



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

Journal Pre-proof



TCAR can safely be performed with regional anesthesia and no ICU stay

Veena Mehta, MD, Peyton Tharp, Courtney Caruthers, Agenor Dias, MD, Mathew Wooster, MD

PII: S0741-5214(22)02328-X

DOI: <https://doi.org/10.1016/j.jvs.2022.09.026>

Reference: YMVA 12828

To appear in: *Journal of Vascular Surgery*

Received Date: 14 August 2022

Revised Date: 23 September 2022

Accepted Date: 29 September 2022

Please cite this article as: Mehta V, Tharp P, Caruthers C, Dias A, Wooster M, TCAR can safely be performed with regional anesthesia and no ICU stay, *Journal of Vascular Surgery* (2022), doi: <https://doi.org/10.1016/j.jvs.2022.09.026>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Copyright © 2022 Published by Elsevier Inc. on behalf of the Society for Vascular Surgery.

1 **TCAR can safely be performed with regional anesthesia and no ICU stay**

2 Authors: Veena Mehta MD¹, Peyton Tharp², Courtney Caruthers², Agenor Dias MD³, Mathew

3 Wooster MD³

4 ¹Department of Surgery, Division of Vascular Surgery, Harbor-UCLA Medical Center

5 ²College of Medicine, Medical University of South Carolina

6 ³Department of Surgery, Division of Vascular Surgery, Medical University of South Carolina

7

8 Corresponding author: Veena Mehta, MD; 1000 W Carson Street, Torrance, CA 90502;

9 vmehtha@dhs.lacounty.gov; 864-901-1019

10 The authors have no competing interests.

11

12 Presentation information: This study was presented in the Interactive Poster Session at 2022

13 Vascular Annual Meeting of the Society of Vascular Surgery in Boston, MA, June 15-18, 2022

14

15 No funding was provided.

16

17 Keywords: TCAR, carotid stenosis, anesthesia type, carotid stenting

18

19

ARTICLE HIGHLIGHTS

Type of Research: single center, retrospective cohort study

Key Findings: Patients treated with transcarotid artery revascularization (TCAR) using regional anesthesia had low rates of ICU admission postoperatively (7%), and compared with patients undergoing TCAR with general anesthesia had a shorter overall length of hospital stay (1.4 vs 4.2 days) and lower rates of postoperative myocardial infarction, stroke, and death (0% vs. 2.4%).

Take home Message: TCAR can safely be performed while avoiding both general anesthesia and an ICU stay in most patients.

Table of Contents Summary

Patients undergoing TCAR with regional anesthesia compared to general anesthesia had shorter overall length of hospital stays and lower rates of postoperative complications. TCAR can safely be performed while avoiding both general anesthesia and an ICU stay in most patients.

Abstract

Objectives: Hospital resource utilization is under constant review and the extent and intensity of postoperative care requirements for vascular surgical procedures is particularly relevant in the setting of the COVID19 pandemic and its impact on staffed ICU beds. We sought to evaluate the feasibility of regional anesthesia and low-intensity postoperative care for patients undergoing transcarotid artery revascularization (TCAR) at our institution.

Methods: All patients undergoing TCAR at a single institution from 2018-2020 were reviewed. Perioperative management (anticoagulation/antiplatelet therapy, hemodynamic monitoring, neurovascular exam, nursing instructions) was standardized by use of an institutional protocol. Anesthetic modality was at the surgeon's preference. Patients were transferred to PACU for 2 hours followed by the step-down unit, to PACU for 4 hours followed by the floor, or alternatively transferred to ICU. IV blood pressure medications could be administered at all environments except the floor. Recovery location and length of stay (LOS) were recorded.

1 **Results:** A total of 83 patients underwent TCAR during the study period. Mean age 72 +/- 9,
2 59% male. Thirty-six percent were symptomatic. Regional anesthesia was utilized for 84% with
3 none converted to general anesthesia intraoperatively. Post operatively only 7 (8%) patients out
4 of all 83 patients included in this study were monitored in an ICU overnight (decided
5 perioperatively), mostly for patients with prior neurological symptoms but in one case for
6 postoperative neurological event and in another due to pulseless electrical activity arrest. Six
7 patients required IV antihypertensives and eight required IV vasoactive support postoperatively.
8 Mean length of ICU stay was 3.7 +/- 5.1 days. Mean length of hospital stay for all patients was
9 2.4 +/- 3.3 days. The length of stay for patients undergoing TCAR with general anesthesia was
10 higher than those undergoing TCAR with regional anesthesia (4.2 +/- 4.9 days versus 1.4 +/- 1.2
11 days, respectively; p=0.066). Incidence of stroke, death, and MI was 2.4%. There was 1
12 postoperative stroke considered to be a recrudescence of prior stroke, and one respiratory arrest
13 fatality in a frail patient with neck hematoma both of whom were treated under GA.

