occurring in similar numbers. Rates of amino-acid substitution are higher in uncharacterized genes relative to genes that have previously been studied. Conserved non-coding sequences (CNCSs) tend to be spatially clustered with conserved spacing between CNCSs, and clusters of CNCSs can be used to predict enhancer sequences.

Conclusions: Our results provide the basis for choosing species whose genome sequences would be most useful in aiding the functional annotation of coding and *cis*-regulatory sequences in *Drosophila*. Furthermore, this work shows how decoding the spatial organization of conserved sequences, such as the clustering of CNCSs, can complement efforts to annotate eukaryotic genomes on the basis of sequence conservation alone.

Background

The functional annotation of metazoan genome sequences represents one of the greatest challenges in modern biological research. For example, even with structural constraints imposed by the genetic code to guide algorithm design, the identification of all protein-coding genes in a metazoan genome remains an unsolved computational problem. The identification of functional non-coding sequences, such as untranslated regions (UTRs), genes for non-protein-coding RNAs, and *cis*-regulatory elements, poses an even more difficult problem for comprehensive genome annotation, as the rules governing their structure and function remain more elusive. Despite these difficulties, it is increasingly clear that comparative genomic approaches will substantially aid efforts to annotate these and other important sequence features. With whole-genome sequence data quickly becoming available for several organisms, it is important to determine which species comparisons and features of genome evolution will be most useful for comparative genome annotation.

The genus *Drosophila* offers a well-characterized evolutionary genetic system for developing and testing methods for comparative genome annotation. From the seminal population-genetic and phylogenetic studies of Dobzhansky and co-workers [1], and the classification of taxonomic relationships in the genus by Patterson, Stone and others [2], *Drosophila* has long served as a model system for developing and testing evolutionary principles at the morphological and cytological levels. The genus *Drosophila* has also served as a proving ground for developing and testing evolutionary principles at the protein [3] and DNA sequence levels [4]. In addition, for over a decade and a half, comparative sequence analysis has had an important role in the functional analysis of genes and *cis*-regulatory sequences in *Drosophila* (see, for example, [5,6]). This history of research has culminated in a rich understanding of the pattern and process of molecular evolution in the genus *Drosophila* [7]. With the complete sequencing of the euchromatic portion of the *Drosophila melanogaster* genome [8,9], this prior knowledge can be applied to the task of comparative genome annotation.

We have undertaken a pilot study to assess the contribution of large-scale comparative genomic sequence data on the functional annotation of the *Drosophila* genome. Our goals are to identify the species whose genome sequences would be most useful in annotating the *D. melanogaster* genome, and to identify features of genome evolution that can assist the annotation of protein-coding genes and the non-coding *cis*-regulatory sequences controlling their transcription. The lessons learned from this study have implications for efforts to annotate the entire *D. melanogaster* genome using comparative sequence data from the forthcoming *D. pseudoobscura* genome [10] as well as the recently completed *Anopheles gambiae* genome [11]. Beyond the initial analyses presented here, these data also serve as materials for the further study of molecular evolutionary processes in

Drosophila and the calibration of comparative sequence analysis tools.

Here, we report the isolation and analysis of genomic sequences from eight candidate regions representing both gene-rich and gene-poor regions of the *Drosophila* genome, totaling over 1.25 megabases (Mb) of DNA sequence. These regions were isolated from fosmid libraries of four divergent *Drosophila* species (*D. erecta*, *D. pseudoobscura*, *D. willistoni*, and *D. littoralis*) chosen to cover a range of divergence times (6-15, 46, 53 and 61-65 million years, respectively) from the reference species, *D. melanogaster* [7]. Using the annotation pipeline and curation tools described in accompanying papers [12-14], we predicted the coding sequence content of these sequences for subsequent comparative analyses. Our results indicate that the majority of coding sequences predicted in *D. melanogaster* can be identified in divergent *Drosophila* species and show evidence of functional constraint. Microsynteny is generally maintained at the scale of individual fosmid clones, and the few rearrangement breakpoints, transposable elements and gene transpositions can readily be identified. Analysis of coding sequence evolution suggests that uncharacterized genes, which we will refer to as 'predicted' genes, tend to have a higher rate of protein evolution than 'known' genes - those genes that have been selected for experimental study and thus are more likely to have easily discerned functions. Analysis of non-coding sequence evolution reveals that levels of conservation vary with divergence time, and that conserved non-coding sequences (CNCSSs) exhibit a striking pattern of spatial clustering in *Drosophila*. Using transgenic reporter assays we show that CNCSS clusters can be used to accurately predict a developmentally regulated enhancer in the *apterous* (*ap*) region. We discuss the implications of our results for comparative approaches to protein-coding and *cis*-regulatory sequence prediction in the genus *Drosophila*.

Results

Isolation and sequencing of genomic regions from divergent *Drosophila* species

On the basis of genome size considerations and the desire to investigate a range of divergence times in the genus *Drosophila*, we constructed fosmid libraries (approximately 40-kb inserts) for *D. erecta*, *D. pseudoobscura*, *D. willistoni* and *D. littoralis* (Figure 1). *D. littoralis* is closely related to the well-studied species, *D. virilis*, but has been reported to have less dispersed repetitive DNA than *D. virilis* (Kevin White, personal communication). We designed degenerate PCR primers for a set of eight well-characterized genes (*apterous* (*ap*), *even-skipped* (*eve*), *fushi-tarazu* (*ftz*), *twist* (*twi*), and *Rhodopsins 1, 2, 3 and 4* (*Rh1*, *Rh2*, *Rh3* and *Rh4*)) to obtain species-specific sequence-tagged sites (STSs) that were subsequently used for hybridization to gridded fosmid filters (see Materials and methods). Positive clones from the library screen were verified by PCR and restriction

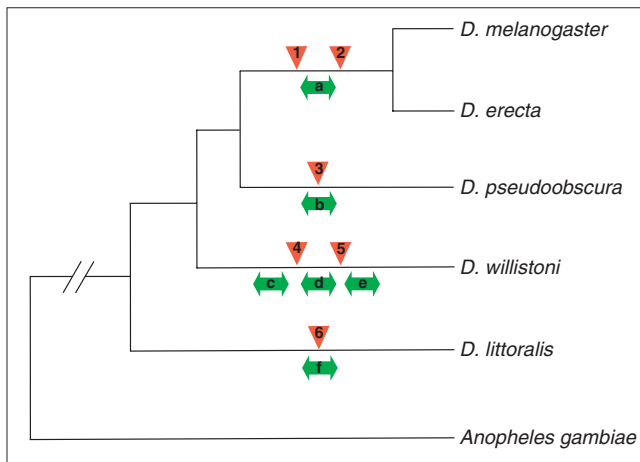


Figure 1

Phylogenetic relationships of the five *Drosophila* species studied in this paper and the outgroup species, the mosquito *Anopheles gambiae*. The topology of this tree is based on the accepted relationship of these six species; the divergence times from *D. melanogaster* are approximately 6-15, 46, 53, 61-65, and 250 million years for *D. erecta*, *D. pseudoobscura*, *D. willistoni*, *D. littoralis* and *A. gambiae*, respectively [7,84]. *D. melanogaster*, *D. erecta*, *D. pseudoobscura* and *D. willistoni* belong to the subgenus *Sophophora* and *D. littoralis* belongs to the subgenus *Drosophila*. Rearrangements are indicated by double-headed arrows below each branch and gene transpositions are indicated by triangles above each branch. Rearrangements are inferred to occur on the lineages leading to (a) the ancestor of the *D. melanogaster*/*D. erecta eve* region, (b) the *D. pseudoobscura Rh1* region, the *D. willistoni* (c) *eve*, (d) *Rh1*, and (e) *Rh3* regions, and (f) the *D. littoralis ftz* region. Gene transpositions are inferred to occur for the (1) *CG13029* and (2) *CG12133* genes in the ancestor of the *D. melanogaster*/*D. erecta* lineage, (3) the *CG5245*-like gene in the *D. pseudoobscura* lineage, (4) the *CG8319*-like gene in the *D. willistoni* lineage, (5) the *CG2222*-like gene in the *D. willistoni* lineage, and (6) the *Rh4* gene in the *D. littoralis* lineage. We note that the event classified as a rearrangement involving the *D. pseudoobscura CG31155* gene at the end of the *Rh1* clone may be a gene transposition as this gene is a partial gene spanning the edge of the clone. In addition, we note that rearrangement involving the *D. littoralis ftz* gene may have occurred on the branch leading to the ancestor of the *Sophophoran* species since, although the orientation of *ftz* with respect to *Antp* is ambiguous in *A. gambiae* ([85,86] and data not shown), it shares a similar configuration to *D. littoralis* in the outgroup, *Tribolium castaneum* [87].

mapped to choose the longest clone containing the candidate gene and its regulatory regions.

In the initial design of this project, comparative sequence data was to be collected from a *D. virilis* P1 library [15]. Using a PCR-based plate-pool screening strategy, we isolated a P1 clone from this library containing an 83.2-kb insert from the *ap* region of *D. virilis*. Sequencing of this clone revealed long stretches of repetitive DNA, which complicated both assembly and comparative analyses. In addition, the insert size of the *D. virilis* P1 library (approximately 60-80 kb) was greater than necessary for comparative analysis of single gene regions. This clone was used to guide transgenic reporter analysis (see below), but has not been included in the other analyses reported here.

In total, 30 fosmid clones were isolated and sequenced using methods described in [9] which sum to 1,257,069 bp. All clones were finished to an estimated error rate of fewer than 0.17 errors per 10 kb, with an average estimated error rate of 0.03 errors per 10 kb. The lengths of fosmids sequenced for the eight candidate regions are shown in Table 1. Though we were able to obtain species-specific STSs for the *D. willistoni twi* gene, we were not able to obtain clones for this region from the *D. willistoni* fosmid library. We were also not able to obtain a species-specific probe for *D. willistoni ftz*, nor could we obtain any *D. willistoni ftz* clones using probes from other non-melanogaster species. Also shown in Table 1 are the lengths and locations of *D. melanogaster* genomic regions corresponding to the union of the Release 3 sequences homologous to all four non-melanogaster species. The union of sequences from all non-melanogaster species for the eight candidate regions covers 494.6 kb of the *D. melanogaster* genome; an additional 65.3 kb of *D. melanogaster* genomic sequence was sampled owing to rearrangements in non-melanogaster species. Thus the 1.25 Mb of comparative data presented here span over 0.5 Mb of coding and non-coding sequences of the *D. melanogaster* genome.

Comparative annotation of coding sequences

The 30 non-melanogaster fosmid (and the *D. virilis ap* P1) sequences were computationally processed using the pipeline used to re-annotate the *D. melanogaster* genome [12]. The only major modification to this pipeline was to add an additional tier of evidence containing the results of TBLASTN searches of all Release 3 *D. melanogaster* peptides [14] against non-melanogaster sequences. Predicted coding sequences were manually verified and refined using the Apollo annotation tool [13]. As no expressed sequence tag (EST) information exists to annotate transcribed non-coding sequences (such as UTRs) for the four non-melanogaster species, we annotated only protein-coding gene and exon models. Thus, in keeping with other gene-prediction studies (for example [16]), we use the terms gene and exon to refer to the translated components of genes and exons.

