




ORIGINAL ARTICLE

Biomarkers of coagulation, endothelial function, and fibrinolysis in critically ill patients with COVID-19: A single-center prospective longitudinal study

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Abstract

Background: Immunothrombosis and coagulopathy in the lung microvasculature may lead to lung injury and disease progression in coronavirus disease 2019 (COVID-19). We aim to identify biomarkers of coagulation, endothelial function, and fibrinolysis that are associated with disease severity and may have prognostic potential.

Methods: We performed a single-center prospective study of 14 adult COVID-19(+) intensive care unit patients who were age- and sex-matched to 14 COVID-19(-) intensive care unit patients, and healthy controls. Daily blood draws, clinical data, and patient characteristics were collected. Baseline values for 10 biomarkers of interest were compared between the three groups, and visualized using Fisher's linear discriminant function. Linear repeated-measures mixed models were used to screen biomarkers for associations with mortality. Selected biomarkers were further explored and entered into an unsupervised longitudinal clustering machine learning algorithm to identify trends and targets that may be used for future predictive modelling efforts.

Results: Elevated D-dimer was the strongest contributor in distinguishing COVID-19 status; however, D-dimer was not associated with survival. Variable selection identified clot lysis time, and antigen levels of soluble thrombomodulin (sTM), plasminogen activator inhibitor-1 (PAI-1), and plasminogen as biomarkers associated with death. Longitudinal multivariate k-means clustering on these biomarkers alone identified two clusters of COVID-19(+) patients: low (30%) and high (100%) mortality groups. Biomarker trajectories that characterized the high mortality cluster were higher clot lysis times (inhibited fibrinolysis), higher sTM and PAI-1 levels, and lower plasminogen levels.

Conclusions: Longitudinal trajectories of clot lysis time, sTM, PAI-1, and plasminogen may have predictive ability for mortality in COVID-19.

Ganeem K. Juneja and Matthew Castelo contributed equally to this study.

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KEYWORDS

biomarkers, coronavirus, fibrinolysis, observational study, thrombosis

Essentials

- COVID-19 is an immunothrombotic disease that leads to respiratory failure.
- It is unknown why some critically ill patients recover while some decompensate.
- We identified four potential biomarkers of fibrinolysis and endotheliopathy associated with mortality.
- If validated, these biomarkers may have predictive ability for worse outcomes.

1 | INTRODUCTION

Severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), which is responsible for the novel coronavirus disease 2019 (COVID-19) pandemic, is a newly emergent zoonotic coronavirus that appears to have originated in Wuhan, China.¹ First reported in December 2019, COVID-19 has spread to more than 200 countries and territories, infecting more than 94 million people worldwide and killing over 2 million.²

SARS-CoV-2 is thought to target the angiotensin converting enzyme 2 receptor and heparan sulfate on the surface of alveolar endothelial cells, binding through spike S proteins on the viral envelope.³⁻⁶ Subsequent internalization of the virus diminishes remaining membrane-bound angiotensin converting enzyme 2 receptors, potentially leading to increased angiotensin II levels, further promoting hypoxemia and resulting lung injury.⁷ Consequently, COVID-19 presents as a lower respiratory tract infection, with severe cases progressing to acute respiratory distress syndrome with intravascular and extravascular fibrin deposition.⁸⁻¹¹

Increased rates of systemic thrombotic complications are a prominent feature in COVID-19 patients. Emerging observations suggest that the immunothrombotic responses to SARS-CoV-2 in the lung microvasculature may contribute to disease progression. Fibrin deposition in the lungs, elevated levels of D-dimer, and rates of thrombosis ranging from 5% to 30% despite thromboprophylaxis¹²⁻¹⁶ are hallmarks of COVID-19 pneumonia.¹⁷⁻¹⁹ Therefore, modulation of the immunothrombosis and coagulopathy may prevent lung injury in COVID-19.

Current evidence suggest dysregulation of the coagulation and fibrinolytic systems in COVID-19 patients.²⁰⁻²⁹ Although the coagulation system has been studied extensively,^{30,31} the fibrinolytic system has not been longitudinally evaluated in intensive care unit (ICU) patients with or without COVID-19. To address this gap, we explored the time course of markers of coagulation (fibrinogen, D-dimer, thrombin-antithrombin [TAT] complex), endothelial function (soluble thrombomodulin [sTM]), and fibrinolytic activity (plasminogen, plasminogen activator inhibitor-1 [PAI-1], plasmin-antiplasmin [PAP] complex, thrombin-activatable fibrinolysis inhibitor [TAFI], activated TAFI [TAFIa], clot lysis time) in patients with COVID-19 admitted to the ICU and associations with mortality that may inform future predictive modelling efforts.

2 | EXPERIMENTAL PROCEDURE**2.1 | Study design and setting**

This was a prospective cohort study conducted at a single ICU at an academic tertiary care hospital in London, Canada.³²⁻³⁶ The study was approved by the Western University Human Research Ethics Board and written informed consent obtained. We followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement for cohort studies in the preparation of this manuscript.³⁷

2.2 | Participants

Consecutive patients ≥ 18 years of age admitted to the ICU meeting clinical criteria for suspected COVID-19³⁸ were prospectively enrolled and analyzed. Patients were included from March 16, 2020, to April 24, 2020, corresponding to the initial COVID-19 outbreak in our health region. If not known at clinical presentation, COVID-19 status was confirmed by two positive polymerase chain reaction tests for the SARS-CoV-2 virus. Patients that subsequently tested negative for SARS-CoV-2 were maintained to form a COVID-19(-) critically ill control group. Thus, both groups initially met the same inclusion criteria at the time of ICU admission. Daily blood draws were initiated at the time of ICU admission and were continued up to day 3 for COVID-19(-) patients, and up to day 10 for COVID-19(+) patients. All COVID-19(+) patients were age- and sex-matched with a COVID-19(-) patient from the prospectively collected pool. Previously collected blood samples from a healthy control group were assembled from age- and sex-matched participants held at the Translational Research Centre in London, Ontario.^{39,40}

