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A third dose of the unmodified COVID-19 mRNA vaccine CVnCoV enhances quality and quantity of immune responses

Klara Lenart, Fredrika Hellgren, Sebastian Ols, Xianglei Yan, Alberto Cagigi, Rodrigo Arcoverde Cerveira, Inga Winge, Jakub Hanczak, Stefan O. Mueller, Edith Jasny, Kim Schwendt, Susanne Rauch, Benjamin Petsch, Karin Loré

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1	A third dose of the unmodified COVID-19 mRNA vaccine CVnCoV
2	enhances quality and quantity of immune responses
3	
4	Authors: Klara Lenart <sup>1,2</sup> , Fredrika Hellgren <sup>1,2</sup> , Sebastian Ols <sup>1,2</sup> , Xianglei Yan <sup>1,2</sup> , Alberto
5	Cagigi <sup>1,2</sup> , Rodrigo Arcoverde Cerveira <sup>1,2</sup> , Inga Winge <sup>1,2</sup> , Jakub Hanczak <sup>1,2</sup> , Stefan O.
6	Mueller <sup>3</sup> , Edith Jasny <sup>3</sup> , Kim Schwendt <sup>3</sup> , Susanne Rauch <sup>3</sup> , Benjamin Petsch <sup>3</sup> , Karin Loré <sup>1,2</sup>
7	
8	Affiliations
9	1. Department of Medicine Solna, Division of Immunology and Allergy, Karolinska
10	Institutet and Karolinska University Hospital, Stockholm, Sweden.
11	2. Center for Molecular Medicine, Karolinska Institutet, Stockholm, Sweden.
12	3. CureVac AG, Tübingen, Germany.
13	
14	Correspondence should be addressed to:
15	Karin Loré,
16	Division of Immunology and Allergy, Department of Medicine Solna,
17	Karolinska Institutet,
18	Visionsgatan 4, BioClinicum J7:30, Karolinska University Hospital,
19	171 64 Stockholm, Sweden.
20	E-mail address: karin.lore@ki.se
21	
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## 28 ABSTRACT

29 A third vaccine dose is often required to achieve potent, long-lasting immune responses. We 30 investigated the impact of three 8 µg doses of CVnCoV, CureVac's SARS-CoV-2 vaccine 31 candidate containing sequence-optimized unmodified mRNA encoding spike (S) 32 glycoprotein, administered at 0, 4 and 28 weeks on immune responses in rhesus macaques. 33 Following the third dose S-specific binding and neutralizing antibodies increased 50-fold 34 compared with post-dose 2 levels, with increased responses also evident in the lower airways 35 and against the SARS-CoV-2 B.1.1.7 (Alpha), B.1.351 (Beta), P.1 (Gamma) and B.1.617.2 36 (Delta) variants. Enhanced binding affinity of serum antibodies after the third dose correlated 37 with higher somatic hypermutation in S-specific B cells, corresponding with improved 38 binding properties of monoclonal antibodies expressed from isolated B cells. Administration 39 of low dose mRNA led to fewer cells expressing antigen in vivo at the injection site and in 40 the draining lymph nodes compared with a tenfold higher dose, possibly reducing the 41 engagement of precursor cells with the antigen and resulting in the suboptimal response 42 observed following two-dose vaccination schedules in phase IIb/III clinical trials of CVnCoV. However, when immune memory is established, a third dose efficiently boosts the 43 44 immunological responses as well as improves antibody affinity and breadth. 45 Word count abstract: 199 46

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## 49 INTRODUCTION

50 The COVID-19 pandemic resulted in an accelerated development of vaccines against severe 51 acute respiratory syndrome coronavirus-2 (SARS-CoV-2), with 38 approved vaccines in 197 countries and 212 candidates in testing as of June 2022.<sup>1</sup> Most notable of these were two 52 53 nucleoside modified mRNA vaccines (BNT162b2; BioNTech/Pfizer and mRNA-1273; 54 Moderna) which were rapidly authorized, manufactured and distributed while several other sequence-optimized chemically unmodified mRNA vaccines are still in clinical 55 56 development.<sup>1</sup> CureVac's vaccine candidate, CVnCoV, was the first unmodified mRNA SARS-CoV-2 vaccine to reach phase III clinical testing.<sup>2</sup> In the reported clinical trials of 57 CVnCoV much lower doses of unmodified mRNA  $(2-12 \mu g)$  were tested than those used in 58 modified mRNA vaccines, e.g. 30 µg in the BioNTech/Pfizer<sup>3</sup> and 100 µg in the Moderna 59 vaccines.<sup>4</sup> This was because unmodified mRNA vaccines are considered to induce stronger 60 61 innate immune activation which, at high doses, may lead to reactogenicity. Indeed, a trend for a dose-dependent increase in local and systemic solicited events was observed in the phase 1 62 dose-escalation study of CVnCoV.5 63 In the phase IIb/III HERALD trial the CVnCoV vaccine candidate containing 12 µg mRNA 64 encapsulated in lipid nanoparticles (LNP) showed an overall vaccine efficacy (VE) of 48.2% 65 against COVID-19 of any severity and 70.7% against moderate-to-severe COVID-19, 66 measured in an environment with 15 different circulating SARS-CoV-2 variants.<sup>2</sup> CureVac 67

68 decided to discontinue development of CVnCoV and focus on new generation candidates.<sup>6</sup>

69 To inform the development of better strategies for immunization with more efficacious

vaccine candidates, we performed a detailed immunological investigation to understand the

71 magnitude and quality of the immune responses to CVnCoV including an assessment of the

72 impact of a third 8 µg dose of CVnCoV on the immune response in non-human primates

73 (NHPs). The NHP model allowed us to take multiple samples over time, and to collect not 74 only blood samples but also respiratory samples for assessment of mucosal responses and 75 bone marrow for long-lived plasma cell responses. In a two dose vaccination schedule 8 µg 76 CVnCoV has been shown to induce seroconversion, but with relatively low antibody titers both in humans<sup>5</sup> and NHPs.<sup>6–8</sup> However, in NHP challenge studies partial protection against 77 78 SARS-CoV-2 was achieved indicating protective immunity had been established. The NHP 79 model therefore offers an opportunity to perform high resolution analyses in a 80 physiologically relevant setting.

81

## 82 **RESULTS**

# 83 CVnCoV administration rapidly induced type I interferon-polarized and transient innate 84 immune activation

We measured multiple aspects of the immune response induced by CVnCoV including
immediate responses after administration as well as long-term adaptive responses. Three
rhesus macaques were immunized with 8 µg CVnCoV encapsulated in LNPs at weeks 0 and
4, followed by a booster dose at week 28. Peripheral blood and bronchoalveolar lavage
(BAL) samples were collected over the 37-week study period and bone marrow aspirates
were collected following euthanasia at study end (Fig. 1A).