In the 30 fosmids, we predict a total of 164 protein-coding genes in non-melanogaster species (53 in *D. erecta*, 41 in *D. pseudoobscura*, 39 in *D. willistoni*, 31 in *D. littoralis*) that form orthologous clusters with 81 *D. melanogaster* genes. Of the 81 genes, 30 are 'known' genes that have been functionally characterized in some way by the community of *Drosophila* researchers; the remaining 51 genes are 'predicted' genes based only on the evidence in the Release 3 annotations ([14] and see Supplementary Table 1 in the Additional data files section). Of the 164 genes predicted in non-melanogaster species, 133 (81%) are full length; the remaining 31 (19%) are partial coding sequences that span the edge of the sequenced genomic clone. In non-melanogaster species, we predict 495 coding exons (148 in *D. erecta*, 133 in *D. pseudoobscura*, 111 in *D. willistoni*, 103 in *D. littoralis*) that form orthologous clusters with 264

Table 1**Summary of candidate gene regions and lengths of sequences analyzed in this study**

Region	Arm	Cytological location	<i>D. melanogaster</i>	<i>D. erecta</i>	<i>D. pseudoobscura</i>	<i>D. willistoni</i>	<i>D. littoralis</i>
<i>Rh1</i>	3R	92B3-6	54,450	38,418	45,873	43,804	35,983
<i>Rh2</i>	3R	91D3-5	58,172	43,599	42,336	35,954	43,945
<i>Rh3</i>	3R	92C3-D1	83,394	43,180	42,117	41,651	45,428
<i>Rh4</i>	3L	73D1-6	53,470	41,352	44,117	36,325	44,255
<i>ap</i>	2R	41F8	50,314	37,077	38,050	40,487	39,016
<i>eve</i>	2R	47C6-D4	46,587	45,909	44,139	38,059	43,320
<i>ftz</i>	3R	84A5-B2	66,214	44,340	42,627	NA	43,155
<i>twi</i>	2R	59C1-3	82,029	43,101	43,025	NA	46,427
Total			494,630*	336,976	342,284	236,280	341,529

Cytological locations are for sequences in *D. melanogaster*. The *D. willistoni* *ftz* and *twi* region (NA) were not isolated in our library screen. All fosmid clones sequenced have estimated error rates of fewer than 0.17 errors/10 kb. *An additional 65.3 kb of sequence was surveyed from other regions of the *D. melanogaster* genome as a result of rearrangements (see text for details).

D. melanogaster coding exons. On average, there are approximately two non-melanogaster species sampled per orthologous gene and coding exon cluster. Fifteen genes (10 complete) and 39 coding exons were sequenced in all four non-melanogaster species.

Qualitatively, our data reveal that the majority of *D. melanogaster* Release 3 gene models are highly conserved in divergent *Drosophila* species. This made it possible to automatically identify orthologous genes in non-melanogaster species using TBLASTN results in conjunction with Genie [17] and/or GENSCAN [18] predictions to improve intron-exon boundaries and identify small/divergent exons in Apollo. In the few discrepant cases where no clear ortholog could be unambiguously identified (such as the four closely related members of the *Rhodopsin* gene family), we used the conserved microsyntenic gene orders maintained in these species to resolve orthologs (see below). With the exception of the retrotransposition events discussed below, the intron-exon structure of gene models is highly conserved as well: only one case of intron gain was observed in the *D. littoralis Rh2*, as has been reported previously for *Rh2* in the closely related species, *D. virilis* [19]. For a small class of genes (*BcDNA:LD21213*, *Gr59a*, *Gr59b*, *CG9895*, *CG10887*, *CG17186*, *CG4733*), orthologs could be identified in divergent species, but amino-acid sequences could not be reliably aligned with the *D. melanogaster* gene model. In addition, orthologs of four genes (*CG13029*, *CG14294*, *CG12133*, *CG12378*) could not be identified in non-melanogaster species except in *D. erecta*, the species most closely related to *D. melanogaster*. The absence of these genes is not simply due to insufficient sampling, since in these cases both 5' and 3' neighboring genes could be

identified in more divergent species (see Figure 2, for example). These may represent genes overpredicted in both *D. erecta* and *D. melanogaster*, lineage-specific genes which evolved before the divergence of *D. melanogaster* from *D. erecta*, or genes which have transposed from (or to) other locations in the genomes of the more divergent species.

We used an evolutionary genetic approach, the K_a/K_s test, to assess the accuracy of these gene and exon predictions [20]. This test relies on the assumption that functionally constrained protein-coding sequences should exhibit significantly lower rates of evolution in amino-acid-encoding nucleotide sites (typically first and second positions in a codon) relative to silent sites (typically third positions in a codon). Quantitatively, this leads to the prediction that the ratio of the average rate of amino-acid substitution per site (K_a) relative to the average rate of silent substitution per site (K_s) for functionally constrained coding sequences should be significantly less than 1 [21]. Genes or exons which have a $K_a/K_s \approx 1$ are inferred to evolve in the absence of functional constraint; genes or exons which have a $K_a/K_s > 1$ are inferred to evolve under the influence of positive selection. The significance of a K_a/K_s ratio can be determined by a likelihood ratio test of the probabilities of the data under the alternative hypotheses of functional constraint relative to no constraint [22]. Genes or coding exons with a K_a/K_s ratio significantly less than 1 'pass' the K_a/K_s test; genes or coding exons with a K_a/K_s ratio not significantly less than 1 'fail' the K_a/K_s test. The power of this test to detect functional constraint is influenced both by evolutionary distance and sequence length [20]; thus we analyzed both genes and coding exons in pairwise comparison with all four non-melanogaster species.

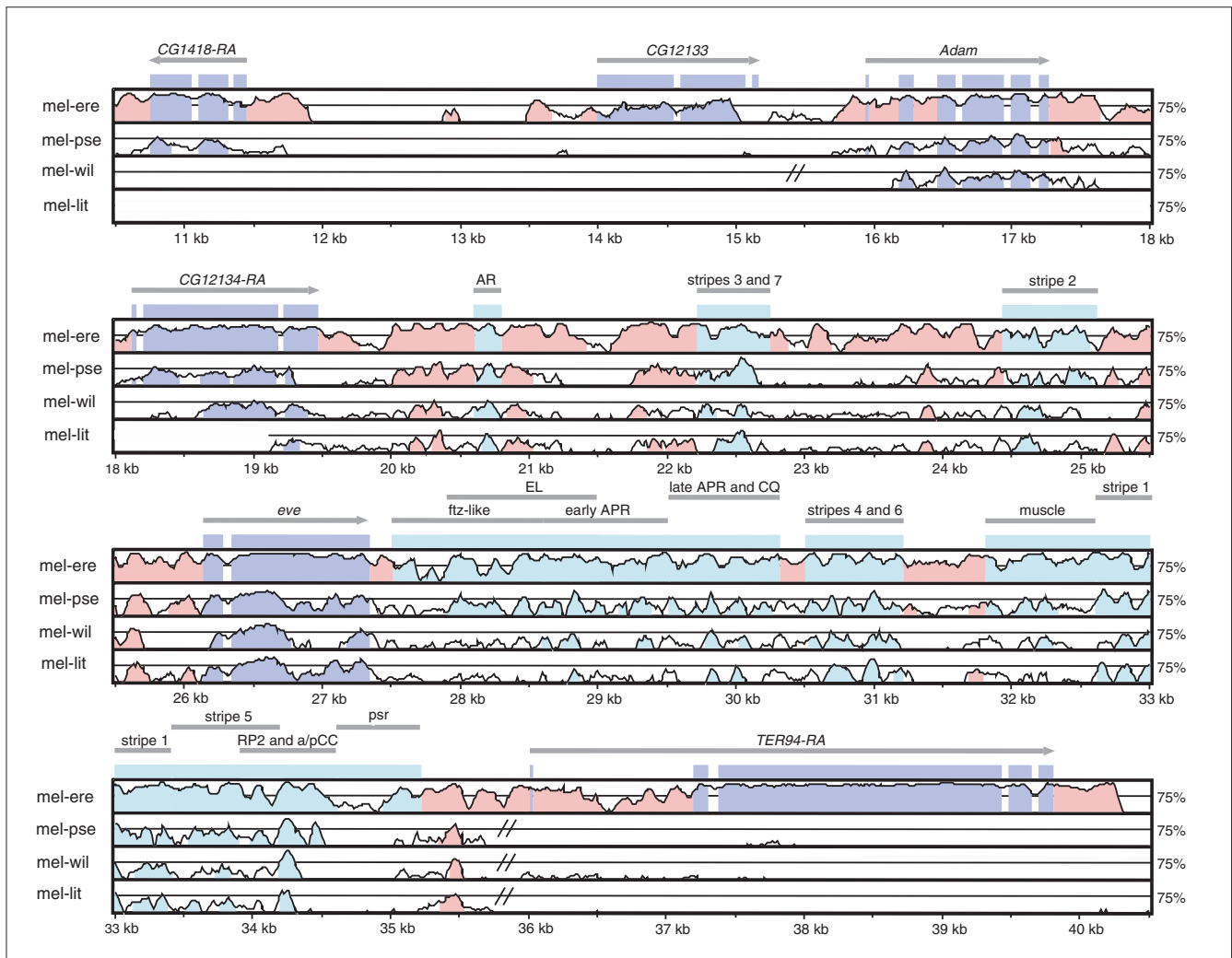


Figure 2

VISTA plot of genome organization and sequence conservation in the *Drosophila eve* region. Sequences were aligned using AVID, and conserved sequences were visualized using default parameters of VISTA. From top to bottom are pairwise comparisons between *D. melanogaster* and *D. erecta* (mel-ere), *D. pseudoobscura* (mel-pse), *D. willistoni* (mel-wil) and *D. littoralis* (mel-lit), respectively. In each panel, conserved segments from 50-100% are plotted, with the midline indicating 75% identity; regions with no midline represent sequences not sampled in a pairwise comparison. Double bars crossing a midline represent rearrangement breakpoints. The location and orientation of coding sequences are indicated by arrows; purple boxes represent coding exons and light-blue boxes represent functionally characterized *cis*-regulatory sequences [50,88-90]; pink regions represent uncharacterized CNCSs. Suffixes on gene names (for example, *TER94-RA*) indicate the particular transcript displayed for genes with multiple transcripts. Note that the predicted gene *CG12133* is restricted to the *D. melanogaster/D. erecta* lineage but is absent in *D. pseudoobscura*, although both flanking genes are present. A scale bar in kb is shown below the graph.

All pairwise gene-level comparisons studied here exhibited K_a/K_s ratios less than one (see Supplementary Table 1 in the Additional data files section). One hundred and fifty-five of 164 (94.5%) of these K_a/K_s ratios were significantly less than 1, indicating that the vast majority of genes in our sample show evidence of functional constraint. All nine pairwise comparisons that fail the K_a/K_s test at the gene level were *D. melanogaster*-*D. erecta* comparisons, and eight out of nine involved predicted genes (Supplementary Table 1). Genomic sequences for six of the nine genes which fail the K_a/K_s test at the gene level were sampled in more divergent species: four of these six genes could be identified in more

divergent species (*Lmpt*, *CG10887*, *CG14292*, and *CG4468*), whereas two could not (*CG12378* and *CG14294*), indicating that genes conserved in divergent species can fail gene-level K_a/K_s tests in comparisons among closely related species like *D. erecta*. Of the four genes identified only in *D. melanogaster* and *D. erecta* and not in more distantly related species, two pass (*CG12133* and *CG13029*) and two fail (*CG12378* and *CG14294*) the gene-level K_a/K_s test. We note that of these four genes, the two genes that pass (*CG12133* and *CG13029*) have multiple exons, whereas the two genes that fail (*CG12378* and *CG14294*) have only a single exon. This result indicates that at least some of the genes found

only in *D. melanogaster* and *D. erecta* are likely to be real genes under functional constraint.

