

RESEARCH ARTICLE

NF-YA transcriptionally activates the expression of SOX2 in cervical cancer stem cells

Wen-Ting Yang¹, Zong-Xia Zhao¹, Bin Li¹, Peng-Sheng Zheng^{1,2*}

1 Department of Reproductive Medicine, The First Affiliated Hospital of Xi'an Jiaotong University, Shaanxi, Xi'an, The People's Republic of China, **2** Key Laboratory of Environment and Genes Related to Diseases, Ministry of Education of the People's Republic of China, Shaanxi, Xi'an, The People's Republic of China

* zpsheng@mail.xjtu.edu.cn



OPEN ACCESS

Citation: Yang W-T, Zhao Z-X, Li B, Zheng P-S (2019) NF-YA transcriptionally activates the expression of SOX2 in cervical cancer stem cells. PLoS ONE 14(7): e0215494. <https://doi.org/10.1371/journal.pone.0215494>

Editor: Arun Rishi, Wayne State University, UNITED STATES

Received: March 31, 2019

Accepted: July 8, 2019

Published: July 31, 2019

Copyright: © 2019 Yang et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper and its Supporting Information files.

Funding: This work was supported by a grant to Dr. Wen-Ting Yang from Basic Research Program of Natural Science in Shaanxi Province (2018JM7126114) and the National Natural Science Foundation of China (No. 81302278).

Competing interests: The authors have declared that no competing interests exist.

Abstract

Roles for SOX2 have been extensively studied in several types of cancer, including colorectal cancer, glioblastoma and breast cancer, with particular emphasis placed on the roles of SOX2 in cancer stem cell. Our previous study identified SOX2 as a marker in cervical cancer stem cells driven by a full promoter element of SOX2 EGFP reporter. Here, dual-luciferase reporter and mutagenesis analyses were employed, identifying key *cis*-elements in the SOX2 promoter, including binding sites for SOX2, OCT4 and NF-YA factors in SOX2 promoter. Mutagenesis analysis provided additional evidence to show that one high affinity-binding domain CCAAT box was precisely recognized and bound by the transcription factor NF-YA. Furthermore, overexpression of NF-YA in primitive cervical cancer cells SiHa and C33A significantly activated the transcription and the protein expression of SOX2. Collectively, our data identified NF-YA box CCAAT as a key *cis*-element in the SOX2 promoter, suggesting that NF-YA is a potent cellular regulator in the maintenance of SOX2-positive cervical cancer stem cell by specific transcriptional activation of SOX2.

Introduction

Tumor growth, metastasis and recurrence are driven by a small sub-population of cancer stem cells (CSCs)[1]. Most CSCs assays have thus far depended on a variety of different cell surface markers, including CD133, CD44, CD166, CD24 and so on[2]. However, surface markers can only be used to isolate the most common CSCs and these markers are often unstable in many somatic cancers[3]. Additionally, the results obtained with CSCs isolated using the same surface marker are not consistent among laboratories. Due to the instability and scarcity of surface markers in solid tumors, other methodological strategies have been widely explored to identify and isolate CSCs, including nuclear markers[4], side population phenotype[5], sphere formation, and aldehyde dehydrogenase (ALDH) activity assays[6].

In our previous study, we have identified the expression of the embryonic stem cell-specific transcription factor SOX2 in primary cervical cancer tissues and tumorspheres formed by primary cervical carcinoma cells and found that SOX2 functions as an oncogene in cervical

carcinogenesis by promoting cell growth and tumorigenicity, which was consistent with other lab's results[7–9]. Additionally, SOX2 is a key factor that controls the pluripotency, self-renewal and proliferation of embryonic stem cells[10]. It has been shown that murine and human embryonic and neural stem cells have high activity of SOX2[11, 12]. An increase in the expression of SOX2 has also been found in breast, glioblastoma and also cervical CSC populations[13–16]. Based on these findings, cervical CSCs have been isolated and identified by sorting the endogenous SOX2-positive cells using a plasmid pSOX2/EGFP that contained the full length of SOX2 promoter with 11.5kb nucleotides positioned upstream of the EGFP reporter [7]. However, This plasmid was so large (approximately 16kb) that the transfection efficiency in cervical cancer cell lines was so low for sorting the endogenous SOX2-positive cells.

NF-Y (also known as CBF), a ubiquitously expressed trimetric transcription factor, has a dual role as both an activator and a repressor of transcription[17]. NF-Y regulates activity of target genes through a CCAAT box, a widespread control element mapping to proximal promoters, tissue-specific enhancers, and selected subclasses of human endogenous retrovirus (HERV) long terminal repeats (LTR). It is heterodimer protein complex that comprises three subunits (NF-YA, NF-YB and NF-YC). NF-YA is considered the limiting regulatory subunit of the trimer, since it is required for the complex assembly and sequence-specific DNA binding.

NF-Y has previously been identified as the marker of CSCs in hepatocellular carcinoma and embryonic carcinoma cells[18–20]. It also functions as the oncogene or suppressor in several carcinomas through a transcriptional mechanism in cell proliferation, metastasis and other malignant biological function[21, 22]. Here, in order to efficiently capture cervical CSCs and explore the mechanism maintaining the CSCs driven by SOX2 factor, we try to obtain the trans-factors that specifically bind to the key domain region of SOX2 promoter and activate the expression of SOX2.

Materials and methods

Ethics statement

Investigation has been conducted in accordance with the ethical standards and according to the Declaration of Helsinki and according to national and international guidelines and has been approved by the review board of the First Affiliated Hospital of Xi'an Jiaotong University.

Cell lines and culture conditions

The human cervical cancer cell lines SiHa and C33A were obtained from the American Type Culture Collection (ATCC; Manassas, VA). SiHa and C33A cells were cultured in Dulbecco's Modified Eagle Medium-high glucose (DMEM; Sigma-Aldrich, St. Louis, MO) supplemented with 10% fetal bovine serum (FBS; Invitrogen, Carlsbad, CA) and maintained at 37°C in an atmosphere containing 5% carbon dioxide.

Flow Cytometry and Separation of cervical cancer stem cell by FACS

To obtain the EGFP+ and EGFP- populations, SiHa and C33A cells were transfected with pSox2/EGFP plasmid using Lipofectamine 2000 (Invitrogen). Selection was performed using standard culture medium with 1mg/mL G418. The generation of single cell-derived cultures was performed using a FACS Aria (Becton Dickinson, Franklin Lakes, NJ). The sorting gates were established as the highest and lowest 10% of the EGFP-expressing cells. The cells were cultured in DMEM/F12 with N2 and B27 supplements (Invitrogen), 20ng/mL human

recombinant epidermal growth factor (EGF) and 20ng/mL basic fibroblastic growth factor (bFGF; PeproTech Inc., Rocky Hill, NJ).

Construction of SOX2 promoter luciferase reporter

Luciferase reporter plasmids with a pGL3 backbone (Promega Corporation, catalog number: E1751) have been utilized to characterize the transcriptional effects of mutations in the SOX2 promoter. We redesigned the pGL3 basic vector by cloning the 5' UTR (110bp) and 3' UTR (1264) regions of the SOX2 promoter through 5' and 3' UTRs on both sides of the luciferase gene using an In-Fusion PCR Cloning Kit (Takara Bio Inc, Dalian, China). The resulting vector was designated PGL3-pSox2 mini.

