


# Incidence and seasonality of type 1 diabetes: a population-based 3-year cohort study using the National Database in Japan

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**To cite:** Nishioka Y, Noda T, Okada S, *et al.* Incidence and seasonality of type 1 diabetes: a population-based 3-year cohort study using the National Database in Japan. *BMJ Open Diab Res Care* 2020;**8**:e001262. doi:10.1136/bmjdr-2020-001262

► Supplemental material is published online only. To view please visit the journal online (<http://dx.doi.org/10.1136/bmjdr-2020-001262>).

Received 7 February 2020  
Revised 16 May 2020  
Accepted 6 June 2020



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## ABSTRACT

**Introduction** To investigate the incidence of type 1 diabetes by age group (0–19, 20–39, 40–59, ≥60 years) in Japan and whether there is seasonality in this incidence.

**Research design and methods** The incidence of type 1 diabetes from September 2014 to August 2017 was estimated using 2013–2018 data from the National Database of Health Insurance Claims and Specific Health Check-ups of Japan. The incidence rate was analyzed using Tango's Index and the self-controlled case series method.

**Results** Overall, 10 400 of the 79 175 553 included individuals were diagnosed with type 1 diabetes. The incidence of type 1 diabetes from September 2014 to August 2017 was 4.42/100 000 person-years. The incidence rates for men aged 0–19, 20–39, 40–59, and ≥60 years were 3.94, 5.57, 5.70, and 3.48, respectively. Among women, the incidence rates for the same age ranges were 5.22, 4.83, 4.99, and 3.31, respectively. Tango's index showed that the incidence rate of type 1 diabetes was significantly associated with seasons among those aged 0–19 years. Further, the self-controlled case series method showed a significant interaction between age and season, with the incidence of type 1 diabetes being higher in spring for patients younger than 20 years of age.

**Conclusions** In Japan, men aged 40–59 years and women aged 0–19 years are the groups with the highest incidence of type 1 diabetes. Further, the incidence of younger-onset diabetes in Japan was higher in spring (from March to May).

## INTRODUCTION

Type 1 diabetes is usually the result of immune-mediated  $\beta$ -cell destruction, characterized by absolute insulin deficiency.<sup>1</sup> Seasonal tendencies in the onset of type 1 diabetes have been reported in some countries,<sup>2–7</sup> but appear to be exclusive to countries with a higher incidence of type 1 diabetes.<sup>8–10</sup> In general, there is no consensus on the seasonality of onset of type 1 diabetes.

The National Database of Health Insurance Claims and Specific Health Check-ups

## Significance of this study

### What is already known about this subject?

► In general, there is no consensus on the seasonality of onset of type 1 diabetes.

### What are the new findings?

- The incidence of type 1 diabetes from September 2014 to August 2017 was 4.42/100 000 person-years.
- The incidence rate of type 1 diabetes was significantly associated with seasons among those aged 0–19 years.
- There is a significant interaction between age and season, with the incidence of type 1 diabetes being higher in spring for patients younger than 20 years of age.

### How might these results change the focus of research or clinical practice?

► In Japan, the incidence of younger-onset diabetes in Japan was higher in spring (from March to May). The results may indicate that childhood-onset type 1 diabetes and adult type 1 diabetes have different modes of onset.

of Japan (NDB) is a comprehensive database of health insurance claims covered by the Japanese National Health Insurance system. The NDB is one of the world's largest health-related databases and contains complete datasets of medical care received by insured inpatients and outpatients. The NDB has an extremely large study population that enables observation of a sufficient number of individuals with relatively rare medical conditions. Thus, several studies have provided real-world evidence using the NDB.<sup>11–14</sup>

This study aimed to identify the incidence rate of type 1 diabetes with respect to age and seasons in Japan.

## METHODS

### Study design and population

The present study was a population-based, real-world, retrospective study conducted using the NDB dataset. The cohort comprised individuals enrolled in the NDB. Japan has a universal health coverage system for its 127 million citizens, and the NDB includes all patients using any type of insurance program. The NDB data provide information on personal identifier (ID0 variable)<sup>15</sup>, date, age group, sex, description of the procedures performed, International Classification of Diseases (ICD-10) diagnosis codes, medical care received, medical examinations conducted that did not have results, and prescribed drugs independent of the doctor's or patient's reports. Drug information includes prescription amount, brand name, generic name, dosage, and number of days prescribed. In this study, we defined a person's age as the age at the last insurance use. We designed the study cohort such that data of all patients from the NDB dataset (collected between April 2013 and March 2018) were included in the analysis. The need for informed consent was waived owing to the retrospective nature of the study. All patient data were anonymized prior to analysis.

