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ORIGINAL RESEARCH

# Long-Term Trends in Hospitalization and Outcomes in Adult Patients with Exacerbation of Chronic Obstructive Pulmonary Disease in Beijing, China, from 2008 to 2017

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**Background and Objective:** Chronic obstructive pulmonary disease (COPD) is a leading cause of morbidity and mortality worldwide. We aimed to evaluate the temporal trends in hospitalization and mortality from acute exacerbation of COPD (AECOPD) and the associated financial costs over a 10-year period in Beijing, China.

Methods: Hospital admission records from 2008 to 2017 for all patients aged ≥20 years with a primary discharge diagnosis of AECOPD were retrieved from the Beijing Public Health Information Center Database. Joinpoint regression was used to analyze trends and calculate the annual percentage change (APC) and average annual percent change (AAPC) for AECOPD hospitalization and mortality.

**Results:** A total of 337,802 AECOPD cases were recorded from 2008 to 2017. An inverse U-shaped trend in the AECOPD hospitalization rate was observed, showing an increase from 150.2 per 100,000 inhabitants in 2008 to 218.7 per 100,000 inhabitants in 2014 (APC: 5.5%, 95% CI: 2.9–8.2), before declining to 161.13 per 100,000 inhabitants in 2017 (APC: -9.7%, 95% CI: -16.0 to-2.9). In-hospital mortality from AECOPD decreased significantly from 3.91% to 2.21% (AAPC: -11.4%, 95% CI: -15.5 to-7.0). A decline in the median length of hospital stay from 13.0 days in 2008 to 12.0 days in 2017 ( $P_{\rm trend} < 0.001$ ) was accompanied by a decrease in the use of mechanical ventilation from 2012 to 2017 ( $P_{\rm trend} < 0.001$ ). However, the total hospitalization cost per case increased from 15953.5 yuan (USD \$2281.4) to 19874.5 yuan (\$2842.1) during the same period.

**Conclusion:** AECOPD remains a heavy burden on the health care system in Beijing. Strategies to better manage COPD and reduce hospitalizations from AECOPD are needed.

Keywords: COPD, exacerbation, hospitalization cost, mortality, outcome research

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#### Introduction

Chronic obstructive pulmonary disease (COPD) is a leading cause of morbidity and mortality worldwide. In China, COPD was the third leading cause of death in 2013, accounting for more than 0.9 million deaths. Moreover, the prevalence of spirometry-defined COPD in China increased rapidly from 8.2% in 2002–2004 to 13.7% in 2012–2015. This increasing trend was especially strong among adults aged ≥40 years. The high prevalence of smoking, heavy air pollution, and an aging population have contributed to the heavy burden of COPD in China.

For patients with COPD, most morbidity and mortality result from acute exacerbations. Acute exacerbations of COPD (AECOPD) are pivotal events in the natural history of the disease and are associated with the disease's rapid progression and with impaired quality of life. AECOPD also accounts for most COPD-related health care costs. 5,6 Several efforts, including the development of national guidelines to ensure proper care for patients with COPD, 7,8 have been made to reduce hospitalizations for AECOPD in recent decades in China. Ambient air quality has also improved in recent years (2013-2017) in the country, resulting in substantial reductions in all-cause mortality and hospitalization for AECOPD. 10 In 2015, many metropolitan cities in China adopted the Tobacco Control Regulations, 11 but the effects of these efforts on long-term trends in AECOPD hospitalization and mortality remain unclear.

Therefore, the present study aimed to estimate the time trends in AECOPD hospitalization and mortality in Beijing, the capital of China, using city-wide representative hospital discharge data from 2008 to 2017. We also aimed to evaluate time trends in AECOPD-related parameters, including the use of ventilation, length of hospital stay (LOHS), and economic cost per patient.

#### **Materials and Methods**

#### Data Source

AECOPD hospitalization records were retrieved from a hospital discharge database operated by the Beijing Public Health Information Centre. In Beijing, only secondary- and tertiary-level hospitals can admit inpatients. These hospitals are required to submit the first page of a standardized medical record to the discharge database for each hospital discharge. This page includes data on patient demographic characteristics, hospital name, date of admission, primary discharge diagnosis and corresponding International Classification of Diseases, 10th Revision (ICD-10) code, and standard procedures. All hospitalization records for patients aged ≥20 years with a primary discharge diagnosis of AECOPD (ICD-10 codes J44.0–J44.9) from 2008 to 2017 were included in the current analyses.

