



# External Environmental Pollution as a Risk Factor for Asthma

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## Abstract

Air pollution is a worrisome risk factor for global morbidity and mortality and plays a special role in many respiratory conditions. It contributes to around 8 million deaths/year, with outdoor exposure being responsible for more than 4.2 million deaths throughout the world, while more than 3.8 million die from situations related to indoor pollution. Pollutant agents induce several respiratory symptoms. In addition, there is a clear interference in numerous asthma outcomes, such as incidence, prevalence, hospital admission, visits to emergency departments, mortality, and asthma attacks, among others. The particulate matter group of pollutants includes coarse particles/PM<sub>10</sub>, fine particles/PM<sub>2.5</sub>, and ultrafine particles/PM<sub>0.1</sub>. The gaseous components include ground-level ozone, nitrogen dioxide, sulfur dioxide, and carbon monoxide. The timing, load, and route of allergen exposure are other items affecting allergic disease phenotypes. The complex interaction between pollutant exposures and human host factors has an implication in the development and rise of asthma as a public health problem. However, there are hiatuses in the understanding of the pathways in this disease. The routes through which pollutants induce asthma are multiple, and include the epigenetic changes that occur in the respiratory tract microbiome, oxidative stress, and immune dysregulation. In addition, the expansion of the modern Westernized lifestyle, which is characterized by intense urbanization and more time spent indoors, resulted in greater exposure to polluted air. Another point to consider is the different role of the environment according to age groups. Children growing up in economically disadvantaged neighborhoods suffer more important negative health impacts. This narrative review highlights the principal polluting agents, their sources of emission, epidemiological findings, and mechanistic evidence that links environmental exposures to asthma.

**Keywords** Air pollution · Particulate matter · Asthma

## Introduction

Air pollution is currently one of the most significant preventable health risks worldwide. It has been called a “silent killer” by the World Health Organization (WHO) [1] because its effects often go unnoticed and are not easily measured, nor it is associated with health problems by the

lay population [2]. However, air pollution is a major cause of premature death [3–7]. Another WHO recent alert is about that 90% of the world’s population breathes inadequate air—a fact that has not had the expected repercussions [8]. Air pollution is responsible for approximately 4.2 million deaths in 2015. Air pollution also caused over 100 million years of life lost, adjusted to the number of years lived with disability (DALYs) [4]. The European Environmental Agency (EEA) reported that exposure to particulate matter and gaseous pollutants above the recommended levels still remains very common in many countries [2, 5, 9].

External environmental pollution agents are dispersed by wind currents and do not obey country borders. For example, polluting agents related to Chinese industrial production reach Japan and the west coast of the USA. These pollutants are driven by wind currents [5]. A similar situation takes place with the smoke resulting from wildfires in the Amazon rainforest; the air pollutants from these clouds disperse over long distances [10]. However, the impact of these

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burns on mortality and morbidity from acute and chronic respiratory and cardiovascular outcomes requires more reliable indicators. Using satellite technology, National Aeronautics and Space Administration (NASA)-supported scientists are developing markers to evaluate global air pollution and the role of the main pollutant agents in some respiratory diseases, such as asthma. Researchers have already incorporated some of these indicators in tracking pollution clouds from wildfires, dust storms, pollens, urban green space, tropospheric ozone concentrations, particulate matter, and many others [11, 12].

An evidence accumulated for several decades supports the idea that air pollution can exacerbate pre-existing asthma. However, new findings have emerged that suggest air pollution might also cause new-onset asthma.

There is a relationship between the production of air pollutants with some habits of the population in certain regions or countries and with regard to specific professional situations. An example are the workers' migration from workplaces like fields and farms to factories and offices. Urbanization and economic growth in many countries are responsible for the increase in some industrial activities and for the significant increase in motor vehicle emissions. These factors caused severe air pollution. The westernization of the way of life of a large part of the world's population, with consequent changes in customs, led to the voluntary isolation of families, whose members stay longer in their homes or work environments, thereby increasing the impact of indoor pollution [13–15]. It is accepted that about 80–90% of the time, urban families stay in indoor environments, which reinforces the importance of air quality in these environments [16–18]. In some societies, people spend a large amount of time indoors with negative consequences on their health and well-being. Poor indoor air quality can trigger asthma symptoms, likely related to exposure to common triggers such as household dust, mold, and tobacco smoke. Household air quality can be 2 to 5 times worse than outdoor air quality, and unlike the latter, there are no laws to regulate it. Indoor air pollution is determined partly by outdoor air quality depending on ventilation systems and cleaning practices in residences [5, 13].

There is an interplay of indoor and outdoor environmental exposures interacting with host factors. The response to different exposures depends on the individual's genetic characteristics. This complex interaction is associated with the development and progression of allergic diseases, but the timing, load, and route of allergen exposure also have an important effect on allergic disease progression and the severity of symptoms.

Chemical pollution is another form of aggression to the environment and health. According to Landrigan et al., industries synthesized more than 140,000 new chemical agents and pesticides in recent years, with significant

spread across the planet, and without assessment of possible deleterious effects before large-scale use [5]. Chemical exposures associated with occupational asthma, especially in atopic individuals, include pharmaceuticals, cosmetic products, flame-retardants, and many others.

There is no reliable control of the several forms of pollution related to industries, agriculture, and the burning of fossil fuels by motor vehicles, in both developed and newly industrialized countries. Unfortunately, air and water pollution, detected in many countries with extreme poverty and unfavorable lifestyles, is decreasing very slowly [4, 19–21].

Another worrying aspect related to pollution is the progressive and severe global warming as a direct threat to health. Severe heatwaves and climate changes are associated with increased mortality rates in the elderly and in individuals with chronic cardiorespiratory diseases. These climatic phenomena increase exposure to various risk factors present in the inhaled air, such as pollens, fungi, toxic gases, and particulate matter.

In a parallel event, outdoor pollution increases the amount of pollen grains and chemically modified aeroallergens. Global warming prolongs the vegetation periods of plants and, if followed by extreme climate events like heavy precipitation, provokes a sudden release of massive amounts of allergens. These allergens interact with sensitized mast cells, inducing the release of inflammatory mediators and, thereby, leading to severe asthma attacks [19, 22].

Air pollution is an important component of the exposome [23], which is the set of environmental exposures throughout our lives, with a significant negative impact on our health. The exposome is changing rapidly in recent times due to modifications in the way we work and live [24, 25]

In contrast to this serious situation, the decrease in air contamination, with a reduction of the most harmful components of the exposome, produces substantial, fast, and favorable results in terms of public health. The control of these factors has a positive impact on national, regional, municipal, and even family budgets. This strategic position reinforces the idea that these risk factors are preventable and controllable. US reports revealed that investments of around 65 billion dollars starting in the 1970s, aimed at reducing the impact of pollution, resulted in a budget inflow of 1.5 trillion dollars [5].

External environmental pollution contributes significantly to asthma and other allergic diseases, chronic rhinosinusitis, exacerbations of chronic obstructive pulmonary disease (COPD), respiratory infections, sleep apnea, and several neoplasms, especially lung cancer. On the other hand, indoor smoking and pollution caused by cooking using biomass, kerosene, or diesel derivatives are factors significantly associated with respiratory health aggression. Although tobacco use is decreasing in many countries, a considerable

number of children and adolescents start every day with traditional forms of tobacco use or with the more recently introduced variants in Western countries, such as hookah, electronic cigarettes, and heated tobacco devices. Young people rapidly accepted these new devices, which represent a component of pollution that is not yet well known and that must be better studied [14, 19].