Next, the deletion plasmids including PGL3-pSox2-4650+1828, PGL3-pSox2-3081+1828, PGL3-pSox2-1185+1828, PGL3-pSox2 mini+1828, PGL3-pSox2-4650, PGL3-pSox2-3081, PGL3-pSox2-1185, PGL3-pSox2-1185+1600, PGL3-pSox2-1185+1200 and PGL3-pSox2-1185+600 for the SOX2 promoter were constructed from the phSox2/EGFP vector that was used to sort the SOX2-positive cervical CSCs in our previous study[7]. The predictive binding domains in SOX2 promoter were mutated by PCR. The primers used for constructing the deletions and mutations in the SOX2 promoter are listed in [S1 Table](#).

Dual luciferase reporter assay

SOX2 promoter luciferase reporters and pTK-RL plasmids were transiently co-transfected into tumor cells (5×10^4) plated in the 24-well plate dish, while the activity of both firefly and Renilla luciferase reporters was determined 48 hours post transfection using the Dual Luciferase Assay kit (Promega, Madison, WI, USA), according to the manufacturer's instructions. The SOX2 promoter luciferase reporter activity was presented as the relative ratio of firefly luciferase activity to Renilla luciferase activity. The specific activity was displayed as the fold change of the experimental group versus the control group. All experiments were performed in triplicate.

Western blotting

Western blot analyses were performed as previously described using 30ug cell lysates [7]. The primary antibodies were goat polyclonal anti-SOX2 (1:500, Santa Cruz, CA, USA), rabbit polyclonal anti-OCT4 (1:1000, Santa Cruz, CA, USA), mouse anti-NF-YA (1:1000, Santa Cruz, CA, USA) and GAPDH (1:1000, Santa Cruz, CA, USA). The secondary incubation antibodies used a horseradish peroxidase-conjugated anti-rabbit, anti-mouse or anti-goat IgG (Thermo Fisher Scientific, New York, NY, USA). The signals were then detected by enhanced chemiluminescence reagent (Millipore, Billerica, MA, USA).

Immunofluorescence

Cells were cultured on glass coverslips for 48 hours, fixed in 4% paraformaldehyde for 30 minutes at room temperature, and then permeabilized with 0.1% Triton X-100 for 20 minutes at room temperature (Sigma, St. Louis, MO). Anti-rabbit Alexa Fluor 488 and antigoat Alexa Fluor 555 purchased from Invitrogen incubated the cells with the reaction dilution of 1:200 for 30 minutes at room temperature, and then 4', 6-diamidino-2-phenylindole (DAPI) bought from Sigma was added with the reaction dilution of 1:500 for 10 minutes at room temperature. Co-localization of SOX2 and OCT4 was analyzed using a Leica TCS SP5 confocal microscope (Leica TCS SP5, Wetzlar, German). Images were captured with a Leica DFC 500 digital camera and processed with LAS AF software (Leica).

Statistical analysis

Statistical analyses were performed using GraphPad Prism 5.01 software (La Jolla, CA, USA). In comparisons of 2 groups, Two-tailed student's *t*-test was used to determine the statistical significance. To examine differences among 3 groups, an ANOVA was performed. Kaplan–Meier survival analysis was performed and survival curve comparisons were performed using the log-rank (Mantel-Cox) test. A *p* value of < 0.05 was regarded as statistically significant.

Results

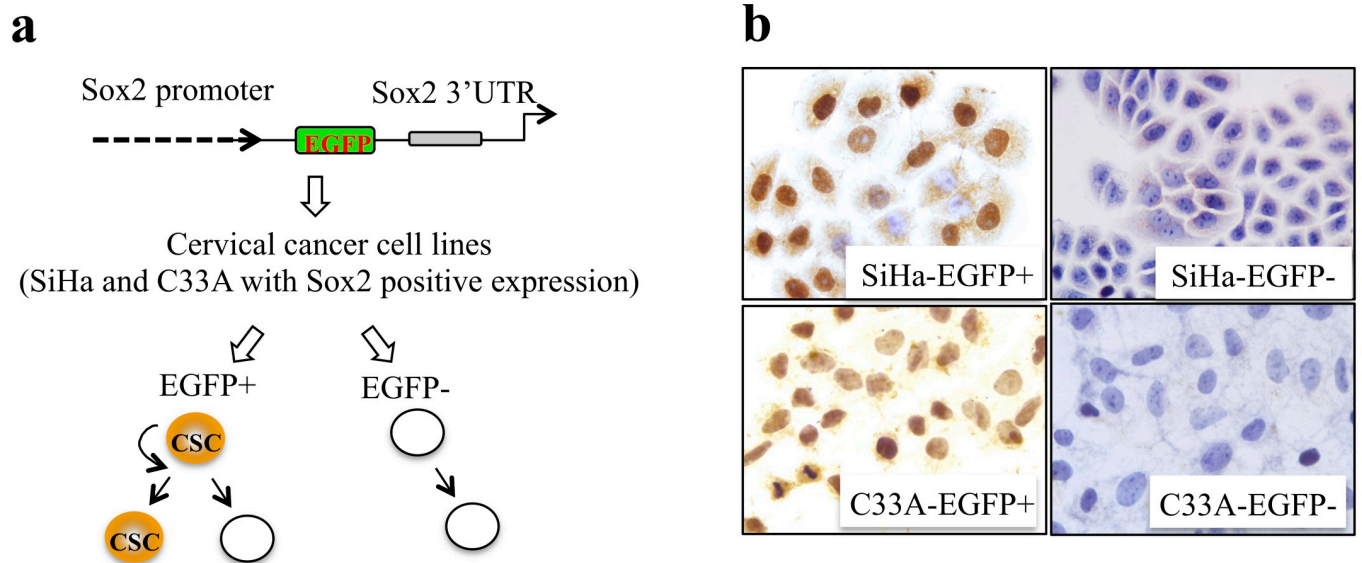
An integrated analysis of SOX2 transcription element in cervical CSCs

In our previous study[10], we constructed the plasmid pSOX2/EGFP, which contains 11.5 kb of the human SOX2 promoter sequence positioned upstream of the enhanced green fluorescent protein (EGFP) reporter. This plasmid also contains the human Sox2 3'UTR, 3'poly (A) tail, and 3'enhancer positioned downstream of the EGFP reporter (Fig 1A). Then, SOX2-positive and SOX2-negative cervical cancer cells were isolated by pSOX2/EGFP plasmid using FACS technology in cervical cancer cell lines, such as SiHa and C33A, and confirmed that SOX2-positive subpopulation cells exhibited dominant characteristics of CSCs, including tumorigenicity, self-renewal and differentiation (Fig 1B and 1C). Here, the key *cis*-element region in the SOX2 promoter and the upstream trans-factors that activated the expression of SOX2 were both explored.