14 **Conclusion:** Using perioperative care protocols, TCAR can safely be performed while avoiding
15 both general anesthesia and an ICU stay in most patients.

1 **Introduction**

2 Transcarotid artery revascularization (TCAR) has emerged as a technique for treating
3 carotid artery stenosis, a significant cause of ischemic stroke, and has significant advantages in
4 patients with high lesions, prior neck surgery or radiation, and employs a flow reversal
5 mechanism to lower the risk of embolic stroke. Several studies have compared patient outcomes
6 in TCAR vs CEA and found overall equivalent outcomes in procedure-related strokes, MI, and
7 death^{1,2}. In addition, data analyzed from the Society for Vascular Surgery Vascular Quality
8 Initiative TCAR Surveillance Project actually showed lower rates of postoperative MI and
9 cranial nerve injury in patients undergoing TCAR compared to patients undergoing CEA³.

10 TCAR has also been associated with shorter procedure times and overall hospital stays,
11 which offers another advantage compared to CEA⁴. Additionally, use of regional anesthesia
12 (RA) over general anesthesia (GA) in carotid surgery further shortens hospital stays and
13 improves patient perception of recovery in appropriately chosen patients⁵⁻⁷. Although there are
14 few studies specifically analyzing anesthesia type in TCAR, use of RA might be an important
15 way to improve resource utilization for carotid surgeries.

16 Given the benefits in patient outcomes and resource utilization with TCAR, the
17 advantages of TCAR become particularly relevant in the setting of the COVID19 pandemic.
18 Hospital resource utilization is under constant review, especially given the extent of
19 postoperative care needed for most vascular procedures. In this study, we sought to evaluate the
20 feasibility of TCAR using RA and low-intensity postoperative care at our institution.

21 **Methods**

22 All patients at high risk for carotid endarterectomy undergoing TCAR at a single
23 institution from 2018-2020 were reviewed. Consent for publication and IRB approval was

1 obtained for all patients reviewed. Perioperative management was standardized by use of an
2 institutional protocol including hemodynamic parameters (goal heart rate 60-100 bpm, goal mean
3 arterial pressure >65 mmHg with systolic blood pressure <160 mmHg) and requisite medications
4 (medication reconciliation performed on all patients), anticoagulation/antiplatelet regimens,
5 neurovascular exam guidelines (neurovascular exam both preoperatively and postoperatively)
6 and nursing instructions. Anesthetic modality was at the surgeon's preference.

7 Patients were transferred to PACU for 2 hours (1:1/1:2 nursing ratio) followed by the
8 step-down unit (1:4 nursing ratio), to PACU for 4 hours followed by the floor (1:6 ratio) or
9 alternatively transferred to ICU (1:1 ratio). IV blood pressure medications could be administered
10 at all environments except the floor. Step down unit was the preferred location with regular floor
11 utilized when step-down beds were unavailable and ICU beds utilized only when higher levels of
12 vasoactive medication or ventilatory support were required. Recovery location and length of stay
13 (LOS) were recorded.

14 Anesthetic choice was at surgeon discretion with one provider favoring general
15 anesthesia for all carotid procedures and two providers preferring regional block and selectively
16 utilizing general anesthesia for impaired neck mobility, patient inability to lay flat and still (i.e.
17 back pain, recent stroke, psychological impairment), or patient anxiety/request. Regional
18 anesthesia consisted of a superficial cervical block performed by a dedicated regional anesthesia
19 team. Use of ultrasound guidance versus anatomic landmarks and lidocaine versus ropivacaine
20 was at the anesthesiologists discretion.

21

22 **Results**

1 A total of 83 patients underwent TCAR during the study period. The mean age was 72 +/-
2 9 years, and 59% were male. 36% of patients were symptomatic.

3 *Anesthesia Type*

4 Regional anesthesia (RA) with ropivacaine or lidocaine was utilized for 84% of patients
5 with none converted to general anesthesia (GA) intraoperatively. 13 patients were selected to
6 undergo TCAR with GA in the preoperative evaluation. There were no significant differences
7 between the medical comorbidities of patients chosen to undergo GA or RA.