Though the majority of pairwise exon level comparisons have K_a/K_s ratios less than one (Figure 3), a much lower proportion of pairwise comparisons at the exon level pass the K_a/K_s test. In total, 71.9% (356/495) of pairwise comparisons at the exon level pass the K_a/K_s test: 54.0% (80/148) for *D. erecta*; 78.9% (105/133) for *D. pseudoobscura*; 81.1% (90/111) for *D. willistoni*; and 79.6% (82/103) for *D. littoralis*. Coding exons from known and predicted genes pass the K_a/K_s test at similar rates: overall, (72.2% known versus 71.1% predicted), *D. erecta* (56.6% known versus 50.0% predicted), *D. pseudoobscura* (80.4% known versus 75.6% predicted), *D. willistoni* (81.5% known versus 82.1% predicted), *D. littoralis* (78.0% known versus 80.6% predicted). The majority of exons that fail the K_a/K_s test still have K_a/K_s ratios less than 1; only six non-significant pairwise exon comparisons (one in *D. erecta*, one in *D. pseudoobscura*, two in *D. willistoni*, and two in *D. littoralis*) have K_a/K_s ratios greater than 1 (Figure 3). As with gene-level comparisons, the most closely related species, *D. erecta*, fails the highest proportion of exon-level K_a/K_s tests. In contrast to gene-level

comparisons, there is no tendency for exons from predicted genes to fail K_a/K_s tests relative to exons from known genes.

Pairwise comparisons that do not pass the K_a/K_s test could result from misannotated exons or an insufficient amount of divergence to resolve differential rates of amino acid and silent site evolution. Failure to pass exon-level K_a/K_s tests because of insufficient divergence is a function of divergence time and exon length [20]. Our results suggest that both factors contribute to non-significant exon-level K_a/K_s tests between species in the genus *Drosophila*. The fact that the most closely related species, *D. erecta*, fails the highest proportion of gene- and exon-level K_a/K_s tests indicates that insufficient divergence time contributes to non-significant comparisons. Exon length is also a factor, as there is a tendency for shorter exons to fail K_a/K_s tests in our data. For example, the average length of all exons failing the K_a/K_s test in comparisons between *D. melanogaster* and *D. pseudoobscura* is 22.1 codons, and the average length of all exons passing the K_a/K_s test is 152.1 codons. Similar results are obtained for pairwise comparisons involving *D. melanogaster* and *D. erecta*, *D. willistoni* or *D. littoralis*, and for both known and predicted genes (data not shown).

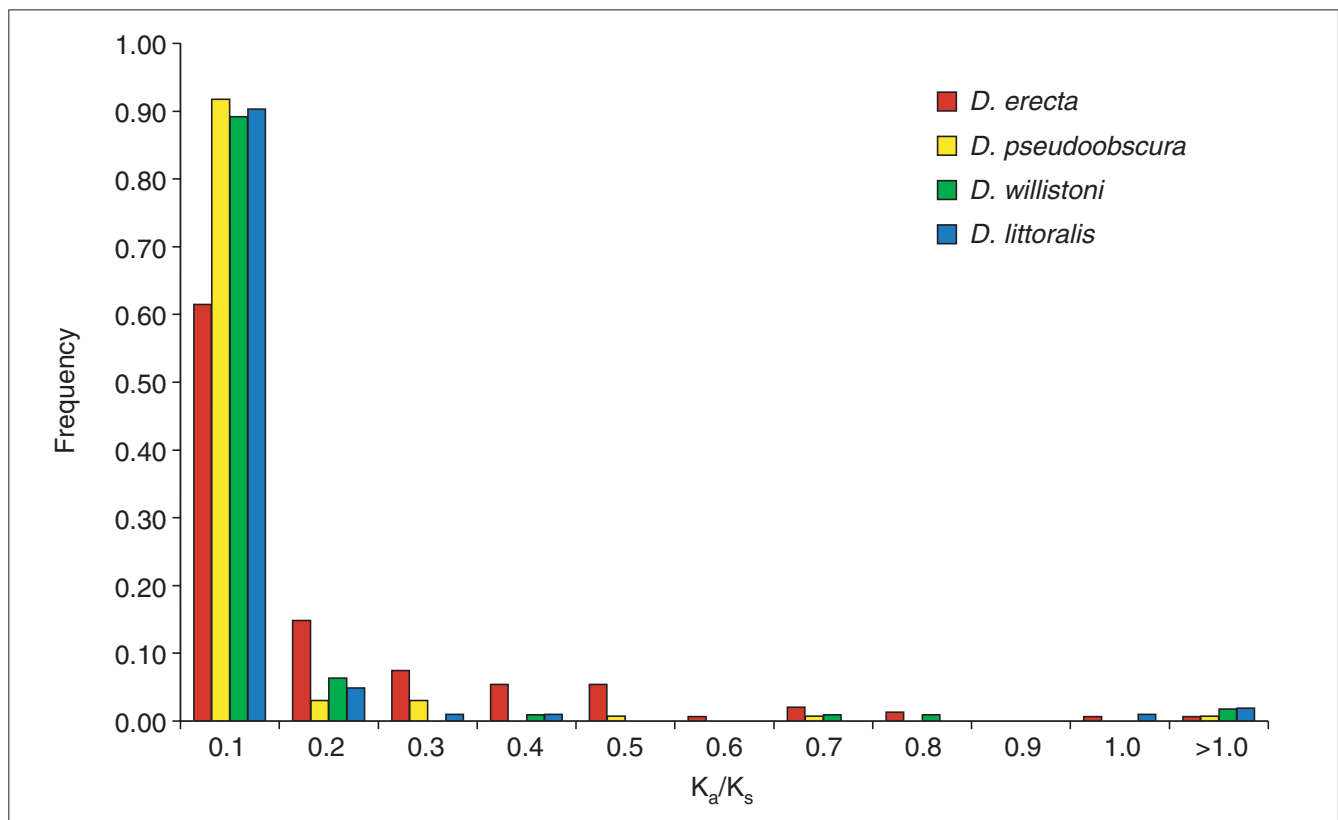


Figure 3

Frequency distribution of K_a/K_s ratios for pairwise exon-level comparisons between *D. melanogaster* and either *D. erecta*, *D. pseudoobscura*, *D. willistoni*, or *D. littoralis*. K_a/K_s ratios were estimated using the codeml program of PAML 3.12 using runmode = -2.

To determine if insufficient divergence time is the major cause of non-significant exon-level K_a/K_s tests, we performed multi-species exon-level K_a/K_s tests that capitalize on a greater total amount of divergence for a given exon [20]. The question addressed by this analysis is: does the addition of a third species to *D. melanogaster*-*D. pseudoobscura* pairwise comparisons increase the proportion of exons that pass exon-level K_a/K_s tests? For this analysis, we analyzed exons that failed pairwise tests between *D. melanogaster* and *D. pseudoobscura* using triplets involving *D. melanogaster*, *D. pseudoobscura* and one other non-melanogaster species. Using the same cutoffs for the pairwise exon-level analyses and a guide tree based on Figure 1, we tested 16, 14 and 13 exons which did not show evidence of functional constraint between *D. melanogaster* and *D. pseudoobscura*, for which we have sequence data available in *D. erecta*, *D. willistoni* and *D. littoralis*, respectively. Only 2 of the 16 (12.5%) non-significant exon-level *D. melanogaster*-*D. pseudoobscura* comparisons pass the K_a/K_s test when *D. erecta* is included as a third species, whereas 6 of 14 (42.8%) and 6 of 13 (46.1%) pass when *D. willistoni* and *D. littoralis* are included as a third species, respectively. These results demonstrate that multiple comparisons among divergent species can reveal functional constraint acting on coding exons that cannot otherwise be detected in pairwise comparisons.

Finally, as a preliminary assessment of the relative utility of *A. gambiae* genome sequences for comparative gene prediction in *Drosophila*, we attempted to identify homologs in *A. gambiae* of the 81 genes for which we have comparative sequence data in *Drosophila*. For 21 of the 81 genes in our study (25.9%) we were not able to obtain a clear homolog (defined as a high-scoring pair (HSP) with an expected (E) value less than 10^{-20} and greater than or equal to 30% identity over 100 amino acids using default parameters of TBLASTN) in the *A. gambiae* mapped scaffold sequences; 11 of these 21 genes did not yield any HSPs at all. These results are compatible with a recent whole-genome analysis showing that 18.6% of *D. melanogaster* genes have no clear homolog in *A. gambiae* [23]. No clear homolog could be identified in the *A. gambiae* genome sequences for three of 30 (10.0%) known genes in our dataset, whereas a greater than three times higher proportion of predicted genes, 18 of 51 (35.3%), had no clear homolog in *A. gambiae*. Five *D. melanogaster* genes - the four members of the *Rhodopsin* gene family and *CG5245* - have multiple HSPs in the *A. gambiae* genome sequences. We were able to resolve orthology for *Rh4* only, as the *sina* gene in *A. gambiae* is contained within the *Rh4* gene as in *D. melanogaster* and other species in the subgenus *Sophophora*.

Rearrangement and transposition of genomic sequences

Using the gene predictions discussed above as orthologous markers, we addressed the question of whether the microsyntenic relationships in the *D. melanogaster* genomic

sequence surveyed are conserved in non-melanogaster species. In general, our data indicate that the microsyntenic order of coding and non-coding sequences is highly conserved in the genus *Drosophila* at the scale of individual fosmid (approximately 40 kb). Our data provide evidence for only six genomic rearrangements in these sequences occurring in the phylogeny of these five species, one each in the lineages leading to the *D. littoralis ftz*, *D. pseudoobscura Rh1*, *D. willistoni eve*, *Rh1*, and *Rh3* regions, as well as in the ancestor of the *D. melanogaster/D. erecta eve* region (see Figure 1). All of these unique events occurred in non-coding intergenic regions and none of the rearrangement breakpoints is associated with detectable transposable element sequences (see also [24]). Although it is difficult to estimate the length distribution of microsyntenic regions in *Drosophila* from our data, it is clear that very small microsyntenic regions can be delimited in the *Drosophila* genome through multiple species comparisons. For example, the two independent rearrangements in the vicinity of the *eve* locus reduce this microsyntenic region to a approximately 20-kb interval of the *D. melanogaster* genome containing only three neighboring genes (*Adam*, *CG12134* and *eve*) and their flanking non-coding sequences (Figure 2).

We can directly confirm the nature of one rearrangement (*D. littoralis ftz*) as a paracentric micro-inversion since both breakpoints are contained within a single fosmid clone. In this case, a small (approximately 14 kb) region containing the *ftz* coding sequence and flanking non-coding DNA is inverted between the *Antp* and *Scr* genes relative to *D. melanogaster*. Maier *et al.* [25] provide hybridization data for a similar rearrangement in the *ftz* locus of *D. hydei*, another member of the subgenus *Drosophila*. It is likely that the other rearrangement breakpoints we observe also result from paracentric inversions, the predominant form of genome rearrangement in *Drosophila* [26]. Consistent with this is the fact that rearranged sequences can be inferred to come from the same chromosome arm. At least two other breakpoints (in the *D. willistoni Rh1* and *Rh3* regions) also have probably arisen from micro-inversions, as in both cases only two genes are inferred to have switched order locally on the chromosome.

