

## A divergent asymmetric approach to aza-spiropyran derivative and (1*S*,8*aR*)-1-hydroxyindolizidine

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### Abstract

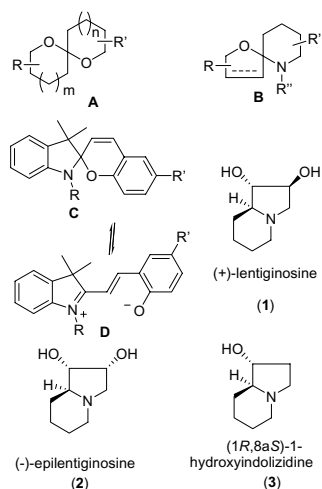
**Background:** Spiroketal and the corresponding aza-spiroketal are the structural features found in a number of bioactive natural products, and in compounds possessing photochromic properties for use in the area of photochemical erasable memory, self-development photography, actinometry, displays, filters, lenses of variable optical density, and photomechanical biomaterials etc. And (1*R*,8*aS*)-1-hydroxyindolizidine (**3**) has been postulated to be a biosynthetic precursor of hydroxylated indolizidines such as (+)-lentiginosine **1**, (-)-2-epilentiginosine **2** and (-)-swainsonine, which are potentially useful antimetastasis drugs for the treatment of cancer. In continuation of a project aimed at the development of enantiomeric malimide-based synthetic methodology, we now report a divergent, concise and highly diastereoselective approach for the asymmetric syntheses of an aza-spiropyran derivative **7** and (1*S*,8*aR*)-1-hydroxyindolizidine (*ent*-**3**).

**Results:** The synthesis of aza-spiropyran **7** started from the Grignard addition of malimide **4**. Treatment of the THP-protected 4-hydroxybutyl magnesium bromide with malimide **4** at -20°C afforded *N,O*-acetal **5a** as an epimeric mixture in a combined yield of 89%. Subjection of the diastereomeric mixture of *N,O*-acetal **5a** to acidic conditions for 0.5 h resulted in the formation of the desired functionalized aza-spiropyran **7** as a single diastereomer in quantitative yield. The stereochemistry of the aza-spiropyran **7** was determined by NOESY experiment. For the synthesis of *ent*-**3**, aza-spiropyran **7**, or more conveniently, *N,O*-acetal **5a**, was converted to lactam **6a** under standard reductive dehydroxylation conditions in 78% or 77% yield. Reduction of lactam **6a** with borane-dimethylsulfide provided pyrrolidine **8** in 95% yield. Compound **8** was then converted to 1-hydroxyindolizidine *ent*-**3** via a four-step procedure, namely, *N*-debenzylation/*O*-mesylation/Boc-cleavage/cyclization, and *O*-debenzylation. Alternatively, amino alcohol **8** was mesylated and the resultant mesylate **12** was subjected to hydrogenolytic conditions, which gave (1*S*,8*aR*)-1-hydroxyindolizidine (*ent*-**3**) in 60% overall yield from **8**.

**Conclusion:** By the reaction of functionalized Grignard reagent with protected (*S*)-malimide, either aza-spiropyran or (1*S*,8*aR*)-1-hydroxyindolizidine skeleton could be constructed in a concise and selective manner. The results presented herein constitute an important extension of our malimide-based synthetic methodology.

## Background

Spiroketal of general structure A (Scheme 1) constitute key structural features of a number of bioactive natural products isolated from insects, microbes, fungi, plants or marine organisms. [1-3] The corresponding aza-spiroketal (cf. general structure B) containing natural products, while less common, are also found in plants, shellfish and microbes.[4,5] For example, pandamarilactone-1 and pandamarine were isolated from the leaves of *Pandanus amaryllifolius*;<sup>[6]</sup> solasodine and its derivatives were isolated from *Solanum umbelliferum*, which exhibited significant activity toward DNA repair-deficient yeast mutants;<sup>[7]</sup> azaspiracids are marine phycotoxins isolated from cultivated mussels in Killary harbor, Ireland;<sup>[8]</sup> and chlorofusin A is a novel fungal metabolite showing the potential as a lead in cancer therapy.<sup>[9]</sup> In addition, aza-spiropyran C, being able to equilibrate with the corresponding non-spiro analogue D, is a well known class of compounds possessing photochromic properties for use in the area of photochemical erasable memory,<sup>[10]</sup> and also found applications as self-development photography, actinometry, displays, filters, lenses of variable optical density,<sup>[11]</sup> and photomechanical biomaterials etc.<sup>[12]</sup>



