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Real-time assessment of relapse risk based on the WT1 marker in acute leukemia and myelodysplastic syndrome patients after hematopoietic cell transplantation

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Abstract

Relapse is the major cause of treatment failure after allogeneic hematopoietic cell transplantation (alloHCT) for acute leukemia and myelodysplastic syndrome (MDS). Wilms' tumor antigen (WT1) is overexpressed in the majority of acute leukemia and MDS patients and has been proposed as a universal diagnostic marker for detection of impending relapse. Comprehensive studies have shown that WT1 transcript levels have predictive value in acute leukemia patients in complete remission after chemotherapy. However, the focus of this study is the period after alloHCT for predicting relapse onset. We analyzed the accumulation of WT1 mRNA transcripts in peripheral blood of 82 leukemia and MDS patients and defined specific molecular ratios for relapse prediction. The extensively validated WT1/c-ABL ratio was used to normalize increases in WT1 transcript levels. The observed lead time of crossing or exceeding set WT1 levels is presented along with linear interpolation to estimate the calculated day the WT1 thresholds were crossed. The WT1/c-ABL transcript ratio of 50 or above yielded 100% specificity and 75% sensitivity reliably predicting future relapse with an observed average of 29.4 days (SD=19.8) and a calculated average of 63 days (SD=29.3) lead time before morphologic confirmation. A lower ratio of 20 or above gave lower specificity but higher sensitivity (84.8 and 87.5%, respectively) identified more patients that relapsed, at earlier times, providing an earlier warning with actual average lead time of 49.1 days (SD=30.8) and calculated average of 78 days (SD=28.8). WT1 transcript levels serve as a diagnostic relapse test with greater sensitivity than the morphologic approach used in the clinic as a readout.

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Disclosure of Conflicts of Interest

None to disclose

Keywords

Wilms' tumor antigen; biomarker of relapse; acute leukemia; MDS; relapse prediction; allogeneic hematopoietic cell transplantation

Introduction

Allogeneic hematopoietic cell transplantation (alloHCT) is the intensive but optimal therapy for higher-risk acute types of leukemia [acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL), chronic myelogenous leukemia (CML) in accelerated phase (AP) or blast crisis (BC)] and myelodysplastic syndrome (MDS) patients. However, relapse is still a frequent cause of treatment failure, though intervention prior to overt relapse may be beneficial^{1–4}. Approximately 35–45% of alloHCT recipients will relapse within 5 years with their original malignancy⁵. Technologic advances produced sensitive methods for early recognition of hematologic malignancy relapse. The highest sensitivity is achievable by PCR-based assays detecting recurrent molecular aberrations such as fusion transcripts and mutations. However, not all leukemia and MDS patients have aberrations detectable by PCR, limiting the applicability of such monitoring to only some patient subgroups. In contrast, non-mutated WT1 is overexpressed (5–10 times above background levels) in 86% of patients with AML, MDS, and ALL^{6–10} and could serve as a universal diagnostic marker for detection of leukemic blasts, despite heterogeneity in the etiology of these diseases. Since 1990, several groups have associated WT1 expression and its elevation with progression and relapse of hematologic malignancies^{2,8,10–25}. While existing literature established the relevance of WT1 for identifying future relapse^{2,8,10–25}, the WT1 test has not yet been validated as a relapse definition across relevant hematologic malignancies.

In a prospective study, we longitudinally evaluated the accumulation of WT1 mRNA transcripts in peripheral blood of alloHCT recipients in order to establish levels of WT1 transcripts (WT1 ratios) that will accurately predict the onset of relapse and to estimate a time interval from molecular (qPCR of WT1) to hematologic (morphology of blasts) relapse.

Materials, Subjects, and Methods

Study subjects

This study was conducted under City of Hope IRB-approved protocol #09050. Patients gave written informed consent, in accordance with the Declaration of Helsinki, for laboratory-based studies on peripheral blood samples obtained prospectively after alloHCT monthly for 6 months, then alternating between 1 or 2 months until day 780. Patients over 18 years of age with confirmed diagnosis of MDS, AML, ALL, and CML undergoing alloHCT at City of Hope after reaching complete remission (CR) [MDS with 20% blasts, AML or ALL in morphologic remission (1st or subsequent remission), or CML in chronic phase] were eligible for the study and were enrolled prospectively. Confirmed diagnosis of hematological relapse, monitored prospectively, was defined as a study endpoint. Thus, patients that relapsed became ineligible to continue participation in the study.

Cells, RNA purification, and cDNA synthesis

Peripheral blood mononuclear cells (PBMCs) and bone marrow mononuclear cells (BMMC) were purified by Ficoll-Hypaque density gradient centrifugation from 10–40ml PB or BM. Subsequently, total RNA was isolated from 3–5 million PBMCs or BMMCs using RNeasy™ (Qiagen, Valencia, CA). cDNA was made from 500ng of total RNA using the RevertAid first strand cDNA synthesis kit (Fermentas Inc, Glen Burnie, Maryland). RNA quality was measured by NanoVue (GE Healthcare, Little Chalfont, Buckinghamshire, UK) and its purity was based on its 260/280 ratio.