### Definition of type 1 diabetes

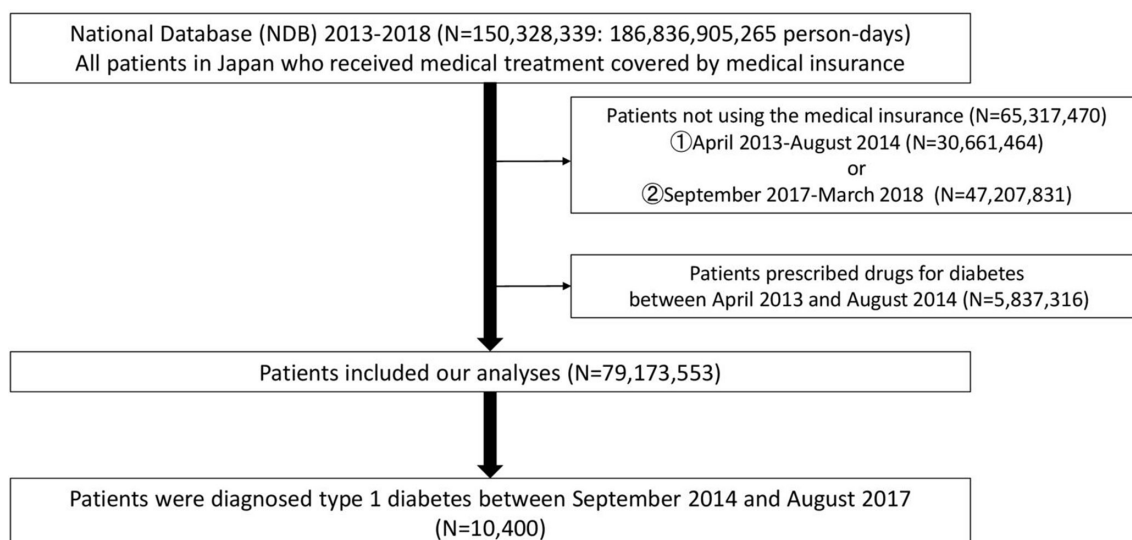
Patients with newly diagnosed type 1 diabetes were defined as those diagnosed with type 1 diabetes starting from September 2014, who were prescribed insulin and instructed to self-monitor their blood glucose. Patients already prescribed a diabetes drug between April 2013 and August 2014 were classified to have existing diabetes and were excluded from the analysis. Patients with gestational diabetes were treated with caution because insulin may have been prescribed before the onset of type 1 diabetes. For patients with ICD-10 codes for both type 1 diabetes and gestational diabetes, the incidence date of type 1

diabetes was defined as the date when the diagnosis code for type 1 diabetes was recorded. For patients with only the diagnosis code of type 1 diabetes, we defined their incidence date of type 1 diabetes as the first day of being prescribed insulin. The codes used for analysis are shown in online supplemental tables 1–4.

### Statistical analysis

In this study, we included people who used health insurance in the following two periods: April 2013–August 2014 and September 2017–March 2018. The population at risk of type 1 diabetes was defined as those who used health insurance from September 2014 to August 2017 or until their first diabetic drug was prescribed during the study period. The incidence of type 1 diabetes was determined for every season as defined by the Japanese Meteorological Agency (fall (autumn): September to November; winter: December to February; spring: March to May; and summer: June to August).<sup>16</sup> The existence of time clustering was evaluated using Tango statistics.<sup>17</sup>

If time clustering was found, we estimated the incidence ratio for type 1 diabetes using the season with the highest incidence rate as reference. We calculated the incidence rate ratio of the risk season to the control seasons using a self-controlled case series method (generalized estimation equation Poisson model)<sup>18 19</sup> (online supplemental figure). Type 1 diabetes (outcome) does not occur more than once per person, and the model accounted for the multiple risk season (exposure). Because the observation period was 3 years, patients experienced risk seasons three times and control seasons nine times. Independent variables in the model included age ranges (0–19, 20–39, 40–59, ≥60 years), sex, years after observation (0, 1, or 2), the seasonal categories (season with highest incidence, others), and interaction



