

ORIGINAL RESEARCH

Novel redispersible nanosuspensions stabilized by co-processed nanocrystalline cellulose—sodium carboxymethyl starch for enhancing dissolution and oral bioavailability of baicalin

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Background: To improve the dissolution and bioavailability of poorly soluble drugs, novel nanosuspensions using co-processed nanocrystalline cellulose—sodium carboxymethyl starch (NCCS) as a synergetic stabilizer were first designed.

Methods: Co-processed NCCS was prepared by means of homogenization. Poorly soluble baicalin (BCA) was used as a model drug. BCA nanosuspension (BCA-NS/NCCS) using co-processed NCCS as a dispersant was prepared via homogenization and further converted into the dried BCA nanosuspension particle (BCA-NP/NCCS) via spray drying. The influence of NCCS on the dispersion efficiency of BCA-NS/NCCS was investigated. Morphology and crystal characteristic of NCCS and BCA-NP were analyzed. The dissolution and bioavailability evaluation were performed to investigate the feasibility of NCCS as a stabilizer for BCA-NS/NCCS and BCA-NP.

Results: The optimum 50% concentration of NCCS (nanocrystalline cellulose [NCC]:sodium carboxymethyl starch [SCS]=60:40) could be mostly beneficial for formation and stability of BCA-NS/NCCS. NCCS could completely prevent aggregation of BCA-NP during spray drying and enhance the redispersibility as well as dissolution of spray-dried BCA-NP, which might be attributed to "brick—concrete"-based barrier effect of NCCS and the swelling capacity of superdisintegrant SCS. The crystal state of NCC and BCA presented in BCA-NP/NCCS remained unchanged during the homogenization. The BCA-NP/NCCS exhibited a fast dissolution rate and significantly enhanced bioavailability of BCA. The AUC_(0-∞) of the BCA-NP/NCCS (8,773.38 \pm 718.18 µg/L·h) was 2.01 times (P<0.05) as high as that of the crude BCA (4,354.61 \pm 451.28 µg/L·h).

Conclusion: This study demonstrated that novel surfactant-free nanosuspensions could be prepared using co-processed NCCS as a synergetic stabilizer and also provided a feasible strategy to improve the dissolution and oral bioavailability of poorly soluble drug.

Keywords: nanocrystalline cellulose, Pickering nanosuspensions, nanocrystals, solid particles stabilizer, oral bioavailability

Introduction

Nanosuspensions or nanocrystal suspensions (NSs) are considered one of the most valuable strategies for formulation of poorly soluble drugs. NS has numerous advantages such as increasing the solubility and dissolution velocity of poorly soluble drug owing to its small particle size less than 1 μm in diameter and a large specific surface area. ^{1–3} NS is a carrier-free colloidal suspension that contains nanosized drug particles

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and minimal water-soluble stabilizers, typically produced in the forms of suspensions.4 Water-soluble stabilizers such as polymers and/or surfactants are generally used to prevent aggregation of drug nanocrystals during homogenization and thus ensure physical stability of the drug suspensions at storage.5 Hydroxypropyl methylcellulose, hydroxypropyl cellulose, and polyvinylpyrrolidone are the most conventionally used soluble and nonionic polymers, which can stabilize drug nanocrystals via steric barrier effect, whereas anionic surfactants can prevent from aggregation of nanocrystals dependent on increasing the electrostatic repulsion among nanocrystals.6 However, the physical stability issues of drug NS such as particle size growth and aggregation upon prolonged storage increasingly restricted its application.^{7,8} One effective strategy to circumvent such difficulty is to transform liquid nanosuspensions into dried nanosuspensions (also named as solid nanocrystals) by means of spray drying or freeze-drying.9,10 The main challenge is that the dried nanosuspension particles or solid nanocrystals are able to reform immediately the original NS after rehydration and improve the dissolution of poorly soluble drug. 11,12

An ideal stabilizer/dispersant system is not only required to ensure proper physical stability of drug NS at the suspension state and enhance the redispersibility of dried nanosuspension particles after drying but also exhibit excellent safety and compatibility. ^{13,14} For example, ionic surfactants in oral formulations present challenges such as incompatibilities with other ionic molecules, sensitivity to pH, salt or temperature changes, gastrointestinal tract irritation, and toxicity. ^{15–17} Furthermore, in the absence of surfactants and their synergistic action with the polymers, excessively high polymer concentration may be required to stabilize drug nanosuspensions, which could negatively affect the redispersion and dissolution of drug. ^{18,19} Therefore, development of

novel "green" stabilizer/dispersants that help to impart physical stability, good redispersibility, and safety to dried drug nanosuspension particles is paid more and more attention.

Nanocrystalline cellulose (NCC; Figure 1A) is regarded as an ideal biomaterial due to its unique properties, such as uniform rod-like shape, high surface area, liquid crystalline behavior, biocompatibility, biodegradability, sustainability, and nontoxic carbohydrate-based nature.²⁰ NCC has especially attracted increasingly attention in the past decades as drug delivery systems.²¹ For example, NCC is used as a solid particle stabilizer of oil-in-water Pickering emulsions.^{22,23} NCC-based hydrogels could control the behavior of prolonged drug release, which was attributed to the "obstruction effect". 24,25 Furthermore, co-processed modification and combination of NCC particles with water-soluble cellulose derivatives can be very necessary to allow for successful production of colloidal formulation.^{26,27} Hu et al²⁸ demonstrated that Pickering emulsions stabilized by NCC preadsorbed with water-soluble methylcellulose derivatives could be converted into the oil powder that easily redispersed into the emulsion oil droplets in water. The novel aspect of the current work is to first use co-processed NCCS as "synergetic stabilizer" dispersant for production of drug NS. Sodium carboxymethyl starch (SCS; Figure 1B) is widely used for fast disintegration and dissolution of poorly soluble drug nanocrystals, which is a crosslinked semisynthetic polymer with high swelling capacities.^{29–31} Therefore, co-processed nanocrystalline cellulose and sodium carboxymethyl starch (NCCS) as a synergetic stabilizer may be an interesting strategy for design of drug nanosuspensions. The ideal NCCS is suitable for drug nanosuspensions according to the following requirements: 1) excellent viscosity and suspension ability (suitable for homogenization of nanocrystals); 2) shortterm stability (10 days) after homogenization (allowing the

Figure 1 Chemical structure of NCC (**A**) and SCS (**B**). **Abbreviations:** NCC, nanocrystalline cellulose; SCS, sodium carboxymethyl starch.

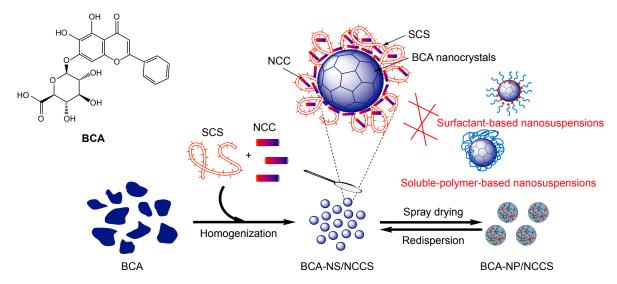


Figure 2 The schematic image of BCA-NS stabilized by NCCS.