Numerous polluting agents have a clear relationship to respiratory disease outcomes, especially asthma and COPD. Among them, PM<sub>x</sub>, O<sub>3</sub>, SO<sub>2</sub>, CO, and NO<sub>2</sub> stand out for inducing cough, sputum, and bronchial hyperresponsiveness in many patients. In addition, there is an interference in many outcomes, such as incidence, prevalence, hospital admission, visits to emergency departments, mortality, and asthma attacks, among others.

Due to the importance of the binomial respiratory tract/environmental pollution, this narrative review will focus on polluting agents related to asthma outcomes [2, 13, 26]. However, this paper will not address the relationship between asthma and indoor pollution.

## Principal Polluting Agents and their Sources of Emission

Despite the recognition that there is no safe level of pollutants in the air and that exposure even to concentrations below the limits recommended by the WHO may pose a risk, there is still a long way to go towards reducing emissions to an acceptable level [27]. Many countries have established parameters that would be safer for one's health and agree on the need to update these variables periodically [26]. Measurements over time of the concentrations of some air pollutants, such as carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), sulfur dioxide (SO<sub>2</sub>), particulate matter (PM<sub>x</sub>), and ozone (O<sub>3</sub>), serve as markers of the environmental situation in a given area [6].

The human body's responses to air pollutant aggressions depend on the type, duration, and intensity of exposure, atmospheric conditions, and individual characteristics. Socioeconomic aspects of a specific population may at least partially explain the heterogeneous results detected in a community, city, or even neighborhood [19].

Primary pollutants are those directly released by the emission sources. The most relevant are sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), black carbon (BC), particulate matter PM<sub>x</sub>, volatile organic compounds (VOC), and some metals. Secondary pollutant agents are those formed in the atmosphere through chemical reactions between primary pollutants. Examples are hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), sulfuric acid (H<sub>2</sub>SO<sub>4</sub>), nitric acid (HNO<sub>3</sub>), sulfur trioxide (SO<sub>3</sub>), nitrates (NO<sub>3</sub><sup>-</sup>), sulfates (SO<sub>4</sub><sup>2-</sup>), and ozone [13].

The physical-chemical characteristics of these agents are decisive for the type of aggression that will occur in the respiratory system. These pollutants may have additive or synergistic effects with each other, resulting in even more damage than the isolated effects of each component. More studies are needed to better clarify these interactions [2].

The main sources of environmental air pollutants are the results of human action, including automotive vehicles, ships, airplanes, industries, and the burning of biomass [28].

Table 1 shows the different types of pollutants and how they damage human tissues [13].

Particulate matter (PM<sub>10</sub>; PM<sub>2.5</sub>; PM<sub>0.1</sub>): This group forms one of the main aggressors to health and the environment. The components, suspended in the air, are solid and liquid particles classified by size. PM<sub>x</sub> is a mixture of chemicals (hydrocarbons, salts, and other compounds given off by vehicles, cooking stoves, and industries) and other natural components, such as dust and microorganisms. PMs may cause damage related to its chemical properties, structure, surface, and composition [13].

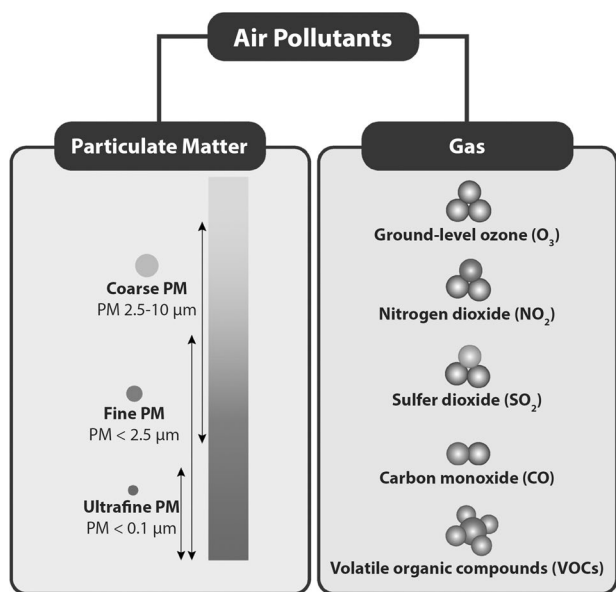
Figure 1 illustrates the main air pollutants according to some physical properties [29].

There are three subgroups: (a) the coarse particles have an aerodynamic diameter ranging from 2.5 to 10 μm (PM<sub>10-2.5</sub>) and are usually in the upper airways, while those between 4 and 10 μm (MP<sub>4-10</sub>) are retained in the intermediate regions of the lower airways. The fine particles have an aerodynamic diameter of ≤ 2.5 μm (PM<sub>2.5</sub>) and reach the bronchiolar and alveolar region. The ultrafine particles/UFP have a diameter smaller than 0.1 μm (PM<sub>0.1</sub>), and pass over the alveolar barrier to enter the bloodstream, promoting adverse

**Table 1** Different types of air pollutants and the damage in human tissues (adapted from Schraufnagel et al. [13])

Pollutant	Injury determinants	Tissue affected
Sulfur dioxide (SO <sub>2</sub> )	Highly soluble	Upper airway
Nitrogen dioxide (NO <sub>2</sub> )	Less soluble (NO <sub>2</sub> and O <sub>3</sub> )	Deeper penetration; bronchial and bronchiolar injury;
Ozone (O <sub>3</sub> )		
Carbon monoxide (CO)		Tissue hypoxia
Particulate matter (PM <sub>10</sub> , PM <sub>2.5</sub> , PM <sub>0.1</sub> )	Size, structure, and composition determine toxicity	Large particles: mucous membranes and upper airways
		Small particles: bronchioles and alveoli
		Ultrafine particles: systemic tissue reactions

PM<sub>0.1</sub> particulate matter with an aerodynamic diameter < 0.1 μm, PM<sub>2.5</sub> particulate matter with an aerodynamic diameter < 2.5 μm, PM<sub>10</sub> particulate matter with an aerodynamic diameter < 10 μm



**Fig. 1** Classification of air pollutants according to some physical properties (modified from Sompornrattanaphan et al. [29])

effects in various organs. Ultrafine particulate matter carries harmful adsorbed components to more distal portions of the lungs [30]. When PM monitors are restricted in terms of distribution in a specific area, the obtained data might not be representative of the entire population.

It is worth noting that people with asthma, pneumonia, diabetes, and respiratory and cardiovascular diseases are especially susceptible and vulnerable to the effects of PM<sub>x</sub>. The particles produce toxic effects according to their chemical and physical properties. The components of PM<sub>10</sub> and PM<sub>2.5</sub> can be organic (for instance, polycyclic aromatic hydrocarbons, dioxins, benzene, 1-3 butadiene) or inorganic (carbon, chlorides, nitrates, sulfates, metals). PM<sub>2.5</sub>, followed by PM<sub>10</sub>, are strongly associated with diverse respiratory system diseases [31], as their size permits them to reach interior spaces [8, 32, 33].

The causal relationship between PM chronic exposure for long years and outcomes of various respiratory diseases has different pathways, depending on each illness, such as asthma, rhinitis, COPD, and lung cancer. Transition metals, polycyclic aromatic hydrocarbons, and environmentally persistent free radicals are constituents of PM of special interest because of their potential to cause oxidative stress and because of the many phenotypic changes associated with asthma. Additionally, particulate matter frequently contains various immunogenic substances, such as fungal spores and pollen, which have been independently associated with the exacerbation of asthma symptoms.

Particulate matter causes the activation of oxidative stress through the production of reactive oxygen

species, innate immunity, adaptive immunity, and other mechanisms, leading to the development and exacerbation of respiratory diseases, as will be discussed in a later section of this review [2, 13, 34].

The mechanisms involved suggest the development of persistent oxidative stress and inflammation, which exacerbate chronic diseases or induce their occurrence.