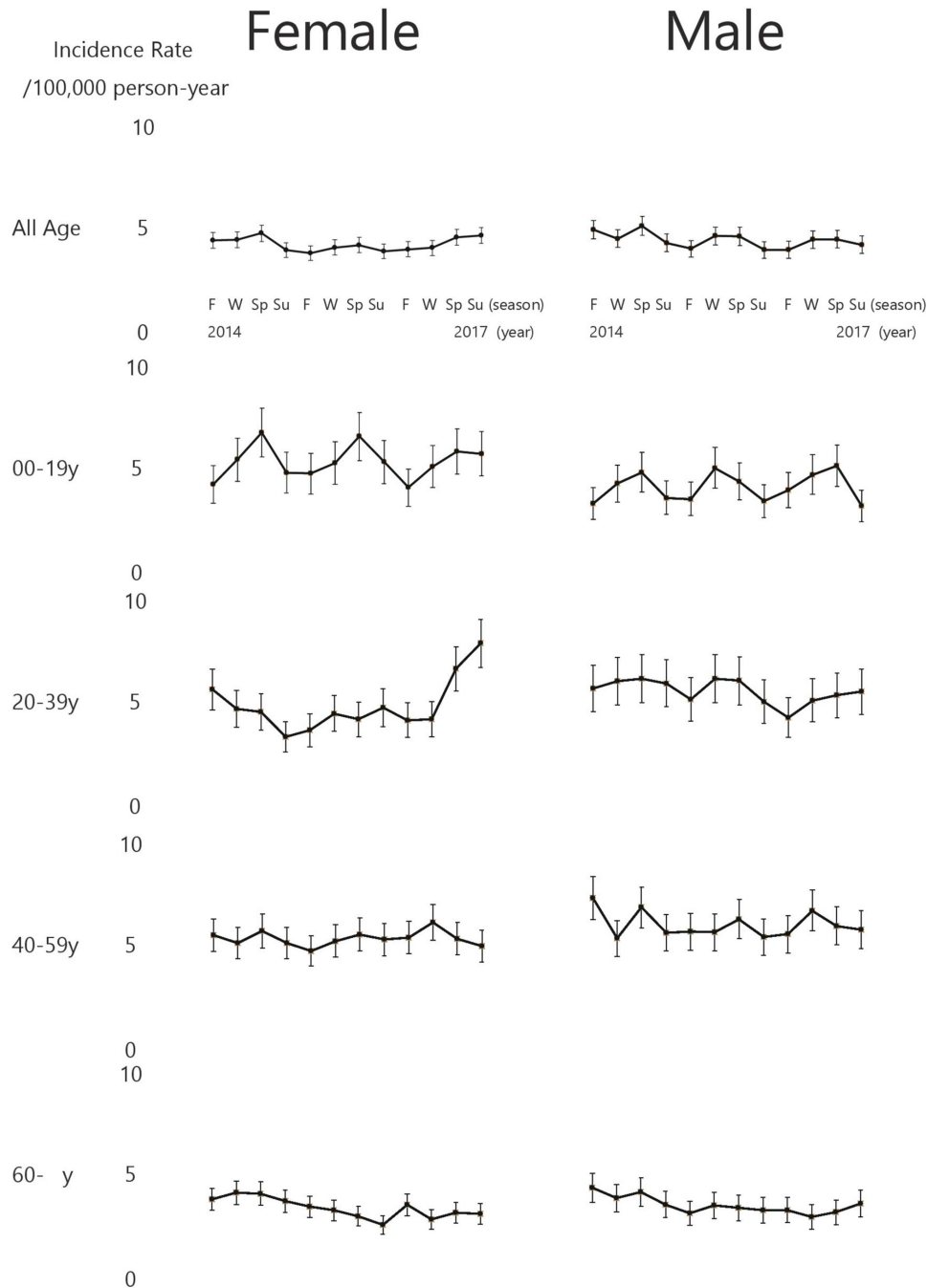
**Figure 1** Flowchart of the study.

between age ranges and seasonal categories. All statistical tests were two-tailed, and  $p < 0.05$  were considered significant. All statistical analyses were performed using Microsoft SQL Server 2016 Standard (Microsoft, Redmond, Washington, USA) and IBM SPSS for Windows, V.25.0 (IBM, Armonk, New York, USA).