Quantitative real time PCR (qRT-PCR) analysis

WT1 transcript levels in PBMCs and BMMCs were measured in batch utilizing SYBR-Green qRT-PCR on the ABI7300 instrument (Applied Biosystems, Carlsbad, CA). c-ABL gene transcript was used as a recommended internal control^{13,21,26}. Absolute quantification of the transcript copy number was achieved for WT1 and c-ABL genes from the corresponding standard curves enabled by WT1 and c-ABL control genes cloned into plasmids. Plasmid dilutions were generated to span the anticipated transcript copy range (10^1 – 10^6 copies). For WT1¹⁰ and c-ABL²⁶, published sequences were used to generate 89 base pair and 96 base pair products, respectively. Results were expressed as a ratio of WT1/c-ABL transcript copy numbers normalized by 10^4 (WT1 ratio: WT1/c-ABL $\times 10^4$)^{7,27,28}. RNA from control positive cell line K562 served as a positive control. Results showing a >1 cycle deviation from the threshold cycle number (Ct) between duplicate wells were repeated. If the ratio was inconsistent or if two wells were dissimilar, sample testing was repeated. If ratios were still inconsistent or dissimilar after repeat, the data point(s) were excluded from the analyses. Samples containing less than 1000 copies of c-ABL were considered degraded and new cDNA was generated. Results were not released to the treating physicians and did not influence their clinical practice.

Statistical analysis

WT1 mRNA transcript levels of consented patients with at least 2 peripheral blood (PB) draws post-alloHCT were analyzed. We evaluated longitudinal changes in transcript levels to establish WT1 thresholds that would likely indicate impending relapse. We identified patients having WT1 ratios exceeding these thresholds and then determined the sensitivity, specificity, negative predictive value, and positive predictive value of subsequent relapse. Exact 95% binomial confidence intervals were calculated for sensitivities and specificities. Means and standard deviations (SD) for lead time were calculated based on the earliest observed post-alloHCT day in which the patient's WT1 ratio was greater than or equal to the threshold. To estimate the day in which the patient's WT1 ratio was equal to the threshold, we used linear interpolation. In other words, we calculated the line between the last measure prior to crossing the threshold and the first measure greater than the threshold and estimated the day that the threshold was crossed. Cox proportional hazard regression models were used to examine the predictors of time to relapse (days) by univariate and multivariate analysis tools. The predictors included crossing the WT1 ratio of 20 (time-dependent, dichotomous: whether or not each WT1 ratio exceeded the 20 ratio), age at transplant (above or below median), patient gender, patient/donor sex match (male/female, others), donor age

(above or below median), disease type (AML, ALL/CML, MDS), donor type (related, matched-unrelated), stem cell source (bone marrow, peripheral blood, cord blood), pre-alloHCT cytomegalovirus serostatus (negative, positive), donor pre-alloHCT cytomegalovirus serostatus (negative, positive), disease risk status at transplantation (low, high), conditioning regimen (full-intensity, reduced-intensity), injected cell dose, acute GVHD grade (none or grade 1, grade 2–4), log-transformed pre-alloHCT WT1 ratio, and CMV reactivation within 3 months (yes, no). Crossing the WT1 ratio of 50 (time-dependent, dichotomous: whether or not each WT1 measure exceeded the 50 threshold) was not considered as a variable in the survival analyses due to its 100% specificity (i.e. patients that did not relapse never had WT1 ratio greater than or equal to 50). The multivariate analysis used stepwise regression on the variables that were significant in the univariate analyses. In a secondary analysis, we examined WT1 ratio and the interaction of the WT1 ratio with risk in a Cox proportional hazards model as predictors of time to relapse. We also examined a Cox proportional hazards model with post-alloHCT WT1 ratio and log transformed pre-alloHCT WT1 ratio as predictors of time to relapse. To test the reliability of the WT1 ratio using PB, we analyzed the association of post-alloHCT WT1 transcript levels measured on PB and BM using a repeated measures regression model. We also analyzed the association of the PB post-alloHCT WT1 ratio with bcr/abl using a repeated measures regression model. The bcr/abl data were only available in the subset of Ph+ ALL and CML patients. Since we could not derive a correlation coefficient from the output of the repeated measures model, we converted the PB and BM WT1 ratios and bcr/abl measurements into z-scores and used this standardized coefficient as a proxy for the correlation coefficient. Exact binomial 95% confidence intervals were calculated using StatXact 7. SAS® version 9.3 (SAS Institute, Cary, NC) was used to perform all other statistical analyses. The statistical significance level was set at $\alpha=0.05$. R 3.0.1 was used to generate the figures.

