

Perspective

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# An expanded definition of acute respiratory distress syndrome: Challenging the *status quo*



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Acute respiratory distress syndrome (ARDS) is a severe form of acute hypoxic respiratory failure caused by non-cardiogenic pulmonary edema.<sup>[1]</sup> Since it was first described 50 years ago, the definition of ARDS has been revised several times to match the needs of patients, clinicians, and investigators. Following the 1988 proposal for an acute lung injury score and the 1994 American-European Consensus Conference definition, the Berlin definition of ARDS, 2012 recommended that the term should encompass patients with acute onset (1 week from a clinical insult), requiring positive end-expiratory pressure (PEEP) of at least 5 cm H<sub>2</sub>O, and bilateral infiltrates on chest radiography that are not caused by heart failure; it categorized severity based on the arterial oxygen tension(PaO2)/inspired oxygen fraction(FiO<sub>2</sub>) ratio.<sup>[2]</sup> Nonetheless, issues have occasionally been raised regarding various criteria of the Berlin definition since it was proposed and widely used in clinical practice and research. Notably, the definitions of clinical syndromes are not universal and should be reviewed periodically. Certain new considerations regarding the definition of ARDS are therefore being raised.

## Issue 1: Should Patients with High-Flow Nasal Oxygen (HFNO) be Included?

HFNO is widely used as an alternative to conventional oxygen therapy and non-invasive ventilation (NIV) in patients with acute hypoxemic respiratory failure, as it is associated with lower 90-day mortality.<sup>[3]</sup> Despite the lack of confirmatory evidence on the benefits related to mortality or other clinical outcomes, HFNO has recently become an integral part of noninvasive respiratory support in COVID-19 patients.<sup>[4–6]</sup> HFNO offers certain physiological advantages; these include maintenance of a modest flow-dependent level of PEEP (2–5 cm H<sub>2</sub>O), reduction of dead space owing to flushing of the upper airways, and reduction in the work of breathing.<sup>[7–10]</sup> Although HFNO is widely used to support patients with bilateral pulmonary infiltrates and severe hypoxemia ( $PaO_2/FiO_2$  ratio <300 mmHg), these patients are not diagnosed with ARDS as they do not receive positive-pressure ventilation with a PEEP of at least 5 cm  $H_2O$ .

As HFNO is routinely used in clinical practice, there is increasing debate regarding the expansion of the Berlin ARDS definition to include HFNO. Existing arguments in favor of broadening the ARDS definition to include patients requiring HFNO are based on the following factors:

- (1) Non-ventilated patients who otherwise meet the Berlin criteria have similar clinical characteristics, biomarkers of inflammation, and outcomes as those with ARDS.<sup>[11,12]</sup> A pivotal study found that non-ventilated patients who met all other criteria for ARDS had the same 60-day mortality as those who were ventilated.<sup>[11]</sup> Another study showed that patients with acute hypoxemia and bilateral opacities treated with HFNO had similar patterns of inflammation and injuryrelated biomarkers as ARDS patients who were mechanically ventilated.<sup>[12]</sup> HFNO, which is more readily available than invasive mechanical ventilation (MV) and NIV, can generate sufficient PEEP (near 5 cm H<sub>2</sub>O) at a high flow rate. Parke et al.<sup>[13]</sup> measured the nasopharyngeal pressure in patients undergoing cardiac surgery and found that HFNO with a flow rate of 50 L/min delivered a mean ±standard deviation airway pressure of  $3.31 \pm 1.05$  cm H<sub>2</sub>O with the mouth closed.
- (2) Most patients fulfilling other ARDS criteria during HFNO still fulfill ARDS criteria after intubation. In a recent study, Ranieri et al.<sup>[6]</sup> found that the PaO<sub>2</sub>/FiO<sub>2</sub> ratio of  $\leq$ 300 mmHg on HFNO was sustained in 92.9% of patients despite invasive MV. A concurrent study revealed that most patients with bilateral opacities and a PaO<sub>2</sub>/FiO<sub>2</sub> value of  $\leq$ 300 mm Hg under standard oxygen inhalation fulfilled the Berlin criteria within the first 24 h.<sup>[14]</sup> Based on all the above evidence, positive pressure ventilation does not appear to be

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a prerequisite for the diagnosis of ARDS. Furthermore, the criteria of the Berlin definition focus on disease status instead of treatment. Including patients receiving HFNO in the ARDS definition may therefore facilitate early identification and intervention. However, stricter evidence-based parameters are needed to avoid over broadening of the diagnosis of ARDS; in this context, the lack of unified strict standards for clinical conditions and parameters of HFNO may increase the risk of overexpansion. Matthay et al.<sup>[15]</sup> recommended that the requirement for HFNO of at least 30 L/min should be added as a criterion for the diagnosis of ARDS. Further research is needed to validate this view.