Within 24 hours of immunization, markers of innate immune activation and toxicity showed either no or only minor transient fluctuations that remained within the normal range of the clinical chemistry and complete blood counts (CBCs) (Fig. S1A-B). Animals did not show any behavioral changes, increase in body temperature or long-term weight differences. By combining CBC and phenotyping by flow cytometry (Fig. 1B) we were able to detect a transient decrease in circulating lymphocytes including T cells, B cells, NK cells and NKT cells 24 hours after immunization (Fig. S1C), coinciding with an elevated proportion of

98	circulating monocytes (Fig. 1C). This increase was mainly represented by CD14+ CD16+
99	intermediate monocytes (Fig. 1D) which is consistent with previous reports on intermediate
100	monocyte expansion following administration of TLR7/8-based adjuvants or mRNA
101	vaccines.9-11 Most of the 30 plasma analytes measured showed no or very low increases 24
102	hours after CVnCoV administration (Fig. 1E). However, in line with the transient increase in
103	intermediate monocytes, elevated levels of the monocyte attractant protein-1 (MCP-1; CCL2)
104	were detected at 24 hours (Fig. 1E-F). In addition, interleukin 1 receptor antagonist (IL-1RA)
105	was induced as well as cytokines associated with a type I interferon (IFN) response such as
106	IFN- $\alpha$ and CXCL11 (Fig. 1E-F). All cytokines had returned to baseline levels by Day 14
107	(Fig. 1E-F). No detectable levels of classical inflammatory cytokines such as TNF- $\alpha$ and IL-6
108	were induced. Principal component analysis (PCA) of the 30 plasma analytes confirmed
109	differences between baseline and 24 hour samples mainly due to type I IFN-associated
110	cytokines, MCP-1 and IL-1RA (Fig. 1G). Collectively, this demonstrated that systemic innate
111	immune activation was induced by CVnCoV with limited and transient adverse events.
112	A third dose increased the levels of neutralizing and cross-reactive antibodies
113	
	Using the 8 $\mu$ g dose of CVnCoV, we found low but detectable antibody titers against SARS-
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<ol> <li>114</li> <li>115</li> <li>116</li> <li>117</li> <li>118</li> <li>119</li> <li>120</li> <li>121</li> </ol>	Using the 8 µg dose of CVnCoV, we found low but detectable antibody titers against SARS- CoV-2 S protein and the receptor-binding domain (RBD) of the S protein at week 6, two weeks after the second dose (Fig. 2A). In clinical studies, suboptimal efficacy elicited by immunization with two doses of CVnCoV, ultimately led to a halt in clinical development. We therefore investigated the potential of a third dose to increase the responses. The animals received a third dose 24 weeks (six months) after the second dose, a relevant time frame for a human booster dose. Titers increased significantly following the third (booster) dose, which is in line with the kinetics of antibody responses in the clinical trial testing the booster potential of the third CVnCoV immunization. <sup>12</sup> Two weeks after the third dose, binding titers

123 after the second dose (Fig. 2A). The boosting effect was even stronger for neutralizing (Fig. 2B) and pseudovirus neutralizing titers (Fig. 2C). These titers increased by 33.6- and 23.7-124 125 fold, respectively, and matched the neutralization capacity of the WHO international standard 126 (NIBSC 20/136), which was not the case after the first two immunizations (Fig. 2B). This large change in response from the second to the third dose for neutralization of ancestral 127 (WA-1) SARS-CoV-2 virus has not been reported for the licensed mRNA vaccines mRNA-128 1273 and BNT162b2, although titers were higher after the first two doses.<sup>13–16</sup> While showing 129 130 that low dose CVnCoV can elicit high responses with three immunizations, mean responses 131 after three doses were still lower than those reported with the 30–100 µg doses of licensed mRNA vaccines in NHP models<sup>17,18</sup> or clinical studies,<sup>19,20</sup> although differences between the 132 133 assays used have to be considered.

The significant increase in titers after the CVnCoV third dose was also reflected in higher neutralization potency, defined as the ratio between the neutralizing and the binding titers (Fig. 2D).<sup>13</sup> This suggests not only a substantial improvement in titers but also in antibody quality; however as the group size was small and one animal persistently showed lower responses this difference was not significantly different.

In a recent study, S protein was detected in the serum of BNT162b2 vaccinees after the first, but not the second dose due to masking of S epitopes by the serum antibodies.<sup>16</sup> In our NHP sera, S protein was detectable 24 hours after the first dose in all three animals, while only the animal with the lowest S-specific titers had systemically detectable S protein 24 hours after the third dose (Fig. 2E).

144 In addition to the large increase in antibody response to the ancestral S protein following the

145 third dose, antibody titers against S proteins from the SARS-CoV-2 B.1.1.7 (Alpha), B.1.351

146 (Beta), P.1 (Gamma) and B.1.617.2 (Delta) variants were also increased (Fig. 2F). The

increase following the third dose was also demonstrated by higher ratios between variant andancestral binding titers (Fig. 2G).

149 While serum antibodies are often used to assess vaccine responses and predict correlates of protection<sup>21–23</sup> it is likely that mucosal immunity to SARS-CoV-2 is necessary to prevent 150 151 infection and mild disease. Anti-S and RBD-binding IgG and neutralizing titers in 152 bronchoalveolar lavage fluid (BAL) were barely detectable two weeks after the second dose, but as with serum antibodies, they were strongly boosted after the third dose (Fig. 2H-I). 153 154 There was a strong correlation between antibody levels in BAL and in plasma (r = 0.8441, p < 0.001) (Fig. 2J), suggesting that mucosal antibodies may predominantly transudate from 155 serum as previously proposed.<sup>21</sup> 156 157 Antibody-secreting S and RBD-specific plasmablasts were undetectable after the two priming immunizations but were detectable by enzyme-linked immunospot (ELISpot) four days after 158

159 the third dose (Fig. 2K). S protein and RBD-specific plasma cells in the bone marrow were

160 found at week 37 (study end; Fig. 2L) suggesting that the animals had generated vaccine-

161 specific B cell populations critical to establish longevity of the antibody response. S-specific

162 memory T cells, assessed by antigen recall assay using stimulation with overlapping S

163 peptides and intracellular cytokine production (Fig. S2A-B), showed low but detectable CD4<sup>+</sup>

164 T cell responses after the second dose in blood and in BAL (Fig. 2M). These responses

165 waned but were boosted by the third dose, especially in the BAL. The T cell response was

166 Th1 polarized as shown by IFN-γ and IL-2 production but a proportion of IL-21-producing

167 circulating T follicular cells was also detected, especially two weeks after the third dose (Fig.

168 2N). Low frequencies of IFN- $\gamma$  producing CD8<sup>+</sup> T cell responses were detectable in both

169 blood and BAL (Fig. 2O).

170 In conclusion, a third dose 24 weeks after the two-dose primary series amplified the initial

171 vaccine responses. A strong increase in antibody titers resulting in improved neutralization of

and binding to the ancestral strain as well as variant strains, and higher mucosal responses,
was accompanied by the induction of S-specific IL-21 secreting circulating T follicular
helper and Th1 memory cells.

## 175 The third immunization drives affinity maturation of vaccine-specific B cells

176 S-specific circulating memory B cells measured by binding to fluorescently labeled S using 177 flow cytometry (Fig. 3A, Fig. S3A) showed a clear increase in frequency after the second 178 immunization. Despite the expected waning, S-specific memory B cells remained at 179 detectable levels for 24 weeks (Fig. 3B). The third immunization resulted in a clear 180 expansion with readily detectable levels until study end. Of the S-specific memory B cells, 181 only 11.1% (range 0–30.3%) were specific to RBD throughout the study as previously reported (Fig. 3C).<sup>24–26</sup> Conversely, on average 64.9% (range 33–79%) of the antibodies in 182 183 plasma were RBD-reactive (Fig. 3D, Fig. S3B-C), similar to the proportions observed in convalescent individuals.<sup>27</sup> This discrepancy in the memory B cell pool and circulating 184 185 antibodies may reflect differences between the antibody producing plasma cells in the bone 186 marrow compartment and memory B cells. Over time and with the third immunization, we 187 observed that although the proportion of RBD-specific antibodies decreased slightly they still 188 represented the majority of the response (Fig. 3D).