**Quantification of outcome misclassification**

We also performed a validation study to quantify the misclassification of type 1 diabetes definition. A previous study reported that data from electronic health records can be used to accurately identify

patients with type 1 diabetes among patients with diabetes (including type 1, type 2, and so on).<sup>20</sup> For performing this validation study, we included all patients in the NDB who were at risk of type 1 diabetes on September 2014 and who had received diabetic medication more than once from September 2014 to August 2017 (diabetic medication codes are shown in online supplemental tables 1–3). Sample size calculation was based on a prevalence of 1% for type 1 diabetes and on an absolute accuracy of 1% (ie, 1 000 000 individuals), aiming to calculate the



**Figure 2** The incidence rate of type 1 diabetes by seasons (/100 000 person-years). Bar graphs show the 95% CIs. 00-19y: 00–19 years old; 20-39y: 20–39 years old; 40-59y: 40–59 years old; 60-y: 60 years old or older. F, fall; Sp, spring; Su, summer; W, winter.

**Table 1** Tango's statistics for detecting time clustering

Subgroup	Tango's index (measured)	Tango's index (expected)	P value
All	0.1669	0.1676	0.992
00–19 years	0.1702	0.1680	0.007
20–39 years	0.1657	0.1679	1.000
40–59 years	0.1674	0.1678	0.721
≥60 years	0.1685	0.1678	0.140

sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV). As mentioned above, the NDB includes more than 1 000 000 individuals with diabetes required.

The candidate population were patients with diabetes identified based on our definition of new type 1 diabetes. Patients were classified as having or not having type 1 diabetes based on the algorithm used in the previous study, which required that the majority of diabetes diagnosis codes are type 1 codes.<sup>20</sup> The diagnosis codes of type 1 diabetes are shown in online supplemental table 1; other diabetic codes are shown in online supplemental tables 1 and 2.

Descriptive statistics were used to characterize the study population. We computed the sensitivity, specificity, PPV, NPV, kappa value, and Youden index for each algorithm by sex and age classes, along with the corresponding 95% CI. Sensitivity and specificity indicated the probability of each algorithm to correctly identify patients with and without type 1 diabetes, respectively. PPV indicated the proportion of patients identified by the algorithms as having type 1 diabetes who truly had a diagnosis of type 1 diabetes. NPV indicated the proportion of those identified by the

algorithms as not having type 1 diabetes who truly did not have type 1 diabetes. A kappa statistic was calculated for the agreement between each algorithm and the reference standard, in order to identify the algorithm maximizing kappa (with kappa <0 indicating no agreement, 0–0.20 slight agreement, 0.21–0.40 fair agreement, 0.41–0.60 moderate agreement, 0.61–0.80 substantial agreement, and 0.81–1 indicating almost perfect agreement). Youden index was calculated to equally weigh sensitivity and specificity using the following equation: (sensitivity+specificity) – 1.

### Role of the funding source

The Japan Society for the Promotion of Science (JSPS) had no role in the study design, data collection, data analysis, data interpretation, writing of the report, or in the decision to submit the article for publication. The views and opinions expressed herein are those of the authors and do not necessarily reflect those of the JSPS. The corresponding author had full access to all the data in the study and had the final responsibility for submitting the manuscript for publication.

## RESULTS

### Incidence rate of type 1 diabetes

In total, 150 328 339 individuals (186 836 905 265 person-days) enrolled in the NDB used health insurances from April 1, 2013 to March 31, 2018, of whom 79 173 553 patients were included in this study. During the observation period (September 2014 to August 2017), 10 400 patients were diagnosed with type 1 diabetes (figure 1). The incidence of type 1 diabetes from September 2014 to August 2017 was 4.42/100 000 person-years (95% CI 4.34 to 4.51) (men: 4.54, 95% CI 4.41 to 4.67; women: 4.32, 95% CI 4.21 to

**Table 2** Incidence rate ratios for type 1 diabetes by season

Variables	Incidence rate ratios	95% CIs		P value
Age (0–19)*Season (spring)	1.26	1.11	1.44	0.000
Age (20–39)*Season (spring)	1.07	0.94	1.21	0.305
Age (40–59)*Season (spring)	1.06	0.94	1.18	0.354
Age (≥60)*Season (spring)	Reference	–	–	–
Season (spring)	1.03	0.94	1.12	0.533
Season (others)	Reference	–	–	–
First year of observation	1.07	1.02	1.12	0.004
Second year of observation	0.97	0.92	1.02	0.199
Third year of observation	Reference	–	–	–
Age (0–19)	0.94	0.88	1.00	0.062
Age (20–39)	0.98	0.92	1.05	0.603
Age (40–59)	0.99	0.93	1.04	0.638
Age (≥60)	Reference	–	–	–

A\*B shows the interaction between A and B.