Abbreviations: BCA, baicalin; BCA-NS, BCA nanosuspension; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; SCS, sodium carboxymethyl starch; NCC, nanocrystalline cellulose; BCA-NP, BCA nanosuspension particle.

follow-up spray-drying process); and 3) excellent reconstitution properties (ie, conservation of particle size and dissolution after reconstitution).

Baicalin (BCA; Figure 2) is a naturally occurring flavonoid. It was isolated from the roots of *Scutellaria baicalensis* Georgi, which has long been used in Chinese medicine. BCA has shown strong physiological activities, including antioxidant and anti-inflammatory properties, as well as antimicrobial and antifungal activities. 32–34 Moreover, BCA is restricted in formulations owing to its poor water solubility (<0.05 mg/mL) and oral bioavailability (<10%). 35 To overcome these issues of BCA, several nano-based formulation approaches have been developed, such as solid nanocrystals, 36 solid self-microemulsifying delivery system, 37 nanoemulsions, and solid lipid nanoparticles. 38,39 Although these formulation technologies have greatly improved bioavailability of BCA, there are some safety issues associated with the use of surfactants or polymers. 40

In our current study, as illustrated in Figure 2, BCA was used as a model drug, the novel BCA nanosuspension (BCA-NS) stabilized by NCCS was first designed, in order to enhance the drug dissolution, redispersibility, and bioavailability of BCA. The main objectives of this article were to prepare BCA-NS stabilized by NCCS (BCA-NS/NCCS) and further convert it into dried BCA nanosuspension particle (BCA-NP/NCCS) via spray drying. Co-processed NCCS, NCC, or SCS was, respectively, used as a stabilizer, and their performance was compared for preparation of BCA-NP. We also aimed to evaluate the morphology of the BCA-NP/NCCS visualized by transmitting electron

microscopy (TEM) and scanning electron microscopy (SEM), characterize the solid state of BCA-NP by X-ray diffraction (XRD) and differential scanning calorimetry (DSC), and further investigate the dissolution and oral bioavailability of BCA-NP.

Materials and methods

Materials

BCA (purity >98%) was purchased from Chengdu Herbpurify Co., Ltd. (Chengdu, China). Microcrystalline cellulose (MCC) used for the preparation of NCC was commercially obtained from Fengli Jingqiu Pharmaceutical Co., Ltd. (Beijing, China). Crosslinked SCS (DST® Type A) was purchased from Chineway Pharmaceutical Co., Ltd (Hefei, China).

Co-processed NCCS suspensions

According to the formula (S1-1–S8-1) listed in Table 1, MCC and SCS were dispersed in the water at 1,000 rpm for 30 minutes. The gained coarse suspension of MCC and SCS was homogenized at a high pressure using a piston-gap high pressure homogenizer (AH-1000D; ATS Engineering Inc., Seeker, Canada). First, 30 cycles at 200 bar and 20 cycles at 400 bar were conducted as pre-milling step, and afterward, 30 cycles at 800 bar were run to obtain the NCCS colloidal suspensions.

Production of BCA-NS/NCCS and BCA-NP/NCCS

According to the formula (S1-2–S8-2) listed in Table 1, BCA coarse powder 1% (w/v) was dispersed in the prepared

Table I Formula design of NCCS and BCA-NS/NCCS

Sample	Concentrations of dispersant (%, w/v)	Ratios of MCC and SCS in dispersant		BCA (%, w/v)
		MCC (%)	SCS (%)]
SI-I	0.25	100	0	0
S1-2				1
S2-I		80	20	0
S2-2				1
S3-I		60	40	0
S3-2				1
S4-I		40	60	0
S4-2				1
S5-I		20	80	0
S5-2				1
S6-I	0.5	60	40	0
S6-2				1
S6-3		0	100	1
S7-I	1	60	40	0
S7-2				1
S8-I	2	60	40	0
S8-2				1

Abbreviations: BCA, baicalin; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA-NS, BCA nanosuspension; MCC, microcrystalline cellulose; SCS, sodium carboxymethyl starch.

NCCS with a series of ratio of MCC and SCS. The obtained mixture was first disintegrated into coarse BCA suspension by a high shear homogenizer (FLUKO® FA25; Essen, Germany) at 16,000 rpm for 5 minutes. Then, the BCA coarse suspension was homogenized at a high pressure using a piston-gap high pressure homogenizer (AH-1000D; ATS Engineering Inc., Seeker, Canada) with a continuous water cooling system (ATS Engineering Limited, Suzhou, China) that was used to maintain the temperature of the suspension at the room temperature. First, 30 cycles at 200 bar and 30 cycles at 500 bar were conducted as a pre-milling step, and afterward, 30 cycles at 1,000 bar were applied to obtain the BCA-NS/NCCS. For comparison with BCA-NS/NCCS (S6-2), BCA-NS with 0.5% SCS (S6-3) was prepared as follows: BCA coarse powder 1% (w/v) was dispersed in 0.2% SCS suspensions and further homogenized into BCA-NS as described above, and then, remaining 0.3% SCS was added to the homogenized BCA-NS/SCS suspension.

The BCA-NP/NCCS was prepared by spraying the BCA-NS/NCCS through the nozzle of a Buchi mini spray dryer (model B290; Buchi Laboratoriums-Technik AG, Flawil, Switzerland). The process parameters were set as follows: the nozzle cap orifice diameter was 0.7 mm, inlet temperature was set as 120°C, the feed rate was 2 mL·min⁻¹, and the atomizing air flow was 5 m³·h⁻¹. The BCA-NP/NCCS particles were separated from the drying air in the cyclone (60°C outlet temperature) and deposited at the

bottom of the collector. They were collected and kept at room temperature for future testing and evaluation. Furthermore, the dried NCCS also was obtained for follow-up characterization of its crystals state by means of process parameters as described above.

Particle size and zeta potential measurements of NCCS and BCA-NS/NCCS

The determination of particles size was performed on a Mastersizer Microplus (Malvern Instruments, Malvern, UK), which has a working range of 0.050–550 μ m. Analysis of the diffraction patterns was done using the Mie model ("standard" presentation: dispersant refractive index=1.33, real particle refractive index=1.5295, imaginary particle refractive index=0.1). The particle sizes D_{10} , D_{50} , and D_{90} were determined in order to access the variation in the size of particles. D_{10} , D_{50} , and D_{90} are the volumetric diameters where the 10%, 50%, and 90% of the population lies below each value, respectively. All measurements were performed in triplicate.

The zeta potential of NCCS and BCA-NS/NCCS was determined by the dynamic light scattering (Nano ZS; Malvern Instruments). The samples were first diluted to a concentration of $\sim 0.05\%$ w/v using deionized water in order to avoid multiple scattering effects. All measurements were performed in triplicate. The zeta potential was reported as the average and SD of measurements.

Rheological evaluation of NCCS

The rheological properties of the different NCCS dispersions (Table 1) were assessed using the AR2000ex rheometer (TA Instruments, Crawley, UK). Samples were placed between the gap of the cone and plate, and the gap was closed gradually. This unit gives either a controlled shear rate or a controlled shear stress in a cone and plate rheometry format. A 60 mm cone (1° angle geometry) was used for all of the measurements and to provide uniform shearing to the samples. Samples were transferred to the plate with the minimum of shearing. The equilibration time before measurement was 2 minutes. The elastic module (G') and viscous module (G") were then determined. All the measurements were performed at 37°C±0.1°C.