189 To determine whether there were qualitative differences in affinity maturation and epitope-190 specificities in vaccine-elicited B cell responses, we single-cell sorted S-specific memory B 191 cells obtained two weeks after the second and third immunizations and sequenced the 192 variable regions of the heavy (VH) and light (VL) chains of their B cell receptors. Productive, 193 high-quality sequences were obtained from a total of 444 single memory B cells (155 and 289 194 after the second and third immunizations, respectively). The level of somatic hypermutation 195 (SHM) in the VH region was calculated after alignment with the largest germline IGHV allele database available based on multiple rhesus macaques.<sup>28</sup> Significantly higher SHM was 196

197 found in the memory B cells after the third immunization compared with the second (Fig. 198 3E). Along with the increase in SHM after the third dose, we found that antibody binding 199 avidity increased significantly at week 30 in agreement with a recent report.<sup>29</sup> High avidity 200 remained stable until study end, a further indication of a qualitative improvement of the 201 humoral response (Fig. 3F).

202 The memory B cell response was highly polyclonal with the majority of the sequences 203 belonging to independent lineages (defined as the same IGHV and IGHJ allele, same HCDR3 204 length, 80% amino acid identity in the HCDR3 and one identical HCDR3 junction) (Fig. 205 S3D). However, several lineages were detected at both weeks 6 and 30 (labelled in the same 206 color in Fig. S3D) indicating that they were maintained and expanded by the third dose. To 207 further investigate maturation of the B cell response following the third dose, we selected 10 208 sequence pairs from the lineages that were detected at both weeks 6 and 30 and expressed 209 them as monoclonal antibodies (mAbs). These selected lineages did not expand within the 210 sampled B cell repertoire with the third dose (Fig. S3E-F) but they showed significant affinity 211 maturation (Fig. 3G). This confirms the increased SHM as found in the memory B cell pool 212 at large.

213 Although 16 out of the 20 mAbs we expressed bound S protein, only two (from the same 214 lineage) bound the S1 domain and none of them bound RBD alone (Fig. 3H). Therefore, we 215 predict most expressed mAbs bind the S2 subunit (Fig. 3K), which is rarely a target of 216 neutralizing antibodies. This corroborates our data on expansion of non-RBD-specific 217 memory B cells with the boost immunization. Furthermore, high proportions of S2-specific B cells were previously reported following SARS-CoV-2 infection<sup>30</sup> and vaccination.<sup>31</sup> 218 219 Expressed mAbs isolated from B cells at week 30 showed significantly better binding to S 220 protein than the related sequences isolated at week 6 (Fig. 3I). Moreover, the mAbs from 221 week 30 exhibited a trend towards higher avidity indices, which were comparable to well-

- 222 characterized reference mAbs specific for NTD or RBD of ancestral S protein (Fig. 3J).
- 223 Collectively, this shows a clear maturation of the B cell response with the third vaccine dose
- demonstrated on antibody and memory B cell level.

## 225 A higher mRNA vaccine dose increases dissemination to more lymph nodes

226 We and others have shown in NHPs that mRNA vaccine administration leads to local 227 inflammation in the muscle at the site of injection consisting of infiltration of immune cells, including antigen presenting cells, uptake and translation of the mRNA.<sup>32,33</sup> The efficiency of 228 229 this process is probably impacted by the dose of vaccine, so the low dose used in our study 230 may have limitations in the number of cells infiltrating the injection site and becoming 231 available as target cells for the vaccine as well as for disseminating vaccine antigen. This 232 would have consequences for the initiation of an adequate vaccine-specific response. We 233 used an mRNA construct based on sequence-optimized unmodified mRNA encoding the 234 fluorescent protein mCitrine that enabled identification of mRNA translation in cells. The 235 mRNA construct was formulated in DiD-labeled LNPs allowing us to track LNP uptake 236 independently from mRNA translation. By exposing isolated monocytes to 5 µg/mL mRNA/LNP in vitro, we found detectable LNP uptake already after a 6 hour incubation (Fig. 237 238 4A-B). mCitrine expression was slightly delayed compared with LNP uptake but was 239 detectable at 24 hours, and high levels of mCitrine+ cells remained detectable for at least 3 240 days. Using a tenfold higher dose of the construct did not result in higher LNP uptake, but we did observe a dose-dependent pattern of mCitrine expression in vitro. 241

242 We then investigated whether differences in doses significantly affected biodistribution and

243 antigen expression in vivo, mimicking low dose CVnCoV administration. Rhesus macaques

received intramuscular injections of low (10 µg) or high (100 µg) doses of the labeled

245 LNP/mCitrine mRNA construct (Fig. 4C) with intramuscular saline injections as controls.

246 The animals were injected at four different sites simultaneously (left and right deltoid and

247 quadricep muscles), allowing for the direct comparison of saline versus mRNA vaccine administration in the same animal. This enabled collection of multiple data points from each 248 249 animal while limiting the number of animals used. Injection site muscle biopsies were taken 250 after 24 hours, as we have previously observed that there is high level of antigen uptake and local innate immune activity at this timepoint.<sup>32,34,35</sup> To identify which lymph nodes (LNs) 251 were primarily targeted by mRNA vaccination, several LN clusters were collected and 252 253 classified as the primary  $(1^\circ; axillary or inguinal)$ , secondary  $(2^\circ; apical or iliac)$  or 254 tertiary/third (3°; supraclavicular or paraaortic) draining LNs based on their proximity to the 255 injection site (Fig. 4C). We analyzed early immune processes critical for initiation of 256 adaptive responses such as cell infiltration, vaccine uptake and translation and further 257 dissemination to lymph nodes.

258 When compared with the control tissues there was noticeable recruitment of CD45+ immune 259 cells to the LNP/mRNA injected sites as well as into the draining lymph nodes specifically in 260 a dose-dependent manner (Fig. 4D). Multiple cell subsets were defined within the CD45+ immune cells (Fig. S4A). CD66abce+ neutrophils, classical CD14+ CD16- monocytes and 261 262 myeloid dendritic cells (mDCs) were the most frequent cell types infiltrating the muscle injection site (Fig. 4E), with some infiltration of the muscle by plasmacytoid DCs, T cells, B 263 264 cells and NK cells. Of these, monocytes exhibited the most pronounced dose-dependent accumulation in the draining lymph nodes after mRNA vaccine administration (Fig. 4E-F). 265 266 mDCs and monocytes play an essential role in antigen presentation and maintaining adaptive 267 responses. Using mRNA encoding for mCitrine we determined the target immune cells for 268 the LNP/mRNA vaccine (Fig. 4G). No LNP+ or mCitrine+ cells were detected at the saline-269 injected control sites demonstrating that the uptake and translation is restricted to the 270 vaccination sites and their draining LNs (Fig. 4G-H). Although we observed that non-271 immune CD45- cells in muscle tissue were able to take up LNP and translate mRNA into

