1 Title: Replication stress increases de novo CNVs across the malaria parasite genome

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18 ABSTRACT

19 Changes in the copy number of large genomic regions, termed copy number variations (CNVs), 20 contribute to important phenotypes in many organisms. CNVs are readily identified using conventional 21 approaches when present in a large fraction of the cell population. However, CNVs that are present in 22 only a few genomes across a population are often overlooked but important; if beneficial under specific 23 conditions, a de novo CNV that arises in a single genome can expand during selection to create a larger 24 population of cells with novel characteristics. While the reach of single cell methods to study de novo 25 CNVs is increasing, we continue to lack information about CNV dynamics in rapidly evolving microbial 26 populations. Here, we investigated de novo CNVs in the genome of the *Plasmodium* parasite that causes 27 human malaria. The highly AT-rich P. falciparum genome readily accumulates CNVs that facilitate rapid 28 adaptation to new drugs and host environments. We employed a low-input genomics approach 29 optimized for this unique genome as well as specialized computational tools to evaluate the de novo 30 CNV rate both before and after the application of stress. We observed a significant increase in genome-31 wide de novo CNVs following treatment with a replication inhibitor. These stress-induced de novo CNVs 32 encompassed genes that contribute to various cellular pathways and tended to be altered in clinical 33 parasite genomes. This snapshot of CNV dynamics emphasizes the connection between replication 34 stress, DNA repair, and CNV generation in this important microbial pathogen.

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36 INTRODUCTION

37 Changes in the copy number of large genomic regions, termed copy number variations (CNVs), are a 38 source of phenotypic diversity for many organisms (as reviewed in [1-3]). The structure of CNVs and 39 their formation is complex; they can involve a few base pairs or whole genes, encompass many 40 structural forms (e.g. tandem arrays, inversions, translocations), and be generated by a range of DNA repair pathways (e.g. recombination and end-joining pathways). CNVs are especially important for 41 42 rapidly evolving microbes such as bacteria, yeast, and viruses by contributing to antimicrobial resistance, 43 nutrient acquisition, and pathogenesis [4-6]. CNVs also contribute to cancer growth and progression ([7-44 10] and reviewed in [11, 12]). Further, we are beginning to appreciate CNVs as drivers of other human 45 disorders and disease susceptibility including blood, metabolic, neurological, and infectious diseases [13-46 21].

47 Increased access to genome sequencing has facilitated the identification of these important genomic 48 rearrangements, especially following selection. CNVs that are identified using standard "bulk" analysis 49 approaches (e.g., read coverage methods) are present in a large fraction of the cell population (>50% 50 [2]). However, those in a minority of genomes, or even a few genomes, are "averaged" away during 51 analysis steps. This artifact limits our ability to assess how CNVs arise and contribute to the genomic 52 diversity of individual cells within a population. Such diversity is important; if beneficial under specific 53 conditions, a CNV that arises in a single genome can expand during selection into a larger population of 54 cells with novel characteristics [22, 23]. This rapid expansion is exemplified when minor bacterial 55 populations with higher gene copy numbers confer "heteroresistance" during clinical antibiotic selection 56 [24, 25, 26]. In another example, higher levels of intra-tumor heterogeneity in gene copy number 57 predict a poorer cancer prognosis [27-29].

58 In order to observe a genome's evolutionary potential in the absence of selection, we require 59 approaches specifically designed to detect CNVs that are not present in a predecessor "parental" 60 genome. Due to their rare and novel nature, these events are commonly termed "de novo" CNVs [3, 30-61 34]. Early experimental progress detecting de novo CNVs involved cloning individual cells, which takes 62 time, is prone to contamination, and prevents detection of detrimental CNVs [30-32]. Misalignment of 63 short-reads to reference genomes (i.e. discordant or split reads) can also indicate the presence of de 64 novo CNVs, but false positives are common if matched normal samples are not available (reviewed in 65 [35]).

66 Recent methods that isolate single cells have been successful at assessing de novo CNVs during 67 experimental evolution, disease progression, and tissue development (as reviewed in [35-39]). De novo CNVs have been tracked in evolving yeast genomes using flow cytometry to quantify fluorescent 68 reporters integrated into a specific selectable locus [33]. This approach is sensitive but provides a limited 69 70 view of CNV dynamics by focusing on a single or few specific loci. Single cell transcriptomics, which infers 71 gene copy number using mRNA abundance, can identify large structural changes across genomes from 72 heterogeneous tumor samples [40-43]. This approach averages relative expression over Mb-sized 73 genomic regions and thus, is not applicable to identify smaller de novo CNVs. Single cell genomics, 74 where individual genomes or nuclei are isolated and amplified to a level that can be sequenced, has 75 been used to directly quantify de novo CNV rates in brain tissue and cancer cells [27, 44-49]. A recent 76 approach, termed direct library preparation, is free from amplification steps, thus limiting genome 77 skewing; however, this method is less accessible due to the requirement for specialized cell dispensers 78 (microfluidics or piezoelectric) and has not been tested with CNVs smaller than 500kb [50]. While the 79 reach of single cell methods is expanding, we continue to lack information about de novo CNVs in 80 microbes and their dynamics in evolving populations.

81 The Plasmodium parasite that causes malaria readily accumulates kb-sized CNVs in its genome [51-54]. 82 CNVs impact the survival of malaria, allowing this parasite to evade clinical detection [55], expand 83 beneficial gene families [56], invade new host cells [57], and develop clinical antimalarial resistance [58-84 60]. We are specifically interested in the genetic diversity of one species of malaria, P. falciparum, since 85 this may explain its rapid adaptation to new drugs and host environments [61]. Due to its relatively small, AT-rich genome (23 Mb, 19.4% GC [62]), low-input genomics is challenging in this single cell 86 87 protozoan. However, we previously optimized a single cell genomics approach and developed novel 88 computational tools to evaluate de novo CNVs in the P. falciparum genome [63] (see Materials and 89 Methods for tool details).

Here, we combined these advancements and made additional improvements to investigate de novo CNV formation in the *P. falciparum* genome. Since various types of cellular stress can induce genetic change (reviewed in [2, 64-66]), we also evaluated the impacts of replication stress on de novo CNV identification. Using our low-input genomics pipeline, we observed that replication stress increased the number of de novo CNVs across the parasite genome. This study of genome dynamics, along with improved tools, increases our understanding of how stress can stimulate rapid microbial evolution.

96

97 **RESULTS**

98 Refined low-input genomics pipeline increased efficiency and accuracy

99 We developed a robust pipeline for assessing the frequency of de novo CNVs in the *Plasmodium* genome 100 based on our prior studies (Fig. 1). We adapted our single cell genomics method to improve parasite 101 isolation and whole genome amplification steps ([63], Fig. 1A, Fig. S1). In this modified protocol, we 102 used fluorescence-activated cell sorting (FACS) to isolate parasites to improve efficiency and modified 103 aspects of our whole genome amplification method to improve coverage (Table S1, Fig. S1). We also 104 sorted low-cell populations to increase accuracy (e.g. 2-cells per well, Fig. S2), and added quality control 105 steps that confirmed our samples were of high quality prior to short-read sequencing. The resulting low-106 input genomics pipeline consisted of basic steps including parasite isolation using flow sorting, whole 107 genome amplification using a modified MALBAC-based approach, quality control confirmation, short-108 read sequencing, and CNV analysis (Fig. 1A).

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111 Figure 1. Low-input genomics approach for analysis of malaria parasite genomes under stress. Early erythrocytic stage P. 112 falciparum parasites grown in vitro were treated with a replication inhibitor (DSM1) or the solvent control (dimethylsulfoxide) 113 followed by recovery and reinvasion to produce a new round of ring stage parasites. A. For low-input genomics, parasite-114 infected erythrocytes were isolated using flow sorting (10-cell control or 2-cell samples). Parasite genomes were amplified using 115 a modified MALBAC-based whole genome amplification approach (Fig. S1). Quality control steps involved DNA quantification to 116 assess amplification success, droplet digital PCR to assess parasite genome amplification, and PCR-high resolution melting to 117 assess sample cross-contamination. After Illumina short-read sequencing, reads are filtered, trimmed and used as input for 118 copy number variation analysis using HapCNV and LUMPY. B. Parasite erythrocytic life cycle including approximate timing (h), 119 genome number (n), and effect of treatment.