The present study was approved by the Research Ethics Board of Beijing Chaoyang Hospital (2018-ke-303). Data were de-identified before analysis. It is impossible to identify patients at the individual level either in this article or in the retrieved database. Given the anonymous and mandatory nature of the data, informed consent was not required or necessary.

### Statistical Analysis

Descriptive statistics are presented as means  $\pm$  standard deviations or medians and interquartile ranges for continuous variables and as frequencies and percentages for categorical variables.

Annual hospitalization rates were calculated by dividing the total number of hospitalizations in a year for AECOPD by the corresponding number of inhabitants in the relevant population group according to the Beijing Municipal Bureau of Statistics. <sup>12</sup> The in-hospital mortality (IHM) rate of AECOPD was also calculated for each year as the proportion of deaths among all hospitalized COPD patients. The age-standardized annual hospitalization rate and the IHM rate for each year were estimated using the direct method, based on the 2010 population census. <sup>13</sup> We also examined the trends in hospitalization and IHM by sex, age group (20–39, 40–59, 60–79, and ≥80 years) and hospital type (secondary and tertiary).

Use of mechanical ventilation (MV), including noninvasive MV or invasive MV, was determined using ICD-10 code J15.501, which was available in the dataset from 2012 to 2017. LOHS and total hospitalization costs were also estimated for each year. Annual hospitalization costs were adjusted for the inflation rate in China with reference to 2017. The annual inflation rate was obtained from the National Bureau of Statistics of China.<sup>14</sup>

The time trend analysis was conducted using Poisson regression models for hospitalization rates and logistic regression models for IHM and MV, with calendar year as the timescale. Time trends for LOHS and total costs were tested using the Kruskal–Wallis test and simple linear regression analysis, respectively. All statistical analyses were performed using SAS 9.4 (Cary, NC, USA). Statistical significance was set as two-tailed P < 0.05.

To identify turning points in the time trends and to estimate the annual percentage change (APC) for age-adjusted hospitalization and IHM rates, the United States National Cancer Institute's Joinpoint Regression Program was used for each time segment. The program assumes that rates changed at a constant percentage every year on a log scale in each time segment. The average annual percent change (AAPC)—a weighted average of APCs from the Joinpoint models, with weights equal to the length of the APC interval—was also computed as a summary measure of the trend over the whole observation period. <sup>15</sup> A two-tailed P value <0.05 was considered statistically significant.

#### **Results**

# AECOPD Hospitalization Rates 2008–2017

We identified 337,802 patient discharge records (63.5% male, n = 213,037), submitted by 78 tertiary hospitals and 77 secondary hospitals, with a primary diagnosis of AECOPD from 2008 to 2017 in Beijing, China. Most patients (69.7%) were admitted to tertiary hospitals. As shown in Table 1 (Figure 1), the overall hospitalization rate increased from 150.15 per 100,000 inhabitants in 2008 to 218.74 per 100,000 in 2014 and then declined to 161.13 per 100,000 in 2017. The hospitalization rate was higher for men than for women and increased with age, with the highest rate seen among patients aged  $\geq$ 80 years (P < 0.001) in each calendar year. Tertiary hospitals had higher hospitalization rates than did secondary hospitals.

## In-Hospital Mortality of Hospitalized Patients with AECOPD 2008–2017

Table 2 (Figure 2) presents IHM for each calendar year. During the study period, the overall IHM among patients with AECOPD decreased significantly from 3.91% to 2.21% ( $P_{trend} < 0.001$ ). IHM increased with age (P < 0.001) and was higher for women than for men (P < 0.001) in each calendar year. IHM was higher in tertiary hospitals than in secondary hospitals in all years except 2008 and 2014.