The suspension stability of BCA-NS/NCCS

To ensure the stabilization of BCA-NS/NCCS at the suspension state for allowing follow-up spray drying, the short-term stability was evaluated in terms of the redispersibility

index (RDI) of BCA-NS after storage. BCA-NS/NCCS was placed in tightly sealed screw vials immediately at 25°C for 10 days. Then, the samples were redispersed by handshaking (10 seconds) and withdrawn for determination of particles' size as described in the "Production of BCA-NS/NCCS and BCA-NP/NCCS" section.

The redispersibility of BCA-NP/NCCS

A total of 100 mg of BCA-NP/NCCS powders were redispersed in a 40 mL vial with 20 mL pure water, and then, the suspension was shaken for 5 minutes at 250 strokes/minute (horizontal spectrophoshaker, Agitelec SL200). Samples were withdrawn for determination of particles' size as described in the "Production of BCA-NS/NCCS and BCA-NP/NCCS" section. The RDI of BCA-NP/NCCS was calculated by the following equation:²⁴

$$RDI = \frac{D}{D_0}$$

where D_0 is the volume-weighed (D_{50}) mean particle size of the precursor BCA-NS/NCCS directly prior to spray drying and D is the corresponding value of redispersed suspensions from spray-dried BCA-NP/NCCS. An RDI of ~1 indicates that BCA-NP/NCCS can completely recover back to the original BCA-NS/NCCS after rehydration.

In vitro dissolution evaluation of BCA-NS/NCCS and BCA-NP/NCCS Drug determination of BCA-NS/NCCS and BCA-NP/NCCS

The concentration of BCA in BCA-NS/NCCS and BCA-NP/NCCS was assayed by HPLC according to the reported method. The chromatographic separation was achieved on Hypersil ODS-2 (25×4 mm, 5 μ m). The chromatographic conditions was set as follows: the detection wavelength: 280 nm; the mobile phase: acetonitrile 0.2% (v/v) and aqueous phosphoric acid 47:53, v/v; the injection volume: 20 μ L; the flow rate: 1 mL/min; and the detection temperature: 30°C.

In vitro dissolution of BCA-NS/NCCS and BCA-NP/NCCS

The dissolution profiles of three batches of BCA, BCA-NS/NCCS, and BCA-NP/NCCS containing the same amount of BCA (60 mg) were evaluated, respectively. According to the CP XC paddle method, a dissolution apparatus (RC-8; Tianjin Guoming Medicine and Equipment Co., Inc., Tianjin, China)

was used. In all, 900 mL of water at 37° C was used as a dissolution medium. The rotation speed of the paddles was set at 100 rpm. At predetermined time intervals (1, 3, 5, 10, 15, 20, 30, 60 minutes), 2 mL samples were withdrawn and filtered through a 0.22 μ m filter membrane immediately. Simultaneously, equal blank medium was compensated immediately after the withdrawal. The amount of dissolved BCA in the sample solution was assayed as the above described HPLC method.

The similarity factor (f_2) was used to assess the differences on dissolution profiles of different BCA formulations. ¹⁸ f_2 was calculated by the following equation:

$$f_2 = 50 \log \left[\frac{100}{\sqrt{1 - \frac{\sum_{i=1}^{n} (R_i - T_i)^2}{n}}} \right]$$

where R_i represents the dissolution concentration of the compared formulation at predetermined time, T_i represents the dissolution concentration of the developed formulation at the predetermined time, and n represents the number of the dissolution time points. Generally, if f_2 value is much more than 50, the dissolution profile of the developed formulation is not significantly different from that of reference formulation.

The morphology and solid state characterization of NCCS, BCA-NS/NCCS, and BCA-NP/NCCS

NCCS and BCA-NS/NCCS were analyzed by TEM (JEM-1200EX; JEOL, Tokyo, Japan). The samples were diluted with a concentration of ~0.05% w/v distilled water and placed on a copper grid. The grid was dried at room temperature and was evaluated with a electron microscope.

SEM

Morphology of the BCA-NP/NCCS was evaluated by an SEM (Hitachi X650; Hitachi Ltd., Tokyo, Japan). The samples were glued and solidified on metal sample plates by means of a carbon double-sided tape. All the samples were gold coated (thickness≈15–20 nm) by means of a sputter coater (Fison Instruments, Manchester, UK). The electrical potential was set as 2.0 kV at 25 mA for 10 minutes.

DSC

An analyzer DSC (Diamond DSC; PerkinElmer Inc., Waltham, MA, USA) was used to determine the peak melting temperature of the coarse BCA, dried NCCS, and BCA-NP/NCCS. In all, 6–7 mg of powder samples was weighed before being placed in a sealed perforated aluminum pan and heated from 30°C to 260°C at a rate of 10°C/min, respectively. Nitrogen was used as the purge gas and protective gas at a flow rate of 50 mL/min and 150 mL/min, respectively.

Powder X-ray diffraction (PXRD)

The crystallinity of the coarse BCA, dried NCCS particles, and BCA-NP/NCCS was analyzed using PXRD (PANalytical, Westborough, MA, USA). The samples were scanned for 2θ ranging from 5° to 60° at a scan rate of 0.2°C/min. Measurements were performed at a voltage of 40 kV and 25 mA.

Stability of BCA-NP/NCCS

The optimum BCA-NP/NCCS (S6-2) was placed in tightly sealed screw vials immediately, protected from light and placed at 4, 25, and 40°C for 6 months. The stability was evaluated in terms of the BCA content, redispersibility, and dissolution behavior of BCA-NP after storage. The BCA content in BCA-NP/NCCS was determined as described in "Drug determination of BCA-NS/NCCS and BCA-NP/NCCS" section. The RDI and the similarity factor (f_2) of dissolution curves of BCA-NP were evaluated as described in "The redispersiblity of BCA-NP/NCCS" section and "in vitro dissolution evaluation of BCA-NS/NCCS and BCA-NP/NCCS" section, respectively.

In vivo pharmacokinetic study of BCA-NS/NCCS and BCA-NP/NCCS

The pharmacokinetic characterization of the optimum BCA-NP/NCCS (S6-2) was evaluated compared with crude BCA and the precursor BCA-NS/NCCS (S6-2). This study protocol was approved by the institutional ethics committee of Jiangxi University of Traditional Chinese Medicine. Animal welfare and experimental procedures were performed strictly in accordance with the Guide for the Care and Use of Laboratory Animals and the ethics regulations of Jiangxi University of Traditional Chinese Medicine.

The rats were housed at 25°C±2°C, with 50%±10% relative humidity and a 12-hour light–dark cycle. They were permitted free access to food and water until 12 hours prior to experiments. Male Wister rats with an average weight of 200±20 g were fasted 12 hours before administration.

A total of 18 rats were randomly divided into three groups of six animals each: coarse BCA suspension group, BCA-NS/NCCS group, and BCA-NP/NCCP group. The coarse BCA suspensions group was prepared by suspending the required amounts (10 mg/mL) of BCA in 0.5% Arabic gum aqueous solution. The redispersed BCA-NP/NCCS group was prepared by dispersing 1.5 g of BCA-NP/NCCS powder in 100 mL distilled water (equal to 10 mg/mL of BCA). BCA-NS/NCCS, BCA-NP/NCCS, and coarse BCA groups were orally administered at a dose of 100 mg/kg.