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121 Replication stress followed by a recovery period led to isolation of healthy parasites

122 To explore the impact of replication stress on de novo CNV generation, we treated the parasites with an

- 123 antimalarial that inhibits DNA replication by limiting pyrimidine pools; DSM1 targets *Plasmodium* the
- 124 dihydroorotate dehydrogenase enzyme [67]. Application of DSM1 for an extended period kills parasites
- by perturbing DNA synthesis (>48hrs at 10x the EC_{50} , [68]). However, short-term treatment reversibly

stalls parasite replication similar to another replication inhibitor, aphidicolin (Fig. S3). For low-input 126 127 genomics, we applied DSM1 to ring-stage parasites for a brief time (12hr, Fig. S4A and B). Similar to our 128 pilot experiment (Fig. S3A), we observed that treated parasites stalled prior to replication (Fig. S4C and 129 E) and slightly decreased their growth rate compared to untreated parasites (Fig. S4G). We harvested 130 viable parasites after a recovery period (~30hrs, Fig. S4H), where we allowed parasites to complete an 131 additional round of replication and erythrocyte invasion to produce those that have a single, haploid 132 genome (rings, Fig. S4D and F). The recovery stage was essential as it allowed parasites to repair the 133 DNA damage accumulated during treatment. As a control for cross-sample contamination between 134 isolation wells, we isolated and amplified untreated parasites with different genetic backgrounds (FCR3 135 vs Dd2, Table 1, Fig. S5).

- 136 Prior to isolation of low-cell populations, we confirmed that untreated and DSM1-treated parasites were
- 137 at a similar life cycle stage and viability using staining for mitochondrial membrane potential (Table 1,
- **Fig. S5A**); we saved a portion of these samples for parasite population sequencing (i.e. bulk samples).
- 139 We then proceeded to isolate small populations of viable, ring stage parasites using FACS (Fig. S5B) for
- 140 whole genome amplification.
- 141

142 Table 1: Parasite density, staging, and health at isolation for low-input genomics.

Line/Treatment*	Mean % Parasitemia ^{\$}	Mean % Rings	Mean % Viability [@]
Untreated FCR3^	0.6%	90%	91%
Untreated Dd2^	0.8%	87%	94%
DSM1-treated Dd2	0.6%	77%	95%

*Treatment conditions: 1µM DSM1 (~10x EC50) for 12hrs prior to 30.8hrs of recovery. Untreated samples were incubated with
 DMSO as a solvent control for 12hrs and allowed to recover for 28-30hrs (Fig. S4H). ^{\$}Parasitemia was determined by calculating
 the number of infected erythrocytes (SYBR Green+) compared to uninfected erythrocytes (SYBR Green-) (Fig. S4).[®]Viability of
 parasites was determined by measuring the mitochondrial membrane potential (Mitoprobe+) and calculating % of total SYBR
 Green-based parasitemia (plots presented in Fig. S4D, S4F, and Fig. S5). *FCR3* (Africa) and *Dd2* (Southeast Asia) parasite lines
 are from distinct geographic origins [69, 70].

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150 Quality assessments showed effective isolation and amplification of low-input samples

151 We sorted low-cell populations from each parasite group into 60 wells of a 96-well plate (FCR3, 152 untreated Dd2, and treated Dd2), including 6 wells with 10-cells and 56 wells with 2-cells. Ten-cell wells 153 served as positive controls for the whole genome amplification step and 2-cell wells provided the 154 optimal balance between sorting accuracy (Fig. S2) and de novo CNV detection. Zero cells were sorted 155 into the top 2 rows of the plate ("no-cell" wells). After parasite lysis and applying PfMALBAC version-2 156 whole genome amplification (Fig. S1), we assessed amplification success using three approaches. First, 157 we measured the resulting DNA quantity across 80% of the amplified wells (Fig. S6). On average, 158 MALBAC amplification in wells that contained sorted parasites yielded ~120ng of total DNA per reaction, 159 with a ~10% increase in DNA for 10- vs 2-cell samples (mean of 127ng versus 116ng total, respectively). 160 We did not detect position-based bias across plates or appreciable amplification from no-cell wells, but 161 we did observe that treated Dd2 wells had ~3-fold lower levels of amplification than other samples

(mean of 51ng versus 151ng total, respectively). There was little difference in mean amplified DNA
 amounts between the two untreated sample groups (*FCR3* at 146ng and untreated-*Dd2* at 152ng).

Second, we performed droplet digital PCR (ddPCR) for parasite-specific genes on approximately onethird of wells post isolation to confirm the amplification of the parasite genome. DdPCR for *pfmdr1* and *pfhsp70* displayed that wells with measurable DNA contained amplified parasite DNA (**Fig. S6** and **S7**). Additionally, we confirmed that 2-cell wells with very low total DNA amounts (**Fig. S6**) were positive for parasite genomes while "no-cell" wells did not show evidence of parasite material (**Fig. S7**).

Finally, we employed high-resolution melting analysis to profile a drug resistance marker that differs between *FCR3* and *Dd2* parasites. By assessing the *pfdhps* SNP profile of amplified genomes and comparing it to the parental profile in ~10% of samples, we confirmed that there was no evidence of cross-sample contamination during the preparation and amplification steps (**Fig. S8**). Therefore, we proceeded to sequence the amplified bulk and low-input samples (**Table S2**).

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175 Coverage deviation and SNP profiles exhibited expected trends in low-input samples

176 We sequenced 3 bulk samples and 90 low-input samples using Illumina short-read sequencing (Table 2 177 and Table S3). Overall, sequencing proceeded well as indicated by coverage and coverage deviation of 178 the bulk samples, as well as an equivalent mean mapping quality across all samples (Table 2). Because 179 we noticed that treated Dd2 wells had lower levels of DNA following amplification (Fig. S6), we 180 sequenced higher amounts of material for this condition; this choice impacted mean coverage levels 181 where treated Dd2 samples had ~4-times higher coverage than untreated Dd2 samples (Table 1). The 182 percent of total reads that mapped to the *P. falciparum* genome was high across all samples (mean of 183 67%), indicating efficient amplification of the parasite genome and little contribution of environmental 184 contamination during sample amplification. As expected based on previous studies [63], coverage 185 deviation was ~3-fold higher in low-input samples when compared to bulk samples, reflecting the bias of 186 the whole genome amplification step to over- or under-amplify specific genomic regions.

We removed five low-input samples from further analysis based on low coverage levels; on average, excluded samples had ~7-times lower coverage than other low-input samples (**Table S3**). Of the remaining samples, 18 were 10-cell samples and 57 were 2-cell samples. Although mean coverage was ~2-fold higher for 2-cell samples (due to the higher coverage of treated low-input samples), mean normalized deviation was similar between 10- and 2-cell samples (3.5 and 3.1, respectively).

192 To evaluate the quality of the sequencing data, we tracked SNPs in the low-input samples compared to bulk samples. Despite some variation due to the non-clonal nature of parasite lines (see Materials and 193 194 Methods), low-input SNP profiles were similar to their corresponding bulk sample (Fig. S9A). After 195 normalizing total SNPs to mapped reads, we detected a lower rate of SNPs in treated samples compared 196 to untreated counterparts (p value of 0.0001, Fig. S9B). We did not detect a correlation between 197 normalized total SNPs and amplification quality (Fig. S9C, R₂: untreated Dd2, 0.01; treated Dd2, 0.01); 198 we did observe a positive correlation between SNP number and coverage depth in treated versus 199 untreated Dd2 samples (Fig. S9D, R2: untreated Dd2, 0.66; treated Dd2, 0.27), indicating that the 200 difference in SNP numbers is likely due to varying sensitivity at different levels of read coverage [71, 72].

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Table 2: Sequencing Summary for low-input samples and paired bulk samples

		No. of samples **	Mean no. mapped reads per sample	Mean coverage per sample	Mean Coefficient of Variation (CV [#])	Mean mapping quality
Bulk	Untreated FCR3	1	9,966,137	54.9	59.5	58.2
Low-input	Untreated FCR3	16	2,694,209	13.7	105.1	58.2
Bulk	Untreated Dd2	1	5,735,107	33.6	33.3	58.5
Low-input	Untreated Dd2	33	2,579,674	13.2	82.6	58.3
Bulk	Treated Dd2	1	72,380,215	416.3	35.2	58.5
Low-input	Treated Dd2*	36	9,936,903	53.7	86.5	58.4

*On average, ~4x more material was loaded on the flow cell for the treated samples than the untreated samples due to lower
 initial amplification yields (Fig. S6).

207 **Excludes samples that were removed due to low coverage. For low-input samples, includes both 10- and 2-cell samples.