8 *Intraoperative Interventions*

9 Overall, mean procedure time (time spent from incision to closure) was 75 minutes with
10 mean flow reversal time of 8 minutes. When accounting for anesthesia type, mean procedure
11 time was significantly longer in patients undergoing TCAR with GA (Table 1). However, there
12 was no significant difference in flow reversal time between RA and GA.

13 The most common intervention intraoperatively was for hypotension, with 78% of
14 patients requiring vasopressors, most commonly intravenous phenylephrine. Patients under GA
15 required significantly more phenylephrine than those under RA (4997.0 +/- 3602.3 mcg vs
16 1736.5 +/- 1826.9 mcg, respectively; $p=0.01$). In addition, 39% of all patients required
17 antihypertensives, and 10% required treatment for bradycardia.

18 *Postoperative Care*

19 Postoperatively, only 7 (8%) patients were monitored in an ICU overnight (decided
20 perioperatively). In most cases, this was decided for symptomatic patients with prior
21 neurological symptoms. However, one patient was admitted to the ICU for a postoperative
22 neurological event and another patient due to pulseless electrical activity arrest. The remaining
23 seventy-six (92%) patients were monitored in PACU, of which 8 were transferred to the floor

1 after 4 hours, and 13 were discharged directly from PACU due to limited bed availability (Figure
2 1). Fifty-five of those in PACU were transferred to the step-down unit after 2 hours and
3 discharged from there.

4 **Figure 1: Postoperative Location**

5 Majority of patients were maintained at an appropriate blood pressure with oral
6 antihypertensives. However, 14 patients required IV medications for hypertension or
7 hypotension postoperatively. Of the 14, only two patients had undergone TCAR under GA. Six
8 patients required IV treatment for hypertension with cardene, and eight patients required IV
9 vasoactive support with phenylephrine. When compared to patients not requiring IV
10 medications, patients treated with IV cardene or phenylephrine had longer hospital stays,
11 although this did not reach significance (2.1 +/- 2.9 days vs 4.1 +/- 4.7 days, respectively;
12 $p=0.162$). Out of 13 total patients that underwent TCAR with general anesthesia, only three
13 patients required ICU admission. There was no post operative location difference by surgeon,
14 only by anesthetic.

15 *Length of Hospital Stay*

16 Mean length of hospital stay for all patients was 2.4 +/- 3.3 days with median length of
17 hospital stay of 1 day. Mean length of ICU stay was 3.7 +/- 5.1 days (range 1-15 days). Patients
18 requiring ICU stay had longer overall length of postoperative hospital stay compared to patients
19 that did not require ICU care (Figure 2: 5.4 +/- 5.7 days vs 1.5 +/- 1.5 days, respectively;
20 $p=0.119$). When accounting for differences in anesthesia type, the mean postoperative length of
21 stay for patients undergoing TCAR with GA was also higher than those undergoing TCAR with
22 RA (Figure 2: 4.2 +/- 4.9 days versus 1.4 +/- 1.2 days, respectively; $p=0.066$). Median length of
23 stay for patients undergoing RA and GA were both 1 day.

1 **Figure 2: Length of Hospital Stay by Anesthesia Type and ICU Stay**

2 *Postoperative Complications*

3 Incidence of stroke, death, and MI was 2.4% (2 patients). Both of these patients were
4 treated under GA. There was 1 postoperative stroke considered to be a recrudescence of prior
5 stroke, and one respiratory arrest fatality in a frail patient with neck hematoma.

6 **Discussion**

7 In this study, we have shown that patients can be safely and effectively treated with
8 TCAR while minimizing ICU stays and improving resource utilization through shorter procedure
9 times. In our patient sample, opting to perform TCAR under RA over GA was associated with a
10 shorter length of hospital stay and total procedure time, while minimizing postoperative
11 complications. None of the patients undergoing TCAR under RA experienced stroke, MI, or
12 death in the immediate postoperative period, while 2 out of 14 patients undergoing TCAR with
13 GA experienced postoperative complications. Importantly, there was no significant difference in
14 presence of medical comorbidities between the two groups, although our analysis does not
15 account for differences in severity of disease.

