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A case of CNS aspergillosis in a patient with chronic lymphocytic leukemia on first-line ibrutinib therapy



Emily M. Eichenberger^{a,*}, Jennifer Saullo^a, Danielle Brander^b, Shih-Hsiu Wang^c, John R. Perfect^a, Julia A. Messina^a

^a Division of Infectious Disease, Department of Medicine, Duke University Medical Center, Durham, NC, 27707, USA

^b Division of Hematology and Oncology, Department of Medicine, Duke University Medical Center, Durham, NC, 27707, USA

^c Department of Pathology, Duke University Medical Center, Durham, NC, 27707, USA

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ABSTRACT

Ibrutinib has revolutionized the treatment of chronic lymphoid malignancies. Despite its success, ibrutinib has been linked with several reports of invasive fungal infections. We present a case of CNS aspergillosis in a CLL patient on first line ibrutinib therapy. We summarize existing case reports and case series of invasive aspergillosis in patients on ibrutinib, the pathogenesis of invasive aspergillosis, and discuss the clinical controversies regarding anti-fungal prophylaxis in this population.

1. Introduction

Ibrutinib, an irreversible Bruton's tyrosine kinase (Btk) inhibitor, has dramatically improved progression-free and overall survival in patients with a variety of lymphoid malignancies [1,2]. In the midst of its therapeutic success, however, several cases of invasive fungal infections (IFI) in patients receiving ibrutinib have emerged [3–5]. We present a case of central nervous system (CNS) aspergillosis in a patient with chronic lymphocytic leukemia (CLL) on ibrutinib who had not received any previous or concurrent corticosteroid, chemo- or immunotherapy and was successfully managed with this life-threatening CNS infection.

2. Case

A 62-year-old man with newly diagnosed CLL who started ibrutinib therapy one month ago (day 0) presented with fevers, aphasia and a new brain lesion.

The patient was treatment-naïve prior to starting the ibrutinib and had no significant past medical history up until his CLL diagnosis. He was doing well on this treatment until he developed a sore throat and neck swelling on day +23. He was seen by an otolaryngologist at that time who performed laryngoscopy and visualized a left peritonsillar abscess (Fig. 1). An aspiration of the left peritonsillar abscess was attempted, but no purulence was aspirated. Post-procedure, the patient was instructed to take amoxicillin-clavulanate for 10 days.

When nearing the end of the antibiotic therapy, the patient began to have intermittent fevers and progressive word finding difficulty (day +34). His symptoms progressed to confusion and profound expressive aphasia (day +36). He was subsequently admitted to the hospital on day + 36 for work-up. Upon arrival to Duke University Medical Center, the patient was poorly cooperative with the exam and was confused. He was unable to state his name, location or the date. His cranial nerves were intact, and his strength symmetric throughout. However, the patient exhibited an unsteady gait, moderate dysarthria and significant word finding difficulties. He had difficulty reading words but recognized pictures. A non-contrast head computed tomography (CT) demonstrated a large 3.2 cm (cm) hyperdense mass in the left cerebral hemisphere with surrounding vasogenic edema, a mass effect on the left lateral ventricle and small hyperdense masses in the right cerebral hemisphere. The patient was started on dexamethasone and levetiracetam. Laboratory analysis was remarkable for a mild leukopenia (WBC 3.2 \times 10⁹/L) (Table 1). Noninvasive infectious markers were unrevealing (Table 2).

A magnetic resonance imaging (MRI) of the brain with and without contrast demonstrated three enhancing mass lesions with surrounding vasogenic edema. Specifically, there was a 3.2 cm round heterogeneous mass noted in the left parieto-temporal region, a 12 mm (mm) mass in the right occipital lobe and an oval shaped 8 mm mass in the right frontal lobe (Fig. 2A–B). The patient subsequently underwent brain biopsy (day +41), and the pathology revealed necrosis, acute inflammation and granulation tissue consistent with an abscess and a

* Corresponding author.

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E-mail address: emily.eichenberger@duke.edu (E.M. Eichenberger).



Fig. 1. Left peritonsillar abscess.

Table 1

Laboratory tests.

	Patient Values	Reference Range
Complete blood count		
White blood cells	$3.2 \times 10^9/L$	$3.2-9.8 \times 10^{9/L}$
Neutrophils (%)	60	37-80
Bands (%)	1	0–6
Basophils (%)	1	0–2
Eosinophils (%)	1	0–7
Lymphocytes (%)	34	10-50
Monocytes (%)	3	0-12
Hemoglobin (g/dL)	11.6	13.7-17.3
Mean corpuscular volume (fL)	229	80–98
Hematocrit (%)	32.3	39–49
Platelets (x10 ⁹ /L)	175	150-450
Basic metabolic panel		
Sodium (mmol/L)	136	135-145
Potassium (mmol/L)	4.1	3.5-5.0
Chloride (mmol/L)	101	98–108
Carbon dioxide (mmol/L)	26	21-30
Urea nitrogen (mg/dL)	11	7–20
Creatinine (mg/dL)	0.6	0.6-1.3
Glucose (mg/dL)	105	70–140
Hepatic panel		
Aspartate aminotransferase (U/L)	20	15-41
Alanine aminotransferase (U/L)	16	17–63
Alkaline phosphatase (U/L)	51	24-110
Total bilirubin (mg/dL)	0.6	0.4-1.5
Albumin (g/dL)	4.0	3.5-4.8

Table 2

Microbiological studies.