At the predetermined intervals of 0.08, 0.17, 0.5, 1, 1.5, 2, 3, 4, 6, 8, 12, and 24 hours, the blood sample of 0.25 mL was collected by retro orbital puncture. Plasma was obtained from whole blood in a heparinized tube via centrifugation at 4,000 rpm for 15 minutes and was frozen at -20° C until analysis. The plasma concentrations of BCA were determined by a validated HPLC-MS/MS method.⁴¹ Carbamazepine was used as an internal standard (IS). The full scan spectra showed parent ion at m/z 445/269 for BCA and m/z 237/194 for IS. An elution system of acetonitrile and 0.1% formic acid (45:55) was used at a flow rate of 0.2 mL·min⁻¹.

The main pharmacokinetics parameters were calculated by DAS 2.0 software (Mathematical Pharmacology Professional Committee of China). ANOVA analysis was carried out using SPSS Statistics 21 software. Differences were considered as statistically significant if the *P*-value was <0.05.

Results and discussion

Influence of different concentrations of MCC and SCS on particle size of NCCS

The particle sizes $(D_{10}, D_{50}, \text{ and } D_{90})$ of freshly prepared NCC (S1-1) are shown in Figure 3A. The results showed that the mean particle size (D_{50}) of NCC (S1-1) in water was 846.13±0.16 nm, which indicated that MCC could be disintegrated to nanosized particles via high-pressure homogenization. Production of NCC conventionally involves the acid-catalyzed hydrolysis of the cellulosic feedstock using concentrated, strong mineral acids. ⁴² The cellulosic pulp or cotton linters can be usually used as raw materials for preparing NCC, but the properties of the NCC vary dependent on which acid is used for the hydrolysis. ⁴³ MCC has also recently gained interest as a valid intermediate material for producing NCC materials. ⁴⁴ However, the D_{50} of the redispersed NCC particles (S1-1) after storage was 1.213±0.16 μ m. This meant that NCC particles easily formed the aggregation of large particles during storage.

To enhance stability of NCC during homogenization and storage, the water-soluble polymer SCS was introduced

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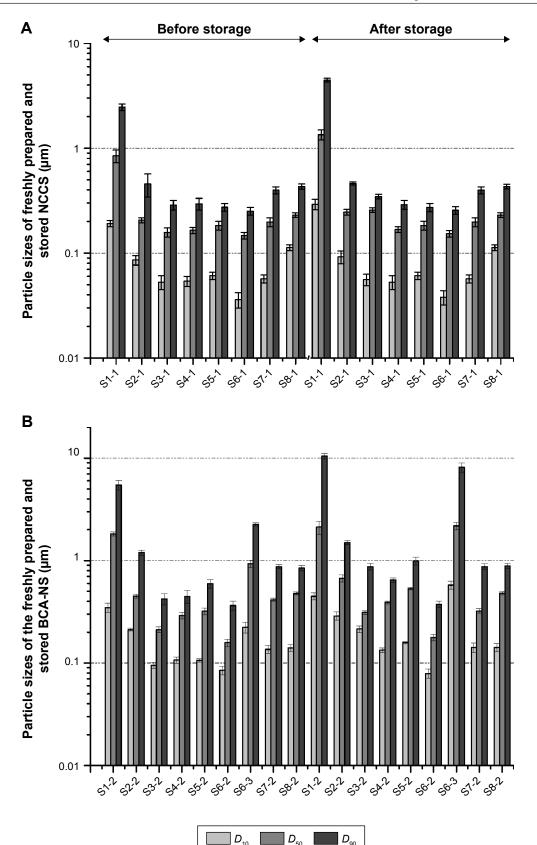


Figure 3 Particle sizes of NCCS (**A**) and BCA-NS/NCCS (**B**) before and after storage. **Note:** D_{10} , D_{50} , and D_{90} are the volumetric diameters where the 10%, 50%, and 90% of the population lies below each value, respectively. **Abbreviations:** BCA, baicalin; NCCS, nanocrystalline cellulose—sodium carboxymethyl starch; BCA-NS, BCA nanosuspension.

into production of NCCS. The particle sizes $(D_{10}, D_{50}, and$ D_{00}) of NCCS (S2-1–S8-1) with different ratios of SCS are shown in Figure 3A. The mean particle size (D_{50}) of coprocessed NCCS (S2-1-S8-1) with different ratios of MCC and SCS was 147.12±0.14 nm-231.12±0.11 nm. The results showed that MCC could be disintegrated into much smaller NCC particles in the presence of SCS compared with those without SCS (S1). The particle sizes $(D_{10}, D_{50}, \text{ and } D_{90})$ of NCCS after short-term storage were not obviously increased compared with those of S1-1. These demonstrated that the presence of water-soluble polymer SCS could improve the particle-reduction efficiency of MCC during homogenization and enhance the suspension stability of NCCS. These were also thought to be related to the zeta potential of NCCS. The zeta potential of NCCS co-processed by different combinations of MCC and SCS was shown in Figure 4. The results showed that the zeta potential (-45.8 to -85.7 mV) of different NCCS was significantly less than that of NCC (-4.8 mV), which could be attributed to the presence of anionic polymer SCS.⁴⁵ The zeta potential of NCCS was decreased with the increased concentration of NCCS.

However, with the increase in SCS ratio in dispersants (S4-1 and S5-1), the particle sizes (D_{10} , D_{50} , and D_{90}) of NCCS were not significantly varied compared with those of NCCS (S3-1). The reason might be that the viscosity of

NCCS was increased along with the increase in SCS ratio, but which could decrease the efficiency of particle size reduction of NCC during homogenization. The particle sizes of the NCCS (S4-1 and S5-1) after short-term storage were not obviously increased compared with those of S3-1. Therefore, the moderate ratio of SCS (S3-1) might favor the formation of NCC during homogenization, which might become as strong as to make NCC stability, due to its steric effect and electrostatic repulsion effect.³¹

Furthermore, with the increase in stabilizer concentration, the particle sizes of NCCS for S7-1 and S8-1 were significantly increased compared with those of S6-1. However, the particle size of S6-1 was not significantly different from that of S3-1. These findings also demonstrated that the viscosity of suspensions was increased along with increase in NCCS concentration, but this could decrease the efficiency of particle size reduction of NCC during homogenization.

Influence of different concentrations of NCCS on stability of BCA-NS/NCCS

The particle sizes $(D_{10}, D_{50}, \text{ and } D_{90})$ of freshly prepared BCA-NS stabilized by different concentrations of NCCS are shown in Figure 3B. The results showed that the D_{50} and D_{90} of BCA suspensions (S1-2) using only NCC as a stabilizer were $1.823\pm0.142~\mu\text{m}$ and $5.458\pm0.142~\mu\text{m}$, respectively,

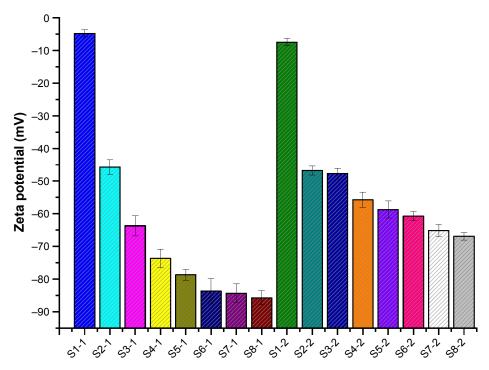


Figure 4 The zeta potential of the freshly prepared NCCS and BCA-NS/NCCS that was co-processed by different ratios of MCC and SCS.