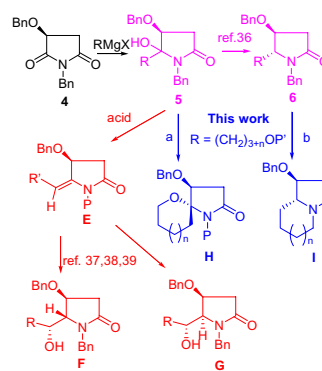
**Scheme 1: The skeletons of useful aza-spiroketal and some naturally occurring hydroxylated indolizidines.**

On the other hand, hydroxylated indolizidines [13-20] such as castanospermine, (-)-swainsonine, (+)-lentiginosine [21-23] (1) and (-)-2-epilentiginosine [21-26] (2) constitute a class of azasugars showing potent and selective glycosidase inhibitory activities. [13-20] (1*R*,8*aS*)-1-Hydroxyindolizidine 3 has been postulated as a biosynthetic precursor [21-26] of (+)-lentiginosine (1), (-)-2-epilentiginosine (2) and (-)-swainsonine, a potentially useful antimetastasis drug for the treatment of cancer.<sup>[15]</sup> In addition, these molecules serve as platforms for testing synthetic strategies, and several asymmetric syntheses of

both enantiomers of 1-hydroxyindolizidine (3) have been reported. [27-34] In continuation of our efforts in the development of enantiomeric malimide-based synthetic methodologies, [35-38] we now report concise and highly diastereoselective syntheses of an aza-spiropyran derivative 7 and (1*S*,8*aR*)-1-hydroxyindolizidine (*ent*-3).

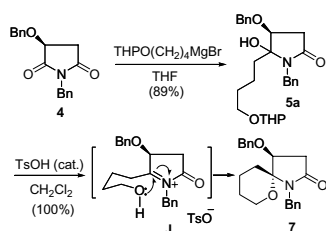
## Results and discussion

Previously, we have shown that the addition of Grignard reagents to *N,O*-dibenzyl malimide 4 leads to *N,O*-acetals 5 in high regioselectivity (Scheme 2), and the subsequent reductive dehydroxylation gives 6 in high *trans*-diastereoselectivity.<sup>[35]</sup> On the other hand, treatment of *N,O*-acetals 5 with an acid furnished enamides E, which can be transformed stereoselectively to either hydroxylactams F or G under appropriate conditions. [36-38] It was envisioned that if a C<sub>4</sub>-bifunctional Grignard reagent was used, both aza-spiroketal H (such as aza-spiropyran, *n* = 1, path a) and indolizidine ring systems I (path b) could be obtained.



**Scheme 2: Synthetic strategy based on *N,O*-dibenzylmalimide (4).**

The synthesis of aza-spiropyran 7 started from the Grignard addition of malimide 4. Treatment of the THP-protected 4-hydroxybutyl magnesium bromide with malimide 4 at -20 °C for 2.5 h afforded *N,O*-acetal 5a as an epimeric mixture in 7:1 ratio and with a combined yield of 89% (Scheme 3). If the reaction was allowed to stir at room temperature overnight, the diastereomeric ratio was inverted to 1: 1.8. Subjection of the diastereomeric mixture of the *N,O*-acetal 5a to acidic conditions [TsOH (cat.)/CH<sub>2</sub>Cl<sub>2</sub>, r.t.] for 0.5 h resulted in the formation of the desired functionalized aza-spiropyran derivative 7 as a single diastereomer in quantitative yield. The result means that a tandem dehydration-THP cleavage-intramolecular nucleophilic addition occurred. When the stirring was prolonged to 2 h, about 5% of another epimer (no shown) was also formed according to the <sup>1</sup>H NMR analysis.