272 protein (Fig. S4B), the production of mCitrine was much less efficient compared with CD45+ 273 immune cells. Monocytes were found to be the most abundant LNP+ mCitrine+ immune cells 274 (Fig. 4H), with both classical CD14+ CD16- and intermediate CD14+ CD16+ subsets 275 infiltrating the site of injection, although classical monocytes were the predominant vaccine+ subset in the draining lymph nodes (Fig. 4K). In addition, mDCs also showed clear LNP 276 277 uptake and mCitrine translation (Fig. 4G-H). Importantly, we observed that a tenfold higher 278 dose of vaccine led to a broader mRNA dissemination evidenced by LNP+ mCitrine+ 279 monocytes in more draining LN clusters (Fig. 4I). 280 In contrast to the clear expression of mCitrine in monocytes and mDCs, neutrophils were 281 efficient at internalizing LNP but not at translating the mRNA (Fig. 4G-H) in accordance with our earlier data.<sup>33</sup> Other immune cells, such as T cells, B cells and plasmacytoid DCs, 282 283 showed low signals for both LNP and mCitrine in comparison with monocytes and mDCs 284 (Fig. S4C). There was a correlation between the number of infiltrating monocytes in the 285 muscle and the number of mCitrine+ monocytes both in primary and secondary draining lymph nodes (Fig. 4J). Altogether this demonstrates that a sequence-optimized unmodified 286 287 mRNA vaccine has a similar pattern of biodistribution and cell-specific targeting as that 288 reported for modified mRNA vaccines, but a low dose of mRNA results in restricted 289 dissemination to the secondary lymphoid organs compared with a higher dose.

290

## 291 **DISCUSSION**

The 48.7% efficacy against COVID-19 of any severity observed in the phase IIb/III clinical trial of CVnCoV was followed by the decision to reorient the development of this vaccine candidate. However, the results from this NHP study showed that a third CVnCoV dose significantly enhanced both the magnitude and the quality of the immune response compared with two doses. Although the average neutralizing antibody responses after three 8 µg doses

297 of CVnCoV were numerically lower than those reported with the 30–100 µg doses of the licensed mRNA vaccines in NHPs<sup>17,18</sup> or humans,<sup>19,20</sup> the marked fold increase in 298 299 neutralizing activity against ancestral SARS-CoV-2 after the third dose, compared with after 300 the second dose, was substantially higher than that reported for three doses of the licensed mRNA vaccines mRNA-1273 and BNT162b2.13-15 Establishment of vaccine-specific plasma 301 302 cells in the bone marrow after the third dose, combined with neutralizing serum antibody 303 titers in the range of WHO international standard 2.5 months after the last dose, suggest that 304 three CVnCoV doses elicit durable immune responses beyond the investigated study period. Similarly to immunization with BNT162b2,<sup>16</sup> we detected S protein in sera of CVnCoV 305 306 vaccinated NHPs 24 hours after administration but at a concentration 10-fold lower than that 307 observed in BNT162b2 vaccinees. This may reflect the overall antigen load and impact the 308 development of the vaccine responses, although the physiological differences in size and 309 weight between humans and NHPs need to be considered. Our study results suggest that a 310 third CVnCoV dose provides an efficient boosting of the immune responses once SARS-311 CoV-2-specific memory has been established and importantly, it provides mechanistic 312 information about how this boosting effect is brought about. In recent clinical studies, CVnCoV performed well as a booster vaccine in previously vaccinated individuals,<sup>12,36</sup> 313 314 eliciting superior antibody titers compared to Valneva's Alum/CpG-adjuvanted inactivated vaccine candidate VLA2001, albeit inferior responses to licensed mRNA vaccines.<sup>36</sup> 315 316 Importantly, we found clear evidence of qualitative enhancement of the responses in plasma 317 antibodies and the B cell repertoire manifested by higher binding avidity and SHM, respectively. We have also shown that the third immunization enhanced cross-reactivity of 318 319 plasma antibodies to several variant Spike proteins, which is relevant in the light of continuing emergence of new variants with divergent mutations in the S-protein.<sup>37</sup> 320

321 The suboptimal responses to CVnCoV in clinical trials spurred the development of the next 322 generation vaccine candidate, CV2CoV. This updated version of CVnCoV contains 323 unmodified nucleosides but with optimized non-coding regions and has been reported to 324 induce higher titers of binding and neutralizing antibodies, memory B cell responses and T cell responses as well as more robust protection compared with CVnCoV when two doses 325 were administered four weeks apart in NHPs.<sup>8</sup> The S-specific antibody and B and T cell 326 327 responses after the third dose of CVnCoV found in our study were in a range similar to that 328 found for CV2CoV after two doses. Although our study did not include virus challenge, the 329 serum neutralizing titers after the third dose were consistent with titers reported in other studies that provided significant protection in challenge experiments.<sup>8,38</sup> Based on this 330 331 published data, protection from infection would persumaby be higher after three doses of 332 CVnCoV compared with two, and in the range observed with CV2CoV. However, CV2CoV 333 also induced higher levels of type I IFN responses and MIP-1a 24 hours after immunization 334 compared with CVnCoV. This needs to be further evaluated since undesired side-effects due 335 to innate immune activation have been a concern with unmodified mRNA vaccines. 336 The first unmodified mRNA vaccine tested in humans was against rabies virus in which 337 about 78 % of study participants reported transient mild-to-moderate systemic side effects after administration of protamine-complexed mRNA.<sup>39</sup> More recently, in a phase 1 clinical 338 trial considerable side-effects were reported with 5 µg unmodified rabies mRNA in LNPs 339 340 while 1 or 2 µg doses were well tolerated and elicited immune responses comparable with those of a licensed rabies vaccine.<sup>40</sup> This data on dosing contributed to the design of the doses 341 342 selected for CVnCoV, which also displayed dose-dependent increases in reactogenicity up to the maximum dose of 12  $\mu$ g tested.<sup>5</sup> 343

The innate immune activation characterized by type I IFN responses following mRNA
vaccination likely plays an important role in the immunogenicity of the mRNA platform and

its Th1 polarized profile of adaptive responses.<sup>34,41,42</sup> Type I IFN responses have been shown 346 347 to directly support B cell differentiation and survival resulting in enhanced antibody responses.<sup>43,44</sup> Increased antibody half-life and durability of humoral responses have been 348 349 shown with type I IFN-inducing adjuvants such as TLR3, 7/8 and 9-ligands (poly IC:LC, R848, CpG).<sup>45–48</sup> In the current study, we observed that CVnCoV induced a strong, transient 350 351 type I IFN response (IFNa, CXCL11) as well as monocyte activation evidenced by MCP-1 352 induction and intermediate monocyte differentiation within 24 hrs of administration. A 353 similar activation profile after administration of nucleoside-modified mRNA vaccines has been reported previously.<sup>11,32,49</sup> Intermediate monocytes have been shown to be important for 354 antigen presentation to CD4<sup>+</sup> T cells<sup>50</sup> and to support the differentiation of naïve B cells into 355 antibody-secreting plasmablasts.<sup>51,52</sup> 356

Little is known about the mechanisms of action by which LNP/mRNA-based vaccines 357 358 generate strong vaccine responses. Using an *in vitro* experimental approach, we showed that 359 lower doses of mRNA led to detectable protein production in fewer monocytes compared with higher doses. Antigen availability is an important determinant for the outcome of the 360 germinal center reaction<sup>53</sup> and a low protein translation rate could result in less protein 361 362 antigen being available to support the germinal center reaction, thereby limiting the B cell 363 response. Another major observation in our study is that sequence-optimized unmodified and modified mRNA/LNP vaccine formulations appear to have a similar biodistribution pattern 364 365 and cell-specific targeting. Our in vivo biodistribution data showed that a lower dose resulted 366 in a limited spread of the vaccine-positive immune cells and fewer targeted LNs, 367 demonstrating restricted vaccine dissemination. This restricted dissemination would have a greater impact in a primary immunization setting where the induction of vaccine-specific 368

immune responses relies on encounters between the vaccine and sparse antigen-specific naïve

369

370 lymphocytes, than in a booster setting, when memory B and T cell pools would already have371 been established.