# Trends in Age-Adjusted AECOPD Hospitalization and IHM Rates 2008–2017

As shown in Table 3, age-adjusted hospitalization for AECOPD increased by 5.5% (95% confidence interval [CI]: 2.9–8.2%, P = 0.003) per year from 2008 to 2014 (APC: 5.5%, 95% CI: 2.9–8.2%, P = 0.003) (Table 3) and then decreased by 9.7% (95% CI: 2.9–16.0%, P = 0.015) per year from 2014 to 2017. The trends in the hospitalization rate differed by sex (Table 3). For men, the rate increased significantly from 2008 to 2014 (APC: 8.0%, 95% CI: 4.9%-11.1%, P = 0.001) and then decreased slightly from 2014 to 2017 (APC: -6.5%, 95% CI: -13.5%-1.1%, P = 0.078), which led to an overall increasing trend (AAPC: 2.9%, 95% CI: 0.4%–5.5%, P = 0.022). For women, the rate peaked early in 2013 and then decreased significantly from 2013 to 2017 (APC: -12.3%, 95% CI: -20.3%–3.4%, P = 0.017), leading to an overall decreasing but non-significant change (AAPC: -3.6%, 95% CI: -7.7% -0.7%, P = 0.099).

Trends in hospitalization rates also varied by age group. A significant trend was observed only among those aged 20–39 years (AAPC: 2.6%, 95% CI: 0.4%–4.8%, P = 0.024). The other three age groups (40–59, 60–79, and  $\geq$ 80 years) had inverse U-shaped trends, which peaked in 2014 for those aged 40–59 and 60–79 years and in 2013 for the oldest age group. From 2008 to 2014, hospitalization rates increased significantly for those aged 40–59 years (APC: 11.5%, 95% CI: 5.8%–17.5%,

**Table I** Hospitalization Rates<sup>a</sup> Among Patients Hospitalized for Acute Exacerbations of Chronic Obstructive Pulmonary Disease, 2008–2017

	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	P
Number	23194	25341	29492	29870	36539	40315	45681	34491	37403	35476	0.227 <sup>b</sup>
In 100,000 habitants	150.15	155.09	172.40	165.02	191.55	200.87	218.74	159.03	167.59	161.13	
Gender											<0.001°
Women	131.86	133.97	147.40	139.78	155.18	156.47	153.52	106.39	106.19	98.91	
Men	166.90	174.30	195.19	187.91	224.74	241.67	279.40	208.02	225.04	221.23	
Age, Years											<0.001°
20–39	8.92	7.43	8.04	8.46	9.31	10.00	8.94	8.64	10.66	10.15	
40–59	49.59	50.71	52.47	52.32	65.87	78.31	94.39	64.67	65.91	58.68	
60–79	687.99	726.66	790.13	741.65	860.85	886.51	975.33	725.30	770.75	726.35	
≥80	2252.33	2273.00	2734.15	2659.42	3002.08	3079.30	3161.54	2198.06	2269.74	2381.07	
Hospital Level											<0.001°
Secondary hospitals	45.03	45.39	51.60	50.23	59.52	60.07	67.18	47.18	49.80	46.60	
Tertiary hospitals	105.11	109.70	120.81	114.79	132.03	140.80	151.57	111.84	117.78	114.53	

**Notes:** <sup>a</sup>Hospitalization rates were calculated by dividing the number of cases per year for the relevant sex/age group by the corresponding number of persons in that population group, age-adjusted based on the 2010 population census. <sup>b</sup>P value for time trend of hospitalization rates estimated using Poisson regression models. <sup>c</sup>P value for subgroup comparisons estimated using Poisson regression models, with adjustment for year.

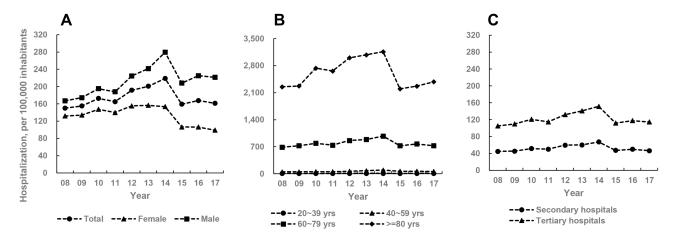


Figure 1 Hospitalization rates among patients hospitalized with acute exacerbations of chronic obstructive pulmonary disease (AECOPD) by gender, age, and hospital type from 2008 to 2017 in Beijing, China. Data presented as annual hospitalization rates calculated by dividing the total number of hospitalizations for AECOPD in a year by the corresponding number of inhabitants in that population group according to the Beijing Municipal Bureau of Statistics. (A) Overall and gender-specific hospitalization rates.

