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# Fall-related accidents among hikers in the Austrian Alps: a 9-year retrospective study

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#### ABSTRACT

**Objective** To analyse the circumstances of fatal and non-fatal mountain hiking accidents caused by falls.

Methods The study was designed as a retrospective analysis. Mountain hiking accidents caused by falls were documented during a 9-year period (2006-2014). After screening of all data for potential exclusion criteria the final sample size of 5368 accidents and 5665 victims was included into the analyses. Main outcome measures were details about accidents, victims, type of trail and surface. Results The annual number of accidents showed a continuous increase from 467 in 2006 to 700 in 2014. In total, 5.8% of all victims died during the 9-year period. 75.3% of the hikers fell during descent and 80.9% of the victims had their accident on a marked hiking trail or small path. The sex ratio for non-fatal accidents was 55% female and 45% male; for fatal accidents the female-to-male ratio was 28%:72%. Mean age of all victims was 52.5±17.5 years and victims of fatalities were about 5 years older compared with victims of non-fatal accidents (57.5±16.5 vs 52.2±17.5 years, P<0.01).

**Conclusion** Descent is the most risky part for accidents caused by falls during mountain hiking. Male hikers are at greater risk for fatalities independent of age and this is associated with accidents occurring in pathless terrain. The death rate from falls was 6%. We recommend a critical self-assessment of the individual capabilities and mountain hiking skills and adequate planning of the hiking tours for mountain hikers.

#### INTRODUCTION

Mountain sport activities are practised by an increasing number of people. Worldwide, an annual sum of 100 million high-altitude tourists was assumed and the number of tourists visiting altitudes above 2000m in the Alps was estimated at about 40 million people per year.<sup>1</sup> Mountain hiking is the most popular mountain sport activity in the Austrian Alps during the summer season attracting several million hikers each year including young and old people with and without pre-existing medical conditions.<sup>2 3</sup> There is no reported precise definition of mountain hiking in the scientific literature.

## What are the new findings?

- About 75% of falls during mountain hiking occur during descent. The death rate from falls is 6%.
- Among fall-related fatalities about 72% are male and about 28% are female.
- Hikers over the age of 50 years are over-represented among non-fatal and fatal fall-related accidents.

## How might it impact on clinical practice in the near future?

Mountain hikers should be informed on the following issues:

- The descent is a risky part for falls during mountain hiking.
- Accidents predominantly happen in good weather with clear trail conditions; internal risk factors play an important role.

For the present paper, mountain hiking is defined following the Tyrol Declaration on Best Practice in Mountain Sports as walking in a mountainous environment predominantly on marked trails and paths.4 5 Mountain hiking can require to cross boulder or snow fields or to climb in rocky terrain up to UIAA (International Climbing and Mountaineering Federation) grade 1 over short distances. Mountain hiking does not include passing glaciers or rock climbing in a rope party. From a physiological point of view, mountain hiking is generally characterised as a longlasting activity including large muscle mass with predominantly moderate intensity which is considered beneficial for health.<sup>6</sup> Furthermore, positive effects of mountain hiking on psychological parameters have been reported,<sup>7</sup> and the exposure to moderate altitudes may add specific health benefits even in vacationers with pre-existing chronic diseases.<sup>8</sup> Therefore, mountain hiking can be



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recommended as suitable for health prevention also for elderly persons.<sup>9</sup>

The other side of the undoubtedly positive health effects of mountain sports activities, however, is the risk of accidents, emergencies, and even fatalities. The majority of scientific studies in mountain sports during the winter season focused on mortality and injury rates during alpine skiing<sup>10-14</sup> and related risk factors<sup>10 15</sup> or on avalanche accidents.<sup>16 17</sup> Most evaluations in mountain sports during the summer season concentrated on climbing or mountaineering accidents;<sup>18-21</sup> however, specific data on mountain hiking accidents are scarce. Burtscher *et al* estimated a death rate of about 4 deaths per 100 000 hikers per year during mountain hiking in Austria and identified risk factors for cardiovascular emergencies and sudden cardiac deaths.<sup>2 22</sup> However, about 45% of all fatal and non-fatal accidents during mountain hiking are caused by falls<sup>23</sup> and this type of accidents and victims has not been analysed in detail yet.

According to the four-step sequence of injury prevention research,<sup>24</sup> knowledge on the specific accident circumstances would be an important prerequisite to develop evidence-based preventive measures for mountain hikers and to improve safety in this popular sport. Therefore, the goal of the present study was to examine the circumstances of fatal and non-fatal mountain hiking accidents caused by falls and the characteristics of the victims with special focus on sex and age-specific differences.