Results

The WT1 transcript ratio level as a highly specific predictor of relapse

We measured the rate of change of WT1 ratio levels (WT1 assay) as a means to assess molecular relapse and a predictor of clinical relapse. WT1 transcript ratio levels were measured longitudinally in 82 AML, ALL, MDS, and CML patients after alloHCT. The median follow-up was 295.5 days (range 57–785). Patient demographic and transplantation characteristics, alloHCT outcomes, and the number of samples obtained per patient are summarized in Table 1. Fifty patients were considered as low risk for disease (AML CR1 [n=25], ALL CR1 [n=18], MDS RA or RARS [n=6], or CML CP1 [n=1]), while the remaining 32 patients were at high-risk for disease (AML CR2/3 [n=14], ALL CR2/3 [n=6], MDS RAEB or RAEBT [n=10], or CML CP2 [n=2]). Cytogenetic risks for each disease are also detailed in Table 1. As expected in the transplant cohort, many had intermediate or high-risk cytogenetics. Among 18 AML patients with normal cytogenetics, Flt3 mutation status was available in 9 patients (5 positive, 4 negative). The longitudinal patterns of WT1 ratio levels post-alloHCT for patients who did not relapse (N=66) are depicted in Figure 1. A reference line was generated and fit to the data retrospectively at the WT1 ratio of 50, because it is the minimum WT1 ratio level that none of the non-relapsed patients exceeded. This level defines maximum specificity of 100% (95% binomial exact CI: 94.5%, 100%)

and can be considered a highly specific threshold level for relapse prediction. Figure 2 shows the WT1 ratios versus time for the 16 relapsed patients increased longitudinally. As opposed to Figure 1 which shows all patients in one plot, Figure 2 has individual panels for each patient so that each patient trend could be separately assessed. A reference line is drawn at WT1 ratio equal to 50 (towards the bottom of the plot) to quantitate how many relapsed patients had WT1 ratios which exceeded this highly specific threshold. Within each panel, the relapse day (number of days post alloHCT) and the patient's last two WT1 ratio measurements and the number of days before relapse in which they were taken (R-day) are provided. For example, in the case of Patient 1, R-74 means 74 days prior to relapse on day 747 post-allo HCT. Four patients (Patients 13 through 16 in Figure 2) never had a WT1 ratio exceeding 50 while the remaining 12 patients (12/16) had WT1 levels which exceeded the ratio of 50, providing a sensitivity of 75% for this ratio level (95% binomial exact CI: 48% to 93%). The positive predictive value (PPV) and the negative predictive value (NPV) performance parameters for the WT1 ratio of 50 were 100% and 94.4%, respectively. The average number of days between the earliest observed time of crossing the WT1 ratio threshold of 50 to relapse for 12 patients depicted in Figure 2 was 29.4 (SD=19.8). Using the linear interpolation method, the average estimated day of crossing the WT1 ratio of 50 threshold was 63 days (SD=29.3). Thus, the WT1 ratio of 50 is a specific threshold for detection of impending hematologic relapse after alloHCT with an estimated 63 days prior to diagnosis of morphologic relapse.

Varying WT1 ratio thresholds for relapse prediction

Using the WT1 ratio of 50 as a threshold for detection of impending relapse yielded 100% specificity (all non-relapsed patients never had WT1 levels reaching this threshold) and 75% sensitivity (three-quarters of patients that relapsed had reached WT1 levels exceeding this threshold). We further assessed lower WT1 ratios to increase sensitivity and capture more patients with impending relapse while only minimally reducing specificity. Table 2 shows numbers of relapsed and non-relapsed patients with varying WT1 levels, together with sensitivity, specificity, PPV, and NPV. Means and SDs of the calculated time to relapse from the patient's earliest time of having a WT1 level equal to the given threshold are also shown. The thresholds of 40 and 30 decrease the specificity but do not increase sensitivity. Sensitivity increased to 87.5% using a WT1 ratio threshold of 20 while the specificity decreased to 84.8% as expected due to slightly increased number of false positives. Whereas, using a threshold of 10, the sensitivity becomes >90%, but the specificity is reduced to ~56.1%. Thus, the lower WT1 ratio threshold of 20 provides an improved sensitivity and specificity combination for relapse prediction and longer duration prior to morphologic relapse. Specifically, the observed time of patients' earliest WT1 ratio exceeding the threshold of 20 and the onset of relapse increased to 49.1 days (SD=30.8). Using the linear interpolation method, patients crossed the WT1 ratio threshold of 20 by an average of 78 days (SD=28.8) prior to relapse diagnosis. Consequently, using a lower WT1 ratio threshold of 20 will improve the sensitivity and specificity combination and increase the time to relapse interval, without having excessive false positives.