16 The optimal mode of anesthetic delivery in carotid surgery has been debated for years
17 and to this date, there has been no clear consensus between the use of GA versus RA. While both
18 options have advantages and disadvantages, our institution prefers the use of RA, in order to
19 promptly diagnose any neurological changes in the patient intraoperatively. The most well-
20 known of studies comparing RA vs GA, the GA versus RA for carotid surgery (GALA) trial,
21 showed no statistically significant differences in patient outcome between RA and GA⁸. Several
22 smaller studies have also shown that RA in CEA allows for a shorter surgical time and
23 ICU/hospital stay with equal or better patient outcomes in stroke rate, MI, and death when

1 compared to GA^{5,9,10}. Although few studies have been done comparing GA to RA after TCAR,
2 the existing studies conclude that patients receiving RA had significantly shorter hospital stays
3 and a lower 30 day mortality, consistent with what we report here¹¹. As we have demonstrated
4 here, a majority of patients can be safely treated with regional anesthesia, and thus we
5 recommend regional block anesthetic as principal choice unless patients exhibit impaired neck
6 mobility, patient inability to lay flat and still (i.e. back pain, recent stroke, psychological
7 impairment), or severe anxiety.

8 Treatment with IV medications for hypertension or hypotension postoperatively was also
9 associated with longer hospital stays. These findings are also supported by another single center,
10 retrospective review showing that hemodynamic instability in the immediate postoperative
11 period after TCAR is associated with longer time in the ICU, as well as overall length of hospital
12 stay¹². In a larger VQI study, postoperative hypotension requiring vasoactive support for longer
13 than 15 minutes was associated with longer hospital stays and higher rates of neurologic and
14 cardiac events¹³. Several risk factors for development of postoperative hemodynamic instability
15 have been identified including symptomatic carotid artery stenosis, preoperative hypertension,
16 and calcified plaques¹⁴.

17 Several limitations to our research exist including a smaller sample size of patients
18 undergoing TCAR with GA compared to RA, which may contribute to some of the
19 nonsignificant findings. In addition, one of the patients undergoing TCAR with GA required ICU
20 admission for 15 days, which likely contributed to the prolonged mean length of stay in GA
21 patients versus RA patients. Our analysis with the median length of stay is therefore more
22 appropriate in this case, with median length of stay showing no significant difference between
23 GA and RA patients.

1 **Conclusion**

2 Using perioperative care protocols, TCAR can safely be performed while avoiding both
3 general anesthesia and an ICU stay in most patients. Opting for regional anesthesia over general
4 anesthesia may lower length of hospital stay and procedure times, while maintaining equal or
5 better patient outcomes.

Journal Pre-proof

1 **References**

- 2 ¹Kashyap VS, King AH, Foteh MI, Janko M, Jim J, Motaganahalli RL, et al. A multi-
3 institutional analysis of transcarotid artery revascularization compared to carotid endarterectomy.
4 *J Vasc Surg.* 2019;70(1):123-129. doi:[10.1016/j.jvs.2018.09.060](https://doi.org/10.1016/j.jvs.2018.09.060)
- 5 ²Cappellini CA, Zheng H, Lamb KM, Sooppan R, Coffey J, Luo RQ. Outcomes of Transcarotid
6 Artery Revascularization and Carotid Endarterectomy at a Single Institution. *Ann Vasc Surg.*
7 Published online November 26, 2020. doi:[10.1016/j.avsg.2020.10.023](https://doi.org/10.1016/j.avsg.2020.10.023)
- 8 ³Malas MB, Dakour-Aridi H, Wang GJ, Kashyap, VS, Motaganahalli RL, Eldrup-Jorgensen J, et
9 al. Transcarotid artery revascularization versus transfemoral carotid artery stenting in the Society
10 for Vascular Surgery Vascular Quality Initiative. *J Vasc Surg.* 2019;69(1):92-103.e2.
11 doi:[10.1016/j.jvs.2018.05.011](https://doi.org/10.1016/j.jvs.2018.05.011)
- 12 ⁴Schermerhorn ML, Liang P, Dakour-Aridi H, Kashyap VS, Wang GJ, Nolan BW, Cronenwett
13 JL, Eldrup-Jorgensen J, Malas MB. In-hospital outcomes of transcarotid artery revascularization
14 and carotid endarterectomy in the Society for Vascular Surgery Vascular Quality Initiative. *J*
15 *Vasc Surg.* 2020 Jan;71(1):87-95. doi: [10.1016/j.jvs.2018.11.029](https://doi.org/10.1016/j.jvs.2018.11.029). Epub 2019 Jun 18. PMID:
16 31227410; PMCID: PMC6918010.
- 17 ⁵Malik OS, Brovman EY, Urman RD. The Use of Regional or Local Anesthesia for Carotid
18 Endarterectomies May Reduce Blood Loss and Pulmonary Complications. *J Cardiothorac Vasc*
19 *Anesth.* 2019;33(4):935-942. doi:[10.1053/j.jvca.2018.08.195](https://doi.org/10.1053/j.jvca.2018.08.195)
- 20 ⁶Attigah N, Kutter J, Demirel S, Hakimi M, Hinz U, Motsch J, Böckler D. Assessment of
21 patients' satisfaction in carotid surgery under local anaesthesia by psychometrical testing--a
22 prospective cohort study. *Eur J Vasc Endovasc Surg.* 2011 Jan;41(1):76-82. doi:
23 [10.1016/j.ejvs.2010.08.020](https://doi.org/10.1016/j.ejvs.2010.08.020). Epub 2010 Sep 28. PMID: 20880727.