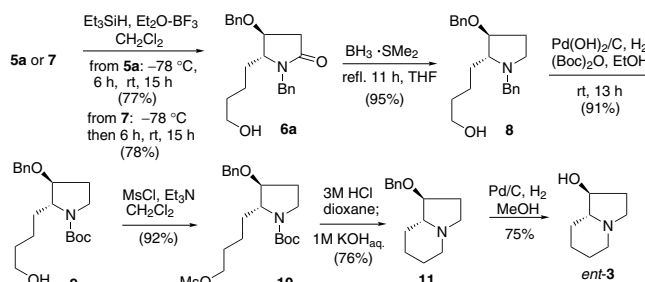
**Scheme 3: Stereoselectivity synthesis of aza-spiropyran 7.**

The stereochemistry of the aza-spiropyran 7 was determined on the basis of the NMR analysis. This was done firstly by a  $^1\text{H}$ - $^1\text{H}$  COSY experiment to confirm the proton assignments, and then by NOESY experiment. As shown in Figure 1, the strong NOE correlation of H-9a ( $\delta_{\text{H}}$  3.59) and H-4 ( $\delta_{\text{H}}$  4.22) indicates clearly  $\text{O}_4/\text{O}_5$ -*trans* relationship in compound 7.

These findings are surprising comparing with our recent observations. In our previous investigations, it was observed that the treatment of *N,O*-acetals 5 with an acid leads to the dehydration products E (Scheme 1), and the two diastereomers of 5 shows different reactivities towards the acid-promoted dehydration. [36-38] The *trans*-diastereomer reacts much more slowly than the *cis*-diastereomer, and some un-reacted *trans*-epimer was always recovered even starting with a pure *cis*-diastereomer. In the present study, not only both two diastereom-

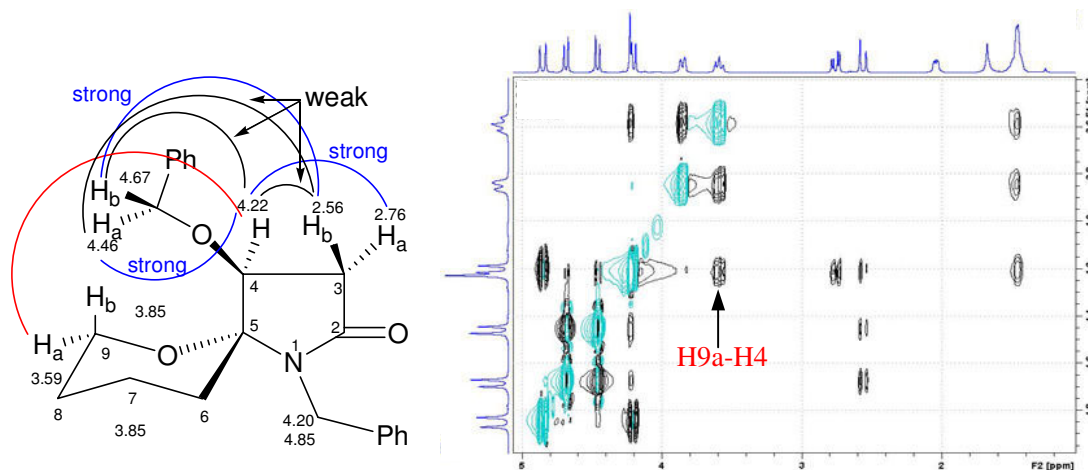
ers have been completely converted to the aza-spiropyran 7, what is equally surprising is that no dehydration product was observed under acidic conditions!