Firstly, in order to obtain the dominant region driving the expression of SOX2, we analyzed the full length of SOX2 promoter (Fig 2A), which contained 4650bp and 1828bp through two sides of SOX2 CDS region. Then, several deletions were fused to pGL3 basic luciferase reporter plasmids through Web Promoter Scan Service and TFSEARCH on line software (Fig 2B). Then, the optimized response element was determined by dual-luciferase reporter assay in SOX2-positive and -negative cervical cells from SiHa isolated by pSOX2/EGFP plasmid, and we found that the luciferase activity of reporters containing -1185bp region in SOX2-EGFP + cells was significantly higher than that in SOX2-EGFP- cells regardless of whether there was -1828 downstream or not (Fig 2C, *p* < 0.05). Furthermore, we found that the activity of pSOX2-1185-luc-1828 was much higher than that of pSOX2-1185-luc (*p* < 0.05). We supposed that the +1828bp in the 3' UTR region containing two binding sites of SOX2 and OCT4 might function in the transcription activity of SOX2 promoter. According to constructing the mutations, deleting the two binding sites significantly decreased the luciferase activity comparing with pSOX2-1185-luc-1828 reporter. However, the individual 3' UTR region including SOX2 and OCT4 binding sites (pSOX2-mini-luc-1828) could not activate the transcription at all. Thus, we hypothesized that the -1185bp to +1828bp region (pSOX2-1185-luc-1828) of the SOX2 promoter may be necessary for transcriptional activation of SOX2 in cervical CSCs.

OCT4 partially increased the transcriptional activation of the SOX2 promoter

In order to confirm that the transcription factor SOX2 and OCT4 were necessary for the expression of SOX2, which has been confirmed in the previously report, we deleted or mutated the two binding sites in the 3'UTR region of SOX2 promoter and changed the location of the sites within the upstream region of the SOX2 promoter [16, 23]. The mutation sequences (SOX2: from CATTGTA mutated to ACGGTGC and OCT4: from ATGCATAT mutated to CGTACGCG) of SOX2 (Red) and OCT4 (Blue) binding sites are shown in Fig 3A. The results from the dual-luciferase assay showed that either or both mutations of SOX2 and OCT4 binding sites significantly inhibited the luciferase activity (*p* < 0.05, Fig 3B) in SOX2-positive



c

Cell line	Incidence of xenograft				Stem cell frequency	P Value
	10 ⁵	10 ⁴	10 ³	10 ²		
SiHa-SOX2+	10/10	10/10	8/10	5/10	1:402 (1:812~1:200)	<0.05
SiHa-SOX2-	10/10	6/10	4/10	0/10	1:7114 (1:14551~1:3587)	
HeLa-SOX2+	10/10	10/10	4/10	1/10	1:3058 (1:6313~1:1481)	<0.05
HeLa-SOX2-	6/10	3/10	1/10	0/10	1:72860 (1:146808~1:36160)	

Fig 1. The schematic of SOX2-positive cervical CSCs. (a) The pSox2/EGFP reporter system containing the hSox2 promoter and transcriptional elements including the 3' UTR, poly (A) tail, and 3' enhancer were cloned into the pEGFP vector. The pattern of cell proliferation and division was shown in SOX2-positive cervical cancer cells isolated from SiHa and C33A. (b) The expression of SOX2 in EGFP+ and EGFP- cervical cancer cells isolated by pSox2/EGFP. (c) Sox2+ cells both in SiHa and C33A cells showed heavier tumorigenicity and self-renewal *in vivo* than that in Sox2- cells.

<https://doi.org/10.1371/journal.pone.0215494.g001>

cervical CSCs. Moreover, the two binding site regions of SOX2 (S) and OCT4 (O) (SO region: CATTGTA and ATGCATAT) joined directly to the 3' UTR terminus in the plasmid pSOX2-1185-luc showed equal transcriptional activity to the pSOX2-1185-luc-1828 plasmid. However, inserting the SO sequence into 5'UTR region (pSOX2-SO-1185-luc and pSOX2-1185SO-luc), the luciferase activity in SOX2-positive+ cells not only did it increased, but it was restrained compared with pSOX2-1185-luc-SO reporter ($p < 0.05$)

Next, the OCT4 protein was exogenously expressed in SOX2-positive and SOX2-negative SiHa cells (OCT4-1~OCT4-4 refers to the 4 different colons overexpressing OCT4 in

