



# The association between rs2476601 polymorphism in PTPN22 gene and risk of alopecia areata

# A meta-analysis of case–control studies

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# Abstract

**Background:** The single nucleotide polymorphism (SNP) rs2476601 of the protein tyrosine phosphatase, nonreceptor type 22 (PTPN22) gene has been presented to implicate in the pathogenesis of alopecia areata (AA) in a few association investigations with limited sample size and inconsistent conclusions.

**Methods:** The aim of the current meta-analysis was to assess and synthesize the presently available data on the connection between rs2476601 and AA vulnerability. Six electronic databases, including EMBASE, PubMed, Web of Science, the Cochrane Library, Wanfang data, and the China National Knowledge Infrastructure database (CNKI), were systematically retrieved for relevant observational studies published previous to November 2018. Total odds ratios (ORs) and corresponding 95% confidence intervals (95% Cls) were analyzed to evaluate the correlation between PTPN22 polymorphism and AA. Risk of bias was estimated according to the Newcastle–Ottawa Scale (NOS). Sensitivity analyses were carried out using the RevMan 5.3 software.

**Results:** In general, 5 case–control studies including 1129 AA patients and 1702 healthy control individuals were obtained for this meta-analysis. The pooled results suggested that rs2476601 SNP was significantly associated with AA susceptibility under allelic model (C vs T, OR=0.77, 95% Cl, 0.64–0.92, *P*=.003) and recessive model (CC vs CT + TT, OR=0.73, 95% Cl, 0.60–0.88, *P*=.001).

**Conclusion:** On the basis of the results of the current research, the rs2476601 polymorphism of PTPN22 gene is significantly correlated with AA susceptibility. The C-allele and CC-genotype carriers at this locus have a lower risk of AA.

**Abbreviations:** AA = alopecia areata, CI = confidence interval, CNKI = China National Knowledge Infrastructure, CSK = C-terminal Src kinase, GWAS = genome-wide association studies, HLA = human leukocyte antigen, HWE = Hardy–Weinberg equilibrium, LYP = lymphoid protein tyrosine phosphatase, NOS = Newcastle–Ottawa Scale, OR = odds ratio, PRISMA = Preferred Reporting Items for Systematic Review and Meta-Analyses, PTPN22 = protein tyrosine phosphatase, nonreceptor type 22, PTPs = protein tyrosine phosphatases, RA = rheumatoid arthritis, SLE = systemic lupus erythematosus, SNP = single nucleotide polymorphism, T1D = type 1 diabetes mellitus.

Keywords: alopecia areata, meta-analysis, polymorphism, PTPN22, rs2476601

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Z-XL and W-JC equally contributed to this work.

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# 1. Introduction

Alopecia areata (AA) is a nonscarring inflammatory chronic recurrent alopecia disease characterized by localized circular or oval hair loss, which can progress to severe forms with total hair loss of the scalp (alopecia totalis) or the entire body (alopecia universalis). Generally, AA affects the scalp or beard area. With a lifetime risk of approximately 1.7%,<sup>[1]</sup> AA affects both sexes equally, patients of all ages, and all ethnic groups.<sup>[2,3]</sup> The prognosis of AA is unpredictable and highly variable.

Although neither fatal or disabling nor accompanied by physical pain, AA may have a great impact on health-related quality of life (HRQOL) and mental health of patients.<sup>[4]</sup> The effect of psychological stress has been emphasized in the onset and aggravation of AA by lots of studies.<sup>[5–7]</sup> In addition, AA patients seem to be more prone to psychiatric comorbidities, including depression, anxiety, personality disorders, and social phobia than the general population.

And yet, the aetiopathogenesis of AA is not fully elucidated, which has been considered as a complex immune-mediated

disorder, characterized by the presence of lymphocytes directed against the hair follicle.<sup>[8]</sup> Skin resident T cells play a vital role in the immune surveillance,<sup>[9]</sup> and the autoimmune origin hypothesis of AA is approved by the presence of CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes infiltration in the intrafollicular and perifollicular areas of the hair follicle.<sup>[10]</sup>

The protein tyrosine phosphatase, nonreceptor type 22 (PTPN22) gene is one of the most convincing risk factors out of the major histocompatability complex relating to autoimmune diseases. PTPN22 gene, located on chromosome 1p13.3–13.1, encodes lymphoid protein tyrosine phosphatase (LYP) exclusively expressed in immune cells<sup>[11]</sup> and negatively regulates T lymphocyte development and activation through binding with the Src homology 3 domain of C-terminal Src kinase (CSK) forming a complex.<sup>[12–13]</sup>

Up to now, the impact of PTPN22 gene polymorphism, which is also known as C1858T, rs2476601, and R620W on AA vulnerability, has been investigated by several studies; however, it still remains ambiguous on account of inconsistent and inconclusive outcomes from different studies. Due to the small sample size, the statistical capacity of a single study was insufficient. Meta-analysis of pooled data from different studies has been confirmed as an effective approach to evaluate a general risk of a polymorphism with the disease susceptibility. Hence, we conducted a meta-analysis of all available published articles on this topic to elucidate and provide comprehensive evaluation of the correlation between the PTPN22 gene polymorphisms and AA susceptibility.