(B) Age-specific hospitalization rates. (C) Hospital type-specific hospitalization rates.

P=0.003) and 60–79 years (APC: 4.8%, 95% CI: 1.9%–7.8%, P=0.007). From 2014 to 2017, the rate decreased significantly for those aged 60–79 years (APC: -8.8%, 95% CI: -15.8%–1.1%, P=0.033) and nominally for those aged 40–59 years (APC: -12.7%, 95% CI: -24.9%–1.5%, P=0.068). However, for those aged  $\geq$ 80 years, the inverse U-shaped trend was not significant overall or for any time segment. The magnitude of change was largest for those aged 40–59 years.

Inverse U-shaped trends in hospitalization rates were observed for both secondary and tertiary hospitals.

However, from 2008 to 2014, a faster increase was observed in secondary hospitals (APC: 6.0%, 95% CI: 3.0-9.2%) than in tertiary hospitals (APC: 5.3%, 95% CI: 2.7-7.9%), and a faster decrease was seen in secondary hospitals (APC: -11.3%, 95% CI: -18.5%-3.6%) than in tertiary hospitals (APC: -8.9%, 95% CI: 15.3%-2.1%) from 2014 to 2017.

In contrast to the findings for hospitalization rates, IHM from AECOPD decreased significantly throughout the study period (AAPC: -11.4%, 95% CI: -15.5–-7.0, P < 0.001). Among women, IHM decreased slowly from

**Table 2** In-Hospital Mortality<sup>a</sup> Among Patients Hospitalized for Acute Exacerbations of Chronic Obstructive Pulmonary Disease, 2008–2017

	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	P
Number	907	884	1147	962	1150	1252	1316	832	899	785	<0.001 <sup>b</sup>
IHM	3.91	3.49	3.89	3.22	3.15	3.11	2.88	2.41	2.40	2.21	
Gender											<0.001°
Women	3.80	3.71	4.06	3.17	3.10	3.36	3.36	2.87	2.73	2.59	
Men	3.99	3.33	3.77	3.26	3.18	2.95	2.63	2.18	2.25	2.04	
Age, Years											<0.001°
20~39	0.00	0.00	0.00	0.29	0.00	0.00	0.00	0.00	0.00	0.00	
40~59	0.97	0.73	1.13	0.87	0.49	0.63	0.29	0.49	0.34	0.28	
60~79	2.93	2.47	2.87	2.01	2.02	2.06	1.72	1.37	1.25	1.13	
≥80	6.97	6.40	6.38	5.66	5.49	5.19	5.17	4.33	4.45	4.07	
Hospital Level											<0.001°
Secondary hospitals	4.06	2.92	3.67	2.76	2.95	2.90	2.92	2.45	2.15	2.10	
Tertiary hospitals	3.85	3.72	3.98	3.42	3.24	3.20	2.86	2.39	2.51	2.26	

**Notes:** aln-hospital mortality was calculated by dividing the number of deaths among hospitalized subjects in the relevant sex/age group by the corresponding number of persons in that population group according to the Bureau of Statistics of China's 2010 data. <sup>b</sup>P value for time trend of IHM estimated using logistic regression models. <sup>c</sup>P value for subgroup comparisons estimated using logistic regression models, with adjustment for year.

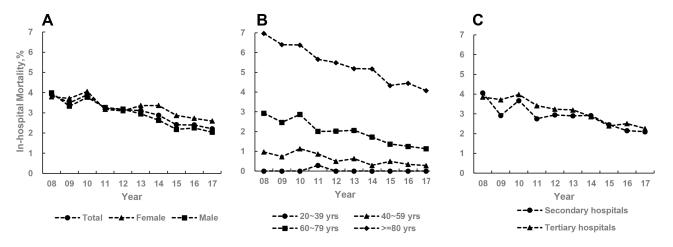


Figure 2 In-hospital mortality among patients hospitalized acute exacerbations of chronic obstructive pulmonary disease from 2008 to 2017 in Beijing, China. (A) Overall and gender-specific in-hospital mortality. (B) Age-specific in-hospital mortality. (C) Hospital type-specific in-hospital mortality.