We also identified eight examples of novel genetic elements in non-melanogaster species, seven of which occur in intergenic regions (Figure 1). Four of these cases involve the insertion of novel transposable element sequences: full length *Bari-1*-like elements in both the *D. pseudoobscura Rh1* region and the *D. willistoni Rh3* regions, a partial *I*-like element in the *D. willistoni Rh4* region, and a partial *blastopia*-like element in the *D. littoralis Rh3* region. Identification of *Bari-1*-like transposon sequences in *D. pseudoobscura* and *D. willistoni* is consistent with previous observations [27]; *I*-like elements have been shown to exist in the melanogaster and obscura species [28], but this is the first report of *I*-like elements in the willistoni group. The other four cases arise from gene transposition including:

a homolog of the *D. melanogaster* X-chromosome gene *CG2222* in the *D. willistoni* *eve* region; a homolog of the *D. melanogaster* 3R-chromosome gene *CG5245* in the *D. pseudoobscura* *Rh1* region; a homolog of the *D. melanogaster* 3R-chromosome gene *CG8319* in the *D. willistoni* *Rh1* region, and the *Rh4* gene in *D. littoralis* (see below). The *CG5245*-like gene in *D. pseudoobscura* and the *CG8319*-like gene in *D. willistoni* both are located in the same intergenic region between the *Arc42* and *PK92B* genes, but result from independent events since they involve different ancestral sequences and occur on opposite strands in this intergenic region. This result suggests the possibility of hotspots for gene transposition in the *Drosophila* genome.

At least one novel gene, the *CG2222*-like gene *D. willistoni*, is likely to have originated from a retrotransposition event as this gene lacks introns while its closest homolog, found on a different chromosome arm in the *D. melanogaster* genome, has two introns. Another striking example of retrotransposition involves the *D. littoralis* *Rh4* gene and illustrates the fact that functionally important genes can undergo dramatic changes in location and gene structure during genome evolution [29]. This gene maintains its microsyntenic relationship with neighboring genes in the 72D2-3 region of the *D. melanogaster* genome in Sophophoran species, but has retrotransposed into the intron of another gene, *CG10967*, in a region of the *D. littoralis* genome that corresponds to the 69E1-2 region of the *D. melanogaster* genome. As a result, genes contained in the intron of the Sophophoran *Rh4* (*sina*, *CG13030* and *CG13029*) have been lost in the process. Cytological evidence for transposition of *Rh4* exists for the closely related species *D. virilis* and the more distantly related species *D. repleta* [29,30].

In contrast to the stability of microsyntenic gene order in the genus *Drosophila*, we found that the sample of genes studied here are scattered widely throughout the *Anopheles* genome. For example, of the 55 *Drosophila* genes that had a single clear homolog in *Anopheles*, 27 are located on *D. melanogaster* chromosome arm 2R. Of these 27 genes, ten, five, six and six

are located on *A. gambiae* chromosome arms 2L, 2R, 3L and 3R. These results are consistent with previous reports comparing the locations of genes in *D. melanogaster* with *A. gambiae*, which indicate that extensive genome rearrangement has occurred since the divergence of these two lineages [23,31,32]. Some *D. melanogaster* genes in our sample do maintain microsyntenic relationships in *A. gambiae*, such as the *Rh4* and *sina* genes. In this case, conservation of microsynteny is most probably maintained because of the nested relationship of these genes, and this configuration in the outgroup *Anopheles* supports the scenario that transposition of *Rh4* occurred at some point in the lineage leading to the *Drosophila* subgenus (see above, and [29,30]).

Patterns of coding sequence evolution

In addition to providing a useful resource for studying comparative gene prediction and genome rearrangements, our data confirm and extend emerging trends in *Drosophila* coding sequence evolution. Table 2 summarizes the average rates of amino-acid and silent site substitution for all, known and predicted genes in our dataset. These data show that predicted genes tend to have a higher rate of amino-acid substitution than known genes in the genus *Drosophila*. This trend is significant for the three most closely related pairwise comparisons (*D. melanogaster* versus *D. erecta*, *D. pseudoobscura* or *D. willistoni*) but non-significant in the comparison involving the most distantly related species (*D. melanogaster* versus *D. littoralis*). No significant differences were detected in the rates of silent site substitution between known and predicted genes in any pairwise comparison, although predicted genes in *D. pseudoobscura*, *D. willistoni* and *D. littoralis* tend to show elevated rates of silent site substitution.

In contrast to expectation, average rates of amino-acid substitution are highest in comparisons between *D. melanogaster* and *D. willistoni*, not *D. melanogaster* and *D. littoralis* (Table 2, Figure 1). The overall increased rate of amino-acid substitution for genes in the *D. willistoni* lineage is caused by an increased rate of amino-acid substitution in predicted genes. For known genes, average rates of amino-acid

Table 2

Rates of amino-acid (K_a) and silent (K_s) substitution in *Drosophila* genes

Species	All genes			Known genes			Predicted genes			p-value	
	K_a	K_s	N	K_a	K_s	N	K_a	K_s	N	K_a	K_s
<i>D. erecta</i>	0.057	0.357	53	0.042	0.366	25	0.071	0.349	28	0.0001	0.1299
<i>D. pseudoobscura</i>	0.146	2.313	41	0.071	1.830	17	0.199	2.655	24	0.0009	0.0262
<i>D. willistoni</i>	0.220	2.627	39	0.089	2.225	15	0.302	2.878	24	0.0001	0.0735
<i>D. littoralis</i>	0.170	2.166	31	0.126	1.923	14	0.206	2.366	17	0.1315	0.6058

Rates of substitution per site between *D. melanogaster* and *D. erecta*, *D. pseudoobscura*, *D. willistoni*, or *D. littoralis* are estimated using the method of Yang and Nielsen [81]. Shown are the average rates of substitution per site (and sample sizes) of all, known or predicted genes. p-values are the results of Mann-Whitney U-tests for differences in the distribution of K_a and K_s values between known and predicted genes for a given pairwise comparison. Values in bold represent significant differences in rates of evolution between known and predicted genes at the 0.006 (= 0.05/8) level.

substitution are consistent with the accepted phylogenetic relationships of these species: *D. erecta* is most closely related to *D. melanogaster*, followed by *D. pseudoobscura*, *D. willistoni* and *D. littoralis*, respectively. Average rates of silent site substitution also do not show a pattern consistent with the accepted phylogeny of these species (Table 2, Figure 1). This is a consequence of the fact that, for comparisons between *D. melanogaster* and either *D. pseudoobscura*, *D. willistoni* or *D. littoralis*, average rates of silent site substitution exceed an expectation of one substitution per site, indicating that silent sites are 'saturated' in these comparisons. Even so, it is apparent that there may be an increased rate of silent site substitution as well in the *D. willistoni* lineage. It is unlikely that these results are simply a consequence of an incorrect phylogeny, since the phylogenetic relationships of these species are well established [7].

Our estimate of the average rate of amino-acid substitution per site in known genes between *D. melanogaster* and *D. pseudoobscura* (0.071) is nearly the same as previous estimates (0.076) using a different sample of known genes and estimation procedure [33]. In addition, our estimate of the average rate of amino-acid substitution for predicted genes between *D. melanogaster* and *D. erecta* (0.071) is similar to that estimated using different methods for a sample of rapidly evolving genes between *D. melanogaster* and *D. yakuba* (0.067) [34], a species approximately as divergent from *D. melanogaster* as *D. erecta* [35]. Thus the categorical and lineage effects we detect are unlikely to be artifacts of our data or methods. The cause(s) of the increased rate of amino-acid substitution in predicted genes in the *D. willistoni* lineage remain to be clarified, but are most probably related to increased rates of protein evolution detected previously in the *D. saltans* lineage [36], which have been explained by a shift in base composition in the common ancestor of the *D. saltans* and *D. willistoni* groups (see below, and [37]).

In *D. melanogaster*, it is well established that coding sequences have a higher GC content, relative to genomic averages, due to the preferential use of codons ending in C or G [38,39]. This pattern holds in the closely related species *D. erecta*, as well as in the more distantly related species *D. pseudoobscura* and *D. littoralis* (Table 3). In contrast, our data show that *D. willistoni* coding sequences have a higher frequency of AT (53%) base-pairs than GC (47%) base-pairs. This shift in base usage in *D. willistoni* coding sequences is apparent at the dinucleotide level as well, predominantly affecting those dinucleotides that exclusively contain AT or GC. Non-coding sequences of all non-melanogaster species are AT-rich, as in *D. melanogaster* [40]; slight shifts towards higher AT frequency are observed in the non-coding sequences of the *D. willistoni* lineage (Table 3).

The shift in base usage in the *D. willistoni* lineage is also detected in the pattern of synonymous codon usage (see

Supplementary Table 2 in the additional data files). Previous analyses of a limited number of coding sequences revealed a shift away from preferred C-ending codons used in the *D. melanogaster* lineage, towards T-ending codons in the *D. willistoni* lineage [7,41]. Our data indicate that this trend holds for a much larger sample of genes (see Supplementary Table 2 in additional data files). For 10 of the 18 amino acids with more than one codon (Arg, Asn, His, Ile, Leu, Lys, Phe, Pro, Thr, Tyr), the most frequently used codon in *D. willistoni* differs from that in *D. melanogaster*. All 10 of these changes in synonymous codon usage involve *D. willistoni* most frequently using an A- or T-ending (or beginning, for example, Leu) codon with *D. melanogaster* using a G- or C-ending (or beginning) codon, supporting a trend identified originally using only the *Adh* coding sequence [41]. The most frequently used codon differs between *D. melanogaster* and *D. erecta*, *D. pseudoobscura* and *D. littoralis* for only two (Asp, Ser), one (Asn) and four (Asn, Ile, Pro, Thr) amino acids, respectively.

Patterns of non-coding sequence evolution

Our data also provide an opportunity to study basic features of non-coding conservation in *Drosophila*, which remain largely unexplored. As shown in Figures 2 and 4, a substantial proportion of non-coding sequences are conserved in *Drosophila*, especially in pairwise comparisons between *D. melanogaster* and *D. erecta*. Levels of conservation appear to plateau in more divergent comparisons, with a tendency for *D. pseudoobscura* to show higher levels of non-coding conservation relative to *D. willistoni* or *D. littoralis* in pairwise comparisons with *D. melanogaster*. Few, if any, non-coding sequences are conserved between *D. melanogaster* and *A. gambiae* (Figure 4, see also [23]). There is also regional variation in levels of non-coding conservation in the *Drosophila* genome, as illustrated by contrasting conservation between *D. melanogaster* and *D. erecta*, for example, in the *eve* (Figure 2) and *ap* (Figure 4) regions.