Approaches to reduce false positive cases

Using a lower WT1 ratio threshold (20 vs 50) increases sensitivity of detecting patients with impending relapse. However, as a negative consequence, the positive predictive value (PPV) decreases, thereby increasing the number of false positive patients who never relapse. The highly specific WT1 ratio threshold of 50 had a PPV of 100% (no false positives detected) and the WT1 ratio threshold of 20 resulted in a decreased PPV of 58.3% with 10 false positive patients. The absolute number of patients falsely identified as likely to relapse will be dependent on the cohort demographics. The proportion of patients with positive test results for the WT1 threshold of 20 that are going to have a disease relapse (PPV) may improve if we target the test to the patients clinically at higher risk of developing relapse. We evaluated the high risk group (defined as AML and ALL in CR2/3, CML in CP2 or AP, and MDS RAEB or RAEBT; see Table 1) and found that the PPV of the WT1 ratio of 20 improved to 69.2% in the high-risk patients (Table 3) compared to 58.3% for the entire cohort (Table 2). The sensitivity and specificity of the WT1 ratio of 20 for high risk patients is comparable to those of the whole cohort using the ratio of 20. Additionally, the average time to relapse interval is longer for high risk patients (58.1 days, SD=34.9; Table 3) than for the entire cohort (49.1 days, SD=30.8; Table 2). Thus the lower WT1 ratio of 20 appears to be more valuable for this specific subgroup of patients with a higher prevalence of relapsed disease (11 relapsed patients in the high risk group versus 5 in the low risk group) which reduces the number of false positive cases improving the PPV.

Subgroup analyses of each disease category

We also assessed the sensitivity and specificity of the WT1 ratio for disease type (AML, ALL/CML, MDS). Firstly, there was no significant association between each disease category and relapse (Chi square $p = 0.3409$) or time to relapse (Cox proportional hazards model $p=0.3177$). Consistent with the analysis of the entire cohort, sensitivity and specificity was found to be optimized using the WT1 ratio of 20 for each disease category. Crossing the WT1 ratio of 20 was associated with the sensitivities of 90% for AML, 66.7% for ALL/CML, and 100% for MDS. Specificities were 86.2% for AML, 83.3% for ALL/CML, and 84.6% for MDS. The average time of crossing the WT1 ratio of 20 to the onset of relapse was 50.8 days (SD=31.6 days) in AML, 51.5 days (SD=19.1 days) in ALL/CML, and 42.3 days (SD=43.6 days) in MDS. Thus compared to the results of the entire cohort (Table 2), the WT1 ratio provided a better sensitivity in AML and MDS patients while better specificity was found for AML patients.

Model of relapse prediction using consecutive pairs of WT1 measurements

To improve the PPV rate without sacrificing sensitivity and specificity, we evaluated WT1 ratio thresholds using multiple measurements as opposed to just one WT1 ratio measurement using patient data shown in Figure 2. We evaluated patients' consecutive pairs of measurements (immediately following each other by date of acquisition) by identifying increases in WT1 ratio levels from consecutive samples and identified patients whose WT1 ratio levels had a sum greater than or equal to a threshold of 30. With this method, the PPV of detecting impending relapse increases to 73.7% with 5 false positive patients. This is an improvement over the 10 false positives found when using the single measurement WT1

ratio threshold of 20. With this method, sensitivity remained at 87.5% with 14 of the 16 patients shown in Figure 2 having two consecutive WT1 ratio measurements that totaled greater than 30. For the 14 patients, the average time to relapse from the day when the patient's second WT1 measurement totalled 30 was 41.8 days (SD=27.1).

WT1 expression as a significant and independent predictor of time to relapse

We used Cox proportional hazard regression models to identify risk factors predicting time to relapse in a survival analysis. Potential predictors of time to relapse were first examined individually in univariate Cox regression models. Table 4 lists the predictors that were found to be significant in the univariate Cox models (see Materials and Methods section for the complete list of variables analyzed). Crossing the WT1 ratio of 20 (HR=58.16, $p<0.0001$), having high disease risk at transplantation (HR=3.27 $p=0.0232$), or receiving alloHCT from donors with age above the median age of 34 (HR=5.124, $p=0.0109$) were found to significantly increase hazard, or decrease time to relapse in the univariate analysis. We used stepwise regression analysis to find that crossing the WT1 ratio of 20 was the only predictor independent of other variables significantly associated with decreased time to relapse (HR=58.16, $p<0.0001$; Table 4).

Our secondary analysis examines WT1 ratio, risk, and the interaction of the WT1 ratio and risk as predictors of time to relapse. The interaction of the WT1 ratio and risk was significant ($p=0.0141$) indicating that the WT1 ratio has a different effect on time to relapse in each risk group. The hazard ratio in the high risk group is non-significant indicating that the WT1 ratio does not have an effect on time to relapse (HR = 1.428, $p=0.7417$); however the hazard ratio in the low risk group is significant (HR: 1.007, $p=0.0005$). In another Cox model with WT1 ratio and log transformed pre alloHCT WT1 ratio as predictors of time to relapse, only the WT1 ratio was significant but the log transformed pre alloHCT WT1 ratio was not significant (data not shown).