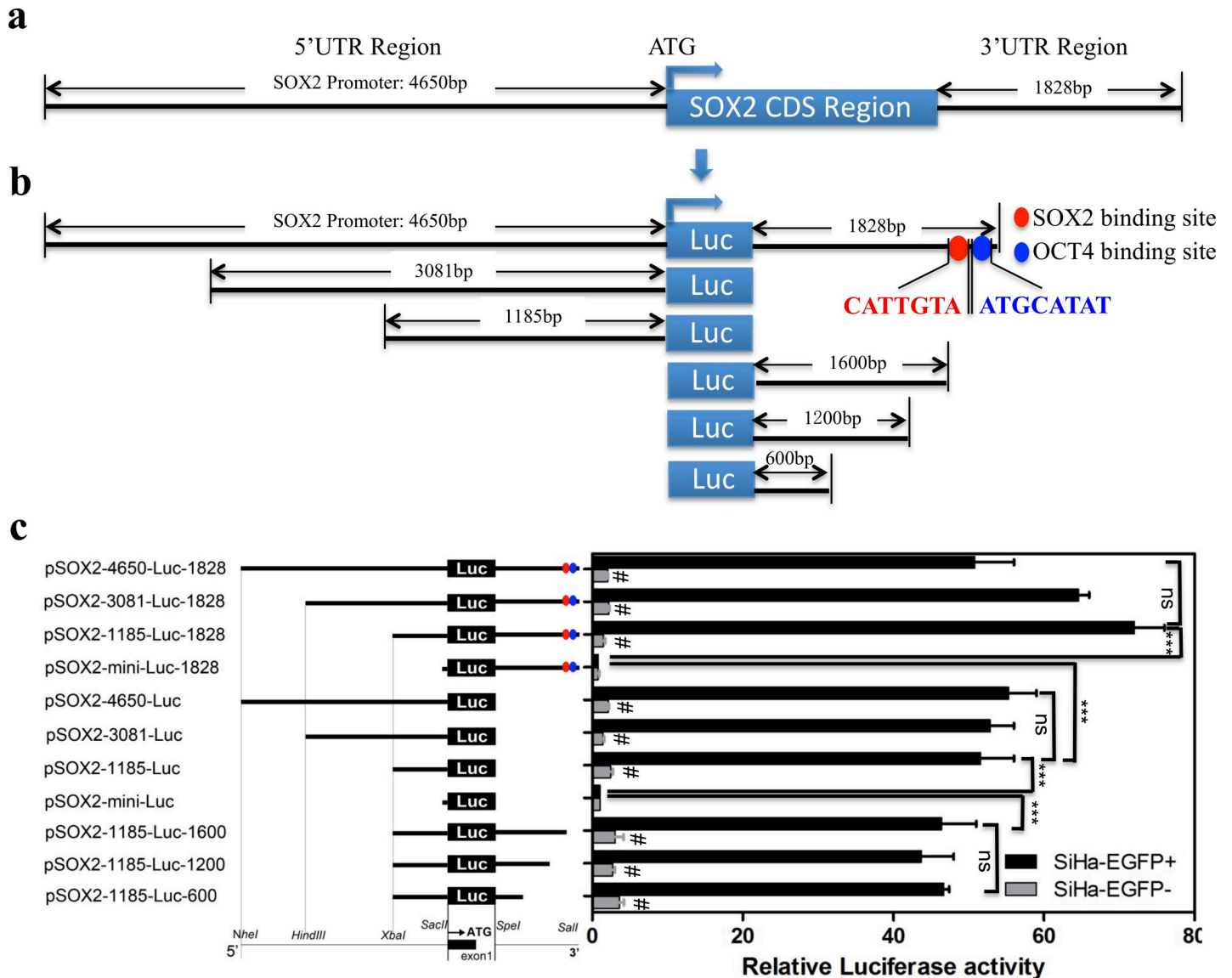


Fig 2. An integrated analysis of the SOX2 transcriptional element in cervical CSCs. (a and b) The diagram of full promoter of SOX2 and the deletions containing the possible *cis*-acting elements and the SOX2 and OCT4 binding sites (c) The full promoter of SOX2 (pSOX2-4650-luc-1828) and deletions were constructed and luciferase activity relative to Renilla control was measured in SiHa-EGFP+ and SiHa-EGFP- cells. The transcriptional activity of *pSOX2-mini-luc* served as the negative control and the SOX2 transcriptional activity was expressed relative to pSOX2-mini-luc. Data is presented as the mean \pm SD of experiments in triplicate and statistically analyzed with student's *t*-test. The symbol # represent SiHa-EGFP+ vs SiHa-EGFP- and *p* < 0.05, *** represents a *p* value of < 0.001 and ns indicates no statistical difference.

<https://doi.org/10.1371/journal.pone.0215494.g002>

SOX2-positive and SOX2-negative cells). The expression level was detected by Western blot and immunofluorescence (Fig 3C–3E). Interestingly, the overexpression of OCT4 in SOX2-negative cells did not induce the expression of the SOX2 protein, which suggested that OCT4 could increase the transcription of SOX2 rather than trigger.

Furthermore, the transcription activation levels of SOX2 were monitored by dual-luciferase assay in OCT4-overexpressing cells. OCT4 slightly increased the activation of transcription in all plasmids containing ~+1185 and ~-1828 regions, but without any effect in the plasmid only containing ~-1828 region (Fig 3F). Additionally, OCT4 promoted the transcription of SOX2 in SOX2-negative cells with the plasmid pSOX2-1185-Luc-1828(mutSOX2) that had a

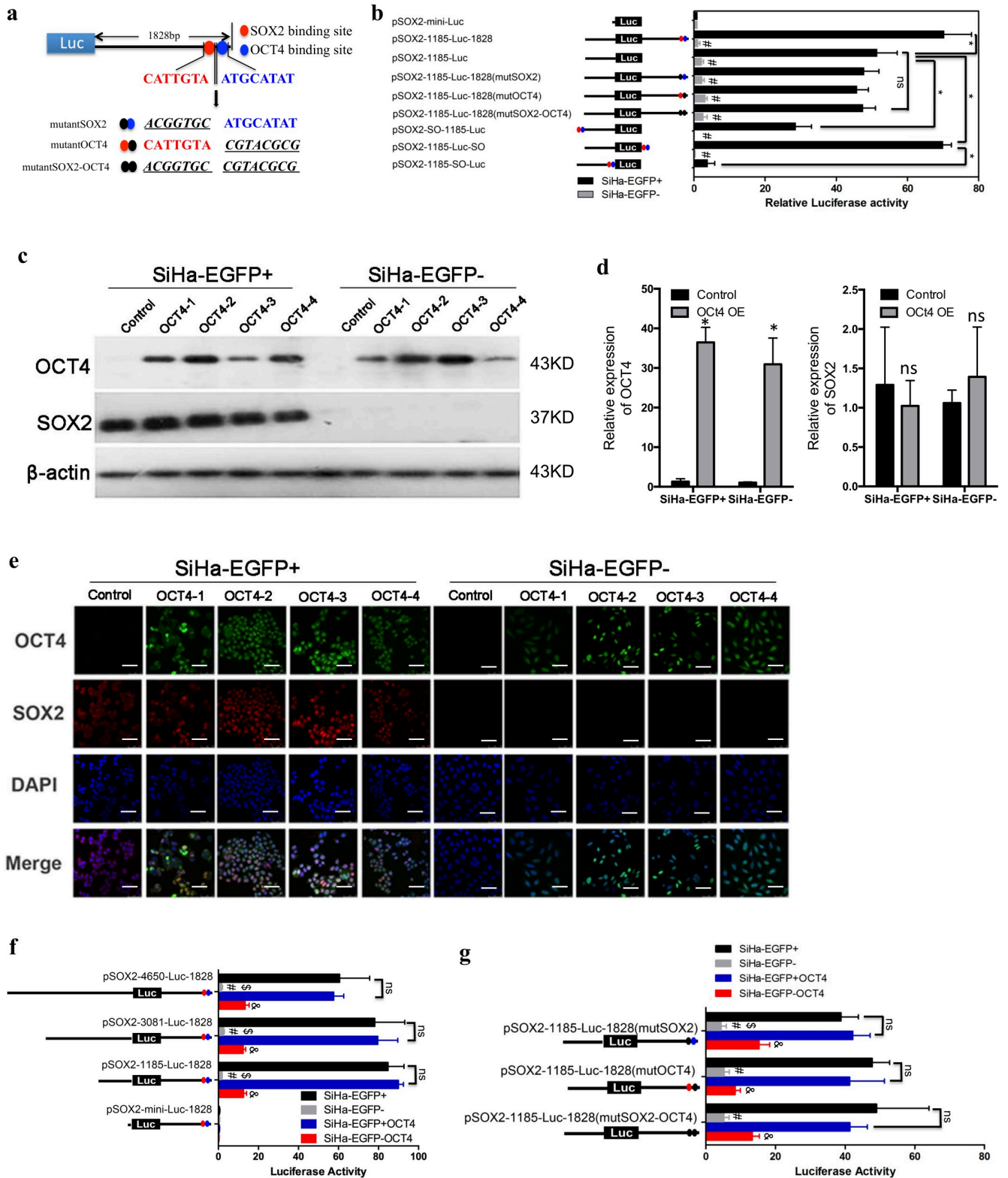


Fig 3. OCT4 partially increased SOX2 transcriptional activation. (a) The mutations of SOX2 and OCT4 binding sites downstream of the SOX2 promoter 3'UTR. (b) The luciferase activity of mutations in SOX2 promoter was detected. (S: SOX2; O: OCT4; red dot: SOX2 binding site; blue dot: OCT4 binding site; black dot: mutant of SOX2 or OCT4 binding site). The expression level of OCT4 was detected in OCT4-overexpressing SiHa-EGFP+ and SiHa-EGFP- cells by Western blot including densitometric analysis (c and d) and immunofluorescence (e). (f and g) The luciferase activity of the SOX2 promoter deletions and

mutations in OCT4-overexpressing cells. Data is presented as the mean \pm SD of experiments in triplicate and statistically analyzed using student's t-test. The symbols represent the following: *, $p < 0.05$; #, SiHa-EGFP+ vs SiHa-EGFP- and $p < 0.05$; \$, SiHa-EGFP- vs SiHa-EGFP-OCT4 and $p < 0.05$; &, SiHa-EGFP+OCT4 vs SiHa-EGFP-OCT4 and $p < 0.05$; ns = no statistical difference.

<https://doi.org/10.1371/journal.pone.0215494.g003>

mutation in the SOX2 binding site. However, when the OCT4 binding site was mutated as with plasmid pSOX2-1185-Luc-1828mutOCT4, the transcription of SOX2 was not significantly altered (Fig 3G). These results suggest that the OCT4 binding site in the SOX2 promoter could improve the transcriptional activation but was not required for SOX2 expression.