2.3 | Clinical data

Baseline patient characteristics included age, sex, comorbidities, and presenting chest x-ray findings. Disease severity was classified using the Sequential Organ Failure Assessment (SOFA) and Multiple Organ Dysfunction scores (MODS). Both patient groups were characterized as having confirmed or suspected sepsis diagnosis using

Sepsis 3.0 criteria.⁴¹ Clinical data were prospectively collected including the lowest or worst perfusion-ventilation (P/F) ratio, mean arterial pressure, and standard laboratory values. Recorded interventions included the use of antibiotics or antivirals, systemic corticosteroids, vasopressors, respiratory support, renal replacement, antiplatelet agents, and anticoagulants. Patients that survived to hospital discharge were considered survivors for the purposes of these analyses.

2.4 | Materials

Human tissue-type plasminogen activator (tPA; Alteplase) was purchased from Kingston General Hospital pharmacy. Human α -thrombin was from Enzyme Research Laboratories. A recombinant plasminogen derivative labeled with fluorescein and C₉-maleimide-QSY-labeled fibrin degradation products were prepared as described previously.⁴² The human PAP complex ELISA kit was purchased from Biomatik. Human plasminogen, VisuLize TAFI, TAT complex, and fibrinogen antigen ELISA kits were from Affinity Biologicals Inc. The human PAI-1 total antigen ELISA kit was from Molecular Innovations. D-dimer was quantified using an ELISA kit from RayBiotech. sTM was quantified using the thrombomodulin/BDCA-3 Quantikine ELISA kit from R&D Systems. All of the assays were performed according to the manufacturers' protocols. The intra- and interassay variability of the commercial ELISAs are respectively as follows: PAP complex: 8% and 10%; TAFI: 5.8% and 8%; PAI-1: 5.9% and 6.5%; D-dimer: <12% and <10%; sTM: 2.9% and 6.9%; and fibrinogen: 9.4% and 13.4%. These values for plasminogen and TATs are unknown.

2.5 | Functional assays of fibrinolysis

Clot lysis assays were performed as described previously.⁴³ Briefly, 96-well clear flat-bottom microtiter plates were pretreated for at least 1 h with 0.02 M HEPES, 0.15 M NaCl, pH 7.4 (HBS) containing 1% Tween 80 (Sigma- Aldrich), and washed thoroughly with water. Plasma diluted 1:3 in HBS was added to the wells and clotting and lysis were initiated with 5 nM thrombin and 1 nM tPA, respectively, in the presence of 10 mM CaCl₂, and absorbance was monitored at 405 nm for 2 h at 37°C at 15-s intervals using a SpectraMax M2 plate reader (Molecular Devices). Clotting time and lysis time were determined as the time to reach half-maximal increase and decrease in absorbance as determined by the instrument software.

Functional levels of TAFIa were quantified as described previously.⁴² Briefly, 50 μ l of a solution containing 50 nM recombinant plasminogen derivative labeled with fluorescein and 100 nM C₉-maleimide-QSY-labeled fibrin degradation products in HBS was placed in wells of a 96-well white U-bottom microtiter plate. Baseline fluorescence readings were measured at 1-min intervals at 25°C, with excitation and emission wavelengths of 495 nm and 535 nm, respectively, with a cutoff at 515 nm. After equilibration, a plasma solution (50 μ l) with a final 1:5 dilution was added. Reactions

were monitored for 2 h and TAFIa levels were measured by quantifying rates of fluorescence increase.

2.6 | Statistical analysis

Baseline clinical characteristics were compared between COVID-19(+) patients and age- and sex-matched COVID-19(-) controls. Paired Wilcoxon rank-sum tests were used for continuous variables and Fisher's exact tests for categorical variables. Biomarkers determined on ICU admission day 1 (baseline) were compared in COVID-19(+) patients, COVID-19(-) patients, and healthy controls in a similar manner. Baseline biomarkers between the three groups were further visualized using Fisher's linear discriminant function, a nonparametric dimensionality reduction technique.⁴⁴ Before dimensionality reduction, biomarkers were normalized, and the results were displayed on a biplot.

Individual biomarker trajectories were plotted in COVID-19(+) patients, stratified by survivors and nonsurvivors. Variable selection for modelling was performed using individual repeated measures linear mixed models, comparing log-transformed biomarker values between survivors and nonsurvivors. Mean differences in biomarker values that reached our prespecified *p*-value cutoff of .25 were included, a typical threshold when performing *p*-value screening on a small dataset.⁴⁵ These biomarkers were further analyzed using a more complex mixed model by additionally including the day of measurement in the model and an interaction term between day of measurement and vital status, beginning at the first day of ICU admission. Where the interaction term was nonsignificant, and there was no apparent trajectory difference between groups on visual inspection, the interaction term was removed from the model. First-order autoregressive covariance structures were used for all mixed modelling.

Selected biomarkers were then included in a longitudinal, multivariate *k*-means clustering algorithm (*kml3d* package in R),^{46,47} with the number of known clusters set at two. It was our *a priori* hypothesis that clustering may be able to divide the cohort into higher and lower disease severity clusters. Cluster sizes up to six were also tested and resulted in single membership in clusters, and lower performance scores (Calinski-Harabatz Index).⁴⁸ The machine learning algorithm was naïve to death status or any patient characteristic aside from the selected biomarkers. A sensitivity analysis that excluded one patient who died before day 10 did not change the results. Mean and individual biomarker values, and patient characteristics were described for the two clusters.