- 1 ⁷McCarthy R, Trigg R, John C, Gough MJ, Horrocks M. Patient satisfaction for carotid
2 endarterectomy performed under local anaesthesia. *Eur J Vasc Endovasc Surg.* 2004
3 Jun;27(6):654-9. doi: 10.1016/j.ejvs.2004.03.010. PMID: 15121119.
- 4 ⁸Group GTC. General anaesthesia versus local anaesthesia for carotid surgery (GALA): a
5 multicentre, randomised controlled trial. 2008;372:11.
- 6 ⁹Guay J, Kopp S. Cerebral monitors versus regional anesthesia to detect cerebral ischemia in
7 patients undergoing carotid endarterectomy: a meta-analysis. *Can J Anaesth.* 2013;60(3):266-
8 279. doi:[10.1007/s12630-012-9876-4](https://doi.org/10.1007/s12630-012-9876-4)
- 9 ¹⁰Kfoury E, Dort J, Trickey A, Crosby M, Donovan J, Hashemi H, et al. Carotid endarterectomy
10 under local and/or regional anesthesia has less risk of myocardial infarction compared to general
11 anesthesia: An analysis of national surgical quality improvement program database. *Vascular.*
12 2015;23(2):113-119. doi:[10.1177/1708538114537489](https://doi.org/10.1177/1708538114537489)
- 13 ¹¹Burton BN, Finneran Iv JJ, Harris KK, Swisher MW, Ingrande J, Said ET, Gabriel RA.
14 Association of Primary Anesthesia Type with Postoperative Adverse Events After Transcarotid
15 Artery Revascularization. *J Cardiothorac Vasc Anesth.* 2020 Jan;34(1):136-142. doi:
16 [10.1053/j.jvca.2019.07.142](https://doi.org/10.1053/j.jvca.2019.07.142). Epub 2019 Jul 31. PMID: 31445834.
- 17 ¹²Kania TA, Noorani A, Juneja A, Demissie S, Singh K, Deitch J, Etkin Y, Landis GS, Schor J.
18 Hemodynamic instability in the immediate postoperative setting after transcarotid artery
19 revascularization. *Vascular.* 2022 May 26;17085381221105178. doi:
20 [10.1177/17085381221105178](https://doi.org/10.1177/17085381221105178). Epub ahead of print. PMID: 35618486.
- 21 ¹³Noori VJ, Aranson NJ, Malas M, Schermerhorn M, O'Connor D, Powell RJ, Eldrup-Jorgensen
22 J, Nolan BW. Risk factors and impact of postoperative hypotension after carotid artery stenting

- 1 in the Vascular Quality Initiative. *J Vasc Surg.* 2021 Mar;73(3):975-982. doi:
- 2 10.1016/j.jvs.2020.06.116. Epub 2020 Jul 21. PMID: 32707379.
- 3 ¹⁴Saleh M, Ali H, Atalla K, Shahat M, Cieri E. Predictors of Carotid Artery Stenting-Induced
- 4 Hemodynamic Instability. *Vasc Endovascular Surg.* 2021 Jul;55(5):475-481. doi:
- 5 10.1177/15385744211005654. Epub 2021 Mar 29. PMID: 33779409.