**Table 3** A validation of our definition of type 1 diabetes: descriptive statistics

Sex	Age group	TP	TN	FN	FP	Sensitivity (%)	95% CI	Specificity (%)	95% CI	PPV (%)	95% CI	NPV (%)	95% CI	Kappa	Youden
Both	All	7103	1496465	2776	3297	0.72	0.71 0.73	1.00	1.00	0.68	0.67 0.69	1.00	1.00	0.70	0.72
Male	All	3157	852905	1521	1588	0.67	0.66 0.69	1.00	1.00	0.67	0.65 0.68	1.00	1.00	0.67	0.67
Female	All	3946	643560	1255	1709	0.76	0.75 0.77	1.00	1.00	0.70	0.69 0.71	1.00	1.00	0.72	0.76
Male	0–19	793	1633	41	46	0.95	0.94 0.97	0.97	0.96	0.95	0.93 0.96	0.98	0.97	0.98	0.92
Female	0–19	997	1352	41	59	0.96	0.95 0.97	0.96	0.96	0.94	0.93 0.96	0.97	0.96	0.98	0.92
Male	20–39	773	31073	150	300	0.84	0.81 0.86	0.99	0.99	0.72	0.69 0.75	1.00	0.99	1.00	0.83
Female	20–39	850	33441	203	326	0.81	0.78 0.83	0.99	0.99	0.72	0.70 0.75	0.99	0.99	0.99	0.80
Male	40–59	945	250927	546	623	0.63	0.61 0.66	1.00	1.00	0.60	0.58 0.63	1.00	1.00	0.62	0.63
Female	40–59	1124	129013	328	562	0.77	0.75 0.80	1.00	1.00	0.67	0.64 0.69	1.00	1.00	0.71	0.77
Male	60–	646	569272	784	619	0.45	0.43 0.48	1.00	1.00	0.51	0.48 0.54	1.00	1.00	0.48	0.45
Female	60–	975	479754	683	762	0.59	0.56 0.61	1.00	1.00	0.56	0.54 0.58	1.00	1.00	0.57	0.59

FN, false negative; FP, false positive; NPV, negative predictive value; PPV, positive predictive value; TN, true negative; TP, true positive.

4.43). **Figure 2** and online supplemental table 5 show the incidence rate of type 1 diabetes every 3 months. Among men, the incidence was highest for those aged 40–59 years, followed by those aged 20–39 years, 0–19 years, and  $\geq 60$  years. Among women, the incidence was highest for those aged 0–19 years, followed by those aged 40–59 years, 20–39 years, and  $\geq 60$  years.

### Time clustering and seasonality in the incidence of type 1 diabetes by age range

Tango's statistics showed no significant trend for the incidence of type 1 diabetes by season (**table 1**). However, we found a significant association between the incidence rate of type 1 diabetes and seasons for those aged 0–19 years. As shown in **figure 2** and online supplemental table 5, the incidence rate of type 1 diabetes was higher in spring than in other seasons among those aged 0–19 years. **Table 2** shows the incidence rate ratios for type 1 diabetes. The present self-controlled case series study showed a significant interaction between spring and age  $< 20$  years. Individuals aged  $< 20$  years had a 1.26 times higher risk of type 1 diabetes in spring than in other seasons. Meanwhile, there was no significant seasonality in individuals aged  $\geq 20$  years.