To estimate levels of sequence conservation in non-coding regions and to contrast patterns of coding with non-coding conservation, we aligned genomic sequences using the AVID alignment tool [42]. AVID is a global alignment tool that works by recursively finding co-linear 'anchors' of maximal sequence identity; therefore, locally inverted or transposed sequences that might be conserved will not be included in our analysis. Conserved non-coding sequences (CNCs), defined as windows of 10 bp or greater with 90% or greater nucleotide identity, were identified in pairwise alignments using the VISTA program [43]. These parameters were chosen to identify short, highly conserved sequences found in *Drosophila* non-coding regions [44]. We used *D. melanogaster* as the reference species in pairwise comparisons with non-melanogaster species, and Release 3 annotations [14] exported from Gadfly in VISTA format to classify conserved segments as either coding or non-coding. Transcribed and nontranscribed non-coding sequences were

Table 3**Mono- and dinucleotide frequencies of coding and non-coding sequences in *Drosophila* species**

Mononucleotide	<i>D. melanogaster</i>	<i>D. erecta</i>	<i>D. pseudoobscura</i>	<i>D. willistoni</i>	<i>D. littoralis</i>
			Coding		
A = T	0.231	0.222	0.220	0.265	0.224
G = C	0.269	0.278	0.280	0.235	0.276
			Non-coding		
A = T	0.300	0.295	0.281	0.324	0.305
G = C	0.200	0.205	0.219	0.176	0.195
Dinucleotide	<i>D. melanogaster</i>	<i>D. erecta</i>	<i>D. pseudoobscura</i>	<i>D. willistoni</i>	<i>D. littoralis</i>
			Coding		
TA	0.032	0.028	0.027	0.046	0.030
AT	0.057	0.053	0.056	0.080	0.058
AA = TT	0.057	0.052	0.049	0.076	0.056
AC = GT	0.054	0.053	0.051	0.051	0.051
AG = CT	0.063	0.064	0.064	0.057	0.059
GA = TC	0.067	0.068	0.067	0.063	0.055
CA = TG	0.075	0.074	0.077	0.078	0.082
CG	0.064	0.068	0.068	0.046	0.073
GC	0.081	0.085	0.091	0.067	0.109
CC = GG	0.067	0.072	0.071	0.054	0.061
			Non-coding		
TA	0.069	0.068	0.058	0.080	0.075
AT	0.086	0.084	0.077	0.094	0.089
AA = TT	0.110	0.106	0.094	0.126	0.111
AC = GT	0.052	0.052	0.052	0.053	0.053
AG = CT	0.052	0.053	0.058	0.051	0.052
GA = TC	0.053	0.053	0.059	0.052	0.048
CA = TG	0.068	0.068	0.070	0.066	0.071
CG	0.037	0.040	0.039	0.025	0.037
GC	0.052	0.056	0.057	0.038	0.058
CC = GG	0.043	0.044	0.052	0.033	0.035

Values for *D. melanogaster* are genome-wide averages based on Release 3 sequences/annotations [9,14] and include unmapped scaffolds derived from heterochromatic regions (see [83]). Values in bold indicate the most frequently used mono- or dinucleotide. Frequencies of complementary mono- and dinucleotides were averaged to account for the double-stranded nature of DNA.

analyzed together, since previous results showed similar patterns of conservation for intergenic and intronic sequences in *Drosophila* [44].

The results of this analysis are shown in Table 4, which contrasts features of conservation in both coding and non-coding sequences by species. For all species analyzed, coding regions have a higher proportion of sequences that meet our definition of conservation relative to non-coding sequences. In addition, the median segment length surpassing our criterion for conservation is longer for coding sequences relative to non-coding sequences for all species analyzed. These results are expected, as coding sequences are on average thought to experience more

intense purifying selection than non-coding sequences [21]. In contrast, the average percent identity of conserved segments is higher for non-coding sequences than coding sequences. This is probably a result of silent site substitution in otherwise functionally constrained coding sequences.

Analysis of levels of conservation by species shows that the increased rate of amino-acid sequence evolution in the *D. willistoni* lineage detected above may reflect a more widespread phenomenon in the genome of this species. As shown in Table 4, *D. willistoni* shows unexpectedly low levels of both non-coding and coding conservation, given the accepted phylogeny of the species. These data show that the

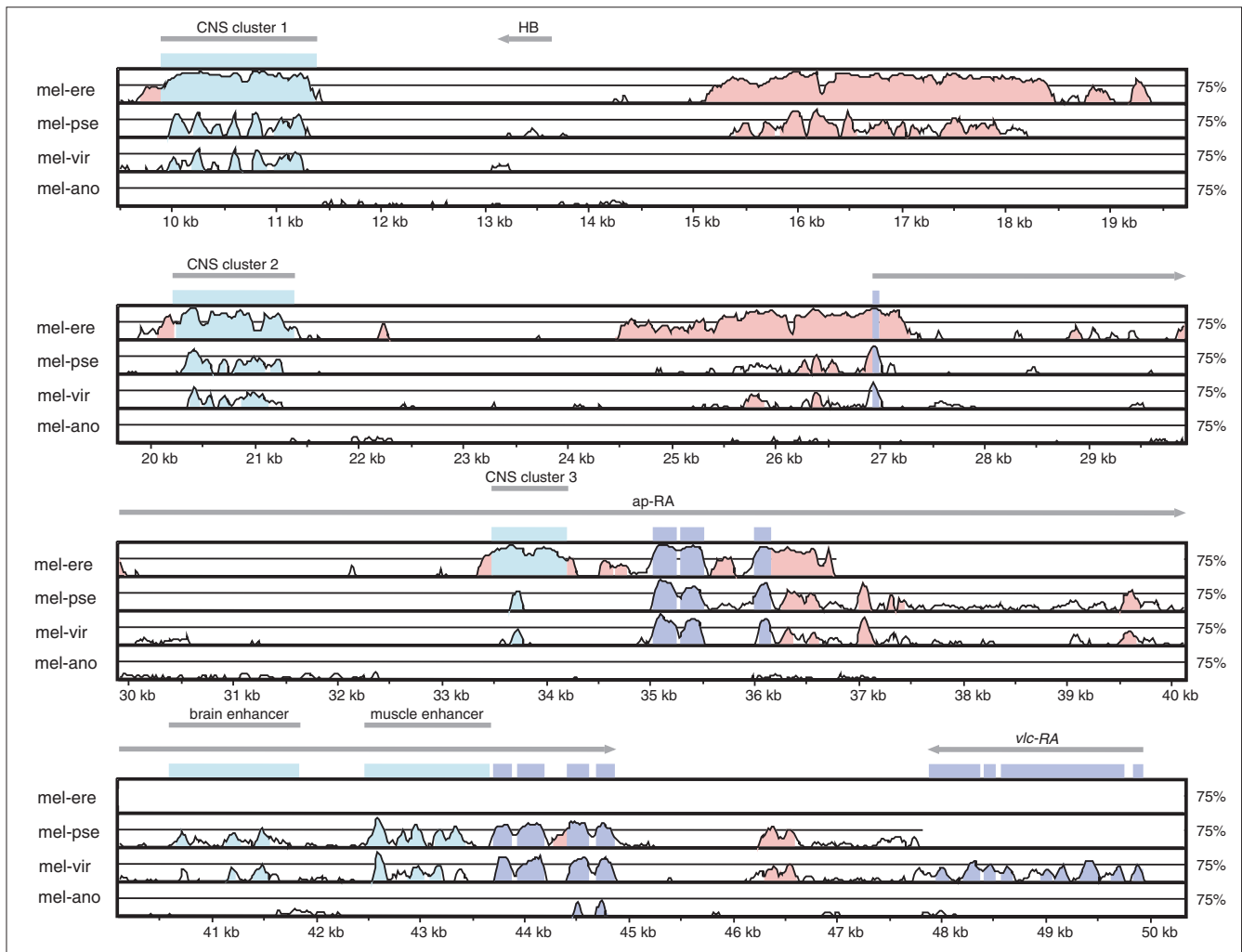


Figure 4

VISTA plot of genome organization and sequence conservation in the *Drosophila ap* region. From top to bottom are pairwise comparisons between *D. melanogaster* and *D. erecta* (mel-ere), *D. pseudoobscura* (mel-pse), *D. virilis* (mel-vir) and *A. gambiae* (mel-ano), respectively. Features of this plot are as in Figure 3. Shown are five CNCS clusters corresponding to the muscle enhancer [91], the brain-specific enhancer empirically verified in this study (Figure 8), and three predicted enhancers labeled CNCS clusters 1, 2 and 3. Note that the *HB* transposable element in the region 5' to *ap* is located between CNCS clusters and is not conserved between species.

increased rate of evolution in the *D. willistoni* lineage is not restricted to coding sequences, rendering coding-sequence-based interpretations of the unusual patterns of molecular evolution in this lineage less tenable (see, for example [7,41]). Together with the changes in base composition in both coding and non-coding sequences noted above, the increased rate of evolution in both coding and non-coding sequences detected in the *D. willistoni* suggests a genome-wide effect, possibly resulting from a change in mutation pressure or a change in population size at some time during the history of this lineage (see also [37]).

Despite the lineage effect in levels of conservation in the *D. willistoni* genome, the median length of conserved coding or non-coding segments generally decreases with increasing

divergence time as expected (Table 4). However, the average percent identity of conserved coding or non-coding segments identified does not decrease with increasing divergence time. Finally, the ratio of conserved sequences that are coding relative to non-coding increases with increasing divergence time. The ratio of conserved sequences that are coding relative to non-coding is 1.36 for comparisons with *D. erecta*, but increases to 2.21 for comparisons involving *D. pseudoobscura* and approximately 3.5 for comparisons involving *D. willistoni* or *D. littoralis*.

Changes in the median CNCS length reflect changes in the overall distribution of CNCS lengths in pairwise comparisons between *D. melanogaster* and either *D. erecta*, *D. pseudoobscura*, *D. willistoni*, or *D. littoralis* (Figure 5).

Table 4

Estimates of pairwise sequence conservation in coding and non-coding regions between *D. melanogaster* and *D. erecta*, *D. pseudoobscura*, *D. willistoni* or *D. littoralis*

Species	Number of bp surveyed	Number of bp conserved	% conserved (bp)	Median length of conserved segment	Average % identity of conserved segment
Coding					
<i>D. erecta</i>	63,655	60,327	94%	39	93%
<i>D. pseudoobscura</i>	46,626	26,978	61%	20	91%
<i>D. willistoni</i>	42,224	18,774	45%	17	91%
<i>D. littoralis</i>	19,717	10,997	63%	17	92%
Non-coding					
<i>D. erecta</i>	272,366	186,895	69%	24	94%
<i>D. pseudoobscura</i>	276,731	77,391	28%	17	95%
<i>D. willistoni</i>	174,421	19,700	13%	14	95%
<i>D. littoralis</i>	138,866	24,633	18%	15	95%
<i>D. virilis</i>	114,015	30,564	27%	16	95%
<i>D. virilis</i> [44]	114,015	29,915	26%	19	93%

Microsyntenic regions were globally aligned using AVID and conserved sequences greater than or equal to 10 bp and 90% identity were identified using VISTA. Sequences were classified as coding or non-coding using Release 3 annotations [14] exported from GadFly in VISTA format. Shown for comparison are a re-analysis of conservation between *D. melanogaster* and *D. virilis* using the current methods, as well as previous results, for a sample of non-coding regions published in [44].

These data quantitatively describe the pattern of non-coding conservation shown in Figures 2 and 4: CNCS lengths become shorter with increasing divergence but plateau to approximately the same length in the most distant comparisons. The stability of this distribution at more extreme evolutionary distances is apparently insensitive to changes in the proportion of non-coding DNA that is conserved (compare *D. willistoni* and *D. littoralis*). Shown for comparison is the distribution of CNCS lengths between *D. melanogaster* and *D. virilis* from [44], as well as a reanalysis of this data using the current methods. Differences between the present and previous results for the *D. virilis* data show the effect of different methods for detecting CNCs. The differences observed in the distribution of CNCS lengths between the closely related species *D. virilis* and *D. littoralis* using the AVID-VISTA method reflect the fact that the *D. virilis* data were obtained from non-coding regions with known or suspected *cis*-regulatory function, whereas the data here represent a more random sampling of non-coding regions in the *Drosophila* genome.

Conservation of non-coding sequences is typically interpreted as evidence of functional constraint and this assumption underlies most phylogenetic footprinting methods. This assumption was questioned by Clark [45], who proposed an alternative hypothesis for non-coding conservation based on heterogeneity in mutation rates (that is, mutational cold spots). To resolve these alternatives we studied the spatial distribution and conservation of spacing between CNCs in the *Drosophila* genome. Under a simple mutational cold-spot hypothesis, CNCs should occur randomly in non-coding

DNA and the lengths of 'spacer intervals' between CNCs should be exponentially distributed [46,47]. In addition, there should be no tendency for the spacing between mutational cold spots to remain conserved between divergent *Drosophila* species, given the rapid rate of DNA loss in unconstrained sequences in the *Drosophila* genome [48,49].