Test	Result
Blood cultures Serum Aspergillus galactomannan Serum beta-D glucan Serum cryptococcal antigen HIV antibody/antigen Toxoplasma IgG Toxoplasma IgM Toxoplasma PCR (whole blood)	No growth < 0.5 index (not detected) < 31 pg/mL (not detected) Negative Negative Negative Negative Negative Negative

Gomori methenamine-silver (GMS) stain highlighting septate hyphae [Fig. 3A and B]. Cultures from the biopsy grew *Aspergillus fumigatus* species complex (identification based on morphologic criteria) on day + 43. Notably, a chest CT was also obtained and demonstrated a new spiculated lung nodule within the right lower lobe measuring 1.5×1.7 cm with surrounding ground glass opacity.





Fig. 2. A–B: MRI Axial T2 Flair of the brain at the time of diagnosis of CNS aspergillosis.

The patient was treated with 1 year of voriconazole therapy (300mg by mouth every 12 hours) with brief combination echinocandin (micafungin 100mg intravenously every 24 hours) upfront for 2 weeks. Ibrutinib was discontinued upon presentation of symptoms and was held for the entire duration of aspergillosis treatment. Voriconazole dosing was adjusted based on trough levels which were obtained every 7–14 days throughout his treatment. Overall his voriconazole troughs were within the desired target range.

Serial CT and MRI imaging of the chest and brain, respectively, demonstrated an excellent response to antifungal therapy with imaging at the close of 1 year of therapy with no suggestion of residual infection. Fortunately, the patient's underlying CLL remained stable during this period, and he did not necessitate additional therapy with the exception of infrequent doses of granulocyte colony stimulating factor for intermittent mild neutropenia. However, he experienced multiple toxicities on voriconazole therapy including gastrointestinal disturbances, significant photosensitivity and nail changes. The patient also had a history of non-melanoma skin cancers; hence, the decision was made to transition to isavuconazole for secondary fungal prophylaxis after completion of 1 year of voriconazole therapy. The transition to isavuconazole also occurred alongside impending plans by the oncology team to initiate venetoclax as his next line of CLL therapy.



Fig. 3. A–B: Pathology slides from the brain biopsy. H&E stain demonstrates necrosis, acute inflammation and granulation tissue, consistent with an abscess (3A); GMS stain highlights fungal hyphae (3B). Scale bar = $200 \ \mu m$ in (A) and (B).

3. Discussion

We present a case of CNS aspergillosis in a 62-year-old man with CLL who had initiated ibrutinib less than one month prior to the diagnosis. To our knowledge, we present the first case of CNS aspergillosis in a patient on ibrutinib monotherapy who had not received prior corticosteroid, chemo- or biologic therapy for a chronic lymphoid malignancy.

Since the introduction of ibrutinib to treat hematologic malignancies, multiple reports of IFIs emerged prompting larger studies to investigate the incidence of IFI with ibrutinib in the hematologic malignancy population. The prevalence reported in these studies ranged from 2.4% [6] to 4.2% [7], and the majority of these IFIs were due to *Aspergillus* with a trend towards CNS involvement.

Aspergillus activates Btk in macrophages which in turn leads to downstream macrophage calcineurin-NFAT signaling to recruit neutrophils to the site of the Aspergillus infection [8]. However, when Btk is inhibited such as in the setting of ibrutinib, the downstream NFAT and NFK β response is impaired, resulting in the lack of neutrophil recruitment [9]. Additionally, Blez, et al. have found that neutrophils harvested from patients treated with ibrutinib have significantly reduced neutrophil oxidative burst and absent IL-8 secretion in the setting of Aspergillus stimulation [10]. With an ibrutinib-impaired impaired innate immune system, the host cannot contain or clear Aspergillus infection, and the hyphae may spread and invade other organs via hematogenous dissemination.

Most cases of IFI in patients on ibrutinib therapy, including the one discussed in this report, have presented within weeks after starting ibrutinib [Table 3]. It is possible that Aspergillus may infect the CNS through hematogenous dissemination or direct extension (e.g. secondary to sinusitis, mastoiditis, trauma or surgery). Importantly, CNS infection is not common in invasive aspergillosis, only occurring in 2.7–6% of cases [11,12]. In patients on ibrutinib, however, a striking proportion (40-41%) of invasive Aspergillus cases involve the CNS [6.13]. The reasoning for this apparent dichotomy is incompletely understood; however, there are a few possible explanations for this [1]: Aspergillus species produce mycotoxins that can alter the blood-brain barrier, destroy neural cells, and evade phagocytosis and conidial opsonization and propagate CNS infection [2,14] ibrutinib-affected macrophages may transmit Aspergillus spores across the blood-brain barrier establishing CNS infection [6]; and [3] given its good CNS penetration, ibrutinib may inhibit CNS macrophages or microglial cells [5]. Further research is needed to understand the neural disposition of invasive aspergillosis in patients treated with ibrutinib.