Acute variations in environmental pollutant concentrations are associated with an increase in Emergency Department visits, hospitalizations, and death rates. PM can also interact with allergens in the air and induce asthma exacerbations in previously sensitized people [34].

In summary, substantial evidence supports the idea that ambient levels of PM exacerbate pre-existing asthma, particularly by contributing to oxidative stress and allergic inflammation, and evidence exists in support of PM as a cause of new cases of asthma.

Table 2 shows the consequences of outdoor pollution with respect to allergic rhinitis and asthma [22].

**Ultrafine particles UFP/PM<sub>0.1</sub>:** The ultrafine particles (UFP; PM<sub>0.1</sub>) are airborne particulates of < 0.1 µm in aerodynamic diameter. Typically, UFPs are generated in the environment, often as secondary products of fossil fuel combustion, as the results of condensation of semi-volatile substances, or industrial emissions. Nanoparticles have similar physical characteristics to UFP, but the difference is in the production through industrial bioengineering processes.

Urban air contains large quantities of UFPs, such as components of diesel exhaust particles, products of cooking, and indoor heating using wood incompletely burned in poorly ventilated environments.

Figure 2 is a graphical demonstration of the mechanisms of PM<sub>0.1</sub>-induced lung diseases proposed by Leikauf et al. [35].

Household pollution is associated with several asthma risk factors related to incomplete biomass burning used in domestic cooking without adequate ventilation, as well as to incense sticks, mosquito repellents, and indoor tobacco smoke [36].

The extremely small size of UFPs allows them to bypass host defenses and deposit themselves in the lung, with a high rate of retention. Thus, for the same volume of air inhaled, the actual dose and effects of UFPs in the lung might be significantly greater than that of PM<sub>2.5</sub>.

There is a relationship between PM<sub>0.1</sub> toxicity with its smaller size, larger surface area, and material adsorbed on the surface. These submicron-scale particles have physicochemical properties that are significantly different from those of larger PM and, therefore, might exert adverse health effects, including promoting asthma exacerbation and allergic sensitization to common allergens.

While the health effects of PM<sub>10</sub> and PM<sub>2.5</sub> are based on their mass, the “weightless” nature of UFPs requires a

**Table 2** Consequences of outdoor air pollution over allergic rhinitis and asthma (adapted from Eguiluz-Gracia et al. [22])

Environmental factors	Health outcomes
Pollution from traffic and industry (PM <sub>10</sub> , PM <sub>2.5</sub> , NO, NO <sub>2</sub> )	
During childhood	Higher asthma prevalence after the school age
During adulthood	Possibly higher asthma prevalence
Lifelong	Poorer lung function Higher rate of asthma exacerbations Conflicting results on AR onset
Livestock farming (organic dust, toxins from microorganisms, gases like ammonia and methane)	Decreased lung function
Black carbon	Possibly epigenetic changes leading to increased type two inflammation in children
Interaction between air pollutants (PM <sub>10</sub> , nitrogen oxides) and allergens (pollen, fungal spores)	
Production of more pollen, more allergens per pollen grain, and more PALMs per pollen grain	Potentially, facilitation of IgE sensitization against aeroallergens Higher rate of asthma-related hospitalizations

different metric for exposure, such as the particle number and surface area, as shown in Table 3 [30].

Short-term UFP exposure causes various respiratory symptoms, such as coughing and dyspnoea, but also the worsening of spirometric parameters, the greater use of medications to control asthma, and a higher frequency of hospitalizations for asthma. Higher frequency of medical visits for respiratory diseases is also associated with increased levels of PM<sub>0.1</sub> [37, 38]. In comparison to PM<sub>2.5</sub>, UFPs probably cause greater lung inflammation and remain longer in the lungs. Schraufnagel reported that decreased peak expiratory flow and increased respiratory symptoms in

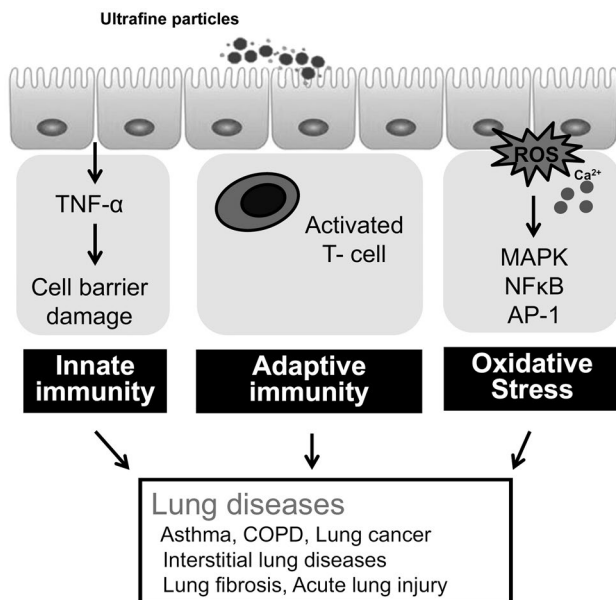
asthmatic subjects were associated with exposure to ambient fine and ultrafine particles. However, the effects of the 5-day UFP mean number were larger than that expected by the fine particles, and its effect on peak expiratory flow, FEV1, and forced vital capacity was more intense than that of PM<sub>10</sub> [30].

Cereceda-Balic et al. [38] showed that the largest increase in the OR for pediatric asthma-related visits was associated with the 4-day mean concentration of UFPs, while the concentration rises of PM, black carbon, and sulfur reported were lower [39].

However, many findings are still controversial. Weichenthal et al., assessing more than 1 million adults, did not find any association between PM<sub>0.1</sub> exposure for long periods, with clinical manifestations, after adjusting for PM<sub>2.5</sub> and NO<sub>2</sub> [40]. From this same perspective, Clifford et al. stated that the number of ultrafine particles did not show independent association with respiratory symptoms, asthma diagnosis, or changes in lung function [41].

In most of these situations, oxidant injury plays an important role in UFP-induced adverse health effects, including exacerbation and promotion of asthma and chronic obstructive pulmonary disease. The inflammatory properties of PM<sub>0.1</sub> and its ability to produce ROS explain these exacerbations, leading to the production of pro-inflammatory cytokines and more airway inflammation.

It is unknown how long UFP persists in human airways and how long a potential pro-allergic effect continues to exist. Schauman et al. observed no significant effects of UFP on allergic inflammation at 24 h after an allergen exposure challenge compared with exposure with filtered air. However, the authors speculate that inhaled UFP particles in real life might have a long-term effect on the inflammatory course in asthmatic patients [42].

**Fig. 2** The proposed mechanisms of ultrafine particles induced lung diseases (adapted from Leikauf et al. [35])



**Table 3** Comparison of PM<sub>10</sub>, PM<sub>2.5</sub>, and UFP (adapted from Schraufnagel [30])

Characteristics	PM <sub>10</sub>	PM <sub>2.5</sub>	UFP
Aerodynamic diameter (mm)	2.5–10	2.5–0.1	< 0.1
Deposition in alveolar space	No	No	Yes
Surface area/mass ratio	+	++	+++
Organic carbon content	+	++	+++
Elemental carbon content	+++	++	+
Metal content	+++	++	+
Exposure metrics	Mass	Mass	Particle number or surface area
Central monitoring sites	Yes	Yes	None
National Ambient Air Quality Standards (NAAQS)/US EPA	150 mg/m <sup>3</sup> (24 h)	35 mg/m <sup>3</sup> (24 h)	None

The potential for PM<sub>0.1</sub> to cause harm to health is great, but their precise role in many illnesses is still unknown.

### Gaseous Components (NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, CO)

**Nitrogen dioxide (NO<sub>2</sub>):** Cooking food with indoor gas stoves releases high NO<sub>2</sub> concentrations compared with findings in the outdoor environment.