As shown in Figure 6 for non-coding comparisons between *D. melanogaster* and *D. pseudoobscura*, the frequency spacer interval lengths between CNCs in the *D. melanogaster* genome differ significantly from the exponential distribution. The deviation from expected results from an excess of short and long spacer intervals, indicating that CNCs are clustered in the *Drosophila* genome. Non-random spacing of CNCs is also observed in other pairwise species comparisons in the genus *Drosophila* ([46] and data not shown). In addition, the lengths of homologous spacer intervals are highly correlated across species (Figure 7). This correlation is unlikely to be an artifact of alignment, as the AVID method first aligns regions of local similarity before generating a global alignment. Moreover, similar results have been obtained using non-global alignment methods [46]. These results suggest that spacer interval sequences between CNCs (and therefore CNCs themselves) are functionally constrained, and provide evidence against the hypothesis that CNCs are simply mutational cold spots.

Clusters of CNCs are readily apparent in VISTA plots of complex gene regions with known *cis*-regulatory function (Figures 2 and 4). In addition, there is a strong tendency for known *cis*-regulatory elements to overlap clusters of CNCs.

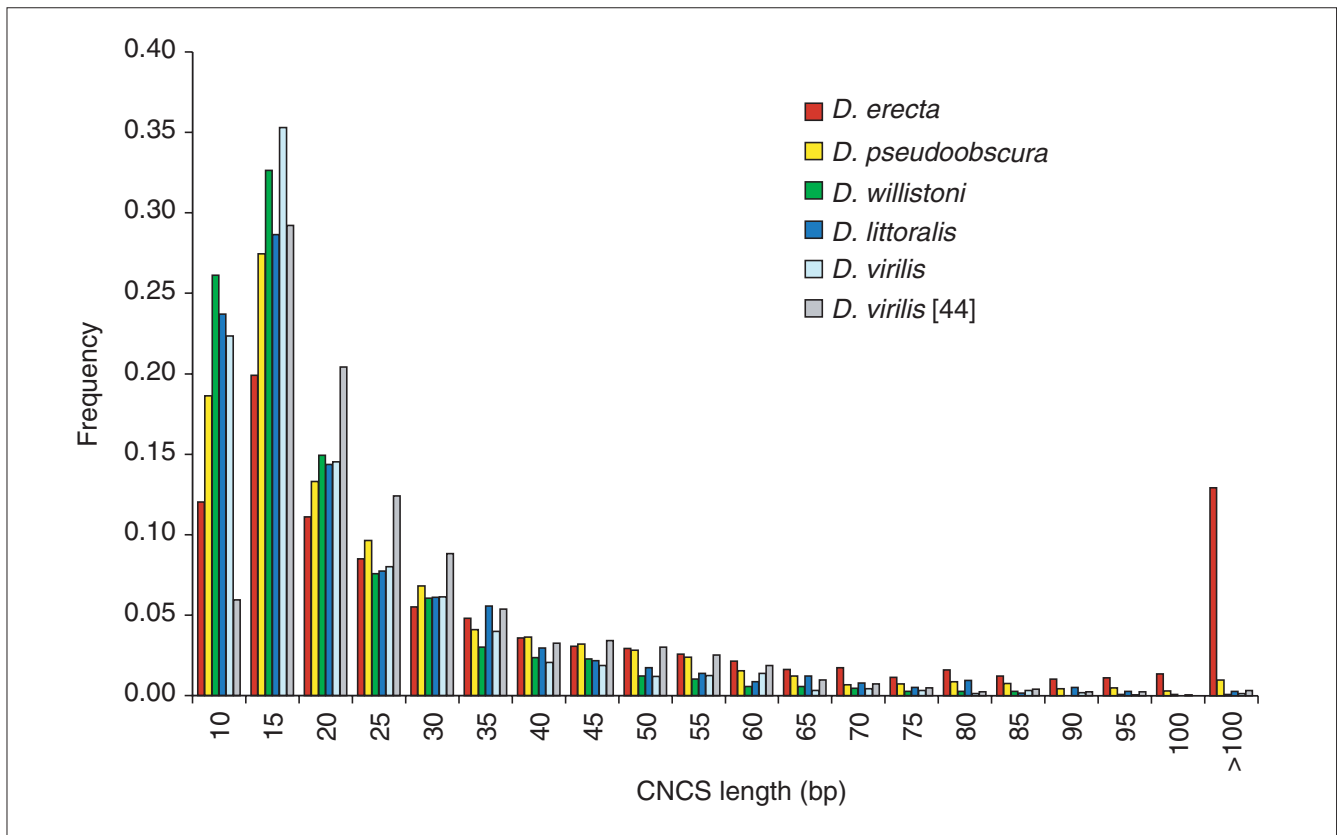


Figure 5
 Frequency distribution of CNCS lengths in *Drosophila* species. The distributions of CNCS lengths are shown for comparisons between *D. melanogaster* and either *D. erecta*, *D. pseudoobscura*, *D. willistoni* or *D. littoralis*. CNCSs of 10 bp or greater with 90% or greater nucleotide identity were identified using VISTA. Also shown for comparison is a re-analysis of the length distribution of CNCSs between *D. melanogaster* and *D. virilis* using the current methods, as well as previous results, for a sample of non-coding regions published in [44].

For example, discrete enhancers that control embryonic expression of *eve* are contained within discrete CNCS clusters in the region 5' to *eve* (Figure 2). In contrast, discrete CNCS clusters are not observed in the region 3' to *eve* where enhancers overlap one another [50,51]. The correspondence of CNCS clusters and functional enhancers is observed in other regions of the *Drosophila* genome, such as the discrete muscle-specific enhancer in the fourth intron of *ap* (Figure 4). The inexact correspondence between enhancer sequences and CNCS clusters is perhaps not unexpected as enhancers are typically defined as the minimal sequence sufficient to recapitulate native expression in a reporter gene assay. Nevertheless, this pattern suggests a functional relationship between *cis*-regulatory elements and discrete CNCS clusters.

To test the hypothesis that CNCS clusters can predict the location of *cis*-regulatory elements in the *Drosophila* genome, we carried out *P*-element-mediated reporter gene analysis of genomic sequences corresponding to a CNCS cluster in the fourth intron of *ap*. This CNCS cluster is apparent in pairwise comparisons between *D. melanogaster* and *D. pseudoobscura* as well as between *D. melanogaster*

and *D. virilis* (Figure 4). *ap* is a LIM-homeobox transcription factor expressed in many tissues in *Drosophila*, including embryonic expression in the developing brain [52,53]. As shown in Figure 8, the *D. melanogaster* genomic sequences corresponding to the CNCS cluster in the *ap* intron 4 drives reporter gene expression in the *Drosophila* embryo in a specific pattern that recapitulates native *ap* expression in the developing brain. In addition, when introduced into the genome of *D. melanogaster*, the homologous fragment from the *D. virilis* genome also drives reporter gene expression in the same pattern, indicating that the expression pattern resulting from this enhancer has been conserved since the divergence of these two species. Experiments to test the function of CNCS clusters 1, 2, and 3 in the *ap* region are currently underway.

Discussion
Prospects for comparative gene prediction in *Drosophila*

Although great progress has been made towards understanding the protein-coding component of eukaryotic genome

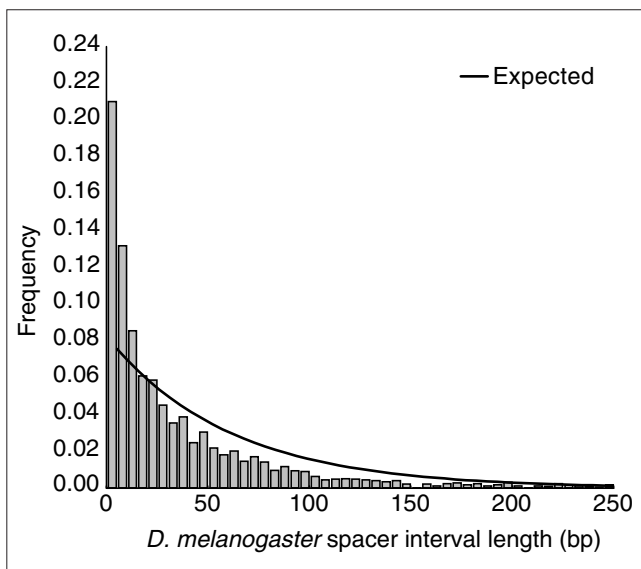


Figure 6

Frequency distribution of spacer interval lengths separating CNCs between *D. melanogaster* and *D. pseudoobscura*. Plotted is a histogram of the length in *D. melanogaster* of 'nonconserved' spacer interval sequences between CNCs identified using VISTA (10-bp window, 90% identity). Spacer intervals separating a CNC and a conserved coding segment, or between two conserved coding segments were omitted from this analysis. Note that only spacer interval lengths less than 250 bp are displayed for clarity. Solid lines represent the expectation under an exponential distribution using an estimate of the rate parameter λ based on the inverse of the mean spacer interval length to be 0.0165. The null hypothesis that spacer interval lengths are exponentially distributed can be rejected ($\chi^2 = 2,040.1$, $df = 30$, $p < 10^{-6}$), indicating that *Drosophila* CNCs are non-randomly spaced.

sequences [54-57], comprehensive genome annotation is far from complete in any metazoan. State-of-the-art statistical and remote-homology gene-prediction methods are successful at identifying the location of exons in unannotated genomic DNA, but are often quite poor at predicting the details of gene structure, necessitating human curation [14]. One of the most useful sources of information for accurately predicting complex gene structures is EST/cDNA data [58]. Predicting the structure of genes for which no EST/cDNA data exists will require alternative approaches, such as comparative gene modeling among divergent species with conserved proteomes in the same group of organisms.

The results of our K_a/K_s analyses presented here give preliminary insight into the prospects of comparative gene modeling using large-scale sequence data in the genus *Drosophila*. From our findings, we expect that structural details of most Release 3 coding sequences can be verified and improved using pairwise sequence data between divergent species like *D. melanogaster* and *D. pseudoobscura*. Our results also indicate that, although it may not be necessary for many genes in the *D. melanogaster* genome, *de novo* comparative gene prediction between these species will find the vast

majority of as-yet unidentified genes lacking EST/cDNA data. It is important to note that we do not expect to detect all coding exons (especially short exons [20]) in pairwise comparisons, highlighting the added value of multiple species data for comparative exon prediction. In addition, important details of gene models will prove difficult to predict using only comparative data, as amino-acid divergence (especially insertions or deletions) can obscure intron-exon boundaries and other details of gene structure. Moreover, there is inherent uncertainty in the 'correct' gene structure developed from comparative data alone, since two divergent sequences are simultaneously being modeled. Finally, the comparative annotation of UTR sequences awaits the development of methods that accurately predict the non-coding components of gene models.

The patterns of protein-coding sequence evolution detected in our data have important implications for comparative gene prediction. Most notably, the trend we detect for predicted genes to show an increased rate of amino-acid substitution relative to known genes is important, as it may reflect differences in functional constraint or quality of gene models between the two classes of genes. For at least three reasons, we believe that the elevated rate of amino-acid substitution in predicted genes is not a result of poor-quality gene models in this class of genes. First, many of the genes in the predicted class have EST/cDNA data (see Supplementary Table 1), so the details of these gene models are likely to be correct. Second, estimates of K_a (and K_s) are based on aligned sequences; thus gross inaccuracies in gene models that would create gaps in the alignment are excluded from estimates of evolutionary rates. Third, differential rates in these two classes of genes may be expected, as a high proportion of known genes were selected for study because their mutational inactivation resulted in an obvious phenotype. Thus we favor the interpretation that increased rates of amino-acid substitution in predicted genes results from lower levels of functional constraint.

