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# Role of resection for Bismuth type IV hilar cholangiocarcinoma and analysis of determining factors for curative resection

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**Purpose:** Extended liver resection may provide long-term survival in selected patients with Bismuth type IV hilar cholangiocarcinoma (HCCA). The purpose of this study was to identify anatomical factors that predict curative-intended resection.

**Methods:** Thirty-three of 159 patients with Bismuth type IV HCCA underwent major hepato-biliary resection with curative intent (CIR) between 2000 and 2010. Disease extent and anatomical variations were analyzed as factors enabling CIR.

**Results:** CIR ratio with hilar trifurcation bile duct variation (13/16) was significantly higher than that with other bile duct variation types (18/25). Hilum to left second bile duct confluence and tumor infiltration over left second bile duct confluence lengths in right-sided CIR were significantly shorter than those lengths in left-sided CIR (10.8  $\pm$  4.9 and 2.7  $\pm$  0.8 mm vs. 16.5  $\pm$  8.4 and 7.0  $\pm$  5.3 mm, respectively). Left-sided CIR patients had a marginally higher proportion of tumors invading  $\leq$ 5 mm over the right second confluence than that in right-sided CIR patients (13/17 vs. 6/16; P = 0.061). The 3-year survival rate after CIR (28%) was significantly higher than after non-CIR (6.1%).

**Conclusion:** We recommend the criteria of CIR as bile duct variation type, length of hilum to contralateral second bile duct confluence, and extent of tumor infiltration over the second confluence for Bismuth type IV HCCA. [Ann Surg Treat Res 2014;87(2):87-93]

Key Words: Klatskin's tumor, Bismuth type IV, Surgery, Anatomy

# INTRODUCTION

Hilar cholangiocarcinoma (HCCA), also known as Klatskin's tumor, is a relatively rare tumor typically affecting the hepatic duct confluence. Complete surgical resection is the only therapeutic strategy offering the chance of a cure to patients with HCCA [1-4]. Recently, the use of aggressive surgical management, including major hepatectomy, has increased tumor resectability and has improved long-term results for patients [1,4].

HCCA is classified on the basis of the involvement of the

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**Tel:** +82-2-2072-2194, **Fax:** +82-2-766-3975 **E-mail:** jangjy4@snu.ac.kr scheme [5]. A type IV lesion is a combination of Bismuth type IIIa and IIIb lesions, in which the tumor invades the secondorder bile duct tributaries of both hepatic ducts [6]. In the past, type IV lesions had been considered unresectable [7,8], with that opinion being included in the American Joint Committee on Cancer (AJCC) cancer staging manual (7th edition) [9].

hepatic confluence into four types according to Bismuth's

Although extended liver resection may provide long-term survival in patients with HCCA, there are few reports on factors influencing resectability of Bismuth type IV HCCA. The aim of this study was to identify anatomical factors that predict

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**Fig. 1.** Patients with Bismuth type IV hilar cholangiocarcinoma selected for curative-intended resection.

curative-intended resection in patients with Bismuth type IV HCCA.

# **METHODS**

#### Patient selection

With approval from Institutional Review Board of Seoul National University Hospital (IRB No. H-1112-025-388), data for 159 patients with Bismuth type IV HCCA who were evaluated at our institute between 2000 and 2010 were entered into a prospectively maintained database. Of the patients, 119 had unresectable disease including distant metastasis, extensive lymph node metastasis, or involvement of major vessels except ipsilateral involvement of hepatic artery or portal vein branch to the resected hepatectomy side. Of the remaining 40 patients, data for those patients who underwent the current standard treatment of HCCA (major hepatectomy plus extrahepatic bile duct resection and regional lymphadenectomy) were examined. Thirty-three patients who underwent major hepato-biliary resection with curative intent (CIR) were enrolled (Fig. 1). All of those patients were confirmed Bismuth type IV HCCA after pathologic examination.