Immediately after inhalation, NO<sub>2</sub> dissolves at the most distal airways and at the alveoli. The next step is the production of reactive oxygen and nitrogen substances (ROS and NOS). These substances induce oxidative stress, damaging the respiratory tract, especially in asthmatics. An increase in respiratory symptoms such as wheezing, dyspnea, and chest tightness might start with very low NO<sub>2</sub> exposure [34, 43]. This gas attaches to hemoglobin and other iron-containing proteins, but only if its contact point is in a short distance [13].

Considering that NO<sub>2</sub> is one of the major components of TRAP (traffic-related air pollution), such emissions should be mitigated and included in public health asthma control programs. Meta-analyses detected an association between TRAP exposure and pediatric asthma incidence, indicating an association with NO<sub>2</sub>, but results were mixed for associations with PM<sub>2.5</sub>. The US Environmental Protection Agency and Health Canada pointed out the causal relationship between long-term NO<sub>2</sub> exposure and pediatric asthma [44, 45].

NO<sub>2</sub> is a potent irritant of the respiratory system, as it penetrates deep in the lung, inducing respiratory diseases, coughing, wheezing, dyspnea, bronchospasm, and even pulmonary edema when inhaled at high concentrations. It seems that concentrations over 0.2 ppm produce these adverse effects in humans, while concentrations higher than 2.0 ppm affect T lymphocytes, particularly the CD8+ cells and NK cells that produce the human immune response.

Long-term exposure to high levels of NO<sub>2</sub> can be responsible for chronic lung disease [8].

**Sulfur dioxide (SO<sub>2</sub>):** The outdoor release of this gas occurs through the combustion of fossil material, as in automotive vehicles, ships, airplanes, and industrial plants, and through release into the atmosphere by volcanoes. Sulfur dioxide is one of the main sources of acid rain, whose aerosols have pH < 1.0.

The major health problems associated with SO<sub>2</sub> emissions in industrialized areas are respiratory symptoms, bronchitis, and mucus production. As it is a sensory irritant and penetrates deep into the lung, this gas interacts with sensory receptors, causing bronchoconstriction.

SO<sub>2</sub> is highly soluble in water and largely damages the upper airways and skin. Its effects on the respiratory tract may be short-term, such as respiratory symptoms and increased frequency of emergency room visits and hospitalizations related to respiratory conditions. Long-term exposure causes a decrease in lung function [26, 46–51].

**Ozone (O<sub>3</sub>):** O<sub>3</sub> is a compound of low water-solubility that reaches the more distal regions of the lungs, achieving the alveolar level. Although ozone in the stratosphere plays a protective role against ultraviolet irradiation, it is harmful in high concentrations at ground level, affecting the respiratory and cardiovascular system [52].

Toxic effects induced by ozone occur in urban areas all over the world, causing biochemical, morphologic, functional, and immunological disorders [53].

Asthmatic individuals probably have a greater susceptibility to the effects of O<sub>3</sub> leading to more severe asthma due to an increase in bronchial contractility and an action in the inflammatory cascade [54]. The main consequences of ozone's inhalation are the increased frequency of asthma exacerbations, the need for more bronchodilator medications, and an increased number of visits to emergency services, even in low external environmental concentrations of ozone. There is a decrease

in FEV1 and greater responsiveness during periods of high atmospheric O<sub>3</sub> concentrations [43, 55].

Li X et al. recently demonstrated that the better interval for evaluating ozone's effect on asthma exacerbations is within eight hours of exposure. When assessed using the daily mean concentration, this association does not remain significant [53].

CO: Carbon monoxide is a highly soluble and nonirritating gas that readily passes into the bloodstream. Its toxicity results mainly from its successful competing with oxygen in binding to hemoglobin, which results in tissue hypoxia [13].

Evidence of the acute effects of ambient CO pollution on morbidity risk in developing countries is scarce and inconsistent. Even in the same country, such as China, differing health outcomes, sample sizes, and characteristics of study locations may explain the contradictory result. Liu H et al. detected 916,388 admissions during January 2014 to December 2015. A 1-mg/m<sup>3</sup> increase in CO concentrations corresponded to a 4.44% (95% CI, 3.97–4.92%) increase in respiratory admissions on the same day [56]. The associations remained significant after controlling for criteria co-pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and O<sub>3</sub>). Associations between CO and daily hospital admission for respiratory diseases appeared to be stronger in females and the elderly. The authors speculate that the sex differences in the magnitude of effect may be attributable to hormones and structural/morphological differences in the respiratory system between males and females. Changes in the structure of the respiratory system with advanced age and declining biological function and anti-infection ability may explain the higher effect estimates in the elderly.

The incomplete combustion of fossil fuel produces CO and CO<sub>2</sub>. Higher amounts of CO<sub>2</sub> in the atmosphere increase the duration of pollen seasons, the quantity of pollen produced by plants, and, possibly, the allergenic potential of the pollen. This chain of events has a relationship to stronger IgE binding intensity. The consequence is an increased rate of allergic asthma attacks [57].

Evidence suggests an association between exposure to CO and moderate or severe asthma exacerbations only in adults (OR1.045, 1.005–1.086). Although it was not confirmed in children, during asthma attacks in infants and toddlers, Ohara et al. detected that their exhaled CO levels were significantly higher

than those of subjects with asymptomatic asthma ( $P < 0.0001$ ) and healthy children ( $P < 0.0001$ ) [57].

Another point to be highlighted is that significant association was observed between decreasing asthma death rates with lower CO levels [46].

Toxic heavy metals: Heavy metals such as lead, arsenic, and cadmium induce local inflammation in the airways and, potentially, systemic effects. In the lungs, they determinate the depletion of antioxidants, and, as a consequence, induce oxidative stress, and an increase in pro-inflammatory agent content [58].

Recently, Koh et al. detected a significant association between heavy metals with asthma, allergic rhinitis, and airflow obstruction, pointing especially at the health consequences of lead, cadmium, and mercury [33].

## Epidemiological Findings

Pollution is a real public health problem in both pediatric and adult populations. Outdoor pollution exposure is the fifth leading risk factor for deaths in the world, accounting for 4.2 million deaths, while more than 3.8 million die from situations related to indoor pollution. Considering just the asthma deaths in 2016, the Global Burden of Disease estimated that 420,000 people in the world died from asthma, more than 1000 per day [13]. Worldwide, asthma was the second leading cause of death among chronic respiratory diseases, with a death rate of 6.48/100,000 (4.43–8.39). The Global Asthma Report 2018 informs that the proportion of asthma deaths in all-causes mortality was 0.88% (0.60–1.14) [59].

Table 4 shows the chronic respiratory disease-attributable death rates and DALY rates per 100,000 individuals [60].

However, as compared with other chronic respiratory diseases, asthma mortality is not frequent. It is usually attributed to several risk factors, but the majority are preventable and related to the bad management of asthma [61, 62].

The asthma mortality rate, evaluated in 46 countries, decreased from 0.44 deaths/100,000 (0.39–0.48) in 1993 to 0.19/100,000 (0.18–0.21) in 2006, though without a

**Table 4** Chronic respiratory disease-attributable death rates and DALY rates per 100,000 individuals (adapted from Soriano et al. [60])

	Death rate/100,000	Proportion all-cause deaths	DALY rate/100,000	Proportion all-cause DALYS %
All chronic respirat diseases	51.23 (49.61–52.94)	7.00% (6.76–7.23)	1470.03 (1369.68–1566.56)	4.50% (4.20–4.78)
COPD	41.85 (39.64–43.96)	5.72% (5.43–5.97)	1068.02 (994.47–1135.50)	3.27% (2.96–3.56)
Asthma	6.48 (4.43–8.39)	0.88% (0.60–1.14)	297.92 (236.69–370.88)	0.91% (0.76–1.09)
Interstitial lung diseases and pulmonary sarcoidosis	1.93 (1.50–2.37)	0.26% (0.20–0.32)	44.04 (36.19–53.43)	0.13% (0.11–0.16)
Pneumoconiosis	0.28 (0.27–0.30)	0.04% (0.04–0.04)	6.64 (6.18–7.17)	0.02% (0.02–0.02)
Other chronic respiratory diseases	0.68 (0.60–0.78)	0.09% (0.08–0.11)	53.40 (47.16–59.63)	0.16% (0.05–0.18)

significant difference in the following period, 2006–2012 [61].