Abbreviations: BCA, baicalin; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA-NS, BCA nanosuspension; MCC, microcrystalline cellulose; SCS, sodium carboxymethyl starch.

which meant that BCA-NS could be not successfully prepared and only depended on NCC.

It could be also seen that the particle size D_{50} of BCA-NS/ NCCS (S2-2, S3-2, S4-2, S5-2, S6-2, S7-2 and S8-2) in water was 212.21±0.14 nm-481±0.23 nm. This demonstrated that BCA could be disintegrated to nanosized particles stabilized by NCCS. However, the particle size of BCA-NS/NCCS (S4-2 and S5-2) was not significantly varied compared with that of NCCS (S3-2). The reason might be that the increased viscosity of NCCS could decrease the efficiency of particle size reduction of BCA (S4-2 and S5-2) during homogenization. Furthermore, the particle sizes of BCA-NS/NCCS for S7-2 and S8-2 were significantly increased compared with those of S6-2. However, the particle size D_{50} of BCA-NS with 0.5% SCS (S6-3) was 913.18±0.21 nm and increased to 2.183±0.74 µm after storage. This indicated that only SCS was not able to effectively stabilize the drug nanocrystals, which was consistent with a previous report.¹⁹ The optimum BCA-NS/NCCS (S6-2) before and after storage exhibited the smallest mean particle size (158±12.9 nm) among all the formulations. Therefore, combination of NCC and SCS could play an important role in stabilizing BCA nanocrystals.

As shown in Figure 4, the zeta potential of BCA-NS/NCCS (S1-2–S8-2) was –46.7 to –66.9 mV. The results showed that with an increase in SCS concentration, the zeta potential value of BCA-NS/NCCS decreased. The zeta potential of the optimum BCA-NS/NCCS (S6-2) was –60.7±1.4 mV, indicating a relatively low zeta potential of less than –30 mV, which meant the good physical stability of nanosuspensions. These findings also demonstrated that the moderate concentration (S6-2) of NCCS could be beneficial for formation and stability of BCA-NS/NCCS,

which might attribute to not only electrostatic repulsion effect but also the moderate viscosity. Therefore, the rheological behavior of different NCCSs was further characterized.

Influence of different concentrations of dispersants on rheological evaluation of NCCS

Rheological behavior (viscosity, modulus values, etc.) of the different NCCSs significantly affects the stability of NCCS and BCA-NS/NCCS during homogenization and storage. The excellent rheological behavior of NCCS can enhance the efficiency of particle size reduction and stability of BCA-NS/NCCS during homogenization. Therefore, the influence of NCCS concentrations on rheological characterization of NCCS was systemically investigated. In this study, the shear thinning behavior, storage modulus (G'), and the loss modulus (G") of different NCCS (S1-1–S8-1) and BCA-NS/NCCS (S1-2–S8-2) suspensions were measured.

As illustrated in Figure 5A, the viscosity of suspension increased with the increase in the ratio of the SCS (S1-1–S5-1) and concentration of NCCS (S6-1–S8-1). Figure 5B also shows that the viscosity of BCA-NS/NCCS also increased with the increase in the ratio of the SCS (S1-2–S5-2) and concentration of NCCS (S6-2–S8-2). In addition, Figure 5 also shows that the influence of shear stress on the viscosity of the NCCS. At a relative lower shear rate (less than 0.1 seconds⁻¹), the applied force might be less than the interaction forces of NCC and SCS. At relatively higher shear rates (more than 10 seconds⁻¹), the applied force became greater than the interaction forces of NCC and SCS. The viscosity of the NCCS decreased with respect to the applied shear rate. The viscoelastic property of the NCCS

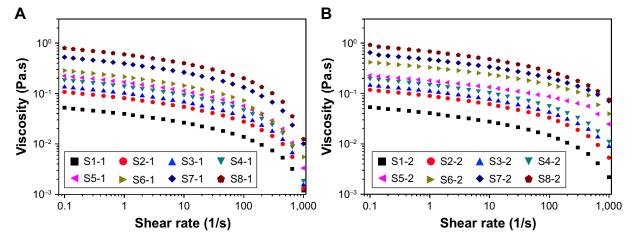


Figure 5 The shear thinning behavior of different NCCS (A) and BCA-NS/NCCS (B).

Abbreviations: BCA, baicalin; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA-NS, BCA nanosuspension.

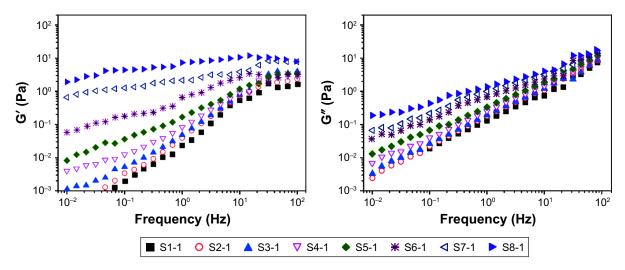


Figure 6 The viscoelastic property of the NCCS (S1-I–S8-I).

Abbreviation: NCCS, nanocrystalline cellulose–sodium carboxymethyl starch.

(S1-1–S8-1) was further characterized by the two dynamic moduli (G' and G"), as illustrated in Figure 6. The results showed that G' increasingly expanded as the concentrations of NCCS increased, which could be attributed to the increased particle–particle interactions of NCC,⁴⁷ and the results also showed that at the lower NCCS concentration levels, G" was higher than G', suggesting rather weak hydrodynamic interactions among the NCC particles. At the higher NCCS concentration levels (S7-1 and S8-1), G' showed higher values than G" at the low frequency region, indicating the shear flow dominated by elastic deformation. Therefore, a high concentration of NCCS (1% and 2%) could exhibit the

gel-like flow behavior, which could be responsible for the larger particle size of BCA-NS/NCCS (S7-2 and S8-2).^{48,49}

Influence of different concentrations of NCCS on stability and dissolution of BCA-NS/NCCS

The dissolution profiles of the freshly prepared BCA-NS/NCCS (S1-2–S8-2) are illustrated in Figure 7A. The results showed that the dissolution curve of BCA-NS/NCCS seemed to be different from that of the coarse BCA. The dissolution curve of BCA-NS/NCCS (S3-2 and S6-2) was significantly different from that of other formulations (S1-2, S2-2, S4-2,

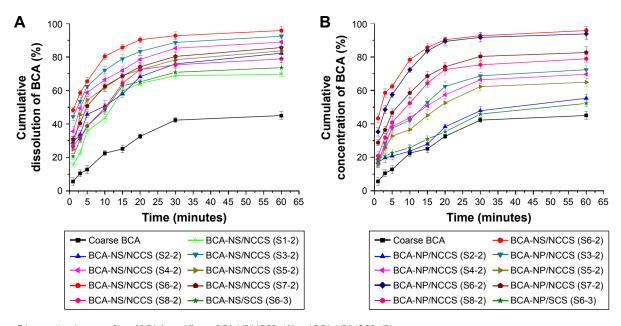


Figure 7 In vitro dissolution profiles of BCA from different BCA-NS/NCCSs (A) and BCA-NP/NCCSs (B).