Missing biomarker data were imputed with linear interpolation, which is a more prudent method than mean imputation when the values before and after the missing value are known. All analyses were performed using SAS version 9.4 (SAS Institute Inc.) and RStudio (RStudio Inc.). All statistical tests were two-sided, and a *p*-value $\leq .05$ was considered statistically significant. Because this study was primarily exploratory and hypothesis-generating, adjustments for multiple comparisons were not made.⁴⁹

TABLE 1 Patient and clinical characteristics between COVID-19(+) and age- and sex-matched COVID-19(-) critically ill patients

Patient Characteristics	COVID-19(+) (n = 14)	COVID-19(-) (n = 14)	p Value
Age, y	61 (54–67)	58.5 (52.5–63)	.4616
Male	6 (43%)	6 (42.9%)	1
Comorbidities			
Diabetes	5 (35.7%)	5 (35.7%)	1
Hypertension	7 (50.0%)	9 (64.3%)	.7036
Coronary artery disease	2 (14.3%)	2 (14.3%)	1
Congestive heart failure	0	2 (14.3%)	.4815
Chronic kidney disease	2 (14.3%)	1 (7.1%)	1
Cancer	2 (14.3%)	1 (7.1%)	1
COPD	1 (7.1%)	3 (21.4%)	.5956
Chest x-ray findings			
Normal	0	3 (21.4%)	<.001
Unilateral pneumonia	1 (7.1%)	8 (57.1%)	
Bilateral pneumonia	13 (92.9%)	2 (14.3%)	
Interstitial/atypical findings	0	1 (7.1%)	
MODS	4 (3–5.5)	6 (3–8)	.3262
SOFA	4.5 (2–9.25)	6 (4.25–10.5)	.1555
Mean arterial pressure	84 (72.75–97.5)	75.5 (59.25–107.25)	.7695
P/F ratio	107 (65.5–161.675)	172 (137.75–312)	.1514
WBC	8.45 (6.9–16.075)	15.25 (11.05–20.45)	.104
Lymphocytes	0.7 (0.55–1)	1.3 (0.5–1.75)	.03581
Neutrophils	7.3 (5.6–12.55)	12.2 (8.1–15.725)	.2734
Lactate	1.5 (1–2)	1.2 (0.9–1.6)	1
Platelets	206 (133.5–293.75)	201.5 (163.75–259.5)	1
Hemoglobin	121.5 (101.5–134.5)	123.5 (101.75–137.75)	.8752
Creatinine	81.5 (57.5–187)	75 (54.25–113)	.9442
INR	1.2 (1.1–1.3)	1.05 (1–1.125)	.04022
aPTT	28 (25–31)	23 (19.5–25.25)	.006323
Treatments			
Antibiotics	14 (100%)	14 (100%)	1
Antivirals	3 (21.4%)	2 (14.3%)	1
Steroids	3 (21.4%)	5 (35.7%)	.6776
Vasoactive medications	11 (78.6%)	8 (57.1%)	.4197
Renal replacement therapy	2 (14.3%)	1 (7.1%)	1
Antiplatelet agent	5 (35.7%)	7 (50.0%)	.7036
Anticoagulation	13 (92.9%)	14 (100%)	1
Respiratory support			
High-flow nasal oxygen	8 (57.1%)	1 (7.1%)	.01275
NIMV	6 (42.9%)	8 (57.1%)	.7064
Invasive ventilation	10 (71.4%)	11 (78.6%)	1
Died	7 (50.0%)	2 (14.3%)	.1032

Note: Values shown are median (interquartile range) and N (%). Statistical tests used were paired Wilcoxon rank-sum tests and Fisher's exact tests. Abbreviations: COPD, chronic obstructive pulmonary disease; COVID-19, coronavirus disease 19; INR, international normalized ratio; MODS, Multi-organ Dysfunction Score; NIMV, noninvasive mechanical ventilation; P/F ratio, perfusion/ventilation ratio; aPTT, activated partial thromboplastin time; SOFA, sequential organ failure assessment score; WBC, white blood cell

3 | RESULTS

3.1 | Patient characteristics

Over the study period, 14 COVID-19(+) patients were identified in our ICU, as were age- and sex-matched with 14 COVID-19(-) critically ill patients and 14 healthy controls. Patient characteristics are presented in Table 1. Thirteen of the 14 COVID-19(+) patients received anticoagulation treatment with prophylactic dose low-molecular weight heparin (dalteparin; 5000 or 7500 units/day), whereas one patient received acetylsalicylic acid alone (81 mg/d). Four of the 13 patients treated with dalteparin also received acetylsalicylic acid (81 mg/d). Compared with COVID-19(-) patients, those with COVID-19 were significantly more likely to have bilateral pneumonia, lymphopenia, and require high-flow nasal oxygen. The COVID-19(+) cohort also had small but significant increases in international normalized ratio (INR) and activated partial thromboplastin time values. Although not statistically significant, mortality among COVID-19(+) patients was 50% (7/14) compared with 14.3% (2/14) in the critically ill controls.

3.2 | Biomarkers and COVID-19 status

The 10 biomarkers of interest at baseline are presented for each group in Table 2. Univariate comparisons between COVID-19(+) and (-) patients demonstrated no significant differences, except for D-dimer, which was higher in COVID-19(+) patients. Both groups had impaired clot lysis, with approximately one-half of the patients having lysis times above 100 mins. Compared with healthy controls, COVID-19(+) patients had significantly higher levels of PAI-1, sTM, D-dimer, fibrinogen, and TAT; lower levels of plasminogen and TAFI; and longer clot lysis times. Dimensionality reduction was performed using Fisher's linear discriminant function. Figure 1 shows a biplot with the patient groups plotted according to the first two linear discriminants, which explains 77.49% of the variance of the centroids. D-dimer was the strongest contributor toward identifying COVID-19 status, followed by sTM.