#### Imaging analysis

Imaging was performed before any intervention on the bile duct, with the exception of patients in whom biliary drains had been placed before referral (18/159, 11.3%). In all patients evaluated for inclusion, CT examinations had been performed on multidetector CT (MDCT) scanners [10.11]. MRI or MR cholangiopancreatography imaging was performed for 92 patients (57.9%) on a 1.5-T superconducting system [12].



**Fig. 2.** Longitudinal measure of tumor extent in bile duct variation type A1. BDV, bile duct variation.

Direct cholangiograms were obtained for 142 patients (89.3%) using endoscopic retrograde cholangiopancreatography or percutaneous transhepatic cholangiography [12]. We estimated the length of longitudinal tumor extent along the biliary tree by evaluating the extent of the irregular stricture on cholangiograms or by evaluating the extent of the bile duct wall thickening on MDCT or MRI images. Measurements of longitudinal tumor extent and anatomical variation are shown in Fig. 2. Measurements of longitudinal tumor extent and anatomical variation are reviewed by an experienced radiologist.

#### **Definition of anatomical variation**

Huang et al. [13] proposed a system for bile duct variations (BDVs). Type A1 was defined as common (right and left hepatic duct junction), type A2 (hilar trifurcation type) as a common junction of right anterior hepatic duct, right posterior hepatic duct (RPHD), and left hepatic duct (LHD), type A3 as an aberrant drainage of RPHD to the left main duct, type A4 as an aberrant drainage of RPHD to the main hepatic duct, and type A5 as aberrant drainage of RPHD to the cystic duct [13]. In the present study, BDVs were grouped according to this classification. Also, we used the hepatic artery variation classification system proposed by Hiatt et al. [14]. In this classification scheme, type 1 was defined as common, type 2 as having a replaced or accessory left hepatic artery arising from the left gastric artery, type 3 as having a replaced or accessory right hepatic artery originating from the superior mesenteric artery, type 4 as having a double-replaced pattern, and type 5 as having the entire common hepatic artery originating as a branch of the superior mesenteric artery. Finally, our classification of portal venous variation was based on the Cheng classification [15], in which type I was defined as having a normal portal bifurcation, type II as having a portal trifurcation, type III as having the left portal vein originating from the right anterior portal branch, and type IV as having the right anterior portal branch originating from the left portal vein.

#### Surgical procedures

After laparotomy and the exclusion of distant metastasis, all of the following surgical procedures included a regional lymphadenectomy at the right side of the celiac artery, and removal of all tissues in the hepatoduodenal ligament except the portal vein and the hepatic artery. The type of resection was determined by the relative location and extent of the tumor. When the tumor was predominantly located in the right- or left-perihilar bile ducts, or tumor involvement in the liver parenchyma, unilateral hepatic artery, or when portal vein was observed on the preoperative images, an extended right- or left-hemihepatectomy, or trisectionectomy including caudate lobectomy combined with bile duct resection was performed (Fig. 1). As commonly used, we defined R0 resection as having complete tumor removal, R1 resection as having microscopic residual ductal disease, and R2 resection as having macroscopic remnant ductal carcinoma. Intraoperative evaluation of the proximal (hepatic)-side and/or distal (duodenal)-side ductal margins was performed by using frozen sections in all patients. Operative specimens were submitted for permanent histopathology. When the distal-side ductal margin was positive, additional resection of the intrapancreatic bile duct or pancreatoduodenectomy was performed, as far as was possible in principle. When the proximal-side ductal margin was positive, additional resection of the hepatic duct was performed, if possible.

#### Adjuvant treatment

Except in patients with poor performance status or those who refused chemoradiotherapy (CRT), we performed adjuvant CRT with 5-fluorouracil (5-FU) radiosensitizer after patients were informed of the prognosis and of the effects of each treatment modality. None of the patients received chemotherapy alone as adjuvant treatment. Treatment consisted of a 40-Gy dose to the tumor given in 10 daily fractions (as intravenous bolus of 5-FU) over a 2-week period. In some cases, patients received 5-FU monthly over a period of 1 year after CRT [16].