Air pollution plays a role in asthma mortality. Liu Y et al. included 4454 cases of asthma deaths during the study period (2013–2018), with an average of at least two asthma death cases per day. The authors found an 11% increase in asthma deaths related to an increase of NO<sub>2</sub> concentration over the 3 days before death occurred. They also reported that following increases in exposures to PM<sub>2.5</sub> and O<sub>3</sub>, the OR for asthma mortality increased by 7% and 9%, respectively. This report concludes that an association exists between asthma deaths and short-term air pollution exposure [31].

In a meta-analysis, Veremchuk et al., studying an urban population, reported that an association between asthma morbidity and air pollution was stronger in children than in adolescents and adults [63].

The role that environmental pollution plays in the various asthma outcomes is becoming clearer, not only in mortality but also in hospitalizations, Emergency Department visits, exacerbations, and others, with the advance of the understanding of the deleterious effects of the polluting agents. The Asthma Global Burden Report estimated that asthma-related emergency room visits occurred between 9–23 million and 5–10 million of attributable to ozone and PM<sub>2.5</sub>, respectively. Those rates represented 8–20% and 4–9% of the annual number of global visits, respectively [64].

The prevalence of asthma is increasing worldwide, especially in densely industrialized urban regions. Cross-sectional and longitudinal studies show the association between asthma and exposure to air pollutants [46, 65]. Previously, in 2006, Watts had already described physicians' concern about the great increase in asthma incidence in China after the industrial development of the last decades, likely associated with a significant increase in the concentration of pollutants [66].

Numerous polluting agents exacerbate respiratory diseases, especially asthma and COPD. Among them, PMs, O<sub>3</sub>, SO<sub>2</sub>, CO, and NO<sub>2</sub> drew attention and were associated with respiratory symptoms such as cough, sputum, and bronchial hyper-responsiveness. Even short-term exposures to high concentrations of environmental pollutants are associated with reduced lung function, exacerbations of asthma, and higher frequencies of visits to emergency departments, hospitalizations, and deaths [64].

## Pediatric Asthma

It is generally difficult to quantify the effects of an individual pollutant and respiratory diseases without multi-pollutant models. This difficulty might explain some inconsistent findings between ambient pollutants and respiratory diseases.

Dong et al. published a study in 2011 evaluating 30,139 Chinese children aged 3 to 12 years, stratified by their allergic predisposition. The results showed that allergic children were more susceptible to air pollutants than the control group, and that this was more frequent among females. They also detected a strong correlation between PM<sub>10</sub> and SO<sub>2</sub> ( $r = 0.78$ ), PM<sub>10</sub> and NO<sub>2</sub> ( $r = 0.70$ ), PM<sub>10</sub> and O<sub>3</sub> ( $r = 0.74$ ), SO<sub>2</sub> and O<sub>3</sub> ( $r = 0.67$ ), and NO<sub>2</sub> and O<sub>3</sub> ( $r = 0.66$ ). These findings prove that it is difficult to distinguish the effects of individual air pollutants [67].

Achakulwisut et al. [68] studied the annual burden of pediatric asthma incidence attributable to ambient NO<sub>2</sub> pollution, evaluating the intra-urban and near-roadway exposure in 125 major cities of 194 countries. Their findings suggested that a substantial portion of pediatric asthma incidence could be avoided by reducing NO<sub>2</sub> pollution in both developed and developing countries, especially in urban areas. Anenberg et al. also mentioned the importance of NO<sub>2</sub> in asthma as a public health problem [64].

In a recent large study, Lee et al. detailed 28,824 asthma exacerbations that required hospital admission and the lag period after exposure. They evaluated air pollutants, weather, aeroallergens, respiratory viral infections, and the effect in five age groups (infants, preschool children, school-aged children, adults, and the elderly). The conclusion was that asthma exacerbations were associated with O<sub>3</sub>, daily temperature range, and tree pollen on lag day 0; with PM<sub>10</sub>, NO<sub>2</sub>, CO, and influenza virus infection on lag day 3; and with SO<sub>2</sub> and weed pollen on lag day 5 [69].

Zhao Y et al. studied during the period January 2013 to August 2017 the data records of 89,484 hospital outpatient visits for respiratory diseases. They found that a short-term exposure to ambient CO was associated with an increased risk of outpatient visits for respiratory diseases. In asthma, an increase in ambient CO corresponded to an increased risk in outpatient visits for asthma of 8.86% (95% CI 4.89%, 12.98%) [70].

A study conducted with children in Athens, Greece, aimed to assess the effects of acute exposure to air pollutants, revealed that an increase of 10 µg/m<sup>3</sup> in MP<sub>10</sub> and SO<sub>2</sub> levels was associated with the increments of 2.2% (95% CI 0.1–5, 1) and 6.0% (95% CI 0.9–11.3), respectively, for asthma in emergency services [71].

Another publication on children and adolescents up to 18 years old described a higher frequency of hospitalizations for asthma associated with increases in NO<sub>x</sub> concentrations (OR 1.11; 95% CI: 1.05–1.17), NO<sub>2</sub> (OR 1.10; 1.04–1.16), MP<sub>10</sub> (OR 1.07; 1.03–1.12), and MP<sub>2.5</sub> (OR 1.09; 1.04–1.13) [72].

In Araraquara, Brazil, a city located in the sugarcane crop region, hospitalizations for asthma increased with the augmentation of pollutant levels. During the straw burning period, hospital admissions for asthma, at all ages,



were around 50% higher. An increment of  $10 \mu\text{g}/\text{m}^3$  in the concentration of total suspended particles was associated with an increase of 11.6% (5.4–17.7) in hospital admissions for asthma [51]. Another study carried out in Brazil found that after a period of forest burning, when the concentrations of  $\text{MP}_{2.5}$  reached values of up to  $400 \mu\text{g}/\text{m}^3$ , there was a 100% increase in emergency care for children under 10 years of age due to respiratory diseases, including asthma [73].

Pollution seems to be related to worse outcomes not only for patients with a previously confirmed asthma diagnosis but also for the emergence of new cases, that is, an increase in the incidence of the disease. Growing evidence confirms that inhalation of pollutants in childhood is associated with an increased risk of developing asthma and impairment of the development of normal lung function [52, 74–76].

Several reports show increases in the frequency of new cases of asthma in childhood associated with continued exposure to pollutants generated by the burning of fossil fuels, such as black carbon,  $\text{PM}_{2.5}$ , and  $\text{NO}_2$  [54, 55, 77].

In a comprehensive assessment of the respiratory consequences of forest fires in San Diego, USA, in 2007, there were 21,353 hospitalizations, 25,922 emergency visits, and 297,698 outpatient consultations. There was a 34% increase in all respiratory diagnoses, but asthma increased by 112%. Among children under 4 years old and those up to 1 year old, Emergency Department visits for asthma increased 70% and 243%, respectively. An increase in the concentration of  $10 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  had, as a consequence, OR of 1.08 (95% CI 1.04–1.13) for emergency care for asthma [78].