3.3 | Biomarkers and survival

Mortality among COVID-19(+) patients in our cohort was 50%, with follow-up extending until hospital discharge. Patient characteristics

TABLE 2 Biomarkers between COVID-19(+) patients, age- and sex-matched COVID-19(-) patients, and age- and sex-matched healthy controls

Biomarker at Baseline	COVID-19(+) (n = 14)	COVID-19(-) (n = 14)	Healthy Controls (n = 14)	COVID-19(+) to COVID-19(-) p Values	COVID-19(+) to Healthy Controls p Values
PAI-1 (ng/ml)	40.9 (30.7-56.5)	52.3 (21.3-90.2)	9.8 (0.7-14.4)	.391	<.001
Plasminogen (μM)	1.2 (1.1-1.4)	1.3 (1.1-1.5)	1.8 (1.4-2.0)	.2958	.01074
PAP (μg/ml)	0.7 (0.5-1.6)	0.6 (0.2-1.5)	0.8 (0.2-1.2)	.6257	.7609
TAFI (nM)	106.9 (77.1-115.0)	121.3 (100.2-132.0)	129.8 (118.8-175.2)	.1353	.008545
TAFIa (pM)	75.9 (23.4-124.3)	162.3 (67.0-217.4)	26.6 (0.0-87.3)	.1353	.2166
sTM (ng/ml)	5.1 (4.2-8.1)	5.7 (3.8-7.4)	3.3 (3.0-3.4)	1	.003052
D-dimer (μg/ml)	3.5 (2.5-5.4)	1.3 (0.9-1.7)	0.7 (0.6-0.8)	<.001	<.001
Fibrinogen (mg/ml)	10.7 (8.9-11.3)	10.3 (8.4-11.2)	7.1 (5.7-7.6)	.9032	.005249
Lysis time (min)					
<20	3 (21.4%)	2 (14.3%)	12 (85.7%)	.7844	.00226
20-59.9	4 (28.6%)	6 (42.9%)	2 (14.3%)		
60-99.9	0	0	0		
100+	7 (50.0%)	6 (42.9%)	0		
TAT (μg/L)	54.2 (38.8-143.5)	30.9 (19.8-98.6)	9.3 (6.3-30.5)	.5016	<.001

Note: Values shown are median (interquartile range) and N (%). Statistical tests used were paired Wilcoxon rank-sum tests and Fisher's exact tests. Abbreviations: COVID-19, coronavirus disease 19; PAI-1, plasminogen activator inhibitor 1; PAP, plasmin-antiplasmin complex; sTM, soluble thrombomodulin; TAFI, thrombin-activatable fibrinolysis inhibitor; TAFIa, activated thrombin-activatable fibrinolysis inhibitor; TAT, thrombin-antithrombin complex

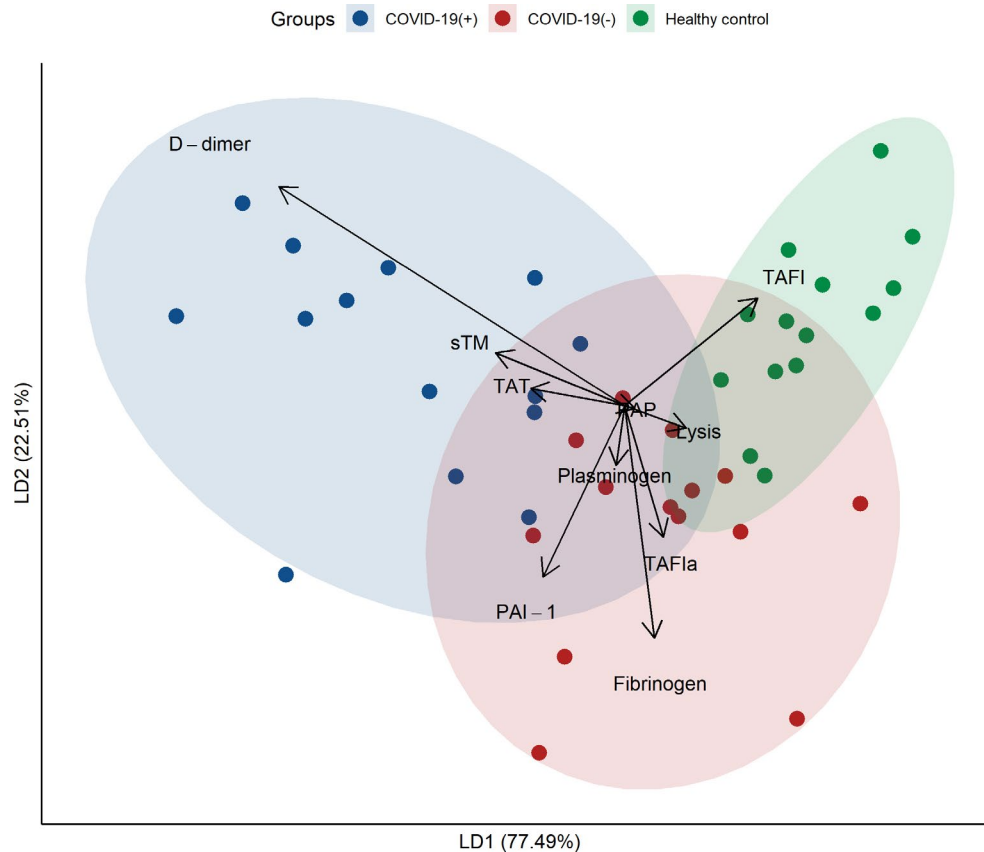


FIGURE 1 Biplot from Fisher's linear discriminant function, showing the three patient groups in two dimensions based on the baseline coagulopathy biomarkers. The first linear discriminant axis explains 77.49% of the variance of the centroids, on which D-dimer is the highest contributor. PAI-1, plasminogen activator inhibitor 1; PAP, plasmin-antiplasmin complex; TAFI, thrombin-activatable fibrinolysis inhibitor; TAFIa, activated thrombin-activatable fibrinolysis inhibitor; sTM, soluble thrombomodulin; TAT, thrombin-antithrombin complex

in survivors and nonsurvivors are presented in Table S1. As expected, nonsurvivors had trends toward more comorbidities and more abnormal laboratory values and were more likely to require invasive ventilation and vasoactive medications.