2008 to 2014 (APC: -7.0%, P = 0.002) and then decreased rapidly from 2014 to 2017 (APC: -24.0%, P = 0.006). The largest IHM decrease was observed among those aged 20–59 years (APC: -13.2%, P = 0.012) followed by those aged 60–79 years (APC: -9.4%, P < 0.001) and then those aged  $\geq 80$  years (APC: -6.2%, P < 0.001). Interestingly, changes in IHM were similar for secondary and tertiary hospitals (APC: -11.4% vs -11.1%, respectively).

## Trends in Mechanical Ventilation, LOHS, and Costs, 2008–2017

MV use rapidly increased, from 16.3% in 2012 to 22.1% in 2014, and then decreased to 11.97% in 2017. During the same period, average LOHS dropped from 16.7 days to 13.2 days ( $P_{\rm trend} < 0.001$ ), and the median cost of each AECOPD hospitalization dramatically increased, from 10875.1 yuan (USD \$1555.1) in 2008 to 14,698.4 yuan (\$2101.9) in 2017, after correcting for inflation ( $P_{\rm trend} < 0.001$ , Table 4).

#### **Discussion**

In this representative sample of hospitalization records of patients with AECOPD in Beijing, China, from 2008 to 2017, we identified a marked shift in the rate of hospitalization for AECOPD, with an increasing trend from 2008 to 2014 followed by a decreasing trend. In contrast, IHM from AECOPD decreased significantly over the 10-year period, and this shift was accompanied by an increasing average cost per case. Interestingly, the use of MV and LOHS decreased over time.

The increasing rates of hospitalization for AECOPD from 2008 to 2014 might be caused by increased prevalence rates of COPD and smoking and by population aging.4,16 Improvements in economic status and health insurance may also partly contribute to the increasing burden. 17-19 The downward trend in hospitalization rates since 2014 might be linked to the improved management of stable COPD and improvements in the treatment of AECOPD. The implementation of several public policies might also be attributable to the decreasing hospitalization rates during this period. In 2013, the Air Pollution Prevention and Control Action Plan (APPCAP) was launched by the Chinese Government to curb air pollution and reach target concentrations by 2017.9 Further, a previous study has reported a significant association between improvements in overall air quality and the declining rates of hospitalization for AECOPD from 2013 to 2017 in Beijing. 10 In addition, the "Beijing Tobacco Control Regulation" was adopted in 2015, and the prevalence of cigarette smoking then declined in 2016 in Beijing (from 23.4% in 2015 to 22.3% in 2016). 11 The long-term effect of these policies on COPD warrants continuous documentation and further investigation.

In the current study, men had higher AECOPD hospitalization rates than did women, which is in line with previously reported findings in Spain<sup>20</sup> but not in the United States.<sup>21</sup> The substantially higher hospitalization rate in women compared to men might mainly due to the significantly higher prevalence of COPD in men (11·9%) than those in women (5.4%).<sup>4</sup> We also revealed genderspecific time trends in hospitalization rates. For men, the hospitalization rate increased over the 10-year study

Table 3 Trend Analysis of Hospitalization Rates and in-Hospital Mortality for Acute Exacerbations of Chronic Obstructive Pulmonary Disease (AECOPD) by Age, Sex, and Hospital Туре, 2008-2017