Relationship of transcript levels of WT1 in PB and bone marrow and association with bcr/abl

To further characterize the performance of WT1 expression as an MRD marker, we studied the association of WT1 expression levels in PB and bone marrow (BM) specimens. There were a total of 107 time points where both PB and BM samples were available in 61 subjects. We observed a strong positive association between PB and BM WT1 expression levels using the repeated measures regression model (standardized coefficient = 0.9311, $p<0.0001$). In addition we examined the association of WT1 with the clinically available bcr/abl transcript levels of the 15 Ph+ ALL and CML patients (75 timepoints). There was a strong positive association between WT1 and bcr/abl positivity (standardized coefficient = 0.6799, $p<0.0001$). These results emphasize the reliability and validity of measurement of WT1 transcripts for MRD monitoring and relapse prediction.

Discussion

Our prospective study expands on earlier observations evaluating WT1 as a marker for relapse detection in leukemia and MDS patients^{2,4,7,11,12,18,20,22,23,29-37}. In contrast to

previous studies, all of the enrolled patients were adults (no pediatric patients) undergoing alloHCT after achieving CR. Our approach combines biological measurement with statistical analytic tools to quantitatively define predictive thresholds for the onset of relapse based on a large and uniform cohort of patients. Assessment of predictive value of WT1 mRNA transcript quantitation was based on sequential measurements by qRT-PCR, an established method³⁸. An additional advantage to our approach is the sole use of patient peripheral blood specimens for measurements rather than relying on the more difficult to obtain BM biopsy specimen used in many previous studies^{2,4,18,20,22,24,29,35–37,39,40}.

The long term prognosis for patients with acute leukemia and MDS who relapse after alloHCT is very poor, with median survival of ~6 months, with only 25% having longer survival⁴¹. The usefulness of our approach is its capacity to reliably predict a 29 day (or a 63 day interval estimated by interpolation) interval of relapse detection from crossing the WT1 ratio of 50, a relatively low ratio. While our methods provide an estimation of risk, given the relation between disease burden and outcome, achieving a 63 day lead time prior to morphologic relapse has potential clinical benefits as treatment regimens can be implemented or altered such as immunotherapeutics targeting WT1^{42,43} while the tumor burden is low^{44–46}.

The conventional relapse definition is based on bone marrow having >5% blasts on morphologic exam. This approach has major limitations as follows: 1) it is not quantitative; 2) it is incapable of detecting or predicting impending relapse; 3) and it is dependent on bone marrow sampling requiring patients to undergo invasive procedures that have greater risk compared to peripheral blood draws. Our study, similar to others published in the last decade relied on less invasive peripheral blood sampling to obtain qPCR data for longitudinal analysis of WT1 transcripts^{7,16,17,23,25,31–34,47,48}. Based on a comparison of the timing of conventional morphologic tests that only alert retrospectively if relapse has already occurred, the WT1 test offers superior prediction of relapse, due to its ability to uncover an earlier step in the “evolution” of relapse.

We acknowledge there are published reports on the association of WT1 and future relapse. However, many studies have not developed a predictive model for relapse using statistical algorithms^{10,25,33–37,47–49}, use incomplete longitudinal data, or have limited clinical relevance because of BM sampling or selection of patients from inhomogeneous cohorts (in terms of therapy, remission status before alloHCT, and age)^{2–4,31}. While an early study derived a 40 day post-transplant prediction window, the underlying data that were used to generate the longitudinal analysis were incomplete and specificity was not calculated². Other studies used either cross-sectional or longitudinal measurements to analyze a few representative patients to derive a prediction window that is not well validated for clinical translation^{20,22}.

Several studies have been informative and bolster our initiative, without duplication. A representative example was a study using diverse patient subgroups with acute leukemia that derived a rough estimation of prediction intervals based on a small cohort of relapses, with a minority of patients receiving allo- or auto-transplant dependent on age at presentation¹⁶. However, the data measurements were not at comparable intervals for all patients, so

extracting a uniform predictive algorithm was not the objective as it was in our study. An exhaustive longitudinal study of AML patients having CR without transplant showed that WT1 transcript levels could be used as a predictive test, yet PB was far less sensitive than BM as a cell source for measurement³². The comprehensive study sponsored by a European-wide consortium was optimally conducted, but also focused on chemotherapy-treated patients who did not receive allo-HCT⁷. Interestingly, our proposed WT1 ratio level of 50 as an important threshold is consistent with this large European study⁷. The conclusion from all of this work is that a framework exists for using WT1 for diagnosing minimal residual disease (MRD) or confirming relapse, but its use as a prognostic tool has not been fully developed. Our prospective post-HCT cohort study is a valuable addition that could be further refined and generalized for all acute leukemia patients who are at high risk of relapse, despite receiving an allo-HCT.

Our data demonstrated that when the WT1 test is at a ratio of 50, it can serve as a clinically relevant reference value imparting a clear biological meaning as a biomarker identifying all patients that crossed this ratio as being at risk for impending relapse (PPV=100%). However, it failed to identify 25% of patients that relapsed without crossing this ratio (75% sensitivity). Our investigation seeking a stronger sensitivity level and thus a less stringent lower ratio aimed to enhance the value of the WT1 test by broadening the patient population whose relapse could be better predicted than by the highly specific WT1 ratio of 50. Even with a risk of false positives (15% for the WT1 ratio of 20), an increased sensitivity due to identification of additional patients with impending relapse may be valuable; especially if less toxic therapies [i.e. histone deacetylase (HDAC) inhibitors, hypomethylating agents, proteasome inhibitors, monoclonal antibodies, bispecific (BiTE) antibodies] were an option to reduce risk of future relapse^{51,52}. Lower ratios also lead to an earlier detection of relapse, when the disease burden is minimal and therapeutic options are most effective⁴⁴⁻⁴⁶.