Abbreviations: BCA, baicalin; BCA-NS, BCA nanosuspension; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA-NP, BCA nanosuspension particle.

S5-2, S6-3, S7-2, and S8-2; $f_2 < 50$). BCA-NS/NCCS (S3-2 and S6-2) exhibited the immediate release (more than 50% at 5 minutes). These findings indicated that in comparison with individual NCC or SCS, the moderate concentration of NCCS could be very important for the stability and dissolution of BCA-NS/NCCS in the suspension state, which might be related to synergetic stabilization effect of NCC and SCS. However, the low concentration of NCCS could not effectively maintain the stability of BCA-NC, which might be responsible for poor dissolution of BCA-NS/NCCS (S1-2 and S2-2). The excessively high concentration of NCCS could significantly raise the viscosity of the BCA-NS/NCCS suspensions (as illustrated in Figures 5 and 6) and reduce the particles size reduction efficiency of BCA-NS/NCCS during homogenization, which could be the reason that the formulations (S4-2, S5-2, S7-2, and S8-2) exhibited the relative poor dissolution profiles.

The RDIs of BCA-NP/NCCS formulations with different ratios of NCCS are shown in Table 2. The results showed that RDI (1.12 ± 0.05) of BCA-NP/NCCS (S6-2) was less than 1.5, which indicated that BCA-NP/NCCS (S6-2) could recover back to the original BCA-NS/NCCS after rehydration. However, the RDIs of other formulations were more than 1.5, which meant that the mean particle sizes of redispersed BCA-NS/NCCS could be increased, due to aggregation of BCA nanocrystals during spray drying. To further evaluate the redispersibility of BCA-NP/NCCS, in vitro dissolution of BCA-NP/NCCS formulations was investigated. The dissolution profiles of spray-dried BCA-NP/NCCS (S2-2-S8-2) are illustrated in Figure 7B. The results showed that the dissolution curve of spray-dried BCA-NP/NCCS (S6-2) seemed to be not significantly different from that of the freshly prepared BCA-NS/NCCS (S6-2; $f_2 > 50$); this was consistent with the RDI result of BCA-NP/NCCS (S6-2). The spray-dried BCA-NP/NCCS (S6-2) exhibited barely compromisingly fast dissolution. However, the dissolution curve of BCA-NS/ NCCS (S3-2, S4-2, or S5-2) was significantly different from that of the freshly prepared BCA-NS/NCCS (S6-2; f_2 <50) but was superior to that of BCA-NS/NCCS (S2-2). However, spray-dried BCA-NP/0.5% SCS (S6-3) exhibited a very poor dissolution compared to BCA-NP/NCCS (S6-2; f_2 <50). As evidenced in Table 2 and Figure 7, 0.5% concentration of NCCS (NCC:SCS=60:40) could enhance the redispersibility

and dissolution of spray-dried BCA-NP/NCCS. However, 1% and 2% concentrations of NCCS could not effectively improve the dissolution of spray-dried BCA-NP/NCCS (S7-2 and S8-2). This compromising dissolution might be related to the larger size and higher viscosity of the redispersed BCA-NS/NCCS, as evidenced by the rheological results of NCCS (Table 2 and Figure 6).

It was concluded that, compared with the individual MCC or SCS, 0.5% concentration of NCCS (NCC:SCS=60:40) as a stabilizer could significantly improve dissolution performance of BCA-NP/NCCS, which might be attributed to (1) the smaller mean diameter of BCA-NS/NCCS during homogenization; (2) the appropriate viscosity, strong electrostatic repulsion, and/or steric barrier effect, which ensured the short-term suspension stability and prevented BCA-NP from aggregation during spray drying; and (3) the excellent swelling ability of SCS, which facilitated the fast disintegration and redispersion of BCA-NP after drying. Therefore, the optimum BCA-NP/NCCS (S6-2) was further characterized as follows.

The morphology of NCCS, BCA-NS/NCCS, and BCA-NP/NCCS

The particle size of NCCS is shown in Figure 8A. NCCS seemed to be a colloidal solution. The mean particle size of NCCS was 147.12±0.14 nm. TEM image of as-obtained NCCS is shown in Figure 8B. The NCCS exhibited the relatively homogeneously rod-like cellulose particles. Aggregation of NCC particles was not found, which could be attributed to presence of SCS. The SCS could effectively maintain the dispersing stability of NCC, as illustrated in Figure 8C.

The particle size of BCA-NS/NCCS is shown in Figure 8D. BCA-NS/NCCS seemed to be suspensions. The mean particle size of BCA-NS/NCCS was ~212.21±0.14 nm. The TEM image of as-obtained NCCS is shown in Figure 8E. The BCA-NS/NCCS seemed to be near-sphere-shaped particles, and the needle-shaped NCC could be found on the surface of BCA nanocrystals. But the particle size of needle-shaped NCC (Figure 8E) adsorbed onto the surface of BCA nanocrystals seemed to be smaller than the original NCCS particle size (Figure 8B). It might be the reason that NCCS was again subjected to homogenization effect during

Table 2 RDI of BCA-NP/NCCS formulations with different ratios of NCCS

	S2-2	S3-2	S4-2	S5-2	S6-2	S7-2	S8-2
RDI	3.35±0.15	2.34±0.08	2.56±0.11	2.82±0.12	1.12±0.05	1.61±0.06	1.89±0.08

Abbreviations: BCA, baicalin; RDI, redispersibility index; BCA-NP, BCA nanosuspension particle; NCCS, nanocrystalline cellulose-sodium carboxymethyl starch.

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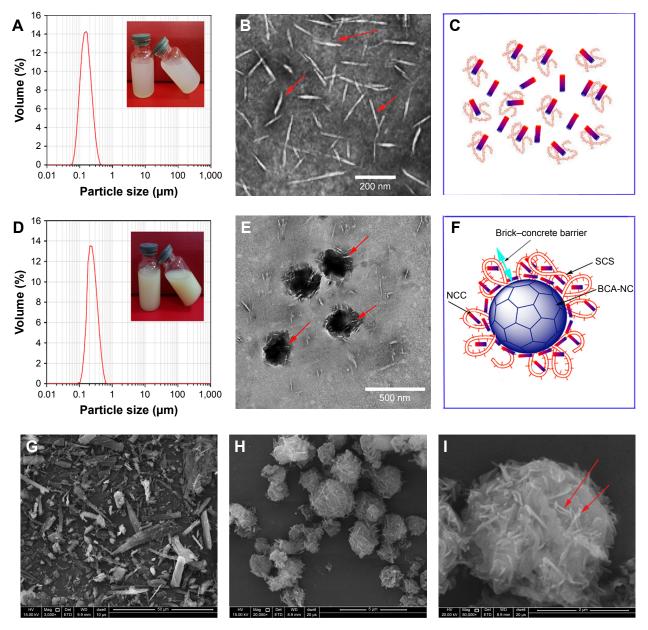