	Rate (95% CI) <sup>a</sup>		Rate Difference	Overall Trend		Trend Segment 1 <sup>b</sup>	nent I b		Trend Segment2	lent2	
	2008	2017	(95% CI)	AAPC (95% CI)	۵	Period	APC (95% CI)	Ь	Period	APC (95% CI)	٩
Incidence											
Total	150.1 (143.4, 56.9)	161.1 (154.8, 167.5)	-11.0 (-11.4, -10.6)	0.2 (-2.0, 2.5)	0.865	2008–2014	5.5 (2.9, 8.2)	0.003	2014–2017	-9.7 (-16.0, -2.9)	0.015
Sex Women Men	131.9 (123.0, 41.0) 166.9 (156.9, 77.0)	98.9 (92.2, 106.0) 221.2 (210.7, 231.8)	32.9 (30.8, 35.0) -54.3 (-53.8, -54.8)	-3.6 (-7.7, 0.7) 2.9 (0.4, 5.5)	0.099	2008–2013	3.9 (–2.9, 11.3) 8.0 (4.9, 11.1)	0.206	2013–2017	-12.3 (-20.3, -3.4) -6.5 (-13.5, 1.1)	0.017
Age Group 20–39 40–59 60–79 ≥80	8.9 (7.1, 10.7) 49.6 (45.5, 53.6) 688.0 (665.4, 710.6) 2252.3 (2167.1, 337.6)	10.1 (8.1, 12.2) 58.7 (54.5, 62.9) 726.3 (705.6, 747.1) 2381.1 (2316.4, 2445.8)	-1.2 (-1.5, -1.0) -9.1 (-9.2, -9.0) -38.4 (-40.2, -36.5) -128.7 (-149.3, -108.2)	2.6 (0.4, 4.8) 2.7 (-1.9, 7.6) 0.1 (-2.4, 2.6) -0.3 (-6.1, 5.7)	0.024 0.254 0.952 0.912	2008–2017 2008–2014 2008–2014 2008–2013	26 (0.4, 4.8)° 11.5 (5.8, 17.5) 48 (1.9, 7.8) 6.9 (-3.7, 18.6)	0.024 0.003 0.007 0.163	2014-2017 2014-2017 2013-2017	-12.7 (-24.9, 1.5) -8.8 (-15.8, -1.1) -8.6 (-18.7, 2.6)	0.068 0.033 0.102
Institute Level											
Secondary hospitals Tertiary hospitals	45.0 (41.4, 48.8) 105.1 (99.5, 110.8)	46.6 (43.5, 49.9) 114.5 (109.1, 120.0)	-1.6 (-2.1, -1.1) -9.4 (-9.6, -9.2)	-0.1 (-2.7, 2.5) 0.3 (-1.9, 2.6)	0.935	2008–2014 2008–2014	6.0 (3.0, 9.2) 5.3 (2.7, 7.9)	0.004	2014–2017	-11.3 (-18.5, -3.6) -8.9 (-15.3, -2.1)	0.014
In-Hospital Mortality											
Total	1.1 (0.8, 1.6)	0.4 (0.2, 0.7)	0.7 (0.5, 0.9)	-11.4 (-15.5, -7.0)	<0.001	2008–2017	-11.4 (-15.5,-7.0) <sup>c</sup>	<0.001			
Sex Women Men	1.1 (0.6, 1.9) 1.1 (0.7, 1.8)	0.3 (0.1, 1.0) 0.4 (0.2, 0.7)	0.8 (0.4, 0.9) 0.7 (0.5, 1.1)	-13.1 (-16.6, -9.4) -12.3 (-18.9, -5.2)	<0.001	2008–2014	-7.0 (-9.8, -4.2) -12.3 (-18.9, -5.2) <sup>c</sup>	0.002	2014–2017	-24.0 (-34.8, -11.4)	0.006
Age Group 20–59 60–79 ≥80	0.8 (0.4, 1.4) 2.2 (1.7, 2.8) 6.8 (5.8, 8.0)	0.2 (0.0, 0.6) 0.9 (0.7, 1.2) 3.8 (3.3, 4.4)	0.6 (0.4, 0.8) 1.3 (1, 1.6) 3.0 (2.5, 3.6)	-13.2 (-21.5, -4.0) -9.4 (-11.6, -7.1) -6.2 (-7.1, -5.2)	0.012 <0.001 <0.001	2008–2017 2008–2017 2008–2017	-13.2 (-21.5, -4.0) <sup>c</sup> -9.4 (-11.6, -7.1) <sup>c</sup> -6.2 (-7.1, -5.2) <sup>c</sup>	0.012 <0.001 <0.001			
Hospital Level Secondary hospitals Tertiary hospitals	1.0 (0.5, 1.9) 1.2 (0.8, 1.8)	0.4 (0.1, 1.0)	0.6 (0.3, 1.0) 0.8 (0.5, 1.0)	-11.4 (-16.6, -6.0) -11.1 (-16.9, -4.8)	0.002	2008–2017	-11.4 (-16.6, -6.0) <sup>c</sup> -11.1 (-16.9, -4.8) <sup>c</sup>	0.002			