Notably, *A. fumigatus* is the predominant fungal species involved in proven CNS infection (33.3%–82.6%) [12,15] and was the causative pathogen in the present case. Further investigation is needed into the virulence of these fungi as to how they invade the CNS so quickly with Btk inhibition by ibrutinib, and how virulence may be associated with adverse clinical outcomes.

Our case adds to the accumulating body of evidence that ibrutinib carries a substantial increased risk of invasive aspergillosis. It should be emphasized that despite our patient's positive clinical outcome, CNS aspergillosis is frequently fatal even with mold-active therapy [15]. The attributable mortality rate of CNS aspergillosis in patients taking ibrutinib is a devastating 52–69% [6]. The precise treatment strategy and its length remain uncertain and empirical. Given these grave mortality statistics, two important clinical questions arose from our patient's case. First, should ibrutinib should be held during the entire treatment of the CNS aspergillosis? While there is little evidence to guide such decision making, it is often the practice to do so [6]. We immediately stopped ibrutinib in our patient in hopes of optimizing his innate immune system's capacity to control his infection. We continued to hold it for the entire duration of his antifungal treatment, and fortunately, his CLL remained stable. Second, should patients on ibrutinib receive primary antifungal prophylaxis? This question is nuanced in that antifungal inhibition of the cytochrome P450 isoenzyme CYP3A4 can raise levels of ibrutinib [16] and prove challenging to titrate and monitor. Future studies are needed to identify patients on ibrutinib who are at greatest risk for IFI and should receive primary antifungal prophylaxis.

Declaration of competing interest

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JM, EE, SW and JS have nothing to disclose.

Table 3 Summary of CNS asp	ergillosis cases in	patients treated with ibrutinib.				
Study	Patient	Prior Oncologic Treatment	<i>Aspergillus</i> Species	Time from Ibrutinib Initiation to Diagnosis of CNS Aspergillosis (months)	Antifungal Treatment	Patient Outcome at Time of Publication
Gaye <i>et al.</i> [17]	Patient 1: CLL	Obinituzumab, chlorambucil, rituximab, bendamustine	A. fumigatus	< 1	Voriconazole; ibrutinib discontinued	Alive
	Patient 2: CLL	Corticosteroids	A. fumigatus	2	Voriconazole and amphotericin B; ibrutinib continued	Alive
Ruchlemer et al.	Patient 1: CLL	Corticosteroids	NR	1	NR	Dead
[18]	Patient 2: CLL	Corticosteroids	NR	2	LAMB and voriconazole; ibrutinib discontinued	Alive
	Patient 3: CLL	Corticosteroids	NR	2	NR	Alive
Jain et al. [19]	CLL	Rituximab	NR	2	NR	Deceased
Baron et al. [3]	CLL	Fludarabine, CP, rituximab	A. nidulans	< 1	Voriconazole; ibrutinib discontinued	Alive
Beresford et al.	CLL	Fludarabine, CP, bendamustine, rituximab	A. fumigatus	12	Voriconazole subsequently switched to	Alive
[20]					posaconazole; ibrutinib discontinued	
Ghez et al. [13]	11 patients	Median number of prior therapies: 2	NR	Median 3 months ^b	NR	NR: Total mortality 52% for all patients with IFI
Ruchlemer et al.	9 patients; CLL ^a	Median number of prior therapies: 3 (no patients	NR	Median 1.5 months ^b	NR	NR: Total mortality 69% for all patients
[9]	or NHL	were treatment-naïve prior to starting ibrutinib)				with IFI (including those with non-CNS disease)
Lionakis et al. [14]	Patient 1: PCNSL	Corticosteroids	A. fumigatus	< 1	NR	Deceased
	Patient 2: PCNSL	4 prior therapies, Corticosteroids, on TEDDi-R	A. fumigatus	< 1		Deceased
	Patient 3: PCNSL	4 cycles TEDDi-R	A. fumigatus	3		Deceased
	Patient 4: PCNSL	Corticosteroids; 1 cycle TEDDi-R	A. fumigatus	< 1		Alive
Present Case	62yo M CLL	None	A. fumigatus	< 1	Voriconazole, ibrutinib discontinued	Alive
CLL chronic lymphoc	ytic leukemia; NR	not reported; LAMB liposomal amphotericin B;	NHL Non-Hodg	kin Lymphoma; PCNSL Primary CNS	5 Lymphoma; CP cyclophosphamide, TF	EDDi-R Temozolomide, Etoposide, Doxil,

Dexamethasone, Ibrutinib, and Rituximab. ^a 2 of the patients included in this study were also included in reference [21]. ^b Analysis included all patients with IFI on ibrutinib regardless of site of infection.

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