Journal Pre-proof

Table 1: Intraoperative Times with Regional versus General Anesthesia

	Regional Anesthesia	General Anesthesia	P value
Mean Procedure Time	72.0 +/- 18.1 minutes	94.8 +/- 37.1 minutes	0.049
Mean Flow Reversal Time	7.9 +/- 3.4 minutes	9.8 +/- 8.9 minutes	0.454

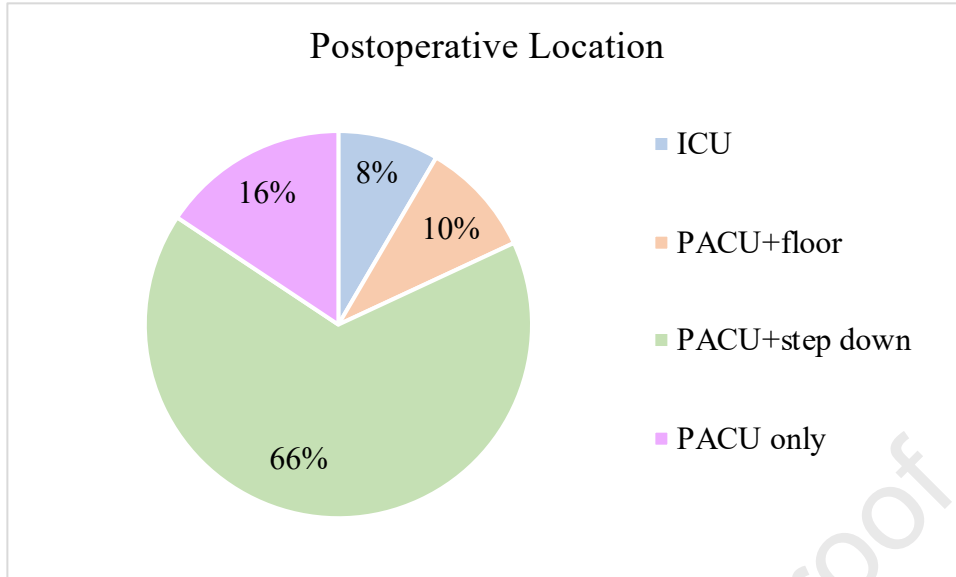


Figure 1: Postoperative Location

Journal Pre-proof

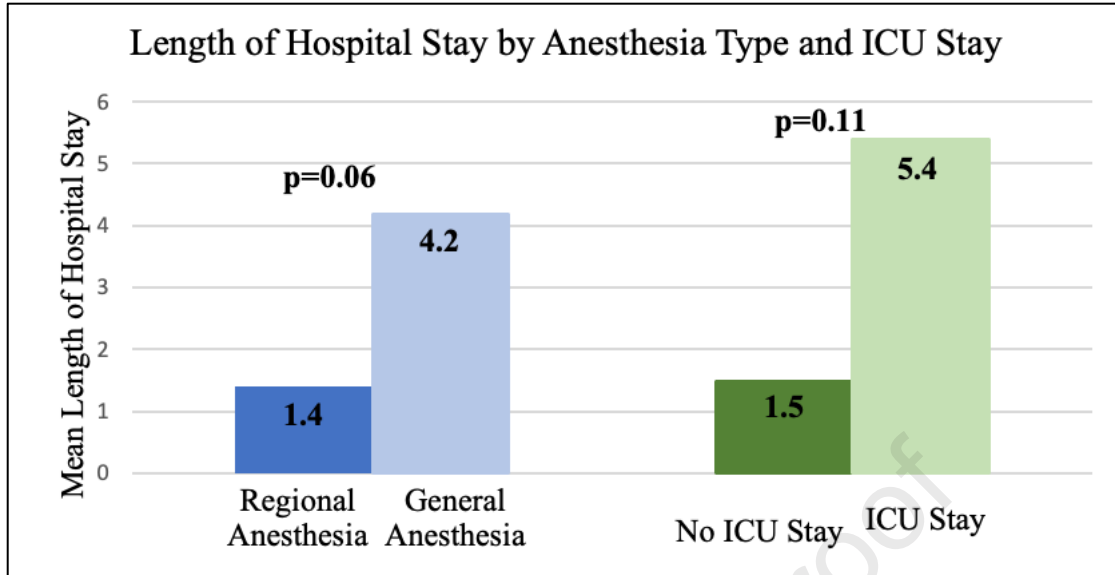


Figure 2: Length of Hospital Stay by Anesthesia Type and ICU Stay

Legends

Table 1: Intraoperative Times with Regional versus General Anesthesia

Figure 1: Postoperative Location

Figure 2: Length of Hospital Stay by Anesthesia Type and ICU Stay

Journal Pre-proof