The Southern California Children Health Study (CHS), conducted in 12 communities in California, USA, with different levels of ozone concentration, included 3535 students with no previous history of asthma. The researchers followed these volunteers for 5 years and found that 265 children developed asthma. In communities with higher ozone concentrations, the OR for asthma development among children who practiced outdoor sports three or more days per week was 3.3 (95% CI 1.9–5.8) times greater than that of children who did not play sports. In areas with low  $\text{O}_3$  concentrations, outdoor sport practice was not a significant risk factor for asthma appearance. The same type of result was previously observed considering the length of stay in external environments; only permanence in areas with a high concentration of  $\text{O}_3$  was directly associated with new cases of asthma [52].

In the Netherlands, a study following 3863 children for 8 years reported an increase in the prevalence and incidence of asthma of 28% and 26%, respectively; this was associated with exposure of vehicular origin, especially with increasing concentrations of  $\text{MP}_{2.5}$  [79].

To assess a possible relationship between asthma incidence rates with pollution, a study evaluated the emergence of new cases in people up to 18 years of age in 48 US states and the District of Columbia, in two waves

(2000 and 2010). The authors estimated that the number of asthma cases attributed to vehicular pollution ranged from 209,100 to 331,200 in 2000, falling to 141,900 to 286,500 in 2010. The possible cause of such a drop was the significant reduction in  $\text{NO}_2$  and PM concentrations, evidencing not only the association of exposure to the disease but also the benefits of reducing pollutants [80].

Another study, with similar objectives, reported data from 194 countries. The authors estimated that in 2015 four million new cases of asthma in individuals aged 18 years or less were related to exposure to  $\text{NO}_2$ . That number represented about 13% of the global annual asthma incidence [68].

There was previous evidence that the  $\text{MP}_{10}$  fraction could be more harmful to the airway epithelium than  $\text{PM}_{2.5}$ , possibly related to the iron content of these larger particles. In animal models, Herbert et al. [81] demonstrated a clear cause-and-effect relationship between rates of  $\text{PM}_{10}$  in ambient air and allergic asthma, for both asthma induction and exacerbation. However, another study detected a different result, that is, greater evidence of the effect of  $\text{PM}_{2.5}$  concentrations [82]. Probably, the variation in particle composition between studies is the main reason for such different findings.

The effect of urbanization on the increase of the frequency of new asthma cases, demonstrated in cross-sectional studies and longitudinal studies, strengthened the hypothesis of the direct interference in the immune system and, consequently, in the prevalence of asthma [83]. After one moves to an urban area, daily habits usually change, with families spending more time indoors, especially at home or offices. The World Health Organization reports that in urban settings, individuals can spend up to 90% of their time in household environments. In addition, data show that such domestic environments are two to five times more polluted than outdoor areas [1].

Cross-sectional studies show that when inhabitants of rural areas acquire urban lifestyles or move to more densely populated centers, there is an increased frequency of wheezing patients, probably related to their breathing more polluted air. In addition, several longitudinal studies specifically analyzed the phenomenon of urbanization through air pollution related to urban traffic and found an association with increased incidence, prevalence, and exacerbation of asthma in children and adults [83–88].

In 2013, the study Improving Knowledge and Communication for Decision Making on Air Pollution and Health in Europe (APHEKOM), assessing the impact of children living close to high-traffic routes in 10 European cities, revealed that air pollution was responsible for up to 14% of all asthma patients and for 15% of asthma exacerbations [86].

It is now clearer that TRAP plays a special role in air pollution and health aggression. The smoke from burning diesel oil (diesel exhaust particles) contributes up to 90%

of the PMs that constitute TRAP. These components induce oxidative stress and bronchial hyperresponsiveness, as well as increase allergic responses and inflammation in the airways. In addition, TRAP is associated with reduced lung growth and asthma [75, 75].

The ESCAPE study evaluated five cohorts of newborns in several countries in Europe, aiming to study the association between TRAP exposure and childhood asthma or wheezing. The authors initially did not detect a significant association between PM and NO<sub>x</sub> with the prevalence of asthma or current wheezing in childhood [75]. However, a data reanalysis, which resulted in several publications, found that exposure to those pollutants was associated with worse lung function in children aged 14 to 16 years. In addition, also described was the relationship between exposure to PM<sub>2.5</sub> and NO<sub>2</sub> with a higher incidence of asthma. The OR for asthma incidence were 1.29 (95% CI 1.00–1.66) and 1.13 (95% CI 1.02–1.25), respectively [75, 89, 90].

Evidence suggests that 13% of the global incidence of asthma in children could be attributable to TRAP and data showed that air pollution has a negative impact on asthma outcomes in both adult and pediatric populations. The Global Initiative for Asthma (GINA) includes this issue in the 2020 update [59, 91].

Khreis et al., in a systematic review and meta-analysis of 41 studies, showed a significant effect of exposure to black carbon (BC), NO<sub>2</sub>, PM<sub>2.5</sub>, and PM<sub>10</sub> on the risk of developing asthma in children under 18 [84]. After that publication, several papers demonstrated that a high concentration of NO<sub>2</sub> and BC in urban areas showed the important relationship of heavy traffic with higher prevalence and incidence of asthma in children [80, 88, 92–94].

Exposure to NO<sub>2</sub> and NO<sub>x</sub> derived from urban traffic is responsible, yearly, to 7% and 12% of new asthma cases, respectively. Exposure to these pollutants from industries, heating equipment, aviation, and several others added to TRAP composition increase the proportion to 22% and 35%, respectively [93].

The same group of researchers confirmed that the TRAP-related pollutants PM<sub>2.5</sub>, PM<sub>10</sub>, and BC play a partial role in 7%, 11%, and 12% of the annual incidences of childhood asthma, respectively [80]. The percentages related to these same pollutants, considering all other sources, would be 27%, 33%, and 15%, respectively [76].

The longitudinal assessment of the incidence of asthma in childhood allows for the evaluating of the number of preventable cases if the levels of the pollutants were in accordance with WHO recommendations, as suggested by a nationwide study carried out in the USA (80). In the same year, Khreis et al. [76] assessed the association between air pollution and the emergence of new cases of asthma in more than 63 million children in 18 European countries. They reported the possible prevention of

emergence per year of 2,434 and 66,567 new cases of asthma if the levels of NO<sub>2</sub> and PM<sub>2.5</sub>, respectively, in those countries were within the standards set for air quality by WHO [1, 26, 27, 95]. If those European countries obeyed the suggested limits for black carbon, in addition to NO<sub>2</sub> and PM<sub>2.5</sub>, the annual decrease would be 135,257 (23%), 191,883 (33%), and 89,191 (15%) cases, respectively. The authors pointed out that the WHO parameters should be outdated and suggest that such a correction probably would describe a more realistic asthma burden incidence.

Table 5 shows the effects of outdoor air pollutants on asthma if legal concentrations are exceeded [96].

Confounding factors, such as socioeconomic status, smoking, and family atopy, may interfere with the assessment of the emergence of new cases of childhood asthma. Unfortunately, many studies did not control those variables.

## Adult Asthma

Studies about the associations between outdoor air pollution and asthma in adults are not as common as they are in children, and the underlying biological mechanisms are not completely understood [47, 97, 98].

When the exposure is later, in adulthood, the conclusions are less definitive, although some studies suggested that an association of new cases of asthma with such exposure might become a risk factor for accelerated decline in adult spirometric values [99–101].

Kunzli et al., in one of the first studies looking for an association between adult asthma and air pollution, evaluated 2725 nonsmoking individuals aged between 18 and 60 years old. The results showed that residents in more polluted areas had a higher risk of developing asthma, around 30% for each increase of 1 µg/m<sup>3</sup> in the MP<sub>10</sub> concentration [92].