#### 2. Materials and methods

The current systematic review and meta-analysis was carried out in agreement with the Preferred Reporting Items for Systematic Review and Meta-Analyses (PRISMA) guidelines.<sup>[16]</sup> Ethical approval was not needed for this systematic review and metaanalysis owing to unnecessary data connected with individual patient information.

#### 2.1. Literature search

The keywords alopecia areata, PTPN22, protein tyrosine phosphatase nonreceptor 22, rs2476601, and gene polymorphism were used as searching terms for electronic databases consisted of EMBASE, PubMed, the Cochrane Library, Web of Science, Wanfang data, and the China National Knowledge Infrastructure database (CNKI). Studies published up to November 2018 were included. Selected articles were not confined to any language publications, from which cited references were additionally inspected for relevant studies.

#### 2.2. Inclusion and exclusion criteria

Studies included in the meta-analysis should satisfy the following criteria: case-control study that addressed patients with AA and healthy controls; all patients had clinically diagnosed of AA; study estimated the relationship of PTPN22 polymorphism and susceptibility to AA; study available for full text including adequate genotype distribution; the literature must be a peer-reviewed academic paper; and the latest study was selected as a result of duplicate publications in the literature.

Accordingly, studies excluded were required to meet the following criteria: no control population; reviews, repeated literature, and animal studies; and duplicate data contained in the studies.

## 2.3. Data extraction

In accordance with preestablished inclusion and exclusion criteria, the data were extracted by 2 authors independently from all eligible studies, and disagreements were resolved by discussion with consensus. If the 2 authors failed to reach an agreement, contradictions were determined by a third author, and the conclusive judgment was made by majority vote. The extracted data from every included articles covered the following items: first author, publication year, study design, country where the research was conducted, sample size, source of controls, total number of cases and controls, AA diagnostic criteria, and allele frequency of PTPN22 single nucleotide polymorphisms (SNPs), together with evidence of Hardy–Weinberg equilibrium (HWE) in controls.

#### 2.4. Evaluation of study quality

According to the Newcastle–Ottawa Scale (NOS) criteria, the methodological quality of the involved studies was estimated systematically by 2 independent investigators.<sup>[17]</sup> The NOS criteria describe 3 aspects of quality: the selection of the study groups: 0 to 4 stars; the comparability of the groups: 0 to 2 stars; and the ascertainment of either the exposure or outcome of interest for case–control studies: 0 to 3 stars. The scores range from 0 (worst) to 9 (best) stars. In addition, observational studies with fractions  $\geq 6$  were regarded as of high quality.

#### 2.5. Statistical analysis

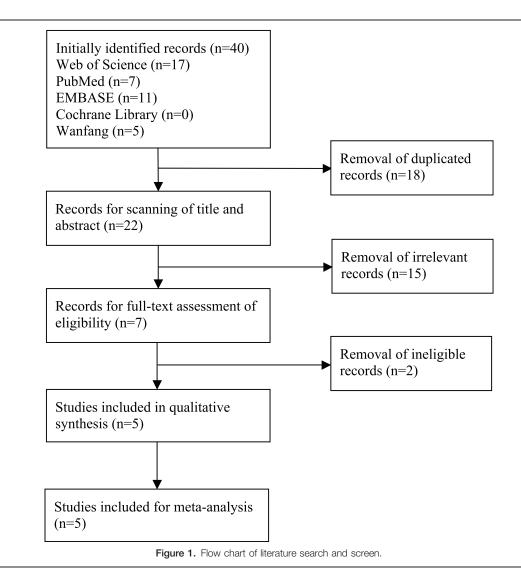
To estimate the between-study heterogeneity, Q-statistical test and  $I^2$  test were applied.<sup>[18]</sup>P < .10 and  $I^2 > 50\%$  indicated considerable heterogeneity across studies, and the random-effect model was used, otherwise the fixed-effect model was adopted.<sup>[19]</sup>