If this interpretation is correct, our results confirm those of Schmid and co-workers [34,59] who have shown that a large fraction of randomly sampled coding sequences and orphan genes are rapidly evolving in the genus *Drosophila*. Our results are also consistent with those of Ashburner *et al.* [60] who show that genes with known mutant phenotypes in *D. melanogaster* are more likely to have a conserved homolog in GenBank relative to predicted genes with no known phenotype. Similarly, Zdobnov *et al.* [23] show that *D. melanogaster* orphan genes tend to exhibit lower levels of conservation in pairwise comparison with *A. gambiae* [23]. Finally, the interpretation that known and predicted genes differ in their levels of functional constraint is supported by the fact that increased rates of protein evolution in *D. willistoni* affect predicted genes more strongly than known genes (Table 2). Together these results suggest that there is a large class of functional protein-coding sequences evolving under weak selective

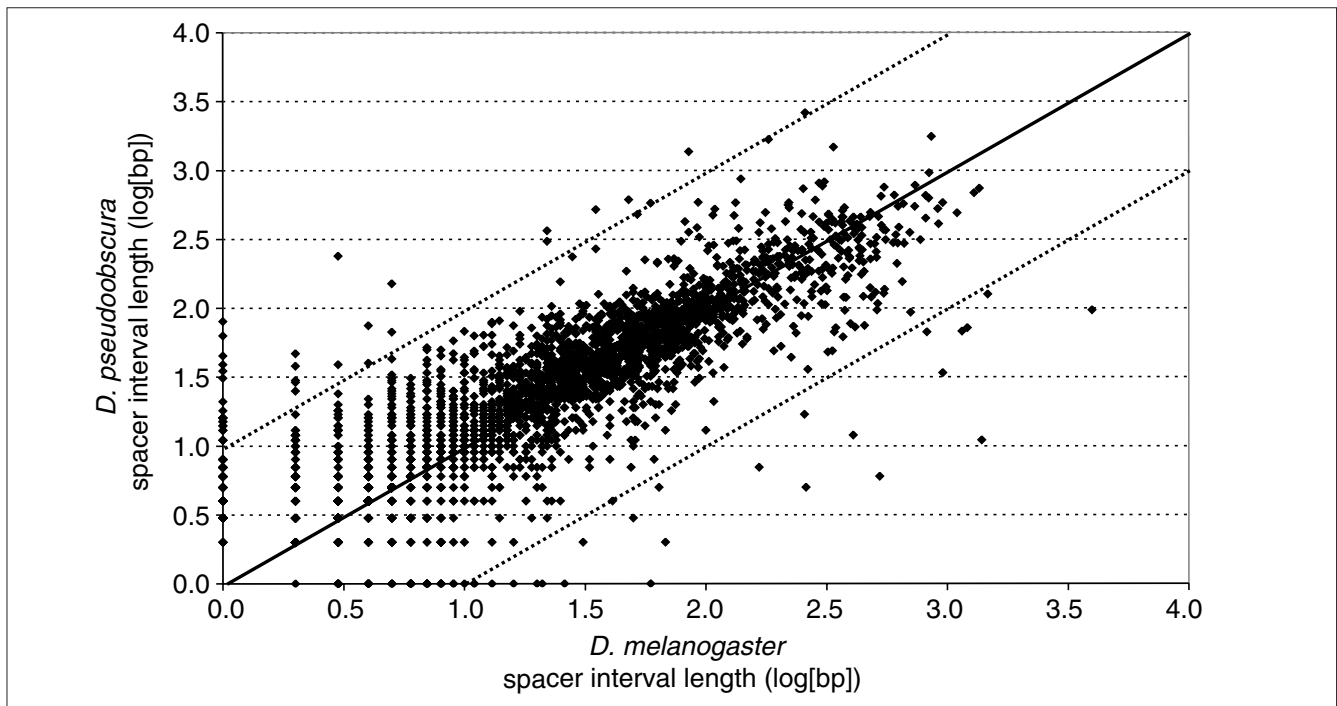


Figure 7

Correlation of spacer interval lengths separating CNCs between *D. melanogaster* and *D. pseudoobscura*. Each point represents the \log_{10} -transformed lengths for a homologous pair of spacer intervals. Spacer intervals separating a CNCs and a conserved coding segment, or between two conserved coding segments were omitted from this analysis. The solid line represents perfect spacer interval length conservation; the dashed lines represent order of magnitude size changes in spacer interval length between these two species. The correlation coefficient for homologous spacer interval lengths is $r = 0.85$ ($p < 0.01$).

constraint in the *Drosophila* genome [34]. Rates of evolution for this class of genes may be too fast to allow the identification of homologs from extremely divergent species (such as *Anopheles*) for comparative gene prediction, but slow enough to use comparative data within the genus *Drosophila*.

Rearrangement, transposition and genome annotation

Genome rearrangement in *Drosophila* typically occurs through paracentric inversion, allowing the homology of chromosome arms to be maintained over millions of years. Homologous chromosome arms in the genus *Drosophila* are referred to as Muller's elements, and represent a clearly established level of synteny between species [7]. The homology of Muller's elements can be extended to *A. gambiae* [23,31]; however it is clear that a substantial fraction of rearrangements between these species must occur by other mechanisms than paracentric inversion. Levels of synteny below the chromosome arm are more difficult to establish, and the description of these levels of conserved gene order is currently arbitrary [23]. Thus it is important to point out that the strictly co-linear microsynteny we detect between *Drosophila* species differs from the 'hyphenated' microsynteny with multiple gene losses and gains between *Drosophila* and *Anopheles* [32].

Rates of genome rearrangement between species in the genus *Drosophila* based on cytological evidence are thought to be among the highest for any metazoan [61]. Before this study only a single fixed inversion breakpoint had been characterized at the sequence level in *Drosophila* [24]. Unfortunately, the limited number of events in our data makes it difficult to estimate the absolute rate of genome rearrangement at the sequence level in *Drosophila*. More extensive analysis of genome rearrangement at the sequence level in *Caenorhabditis* by Coghlan and Wolfe [62], suggests that rates of genome rearrangement are fourfold higher in nematodes than in flies. As micro-inversions (such as that seen in the *D. littoralis ftz* region) are not expected to be included in cytological estimates, this claim will have to be re-evaluated using large-scale comparative sequence data in *Drosophila*.

There is mounting evidence for the role of transposable elements in the origin of inversion breakpoints in *D. melanogaster* and other species [63-65]. In contrast, our data provide no evidence that rearrangement breakpoints fixed between *Drosophila* species are associated with transposable element sequences (see also [24]). Together, these observations suggest a 'hit-and-run' scenario in which transposable elements may play a part in the origin of chromosome rearrangements, but are lost (either through deletion

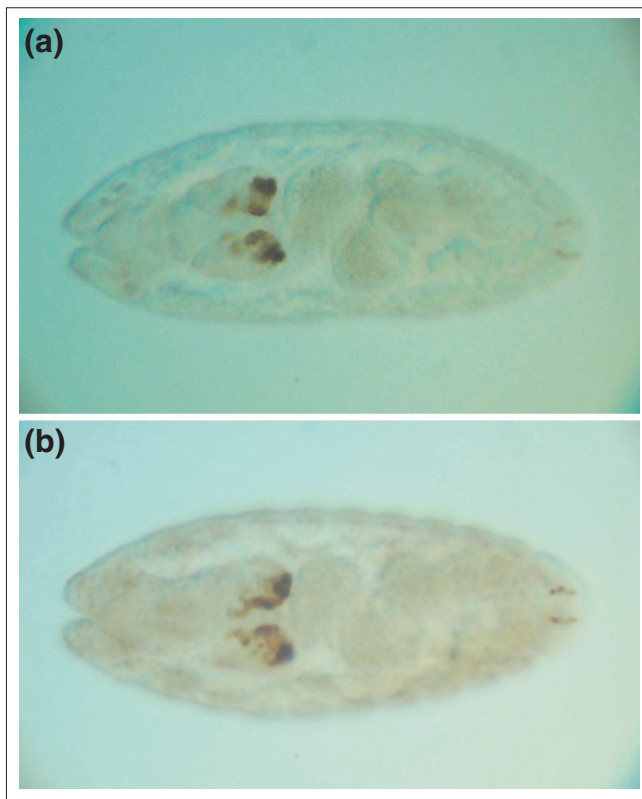


Figure 8
Reporter gene expression driven by genomic sequences corresponding to the CNCS cluster in *ap* intron 4. Specific expression in the embryonic brain is driven by both (a) *D. melanogaster* and (b) *D. virilis* sequences, indicating that the function of this enhancer has been conserved in these two species.

or transposition) by the time rearrangements reach high frequency or fixation between species [66]. Consistent with this scenario is the fact that transposable element sequences are not conserved between species within unrearranged microsyntenic regions (for example, the *HB* element in the *ap* region, Figure 4).

The maintenance of microsyntenic regions we observe at the scale of multi-gene fosmid-sized regions (approximately 40 kb) should improve identification of orthologs for comparative gene modeling. On the other hand, *de novo* comparative gene identification can be complicated if, for example, nested genes maintain microsyntenic relationships [67]. In addition, as the few rearrangement breakpoints we observed occur exclusively in intergenic regions (see also [24]), rearrangement breakpoints may also help define the boundaries of complex genetic loci. Conservation of microsynteny between genes and flanking intergenic regions may reveal structural and functional connections in the genome, and thus it may be possible to associate functional non-coding sequences with the appropriate flanking gene through genome rearrangements (see also [30]). Proof of this principle can be seen for the intergenic region 3' to *eve* and

5' to *TER94*, which maintains association with *eve*, but not *TER94*, in non-melanogaster group species (Figure 2). This conservation of microsynteny between the *eve* coding and 3' CNCSs is consistent with the fact that this region is known to contain multiple enhancer sequences that regulate embryonic *eve* expression [50,51].

Prospects for comparative *cis*-regulatory prediction in *Drosophila*

Conservation of non-coding sequences is rapidly becoming one of the most powerful methods of predicting individual *cis*-regulatory elements in genomic sequences [68]. Most computational methods designed to identify CNCSs rely on the assumption that non-coding conservation implies functional constraint, rather than heterogeneity in mutation rates [45]. Our results provide two pieces of evidence that support this assumption and argue against a simple mutational cold-spot interpretation of non-coding conservation. First, we demonstrate that the lengths of spacer intervals separating CNCSs are non-randomly distributed in the *Drosophila* genome, indicating a tendency for CNCSs to be clustered in non-coding DNA. Second, we show that the spatial relationships of neighboring CNCSs are generally conserved as revealed by the strong correlation of spacer interval lengths in divergent species. Clustering of CNCSs and conservation of CNCS spacing are not expected under a mutational cold-spot hypothesis, and suggest that the spacer intervals between CNCSs, and therefore CNCSs themselves, are under functional constraint. Given the rapid rate of DNA loss for unconstrained sequences in *Drosophila* [48,49], it is difficult to understand the mere existence of spacer intervals, as well as their conservation of length, without invoking some form of functional constraint acting on these sequences.

Clustering of CNCSs has also been recently reported in the worm genome [47], and future research will determine if CNCS clustering is a general feature of non-coding conservation in metazoan genomes. As yet, there has been no report of a general tendency for CNCSs to be clustered in mammalian genomes, although certain regions of the mammalian genome (such as the *H19* region [69]) show a strong pattern of CNCS clustering. The general functional significance of CNCS clusters remains to be explored, but it is clear that some CNCS clusters correspond to functional enhancer sequences. We show here proof of the principle that CNCS clusters can be used to identify functional enhancer sequences in the *Drosophila* genome (Figure 7, 8), as Ishihara *et al.* [69] have shown for CNCS clusters in the mammalian genome.