[#]CV is the coefficient of variation of normalized read abundance as in [63]

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211 Experimental and computational advances improved known CNV calls across low-input samples

212 For the current study, we employed two different CNV calling methods in low-input samples. HapCNV is 213 a novel read coverage-based CNV calling method specifically designed for low cell data from haploid 214 genomes [73]. In contrast to traditional methods that arbitrarily select reference samples for CNV data 215 normalization, HapCNV constructs a genomic location (or bin)-based pseudo-reference as a comparison 216 baseline. This step systematically alleviates amplification bias for the identification of de novo CNVs. 217 LUMPY is an established CNV calling method that exhibits high sensitivity due to the incorporation of 218 multiple CNV signals (i.e. split and discordant reads) generated from short-read sequencing. It is 219 particularly well-suited for detecting low-frequency variants in low-coverage datasets; however, for 220 many CNV callers, high sensitivity leads to higher false positives [74-76].

221 Using these two distinct CNV calling methods, combined with a recently developed CNV counting 222 approach, we evaluated the presence of known CNVs in our 2-cell samples (Fig. 2A). The identification of 223 known CNVs (i.e. those identified in the bulk sample, see Materials and Methods) within low-input 224 samples displays the utility of the specific CNV calling method for different size CNVs in specific genome 225 locations. In our previous study, we identified 2 of the 3 known CNVs in ~10% of single cell genomes 226 [63]. In the current study, we identified the pfmdr1 amplicon in 100% of 2-cell samples using HapCNV 227 (57/57) and 79% of samples using LUMPY (45/57). We did not identify the pf11-1 amplicon in any 2-cell 228 samples using HapCNV (0/57) but detected this locus in 75% of samples using LUMPY (43/57). Finally, we identified pf332 CNVs in 46% of 2-cell samples using HapCNV (26/57) and 100% of samples using 229 230 LUMPY (57/57). Although our two studies used different CNV calling methods and are not directly

comparable, the overall improvement in the detection of known CNVs in the current study is likely due

to advances in both the whole genome amplification method to limit amplification bias (**Table S1**) and

recently developed analyses approaches. When we evaluated the detection of three known CNVs in *Dd2*

low-input samples, we observed a somewhat higher rate of known CNV detection by either method in

treated samples (Fig. 2B, HapCNV: mean of 1.2 out of 3 total CNVs for untreated and 1.7 for treated

236 (increase of 42%), LUMPY: mean of 2.1 out of 3 total CNVs for untreated and 2.9 for treated (increase of

237 38%, **Table S4**).

238 De novo CNVs in low-input samples consisted of rare and common CNVs

239 We next sought to quantify de novo CNVs in low-input samples. We defined de novo CNVs as those not 240 present in the bulk sample and we categorized them based on their frequency in low cell samples. 241 "Common" CNVs were present in a larger number of genomes ($\geq 10\%$ of the same sample type, i.e. 242 untreated or treated), and "rare" CNVs were those that occurred in a small proportion of samples (<10% 243 of the sample type) (Fig. 2A). Overall, LUMPY detected more total de novo CNVs than HapCNV across all 244 samples (12-fold), and the proportion of rare versus common CNVs varied depending on the method 245 (18% vs 82% for HapCNV, 61% vs 39% for LUMPY, respectively, Table S4). Additionally, de novo CNVs 246 were more often identified as duplications than deletions for both CNV calling methods (Fig. 2C).

247 To understand the nature of common and rare CNVs, we also assessed how often their locations 248 overlapped across the two sample types (untreated and treated, Fig. S10). This analysis is useful for 249 tracking common/rare category utility and relevance. For utility, this step acts as a sanity check since, by 250 definition, we do not expect rare CNV locations to overlap as often as common CNVs. For relevance, de 251 novo CNVs with conserved locations across sample types are less likely to represent true CNVs newly arising in a genome. As expected, we identified many common CNVs with conserved locations (22% for 252 253 HapCNV and 52% for LUMPY, Fig. S10A). The lower rate of overlapping calls across samples for HapCNV 254 is likely due to the bin-based normalization strategy to remove amplification artifacts [73]. Conversely, 255 we found that rare CNVs were predominantly called in unique genome locations (94% for HapCNV and 256 97% for LUMPY, Fig. S10A), supporting their novel nature. This pattern was consistent when we 257 randomly down-sampled all sequencing data to the lowest read coverage prior to CNV calling (1.3 258 million reads, Fig. S10B). This comparison not only highlights the suitability of the common and rare 259 categories but also the difference between the CNV calling methods. Based on these observations, for 260 the following analysis, we assessed common and rare CNVs using both methods to capture the broadest 261 view of stress effects on CNV generation.

262 Genome-wide de novo CNVs increased following replication stress

When we compared de novo CNVs in genomes with and without replication stress, we found that results 263 were consistent regardless of the CNV calling method (Fig. 2). While the proportion of duplication and 264 265 deletions did not change appreciably with treatment (Fig. 2C), we identified a highly significant 266 difference in de novo CNVs between treated and untreated 2-cell samples (p value of 0.0002 for 267 HapCNV and <0.0001 for LUMPY, Fig. 2D). This pattern was consistent when we down-sampled all 268 sequencing data (p value of 0.001 for HapCNV and <0.0001 for LUMPY, Fig. 2E), indicating that the 269 difference in de novo CNV counts between treatments was not due to read coverage. When we 270 assessed common and rare CNV categories, we once again observed highly significant differences 271 between treated and untreated 2-cell samples in common CNVs using both methods (common: p value

- 272 0.0004 for HapCNV and <0.0001 for LUMPY, Fig. 2F; rare: p value of 0.008 for HapCNV and <0.0001 for
 273 LUMPY, Fig. 2G).
- 274 When we compared the proportion of de novo CNVs relative to total CNVs per sample, rare CNVs were
- significantly increased over common CNVs (p value of 0.003 for HapCNV and 0.009 for LUMPY, **Fig. 2H**).
- This difference persisted regardless of down-sampling (p value of 0.02 for HapCNV and 0.004 for LUMPY,
- **Fig. S11**) and is in line with our assessment above that rare CNVs are more likely to be novel in nature
- 278 (Fig. S10). Overall, we detected a ~2-3-fold increase of de novo CNVs in treated samples, regardless of
- the CNV calling method (**Table 3**). Once again, rare CNVs displayed the largest increase following
- 280 treatment (~3-4-fold, **Table 3, Fig. 2I**).

281 De novo CNVs represented diverse cellular pathways with clinical benefits

282 When we compared overlaps between the HapCNV and LUMPY (Fig. 3A), we detected a set of CNV 283 regions that was consistent within sample groups (5 for untreated and 38 for treated, Table S5). The frequency of these "high-confidence" CNVs also reflected the increase in CNVs following replication 284 285 stress (with knowns excluded, ~15-fold increase in treated samples). Overall, high-confidence CNVs were 286 located on the majority of chromosomes (12 of 14, Fig. 3B) and represented both duplications and 287 deletions (Fig. 3C). Of note, approximately half of these regions were identified as "rare" by both CNV 288 calling methods across treated samples (17/36, 47%; Table S5), indicating that novel CNVs were stimulated in parasite genomes under stress. When we searched for genes that are covered by these 289 290 regions, we identified 26 genes (across 3 de novo CNV regions) and 198 genes (across 37 de novo CNV regions) in untreated and treated Dd2 samples, respectively (Table S5). Emphasizing their random 291 292 nature, genes encompassed by the CNV regions represented diverse protein classes (Fig. 3D) and no 293 gene ontology (GO) categories were significantly enriched (using an FDR adjusted p value of 0.05, Table 294 S6).

295 Finally, we evaluated whether CNVs that arose under stress were important for parasite survival by 296 comparing genes covered by our treated CNV regions to those from the largest catalogue of clinically 297 relevant CNVs to date. This list of high frequency CNVs was previously called using genomes from 2855 298 parasite isolates from 21 malaria-endemic countries and represented genes from larger (>300bp), high-299 quality, core genome variants [59]. Assuming ~5000 genes in the core P. falciparum genome [77], we 300 found that genes from the two lists were ~2-times more likely to overlap than by chance (chi-square 301 odds ratio of 2.5 for HapCNV-treated CNV list and 1.6 for LUMPY-treated CNV list). This significant 302 association between CNV locations suggests that stress-induced de novo CNVs have the potential to be 303 beneficial in the clinical environment (Fisher's exact p value <0.0001 for HapCNV-treated CNV list and 304 0.03 for LUMPY-treated CNV list).

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Table 3. Mean CNV counts per 2-cell sample using two analysis methods.

CNV detection method ^{\$}	Condition (2-cell only)	Rare CNVs per sample*	Common CNVs per sample*	Combined de novo CNVs per sample^
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	treated <i>Dd2</i>	7	23	15
HapCNV	untreated <i>Dd2</i>	1.7	15	8
	fold change	4.4	1.6	1.9
	treated <i>Dd2</i>	297	163	230
LUMPY	untreated <i>Dd2</i>	99	68	84
	fold change	3.0	2.4	2.7

307 308 *Includes both duplications and deletions. Values are calculated by taking the mean of CNV counts per sample within each category. ^De novo CNV counts combined the subcategories of rare (<10% of samples) and common CNVs (>10% of samples, absent in bulk). ^{\$}CNV analysis performed using all reads.