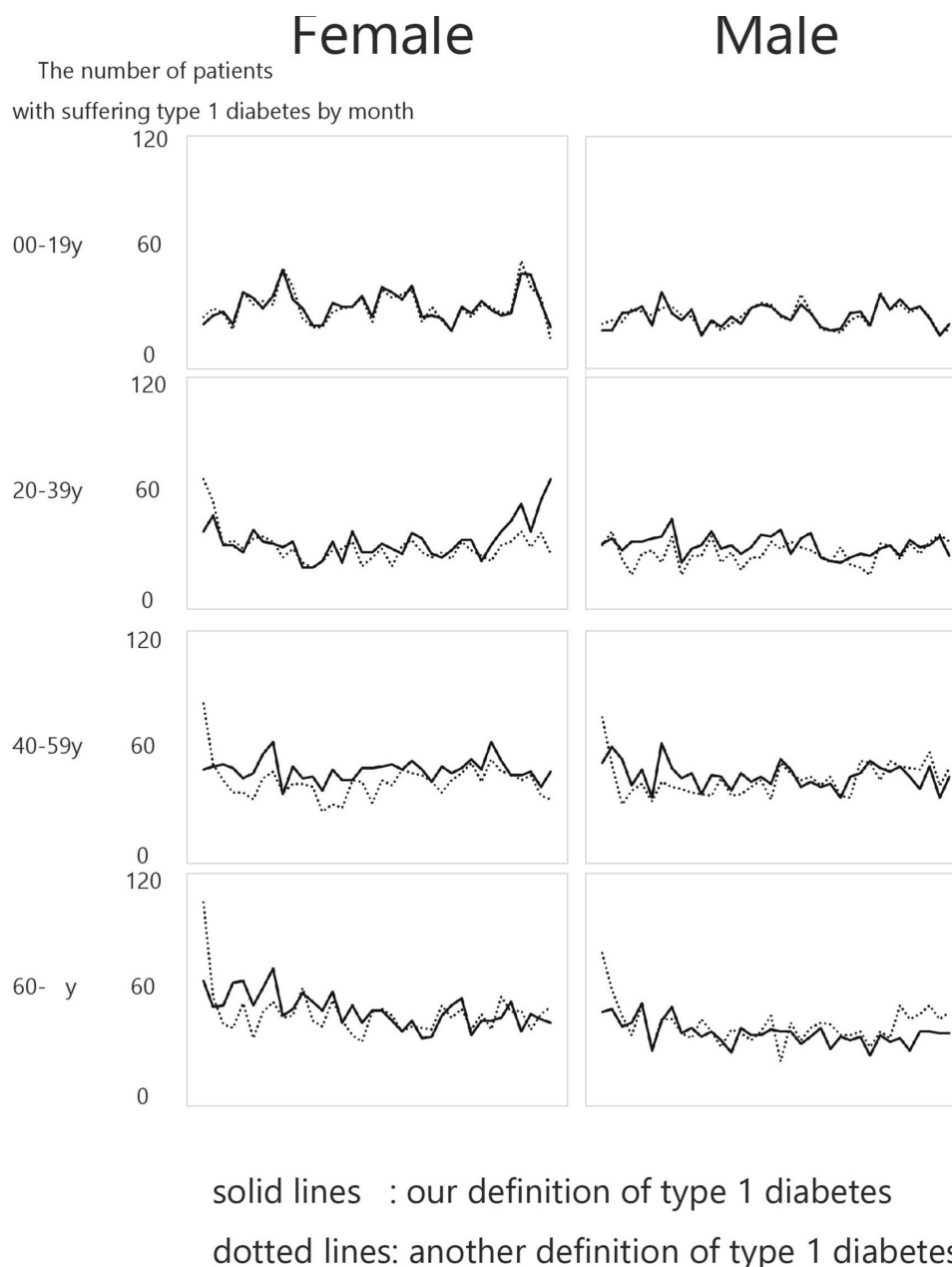
### Validation study

In our study population, 1 509 641 patients met the standard of this validation study. **Table 3** shows the descriptive statistics of these patients. Our definition of type 1 diabetes indicated substantial agreement with that by Schroeder *et al.*<sup>20</sup> (Kappa statistic: 0.70, Youden statistic: 0.72). Thus, our definition was valid. The sensitivity and PPV were lower, as both men and women got older (from 96% to 45%). The specificity and NPV were almost 100% at all groups. The sensitivity and PPV had very similar values, and the incidence of type 1 diabetes was similar using either our definition of diabetes or that of Schroeder *et al.*<sup>20</sup> As a sensitivity analysis, we calculated the number of patients suffering from type 1 diabetes using both definitions presented in online supplemental tables 6 and 8. **Figure 3** shows the number of patients suffering from type 1 diabetes by month from September 2014 to August 2017, calculated using the two definitions.

### DISCUSSION

This study showed the incidence and seasonality of incidence of type 1 diabetes in Japan. The incidence was the highest in men aged 40–59 years and women aged 0–19 years. Further, we found a significant time clustering with seasonal patterns of onset of type 1 diabetes in spring (from March to May) for individuals aged under 20 years, but not for those aged  $\geq 20$  years in Japan. To the best of our knowledge, this is the first study to report the nationwide incidence of type 1 diabetes.