372 Although this study has obvious limitations due to having only three animals per group, we followed the animals with multiple samplings over eight months and we were able to analyze 373 374 numerous aspects of the immune responses. Therefore, we were able to study the evolution of 375 the vaccine responses over a significant time period with control measurements from the 376 same individual. We mapped antibody responses in detail and monitored the emergence of S-377 specific memory B cells over time. After two doses, most serum antibodies were RBD-378 specific. The third immunization had a particular effect of expanding the immune response 379 against non-RBD epitopes, proportions of which remained stable until study end. This is 380 important as SARS-CoV-2 vaccination has been shown to induce responses that are dominated by non-neutralizing antibodies<sup>54,55</sup> and while animal studies have shown that non-381 neutralizing antibodies can contribute to protection,<sup>56,57</sup> clinical studies have shown that 382 serum neutralizing antibody titer strongly correlates with vaccine-induced efficacy against 383 symptomatic COVID-19.<sup>23,58</sup> In this study NHPs developed high titers of neutralizing 384 385 antibody titers after the third dose. However, none of the mAbs we expressed from the S-386 specific circulating memory B cell pool were RBD-reactive. This probably reflects the high representation of non-RBD-specific clones in the B cell repertoire as reported elsewhere,<sup>55,59</sup> 387 and warrants further investigation of immunization strategies to expand the RBD-specific B 388 cell repertoire. 389

Our study in NHPs adds important mechanistic information on CVnCoV including the use of
a three-dose immunization regimen which has been recently reported in a clinical trial.<sup>12,60</sup>
Our data will complement those observed in human volunteers to elucidate the mechanism of
action of CVnCoV and inform development of an improved version for future use.

394 **METHODS** 

## 395 Vaccines

396 The CVnCoV vaccine candidate is based on the RNActive<sup>®</sup> platform. It has a 5' cap 397 structure, 5'UTR, a GC-enriched open reading frame, 3' UTR, polyA tail and has no 398 chemically modified nucleosides. The mRNA was encapsulated using the lipid nanoparticle 399 (LNP) technology of Acuitas Therapeutics (Vancouver, Canada). The LNPs used in this 400 study are particles of ionizable amino lipid, phospholipid, cholesterol and a PEGylated lipid. 401 The mRNA encoded protein is based on the S-protein of SARS-CoV-2 NCBI Reference 402 Sequence NC\_045512.2, GenBank accession number YP\_009724390.1 and encodes for full length S-protein featuring K986P and V987P mutations. mRNA encoded protein for mCitrine 403 is based on the description by Griesbeck et al.<sup>61</sup> 404

## 405 Immunogenicity study design and sample collection

Three female Chinese rhesus macaques (Macaca mulatta, 12-13 years old) were used in this 406 study. They were housed in the Astrid Fagraeus Laboratory at Karolinska Institutet, 407 408 Stockholm. All animal experiments were conducted following the guidelines and regulations 409 of the Association for Assessment and Accreditation of Laboratory Animal Care and the 410 Swedish Animal Welfare Agency. The study was approved by the Regional animal ethics 411 committee of Northern Stockholm. The animals received intramuscular (i.m.) injections of 8 412 µg doses of CVnCoV in their left quadriceps at weeks 0, 4 and 28. Heparinized peripheral 413 blood, serum and bronchoalveolar lavage (BAL) samples were collected over the 37-week 414 study period and bone marrow aspirates were collected following euthanasia at the end of this 415 period. Body weight and temperature were monitored at each sampling timepoint. CBCs and 416 clinical chemistry analyses were performed at baseline, then 24 hours and 14 days after the 417 first and third doses by Adlego Biomedical (Solna, Sweden). Clinical chemistry was 418 performed on an ABAXIS Vetscan VS2 3.1.35 Chemistry analyzer using Mammalian Liver 419 Profile rotors (Triolab, Solna, Sweden).

## 420 Sample processing

- 421 Peripheral blood mononuclear cells (PBMCs) were isolated by standard gradient density
- 422 centrifugation from heparinized blood using Ficoll-Pacque (GE Healthcare). PBMCs were
- 423 used either immediately for downstream applications or cryopreserved in 10% dimethyl
- 424 sulfoxide (DMSO)/fetal calf serum (FCS) until use.
- 425 BAL cells were separated from the supernatant by centrifugation. Cells were passed through
- 426 a 70 µm cell strainer and used fresh in a T cell stimulation assay. Supernatants were stored
- 427 separately and concentrated tenfold using Amicon-Ultra centrifugal filter units with 30 kDa
- 428 cut-off (Millipore) before downstream analysis.

## 429 Innate response flow cytometry

- 430 Immune cell subsets in peripheral blood were monitored by flow cytometry on days 0, 1 and
- 431 14 after the first and third doses. Freshly isolated PBMCs were stained with Live/Dead<sup>TM</sup>
- 432 Fixable Blue Dye (Life technologies) and FcR blocking reagent (Miltenyi Biotec), followed
- 433 by a panel of antibodies for innate immunophenotyping (Table S1), washed and fixed in 1%
- 434 paraformaldehyde (PFA). Samples were acquired on BD LSRFortessa cell analyzer and the
- data were analyzed using FlowJo software v10.7.1 (FlowJo Inc).

## 436 Plasma cytokine and chemokine quantification by Luminex

- Plasma cytokine and chemokine analysis at baseline, 24 hours and 14 days following the first
  dose were performed using ProcartaPlex NHP Cytokine & Chemokine Panel 30plex (Thermo
  Fisher) according to the manufacturer's instructions. Samples were analyzed using a MagPix
  (Luminex) instrument and the data were analyzed with BelysaTM Immunoassay Curve
  Fitting software (Millipore). Standard curves were generated using 5-parameter logistic (5-
- 442 PL) curve fit.