We explored the relationships between our 10 biomarkers of interest and survival. Individual trajectories for all biomarkers stratified by survivors and nonsurvivors are presented in Figure 2. After variable screening, four biomarkers that had associations with death that met the p value cutoff of $<.25$: clot lysis time, sTM, PAI-1, and plasminogen (Table S2). More complex repeated-measures mixed models were specified for these biomarkers (Figure 3). At baseline, there was a significant difference between survivors and nonsurvivors in the mean sTM values ($p = .0423$). There was a significant temporal trend among all COVID-19(+) patients for mean plasminogen ($p = .0003$) and sTM ($p < .0001$) values, with both biomarkers increasing over time. Individual interaction term parameters indicated there was significantly higher mean clot lysis time among nonsurvivors compared with survivors at day 10 ($p = .0041$). Although individual interactions were nonsignificant for day-to-day comparisons in plasminogen, the overall inclusion of an interaction was significant ($p = .0495$), suggesting the two groups had different trajectories.

These four biomarkers were then entered into an unsupervised longitudinal k-means clustering algorithm. This algorithm assigned the 14 COVID-19(+) patients into two clusters based only on the joint trajectories of the biomarkers (Figure 4). One cluster represented low mortality (cluster A; 30% [3/10]), whereas the other cluster represented high mortality (cluster B; 100% [4/4]). Patients in cluster B also had trends toward higher SOFA scores, MODS white blood cell count, and creatinine. This is consistent with creatinine being identified as a mortality predictor using metabolomics analysis.³⁴ More patients in cluster B required vasoactive medications and invasive ventilation. The biomarker trends that defined the severe disease/high mortality cluster were longer clot lysis times, higher levels of sTM and PAI-1, and lower levels of plasminogen.

4 | DISCUSSION

This initial, hypothesis-generating study is part of a larger effort to investigate the potential of markers of the coagulation and fibrinolytic systems to predict clinical courses and outcomes in critically ill COVID-19(+) patients (COVID-BEACONS [COVID-19: Comprehensive biomarker analysis for prediction of clinical course

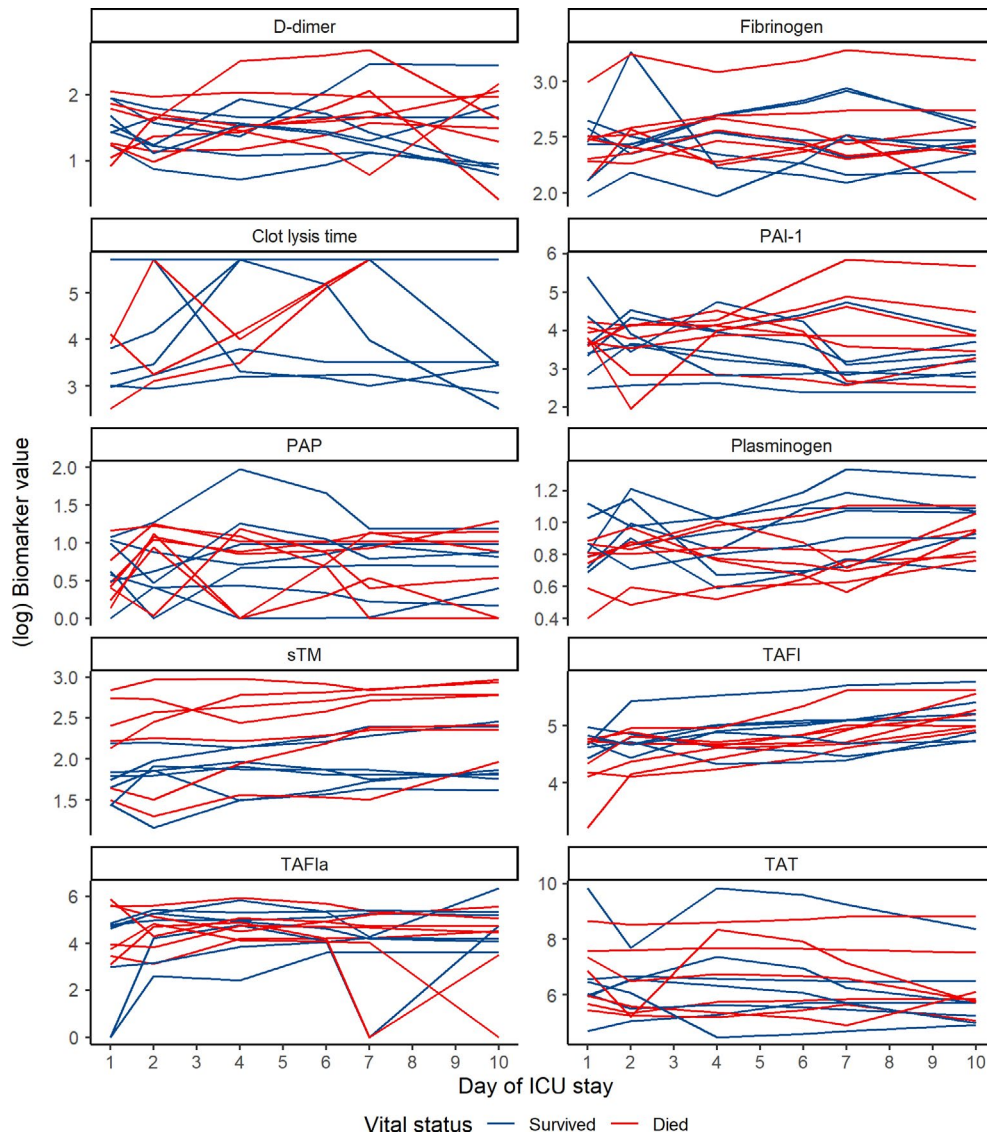


FIGURE 2 Individual biomarker trajectories of COVID-19(+) patients, stratified by survivors and nonsurvivors. COVID-19, coronavirus disease 19; PAI-1, plasminogen activator inhibitor 1; PAP, plasmin-antiplasmin complex; TAFI, thrombin-activatable fibrinolysis inhibitor; TAFIa, activated thrombin-activatable fibrinolysis inhibitor; sTM, soluble thrombomodulin; TAT, thrombin-antithrombin complex