#### **Statistical analyses**

The data was analyzed using IBM SPSS Statistics ver. 19.0 (IBM Co., Armonk, NY, USA). Continuous and normally distributed variables are presented as the medians and range. Continuous parameters in each group were compared using the independent t test or the Mann-Whitney U test, and categorical parameters using the chi-square test or Fisher exact test. Survival curves were constructed using the Kaplan-Meier method and differences in survival were evaluated using the log-rank test. P-values of 0.05 or less were considered statistically significant.

### RESULTS

### Clinicopathological analysis of CIR of Bismuth type IV HCCA

Median cohort age was 60 years (range, 24–79 years) and the male to female ratio was 1.8:1. Twenty-one of 33 patients underwent R0 resection. Preoperative PVE was performed in 10 patients. The surgical procedures included right-sided resection (16/33) and left-sided resection (17/33). Median follow-up duration was 14.9 months (range, 0–51.0 months).

# Anatomical variation in patients with Bismuth type IV HCCAs

The percentage of BDV in patients with CIR was significantly higher than in non-CIR patients. Among the variant BDV types, the rate of type A2 BDV in patients with CIR was higher than that in non-CIR patients. However, no significant differences were found between CIR and non-CIR patients in terms of hepatic arterial variations, or portal venous variations (Table 1).

# Analysis of length of hilum to second bile duct confluence and length of tumor infiltration

The mean length from the hilum to the left second bile duct confluence and the length of tumor infiltration over the ipsilateral second bile duct confluence in right-sided CIR patients were significantly shorter than those in left-sided

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CIR patients (Table 2). Similarly, the proportion of left-sided CIR patients with tumor infiltration of <5 mm over the right second confluence was greater than that in right-sided CIR patients, but the difference was only of marginal significance (P = 0.061) (Table 3).

#### Survival analysis of Bismuth type IV HCCAs

Overall survival of CIR and non-CIR patients with Bismuth type IV HCCA was determined. Median survival duration and 3-year survival rate of CIR and non-CIR patients were 16 months and 28.0% vs. 12 months and 6.1%, respectively (P = 0.006) (Fig. 3). Survival after surgical resection was significantly

 Table 1. Comparison of anatomical variations between CIR and non-CIR patient

Characteristic	CIR (n = 33)	Non-CIR $(n = 126)$	P-value
Bile duct variation A1 : non-A1 Non-A1	17:16	101 : 25	<0.001
A2 : A3 : A4 : A5 Hepatic arterial variation	13:3:0:0	18:1:6:0	0.032 0.565
1:2:3:4:5 Portal venous variation	29:1:3:0:0	114:1:7:1:3	0.571
1 : II : III : IV	2/:4:1:1	107:9:7:3	

CIR, major hepato-biliary resection with curative intent.

better in CIR patients with adjuvant CRT than in those without CRT (Table 4). There were no significant differences in survival on the basis of tumor invasion (AJCC T category), lymph node metastasis, histologic differentiation, presence of perineural invasion, combined portal vein resection, preoperative portal vein embolization (PVE), type of operation, or resection status (Table 4).

# DISCUSSION

Even though diagnosis of Bithmuth type IV HCCA has mar-



**Fig. 3.** Survival rate at follow-up in patients with Bismuth type IV hilar cholangiocarcinomas. CIR, major hepato-biliary resection with curative intent.