## 443 Non human primate plasma ELISA assays

Recombinant proteins were acquired through the Global Health-Vaccine Accelerator 444 445 Platform (GH-VAP) funded by the Bill & Melinda Gates Foundation, Seattle, WA, USA. 446 Polyclonal antibody responses elicited in blood and BAL were analyzed using 96-well half 447 area ELISA plates coated with recombinant antigens (SARS-CoV-2 prefusion (S-2P) stabilized S-protein (S), receptor binding domain (RBD), variant S-proteins (HexaPro Spike 448 449 backbone) in PBS at 1 µg/mL overnight at 4°C. Plates were washed three times with PBS 450 containing 0.05% Tween-20 (PBS-T) and blocked with block buffer (PBS + 5% skim milk 451 powder) for 1 hour at room temperature (RT). Samples were serially diluted in block buffer, 452 added to the ELISA plate, and incubated for 2 hours at RT. For the RBD competition ELISA, 453 samples were pre-incubated with or without 20 µg/mL RBD in block buffer for 30 min before 454 being added to S-coated ELISA plate. For the chaotropic wash ELISA, plates were treated 455 with 1.5 M NaSCN or PBS for 10 min at RT after sample incubation. Plates were washed 456 three times and goat anti-monkey IgG-HRP (Nordic MUBio) in block buffer was added to the plate for 1 hour at RT. Plates were developed using 1-Step<sup>™</sup> Ultra TMB-ELISA substrate 457 458 (Thermo Fisher) and the reaction was stopped with 1 M H<sub>2</sub>SO<sub>4</sub>. The plates were read at 459 450 nm, with background correction at 550 nn. The data were analyzed with Prism 9.2.0 460 using 4-parameter logistic (4-PL) curve fit. Proportions of RBD-binding antibodies were 461 determined from a decrease in ED<sub>50</sub> when RBD was added as a competitor. The avidity index 462 was calculated from the ratio of ED<sub>50</sub> values between PBS and NaSCN conditions.

## 463 Monoclonal antibody ELISA assays

For monoclonal antibody (mAb) characterization, the ELISA was performed as above with the following modifications. Plates were coated with 4  $\mu$ g/mL recombinant protein overnight at 4°C, washed and blocked as described. Samples were serially diluted in block buffer and added to the ELISA plate. In the chaotropic wash ELISA, 1.0 M NaSCN or PBS were used to assess the strength of the binding interaction. After sample incubation for two hours, plates

- 469 were washed and goat anti-human IgG-HRP, Fc-specific (Jackson ImmunoResearch) in block
- 470 buffer was added for 1 hour incubation. Plate development and data analysis were as
- 471 described above.
- 472 Recombinant hACE2-human Fc fusion protein and previously characterized S-protein
- 473 specific monoclonal antibodies were used as references: CR3022,<sup>62</sup> B38,<sup>63</sup> C144,<sup>64</sup> S309,<sup>65</sup>
- 474 SM211,<sup>66</sup> S2X333,<sup>67</sup> and COVA2-37.<sup>68</sup>

## 475 Neutralization assays

- 476 Live virus neutralization assay was performed at Vismederi (Sienna, Italy) using SARS-CoV-
- 477 2 2019-2019-nCoV strain 2019-nCov/Italy-INMI1 clinical isolate as described previously.<sup>69</sup>
- 478 Briefly, serial twofold dilutions of heat-inactivated serum samples, starting at 1:10, were
- 479 mixed with an equal volume of viral solution with 100 TCID<sub>50</sub> of SARS-CoV-2 and
- 480 incubated for 1 hour before being transferred in duplicate to plates containing semi-confluent
- 481 Vero E6 monolayers. The plates were incubated for four days at 37°C and 5% CO<sub>2</sub>. After
- 482 four days the plates were inspected using an inverted optical microscope. The highest serum
- 483 dilution that protected more than 50% of cells from cytopathic effects was designated as the
- 484 NT<sub>50</sub>. The first WHO International Standard for anti-SARS-CoV-2 immunoglobulin (NIBSC
- 485 20/136) was analyzed in parallel to NHP samples for comparison.

## 486 Pseudovirus-particle neutralization assays

487 The pseudovirus-particle neutralization assay (PNA) was performed at Nexelis (Laval,

488 Canada) using VSV $\Delta$ G spike pseudotyped virus with a luciferase reporter as described

- 489 previously.<sup>70</sup> Briefly, serial twofold dilution series of heat-inactivated serum were incubated
- 490 with a constant amount of pseudotyped virus particles, and then transferred onto Vero E6
- 491 cells in 96-well plates. Test plates were incubated at 37°C and 5% CO<sub>2</sub> overnight. The next
- 492 day, luciferase substrate was added to the plates which were read using a luminescence

493 microplate reader equipped with SoftMax Pro GxP software (v 6.5.1. or higher). The assay
494 was run in duplicate, and the serum dilution that neutralizes 50% of the pseudo-virus particles
495 (PNT<sub>50</sub>) was interpolated by linear regression of the two serum dilution flanking 50% of the
496 control luminescene signal.

497 Electrochemiluminescence-based spike antigen detection

498 SARS-CoV-2 spike antigen was quantified using the S-PLEX SARS-CoV-2 spike Kit

499 (K150ADJS, Meso Scale Diagnostics, Maryland, USA) according to manufacturer

500 instructions at the SciLifeLab Affinity Proteomics unit (Uppsala, Sweden). For the analysis,

501 25 µl sample was used and the plates were read using a MESO QuickPlex SQ 120

- 502 instrument. An 8-point calibration standard curve, based on recombinant SARS-CoV-2
- 503 protein included in the kit, was used to convert raw signals into data expressed in fg/ml.

## 504 T cell stimulation

505 To assess the frequency of S-protein-specific memory T cells in blood and BAL, T cell re-

506 stimulation with overlapping peptides was performed as previously described.<sup>10</sup> Briefly, 1.5 x

507 10<sup>6</sup> PBMCs or BAL cells were cultured in 0.2 mL complete media (RPMI 1640

supplemented with 10% heat-inactivated FCS, 100 U/mL penicillin, 100 µg/mL

509 streptomycin, and 2 mM L-glutamine) in a 96-well plate at 37°C, 5% CO<sub>2</sub>. The cells were

510 stimulated with 2 µg/mL PepMix<sup>™</sup> SARS-CoV-2 overlapping peptide library in DMSO

511 (15mers with 11 amino acid overlap, JPT Peptide Technologies), spanning the whole Spike

512 protein, or equal volume of DMSO only, in the presence of 10 µg/mL Brefeldin A (Life

513 Technologies). Following overnight stimulation, cells were stained with Live/Dead<sup>TM</sup> Fixable

514 Blue Dye (Life technologies), surface stained, fixed and permeabilized with

515 Cytofix/Cytoperm kit (BD) and stained intracellularly with the panel of antibodies listed in

516 Table S2. Cells were washed after staining and fixed with 1% PFA. Samples were acquired

- 517 on BD LSRFortessa cell analyzer and the data were analyzed using FlowJo software v10.7.1
- 518 (FlowJo Inc). DMSO-stimulated cells were used for background subtraction.

## 519 Spike-protein-specific memory B cell quantification and sorting

- 520 Recombinant SARS-CoV-2 prefusion stabilized S-protein and RBD were biotinylated using
- 521 an EZ-Link® Micro Sulfo-NHS-LC Biotinylation Kit (Thermo Fisher) according to the
- 522 manufacturer's instructions. Probes were generated by coupling biotinylated proteins to
- 523 fluorophore-conjugated streptavidin (SA) molecules for detection by flow cytometry (SA-
- 524 BV421, SA-APC and SA-PE, Biolegend). Isolated PBMCs were stained by a panel of
- 525 antibodies listed in Table S3, as well as S-protein and RBD probes (S-APC, S-PE and RBD-
- 526 BV421, 100 ng each). The samples were analyzed on a BD Aria III Fusion cell sorter (weeks
- 527 6 and 30) or a BD LSRFortessa flow cytometer (all other timepoints). At weeks 6 and 30,
- 528 memory B cells (CD3- CD11c- CD14- CD16- CD123- HLA-DR+ CD20+ IgM- IgG+),

529 double-positive for S-protein-binding, were single-cell sorted into 96-well plates and frozen

- 530 immediately on dry ice for subsequent BCR amplification. Data were analyzed using FlowJo
- 531 v10.7.1 (FlowJo Inc).