#### **METHODS**

#### **General study design**

The present study was designed as a retrospective analysis of documented falls in mountain hikers during a 9-year period (2006–2014).

#### Data collection and primary data source

The primary data source was the data base for accidents and emergencies in the mountains of the Austrian Alpine Police (part of the Austrian Ministry of the Interior). Instructed staff of the Austrian Alpine Police continuously recorded details of accidents and emergencies during activities in the Austrian mountains during their routine work using standardised software forms. During the summer season, this procedure included nearly all accidents and emergencies with an emergency call via emergency call centre or the local mountain rescue service and all fatalities that occurred in the mountains. In most cases, information was received by telephone interview with the victim and/ or a person at the accident scene. In a few cases (ie, fatal accidents), information was gained by onsite inspection by staff of the Austrian Alpine Police.

The following details of each accident were entered in the database: categorised type of activity during which the accident occurred (eg, 'mountain hiking', 'alpine skiing', and so on), the location of the accident (federal province, community, mountain and route, and approximated altitude), weather conditions at the time of the accident (eg, sunny, overcast sky, foggy), the number of involved persons/victims and a short description of the accident's sequence of events. The following details for each victim were recorded: sex, age, nationality, membership in an alpine club ('yes' or 'no'), alcohol intake at the day of the accident ('yes' or 'no'), terrestrial rescue and/ or rescue by helicopter, degree of injury ('unharmed', 'slightly injured', 'seriously or life-threatening injured' or 'dead'). It was registered if the victim had the accident during the ascent or descent (if possible) and information to the type of trail ('drive or forest way', 'marked hiking trail or a small path', 'pathless terrain', 'others') and the surface ('grass', 'rocks/rubble', 'snow or ice' or 'others') was recorded. Additionally, the injury locations were noted according to Burtscher et al: polytrauma/ multiple injury locations, head, shoulder/back, chest/ abdomen, arm/hand, hip/pelvis, thigh, knee, lower leg, ankle/foot.<sup>25</sup>

#### **Data transfer**

The Austrian Board of Alpine Safety had access to the database of the Austrian Alpine Police, and the Austrian Alpine Police has approved to use the anonymised data for analyses. For the present study, the Austrian Board of Alpine Safety provided a data set including all recorded accidents (non-fatal and fatal), which were categorised to the activity 'hiking/mountaineering' in the Austrian Alps during the summer seasons (1 May to 31 October of each year) 2006–2014 to the Department of Sport Science (University of Innsbruck, Austria) for data analysis. Thereby, a data set including 5680 accidents comprised the primary data source.

#### Data screening and data selection

In a first step, all accidents were screened by three authors (MF, EP and MN) for potential exclusion criteria. Exclusion criteria were: (A) the type of activity at the time of the accident did not meet the definition of mountain hiking mentioned above (activity-based exclusion, eg, high-altitude mountaineering or mushroom collection), and (B) the accident was not caused by a fall or the fall was a consequence of another event (circumstance-based exclusion, eg, a fall as a consequence of a heart attack). Thereby, 312 (5.5%) accidents were excluded from the subsequent statistical data analyses resulting in the final sample size of 5368 accidents including 5665 victims. Table 1 shows the details of the reasons for exclusion.

#### **Statistics**

SPSS software (V.24, IBM) was used to run statistical analyses. Descriptive data analysis of the selected accidents was conducted to characterise the victims and the circumstances of the accidents. Results are presented as means±SD or frequencies (percentage) as appropriate. Reduced sample sizes, caused by partially incomplete data sets, are indicated. Comparisons between subgroups (eg, female vs male) were performed by  $\chi^2$  tests (nominal scaled variables) or independent t-test (continuous

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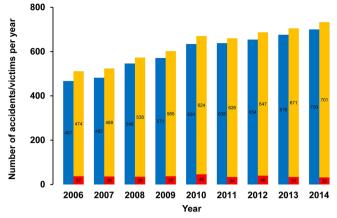
Table 1Details on excluded cases (n=312). Values are absolute and relative (percentage of all excluded cases) frequencies					
Reason for exclusion	Number of cases				
Activity-based exclusion (activity at the time of the accident not defined as hiking)					
Other mountain sport activity					
High-altitude mountaineering (glacier)	67 (21.5%)				
Climbing or fixed-rope climbing (via ferrata)	14 (4.5%)				
Mountain running	8 (2.6%)				
Geocaching	4 (1.3%)				
Mushroom/berry collectors, and so on	71 (22.8%)				
Occupational activity (eg, professional mountain guides)	19 (6.1%)				
Excursions of schools or youth groups	13 (4.2%)				
Other activity: playing children, accidents in a hut, and so on	65 (20.8%)				
Circumstance-based exclusion (cause of the accident was not defined as a fall)					
Accident was not a fall (eg, rescue after getting lost, exhaustion)	28 (9.0%)				
Fall was caused by an external reason (eg, animal attack, cardiovascular or metabolic event, rock fall)	23 (7.4%)				