To evaluate correlation with AA susceptibility, diverse genotypic models were selected, including allelic model (C vs T), dominant model (CC + CT vs TT), homozygous model (CC vs TT), heterozygous model (CT vs TT), and recessive model (CC vs CT + TT). For each model, odds ratios (ORs) alongside corresponding 95% confidence intervals (95% CIs) and *P* value were measured to appraisal the association strength between rs2476601 and AA vulnerability. Forest plots were derived from Review Manager 5.33 software (Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014). Sensitivity analysis of the robustness of this meta-analysis was detected by removing the included studies one by one. Funnel plots were examined for evidence of publication bias if the number of included studies were  $\geq 10$ .<sup>[20]</sup>

#### 3. Results

#### 3.1. Literature search

The search strategy of eligible studies was illustrated in Figure 1. In the aggregate, 40 potentially related studies were detected by the initial literature search, including 17 from ISI Web of Science, 7 from PubMed, 11 from EMBASE, 5 from Wanfang, and 0 from Cochrane Library. After the first screening, 18 duplicated records were erased. Of the remaining 22 items, a further 15 citations were excluded after reading titles and abstracts. For the rest 7 articles, another 2 ineligible articles were deleted after assessment by the predefined inclusion and exclusion criteria. Ultimately, 5 studies<sup>[21–25]</sup> were incorporated into the qualitative synthesis and meta-analysis (Fig. 1).



# 3.2. Main characteristics of included studies

Five case–control studies with 1129 AA cases and 1702 healthy controls were included in this meta-analysis. These researches were published between 2006 and 2018, and they were conducted in the Belgian and German,<sup>[21]</sup> the United Kingdom,<sup>[22]</sup> the United States,<sup>[23]</sup> Iran,<sup>[24]</sup> and Mexico,<sup>[25]</sup> respectively. The genotype frequencies of case and control groups were summarized in Table 1. The control subjects of each included study were in HWE. In accordance with the NOS, the involved

studies gained an average of 5.8 stars for methodological quality assessment (Table 2).

### 3.3. Association of rs2476601 and AA

Because of significant heterogeneity was observed for heterozygous model ( $I^2 = 55\%$ , P = .11), random-effect model was adopted. And fixed-effect models were used for other genetic models for insignificant heterogeneity. The present study indicated significant associations between the rs2476601 SNP

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Main characteristics of included studies and genotype frequencies in case and control groups.

																			Case			Control		
Study	Year	Country	Ethnicity	Design	Gender	Sample size	CC	CT	TT	CC	CT	TT	HWE											
Betz et al	2008	Belgian/German	Caucasian	HB	Both	435/628	320	104	11	506	112	10	0.194											
Bhanusali et al	2014	USA	Mixed	HB	Both	365/273	296	69	0	225	48	0	0.111											
Kemp et al	2006	UK	Caucasian	HB	Both	196/507	155	41	0	425	79	3	0.745											
Moravvej et al	2018	Iran	Asian	HB	Both	69/69	50	14	5	55	5	9	0.000											
Salinas-Santander et al	2015	Mexico	Caucasian	HB	Both	64/225	59	5	0	218	7	0	0.813											

HB = hospital based; HWE = Hardy-Weinberg equilibrium.

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Item/Study	Betz et al	Bhanusali et al	Kemp et al	Moravvej et al	Salinas-Santander et a
Selection					
Adequate definition of cases	1	1	1	1	1
Representativeness of cases	0	0	0	0	0
Selection of control subjects	1	0	1	0	1
Definition of control subjects	1	1	0	1	1
Comparability					
Control for important factor or additional factor	1	0	0	1	0
Exposure					
Exposure assessment	1	1	1	1	1
Same method of ascertainment for all subjects	1	1	1	1	1
Nonresponse rate	1	1	1	1	1
Total	7	5	5	6	6

1, star given; 0, star not given.

and AA for the allelic model (C vs T, OR=0.77, 95% CI, 0.64–0.92, P=.003; Fig. 2) and recessive model (CC vs CT + TT, OR=0.73, 95% CI, 0.60–0.88, P=.001; Fig. 3). However, the correlation was not observed under homozygous model (CC vs TT, OR=0.92, 95% CI, 0.47–1.77, P=.800), heterozygous model (CT vs TT, OR=2.00, 95% CI, 0.51–7.75, P=.320), or dominant model (CC + CT vs TT, OR=1.02, 95% CI, 0.53–1.97, P=.940). The detailed outcomes of the meta-analysis were presented in Table 3.