## 532 Single cell VDJ amplification and Sanger sequencing

RNA extraction and reverse-transcription using random hexamers from single-cell sorted Sprotein-specific memory B cells were performed using the Superscript III Reverse
transcriptase (Invitrogen) according to the manufacturer's instructions. Nested PCR was
performed using reagents and procedures as previously reported for heavy and light
immunoglobulin chains.<sup>71</sup> PCR products were Sanger sequenced by Genewiz (Leipzig,
Germany), and resulting sequences were aligned to the Karolinska Macaque database
KIMDB (1.0)<sup>72</sup> using IgDiscover.<sup>73</sup>

## 540 Sequence data analysis and monoclonal antibody selection

Clonally-related sequences were identified using heavy chain sequences and Clonotypes
module of IgDiscover,<sup>73,74</sup> applying established criteria to define a clone – same V and J gene
assignment, same CDRH3 length, 80% similarity in CDRH3 and one identical CDRH3
junction.<sup>32</sup> Several lineages were detected at both week 6 and week 30, and respective
antibody sequences were selected for mAb expression and characterization. Cloning,
expression, and purification of mAbs as human IgG1 in mammalian cells were performed by
Genscript (Leiden, Netherlands).

## 548 Antigen-specific antibody-secreting cell detection in blood

549 Antigen-specific plasmablasts were enumerated by enzyme-linked immunospot (ELISpot) on the day of the booster dose and four days after. Multiscreen IP filter ELISpot 96-well plates 550 551 (Millipore) were activated with 35% ethanol for 1 minute and washed three times with PBS. 552 Plates were coated overnight with 1 µg/mL Affinity Pure Goat Anti-Human IgG, Fc 553 fragment-specific antibody (Jackson ImmunoResearch). The next day, plates were washed 554 three times with PBS and blocked with complete media for 1.5 hours. Serially diluted, freshly 555 isolated PBMCs were incubated overnight at 37°C, 5% CO<sub>2</sub>. After incubation, plates were 556 washed six times with PBS-T (0.05%) and incubated with biotinylated probes for 1.5 hours: 557 0.25 µg/mL goat anti-human IgG Fc fragment specific (Jackson ImmunoResearch), 1 µg/mL 558 prefusion stabilized S-protein, 1 µg/mL RBD or 1 µg/mL ovalbumin, to detect total IgG and 559 antigen-specific IgG producing cells, respectively. The plates were washed six times with PBS-T and incubated with 1:1000 diluted SA-conjugated alkaline phosphatase (Mabtech AB) 560 561 for 30 minutes. After another round of washing, plates were developed with nitro-blue 562 tetrazolium 5-bromo-4-chloro-3'indolyphosphate (BCIP/NBT) precipitating substrate 563 (Mabtech AB) for 5 minutes. An AID ELISpot reader (Autoimmun Diagnostika) was used to 564 obtain spot counts. Ovalbumin wells were used for background-subtraction.

## 565 In vitro uptake and translation of mCitrine LNP/mRNA

566	Primary human monocytes were isolated from buffy coats using RosetteSep <sup>™</sup> Human
567	Monocyte Enrichment Cocktail (Stemcell Technologies) and gradient density centrifugation
568	using Ficoll-Pacque (GE Healthcare) according to manufacturer's instructions. After
569	isolation, 1.5x10 <sup>6</sup> monocytes were cultured in 0.5 mL complete media (RPMI 1640
570	supplemented with 10% heat-inactivated FCS, 100 U/mL penicillin, 100 $\mu$ g/mL
571	streptomycin, and 2 mM L-glutamine) at 37°C and 5% CO <sub>2</sub> , in the presence of mCitrine-
572	encoding mRNA in DiD-labelled lipid nanoparticles at different mRNA concentrations (0.0 -
573	5.0 $\mu$ g/mL) for an indicated amount of time (6–72 hours). After culture, cells were washed
574	with PBS, stained with Live/Dead <sup>TM</sup> Fixable Blue Dye (Life technologies) and FcR blocking
575	reagent (Miltenyi Biotec), followed by surface staining with the panel of antibodies listed in
576	Table S4. Cells were then washed with PBS and fixed with 1% PFA. Samples were acquired
577	on BD LSRFortessa cell analyzer and the data were analyzed using FlowJo software v10.7.1
578	(FlowJo Inc).

## 579 Biodistribution immunizations and sample collection

To study the innate immune responses to different mRNA doses, rhesus macaques received four i.m. doses into marked injection sites, one in each limb. Vaccines (0.5 mL/injection) contained either saline as control, 10 or 100 µg mCitrine-encoding mRNA in DiD-labelled lipid nanoparticles. Site of injection as well as vaccine-draining and non-draining tissues were sampled at necropsy 24 hours after immunization, and stored in RPMI 1640 on ice as previously described.<sup>35,75</sup>

## 586 Biodistribution experiments sample processing

587 Muscle biopsies were dissected and weighed before digestion into single cell suspensions as 588 previously described.<sup>35,75</sup> Briefly, muscle tissue was incubated at 37°C for 2 hours without 589 agitation in the presence of 0.25 mg/mL Liberase TL (Roche) and 0.5 mg/mL DNase I

(Sigma). Enzyme activity was quenched by addition of complete media, the mixtures were filtered through 70 µm cell strainers twice and single cell suspensions were washed before proceeding to the next step. Liver and bone marrow samples were processed by standard gradient density centrifugation, in the same manner as blood samples. Lymphoid tissues were mechanically disrupted using a plunger and a 70 µm cell strainer, and washed with complete media. Once sample processing was complete, single cell suspensions were immediately stained for flow cytometry analyses.

## 597 Biodistribution experiments flow cytometry

598 To quantify mCitrine-vaccine signal in different immune cell populations, cell suspensions 599 corresponding to approximately 2 g of muscle tissue or 5 million lymph node cells were 600 stained for flow cytometry. First, cells were stained with Live/Dead<sup>™</sup> Fixable Blue Dye 601 (Life technologies) and FcR blocking reagent (Miltenyi Biotec), followed by a panel of 602 antibodies listed in Table S4. Samples were then washed and fixed with 1% PFA. Before acquisition, AccuCount beads (Spherotech) were added to each sample for quantification 603 604 according to the manufacturer's instructions. Samples were acquired on a BD LSRFortessa 605 flow cytometer cell analyzer and the data were analyzed using FlowJo v10.7.1 (FlowJo Inc).

## 606 *Statistics*

No statistical methods were used to predetermine sample size. The results were considered
statistically significant when p < 0.05. For comparison of two groups of paired and unpaired</li>
samples, non-parametric Wilcoxon matched-pairs signed rank test and Mann-Whitney U test
were used, respectively. For comparison of three of more groups, the non-parametric
Kruskal-Wallis test with Dunn's multiple comparison test was used. Correlations were
assessed using non-parametric Spearman's correlation. Analyses were performed in
GraphPad Prism 9.