In summary, because of its greater sensitivity the WT1 molecular assay is an alternative to the conventional morphologic approach of enumerating blasts. Ours is the first prospective study of WT1 transcript kinetics in alloHCT recipients that establishes an observed time interval between molecular and hematologic relapse based on longitudinal analysis of data from patient blood specimens. The recognition that a WT1 measurement above a highly specific ratio is an unambiguous indicator of relapse enables the implementation of early interventional therapies. It can also guide mechanistically-oriented early-phase clinical research, as it provides an earlier definition of patient clinical status. The goal is to apply WT1 transcript measurements as a diagnostic biomarker for detection of relapse in the highest-risk individuals – acute leukemia and MDS patients undergoing alloHCT. Our study design could be easily replicated at other centers to confirm that WT1 is a clinically useful biomarker of relapse post-alloHCT that will guide treatment decisions for such patients.

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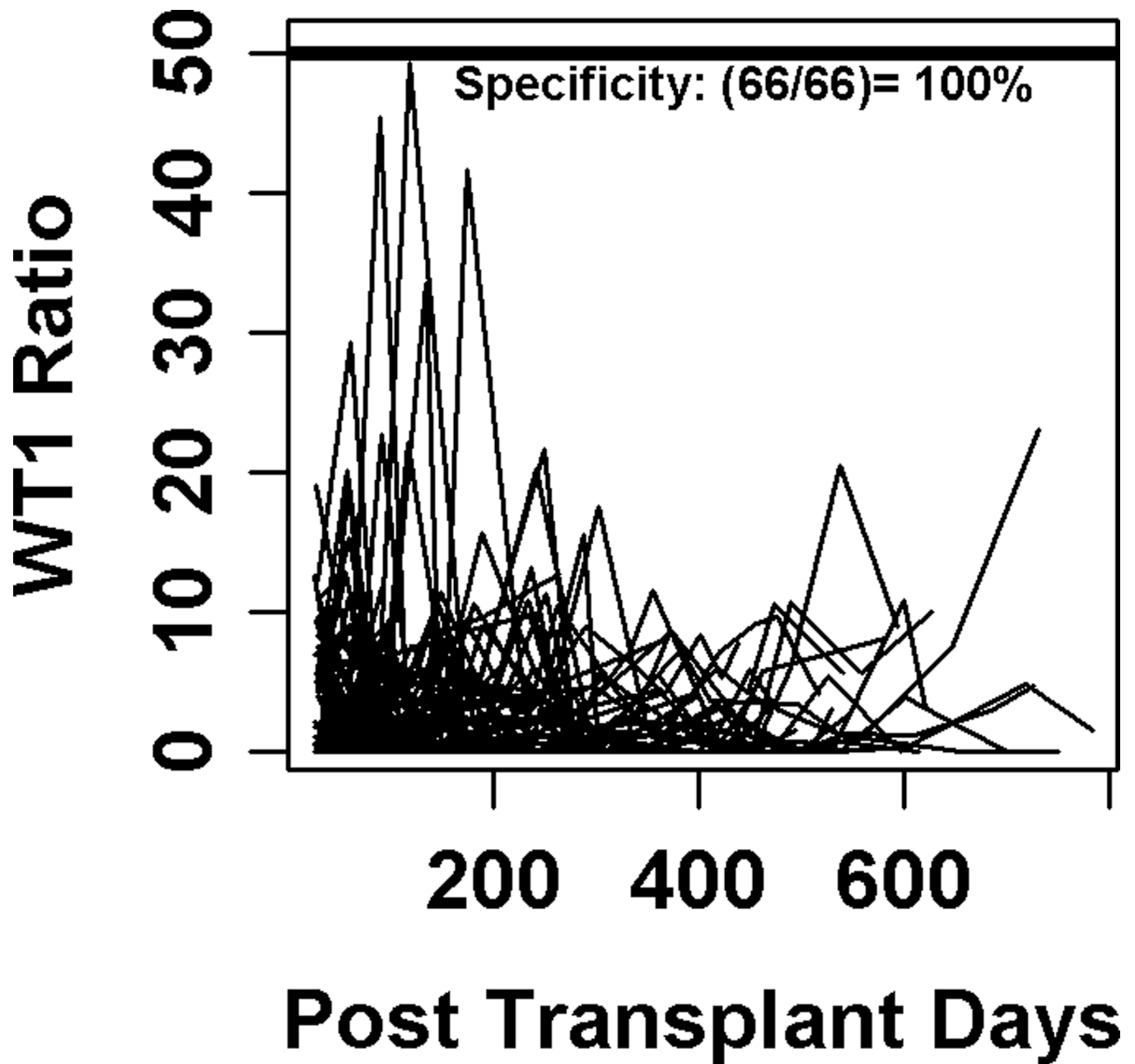


Figure 1. WT1 levels in non-relapsed acute leukemia and MDS patients post-alloHCT
WT1 transcript levels were measured by qRT-PCR in 82 patients and expressed as a ratio of WT1/cABL transcript copy numbers normalized by 10^4 (WT1 ratio). Patients without relapse did not cross the WT1 ratio of 50 illustrated by the horizontal solid line (66/66, 100% specificity).