Using CNCS clusters to identify enhancers represents the comparative genomic analogue of efforts to predict *cis*-regulatory sequences by exploiting the clustering of predicted transcription factor binding sites [70,71]. However, unlike binding-site clusters, CNCS clusters can be rapidly identified in the absence of any *a priori* information about transcription factor specificity, and may therefore provide a more

general approach to genome-wide *cis*-regulatory prediction. In fact, CNCS clusters may be a powerful source of data for inferring transcription factor specificity, as specific binding-site motifs are likely to be locally over-represented in CNCS clusters with demonstrable enhancer function. Using the intrinsic clustering of CNCSs provides a new way of circumventing the reliance on expression data implicit in current approaches to CNCS-based motif discovery [72]. Finally, successfully linking CNCS clusters with enhancer function will provide an alternative means of defining enhancer structure based on evolutionary rather than operational criteria.

Conclusions

The patterns of divergence in both coding and *cis*-regulatory sequences described here indicate that *D. pseudoobscura* will greatly aid efforts to functionally annotate the *D. melanogaster* genome, and justify the choice of *D. pseudoobscura* as the second *Drosophila* species for complete genome sequencing. The divergence between these species is sufficient such that there does not appear to be any need to go to more distantly related species to obtain a high level of signal to noise to detect functionally constrained sequences. This observation suggests that the search for additional *Drosophila* species whose genome sequence would help interpret the *D. melanogaster* sequence should focus on species at a similar evolutionary distance as *D. pseudoobscura*.

Of the species studied here, *D. erecta* is too closely related to *D. melanogaster* for comprehensive gene and *cis*-regulatory prediction. Neither coding nor non-coding sequences have experienced sufficient divergence to differentiate whether sequences 'conserved' between *D. erecta* and *D. melanogaster* result from functional constraint or shared ancestry (Figures 2, 4). At the other extreme, using *A. gambiae* genome sequences may not substantially aid comprehensive genome annotation, as a large proportion of *Drosophila* genes may not be present in the *Anopheles* genome and the lack of non-coding conservation between these groups (Figure 7 and [23]) means that this powerful source of data is unavailable for *cis*-regulatory annotation. In contrast, divergent species in the genus *Drosophila* (such as *D. littoralis* and *D. willistoni*) show similar properties to each other and to *D. pseudoobscura* in terms of their utility for identifying functionally constrained coding or non-coding sequences.

D. willistoni and *D. melanogaster* are both members of the subgenus *Sophophora*; thus these two species are expected to share more aspects of their biology in common than either will with *D. littoralis*. Therefore, we propose that of the species studied here, *D. willistoni* is the most suitable candidate for the third *Drosophila* species for complete genome sequencing. We make this suggestion despite the increased rate of evolution and changes in base composition and codon usage observed in this lineage. In fact, it may be possible to take advantage of these unusual patterns of molecular

evolution in the *D. willistoni* lineage to dissect regions of the *Drosophila* genome under different levels of functional constraint. Given a more thorough understanding of these phenomena and their cause(s), we believe that a *D. willistoni* genome sequence would complement efforts to annotate the *Drosophila* genome based on whole genome comparisons between *D. melanogaster* and *D. pseudoobscura*.

Materials and methods

Construction of *Drosophila* species fosmid libraries

Four *Drosophila* species spanning a range of divergence times in the genus were selected for study: *D. erecta* (strain 14021-0224.0), *D. pseudoobscura* (strain 14011-0121.4), *D. willistoni* (strain 14030-0814.10) and *D. littoralis* (strain 15010-1001.10). All strains are available from the Tucson *Drosophila* species stock center [73]. To construct fosmid libraries, genomic DNA from adult flies was prepared by partial digestion with *Mbo*I and size-selecting fragments using pulsed-field gel electrophoresis, then cloned in the *Bam*HI site of the fosmid vector pFOS1 [74] and transformed into *Escherichia coli* strain XL1-Blue MR (Stratagene). Detailed information about the *Drosophila* stocks, construction of the genomic fosmid libraries and their availability can be found at the Children's Hospital of Oakland Research Institute BACPAC Resources website [75].

Probe design and library screening

To amplify gene-specific STSs, *D. erecta*, *D. pseudoobscura*, *D. willistoni* and *D. littoralis* genomic DNA was isolated from adult flies and used as template for PCR with degenerate primers. Primer sequences are available upon request. Double-stranded 40-nucleotide oligomers designed from the gene and species specific STSs were radioactively labeled with ³²P and hybridized to genomic colony filters [76]. Positive clones were subjected to PCR to remove false positives and restriction mapped using *Sal*I-*Not*I, *Eco*RI-*Not*I double digestions. The largest clone overlapping all positive hits was sheared and subcloned into 3-kb plasmids, and sequenced using methods described in [9]. From the results of this screen, we estimated the average number of unique hits per library per species to be 4.1 for *D. erecta*, 3.2 for *D. pseudoobscura*, 2.2 for *D. willistoni* and 2.9 for *D. littoralis*. These figures indicate that these libraries have fewer unique hits than the expected approximately 5x coverage. Sequences for these clones have been submitted to GenBank under the accession numbers AY186999 and AY190934-AY190963.

Comparative gene prediction

Coding sequences in these fosmid clones were predicted using the computational pipeline used to re-annotate the *D. melanogaster* genome [12]. The only major modification to this pipeline was to add an additional tier of evidence containing the results of TBLASTN searches of all Release 3 *D. melanogaster* peptides [14] against non-melanogaster sequences. Gene predictions were manually verified and

refined using the annotation platform Apollo [13]. As no EST information exists to annotate transcribed non-coding sequences (such as UTRs) for non-melanogaster species, we annotated only protein-coding gene and exon models. For similar reasons, and to minimize the insertion/deletion of amino acids at intron/exon boundaries, we did not require non-melanogaster models to obey consensus splice site rules. Annotated sequences were stored and queried in Gadcompara, a cloned version of the *D. melanogaster* annotation database, Gadfly.

To identify orthologous genes in *Anopheles gambiae*, 8,987 scaffolds from project accession number AAAB00000000 were downloaded from GenBank and searched using default parameters of TBLASTN 2.0 [77] with the *D. melanogaster* peptides listed in Supplementary Table 1 (see additional data files) as queries. Homologs were identified as HSPs with an expected value $< 10^{-20}$ and $\geq 30\%$ identity over 100 amino acids.

Alignment and estimation of sequence conservation

Orthologous regions of the *D. melanogaster* genome corresponding to sequenced fosmids were identified using default parameters of BLAT [78] against a database made up of the Release 3 sequences [9]. The union of sequences spanning hits to each candidate region was extracted and is listed in Table 1. Orthologous coding and peptide sequences from *D. melanogaster* were identified by unique gene symbols in Gadfly using Release 3 annotations [14]. For genes with alternative transcripts, the transcript leading to the longest translation product was chosen in most, but not all, cases. Coding and translated amino-acid sequences from non-melanogaster species were extracted from Gadcompara using MySQL queries.

Multiple alignment of orthologous amino-acid sequences was carried out using the default parameters of DIALIGN 2.1 [79]. Amino-acid alignments were used to align coding sequences using the gap_cds utility of the SEALS package [80] to ensure that nucleotide alignment gaps were inserted between codons. Pairwise K_a/K_s tests were carried out using PAML 3.12 [22] with runmode = -2, as outlined in [20]. Evidence of functional constraint was inferred when twice the difference in likelihoods between a model with K_a/K_s ratio fixed at 1 versus one with K_a/K_s as a free parameter exceeded a cutoff such that the p -value was less than 0.05 per number of tests. Estimates of K_a and K_s in Table 2 were obtained using the method of Yang and Nielson [81], which accounts for differences in nucleotide and codon frequencies as well as transition:transversion rate bias, implemented in PAML 3.12 [22]. Multiple amino-acid and coding sequence alignments are available on request.

Annotations of candidate regions in Table 1 were exported from Gadfly in VISTA format [43], and used to evaluate conservation in non-coding sequences. Nontranscribed (intergenic) and transcribed (UTR and intron) non-coding

sequences were analyzed together [44]. Pairwise alignment of homologous genomic regions between *D. melanogaster* and individual non-melanogaster species was performed using default parameters of the AVID global alignment tool [42]. CNCs were identified in VISTA using a window size of 10 bp with an identity of 90% with manual post-processing to remove spurious matches at the beginning and end of the alignments. Repeat masking was performed before coding sequence annotation to identify transposable elements; however, it is possible that some CNCs are simple repetitive sequences. Only sequences from candidate regions that clearly maintain microsynteny in non-melanogaster species were included in estimates of non-coding conservation. Thus, the entire *D. littoralis ftz*, *Rh3* and *Rh4* clones as well as rearranged segments of the *D. willistoni*, *D. pseudoobscura* and *D. littoralis eve* clones, *D. willistoni* and *D. pseudoobscura Rh1* clones, and *D. willistoni Rh3* clones were omitted from analyses of non-coding conservation. In addition, we also reanalyzed non-coding conservation between *D. melanogaster* and *D. virilis* using this same approach for the dataset in [44]. Pairwise genomic alignments and VISTA regions files are available on request.

Generation of transgenic flies and expression analysis

The CNC cluster carrying the *ap* brain enhancer was amplified from *D. melanogaster* (BAC) and *D. virilis* (P1) clones bearing the *ap* gene, respectively. The following pairs of oligonucleotides were used for PCR amplification:

DmelBR-F: 5'-AAACCATCTCACTCGCATGA-3'

DmelBR-R: 5'-TGCTTCCAGACAACGACAAA-3'

DvirBR-F: 5'-TTCGCTGGTCAATGGTTCAA-3'

DvirBR-R: 5'-ATGGGCTGGCAACATACAACA-3'

The resulting PCR products from *D. melanogaster* (1,235 bp) and *D. virilis* (1,658 bp) were cloned into pCaSpeRhs43 β -gal to generate pChabMelBR and pChabVirBR, respectively. These constructs were injected into *D. melanogaster y;w* embryos by standard *P*-element transformation [82]. Two independent lines were generated with pChabMelBR and three with pChabVirBR, all of which showed similar expression patterns. Transgenic embryos were immunostained with monoclonal anti- β -gal antibody (1:4,000; Promega) as described in [53].

Additional data files

Supplementary Table 1, describing the number of coding exons, amino acids, ESTs, and K_a/K_s ratio for *D. melanogaster* genes in this study, and Supplementary Table 2, detailing the codon usage in *D. melanogaster* compared with *D. erecta*, *D. pseudoobscura*, *D. willistoni*, and *D. littoralis*, are available with the online version of this article.

Acknowledgements

We thank J. Powell and K. White for providing fly strains and D. Grimaldi for confirming the identity of the strains used in this study; J. Kaminker, S. Misra and S. Prochnik for advice on using Apollo; D. Bensasson and D. Pollard for providing scripts to split multiple alignments by exon, and calculate base composition, respectively; I. Dubchak and A. Poliakov for advice on VISTA analyses; S. Prochnik and J. Carlson for assistance with GenBank submissions; and E. Frise, E. Smith and J. Carlson for technical support. We also thank M. Eisen, J. Fay, A. Nekrutenko, D. Pollard, K. Schmid, M. Yandell, and three anonymous reviewers for comments on the manuscript. This work was supported by NIH grant HG00750 to G.M.R., by NIH Grant HG00739 to FlyBase (W.M. Gelbart), and by HHMI (C.J.M. and G.M.R.). C.M.B is supported by NIH training grant T32 HL07279 to E. Rubin. Research was conducted at the E.O. Lawrence Berkeley National Laboratory and performed under Department of Energy Contract DE-AC0376SF00098, University of California.

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