and patient treatment outcomes]). To the best of our knowledge, our study using repeated measure modelling and unsupervised machine learning is the first of its kind to attempt to provide trajectory profiles of important biomarkers of coagulation and fibrinolysis in critically ill COVID-19(+) patients that are associated with death. Similar analyses on endotheliopathy were reported by Fraser et al.³⁵ using the same cohort. We report evidence of longitudinally increased coagulation, impaired fibrinolysis, and endothelial activation. Independent analyses of the various biomarkers suggest that there are differences in the baseline and time-dependent trajectories of plasminogen, PAI-1, sTM, and clot lysis times between survivors and nonsurvivors. These results are supported by our unsupervised longitudinal clustering algorithm, which similarly identified longer clot lysis times (i.e., inhibition of fibrinolysis), higher levels of PAI-1 and sTM, and lower levels of plasminogen in clusters

of COVID-19(+) patients with higher mortality and signs of more severe disease.

sTM had the strongest longitudinal association with death in the ICU. Compared with those that survived, patients that died had elevated baseline and longitudinal sTM values. sTM levels increased for all COVID-19 patients during their ICU stay. These data corroborate our current understanding of COVID-19 disease pathophysiology that seemingly involves endothelial dysfunction, which is reflected by increased levels of (1) solubilized forms of membrane proteins such as thrombomodulin and syndecan-1 and (2) von Willebrand factor, which is stored in Weibel-Palade bodies of endothelial cells.³⁵

Based on other reported studies that suggest inhibition of fibrinolysis,^{20,25} we also hypothesize that inhibitors of fibrinolysis (such as PAI-1 and α_2 -antiplasmin) are involved. The implication is that although coagulation (as demonstrated by elevated TATs) and

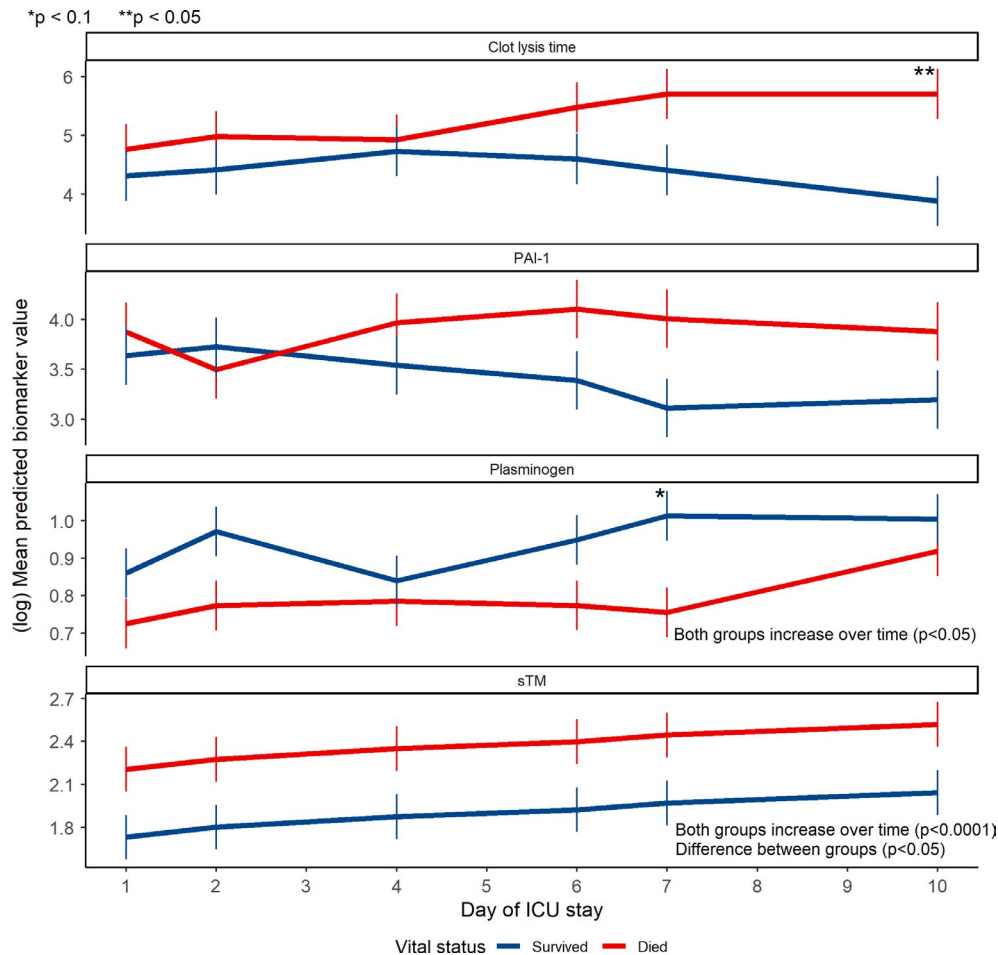


FIGURE 3 Mean predicted trajectories of select biomarker in COVID-19(+) survivors and nonsurvivors as determined by repeated measures linear mixed models. Error bars indicate standard errors. Differences between groups at individual time points were determined by interaction terms between day of measurement and vital status (* $p < .1$; ** $p < .05$). Overall changes over time were determined by tests of fixed effects (ANOVA). The difference between groups for sTM was the main effect for vital status, with no interaction term included. COVID-19, coronavirus disease 19; PAI-1, plasminogen activator inhibitor 1; sTM, soluble thrombomodulin