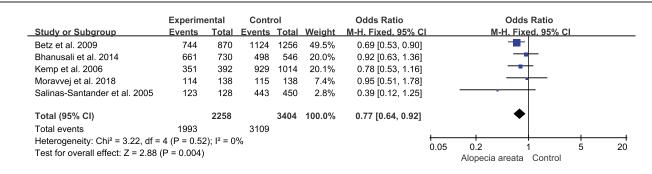
#### 3.4. Sensitivity analysis and publication bias

The leave-one-out sensitivity analysis was conducted to evaluate the influence of each individual study on the pooled OR. The analysis results reinforced the certainty and dependability of the drawn conclusion. The correlation between rs2476601 polymorphism and AA vulnerability remained irrelevant in the case of any omission of included study.

#### 4. Discussion

Even though it is obvious that the understanding of the etiology and pathogenesis of AA can better guide its early prevention and individualized clinical treatment, researchers have not yet found the precise pathogenesis of AA.

With the development of genome-wide association studies (GWAS), researchers are paying more and more attention to the role of genetic factors in the developing of AA. Several genes associated with the human leukocyte antigen (HLA) class II with the genetic susceptibility, such as HLA-DQ3, HLA-DQ4, HLA-





Chudu an Culomann	Experim		Contr		Malalah	Odds Ratio	Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	<u>M-H, Fixed, 95% Cl</u>
Betz et al. 2009	320	435	506	628	47.5%	0.67 [0.50, 0.90]	
Bhanusali et al. 2014	296	365	225	273	21.1%	0.92 [0.61, 1.38]	
Kemp et al. 2006	155	196	425	507	21.5%	0.73 [0.48, 1.11]	
Moravvej et al. 2018	50	69	55	69	6.6%	0.67 [0.30, 1.48]	
Salinas-Santander et al. 2005	59	64	218	225	3.3%	0.38 [0.12, 1.24]	
Total (95% CI)		1129		1702	100.0%	0.73 [0.60, 0.88]	•
Total events	880		1429				
Heterogeneity: Chi <sup>2</sup> = 2.73, df = 4	4 (P = 0.60	); I <sup>2</sup> = 0	%			-	

Figure 3. Association of rs2476601 and alopecia areata under recessive model.

Table 3

Genetic model			Association			Heterogeneity		
	Comparison	OR	95% CI	Р	Effect model	<i>l</i> <sup>2</sup> (%)	<b>P</b> <sub>Het</sub>	
Allelic	C vs T	0.77	0.64-0.92	.003	F	0	.520	
Homozygous	CC vs TT	0.92	0.48-1.77	.800	F	21	.280	
Heterozygous	CT vs TT	2.00	0.51-7.75	.320	R	55	.110	
Dominant	CC + CT vs TT	1.02	0.53-1.97	.940	F	29	.240	
Recessive	CC vs CT + TT	0.73	0.60-0.88	.001	F	0	.600	

Cl=confidence interval, F=fix-effect model, OR=odds ratio, R=random-effect model.

DQ11 AQ6, and HLA-DQ7, were found to be implicated in AA and the disease severity.<sup>[26]</sup>

However, other studies reported susceptibility loci covering the following genes, most of which could act as immune regulators controlling the activation and proliferation of regulatory T cells: PTPN22,<sup>[27]</sup> CTLA4 (cytotoxic T lymphocyte-associated antigen 4)<sup>[28]</sup>; IL2RA (IL-2 receptor A)<sup>[29]</sup>; and IKZF4 (Ikaros family zinc finger 4).<sup>[30]</sup>

With time to develop into the stage for functional studies aimed at defining molecular pathways of disease pathogenesis, PTPN22 is such a gene out of high-resolution mapping of disease risk loci. PTPN22 belongs to class I protein tyrosine phosphatases (PTPs), and its catalytic domain is highly homologous to the catalytic domains of other classical tyrosine-specific PTPs with known immune system functions, including CD45, SHP-1, and TC-PTP.<sup>[31,32]</sup>

Rs2476601 polymorphism in PTPN22 has been described as an autoimmune susceptibility locus, which codes for an arginine (R) to tryptophan (W) amino acid substitution in codon 620. The change perturbs the interaction between lymphoid tyrosine phosphate and CSK which decrease thresholds for T-cell antigen receptor signaling. Hence, T-cell activation arises in a way out of control, triggering a hyperimmune response,<sup>[33]</sup> then inducing the production of autoantibodies by the hyperresponsive B cells.<sup>[34]</sup> Consequently, this process may lead to the eventual progression to multiple human autoimmune diseases.<sup>[35]</sup> Owing to the association with many other autoimmune diseases as mentioned before, it is another support for the hypothesis of an autoimmune origin of AA.