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Figure 2. Low cell genomics displays an increase in de novo CNVs following replication stress. Number of CNVs from untreated (U-*Dd2*) and treated (T-*Dd2*) 2-cell samples from two CNV analysis methods: HapCNV and LUMPY. Statistics for all plots use an unpaired T-test with two tailed Welch's correction (****:p value <0.0001; ***: <0.001; **: <0.01; *:<0.05; no stars: not significant). Analyses include all reads, unless otherwise indicated (i.e. panel E is down-sampled). Line at mean value for

316 each dataset. A. Depiction of CNV categories used in the analysis. Known CNVs (orange) are detected in bulk samples and 317 present in all low cell samples. De novo CNVs are not present in bulk samples are considered common (green, <10%) or rare 318 (teal, <10%) depending on their frequency across the 2-cell samples. B. Detection of three known CNVs in 2-cell samples. 319 Known CNVs were identified in Dd2 bulk sequence (either pfmdr1, pf11-1, or pf332). 0: no known CNVs were detected in 2-cell 320 sample; 1/2/3: one/two/or three known CNVs were detected in 2-cell sample (see Table S4 for sample counts). C. Proportion of 321 total CNVs detected as duplications (Dup) or deletions (Del) in untreated (U) or treated (T) 2-cell Dd2 samples (p values: 0.02 for 322 Dup and 0.03 for Del from LUMPY). D. Detection of de novo CNVs (common and rare combined) from all reads (p values: 0.0002 323 for HapCNV, <0.0001 for LUMPY). E. Detection of de novo CNVs (common and rare combined) from down-sampled reads (p 324 values: 0.0014 for HAPCNV and <0.0001 for LUMPY). F. Detection of common CNVs from all reads (p values: 0.0004 for HapCNV, 325 <0.0001 for LUMPY). G. Detection of rare CNVs from all reads (p values: 0.008 for HapCNV, <0.0001 for LUMPY). H. Proportion 326 of total CNVs detected as rare and common from all reads; pie charts plot the mean but statistics are calculated using all data 327 points from the rare CNV category (p values: 0.003 for HapCNV, 0.009 for LUMPY). Pie chart size does not represent total de 328 novo CNV numbers (~12x higher for LUMPY, Table S4) I. Mean fold change between rare and common CNVs detected by 329 HapCNV and LUMPY in untreated and treated *Dd2* 2-cell samples (see Table 3).



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331 Figure 3: High-confidence CNVs are located across the genome and represent diverse protein classes. A. Comparison of CNV 332 calls showing the number of CNV regions consistent across the two CNV calling methods. High-confidence CNVs in untreated 333 samples (U-Dd2, in yellow text); high-confidence CNVs in treated samples (T-Dd2, in white text). Central number (grey): CNVs 334 consistent across all samples and calling methods (includes 2 known CNVs and 2 de novo CNVs, Table S5). B. Chromosomal 335 location of high-confidence CNVs identified by both HapCNV and LUMPY methods (green) from untreated (black) and treated 336 (red) parasites. Only core regions of the genome are included in the representation; subtelomeric regions as defined by Otto, et 337 al. were omitted. Each CNV region was increased by a factor of 2 to facilitate visualization relative to the rest of the genome. *, 338 de novo high-confidence CNVs identified in both untreated and treated samples. C. Summary of duplications and deletions 339 represented in high-confidence CNV list. U-Dd2, untreated; T-Dd2, treated. Mix: sub-regions were called as duplications and 340 deletions across a single CNV region by the same CNV calling method (i.e. HapCNV or LUMPY). D. Panther classification system 341 v19 protein class comparison. Top chart: protein classes from all annotated P. falciparum genes. Bottom charts: protein classes 342 represented by high-confidence CNV regions in untreated (U-Dd2) and treated (T-Dd2) samples. UC: unclassified proteins 343 (green). Other colors are randomly assigned by the program to represent diverse protein classes.

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345 Discussion:

346 De novo CNVs are not detected when analyzing a population of parasites predominantly because their 347 signal (e.g. extra reads that align to that region or reads that span breakpoints) is negated by the 348 overwhelming signal from normal copy number at that genome location. For this reason, assessments of 349 fewer cells are necessary to investigate de novo CNV generation. Here, we optimized a low-input 350 analysis pipeline and successfully isolated, amplified, and sequenced P. falciparum samples. With 351 experimental and computational improvements, we were able to increase our rate of parental, or 352 "known", CNV calling over our prior study [63]. Importantly, we detected non-parental, or "de novo", 353 CNVs across the parasite genome and replication stress significantly increased their rate formation. By 354 analyzing ~45 low-input samples per condition, we are limited to observing a subset of the population. 355 However, our findings demonstrate that replication stress readily drives the rapid generation of de novo 356 CNVs. Below we cover how this study contributes to our understanding of genome evolution and 357 integrate it with an overarching model of *P. falciparum* adaptation.

358 Application of sub-lethal stress without evidence of selection to explore CNV dynamics

359 To evaluate the impact of replication stress on de novo CNV generation, we applied sub-lethal treatment 360 to parasites just prior to replication. We observed replication stall and then resume post-treatment, 361 which provided evidence that we successfully applied non-lethal stress (Fig. S3 and S4). Following this step, we allowed the parasites to complete replication and reinvade new erythrocytes. We reasoned 362 363 that this "recovery phase" enabled the repair of the resulting DNA damage, which is likely to be 364 replication-dependent (reviewed in [1, 78]. Additionally, reinvasion facilitated the isolation of haploid 365 parasite genomes (1n, Fig. 1B and S4B), encouraging the detection of de novo CNVs due to limited 366 contrasting signal [36]. Because of the reinvasion step, which involves an expansion in parasite number 367 (~3-fold, Fig. S4G), there was a potential to select for beneficial DNA changes across the population of 368 parasites. However, we did not detect evidence of strong selection from SNP profiles (Fig. S9A) or high-369 confidence CNV regions (Fig. 3, Table S5). Specifically, we did not observe a preference for CNVs that 370 encompassed the dihydroorotate dehydrogenase gene (pfdhodh), which contributes directly to DSM1 371 resistance [79], or enrichment of CNVs that include genes from DNA-related pathways (Table S6).

372 Relative comparison using multiple CNV calling methods to appreciate the impact of stress

373 De novo CNV estimates using single cell methods from neurons, yeast, and human cancer vary greatly 374 and are difficult to standardize due to the use of different experimental techniques and CNV calling 375 methods [33, 34, 80]. For these reasons, we are not attempting to compare the rate of P. falciparum 376 CNV formation from this study to those from other organisms. Additionally, this lack of standardization 377 in the field led us to use multiple CNV calling methods in our analysis. Due to the strengths and 378 weaknesses of HapCNV and LUMPY, we observed differences in both known (Fig. 2B) and de novo CNV 379 (Table S4) calling using the two methods. LUMPY identifies reads that cover breakpoint regions to 380 sensitively detect CNVs [74]; because we are counting regions with few reads as support, both sensitivity 381 and the number of false positives are high in this analysis. High known calling rates along with high 382 numbers of de novo CNVs in our studies exemplified this feature of LUMPY. On the other hand, HapCNV 383 uses a genome-specific pseudo-reference for normalization, which removes repeated patterns of over-384 and under-amplification ([73], and Materials and Methods); because we require read coverage to span 385 3 consecutive 1kb bins, small CNVs in lower coverage genomic neighborhoods are excluded in HapCNV 386 anaylsis. This limited the detection of smaller known amplicons (pf11-1 and pf332) and led to fewer de 387 novo CNV calls using this method. Given the high abundance of small CNVs (<300bp) in the parasite 388 genome [59, 81], HapCNV is likely underestimating their impact in our studies.

389 Ultimately, the value of our study is in the relative comparison of treated and untreated samples by 390 both methods. In this case, we assume that false positive CNVs occur at a similar rate across both groups, which allowed us to confidently assess the impact of stress. Variations in known and de novo 391 392 CNV calling described above serve to remind us that no CNV calling method is perfect and combining 393 them can improve confidence in results [63, 76, 82, 83]. Therefore, we investigated de novo CNV patterns using the two individual methods (Figs. 2, S10, S11) as well as those that overlapped between 394 395 HapCNV and LUMPY (Fig. 3, Table S5). Importantly, these "high-confidence" CNVs reflected increases 396 after stress previously detected using the individual tools, albeit at a greater level (3- vs >10-fold 397 increase). Additionally, we speculate that newly arising CNVs would have distinct locations across 398 samples; thus, the rare nature and unique locations of high-confidence CNVs emphasized their potential 399 to be novel (Table S5).