Notes: APC denotes annual percentage change; AAPC denotes average annual percent change. \*Per 100,000 inhabitants for AECOPD hospitalization rates and per 100,000 inhabitants for AECOPD in-hospital mortality, age-adjusted based on the 2010 population census. \*Trend segment identified by Joinpoint regression. \*APC = AAPC when trend had 0 Joinpoints.

**Table 4** Use of Mechanical Ventilation, Length of Hospital Stay (LOHS), and Costs for Acute Exacerbations of Chronic Obstructive Pulmonary Disease, 2008–2017

	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	P
Mechanical Ventilation					2021	2500	2744	1442	1025	1.400	10.0013
N,%					2031 (16.33%)	2588 (20.81%)	2746 (22.08%)	1663 (13.37%)	1825 (14.68%)	1489 (11.97%)	<0.001 <sup>a</sup>
Average hours of receiving											
mechanical ventilation,											
hours											
Mean			—	l —	255.23	237.65	228.47	244.95	220.83	233.57	0.118 <sup>b</sup>
SD	l —			l —	435.35	443.97	333.56	309.20	273.13	273.96	
Median			—	l —	130	138	137	147	140	139	
Q1–Q3					50–300	55–277	67–282	64–311	48–287	57–296	
LOHS, Days											
Mean	16.71	16.22	15.75	15.42	14.44	14.05	14.18	13.91	13.50	13.18	<0.001 <sup>b</sup>
SD	21.80	23.60	15.61	22.93	13.30	13.03	11.33	9.84	8.62	8.04	
Median	13	13	13	12	12	12	12	12	12	12	
QI	9	9	9	8	8	8	8	8	8	8	
Q3	19	18	18	17	17	17	17	17	16	16	
Cost <sup>d</sup> , CNY											
Mean	15953.5	18483	19982.9	19205.1	18988	19163	19482	20012.5	20220.8	19874.5	
SD	22542.2	30501.1	39812.5	33546.2	29203.9	27404.4	26977.3	24136.5	22693.4	21485.9	
Median	10875.1	12120.9	13021.6	12747.1	13035.4	13544.9	13718.6	14615.1	14777.7	14698.4	<0.001°
QI	6378.3	7079.5	7815.3	7989.9	8262.8	8940.5	9366.5	9809.6	10194.2	10282.3	
Q3	18064.8	20493.5	21494.1	20229.4	20572.1	21199.3	21137.8	22238	23044.1	22470.3	

**Notes:** — indicates that data were unavailable. <sup>a</sup>P value for time trend estimated using logistic regression models. <sup>b</sup>P value comparing medians over the study period calculated using the Kruskal–Wallis test. <sup>c</sup>P value for time trend estimated using simple linear regression analysis. <sup>d</sup>All costs shown are adjusted for the increment of inflation in 2017.

period, whereas, for women, the hospitalization rate decreased from 2013 to 2017. The upward trend in hospitalization rates for men may be caused by men's higher prevalence of smoking and COPD.<sup>4,16</sup> The downward trend observed for women may reflect women's higher levels of awareness and treatment and better compliance with disease management.<sup>22</sup> We also found an inverse U-shaped trend in hospitalization rates for all age groups except those aged 20–39 years, whose rate continued to increase from 2008 to 2017. This increasing rate may be partly explained by an increased prevalence of COPD<sup>4</sup> and early detection of COPD in this age group.

Compared with secondary hospitals, in tertiary hospitals, the rate of hospitalization for AECOPD was higher over the study period and decreased more slowly from 2014 to 2017. The difference by hospital type was likely driven by the fact that patients in China prefer higher-level hospitals and can access the system at any level because there are no formal gatekeepers in the hospital care system.<sup>23</sup> In 2013, regional medical alliances were established by all hospitals in each district of Beijing in an effort to deliver hierarchical care to

patients. <sup>18</sup> These alliances aim for primary and secondary hospitals to have more patients with less severe conditions and for tertiary hospitals to focus on more serious and complicated cases and treat fewer patients with common conditions. However, our results did not reveal improvements in terms of overcrowding in tertiary hospitals or the underutilization of lower-hospitals for AECOPD 4 years after the establishment of the regional medical alliances.