The NF-YA binding site CCAAT/ATTGG box was required for the transcription of SOX2 in cervical CSCs

All these results above suggested that the upstream \sim +1185 region of SOX2 promoter could be of crucial importance for the transcription of SOX2. According to the functional analysis of transcription factor binding sites, we found two binding sites of SOX2 and NF-YA in the candidate region. Then, mutations in plasmid pSOX2-1185-luc were constructed to harbor mutations from GAACAATA to TCCACCGT in the SOX2 binding site and from TGATTGGTC to GTCGGTTGA in the NF-YA binding site (Fig 4A). The luciferase activity of either SOX2 or NF-YA mutation in SOX2-positive cells was significantly inhibited compared with pSOX2-1185-Luc reporter (Fig 4B). Of note the mutation in the NF-YA binding site in SOX2-positive cells resulted in a decrease of transcriptional activity to the level of that in SOX2-negative cells (Fig 4B). This suggests that CCAAT/ATTGG box located -485 upstream of SOX2 promoter was indispensable for the transcription of SOX2.

The CCAAT/ATTGG box was specifically bound by transcription factor NF-YA, suggesting that NF-YA might play an important role in the maintenance of cervical CSCs properties derived from SOX2 transcriptional activity. Thus, we detected the expression of NF-YA in isolated SOX2-positive and -negative SiHa and C33A cells. The expression level of NF-YA protein in SOX2-positive cells was higher than that in SOX2-negative cells both in SiHa and C33A cells (Fig 4C). Fortunately, tumorspheres cultured in serum-free media also showed both higher SOX2 and NF-YA expression than they in adherent culture did (Fig 4C). In order to confirm that the CCAAT/ATTGG box was vital to the transcriptional activation of SOX2 by the factor NF-YA, we exogenously overexpressed NF-YA in SOX2-negative SiHa and C33A cells and found by western blot that SOX2 was upregulated (Fig 4D). OCT4 as the downstream gene of SOX2 was also upregulated in NF-YA overexpressed SOX2-negative cells compared with that in SOX2-negative cells detected by IHC, which might be induced by the upregulation of SOX2 (S1 Fig). Meanwhile, the transcript level of SOX2 driven by the plasmids containing the \sim +1185 region was also significantly improved in NF-YA-overexpressed cells (Fig 4E, $p < 0.05$). These results suggest that the CCAAT/ATTGG box in the SOX2 promoter region was necessary for transcriptional activation of SOX2 with its trans-factor NF-YA upregulating the expression of the SOX2 protein.

NF-Y specifically binds to CCAAT/ATTGG box upstream of SOX2 promoter in cervical CSCs

Since NF-YA, as a universally accepted trans-acting factor of the CCAAT/ATTGG box, could increase the expression of SOX2 in cervical CSCs, we investigated whether NF-YA could transcriptionally activate the expression of SOX2 via physiological binding to the *cis*-element of CCAAT/ATTGG box in SOX2 promoter. Here, NF-YA overexpressed SOX2-negative SiHa and C33A cells showed increased luciferase activity of pSOX-1185-luc as compared with

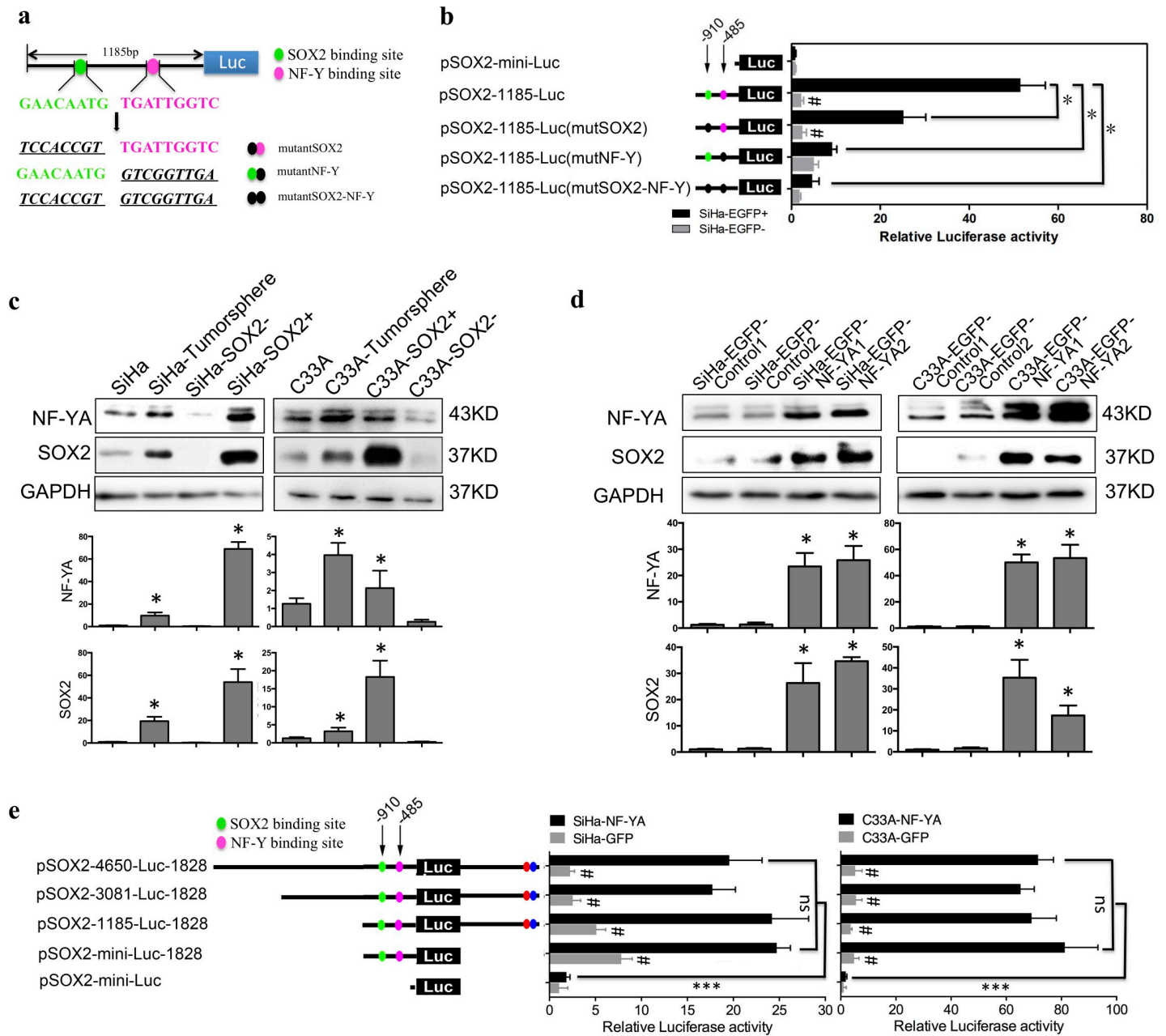


Fig 4. The NF-YA binding site CCAAT/ATTGG box was required for the transcription of SOX2 in cervical CSCs. (a) The mutations of SOX2 and NF-Y binding sites upstream of the SOX2 promoter 5'UTR. (b) The luciferase activity of the SOX2 promoter mutations (green dot: SOX2 binding site; pink dot: NF-Y binding site; black dot: mutant of SOX2 or NF-Y binding site). (c) NF-YA protein expression was detected in SiHa and C33A cells cultured in adherent culture and tumorsphere, as well as SOX2+ and SOX- SiHa and C33A cells and densitometric analysis was performed related to GAPDH. (d) NF-YA was overexpressed in SiHa and C33A cells and the expression levels and densitometric analysis of NF-YA and SOX2 were detected by Western blot. (e) The luciferase activity of the SOX2 promoter deletions in NF-YA-overexpressing SiHa and C33A cells. Data is presented as the mean \pm SD of experiments in triplicate and statistically analyzed using student's *t*-test. The symbols represent the following: *, $p < 0.05$; ***, $p < 0.001$; #, NF-YA group vs GFP group and $p < 0.05$; ns = no statistical difference.