400 De novo CNV categories highlighting existing and novel genome variation

During our investigations, we identified two types of de novo CNVs; those detected in one or a few genomes (rare) and those detected in more than a few (common). There is no precedence for these CNV categories in the context of *Plasmodium* biology (i.e. a haploid parasite with asynchronous replication and schizogeny [84]). However, we propose that tracking these categories helps us to understand the biological relevance of de novo CNVs in our analysis.

406 Based on their frequency, common CNVs are either artifacts of low-input procedures/CNV analysis or 407 represent minor variants that preexist in the population or arise early in the replication cycle. For the 408 former, bias during the whole genome amplification step (i.e. the repeated pattern of over/under-409 amplification that occurs in a reproducible pattern across the parasite genome [63]) and PCR during 410 library construction have the potential to skew gene copy number and increase the false positive rate 411 [85, 86]. However, we chose experimental and computational methods designed to limit the 412 contribution of amplification bias. First, MALBAC amplification itself limits the over-amplification of 413 genomic regions by avoiding exponential amplification at the earliest steps [85] and we used limited PCR cycles during library preparation (3 cycles, [63]). These efforts are most clearly shown through the 414 415 reduction in CV following MALBAC optimization in both of our studies (by ~39% after modifying the 416 amplification primer [63] and by 43% after switching to the Bsu polymerase, **Table S1**). Second, LUMPY 417 is not dependent on read coverage and HapCNV specifically addresses amplification artifacts by 418 removing repeated signal present in all samples [73, 74]. Overall, we detected very few CNVs with 419 conserved genomic locations across low-input samples, which provides evidence that our methods limit 420 the effect of amplification bias on the final results; we only identified two high-confidence CNV regions 421 that had conserved locations across multiple Dd2 and FCR3 2-cell samples (Fig. 3B, Table S5). In the 422 future, single-read visualization of long-reads may offer advantages in distinguishing amplification bias from minor variants and de novo CNVs [87]. 423

Rare CNVs, on the other hand, represent either random noise or true signal from novel CNVs arising in the genome. We assert that most noise is removed through normalization procedures, especially with HapCNV, and the impact of remaining false positives are minimized by the relative comparison of our studies (see above). We identified the majority of rare CNVs in unique genome locations across sample types, providing evidence that they are not a result of amplification bias where the same CNVs are repeatedly detected in each sample. Additionally, the greater impact of stress on rare CNVs than common CNVs (**Table 3** and **Figs. 2G, 2I**) supports their replication dependence. The random nature of

de novo CNVs, as well as the capacity to encompass any gene across the genome, ensures that CNVs can

alter all aspects of parasite biology in response to the host environment. Further, our finding that stress-

433 induced de novo CNVs tended to exhibit altered copy number in clinical isolates combined with the high

frequency of unique CNVs in previous genome-wide CNV studies [53, 59], directly illustrate the expansive evolutionary potential of this organism.

436 Adaptations that encourage de novo CNV formation

437 The current model of CNV formation in asexual erythrocytic P. falciparum parasites is that AT-rich 438 sequences form hairpins, disrupt replication, and eventually lead to double-strand breaks that are 439 repaired by error-prone pathways [61]. The evolution of CNVs in this organism is especially interesting 440 because of its unique genome architecture and alternative repertoire of CNV-generating repair 441 pathways [62, 88]. Although they arise at many locations across the genome ([51, 52, 59], Fig. 3A), P. 442 falciparum CNVs that contribute to adaptation are commonly gene duplications with a relatively simple 443 structure. Many impactful duplications form in tandem head-to-tail orientation ([61, 79, 89, 90], Fig. 444 4A), which is likely due to a limited repertoire of DNA repair pathways; P. falciparum lacks the canonical 445 nonhomologous end-joining pathway that contributes to CNV formation in other organisms [1, 88]. 446 Instead, parasites use pathways that employ varying lengths of sequencing homology (i.e. homologous 447 recombination, or HR, and microhomology-mediated repair, Fig. 4B). This repair repertoire, along with 448 an especially high AT-content genome that facilitates CNV formation [61, 79] and a lack of cell cycle 449 checkpoints that control replication forks during times of stress (reviewed in [91]), likely represent 450 adaptations that assist haploid P. falciparum parasites in accumulating CNVs across their genome (Fig. 451 4A).

452 Updating the model of P. falciparum genome adaptation

453 By combining insights from the current study with previous knowledge about CNV formation [1, 61, 65]. 454 we propose a connection between replication stress, DNA repair, and CNV generation in P. falciparum 455 (Fig. 4B). Prior studies have shown that stress can either alter levels of proteins essential for HR-based 456 repair or increase the frequency of DNA breaks [92-94]. With a decrease in HR activity in particular, the 457 parasite may increase its reliance on alternative error-prone pathways to repair DNA damage. 458 Microhomology-mediated pathways require less homology and therefore, are more likely to interact 459 with diverse sequences up- and downstream of a DNA break to generate various length CNVs. So far, the 460 predominant evidence for this model in *P. falciparum* was the detection of microhomology-mediated 461 pathway signatures in CNV breakpoints [61]. Our observation of stress-induced de novo CNV formation 462 (Table 3 and Fig. 2I) further supports this model in *P. falciparum* and is consistent with studies on 463 diverse organisms [30-32, 95, 96]. Interestingly, the level of de novo CNV stimulation is consistent across 464 organisms; treatment of mammalian cells with replication inhibitors also leads to a ~3-5-fold increase in 465 de novo CNVs [30-32].

466 Even with a change in the copy number of a single region per parasite, the genomic diversity within a single infected human is expansive due to the sheer numbers of P. falciparum parasites (estimated to 467 reach 10^8 parasites when symptomatic and $>10^{11}$ in severe infection, [97]). This diversity becomes an 468 469 obvious advantage as a heterogeneous population prepares asexual parasites to respond to diverse 470 stressors (Fig. 4C). However, one question has been whether random CNVs constitutively arise across 471 the P. falciparum genome or only when under stress. In the former, random amplicons within individual 472 parasites would position the population to respond rapidly to selection (e.g. antimalarial exposure). In 473 the latter, specific stressors would stimulate CNV formation to increase genomic diversity. Since some

474 antimalarials act rapidly [68], we hypothesize that beneficial CNVs must already be present in a few 475 parasites across the population to increase the chances of survival. Indeed, we observed a low level of 476 de novo CNVs across the parasite genome under normal conditions (Fig. 2D and 2E). However, it is also 477 important to understand how parasites respond to stressful environments during infection, including changes in nutrient composition in different hosts, drug treatment during symptomatic infection, or 478 479 attack from the human immune system. While the current study focused on replication stress, it will be 480 important to evaluate the impact of other sources of stress on P. falciparum CNV formation. For 481 example, hypoxia stimulates CNV formation in cancer cells [92] and a proteotoxic drug stimulates 482 genetic change in yeast [98].

483



484

Figure 4: Connection between replication stress, DNA repair, and CNV generation in the malaria genome. A. Adaptations that
 encourage CNV formation in the *P. falciparum* genome (underlined). B. Summary of how replication stress impacts DNA repair
 pathways. HR, homologous recombination; NHEJ, non-homologous end-joining; MMEJ, microhomology-mediated end joining;
 MMBIR, microhomology-mediated break-induced repair; DSB, double-strand breaks. C. Benefits of a diverse parasite
 population for evolutionary potential. Stress elevates the frequency of de novo CNVs across the population, which leads to
 more rapid evolution of beneficial CNVs (blue cells).

491 *Clinical implications & future questions*

492 P. falciparum causes the majority of worldwide malaria deaths and readily acquires antimalarial 493 resistance [99, 100]. Resistance-conferring CNVs that encompass multiple genes have been identified in both clinical infections [51, 59, 101-105] and laboratory selections [79, 90, 106-111]. Despite their direct 494 495 contribution to resistance, CNVs may also facilitate the acquisition of point mutations in haploid P. 496 falciparum; strong evidence for the close relationship comes from the observation of point mutations within amplifications selected in vitro [90, 107, 109, 112, 113]. Once de novo CNVs form during 497 498 replication of the asexual erythrocytic stage (Fig. 4), meiotic recombination during the sexual phase in 499 the mosquito can streamline beneficial CNVs to balance fitness costs [114]. Given the importance of 500 CNVs in *P. falciparum* adaptation, it is not surprising that this organism has evolved strategies to 501 encourage CNV formation (as described in Adaptations that encourage de novo CNV formation). 502 Additionally, parasites from specific regions of the world may have an increased propensity to develop

drug resistance [115]. Evaluating whether the CNV rate correlates with the parasite background will help

to define the evolutionary potential of this successful pathogen. Despite some success with antimalarial

505 therapies and vaccines targeting the *Plasmodium* parasite, a strategy to impede genome evolution may

506 be required to control malaria infections.