Figure 8 Particle size of NCCS (**A**), TEM morphology of NCCS (**B**), diagram of NCCS (**C**), particle size of BCA-NS/NCCS (**D**), TEM morphology of BCA-NS/NCCS (**E**), diagram of BCA-NS/NCCS (**F**), SEM of coarse BCA (**G**), SEM of BCA-NP/NCCS (20,000×, **H**), and SEM of BCA-NP/NCCS (50,000×, **I**). **Abbreviations:** BCA, baicalin; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; TEM, transmitting electron microscopy; BCA-NS, BCA nanosuspension; SEM, scanning electron microscopy; BCA-NP, BCA nanosuspension particle.

production of BCA-NS/NCCS, which could further lead to the decrease in NCC particle size in the NCCS. As illustrated in Figure 8F, it could be speculated that this NCCS-based synergetic steric stabilizer could form the distinctive "brick—concrete" barrier shell around BCA-NC, and the presence of NCC might enhance the strength of steric barrier of polymer SCS.²⁸ This brick—concrete barrier effect of NCCS could maintain the stability of BCA-NS/NCCS and prevent particles from aggregation, as evidenced by particle size comparison of BCA-NS/NCC (S1-2), BCA-NS/NCCS (S6-2),

and BCA-NS/SCS (S6-3) in Figure 3. Therefore novel NCCS-based nanosuspensions could be named as Pickering nanosuspensions in this study, as referenced to the definition of Pickering emulsions.^{22,23}

Figure 8G–I shows the SEM images of coarse BCA and BCA-NP/NCCS. The results show that coarse BCA appears as a large blocky-shaped particle with particle sizes of 20–50 μ m (Figure 8G). However, the BCA-NP/NCCS seemed to be sphere-shaped particles with particle sizes of 2–5 μ m (Figure 8H). In addition, the presence of NCC on

the surface of BCA-NP/NCCS could be found (Figure 8I). However, owing to their small particle size, BCA-NP/NCCS seemed to be cohesive, poorly flowing, and difficult to process. The flow ability of BCA-NP/NCCS could be further improved in the next work, in order to process into dried nanosuspension form.

Characterization of solid state of NCCS and BCA-NP/NCCS

Figure 9 shows the thermal behavior of raw MCC, SCS, the physical mixture of MCC and SCS, NCCS, coarse BCA, the physical mixture of NCCS as well as BCA, and BCA-NP/ NCCS powders between 30°C and 260°C. As can be seen, MCC showed wide endothermic peak in the investigated range (Figure 9A), which was attributed to its hierarchical organization (crystalline and amorphous fractions). 43,44 SCS and the physical mixture of MCC and SCS all showed wide endothermic peak (Figure 9B and C). NCCS exhibited similar thermal behavior in comparison to that of physical mixture of MCC and SCS, but the enthalpy of NCCS was decreased (Figure 9D). Coarse BCA exhibited a sharp melting peak at 220°C (Figure 9E). The physical mixture of BCA and NCCS exhibited a characteristic melting peak of BCA at 220°C (Figure 9F). For the spray-dried BCA-NP/NCCS, the melting peak to BCA was shifted to 210°C-220°C (Figure 9G),

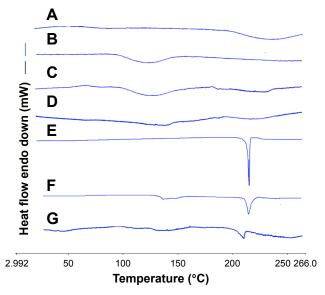


Figure 9 DSC thermograms of raw MCC (**A**), SCS (**B**), the physical mixture of MCC and SCS (**C**), NCCS (**D**), coarse BCA (**E**), the physical mixture of NCCS and BCA (**F**), and BCA-NP/NCCS (**G**).

Abbreviations: DSC, differential scanning calorimetry; MCC, microcrystalline cellulose; SCS, sodium carboxymethyl starch; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA, baicalin; BCA-NP, BCA nanosuspension particle.

which indicated that the thermal behavior of BCA was not significantly changed compared with that of coarse BCA and the physical mixture of BCA as well as NCCS. This indicated that the applied physical treatment during the homogenization and presence of SCS could not alter crystal state of MCC or BCA. However, the enthalpy of NCCS and BCA-NP/NCCS was slightly decreased, possibly attributed to crystal-defect formation and/or particle size reduction.⁵¹

XRD

Figure 10 displays the XRD diffractograms of raw MCC, SCS, the physical mixture of MCC and SCS, NCCS, coarse BCA, the physical mixture of NCCS as well as BCA, and BCA-NP/NCCS powders. Raw MCC exhibited characteristic peaks at 2θ of 16.3° , 22.6° , and 33.7° (Figure 10A), which was a predominance of type I cellulose. 52 SCS did not exhibit characteristic peaks (Figure 10B). The physical mixture of MCC and SCS exhibited the obvious characterization peak of MCC (Figure 10C). NCCS had similar characteristic crystalline peaks (Figure 10D) compared with raw MCC and physical mixture of MCC as well as SCS. There still existed the characteristic peak of NCC at the same 2θ position demonstrating that the crystal state of NCC was not changed during homogenization. The decrease in peak relative intensities was probably attributed to the size reduction of NCC in comparison with that of MCC.

Coarse BCA exhibited crystalline peaks at 2θ of 8.2°, 13.6° , 15.4° , 23.2° , 27.5° , and 28.1° (Figure 10E). The physical mixture of BCA and NCCS showed distinct crystalline peaks similar to coarse BCA (Figure 10F). BCA-NP/NCCS showed distinct crystalline peaks similar to physical mixture of BCA and NCCS (Figure 10G). Peak positions of BCA remained unchanged compared to those of coarse BCA, but with a lower peak intensity, which could be attributed to the aforementioned crystal-defect formation and/or particle-size reduction during homogenization.⁵³

Stability of BCA-NP/NCCS

The stability of the spray-dried BCA-NP/NCCS was studied upon storage for 6 months. The dissolution behaviors of BCA-NP/NCCS stored at 4°C and 25°C were not obviously different compared with those of freshly prepared BCA-NP/NCCS (Figure 11A). As illustrated in Figure 11B, it might be the reason that SCS with a high swelling capability was an effective dispersant to enhance the redispersion and fast dissolution of BCA-NP via a swelling-triggered erosion/disintegration mechanism.⁴⁵ The dissolution behavior of

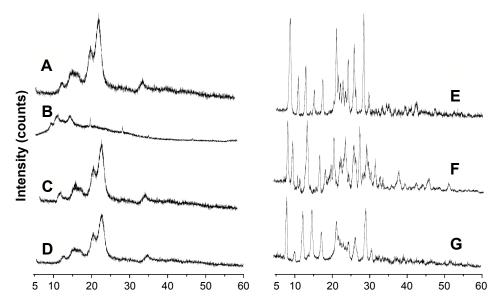


Figure 10 XRD of MCC raw MCC (A), SCS (B), the physical mixture of MCC and SCS (C), NCCS (D), coarse BCA (E), the physical mixture of NCCS and BCA (F), and BCA-NP/NCCS (G).

Abbreviations: XRD, X-ray diffraction; MCC, microcrystalline cellulose; SCS, sodium carboxymethyl starch; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA, baicalin; BCA-NP, BCA nanosuspension particle.