<https://doi.org/10.1371/journal.pone.0215494.g004>

SOX2-negative cells, respectively. However, when mutations were introduced to the CCAAT / ATTGG box site, there was no significant difference in luciferase activity between NF-YA-over-expressing SOX2-negative cells and SOX2-negative cells (Fig 5A, $p < 0.05$). Moreover, in the deletions of the ~1185 region, only pSOX2-SN1-Luc, including both SOX2 and NF-YA

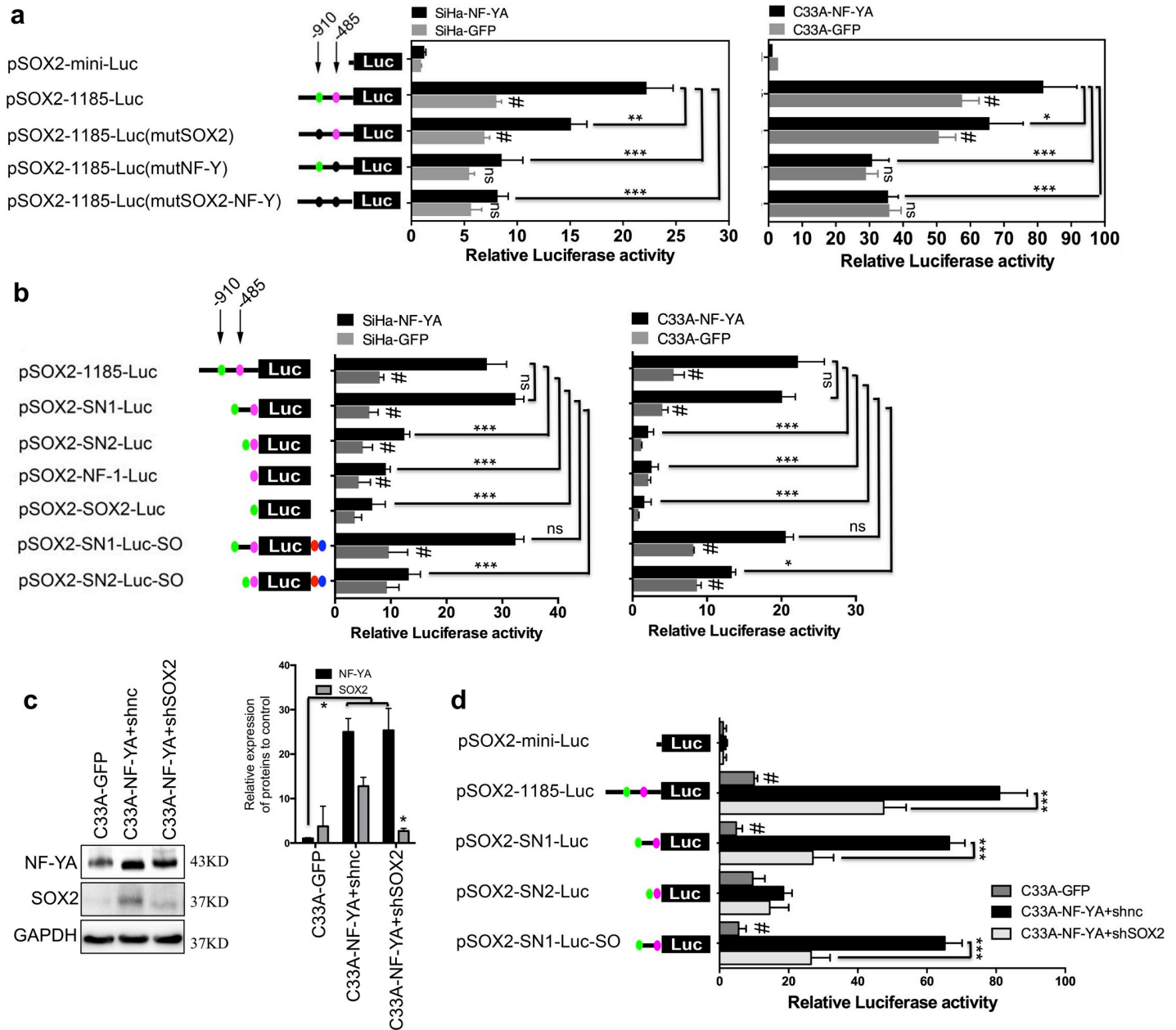


Fig 5. NF-Y specifically bound to CCAAT/ATTGG box upstream of the SOX2 promoter in cervical CSCs. (a) The luciferase activity of the SOX2 promoter mutations (green dot: SOX2 binding site; pink dot: NF-Y binding site; black dot: mutant of SOX2 or NF-Y binding site) in NF-YA-overexpressing SiHa and C33A cells. (b) The luciferase activity of the SOX2 promoter deletions in NF-YA-overexpressing SiHa and C33A cells. SOX2 was silenced by specific shRNA targeting the SOX2 CDS region in NF-YA-overexpressing C33A cells (c) and the luciferase activity of SOX2 promoter deletions was measured (d). (e) The diagram of NF-YA and SOX2 binding sites upstream of the SOX2 promoter. Data is presented as the mean \pm SD of experiments in triplicate and statistically analyzed with student's *t*-test. The symbols represent the following: *, $p < 0.05$; ***, $p < 0.001$; #, NF-YA group vs GFP group and $p < 0.05$; ns, no statistical difference.

<https://doi.org/10.1371/journal.pone.0215494.g005>

binding sites and the unknown middle region, could be transactivated in NF-YA-overexpressing SOX2-negative SiHa and C33A cells (Fig 5B, $p < 0.05$). Additionally, SOX2 was silenced in NF-YA over expressing SOX2-negative C33A cells and the luciferase activity was significantly reduced compared with NF-YA overexpressing cells (Fig 5C and 5D). The findings suggest that that NF-Y transcriptionally activated the expression of SOX2 in cervical CSCs by binding to the CCAAT/ATTGG box upstream of the SOX2 promoter.

Discussion

Expression of transcription factor SOX2 is one of the hallmarks of embryonic stem cells, induced pluripotent stem cells and CSCs. The relationship between the expression of SOX2 and CSCs population was identified in breast cancer[13], lung cancer[24–26], ovarian cancer [27, 28], and cervical cancer [29]. In our previous study, SOX2-positive cells isolated from the cervical cancer cell lines SiHa and C33A were found to exhibit self-renewal, differentiation, and tumor initiating properties, which are major characteristics of CSCs[7].