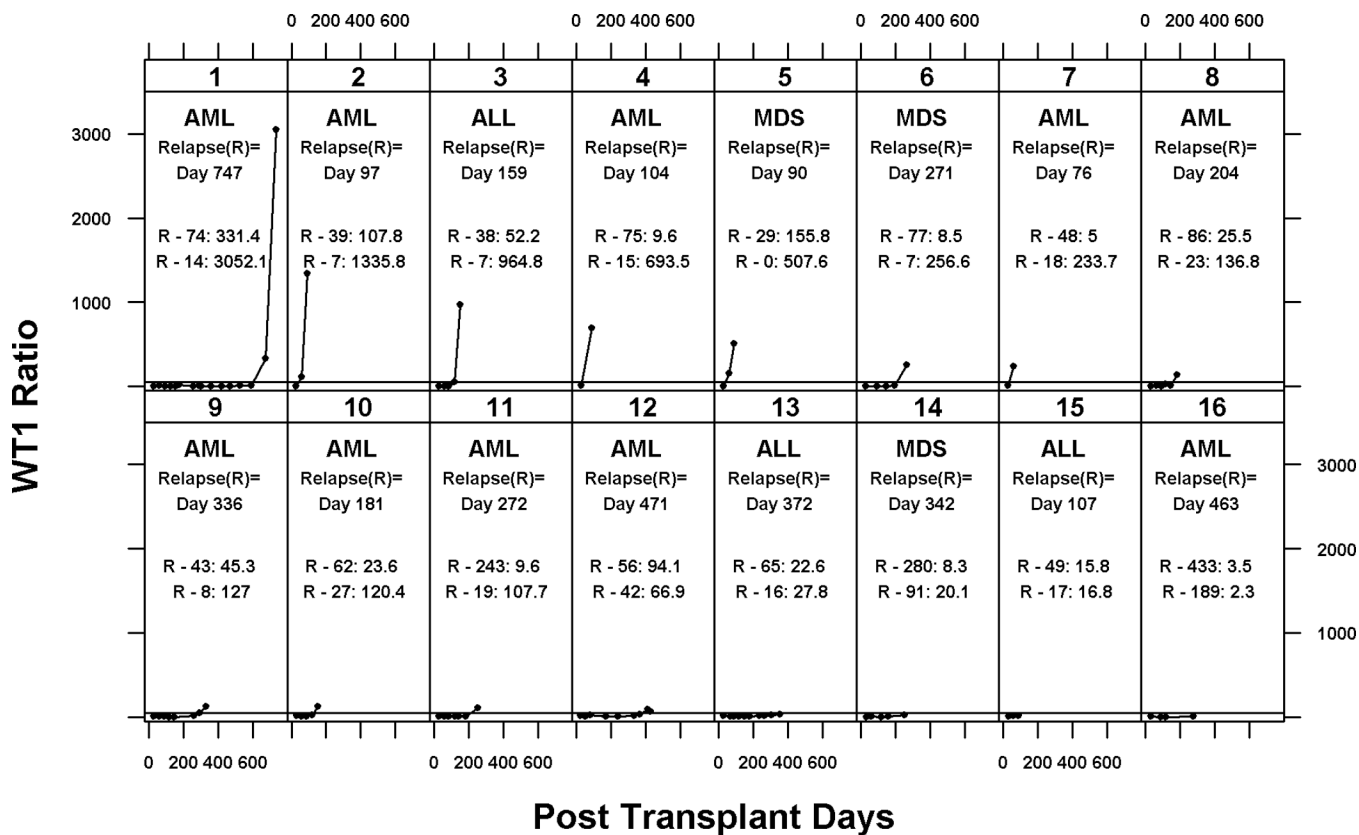


Figure 2. Time course of WT1 transcript expression levels in acute leukemia and MDS patients (N=16) with relapse post-alloHCT

WT1 transcript levels measured by qRT-PCR and expressed as ratios (as in Figure 1) are shown for relapsed patients after alloHCT. Disease diagnosis of each patient is indicated in each individual panel. WT1 ratios crossed the level of 50 (horizontal solid line) and began to increase exponentially in 12 of 16 patients (12/16, 75% sensitivity). The relapse day and the patient’s last two WT1 ratio measurements and the day before relapse in which they were taken are provided (R-day). The y-axis WT1 ratio range for this plot is much larger than that for Figure 1 to accommodate the high levels of WT1 ratios these relapsed patients reached.