BCA-NP/NCCS stored at 40°C was different compared with that of freshly prepared BCA-NP/NCCS (f_2 <50). However, BCA content of BCA-NP/NCCS was relatively stable at applied storage conditions. It might be the reason that NCCS adsorbing onto the surface of BCA nanocrystals could protect BCA from degradation during storage. 54,55

The RDIs of BCA-NP/NCCS stored at 4°C, 25°C, and 40°C were 1.05±0.06, 1.12±0.05, and 1.65±0.08. Therefore, BCA-NP/NCCS could form large aggregation owing to storage at a high temperature of 40°C. This could be responsible for the compromising dissolution of BCA-NP/NCCS stored at 40°C. The long-term stability of BCA-NP/NCCS and the

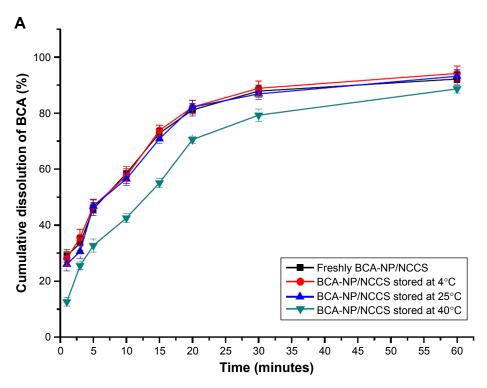


Figure II (Continued)

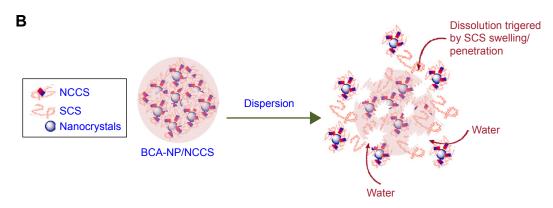


Figure 11 In vitro dissolution profiles of BCA from the spray-dried BCA-NP/NCCS after storage (**A**) and the schematic image on redispersion of BCA-NP/NCCS (**B**). **Abbreviations:** BCA, baicalin; BCA-NP, BCA nanosuspension particle; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; SCS, sodium carboxymethyl starch.

detailed mechanism would be further investigated in the follow-up study.

The in vivo pharmacokinetic evaluation of BCA-NS/NCCS and BCA-NP/NCCS

Pharmacokinetic studies of BCA-NP were investigated in rats in order to investigate whether the BCA-NP/NCCS could improve oral bioavailability of BCA in vivo compared with that of precursor BCA-NS/NCCS and crude BCA. The plasma concentration vs time curves of the BCA-NP, BCA-NS/NCCS, and the crude BCA are shown in Figure 12. After oral administration of these three different formulations, their individual mean plasma concentration—time curve profile showed a biphasic absorption phenomenon, which was attributed to the enterohepatic circulation of BCA.³⁶

It could be seen that the plasma concentrations of BCA-NP/NCCS were significantly higher within 5 hours after

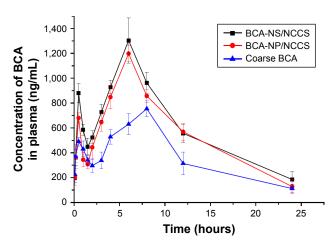


Figure 12 Mean plasma concentration—time profiles in rats after oral administration of coarse BCA, BCA-NP/NCCS, and BCA-NS/NCCS at 100 mg/kg (n=6). **Abbreviations:** BCA, baicalin; BCA-NP, BCA nanosuspension particle; NCCS, nanocrystalline cellulose—sodium carboxymethyl starch; BCA-NS, BCA nanosuspension.

administration compared with those of crude BCA (P<0.05) but not significantly different from those of BCA-NS/ NCCS (P > 0.05). The main pharmacokinetic parameters of the BCA-NP, BCA-NS/NCCS, and the crude BCA are listed in Table 3. The mean peak concentration (C_{max}) of the BCA-NS/NCCS (1,198.46±113.81 µg/L) and BCA-NP/NCCS $(1,304.81\pm123.79 \mu g/L)$ was significantly increased (P<0.05) compared with that of the crude BCA $(630.83\pm86.48 \mu g/L)$. As listed in Table 3, the AUC_(0-x) of the BCA-NP/NCCS (8,773.38 \pm 718.18 μ g/L·h) was 2.01 times (P<0.05) as high as that of the coarse BCA (4,354.61±451.28 μg/L·h). However, the main pharmacokinetic parameters (C_{max} and $AUC_{(0-\infty)}$) of BCA-NP/NCCS were not significantly different from those of BCA-NS/NCCS (P>0.05), which is also consistent with that in the dissolution studies described earlier. BCA-NP/NCCS could easily recover back to the BCA-NS/NCCS in the gastrointestinal tract after oral administration. It could be reason that the reduced particle size of BCA nanocrystals along with an increase in surface area significantly increased the dissolution rate and oral bioavailability of BCA. Therefore, the reduced particle size could play an important role in dissolution and gastrointestinal absorption.56,57

Conclusion

The novel nanosuspensions using co-processed NCCS as a steric stabilizer were successfully prepared by homogenization technology, with the goal of enhancing dissolution of poorly soluble drug. NCCS could be beneficial for formation and stability of BCA-NS/NCCS, which might attribute to the brick—concrete-based steric barrier composed of NCC particles and SCS polymer. Furthermore, the optimum NCCS could completely prevent aggregation of BCA nanocrystals during spray drying and enhance the redispersibility as well as

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Table 3 Main pharmacokinetic parameters of coarse BCA, BCA-NS/NCCS, and BCA-NP/NCCS after oral administration in rats $(n=6, \bar{x}\pm s)$

	Unit	BCA	BCA-NS/NCCS	BCA-NP/NCCS
MRT _(0∞)	Hours	7.92±0.24	7.58±0.51	6.87±1.45*
T _{max}	Hours	7.62±0.89	6.85±1.77	7.24±1.05*
C _{max}	ng·L ^{−I}	630.83±86.48	1,198.46±113.81*	1,304.81±123.79*
t _{1/2}	Hours	5.26±1.29	3.42±0.51	4.57±0.87
AUC ₍₀₋₂₄₎	μg/(L·h)	4,354.61±451.28	8,036.42±510.16**	8,773.38±718.18**

Notes: *P<0.05 and **P<0.01 vs control group. C_{\max} , mean peak concentration.

Abbreviations: BCA, baicalin; BCA-NS, BCA nanosuspension; NCCS, nanocrystalline cellulose–sodium carboxymethyl starch; BCA-NP, BCA nanosuspension particle; $MRT_{(0-\omega)}$, mean residence time; T_{max} , peak time; $t_{1/2}$, half-life time; $AUC_{(0-24)}$, area under the curve.

dissolution of spray-dried BCA-NP/NCCS. The crystal state of NCC and BCA presented in BCA-NP/NCCS remained unchanged during the homogenization. The BCA-NP/NCCS exhibited excellent redispersibility and significantly a fast dissolution rate of BCA. This study demonstrated that novel surfactant-free nanosuspensions could be prepared by co-processed NCCS and also provided a feasible strategy to improve dissolution of poorly soluble drug.

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Disclosure

The authors report no conflicts of interest in this work.

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