Our findings are in contrast to previous studies that have shown no seasonality in the incidence of type 1



**Figure 3** Sensitivity analysis: the number of patients suffering from type 1 diabetes per month. 00-19y: 00-19 years old; 20-39y: 20-39 years old; 40-59y: 40-59 years old; 60-y: 60 years old or older.

diabetes across all age ranges in countries with a lower incidence of type 1 diabetes, including Japan.<sup>8-10</sup> Meanwhile, we found a seasonality effect in the onset of type 1 diabetes in individuals aged younger than 20 years, but not in those aged  $\geq 20$  years. A previous study in China also found an age-related association between season and the incidence of type 1 diabetes. The study reported that the incidence was positively correlated with geographical latitude ( $r=0.88$ ,  $p<0.001$ ) in those aged  $<15$  years, but not in those aged 15 years or older.<sup>21</sup> These results, including the interaction we showed between age ranges and seasons, may indicate that childhood-onset type 1 diabetes and adult type 1 diabetes have different modes of onset. Further descriptive epidemiological studies are needed to identify the etiology of type 1 diabetes by

creating a hypothesis of the factors through descriptive epidemiological studies.

Consistent with previous findings, we found a higher incidence rate of type 1 diabetes in women aged 0-19 years than in men of the same age. Meanwhile, the incidence was higher in men aged  $\geq 20$  years than in women of the same age. In addition, the incidence of type 1 diabetes was highest in men aged 40-59 years and in women aged 0-19 years. Although the incidence of type 1 diabetes was previously reported to be higher during adulthood,<sup>22</sup> the age at which the highest incidence of type 1 diabetes differs between men and women has not been reported. Slowly progressive type 1 diabetes is also well recognized in Japan, as in many other Asian countries. Although this type of diabetes is not seen in children, a previous

study showed that it accounts for half of all type 1 cases in adults.<sup>23</sup> Thus, the incidence of type 1 diabetes was high in adults of that study, as patients diagnosed with slowly progressive type 1 diabetes were included.

Our study examined the best clinical diagnostic criteria for type 1 diabetes based on the opinions of clinicians, aiming to decrease the proportion of patients identified by our definition as having type 1 diabetes who truly do not have such a diagnosis. Validation studies were performed to evaluate the validity of the diagnostic criteria, and the extent of misclassification was quantified. In all groups, the kappa coefficient indicated moderate or substantial agreement (table 3, figure 3). Both PPV and sensitivity were well balanced, so that the number of patients with type 1 diabetes was similar using both diagnostic criteria at any sex and age group. For older groups, there were more misclassifications, but their impact on the incidence of type 1 diabetes was considered to be small.

A previous study in Taiwan reported that the incidence of type 1 diabetes in 2000 was 4.21, 2.93, 1.59, 2.04/100 000 person-years at the age of 0–19, 20–39, 40–59, 60–79 years, respectively.<sup>24</sup> As shown in online supplemental table 5, we found a higher incidence of type 1 diabetes among patients aged >20 years. An underestimation of the incidence could be expected in the previous study because of Taiwan's stringent requirements to apply for a catastrophic illness certificate. As shown in figure 2, the incidence rate was small even when tabulated every 3 months. Furthermore, as shown in figure 3, our diagnostic criteria were validated.

The incidence rate of type 1 diabetes varies worldwide.<sup>25</sup> Until the early 2000s, the worldwide incidence rate of type 1 diabetes was reported to be increasing, with reported annual increases of 2%–5% in Europe, the Middle East, and Australia.<sup>16 26–29</sup> However, our results suggest no significant trends in increases in the onset of type 1 diabetes in Japan, although this might have been affected by the short observation period of 3 years.