However, the key regions within the SOX2 promoter involved in the SOX2 transcription activity and how it maintains and regulates the CSC characteristics are not fully understood. Based on the promoter searcher system and known key region of SOX2 promoter in the murine and human embryonic stem cells, we systematically analyzed the transcriptional elements, including the 11.5 kb of the human SOX2 promoter sequence. SOX2 promoter deletions and dual-luciferase reporter assay showed the crucial region of +1185 and –1600 was enough to activate the expression of SOX2 in the cervical CSC, a finding different from the 2 positive regulatory regions present within the SOX2 promoter region between -528 and +238 in ES cells[30]. Additionally, the presence of the downstream region -1600 seems too slightly enhanced but initiate the expression of SOX2. This suggests that the regulation of the endogenous SOX2 gene observed during the stemness maintenance of cervical CSCs is due to differential utilization of *cis*-regulatory elements in the region +1185 of SOX2 promoter.

To further define the *cis*-regulatory elements of this gene, the TFSEARCH database was used to examine the candidate sequence of the SOX2 promoter for possible transcription factor binding sites. This analysis identified a putative GAACAATG, CCAAT and ATGCATAT motif, which were the binding sites of SOX2, NF-Y and OCT4 factors, respectively. Mutagenesis of these motifs demonstrated that CCAAT box plays a crucial functional role in the regulation of the SOX2 promoter.

NF-Y has been shown to regulate the expression of several human SOX genes, including SOX2, SOX3, SOX9, and SOX18[31–33]. This transcriptional activation function of NF-Y is mediated, at least in part, by direct binding to CCAAT boxes within promoters of target genes and by making complex interplay with other factors involved in transcriptional regulation of human SOX genes. Here, we found the key region of -1185bp of SOX2 promoter is essential for the transcription of SOX2 protein in cervical CSCs. Moreover, there were two binding sites (GAACAATG and CCAAT) for the transcription factor, SOX2 it-self and NF-Y and we also confirmed that mutation of SOX2 and NF-Y binding sites could both inhibited the SOX2 expression. However, the OCT4 binding site which has been reported previously [16] in SOX2 promoter could rather initiate than partially increase the transcriptional activation of the SOX2 promoter. Interestingly, the spacer region between SOX2 and NF-Y binding sites containing about 425bp also function an important role for the transcriptional activation of SOX2. Deletion of this spacer region significantly inhibiting the activity of SOX2 promoter reporter might because of the spatial occupancy or binding of other transcriptional factor.

In summary, we show that the NF-Y binding site CCAAT within the proximal region of the human SOX2 gene promoter plays a key role in regulating SOX2 expression in cervical CSCs. These results establish that NF-YA underlies SOX2 upregulation and is essential for the maintenance of characteristics of CSCs. We believe that these studies provide important insights into the biology of CSCs and identified NF-YA as a potential target for intervention in cervical cancer.

Supporting information

S1 Fig. The expression of OCT4 protein in NF-YA overexpressed cells. The expression of OCT4 protein was detected by IHC in EGFP-NF-YA and GEFP-Control groups of SiHa and

C33A cells.
(PDF)

S1 Table. The primer sequences used in the SOX2 promoter deleted and mutated plasmids.
(XLSX)

Author Contributions

Conceptualization: Bin Li, Peng-Sheng Zheng.

Data curation: Wen-Ting Yang, Bin Li.

Funding acquisition: Wen-Ting Yang, Peng-Sheng Zheng.

Investigation: Wen-Ting Yang, Bin Li.

Methodology: Wen-Ting Yang, Zong-Xia Zhao.

Resources: Zong-Xia Zhao.

Software: Wen-Ting Yang.

Writing – original draft: Wen-Ting Yang.

Writing – review & editing: Peng-Sheng Zheng.

References

1. Greten FR. Cancer: Tumour stem-cell surprises. *Nature*. 2017; 543(7647): 626–627. <https://doi.org/10.1038/543626a> PMID: 28358084
2. Reya T, Morrison SJ, Clarke MF, Weissman IL. Stem cells, cancer, and cancer stem cells. *Nature*. 2001; 414(6859): 105–111. <https://doi.org/10.1038/35102167> PMID: 11689955
3. Beier CP and Beier D. CD133 negative cancer stem cells in glioblastoma. *Front Biosci (Elite Ed)*. 2011; 3: 701–710.
4. Kassem NM, Review article: cancer stem cells: from identification to eradication. *J Egypt Natl Canc Inst*. 2008; 20(3): 209–215. PMID: 20424650
5. Bautch VL. Cancer: Tumour stem cells switch sides. *Nature*. 2010; 468(7325): 770–771. <https://doi.org/10.1038/468770a> PMID: 21150987
6. Kashii-Magaribuchi K, Takeuchi R, Haisa Y, Sakamoto A, Itoh A, Izawa Y, et al. Induced Expression of Cancer Stem Cell Markers ALDH1A3 and Sox-2 in Hierarchical Reconstitution of Apoptosis-resistant Human Breast Cancer Cells. *Acta Histochem Cytochem*. 2016; 49(5): 149–158. <https://doi.org/10.1267/ahc.16031> PMID: 27917009
7. Liu XF, Yang WT, Xu R, Liu JT, Zheng PS. Cervical cancer cells with positive Sox2 expression exhibit the properties of cancer stem cells. *PLoS One* 2014; 9(1): e87092. <https://doi.org/10.1371/journal.pone.0087092> PMID: 24489842
8. Stewart CJ, Crook M. SOX2 Expression in Cervical Intraepithelial Neoplasia Grade 3 (CIN3) and Superficially Invasive (Stage IA1) Squamous Carcinoma of the Cervix. *Int J Gynecol Pathol*. 2016; 35(6): 566–573. <https://doi.org/10.1097/PGP.0000000000000273> PMID: 26886477
9. Tyagi A, Vishnoi K, Mahata S, Verma G, Srivastava Y, Masaldan S, et al. Cervical Cancer Stem Cells Selectively Overexpress HPV Oncoprotein E6 that Controls Stemness and Self-Renewal through Upregulation of HES1. *Clin Cancer Res*. 2016; 22(16): 4170–4184 <https://doi.org/10.1158/1078-0432.CCR-15-2574> PMID: 26988248
10. Oliveira CS, de Souza MM, Saraiva NZ, Tetzner TA, Lima MR, Lopes FL, et al. In vitro culture of bovine embryos in murine ES cell conditioned media negatively affects expression of pluripotency-related markers OCT4, SOX2 and SSEA1. *Reprod Domest Anim*. 2012; 47(3): 428–435. <https://doi.org/10.1111/j.1439-0531.2011.01896.x> PMID: 21933286
11. Rizzino A. Sox2 and Oct-3/4: a versatile pair of master regulators that orchestrate the self-renewal and pluripotency of embryonic stem cells. *Wiley Interdiscip Rev Syst Biol Med*. 2009; 1(2): 228–236. <https://doi.org/10.1002/wsbm.12> PMID: 20016762