More recently, Koh Y et al. [33] reported the results of a study with adults (> 19 year old) assessing the Korean database population looking for data on heavy metal serum levels. The authors assessed 16,809 adults with asthma and atopic dermatitis, 9547 with allergic rhinitis and allergic multimorbidities, and 8092 with complete pulmonary function testing. Their results indicated that serum lead level was associated with self-reported asthma (aOR 1.10; 1.02–1.17) and atopic dermatitis (aOR 1.12; 1.02–1.23), cadmium level was associated with self-reported asthma (aOR 1.36; 1.19–1.55) and allergic rhinitis (aOR 1.11; 1.03–1.19), and mercury level was not associated with any of the studied allergic conditions.

In a study with 650,000 participants from three European cohorts, Cai et al. [94] reported the relationship between pollution and the prevalence of asthma in

**Table 5** Effects of outdoor air pollutants on asthma outcomes if legal concentrations are exceeded (adapted from Tiotiu et al. [96])

Pollutant	Concentration ( $\mu\text{g}/\text{m}^3$ )	Asthma symptoms	Exacerbations	Hospitalizations	Lung function
O <sub>3</sub>	100 (8-h mean)	-	↑	↑	↓
NO <sub>2</sub>	200 (1-h mean)	↑	↑	↑	↓
CO	30 (1-h mean)	-	↑	-	
SO <sub>2</sub>	20 (24-h mean)	↑	↑	↑	↓
PM <sub>2.5</sub>	10 (annual mean) 25 (24-h mean)	↑	↑	↑	↓
PM <sub>10</sub>	10 (annual mean) 50 (24-h mean)	↑	↑	↑	↓

adults. They found that prolonged exposure to PM<sub>10</sub> was associated with an increase of 12.8% in the prevalence of asthma.

Orellano et al., in a meta-analysis design, considered the most important outdoor air pollutants to be PM, O<sub>3</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO, and Lead (Pb), with a significant association between several of those pollutants and gases with moderate or severe exacerbations of asthma [46].

Bowatte G et al. investigated the associations between nitrogen dioxide (NO<sub>2</sub>) exposure, traffic road, and persistent asthma, following the patients over eight years. Living close to a major road was a risk factor for the development and persistence of asthma in adults. For those who never had asthma by age 45, living < 200 m from a major road presented increased odds of new and persistent asthma (aOR 5.20; 95% CI: 1.07, 25.4). Asthmatic participants at 45 also had an increased risk of persistent asthma up to 53 years if they lived < 200 m from a major road, compared with asthmatic participants living > 200 m from a major road (aOR 5.21; 95% CI 1.54, 17.6) [102].

Havet et al. found increases in adult plasma levels of fluorescent oxidation products (FLOPs) after O<sub>3</sub> and PM<sub>10</sub> exposures and that this increase was associated with a risk for persistent asthma. These findings strengthen the role that FLOP levels play in inducing oxidative stress [103]. These findings are in accordance with previous studies of uncontrolled asthma [98], current asthma [97], and severe asthma in adults [104].

Recent papers addressed various aspects of asthma in adults and exposure to polluting agents. Liu Y et al. found that short-term exposures to PM<sub>2.5</sub>, NO<sub>2</sub>, and O<sub>3</sub> probably increase the risk of adult asthma mortality [31]. Scibor et al. reported, in 2020, an association between exposures to PM<sub>10</sub> and worse quality of life in adult asthma patients [105].

## Improvement in Air Quality and the Impact on Asthma Cases

Several studies noted the relationship between the reduction of external environmental pollution and the improvement of respiratory conditions. Evidence of improvements in air

quality concomitant with a decrease in asthma cases occurs in the short term and long term.

Garcia et al. [106] reported that the improvement in air quality in Southern California between 1993 and 2014 was associated with a lower incidence of childhood asthma, a conclusion that remained even after controlling for several possible confounding factors. The study included 4,140 children, with no previous history of asthma. The researchers identified 525 new cases of asthma and found, in the respective communities of each case, a progressive decrease in the levels of pollution measured in three assessments throughout the study. The relative risk for reducing the incidence of asthma was 0.83 cases/100 persons/year for each decrease of 4.3 ppb of NO<sub>2</sub>, and 1.53 cases/100 persons/year for each decrease of 8.1  $\mu\text{g}/\text{m}^3$  of PM<sub>2.5</sub>. These results pointed to a reduction in the incidence of asthma by about 20% in the region during the studied period. The results of this and other studies indicate the possible benefits of reducing the concentrations of polluting agents to values below the maximum limits adopted.

A very impressive result was obtained through a major effort during the 1996 Olympic Games in Atlanta, USA, to reduce urban pollution. During the period of the Games, traffic was prevented from circulating in various areas of the city, with a measured decrease of around 22%. This administrative action was followed by a drop in the daily peak of the levels of O<sub>3</sub> (– 28%), NO<sub>2</sub> (– 7%), CO (– 19%), and MP<sub>10</sub> (– 16%) compared with the previous 3 weeks and after the games. In this period, there was a 40% reduction in medical visits among asthmatic children and an 11–19% decline in asthma care at all ages in emergency services [107].

Likewise, during the 2008 Beijing Olympic Games, there was a drop in concentrations of MP<sub>2.5</sub> and O<sub>3</sub> from 78.8  $\mu\text{g}/\text{m}^3$  to 46.7  $\mu\text{g}/\text{m}^3$  and from 65.8 to 61 ppb, respectively, as well as a 41.6% decrease in asthma care in emergency services [108].

NASA pollution-monitoring satellites and the European Space Agency detected significant decreases in NO<sub>2</sub> levels across China compared with before and during the pandemic quarantine. The reduction of NO<sub>2</sub> pollution was visible first near Wuhan; eventually, NO<sub>2</sub> dropped across China and

around the world. The concentrations of nitrogen dioxide were 10–30% lower than in comparable periods in 2019. The European Environment Agency found a similarly large drop in air pollution across European cities, such as a NO<sub>2</sub> decrease of 47% in Bergamo, Italy and 55% in Barcelona, Spain, as compared with the same period in 2019.

Berman and Ebisu evaluated the impact of the COVID-19 pandemic on measured USA air pollution using the federal air-monitoring network. They detected a sharp decrease in NO<sub>2</sub> levels (25.5% reduction with absolute reductions 4.8 ppb). The PM<sub>2.5</sub> reduction was significant (0.7 µg/m<sup>3</sup> or 11.3%) when examining counties that instituted early non-essential business closures, while rural counties did not indicate any statistically significant difference between current and historical data [109].

A decrease of 6% in global pollution followed the reduction of global activities due to the coronavirus pandemic. However, the impact of such a reduction in asthma and COPD patients is just beginning to be evaluated [110].

## Main Pathophysiological Pathways

Different responses to exposure to the same polluting agents are related to several intrinsic factors of each subject and extrinsic factors. The intrinsic factors most frequently studied are age, sex, pre-existing diseases, diet, obesity, and viral infections. The genetic load has a crucial role. The polymorphisms recognized in the control of oxidative stress are NQO1, GSTM1, and GSTP1, while the TNF has a role in inflammatory mechanisms.

However, extrinsic factors, such as climate and environment changes, individual socioeconomic restrictions, income differences among countries, cities, or areas, and nutritional status, play a significant role [22, 69, 111].

Ozone, NO<sub>2</sub>, and PM<sub>2.5</sub> are the pollutant agents related to airway inflammation, while ozone and nitrogen dioxide produce airway hyperresponsiveness. In addition, oxidative stress has been associated with ozone, NO<sub>2</sub>, and PM<sub>2.5</sub> [5]. Through several pathways, these pollutants are associated with exacerbations, mortality, and even the onset of asthma.