variables). P values <0.05 were considered to indicate statistical significance.

#### RESULTS

## **Characteristics of accidents**

Out of the nine federal provinces of Austria, most of the accidents occurred in Tyrol (47.9%), followed by Vorarlberg (14.9%), Salzburg (9.7%), Upper Austria (8.8%), Styria (7.6%), Carinthia (5.6%) and Lower Austria (5.6%), and Burgenland (0%) and Vienna (0%). The annual number of accidents showed a continuous increase from 467 in 2006 to 700 in 2014 (see figure 1). The altitude of the accidents ranged from 254 to 3200 m (1667±557m). With respect to different altitude zones, the frequency of accidents showed the following distribution: 656 (13.2%) below 1000 m, 2857 (57.6%) at 1000–1999 m, 1419 (28.6%) at 2000–2999 m and 32 (0.6%) at 3000 m or above (n=4964). Emergency calls were predominantly made by mobile phone (77.1%). Up to 4.1% (218) of the accidents occurred in hikers guided by a certified mountain guide, a hiking guide or a person with a comparable official education. For 90% (4689 out of 5209) of the accidents, good weather conditions, defined as sunny or clouded sky without precipitation, fog, darkness or other unfavourable conditions, were recorded. A total of 5120 (95.4%) accidents were caused by falls with one person involved, followed by 215 (4.0%)



**Figure 1** Annual number of accidents (blue columns) and victims separated for fatal (red columns) and non-fatal (yellow columns) outcomes during the 9-year period. Values are absolute frequencies.

accidents with two victims and 33 (0.6%) accidents with more than two victims.

#### **Characteristics of accident victims**

In accordance to the annual number of accidents, the number of victims continuously increased from 2006 to 2014. In total, 331 (5.8%) victims died during the 9-year period with a relatively stable absolute number of fatalities per year (figure 1). This development resulted in a declining mortality index (proportion of deaths/accident victims) from 7.2% in 2006 to 4.4% in 2014. Among the non-fatally injured persons (n=5334), 1720, (32.2%) were unharmed or slightly injured, 2424 (45.4%) were seriously or life-threatening injured and 1190 (22.3%) were injured to an unknown degree. Victims were predominantly from Germany (46.7%), Austria (40.7%) and Benelux countries (4.8%) (n=5632). Reported alcohol intake at the day of the accident was recorded in 1.7%of the victims (n=5260) with no significant difference between non-fatally and fatally injured persons.

In total, 75.3% of hikers fell during descent, 20.0% during ascent and 4.7% during other activities that could not be defined as ascent or descent, for example, level walking (n=5517). The majority of hikers had their accident on a marked hiking trail or small path (80.9%), 14.5% in pathless terrain, 3.6% on a drive or forest way and 1.1% other conditions (n=5615). Comparing non-fatal versus fatal events, a shift in proportions from drive or forest way (3.7% vs 0.6%) and marked hiking trail or small path (82.0% vs 62.5%) to pathless terrain (13.2% vs 35.0%) could be observed (P<0.01, n=5615). Rocks or rubble were the most frequent surfaces where hikers had an accident (60.8%), followed by grass (13.9%), snow or ice (6.2%) and other surfaces (19.0%)(n=5457). Concerning the most frequent injury locations among the fatalities (n=309), 44.3% of injuries affected multiple body parts (polytraumatic injuries) and 43.4% the head. The pattern of injury locations in non-fatal accidents (n=5055) was significantly different compared **Table 2** Circumstances of falls separated for female and male victims. Values are absolute (relative) frequencies. P values refer to differences between women and men by  $\chi^2$  test