Table 1

Patient, disease, and transplantation characteristics and overall outcomes (N=82)

Variable	N or median (range)
Patient age at alloHCT*	54 (19–74)
Patient gender (female/male)	42/40
Patient/donor gender match	
Male patient/female donor	11
Other combinations	71
Donor age	34 (0–64)
Disease type	
AML Total**	[39]
Low risk cytogenetics	2
Intermediate risk***	25
High risk	12
ALL Total**	[24]
Normal cytogenetics	5
Philadelphia+	13
Unavailable or Miscellaneous	6
MDS total**	[16]
Low risk cytogenetics	6
Intermediate risk	2
High risk	8
CML Total	[3]
Donor type (related/matched-unrelated)	32/50
Stem cell source	
Bone marrow	4
Peripheral blood	76
Cord blood	2
Patient Cytomegalovirus serostatus	
Negative	6
Positive	77
Disease risk status at transplantation****	
Low risk	50
High risk	32
Conditioning	
Full-intensity	39
Reduced-intensity	43
Injected cell dose	
CD34 (10 ⁶ /kg)	6 (0.7–9)
CD3 (10 ⁸ /kg)	2.2 (0.1–8)
Acute GVHD grade	

Variable	N or median (range)
None or Grade 1	46
Grade 2–4	36
Median follow up	295.5 (57–785)
Median time from alloHCT to relapse	237.5 (76–747)
Relapse	16 (19.5%)
Survival at 1 year	67 (81.7%)
Overall survival	66 (80.5%)
Relapse-free survival	56 (68.3%)
Non-relapse mortality	10 (12.2%)
Median number of samples obtained per patient	6.5 (2–16)*****

alloHCT indicates allogeneic hematopoietic cell transplantation; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; MDS, myelodysplastic syndrome; CML, chronic myelogenous leukemia; and GVHD, Graft-Versus-Host Disease.

* The applied regimen successfully achieves 100% donor chimerism within one month after alloHCT.

** Cytogenetic risk assignment for AML, ALL, and MDS is based on references 53, 54, 55, respectively.

*** 18 patients with normal cytogenetics are included.

**** Disease risk status categories: low risk, AML and ALL in first complete remission (CR1), CML in first chronic phase (CP1), MDS refractory anemia (RA) or refractory anemia with ringed sideroblasts (RARS) subtypes; high risk, AML and ALL in 2nd or 3rd CR (CR2/3), CML in 2nd chronic phase (CP2) or accelerated phase (AP), MDS refractory anemia with excess blasts (RAEB) or refractory anemia with excess blasts in transformation (RAEBT).

***** % of missing samples (i.e. patient missing a blood draw) – 17.9%.

Table 2

Characteristics of WT1 ratios for predicting relapse

WT1 ratio	No relapse/ no cross (n)	No relapse/ crossed (n)	Relapse/ no cross (n)	Relapse/ crossed (n)	Specificity (%)	Sensitivity (%)	PPV (%)	NPV (%)	Days to relapse (SD)
50	66	0	4	12	100	75.0	100	94.3	29.4 (19.8)
40	63	3	4	12	95.5	75.0	80	94.0	32.3 (18.9)
30	62	4	4	12	93.9	75.0	75	93.9	36.1 (29.8)
20	56	10	2	14	84.8	87.5	58.3	96.6	49.1 (30.8)
10	37	29	1	15	56.1	93.8	34.1	97.4	139.5 (197.4)

Sensitivity = (#relapse/crossed)/(#relapse/crossed + #relapse/no cross)

Specificity = (#no relapse/no cross)/(#no relapse/no cross + #no relapse/crossed)

PPV (Positive Predictive Value) = (#relapse/crossed)/(#relapse/crossed + #no relapse/crossed)

NPV (Negative Predictive Value) = (#no relapse/no cross)/(#no relapse/no cross + #relapse/no cross)

Table 3

Characteristics of WT1 ratio of 20 by risk

WT1 ratio	Risk	No relapse/ no cross (n)	No relapse/ crossed (n)	Relapse/ no cross (n)	Relapse/ crossed (n)	Specificity (%)	Sensitivity (%)	PPV (%)	Days to relapse (SD)
20	High	17	4	2	9	81.0	81.8	69.2	58.1 (34.9)
	Low	39	6	0	5	86.7	100	45.5	32.8 (11.2)

SD= standard deviation

PPV = see Table 2

Table 4

Predictors of time to relapse; univariate analysis

Variable	HR (95% CI)	p value
Crossed 20 ratio	58.16 (18.03–187.6)	<0.0001
Risk: high vs low	3.27 (1.18–9.93)	0.0232
Median donor age (34+ vs. <34yrs)	5.124 (1.46–18.03)	0.0109

Predictors of time to relapse; multivariate analysis		
Variable	HR (95% CI)	p value
Crossed 20 ratio	58.16 (18.03–187.6)	<0.0001

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