Gowers et al. [77] described several mechanisms to explain the role of outdoor pollution in the induction of asthma. Among them, the authors identified airway damage and remodeling through oxidative stress plus inflammatory pathways and immunological responses. In addition, they also considered the enhancement of respiratory sensitization to aeroallergens decreasing the airway hyperreactivity threshold. There is an intense interconnection among these mechanisms [28], as schematically shown in Fig. 3.

A basal mechanism leading to the development of several lung diseases associated with air pollutants is the structural rearrangement of the airway epithelium, extracellular matrix,

smooth muscle, and cells involved with immune responses leading to the inflammatory process. The local inflammation occurs with infiltrations of neutrophils and macrophages. These cells start to secrete pro-inflammatory cytokines, such as tumor necrosis factor-alpha (TNFα) and interleukins 6 (IL6) and 8 (IL8). TNFα and interferon-gamma (INFγ) increase nitric oxide synthesis, which is another source of free oxygen radicals.

Free radicals and lung inflammation represent a response against the pollutant agents. However, when there is a large production of reactive oxygen and nitrogen species (ROS and NOS), it becomes an adverse effect [112]. Recent studies suggest the environmentally persistent free radicals (EPFR) as another important component that can last in the ambient up to 21 days [113].

This chain of events results in an inflammatory state responsible for exacerbations or worsening in asthmatic patients when exposed to pollutant agents [114]. Animal studies, such as the exposure of rats to ozone, in environments without allergens, induce type 2 immunological reactions, as a non-allergic asthma response. These responses are not the classic type 1 reactions, usually involved in allergic rhinitis and asthma, and amplify the understanding of the relationship between pollution and asthma [88].

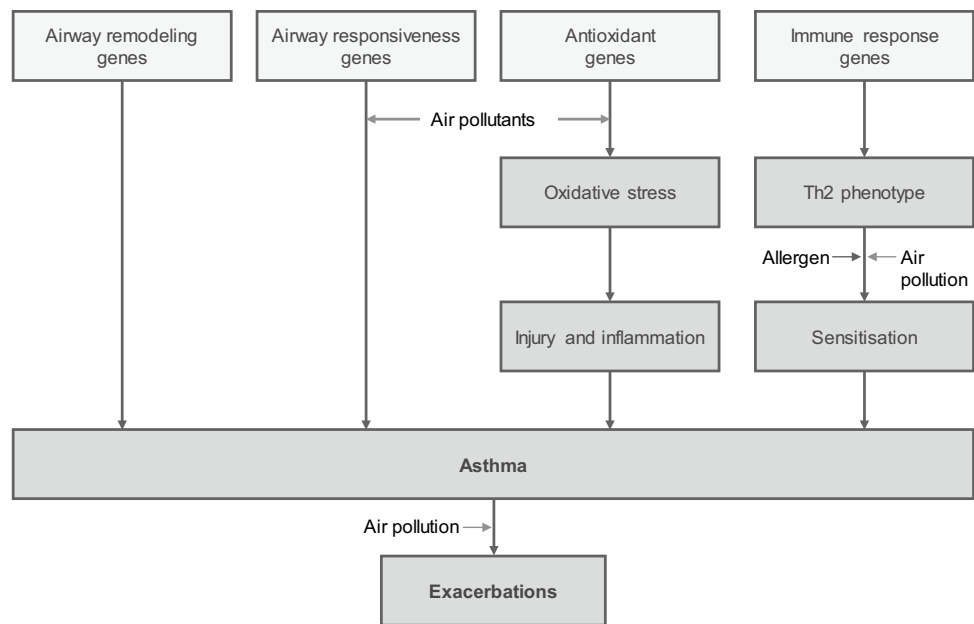
Patients with severe asthma produce greater amounts of cytokines when exposed to PMs and diesel exhaustion as compared with healthy individuals or non-severe asthma phenotypes [22].

The reduced function of Treg lymphocytes and increased IgE levels is another point in the complex relationship between air pollution and atopic diseases. A different mechanism plays a central role in non-atopic asthma, which is an increment of CD4 and CD8 T lymphocyte production in response to antigens in polluted environments [28, 115].

Infections by adenovirus and other pathogens can also interact with oxidative stress and pollutant particles, thus inducing disease exacerbation in patients with chronic respiratory disease. This is especially important when considering the role that ultrafine particles play in asthma. UFP is known to act as a carrier of microorganisms to deep regions of the lungs [116].

In addition, air pollution interacts with plants and fungi, promoting an increase in the production of pollens and their respective allergenic capacity. For example, in areas with a high concentration of CO<sub>2</sub>, ragweed grows faster, flourishes earlier, and, thus, produces more pollen than ragweed in clean-air rural areas. A prick test performed with extracts obtained from pollens in polluted areas produces dermal reactions greater than those from unpolluted areas [111]. Cakmak et al. [117] reported the relationship between air pollution and the risk of hospitalization for asthma with pollens and fungal spores endorsing this situation. Guilbert et al.

**Fig. 3** The interrelation between the various components of the binomial air pollutants in asthma (Guarnieri et al. [28])



[118] confirmed the association of exposure to PM<sub>10</sub> and aeroallergens with hospitalizations for asthma.

Human airway epithelium seems to play an important role in the initiation and control of the innate immune responses to different types of environmental factors contributors to asthma pathogenesis, such as allergens, microbes, or pollutants [119].

It is accepted that epigenetic mechanisms play a pivotal role in the regulation of different cell populations leading T and B cells to participate in the pathogenesis of asthma. The effects of environmental factors on the development of asthma are mediated, at least in part, by DNA methylation and histone modifications [119].

The common feature of such mechanisms is that they induce asthma without affecting the nucleotide sequence of the genomic DNA, in accordance with the classic definition of epigenetic mechanisms [120].

Thus, through these various routes, exposure to pollutants increases asthma outcomes in all recognized asthma subtypes.

In summary, air pollutants might cause oxidative injury to the airways that leads to inflammation and remodeling, which, in a genetically predisposed individual, could result in clinical asthma. One predisposing factor might be atopy, and air pollutants could increase the risk of sensitization and the responses to inhaled allergens in individuals with asthma.

## Conclusions

Exposure to pollutants in inspired air is one of the many clinical points to investigate during a medical interview for the diagnosis of asthma. It must be considered in each

individual case, even knowing that there is rarely only a single cause. This interference or causality can occur in allergic or non-allergic asthma, confirming the concept of asthma as a multifactorial disease.

Air pollution plays a significant role in asthma morbidity and mortality rates. The impact is increasing while many countries have not taken effective actions to reduce emissions of these toxic agents.

One of the relevant impacts of air pollution is the association with the increased incidence, prevalence, and exacerbation of asthma, as shown in this narrative review. Exposure to industrial pollution and traffic-related pollutants is an important risk factor for the main outcomes in childhood asthma. However, in adults, the conclusions are less definitive, but additional studies are gradually clarifying our understanding in this point.

The mechanisms through which pollutants induce asthma and other respiratory diseases are multiple, but the key seems to be the inflammatory cascade. There is evidence that epigenetic changes occurring in the respiratory tract microbiome play a role in the pathophysiology of these clinical conditions.

The advance in science on the harmful effects of pollution on respiratory diseases, especially asthma, has not yet experienced the necessary diffusion, even among professionals in the various health professions. However, certainly, new and important advances will lead us to disseminate information about the importance of environmental pollution for all humankind.

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## Compliance with Ethical Standards

**Conflict of Interest** The authors declare that they have no conflict of interest.

**Research involving Human Participants and/or Animals** This paper did not involve human participants or animals.

**Informed Consent** As this review did not include any human or animal participants, there was no need of informed consent.

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