507

508 Materials and Methods:

509 **Parasite Lines, Compounds, and Treatments**

510 We acquired Dd2 (MRA-156) and FCR3 (MRA-731) parasite lines from Bei Resources (ATCC, Manassas, 511 VA). In this study, we were interested in detecting sub-clonal levels of genomic diversity that occur 512 naturally in cell culture (i.e. untreated conditions); therefore, we did not re-clone parasite lines prior to 513 treatment. For low-input genomics, we grew parasites in complete RPMI 1640 with HEPES (Thermo 514 Fisher Scientific, Waltham, MA) supplemented 0.5% Albumax II Lipid-Rich BSA (MilliporeSigma, 515 Burlington, MA) and 50[®]mg/L hypoxanthine (Thermo Fisher Scientific) and donor A+ human 516 erythrocytes (BioIVT, Hicksville, NY). We grew all cultures at 3% hematocrit at 37°C and individually 517 flushed flasks with 5% oxygen, 5% carbon dioxide, and 90% nitrogen gas. We diluted cultures with 518 uninfected erythrocytes and changed the culture medium every other day to keep parasitemia below 519 2% during maintenance. We confirmed that all cultures were negative for mycoplasma contamination 520 approximately monthly using a LookOut Mycoplasma PCR detection kit (MilliporeSigma).

521 We synthesized DSM1 as in previous studies [87, 116] and purchased aphidicolin (MilliporeSigma). 522 DSM1 targets *P. falciparum dhodh*, which contributes to pyrimidine biosynthesis [67]. Aphidicolin 523 inhibits B-Family DNA polymerases, and consequently, *P. falciparum* replication [117, 118]. Both 524 compounds, when applied to ring-stage parasites (**Fig. 1B**), inhibit DNA replication and stall parasites at 525 trophozoite stage (**Fig. S3A** and **S4G**, [118]).

526 To assess the effects of short-term DSM1 treatment, we acquired high ring-stage cultures (>85%) by 527 synchronizing parasites twice with 5% sorbitol, 48hrs apart. We then applied 1µM DSM1, solvent control (dimethylsulfoxide, DMSO), or replication inhibition control (4.4μ M aphidicolin) for 12hrs. Following 528 529 treatment, we washed parasites with sterile 1x phosphate-buffered saline (PBS, Thermo Fisher 530 Scientific), returned them to complete RPMI, and allowed parasites to complete their life cycle and 531 reinvade new erythrocytes for an additional 29.5-34.5hrs (Fig. S3B). We tracked parasitemia and 532 parasite viability on an Accuri C6 flow cytometer (BD Biosciences, Franklin Lakes, NJ) as previously 533 performed [63, 116, 119]. We stained parasites with 1x SYBR Green (Thermo Fisher Scientific, stains the 534 parasite nucleus) to assess the proportion of infected erythrocytes (parasitemia) and stage of the 535 parasite development cycle (Fig. 1B) and 10nM MitoProbe DilC1 (5) (Thermo Fisher Scientific, stains 536 active parasite mitochondria) to indicate the proportion of the parasites that are viable over time (Fig. 537 S3C).

538 For parasite treatment for low-input genomics, we synchronized parasites (as above), applied 1μM 539 DSM1 or the DMSO control for 12hrs, and allowed recovery for 28-31hrs (**Fig. S4H**). We removed 540 treatments and tracked parasite number and health as described above. Following reinvasion, we 541 harvested viable 1n ring stage parasites for low-input genomics using flow sorting (details in *Parasite* 542 *Flow Sorting for Low-input Genomics*).

543 **Parasite Flow Sorting for Low-input Genomics**

544 Cell sorter calibration & accuracy assessments. We calibrated the flow sorter (SH800, Sony 545 Biotechnology, San Jose, CA) using the manufacturer's calibration beads. We accounted for overlaps in 546 the excitation/emission wavelengths using the integrated compensation panel matrix calculation in the 547 SH800 software according to the manufacturer's procedure. We also manually calibrated the droplet 548 sorting to the nearest 0.2mm, as recommended by the manufacturer, using the 96-well plate setting (Armadillo high- performance 96-well plate, Thermo Fisher Scientific). We evaluated SH800 sorting 549 550 accuracy prior to low-input harvest using a colorimetric assay as previously described [120]. Briefly, we 551 mixed SYBR Green+/MitoProbe+ parasites (see staining details in Parasite lines, Compounds, & 552 Treatments) with horseradish peroxidase enzyme (Thermo Fisher Scientific) at a final concentration of 553 2.5mg/ml. We then sorted parasites into a 96-well plate filled with TMB-ELIZA substrate (Thermo Fisher 554 Scientific) using the single cell (3 drops) instrument setting, in triplicate plates (Fig. S2). Formation of a 555 color in the well (blue, green, or yellow) indicates the successful sorting of the enzyme, and therefore 556 parasites, into the well with the substrate. This assessment allowed us to evaluate the accuracy of 557 SH800 sorting (through the evaluation of success for 1- versus 2-cell wells, Fig. S2C), the consistency of sorting (through the evaluation of replicates), and the best plate positions for sorting (through the 558 559 evaluation of performance in different plate rows/columns). Based on these evaluations, we proceeded 560 with isolating 2- and 10-cells per well (Fig. S2D) and avoided sorting into the top 2 rows and the first and 561 last column of the 96-well plate (Figs. S2D and S6).

562 Parasite isolation & storage. We stained parasites with SYBR Green and MitoProbe DilC1 (5) in complete 563 RPMI as above (see staining details in Parasite Lines, Compounds, & Treatments), gassed the tubes with 564 5% CO₂, 5% O₂, 90% N, and placed sample on ice to ensure viability prior to flow sorting within 15min (SH800, Sony Biotechnology Inc., San Jose, CA). We used a final concentration of 1×10^7 parasites/ml 565 566 diluted in sterile 1x PBS (Thermo Fisher Scientific) as input for sorting at the "single-cell setting" (3 drop) 567 into a 96-well plate (Armadillo high performance 96-well plate, Thermo Fisher Scientific) with each well 568 containing 2.375µl of cell lysis buffer (0.025M Tris Ph8.8 (Roche Diagnostics, Indianapolis, IN), 0.01M 569 NaCl (MilliporeSigma), 0.01M KCl (MilliporeSigma), 0.01M (NH₄)₂SO₄ (Thermo Fisher Scientific), 0.001M 570 EDTA (Promega, Madison, WI), and 10% Triton X-100 (MilliporeSigma)). We gated viable 1n ring-stage 571 parasites (Fig. S5) and sorted into the wells containing cell lysis buffer with an approximate sorting time 572 of 10min. After sorting, we centrifuged for 30 seconds in a plate centrifuge (MPS1000, Labnet 573 International, Madison, NJ). We immediately overlaid samples with one drop (approx. 25µl) of light 574 mineral oil (BioReagent grade for molecular biology, MilliporeSigma) and sealed the plates with 575 Microamp® Clear Adhesive Film (Applied Biosystems, Waltham, MA) before storage at -80°C until whole 576 genome amplification.

577 MALBAC Whole Genome Amplification for Low-input Genomics

578 Before whole genome amplification, we thawed the plates containing sorted parasites (see *Parasite* 579 *Isolation & Storage*) and added 1mg/ml Proteinase K in sterile 1x PBS (Thermo Fisher Scientific) to a final 580 volume of 2.5μl per well. We heated the plates in a PCR cycler (C1000, Bio-Rad Laboratories, Hercules, 581 CA) at 50°C for 3hrs, followed by 75°C for 20 min and 80°C for 5 min for proteinase k inactivation. We 582 amplified the parasite genome using the Multiple Annealing and Looping Based Amplification Cycles 583 (MALBAC) method essentially as previously described ([63], Version 1 in **Fig. S1**) with some 584 modifications (Version 2, **Fig. S1**). In summary, 1) we modified the pre-amplification random primer by 585 adding 5 additional degenerate bases with 20% GC-content to increase annealing to AT-rich genome 586 sequences (5'GTGAGTGATGGTTGAGGTAGTGTGGGAGNNNNNNNNTTT 3'); 2) we performed 19 of the 587 21 total linear cycles with the Bsu DNA Polymerase (Large Fragment, New England Biosciences), which 588 has a lower optimal reaction temperature (37°C) to improve the amplification on AT-rich sequences 589 [121]; 3) we lowered the extension temperature from 40/50°C to 37°C during the linear amplification 590 cycles that used the Bsu enzyme (see full cycling parameters in Fig. S1); and 4) we integrated robotic 591 pipetting (Mosquito LV, SPT Labtech, Melbourn, UK) to increase the throughput of our assays (from 23 592 samples in Version 1 to 90 samples in the current Version 2) and limit contamination potential.