fibrinolysis are both increased, inhibition of fibrinolysis tips the balance toward overall thrombosis. In our cohort of COVID-19(+) patients, we demonstrate that PAI-1 levels are elevated, and the values are higher in those with worse outcomes, although this difference did not reach statistical significance. This is in agreement with the genomic analyses performed by Gill et al.,³⁶ suggesting that transcription of *SERPINE1*, the gene for PAI-1, is up-regulated in this COVID-19(+) patient cohort compared with COVID-19(-) critically ill patients. Increased PAI-1 levels are consistent with our functional findings whereby overall fibrinolysis measured by clot lysis times is impaired, particularly in the nonsurvivors. The impairment of clot breakdown in COVID-19 could potentially reflect alterations in the clot structure because of components such as neutrophil extracellular traps or cell-free DNA,⁵⁰ which has been reported to possess antifibrinolytic properties.⁵¹ Taken together with other factors that may promote coagulation such as endothelial dysfunction and inflammation,^{35,52-54} platelet hyperactivity,¹⁴ and tissue factor expression in monocytes upon infection,^{14,55} may all be involved in the overall thrombotic phenotype.

Other factors of fibrinolysis were investigated. The plasminogen level in the nonsurvivor group is 50% lower than that in the survivor group, suggesting consumption and/or activation of plasminogen may be involved in COVID-19, which likely generates elevated D-dimer levels. However, it is unclear why enhanced plasminogen activation (i.e., plasmin generation) would not be accompanied by elevated levels of the PAP complex. One explanation is that the PAP complex has a short half-life (~0.5 days), which fails to capture acute plasminogen consumption. Similar disparities were observed between TAFI and TAFIa. Levels of TAFIa not mirroring early consumption of TAFI may also be due to short functional half-life of TAFIa, which is ~7 min *in vivo*.⁵⁶ Another potential mechanism is that neutrophil-derived elastase is elevated in COVID-19 patients,^{57,58} which was also observed in this same cohort.^{34,36} Elastase modifies plasminogen to generate mini-plasminogen, a truncated form of plasminogen. Although activation of full-length or mini-plasminogen by tPA is comparable,⁵⁸ inhibition of activated mini-plasmin by α_2 -antiplasmin is 100-fold slower than the full-length plasmin.^{59,60} It is also possible that the PAP ELISA kit is not sensitive toward