According to the Information Center for Specific Pediatric Chronic Diseases in Japan, 500–600 individuals aged 0–15 years develop diabetes annually.<sup>30</sup> In the current study, 404 (172 boys, 132 girls), 437 (170 boys, 267 girls), and 452 (199 boys, 253 girls) individuals aged 0–14 years developed type 1 diabetes during the first, second, and third year of the study period, respectively, consistent with the results of previous studies.<sup>8–10</sup> Because we defined the patient's age according to the age at the last use of insurance from September 2017 to March 2018, patients aged 12–15 years were included in the age group of 15 years and older. As shown in online supplemental table 6, 1895 patients aged 0–19 years developed type 1 diabetes within the 3-year study period, consistent with the findings that 500–600 patients aged 0–15 years develop diabetes annually.<sup>31</sup>

The strengths of the study include the high generalizability of the results, as we used all data available on the NDB. Moreover, using the NDB allowed us to include a sample cohort with minimal selection bias<sup>15</sup> and to

include all patients with type 1 diabetes. The incidence rate has important implications in both clinical and public health policy. However, it would be prohibitive to conduct a randomized controlled trial or prospective cohort study for an in-depth investigation of the role of a specific risk factor in the etiology of a disease because such a study would be too large and expensive.

Meanwhile, this study had several limitations. First, we defined patients with type 1 diabetes in the NDB as those recognized of having any of the type 1 diabetes diagnosis codes, who were prescribed medication for type 1 diabetes (insulin), and who had medical examinations codes about self-monitoring of blood glucose as patients with type 1 diabetes. In the validation study, our definition was considered to be valid and consistent with Japanese diabetes clinical practice. We did not include patients who were not treated with self-monitoring of blood glucose. However, since only very few patients do not self-monitor their blood glucose after the onset of type 1 diabetes, our patient selection procedure was appropriate. Second, the NDB does not include any laboratory data, such as plasma glucose levels. Thus, we could not confirm the levels of blood glucose, hemoglobin A1c, or any types of antibodies, such as antiglutamic acid decarboxylase antibody, when type 1 diabetes occurred. As an alternative indicator, we defined the condition of type 1 diabetes in the NDB using codes, and the number of children with type 1 diabetes was similar to that in a previous report.<sup>30</sup> Third, we could not review the medical data (eg, body weight, smoking history, and family history) of each patient in detail. Although time-independent confounders (eg, genetic factors) or unmeasured confounders can be adjusted in a self-controlled case series study design, it remains an observational study. Further, the confounders cannot be completely adjusted. Fourth, the health check-ups performed at schools usually occur in April and May, which could have affected the results. If our result that the incidence of type 1 diabetes is higher in spring was only affected by the health check-up period, the incidence in March should have been lower than that in April and May. However, as seen in online supplemental table 6, this was not the case. Finally, we selected individuals who used their insurance before and after the observation period. Although we considered our definition reasonable, we cannot exclude the possibility of overestimating the incidence of type 1 diabetes.

In conclusion, the incidence of type 1 diabetes is highest among Japanese men aged 40–59 years and Japanese women aged 0–19 years. In addition, we found that the incidence of younger-onset diabetes increases in spring (from March to May). This descriptive epidemiological study can help clarify the etiology of type 1 diabetes.

**Acknowledgements** We would like to thank Editage ([www.editage.com](http://www.editage.com)) for English Language editing.

**Contributors** YN conducted the study design, analysis, and discussion. SO evaluated the results from the viewpoint of a clinician. TN provided advice on the

study design and discussed the findings from the viewpoint of an epidemiologist. TM, SK, and TH performed the initial NDB analysis and provided technical advice. HI evaluated the results from the viewpoint of a clinician. TI provided advice on the study design and discussed the findings from the viewpoint of public health. Guarantor: YN.

**Funding** The present study was supported by JSPS KAKENHI Grant Number JP18K17390 and JP18H04126.

**Competing interests** YN reports receiving consultant fees from Novo Nordisk. SO reports receiving lecturer's fees from Novo Nordisk, Mitsubishi Tanabe, Sumitomo Dainippon, MSD, Bayer, Eli Lilly, Boehringer Ingelheim, Ono, AstraZeneca, Sanofi, Takeda, and ARKRAY. HI reports receiving lecture fees and consultant fees from Takeda, Eli Lilly Japan, Sanofi, Merck & Co., Astellas, Mitsubishi Tanabe, Daiichi Sankyo, Ono, AstraZeneca, Taisho Toyama, Shionogi, Kowa, Boehringer Ingelheim, Novo Nordisk, Sumitomo Dainippon, and Kyowa Hakko Kirin. No other potential conflicts of interest relevant to this article are reported.

**Patient consent for publication** Obtained.

**Ethics approval** The study was approved by the Ethics Committee of Nara Medical University (1123–5).

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data availability statement** No data are available. Guidelines do not allow access to NDB except for those permitted.

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