## 614 DATA AVAILABILITY STATEMENT

BCR sequencing data has been deposited to GenBank under accession numbers OP572523:OP573208.

## 617 AUTHOR CONTRIBUTIONS

- 618 Conceptualization K.Le., K.S., B.P., K.Lo.; Formal Analysis K.Le., R.A.C., K.Lo.;
- 619 Funding acquisition B. P., K. Lo.; Investigation K. Le., F.H., S.O., X.Y., A.C., R.A.C.,
- 620 I.W., J.H.; Methodology K.Le., F.H., S.O.; Resources S.O.M., E.J., K.S., S.R., B.P.,
- 621 K.Lo.; Supervision K. Lo.; Visualization K.Le.; Writing original draft K.Le, K.Lo.;
- 622 Writing review & editing all authors.

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#### DECLARATION OF INTERESTS STATEMENT 639

S.O.M, E.J., K.S., S.R. and B.P.are employees of CureVac AG. 640

#### **KEYWORDS** 641

- 642 Vaccine, mRNA vaccine, antibody response, vaccine biodistribution, innate immunity,
- 643 COVID-19, SARS-CoV-2.

.stribution

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## 924 FIGURE LEGENDS

925 Figure 1: Innate immune response after mRNA immunization. (A) Study design. (B) 926 Gating strategy used in immunophenotyping. (C, D) Total monocyte and monocyte subset frequency in blood after immunization. (E) Plasma cytokines after immunization assessed by 927 928 30-plex Luminex assay. (F) Selected plasma cytokines after prime immunization (related to 929 type I interferon response, TNF and IL-6 as controls). (G) Principal component analysis of plasma cytokines (30-plex assay). Figures C and D combine data from 3 NHPs after first and 930 931 3third immunizations. Figures E-G focus on the innate immune response after prime 932 immunization.

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Figure 2: Enhanced adaptive immune responses after the third dose. (A) Plasma binding 934 935 antibody response to ancestral S-protein (S-2P) and RBD by ELISA. (B) Live virus neutralization (NT50) in serum. Gray shaded area represents the neutralization titer of the 936 937 WHO International Standard (NIBSC 20/136). (C) VSV-based pseudovirus neutralization 938 (PNT<sub>50</sub>) in serum. (D) Antibody neutralization potency index representing the ratio between 939 neutralizing (NT<sub>50</sub>) and binding (ED<sub>50</sub>) antibody titers in plasma. Data points following 940 second (weeks 6, 8 and 12) and third immunizations (weeks 30, 32, 35) are shown, 941 respectively. (E) S protein concentration in serum after first and third immunizations. (F, G) Plasma antibody binding to variant S-proteins, and ratio between varinat and ancestral 942 943 binding titers. (H, I). Ancestral S-protein and RBD binding (H) and neutralizing antibodies 944 (I) in BAL. PP = Pre-pandemic BAL samples. (J) Correlation of plasma and BAL anti-S-945 protein antibody titers. (K, L) Vaccine-specific plasmablasts in blood (K) and vaccine-946 specific plasma cells in bone marrow (L) assessed using ELISpot. Representative wells are shown on the right. Data is background subtracted based on OVA wells. ASC = antibody-947 secreting cell. (M-O) Frequencies of S-protein-specific CD4 T helper cell subsets (M), 948

949 circulating T follicular cells (N) and CD8 T cells (O) in blood and BAL at selected

950 timepoints. All data is background subtracted based on DMSO-only condition. Significance

951 assessed by Wilcoxon signed-rank test, or Spearman correlation. Arrows indicate

952 immunizations. Dotted lines indicate limit of detection (LOD) of each assay, except panel F

953 where it represents the ratio of 1 (equal binding to ancestral and variant S protein). Data are 954 represented as mean  $\pm$  SEM.

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956 Figure 3: Maturation of the B cell response after the third immunization. (A) 957 Representative gates used for sorting S-protein-specific IgG+ memory B cells two weeks 958 after second and third immunizations (weeks 6 and 30). (B) Longitudinal assessment of S-959 protein-specific memory B cells, out of IgG+ B cells. (C) Proportion of RBD-specific memory B cells out of S-protein specific memory B cells at selected timepoints. (D) 960 961 Proportion of RBD-binding antibodies in serum at selected timepoints. (E) SHM in sorted 962 memory B cells, presented as number of nucleotide substitutions in the heavy chain V gene segment. (F) Avidity index of a polyclonal plasma antibody response. (G) SHM of expressed 963 964 mAbs by timepoint of isolation. (H) Binding of expressed mAbs to ancestral S, S1 subunit 965 and RBD. NB = non-binding. (I, J) mAb binding to ancestral S-protein (I) and avidity index (J), plotted by timepoint of isolation, with previously characterized mAbs (Ctrl: CR3022, 966 967 B38, hACE2-Fc, C144, S309, S2M11, S2X333, COVA2-37) for comparison. (K) Heatmap depicting binding and avidity information with predicted subunit binding for isolated and 968 969 control mAbs. Statistical analysis performed using non-parametric Mann-Whitney (Fig. E) or Wilcoxon matched-pairs signed rank test (Fig. F, G, I, J). \*p < 0.05. \*\*p < 0.01. Data are 970 971 represented as mean  $\pm$  SEM.

973	Figure 4: Tracking mRNA vaccines <i>in vivo</i> in non-human primates (A, B) <i>In vitro</i> uptake
974	and translation of 0, 0.5 and 5 ug/mL mCitrine mRNA/LNPs in enriched primary human
975	monocytes at 6, 24, 48 and 72 hours. Representative flow cytometry plots (A) and
976	summarized data (B). (C) Non-human primates were immunized at 0 hours with saline, 10 ug
977	or 100 ug mRNA/LNP. They were sacrificed 24 hours later and draining as well as non-
978	draining tissues were collected and analyzed. (D) Representative flow cytometry plots of
979	CD45+ immune cell infiltration in different tissues. (E) Enumeration of infiltrating cell
980	subsets in different tissues. (F) Monocyte infiltration into the site of injection and draining
981	lymph nodes. (G) Flow cytometry plots of LNP DiD and mCitrine signal in draining lymph
982	nodes by innate cell subsets from saline- and vaccine-injected sites. (H) Proportion of
983	vaccine-positive cells in different tissues by innate cell subset. (I) Vaccine-positive
984	monocytes in primary, secondary and tertiary dLNs 24 hours after immunization. (J)
985	Correlation between the number of infiltrating monocytes in the muscle and the number of
986	vaccine-positive monocytes in primary and secondary draining lymph nodes. (K) Vaccine
987	signal in different monocyte subsets and tissues at 24 hours. Statistical analyses were
988	performed using a non-parametric Kruskal-Wallis test or Spearman correlation. $*p < 0.05$ .
989	** $p < 0.01$ . ns = not significant. Data are represented as mean $\pm$ SEM.









## **eTOC Synopsis**

Lenart *et al* show significant improvement in magnitude and quality of vaccine-specific responses with the third low dose mRNA immunization. In biodistribution studies, low dose vaccines drained to fewer lymph node clusters compared to high dose, likely targeting fewer naïve lymphocytes and thus requiring multiple doses for induction of high-quality responses.