Table 2. Length of h	hilum to 2nd	bile duct conf	fluence and extent o	of tumor infiltra	ation in CIR patients
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Characteristic	Right-sided CIR $(n = 16)$	Left-sided CIR $(n = 17)$	P-value
Distance from hilum to left 2nd confluence (mm)	$10.8 \pm 4.9$	$16.5 \pm 8.4$	0.029
Length of tumor infiltration over left 2nd confluence (mm)	$2.7 \pm 0.8$	$7.0 \pm 5.3$	0.031
Distance from hilum to right 2nd confluence (mm)	$9.9 \pm 6.1$	$9.5 \pm 5.8$	0.974
Length of tumor infiltration over right 2nd confluence (mm)	$5.8 \pm 3.4$	$5.7 \pm 5.2$	0.989
Presence of hepatic artery invasion			
PHA : RHA : LHA	0:9:1	0:1:3	NS: 0.002: 0.601
Presence of portal venous invasion			
MPV : RPV : LPV	0:4:0	0:0:8	NS:0.044:0.003

CIR, major hepato-biliary resection with curative intent; PHA, proper hepatic artery; RHA, right hepatic artery; LHA, left hepatic artery; MPV, main portal vein; RPV, right portal vein; LPV, left portal vein; NS, not significant.

Table 3. Length of tumor infiltration over 2	nd bile duct confluence in CIR par	utients
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Characteristic	Right-sided CIR $(n = 16)$	Left-sided CIR $(n = 17)$	P-value
Length of tumor infiltration over left 2nd confluence <5 mm	10	7	0.466
Length of tumor infiltration over left 2nd confluence ≥5 mm	6	10	
Length of tumor infiltration over right 2nd confluence <5 mm	6	13	0.061
Length of tumor infiltration over right 2nd confluence $\geq$ 5 mm	10	4	

CIR, major hepato-biliary resection with curative intent.

Variable	No.	Median survival (mo)	P-value
Age (yr) (<60/≥60)	16/14	23/14	0.107
Sex (male/female)	18/12	16/20	0.915
T stage (≤T1/T2/≥T3)	3/22/5	NC*/16/20	0.184
Nodal stage (N0/N1)	18/12	20/14	0.280
Resection status (RO/R1)	18/12	20/17	0.605
Differentiation <sup>†</sup>	4/24	32/16	0.256
Perineural invasion (not identified/present)	4/26	37/16	0.246
Combined portal vein resection (no/yes)	24/6	17/20	0.712
Preoperative PVE (no/yes)	22/8	20/14	0.436
Hepatectomy type (right hepatectomy/left hepatectomy)	13/17	16/17	0.794
Adjuvant chemoradiotherapy (no/yes)	12/18	12/23	0.042

Table 4. Survival analysis in patients with Bismuth type IV hilar cholangiocarcinomas who underwent curative-intended resection

PVE, portal vein embolization; \*NC, not calculated (Three in-hospital mortality [two hepatic failure and one pulmonary embolism]) were excluded; <sup>†</sup>papillary or well-differentiated/moderate- or poorly differentiated.

kedly improved with advanced imaging modalities, patients with Bithmuth type IV HCCA have not been considered as candidates for curative resection [7.17,18]. However, recent reports have suggested that certain patients with Bismuth type IV HCCA are able to undergo curative resection [8,17,18]. In the present study, 33 of 159 patients (20.8%) underwent CIR. Among those, most patients (21/33) had negative surgical margins (R0 resection).

The proportion of CIR patients with BDV types A2 to A4 (especially, A2 hilar trifurcation BDV) was markedly higher than that in patients with common bile ducts (type A1) (Table 1). A2 BDV has typically short lengths of first-order bile duct compared to type A1 BDV. As a result, Bismuth type IV HCCA with A2 type BDV is actually similar to Bismuth types IIIa or IIIb, right-or left-sided hepatic resection as CIR could be possible.

In the present study, the distance from the hilum to the left second bile duct confluence and the extent of tumor infiltration over the left second bile duct confluence in right-sided CIR patients were significantly shorter than those lengths in leftsided CIR patients (Table 2). Also, the proportion of left-sided CIR patients with tumor infiltration of less than 5 mm over the right second bile duct confluence was marginally larger than that in right-sided CIR (Table 3). This result may be because the relatively short length of the first-order bile duct makes the anatomical characteristics of Bismuth type IV similar to those of Bismuth types IIIa or IIIb. Thus, we suggest that basing the selection of the operative procedure on of the length of the first-order bile duct and on the tumor extension over the second confluence along the bile duct is crucial for patients with Bismuth type IV HCCA.