For the synthesis of *ent*-3, aza-spiropyran 7, a cyclic *N,O*-acetal, was converted to lactam 6a under standard reductive dehydroxylation conditions ( $\text{Et}_3\text{SiH}$ ,  $\text{BF}_3 \cdot \text{OEt}_2$ ,  $-78^\circ\text{C}$ , 6 h; warm-up, yield: 78%) (Scheme 4). Under the same conditions, *N,O*-acetal 5a was converted to lactam 6a in 77% yield. It was observed that during the reaction of 5a, 7 was first formed as an intermediate after the addition of  $\text{Et}_3\text{SiH}$  and  $\text{BF}_3 \cdot \text{OEt}_2$ , and stirring for 1 hour.



**Scheme 4: Stereoselective synthesis of (1S,8aR)-1-hydroxyindolizidine (*ent*-3).**

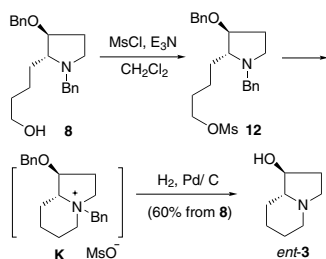
Reduction of lactam 6a with borane-dimethylsulfide provided pyrrolidine derivative 8 in 95% yield. Compound 8 was then converted to (1S,8aR)-1-hydroxyindolizidine



**Figure 1**  
The observed NOE correlations (in part) and the region expanded NOESY spectrum of compound 7.

(*ent*-3)  $\{[\alpha]_D^{27} +50$  (*c* 0.90, EtOH); lit.[29]  $[\alpha]_D +51.0$  (*c* 0.54, EtOH); lit.[32]  $-49.7$  (*c* 0.95, EtOH) for the anti-pode} via a four-step procedure, namely, one-pot *N*-debenzylation-*N*-Boc formation/*O*-mesylation/*Boc*-cleavage/cyclization, and *O*-debenzylation.

In searching for a more concise method, amino alcohol 8 was mesylated (MsCl, NEt<sub>3</sub>, 0 °C) and the resultant labile mesylate 12 was subjected to catalytic hydrogenolysis (H<sub>2</sub>, 1 atm, 10% Pd/C, r.t.), which gave (1*S*,8*aR*)-1-hydroxyindolizidine (*ent*-3) in 60% overall yield from 8 (Scheme 5).[39,40] The one-pot *N,O*-bis-debenzylation and cyclization of mesylate 12 deserves comment. Because the *N*-debenzylation generally required longer reaction time,[41] or using of Pearlman's catalyst (cf. Scheme 4). The easy debenzylation of 12 allows assuming that an intramolecular substitution occurred firstly, and the formation of the quaternary ammonium salt K [40] then favors the reductive debenzylation. This mechanism is supported by the following observations. First, in a similar case, Thompson et al observed that the formation of a mesylate resulted in spontaneous quarternization leading to the bicyclic indolizidine.[40] Second, we have also observed that the tosylate of 8 is too labile to be isolated, and mesylate 12 decomposed upon flash column chromatography on silica gel, which are due to the spontaneous formation of a polar quaternary ammonium salt. In addition, the presence of the *O*-benzyl group in K is an assumption based on our previous observation on a similar case.[42]



**Scheme 5: One-pot synthesis of *ent*-3 from amino alcohol 8.**

## Conclusion

In summary, we have demonstrated that by the reaction of functionalized Grignard reagent with the protected (*S*)-malimide 4, either aza-spiropyran derivative 7 or (1*S*,8*aR*)-1-hydroxyindolizidine skeleton (*ent*-3) can be constructed in a concise and selective manner. It is worthy of mention that in addition to the reductive dehydroxylation leading to 2-pyrrolidinones 6, and the acid-promoted dehydration leading to (*E*)-enamides E (and then F, G), acid treatment of the *N,O*-acetal 5a could provide, chemoselectively and quantitatively, the aza-spiropyran ring system 7. The results presented herein constitute a valuable extension of our malimides-based synthetic methodology.

See Additional File 1 for full experimental procedures and characterization data of the synthesized compounds.

## Additional material

### Additional file 1

Experimental. Experimental procedures for the synthesis of all compounds described, and characterization data for the synthesized compounds.

Click here for file

[<http://www.biomedcentral.com/content/supplementary/1860-5397-3-41-S1.doc>]

## Acknowledgements

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