593 Overall, we performed 21 total linear cycles (19 cycles with Bsu polymerase and 2 cycles with Bst 594 polymerase, New England Biolabs, Ipswitch, MA) and 17 total exponential amplification cycles using 595 Herculase II Fusion DNA polymerase (Agilent Technologies, Santa Clara, CA). During amplification steps, 596 we employed standard steps to limit contamination [63]. For automated pipetting of the enzyme 597 solution during linear cycles, tips were changed after each round of pipetting. Post-amplification, we 598 purified amplified DNA with Zymo DNA Clean & Concentrator-5columns (Zymo Research, Irvine, CA) 599 according to the protocol and ran 2μ of all samples on 1% agarose gels to check for the presence of 600 DNA (generally, if >30 ng/ μ l, samples could be visualized with a size range of 100 to >1500 hp).

601 Assessments of Amplification Success for Low-input Genomics

DNA quantification. We quantified the MALBAC-amplified DNA using a Qubit fluorimeter (Qubit 1X
 dsDNA High Sensitivity Assay Kit, Thermo Fisher Scientific).

604 Droplet Digital PCR. To confirm the presence of parasite DNA in MALBAC-amplified samples, we 605 performed droplet digital PCR (ddPCR) as described previously using the QX2000 droplet generator, 606 C1000 thermocycler, and QX2000 droplet reader (Bio-Rad Laboratories) [116, 122]. We used duplex 607 loci assays to evaluate two parasite genomic concurrently (pfmdr1: Forward-608 TGCCCACAGAATTGCATCTA: ACCCTGATCGAAATGGAACCT: Reverse-Probe Forward-609 TCGTGTGTTCCATGTGACTG; pfhsp70: TGCTGTCATTACCGTTCCAG; Reverse 610 AGATGCTGGTACAATTGCAGGA; AGCAGCTGCAGTAGGTTCATT Probe -(Integrated DNA 611 technologies, Newark, NJ). The reaction master mix contained 600nm of forward and reverse primers, 612 50nm probes, 10µl of ddPCR Supermix for Probes (2x, Bio-Rad Laboratories), 3µl of nuclease-free water 613 (QIAGEN), and 1.5ng (5µl) of template DNA per assay (total of 20µl). We used the following cycling 614 conditions for PCR amplification: 10 min at 95°C initial denaturation step, 1 min at 95°C second 615 denaturation step, and 2 min at 58°C annealing and extension step (ramp rate of 1°C per second), the 616 second denaturation step and the annealing/extension step repeated 60 times, and then 10 min at 98°C 617 to halt the reaction [122]. In addition to running amplified samples to assess amplification success, we 618 ran ddPCR with bulk genomic DNA as a positive control, no template controls (water replaced DNA), and 619 material from "no cell" wells to assess cross-well contamination. We considered the samples positive for 620 parasite DNA if there were more than 50 total positive droplets in target-positive clusters.

621 High Resolution Melting Assay. To assess potential contamination between MALBAC-amplified samples, we performed asymmetric PCR amplification of the *pfdhps* locus followed by high-resolution melting 622 (HRM) as described previously [123, 124]. The pfdhps locus at codon 613 is distinct in Dd2 and FCR3 623 parasite lines (Dd2: Ser-613 and FCR3: Ala-613, [125]). Each 20µL reaction contained 8µl of the 2.5x 624 LightScanner Master mix (BioFire[™] Defense, Salt Lake City, Utah, USA), 1/10µM of forward/reverse 625 626 primers and 8µM probes targeting the *pfdhps* gene position 613: Forward

627 CTCTTACAAAATATACATGTATATGATGAGTATCCACTT;

Reverse-

628 CATGTAATTTTTGTTGTGTGTATTTATTACAACATTTTGA; Probe - AAGATTTATTGCCCATTGCATGA/3SpC3,

- 629 (Integrated DNA technologies), 7μ l of nuclease free water, and 3μ l of DNA (~0.05ng total). We used the
- 630 following cycling conditions for PCR amplification with the Rotor-Gene Q instrument with a 72-well rotor
- 631 (QIAGEN): 95°C for 5 min, 45 cycles of 95°C for 10s, 55°C for 30s, and 72°C for 10s, followed by a pre-
- melt at 55°C for 90s, and a HRM ramp from 65°C to 90°C, with an increase of 0.1°C every 2s. We plotted
- the change in fluorescence versus temperature (dF/T) using Rotor-Gene Q software (version 2.3.5, build
- 1; QIAGEN) and compared HRM peaks of amplified samples to bulk genomic DNA and plasmid controls.

635 Bulk DNA Extraction for Short-Read Sequencing

636 We extracted bulk DNA for short-read sequencing as previously performed [63]. Briefly, we lysed 637 erythrocytes with 1.5% saponin and washed the parasite pellet 3 times with 1x PBS (Thermo Fisher Scientific), before resuspension in a buffered solution (150mM NaCl (MilliporeSigma), 10mM EDTA 638 639 (Promega Corporation, Madison, WI), and 50mM Tris pH7.5 (Roche Diagnostics)) to a total volume of 640 500µl. We then lysed the parasites with 10% sarkosyl (MilliporeSigma) and 20mg/ml proteainase K 641 (Thermo Fisher Scientific) at 37°C overnight before DNA purification using standard 642 phenol/chloroform/isoamyl alcohol extraction and chloroform washing steps (2 times each, [63]). Finally, we precipitated DNA using 100% ethanol with 100mM of sodium acetate overnight in DNA-lo 643 644 bind tubes (Eppendorf, Enfield, CT) and then washed twice with 70% ethanol before resuspension in 645 50µl nuclease free water (QIAGEN). We stored bulk genomic DNA at -20°C until sequencing library 646 preparation.

647 Low-input Genomics Sample Selection & Short-Read Sequencing

648 Low-input sample selection. We selected 16 low-input samples from the FCR3 plate, 36 samples from 649 the untreated Dd2 plate, and 38 samples from the treated Dd2 plate for short-read Illumina sequencing. 650 We based our selection on the quantity of the MALBAC amplified DNA and presence of parasite DNA 651 using ddPCR (Figs. S6 and S7). In summary, the majority of FCR3 and untreated Dd2 samples yielded 652 quantifiable parasite DNA following MALBAC amplification (53/60 FCR3 samples and 60/60 untreated 653 Dd2 samples); in these conditions, we chose samples randomly to proceed with sequencing (indicated in 654 Fig. S6). For treated Dd2 samples, we chose samples for sequencing if they had adequate DNA quantity 655 (>10ng total, 30 samples, Fig. S6) or had ddPCR results showing the presence of parasite DNA (an 656 additional 8 samples).

657 Short-read sequencing. Before short-read sequencing, we sheared bulk samples and low-input samples 658 using Covaris M220 Focused Ultrasonicator for 150s and 130s, respectively, to generate fragment sizes 659 of ~350bp as evaluated by an Agilent 2100 Bioanalyzer using the High Sensitivity DNA kit (Agilent, Santa 660 Clara). We adjusted the volume of sheared samples with nuclease-free water up to 50µl. For samples 661 with >100ng (**Table S2**, including bulk and MALBAC amplified samples), we diluted them to $1.2-2ng/\mu$; 662 for samples <100ng, we proceeded with no dilution. We used NEBNext Ultra II kit (Illumina Inc., San 663 Diego, CA) to prepare libraries for sequencing with 32 cycles of PCR amplification, as performed 664 previously [63]. We quantified the resulting libraries using NEBNext Library Quant Kit (Illumina Inc.) 665 before sequencing on the Illumina Nextseq 550 using 150² bp paired-end cycles.

666 Short-Read Sequence Processing & Analysis

667 *Read processing and alignment*. We performed short-read guality control steps as described previously 668 [61, 63]. Briefly, we reordered and removed singletons and subsequently interleaved paired reads using 669 BBMap, trimmed the MALBAC common sequence, PhiX, and Illumina adapters from the remaining reads 670 with the BBDuk tool within BBMap, and aligned reads to the pf3D7-62 v3 reference genome using the 671 Speedseg genome aligner [126]. We removed reads that map to VAR regions from bam files according to previously defined genomic coordinates [77]. We filtered out reads with low mapping quality (<q30) 672 673 and duplicated reads using SAMtools [127]. We employed Qualimap to report mean coverage and 674 standard deviation across the genome [128]. Using non-overlapping 20kb size bins, we calculated the 675 coefficient of variation of read coverage by dividing the standard deviation of coverage within a bin by 676 the mean across a sample and multiplying by 100 [129, 130] (R version 4.4.2).