12. Pevny LH, Nicolis SK. Sox2 roles in neural stem cells. *Int J Biochem Cell Biol.* 2010; 42(3): 421–424. <https://doi.org/10.1016/j.biocel.2009.08.018> PMID: 19733254
13. Alqahtani H, Gopal K, Gupta N, Jung K, Alshareef A, Ye X, et al. DDX17 (P72), a Sox2 binding partner, promotes stem-like features conferred by Sox2 in a small cell population in estrogen receptor-positive breast cancer. *Cell Signal.* 2016; 28(2): 42–50. <https://doi.org/10.1016/j.cellsig.2015.11.004> PMID: 26569340
14. Bulstrode H, Johnstone E, Marques-Torreson MA, Ferguson KM, Bressan RB, Blin C, et al. Elevated FOXG1 and SOX2 in glioblastoma enforces neural stem cell identity through transcriptional control of cell cycle and epigenetic regulators. *Genes Dev.* 2017; 31(8): 757–773. <https://doi.org/10.1101/gad.293027.116> PMID: 28465359
15. Lee Y, Kim KH, Kim DG, Cho HJ, Kim Y, Rhee J, et al. FoxM1 Promotes Stemness and Radio-Resistance of Glioblastoma by Regulating the Master Stem Cell Regulator Sox2. *PLoS One.* 2015; 10(10): e0137703. <https://doi.org/10.1371/journal.pone.0137703> PMID: 26444992
16. Tomioka M, Nishimoto M, Miyagi S, Katayanagi T, Fukui N, Niwa H, et al. Identification of Sox-2 regulatory region which is under the control of Oct-3/4-Sox-2 complex. *Nucleic Acids Res.* 2002; 30(14): 3202–3213. <https://doi.org/10.1093/nar/gkf435> PMID: 12136102
17. Serra E, Zemzoumi K, di Silvio A, Mantovani R, Lardans V, Dissous C. Conservation and divergence of NF-Y transcriptional activation function. *Nucleic Acids Res.* 1998; 26(16): 3800–3805. <https://doi.org/10.1093/nar/26.16.3800> PMID: 9685499
18. Dai C, Miao CX, Xu XM, Liu LJ, Gu YF, Zhou D, et al. Transcriptional activation of human CDCA8 gene regulated by transcription factor NF-Y in embryonic stem cells and cancer cells. *J Biol Chem.* 2015; 290(37): 22423–22434. <https://doi.org/10.1074/jbc.M115.642710> PMID: 26170459
19. Moeinaviziri F. and Shahhoseini M., Epigenetic role of CCAAT box-binding transcription factor NF-Y on ID gene family in human embryonic carcinoma cells. *IUBMB Life.* 2015; 67(11): 880–887. <https://doi.org/10.1002/iub.1443> PMID: 26509926
20. Bungartz G, Land H, Scadden DT, Emerson SG. NF-Y is necessary for hematopoietic stem cell proliferation and survival. *Blood.* 2012; 119(6): 1380–1389. <https://doi.org/10.1182/blood-2011-06-359406> PMID: 22072554
21. Gurtner A, Manni I, Piaggio G. NF-Y in cancer: Impact on cell transformation of a gene essential for proliferation. *Biochim Biophys Acta Gene Regul Mech.* 2017; 1860(5): 604–616. <https://doi.org/10.1016/j.bbagr.2016.12.005> PMID: 27939755
22. Zhang HT, Zhang D, Zha ZG, Hu CD. Transcriptional activation of PRMT5 by NF-Y is required for cell growth and negatively regulated by the PKC/c-Fos signaling in prostate cancer cells. *Biochim Biophys Acta.* 2014; 1839(11): 1330–1340. <https://doi.org/10.1016/j.bbagr.2014.09.015> PMID: 25281873
23. Sikorska M, Sandhu JK, Deb-Rinker P, Jezierski A, Leblanc J, Charlebois C, et al. Epigenetic modifications of SOX2 enhancers, SRR1 and SRR2, correlate with in vitro neural differentiation. *J Neurosci Res.* 2008; 86(8): 1680–1693. <https://doi.org/10.1002/jnr.21635> PMID: 18293417
24. Bora-Singhal N, Perumal D, Nguyen J, Chellappan S. Gli1-Mediated Regulation of Sox2 Facilitates Self-Renewal of Stem-Like Cells and Confers Resistance to EGFR Inhibitors in Non-Small Cell Lung Cancer. *Neoplasia.* 2015; 17(7): 538–551. <https://doi.org/10.1016/j.neo.2015.07.001> PMID: 26297432
25. Singh S, Trevino J, Bora-Singhal N, Coppola D, Haura E, Altioq S, et al. EGFR/Src/Akt signaling modulates Sox2 expression and self-renewal of stem-like side-population cells in non-small cell lung cancer. *Mol Cancer.* 2012; 11: 73. <https://doi.org/10.1186/1476-4598-11-73> PMID: 23009336
26. Zhang X, Hu F, Li C, Zheng X, Zhang B, Wang H, et al. OCT4&SOX2-specific cytotoxic T lymphocytes plus programmed cell death protein 1 inhibitor presented with synergistic effect on killing lung cancer stem-like cells in vitro and treating drug-resistant lung cancer mice in vivo. *J Cell Physiol.* 2019; 234(5): 6758–6768. <https://doi.org/10.1002/jcp.27423> PMID: 30382588
27. Bareiss PM, Paczulla A, Wang H, Schairer R, Wiehr S, Kohlhöfer U, et al. SOX2 expression associates with stem cell state in human ovarian carcinoma. *Cancer Res.* 2013; 73(17): 5544–5555. <https://doi.org/10.1158/0008-5472.CAN-12-4177> PMID: 23867475
28. Wen Y, Hou Y, Huang Z, Cai J, Wang Z. SOX2 is required to maintain cancer stem cells in ovarian cancer. *Cancer Sci.* 2017; 108(4): 719–731. <https://doi.org/10.1111/cas.13186> PMID: 28165651
29. Chhabra R. let-7i-5p, miR-181a-2-3p and EGF/PI3K/SOX2 axis coordinate to maintain cancer stem cell population in cervical cancer. *Sci Rep.* 2018; 8(1): 7840. <https://doi.org/10.1038/s41598-018-26292-w> PMID: 29777148
30. Taniguchi J, Pandian GN, Hidaka T, Hashiya K, Bando T, Kim KK, et al. A synthetic DNA-binding inhibitor of SOX2 guides human induced pluripotent stem cells to differentiate into mesoderm. *Nucleic Acids Res.* 2017; 45(16): 9219–9228. <https://doi.org/10.1093/nar/gkx693> PMID: 28934500

31. Mojsin M, Topalovic V, Marjanovic Vicentic J, Stevanovic M. Transcription factor NF-Y inhibits cell growth and decreases SOX2 expression in human embryonal carcinoma cell line NT2/D1. *Biochemistry (Mosc)*. 2015; 80(2): 202–207.
32. Petrovic I N Kovacevic-Grujicic, M Stevanovic. ZBP-89 and Sp3 down-regulate while NF-Y up-regulates SOX18 promoter activity in HeLa cells. *Mol Biol Rep*. 2009; 36(5): 993–1000. <https://doi.org/10.1007/s11033-008-9272-x>
33. Shi Z, Chiang CI, Labhart P, Zhao Y, Yang J, Mistretta TA, et al. Context-specific role of SOX9 in NF-Y mediated gene regulation in colorectal cancer cells. *Nucleic Acids Res*. 2015; 43(13): 6257–69. <https://doi.org/10.1093/nar/gkv568> PMID: 26040697