	Female victims	Male victims	P value	n
Activity				
Ascent	537 (18.3)	569 (22.1)	<0.01	5510
Descent	2268 (77.1)	1879 (73.1)		
Other activity	135 (4.6)	122 (4.7)		
Alcohol intake	15 (0.5)	82 (3.4)	<0.01	5254
Type of terrain				
Drive or forest way	132 (4.4)	65 (2.5)	<0.01	5608
Marked hiking trail or path	2535 (84.6)	2003 (76.7)		
Pathless terrain	301 (10.0)	511 (19.6)		
Other conditions	30 (1.0)	31 (1.2)		
Surface				
Grass	398 (13.8)	362 (14.1)	<0.01	5450
Rocks or rubble	1714 (51.7)	1602 (48.3)		
Snow or ice	139 (4.8)	201 (7.9)		
Other surface	640 (22.1)	394 (15.4)		

with fatalities: 37.8% ankle and foot, 12.8% head, 10.0% lower leg and 38.8% other locations (P<0.01).

#### Sex-specific characteristics

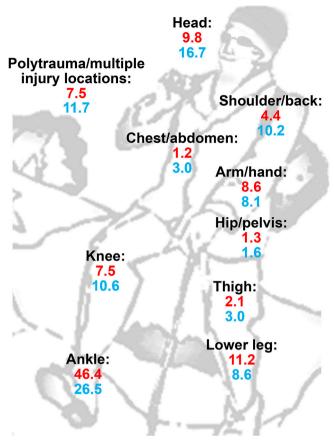
Out of 5658 victims, 3017 (53.3%) were female and 2641 (46.7%) were male . There were sex-specific differences between non-fatal and fatal accidents (P<0.01): Within non-fatal accidents 2925 women (54.9%) and 2402 men (45.1%) were represented (n=5327) whereas men were predominant compared with women in accidents with fatal outcome (239 (72.2%) vs 92 (27.8%), n=331). Circumstances of falls separated for female and male victims are shown in table 2. Injury locations did not differ between sexes in fatal accidents; however, among non-fatal accidents injury locations significantly differed in female compared with male victims (figure 2).

#### Age-specific characteristics

Mean age of all victims was  $52.5\pm17.5$  years (n=5632) and victims of fatalities were about 5 years older compared with victims of non-fatal accidents ( $57.5\pm16.5$  vs  $52.2\pm17.5$  years, P<0.01, n=5632). Figure 3 shows an age and sex-dependent distribution of accident victims across fatal and non-fatal accidents.

#### DISCUSSION

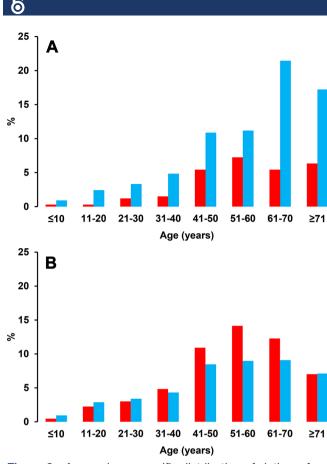
This study extends the knowledge on fall-related accidents in mountain hikers. The main results include: (A)



**Figure 2** Percentages of injury locations for non-fatal fall-related accidents in female (red) versus male (blue) mountain hikers. There were significant differences (P<0.01) in the injury locations between women (n=2825) and men (n=2223).

an increase in the number of non-fatal injured victims and a relatively stable number of fatalities from 2006 to 2014, (B) a predominance of falls on rocks or rubble and during descent, and (C) sex and age-specific characteristics in the circumstances of falls.

Theincrease in non-fatalities (about 50 %) from 2006 to 2014, recorded by the Austrian Alpine Police, could be caused by the increasing popularity of mountain hiking in the Austrian Alps. Although the exact number of mountain hikers and its development remains elusive,<sup>26</sup> there is evidence of a growing popularity during the last two decades. The number of members of the Austrian and the German Alpine Association (OeAV and DAV, respectively) may be considered as a surrogate for the numerical development in mountain sport active individuals in the Austrian Alps. Both societies showed an increase in members of 49% (OeAV) and 44% (DAV) from 2006 to 2014.<sup>27 28</sup> This development can be interpreted as an increase in the population at risk for mountain sport accidents to the same extent as the number of accidents increased during this period. However, the present data include only accidents captured via an emergency call which was done in 77.1% by mobile phone. It might be possible that improved mobile phone network



**Figure 3** Age and sex-specific distribution of victims of fatal (A) and non-fatal accidents (B). Red columns=women, blue columns=men. Values are relative frequencies and refer to the proportion within fatalities (n=331) and within non-fatalities (n=5295), respectively.

availability in mountainous regions has facilitated emergency calls and has contributed to the higher number of recorded non-fatal accidents. Nonetheless, the relatively stable number of fatalities does not follow the trend in non-fatal events and is in line with previous reports.<sup>21</sup> The calculated mortality index decreased by about 40% from 2006 to 2014 and the actual value is comparable to data reported from France for hiking on paths (4%) but lower compared with hiking off paths or mountaineering (10%–15%).<sup>26</sup> This development may indicate that mountain hiking became safer during the evaluated period with respect to fatal falls.