677 *Down-sampling.* For analysis that assessed down-sampled data (**Figs. 2, S10, and S11**), we first 678 converted the processed bam files back into FASTQ files using SAMtools and then used the reformat.sh 679 option of BBtools to select 1.3M reads from each FASTQ (represented the fewest number of reads from 680 a sample that passed quality filtering from the final dataset). We then realigned files to the reference 681 genome (*pf3D7-62_v3*).

682 Single nucleotide polymorphism analysis. We performed SNP genotyping and analysis as previously [63], 683 based on the MalariaGen P. falciparum Community Project V6.0 pipeline [131-134] using the pf3D7-684 62 v3 reference genome. Briefly, we applied GATK's Base Quality Score Recalibration using default settings. We detected potential SNPs using GATK's HaplotypeCaller and subsequently genotyped the 685 686 SNPs using CombineGVCFs and GenotypeGVCFs. Then we employed GATK's VariantRecalibrator using 687 previously validated SNP datasets [114]. We then applied GATK's ApplyRecalibration to assign a VQSLOD 688 score [134]. We filtered the resulting SNPs for those with VQSLOD > 6 and for a GT quality metric >20 to 689 ensure high-quality variant calling. We only selected variants flagged as Bi-allelic to simplify the analysis. 690 For SNP Principle Component Analysis (PCA), we merged experiment-wide SNP data (described above) 691 into a single file. Then we merged the VCF into a large matrix and converted the genomic data into 692 numeric information using the 'vcfr' package in R (Version 4.2.3) (https://CRAN.Rproject.org/package=vcfR). We excluded individual SNPs if >25% of the samples lacked a call in this 693 694 position or if all calls were the same for each sample in that position). We scaled the remaining SNPs 695 around the origin using the 'scale' R function. We then calculated the principal components using the 696 'prcomp' R function, and scored the dataset using the 'scores' function from the 'vegan' R package 697 (https://CRAN.R-project.org/package=vegan).

698 Copy Number Variation Analysis

699 CNV calling in bulk samples. We performed CNV detection for bulk samples similar to as previously 700 described [61, 63]. Briefly, we called CNVs independently using two methods, CNVnator (read depth 701 based calling, [135]) and LUMPY (split and discordant read based calling, [74]). To Identify CNVs called in 702 both methods, we used SVCROWS to define overlapping CNV regions relative to their size. Briefly, 703 SVCROWS uses a reciprocal-overlap-based approach (i.e. two CNVs must be overlapping each other at, 704 or greater than, a defined threshold) to determine if two CNVs are close enough in their genomic 705 position to be called the same. The source code for SVCROWS can be accessed at https://github.com/A-706 <u>Crow-Nowhere/SVCROWS.git</u>. The program utilizes different thresholds for overlap based on the sizes of 707 the CNVs being compared, ensuring that we account for shifts in CNV position. For known CNV calls, we

used the following SVCROWs input parameters: ExpandRORegion = FALSE, BPfactor = TRUE, DefaultSizes = FALSE, xs = 3000, xl = 10,000, y1s = 300, y1l = 1000, y2s = 50% and y2l = 80%; based on the average size of a *P. falciparum* gene (~2.3kb) and intergenic region (~2kb). Similar to our previous study [63], we identified 3 known CNVs that were called by both LUMPY and CNVnator methods in the core genome of bulk samples (untreated and treated). We determined known CNV boundaries using SVCROWS: *pfmdr1* (*Pf3D7_05_v3*, 888001-970000, 82kb), *pf11-1* (*Pf3D7_10_v3*, 1521345-1541576, 20kb), and *pf332* (*Pf3D7_11_v3*, 1950201-1962400, 12kb).

715 CNV calling in 2-cell samples. We employed two methods for CNV detection in the core genome of low-716 input samples; LUMPY is a split/discordant read strategy with high sensitivity [74], and HapCNV is a read 717 coverage-based strategy designed for haploid genomes [73]. We ran LUMPY as part of Speedseq with 718 default parameters as previously described [61]. We filtered resulting structural variants to include only duplications (DUP, >1 copy of a region) and deletions (DEL, one less copy of region than the reference). 719 720 We then filtered those calls for those GQ > 20 to ensure high-fidelity calls. In HapCNV, we used a quality 721 control and bias correction procedure to exclude bins of poor quality and remove bias introduced by GC 722 content and mappability variation. We then constructed a pseudo-reference for each Dd2 low-input 723 sample using within-Dd2 information, which enabled control of background noise while preserving CNV 724 signals after normalization. Finally, we used a circular binary segmentation algorithm (CBS, [136]) to 725 detect copy number change points followed by a Gaussian Mixture Model (GMM, [137]) for CNV 726 identification. The source code for HapCNV and examples of real data application can be accessed at 727 https://github.com/FeifeiXiao-lab/HapCNV. For statistics, we used PRISM (GraphPad Software, La Jolla, 728 CA), using unpaired parametric T-tests with Welch's correction.

729 Defining CNV regions/determination of "rarity" in CNV calling. Small differences in sequence quality 730 surrounding a read can lead to shifted breakpoint determination for biologically identical CNVs, which is 731 especially true for low-input genomics datasets [38]. To account for this, we used SVCROWS to 732 determine whether two CNV signals were the same within and between samples (see CNV calling in bulk 733 samples for input parameters). We assigned the categorizations of "rare" and "common" by assessing 734 the CNV region frequency within datasets. "Rare" CNVs were defined as occurring in <10% of the 735 samples within a treatment group; "common" CNVs were defined as occurring in ≥10% of samples 736 within a treatment group; "known" CNVs were defined by CNVs called in bulk samples (see above, CNV 737 calling in bulk samples).

High-confidence CNV region identification. To identify "high-confidence" CNV regions called by both 738 739 HapCNV and LUMPY methods, we compared the 'consensus list' generated by SVCROWS for each 740 detection method by combining them into a single SVCROWS input file. Because 1) HapCNV generates 741 imprecise breakpoints, and 2) there is a large disparity of average CNV region lengths between the two 742 methods (HapCNV = \sim 40kb, LUMPY = \sim 4.3kb), we relaxed the stringency of the SVCROWS parameters. 743 Our input parameters to generate the "high-confidence" list were as follows: xs = 3000, xl = 6000, y1s =744 500, y1l = 1500, y2s = 30% and y2l = 60%. We defined "high-confidence" CNV regions as those that had 745 >1 match from both HapCNV and LUMPY that was the same type (i.e. either duplication or deletion or mixed). For Venn diagram generation, we calculated overlaps using SVCROWS "Scavenge" mode (input 746 747 parameters: ExpandRORegion = FALSE, BPfactor = TRUE, DefaultSizes = FALSE, xs = 3000, xl = 6000, y1s = 748 500, y1 = 1500, y2s = 30% and y2 = 60%). We systematically compared lists for each overlap 749 comparison, and if regions had at least one match in an opposing dataset, we considered it a match. We 750 used the *draw.quad.venn* function in the "VennDiagram" R package (R 4.2.1) to generate the diagram.

Gene Ontology enrichment and protein class identification. We used the online Gene Ontology Resource (geneontology.org) to perform GO enrichment analysis using the PANTHER Classification System [138, 139]. Since a large portion of the *P. falciparum* genome remains unannotated (PlasmoDB, 30%) and the majority of molecular functions remain unclassified (92.8%), we used the Panther Protein class assessment (version 19.0, only 55.9% remained unclassified) with default statistics (Fisher's test with FDR adjusted p value of <0.05 for significance, which is recommended for small counts and overlaps between classes). We used the web tool to represent protein classes on pie charts.

Comparison to clinical CNV dataset. To determine genes covered by de novo CNVs from HapCNV and LUMPY, we used SVCROWS "Hunt" mode (input parameters: BPfactor = TRUE, DefaultSizes = FALSE, xs = 3000, xl = 6000, y1s = 300, y1l = 600, y2s = 30, y2l = 60), which takes a secondary input list of known genes (Pf3D7_62_v3, Plasmodb.org) against which to compare CNV regions. For the clinical dataset, we used genes covered by >300bp CNV regions present in high frequency in clinical isolates (Supplementary Table 3 from [59]), and manually reformatted to match SVCROWS input style guidelines before rerunning as above.

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