The relationship between rs2476601 polymorphism and disease predisposition is well defined for type 1 diabetes mellitus (T1D),<sup>[35]</sup> rheumatoid arthritis (RA),<sup>[36]</sup> systemic lupus erythematosus (SLE),<sup>[37]</sup> vitiligo,<sup>[38]</sup> Graves' disease,<sup>[39]</sup> Hashimoto's thyroiditis,<sup>[40]</sup> and AA.<sup>[22]</sup> Quantity of associations has been established in numerous populations. Notably, PTPN22 polymorphism explicates a T1D locus confirmed by genetic linkage analysis.<sup>[41]</sup> The carrying of the PTPN22 polymorphism variant increases the risk of disease 1.3 to 2 times each allele, owing to the study and the disease, with the strongest effects being observed in T1D, RA, and vitiligo. Even so, a weaker effect has been identified in systemic sclerosis.<sup>[42]</sup> PTPN22 polymorphism also predisposes individual to a cooccurrence of major autoimmune diseases, including T1D and thyroid disease<sup>[43]</sup> or RA and T1D.<sup>[44]</sup> In spite of this, a significant protective effect has been observed in Behcet's disease.<sup>[45]</sup> Especially, a small but forceful protective effect for PTPN22 polymorphism is detected in Crohn's disease (CD) as well.<sup>[46]</sup>

Regarding a common theme among the variety of disorders related with the PTPN22 gene mutation, the associations between PTPN22 polymorphisms and AA risk have been intensively

studied. Previous researches have investigated the impact of rs2476601 polymorphism on AA in different populations and disease severity, the findings of which are debatable. Salinas-Santander et al<sup>[25]</sup> and El-Zawahry et al<sup>[47]</sup> have proposed a significantly association between rs2476601 and patchy AA risk in Latin American and African populations, respectively. However, studies carried out by Betz et  $al^{[21]}$  and Kemp et al<sup>[22]</sup> in European cohort, as with study conducted by Bhanusali et al<sup>[23]<sup>\*</sup></sup> across North American Caucasian and non-Caucasian cohort, failed to confirm these data in a mild or patchy form of AA, whereas significant association was found in patients with severe disease presentation or with familial history. Besides, an investigation conducted recently by Moravvej et al<sup>[24]</sup> in an Iranian population validated no significant difference between patchy AA patients and control groups, supporting the results presented by European and North American cohorts. The conflicting results may be caused by factors such as heredity, ethnicity, region, environment, and so on.

Based on these data, a comprehensive evaluation is proposed by this meta-analysis aiming to quantitatively analyze the association between rs2476601polymorphism and AA susceptibility, hence enlarging the overall sample size and improving statistical power. According to inclusion and exclusion criteria, a total of 1129 cases and 1702 controls from 5 hospital-based case–control studies<sup>[21–25]</sup> were selected and analyzed to provide a wide-ranging assessment of the association. The present study presented that there was a significant statistical correlation between PTPN22 gene polymorphism and AA susceptibility, and that the C-allele and CC-genotype carriers had a lower risk of AA.

Despite the present study has combined the existing evidence of the association of rs2476601 polymorphism and AA, several drawbacks should be acknowledged. First, the number of investigated studies and participants was limited, which might cause insufficient statistical power to guarantee the robustness of our findings. Second, the publication bias, which could lead to the overestimation of effects in a meta-analysis, was also an unavoidable problem. Third, the majority of the included studies were carried out in Caucasian populations, and only one was performed in Asian population. Thus, the current outcome might be more applicable to Europeans. Last, AA is a multifactorial disease that caused by both environmental and genetic factors, whereas the effect of environmental factor could not be analyzed because of insufficient data.

# 5. Conclusion

The findings of the current investigation suggested a significant association between the rs2476601 polymorphism of PTPN22 gene and AA. The C-allele and CC-genotype carriers at this locus

have a lower risk of AA. To substantiate the specific gene-disease association between PTPN22 polymorphisms and susceptibility of AA, multi-center, large-sample studies in different ethnicities are strongly recommended.

#### **Author contributions**

Z-XL and W-JC formed the idea to this study, retrieved the eligible literature, conducted statistical analyses, and drafted the article. Y-JW, LJ, and CX participated in the study design and estimated the data from involved articles. X-JK and J-QL seriously revised this manuscript. X-JK had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. All of authors carefully read and approved the final version of this article.

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