The observation that most accidents occurred below 3000 m on marked hiking trails or small paths and in good weather conditions is likely due to the fact that this is the preferred environment of the majority of hikers in the Alps. The vast majority of the accidents happened when hiking on trails or paths with rocks or rubble and during the descent. Although the reasons and mechanisms cannot be elucidated by the present data, it can be speculated that factors like the unfamiliar trail surfaces in a mountainous environment and the muscular load of downhill walking may play a role. Since many hiking trips start with uphill walking followed by the

descent, a general muscular fatigue may be additionally involved.<sup>29 30</sup> Furthermore, alcohol and/or medication intake, both common among mountain hikers, can increase risk of falling.<sup>31 32</sup> Extended snow fields can challenge mountain hikers especially at the beginning of the summer season and usually become less frequent in the course of the summer. Although the proportion of falls on snow and ice seems to be relatively low (6.2%), the absolute number of about 40 accidents per year cannot be neglected. Since most of the mountain hikers are not skilled in passing hard snow without specific equipment, the use of light crampons or spikes may be effective to prevent such accidents.

The sex-specific differences seem to be of specific interest for practical application because women were more frequent among non-fatal injured victims but under-represented among fatalities compared with men. Although a direct calculation of sex-specific risks is not possible, the following comparison can help interpret the sex-specific differences. Assuming a distribution of 39.8% women and 60.2% men in the general population of hikers as a reference for hikers without falls,<sup>3</sup> odd ratios (OR) for female compared with male hikers can be calculated: OR 1.73 for falls in general, OR 1.84 for non-fatal falls and OR 0.58 for fatal falls. The higher risk for non-fatalities in women is in contrast to the results of evaluations in elderly populations in nursing homes and hospitals.<sup>33</sup> The higher risk for fatal falls in male compared with female hikers may be due to the fact that male hikers have more accidents in pathless terrain and show a higher risk-taking behaviour compared with female hikers.<sup>34</sup>

At least three limitations have to be addressed. First, the database of the Austrian Alpine Police includes only accidents followed by an emergency call. This results in a selected sample with more severe injuries and it is very likely that falls with slight injuries and in conditions where victims were able to descend on their own were not included. As a consequence, the real number of accidents, especially involving slightly injured persons, may be underestimated. Second, information of accidents and victims was recorded during routine work by staff of the Austrian Alpine Police. On the one hand, this procedure ensures a highly standardised data input by trained staff. On the other hand, information has to be limited for organisational reasons. Third, the population under risk in mountain hiking cannot be quantified with sufficient accuracy and frequency as well as duration of exposure remains unknown. Therefore, real risk calculations cannot be provided based on the present data.

In conclusion, falls are a relevant cause of fatal and non-fatal accidents in mountain hikers. Despite some limitations, practical recommendations for mountain hikers can be derived from the present data. Mountain hikers should be aware that the descent, associated with many hikers' unfamiliar eccentric muscular strain, is the most risky part of a mountain hiking tour for accidents caused by falls. An adequate tour planning with time reserves and regular breaks could probably reduce the risk of falls—especially in women and persons over 40 years of age.

In general, male hikers are at greater risk for fatalities independent of age but associated with accidents in pathless terrain. A critical self-assessment of the individual capabilities and mountain hiking skills as well as adequate selection and planning of the hiking tours seem to be important for mountain hikers. Since the present study can only provide information on accidents and circumstances, future research should focus on the identification of risk factors for falls in mountain hikers as a next step in accident prevention.<sup>24</sup> Therefore, knowledge on the specific internal (eg, fatigue, fitness level, experience) and external circumstances (eg, underground, altitude) of falls during mountain hiking is of utmost importance to identify risk factors and to develop evidence-based preventive measures.

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Patient consent Detail has been removed from this case description/these case descriptions to ensure anonymity. The editors and reviewers have seen the detailed information available and are satisfied that the information backs up the case the authors are making.

Ethics approval Board for Ethical Questions in Science of the University of Innsbruck, Austria (Certificate No 36/2015).

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