With regard to vascular variation, we assumed that not only some type of hepatic artery variation, such as types 2, 3, or 4, but also some type of portal venous variation, such as type III or IV, had potential benefit for performing CIR due to the relatively close anatomical proximity between tumor and vasculatures. However, presently there were no significant differences in CIR rate among vascular variations (Table 1). This might be due to our relatively small study cohort or to there being no actual contribution of those variables to the resectability of Bismuth type IV HCCA. As a result, we suggest that further study is needed to determine the diagnostic usefulness of vascular variation.

Several reports including our previous report indicate that adjuvant CRT might be useful in patients with HCCA [19-22]. In the present study, there was a survival gain in patients who received adjuvant CRT compared to non-CRT patients (Table 4). Thus, we believe that adjuvant CRT after surgical resection can be beneficial in Bismuth type IV HCCA patients.

Recent studies have established that the important prognostic factors for long-term survival in HCCA patients are negative surgical margins, lymph node status, and tumor differentiation grade [3.20,21,23,24]. Among these, the most consistently reported independent determinant for long-term survival after HCCA resection is the surgical margin status of the resected bile duct [3,20,21,23,24]. In the present study, there was no significant difference in survival related to resection status (Table 4). However, patients who underwent CIR showed more prolonged survival than that in non-CIR patients (Fig. 3). This result can be explained because surgical resection with a positive margin has a better prognosis if adjuvant treatment, such as CRT, is combined with surgical resection [19,21,22].

To obtain a negative resection margin, a more extensive hepatic resection is essential in HCCA. Some authors suggest that an extensive hepatic resection, such as performing a right or left trisectionectomy with caudate lobectomy, may be an effective surgical procedure that can achieve a low mortality rate and high pathological curability for HCCA [25,26]. However, in-hospital deaths from postoperative hepatic failure have been the greatest contributor to poor survival rates [2.25]. As a partial solution to this poor survival rate, preoperative PVE is widely used to minimize the risk of liver failure when extensive liver resection is anticipated [4.7]. Here, however, there was no indication of a survival gain with implementation of preoperative PVE (Table 4). As a result, we suggest that preoperative PVE should be selectively used, perhaps in patients with an expected fingerling future liver remnant.

This study has several limitations. The first is the existence of inaccuracies in the performance of imaging analyses. Imaging analysis was performed in 18 patients after placing a drainage catheter in the bile duct. The presence of such a catheter may have limited the accurate evaluation of the enhancing or intraluminal lesion secondary to luminal collapse or there may be some interfering effect related to tube's presence. Second, although modern imaging techniques provide sufficient details to identify clearly the longitudinal and radial extents of the tumor [27,28], a subset of patients had unexpectedly extensive tumor infiltration along the biliary tree. This may be related to a submucosal extension along the bile duct, as is often observed in the periductal-infiltrating and nodular-infiltrating types of HCCA [10]. In contrast, there was overestimation of tumor extent from preoperative imaging modalities in some patients. Periductal fibroplastic and inflammatory reactions may cause such overestimation, particularly if adjacent to a major vascular structure, as the cicatrizing effect may lead to strictures without adventitial invasion [29,30]. For further evaluation of this potential source of limitation, we will analyze an ongoing prospective study of longitudinal tumor extent when it is assessed by preoperative imaging and pathologic examination.

With careful selection, patients with Bismuth type IV HCCA can be considered as candidates for CIR with an expectation of prolonged survival. Presence of type A2 BDV, the length of the hilum to the contralateral second bile duct confluence, and the extent of tumor infiltration over the second confluence of right-or left-dominant Bismuth type IV HCCA were the important factors for selection of a CIR procedure.

## **CONFLICTS OF INTEREST**

No potential conflict of interest relevant to this article was reported.

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