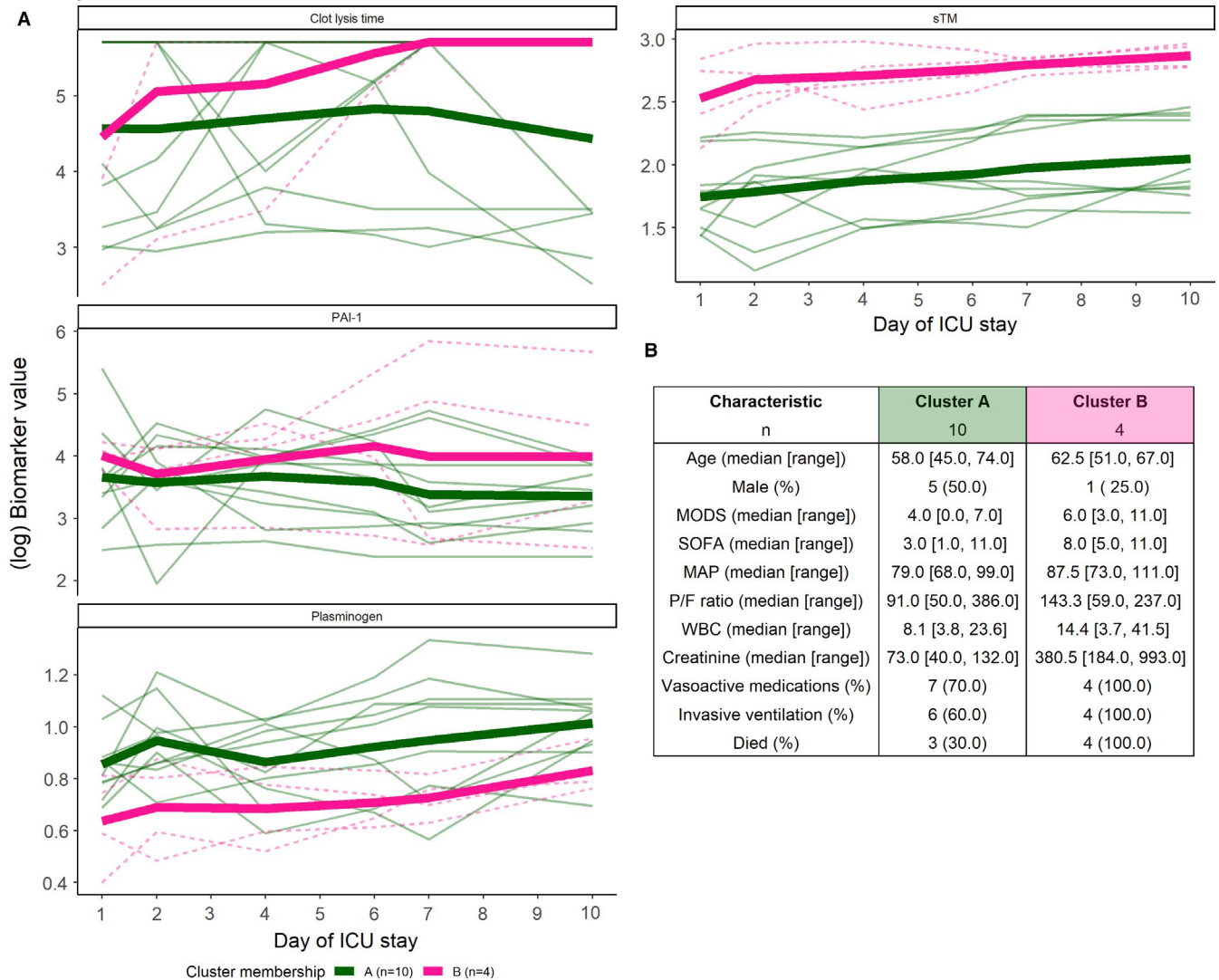


FIGURE 4 (A) COVID-19(+) patients clustered into two groups: cluster A (green, solid line) and cluster B (pink, dashed line) based on the selected biomarkers using a longitudinal k-means clustering algorithm. Mean (bold lines) and individual trajectories are displayed. (B) Select patient characteristics in each cluster. COVID-19, coronavirus disease 19; PAI-1, plasminogen activator inhibitor 1; sTM, soluble thrombomodulin

mini-plasmin-antiplasmin complex; however, this was not confirmed in this study.

Several studies to date have reported elevated levels of D-dimer in COVID-19(+) patients, particularly those with moderate to severe disease.^{19,61,62} We confirmed elevated D-dimer levels in our cohort of COVID-19(+) patients, which were significantly higher than the levels in both COVID-19(-) critically ill patients and healthy controls. Although D-dimer was the single largest identifier of COVID-19(+) status, D-dimer was not associated with death. Our findings suggest that D-dimer lacks prognostic power to characterize the clinical course of patients with COVID-19, which is consistent with the apparent discrepancy between elevated levels and the thrombotic phenotype of COVID-19. These analyses also support earlier reports that suggest D-dimer testing upon hospital admission may not be a reliable predictor of thrombotic complications or treatment outcomes, demonstrated by a modest sensitivity and specificity of ~85%.^{12,19} D-dimer level, however, was reported to decrease upon intensive prophylactic

and therapeutic anticoagulation in COVID-19 patients, which correlated with reduced need for mechanical ventilation, increased gas exchange, and improved 30-day mortality outcome.^{63,64} It is possible that with more rigorous and standardized reporting,⁶⁵ along with longitudinal monitoring, D-dimers may have more prognostic value.

Fibrinolytic factors such as plasminogen⁶⁶⁻⁶⁸ and uPA⁶⁹ have been implicated in wound healing, whereas fibrinogen,^{70,71} TAFI,⁷²⁻⁷⁴ plasminogen,⁷⁵⁻⁷⁷ and its receptors^{78,79} have been implicated in inflammation. It is unclear how the altered fibrinolytic factor levels found in the critically ill COVID-19 patients would affect the clinical course and outcome by impacting systems beyond coagulation and fibrinolysis. Increasing the sample size will provide additional mechanistic details, whereas further defining the potential prognostic value of other fibrinolytic factors such as TAFI, which was excluded in our exploratory analyses but was approaching the *p* value cutoff (*p* = .29). Analyses of additional cohorts will also be needed to validate our initial findings.

There are limitations to this study. The patient population consisted of a small cohort recruited from a single ICU relatively early in the COVID-19 pandemic. This phase was characterized by concentrated outbreaks among vulnerable populations such as long-term care residents, and ICU care was not yet standardized among COVID-19 patients. This may be reflected in the unusually high mortality rate in this cohort. Therefore, it is unclear how our results will generalize to other patient populations. Furthermore, ELISAs may not be widely available because of cost, whereas carrying out clot lysis assays may require experienced technical staff, making quantification of these biomarkers challenging in a hospital setting. We attempted to address the small sample size by refraining from multivariable regression, and using a conservative *p* value cutoff for variable selection. Finally, although the results of this work have implications for the understanding of coagulopathy of COVID-19, we did not directly measure thrombotic outcomes. This will be the focus of future work.

Taken together, our findings improve the mechanistic understanding of COVID-19-associated coagulopathy. If validated, our findings have the potential to make direct impact in COVID-19 prognostication by identifying patients that are at greater risk of decompensation based on the joint trajectories of key biomarkers of fibrinolysis and endothelial dysfunction.

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CONFLICT OF INTEREST

COVID-BEACONS investigators include Paul Y. Kim, Calvin H. Yeh, Matthew Castelo, Bettina Hansen, Bernardo Trigatti, Jeffrey I. Weitz, Patricia C. Liaw, Alison Fox-Robichaud, Peter L. Gross, Geoff Werstuck, Colin A. Kretz, Keyvan Karkouti, Stuart McCluskey, and Claudia dos Santos. Dr. Weitz has received personal fees from Bayer, Boehringer Ingelheim, Bristol-Myers Squibb, Daiichi-Sankyo, Ionis Pharmaceuticals, Janssen, Merck, Novartis, Pfizer, and Portola, outside the submitted work. All other authors declare

that they have no conflicts of interest with the contents of this article.

AUTHOR CONTRIBUTIONS

Douglas D. Fraser, Marat Slessarev, and Claudio Martin collected the patient samples and associated deidentified clinical data. Calvin H. Yeh, Scott McGilvray, Peter L. Gross, Patricia C. Liaw, Jeffrey I. Weitz, and Paul Y. Kim designed the experiments. Ganeem K. Juneja, Samantha E. Cerroni, James E. Chessum, Joel Abraham, Erblin Cani, and Douglas D. Fraser generated the data. Matthew Castelo, Bettina E. Hansen, and Paul Y. Kim analyzed the data.

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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