### Issue 2: Should oxygen saturation $(SpO_2)/FiO_2$ be an Alternative to $PaO_2/FiO_2$ for the Diagnosis of ARDS?

Clinician under-recognition of ARDS has long been a concern, and only 60.2% of patients with ARDS can be identified by clinicians.<sup>[16]</sup> Unavailability of the PaO<sub>2</sub>/FiO<sub>2</sub> ratio, the cornerstone of ARDS diagnosis, may contribute to the under-diagnosis of the condition. Notably, the SpO<sub>2</sub>/FiO<sub>2</sub> ratio has been found to be closely associated with the PaO<sub>2</sub>/FiO<sub>2</sub> ratio, which may allow a non-invasive diagnosis of ARDS, especially in resourceconstrained settings; use of the latter may prevent under diagnosis of ARDS. Rice et al.<sup>[17]</sup> suggested that in patients with ARDS, a SpO<sub>2</sub>/FiO<sub>2</sub> ratio of 315 corresponded to a PaO<sub>2</sub>/FiO<sub>2</sub> ratio of 300. Brown et al.<sup>[18]</sup> found that the SpO<sub>2</sub>/FiO<sub>2</sub> ratio may be reasonably used to substitute arterial blood gas for determining levels of hypoxemia in ARDS patients. Chen et al.<sup>[19]</sup> further confirmed that patients inferred to have ARDS based on the SpO<sub>2</sub>/FiO<sub>2</sub> ratio did not have different clinical outcomes compared to those diagnosed based on the PaO<sub>2</sub>/FiO<sub>2</sub> ratio.

As the Berlin definition has been developed with reference to resource-rich settings and does not apply to resourceconstrained settings, the Kigali modification of the Berlin definition has been proposed for use in the latter. Following original validation, the Kigali modification, which defines ARDS by the absence of PEEP, a SpO<sub>2</sub>/FiO<sub>2</sub> value of  $\leq$ 315, and bilateral opacities on lung ultrasound or chest radiographs, has been widely used in both clinical practice and research settings.<sup>[20]</sup> In view of the above-mentioned reasons, a SpO<sub>2</sub>/FiO<sub>2</sub> value of  $\leq$ 315 may be considered instead of a PaO<sub>2</sub>/FiO<sub>2</sub> value of  $\leq$ 300 for the diagnosis of ARDS. Nevertheless, SpO<sub>2</sub> is not linearly associated with PaO<sub>2</sub> and is more easily affected by clinical factors; further evidence is therefore needed to validate this view.

## Issue 3: Should the 7-day Period for Acute Onset be Expanded?

Given that nearly all patients with ARDS are identified within 7 days of recognition of the potential risk factors, the Berlin definition specifies a time frame of up to 7 days. Patients with severe COVID-19 always meet other criteria for ARDS except the 7-day period for acute onset.<sup>[21]</sup> However, the emergence of COVID-19 ARDS (CARDS) has challenged our inherent understanding of ARDS. Berlin et al.<sup>[22]</sup> reported that hypoxemia caused by COVID-19 generally did not occur within 7 days following the development of initial symptoms, suggesting a slower progression of respiratory failure in COVID-19 than with other causes of ARDS. Zhou et al.<sup>[23]</sup> found the median time from the onset in CARDS to be 12 days. However, most patients with acute respiratory failure present with newly developing or worsening respiratory symptoms over a period of 7 days.<sup>[24]</sup> In this context, a previous study on several patients without AIDS found that the time from respiratory symptom onset to the diagnosis of *Pneumocystis jirovecii* pneumonia was >7 days.<sup>[25]</sup> Another study on patients with H5N1 virus infection found that the median time from the onset of illness to the development of ARDS was 7.5 days.<sup>[26]</sup> These findings indicate that the timeframe for the diagnosis of ARDS may possibly be extended up to 14 days. However, available data are limited and evidence-based assessment is warranted.

In conclusion, the extension of the Berlin definition is necessary to match the needs of patients, clinicians, and investigators. Modification of the diagnostic criteria for ARDS may allow early identification of patients with less severe diseases and facilitate testing and application of new therapies in those with a high risk of poor outcomes.

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#### **Conflicts of Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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