IHM from AECOPD declined over the study period in Beijing. Similar declining trends have been reported in previous studies using hospital discharge databases in other countries. However, IHM from AECOPD was higher in other countries than in Beijing. Beijing's success in terms of IHM may be explained by a number of factors, including increased medical care quality and effectiveness of treatment for AECOPD. It is also possible that the severity of AECOPD declined, resulting in better patient survival rates. Improvements in air quality in Beijing in the past 5 years may also have contributed to the decreasing IHM.

Consistent with previous studies, we found that IHM increased with age in all calendar years and was higher for

women in all years except 2008, 2011, and 2012. <sup>20,21</sup> From 2014 to 2017, an especially strong declining trend was observed among those aged 20–59 years and a more dramatic decrease was seen among women. These downward trends in IHM may indicate that younger and female patients with severe AECOPD are more likely to benefit from improved inpatient care. The similarity in declining trends found in secondary and tertiary hospitals indicates equal improvements over time in AECOPD treatment in both types of facilities. In addition, it was found higher IHM in women than those in men during the study period. In this largely descriptive analysis, we were unable to identify the sources which explained the differences. Future research is needed to elucidate the determinants of gender differences.

The downward trend in IHM was accompanied by declining use of MV, shorter LOHS, and higher hospitalization costs. Similar trends have previously been reported in other countries. 20,26 Researchers have demonstrated that noninvasive MV can reduce the need for invasive MV, decrease LOHS, and improve IHM, leading to recommendations for noninvasive MV as a first-line ventilation modality for acute respiratory failure caused by AECOPD.<sup>27,28</sup> We could not assess differences between noninvasive ventilation and invasive MV due to lack of related information. Noticeably, the rates of receiving MV were lower in our research than those reported in the United States (from <15.0% in 2001 to about 20.0% in 2011),<sup>26</sup> but higher than those in Spain (from 1.4% in 2006 to 2.9% in 2010). 20 Higher rates of MV might reflect that the enrolled patients were more severe. However, it was observed that relatively lower IHM in our present study (2.1% in 2017) than those in the other two studies (3.9% in 2010 in the United States and 4.5% in 2010 in Spain, respectively). 20,26 Because of cultural perception or affordability, certain dying or terminal patients in China might withdraw from hospitalized treatment, which could underestimate the IHM rates.

Median hospitalization costs increased during the study period, despite a decrease in LOHS, even after adjusting for inflation, which may point to increases in the availability and utilization of diagnostic and treatment modalities, leading to rising health care costs in Beijing over the past decade. The overall cost of care at the patient level has also been shown to be associated with COPD severity and number of comorbidities.<sup>29,30</sup> Unfortunately, our database did not allow us to stratify patients with AECOPD by severity or number of comorbidities at admission.

A similar city-wide study conducted by Liu et al in Dalian, China, reported the temporary trends of patients with COPD from 2005 to 2015, showing the increase in the hospitalization rate. 31 With regard to their enrolled cases with both primary diagnosis and up to 5 secondary diagnoses of COPD (ICD codes J40-J44.9, J47.0, J47.1, and J47.9), the findings reported by Liu et al were not directly comparable to our results which focus on the cases with primary diagnosis of AECOPD (ICD-10 codes J44.0-J44.9). However, Liu et al were also found similar trends to our findings, with a decline in the median LOS and an increase in the total hospitalization cost per case.<sup>31</sup> Altogether, these findings demonstrate that COPD remains a heavy burden on the health care system in China. Because hospitalizations for AECOPD are responsible for most of the expenses related to the disease. 5,30 strategies to prevent admission/readmission among patients with COPD are crucial for reducing the economic burden of COPD.

A major strength of our study was that we used representative data to examine 10-year trends in hospitalization and outcomes for AECOPD among adults aged 20 years and older in Beijing. Moreover, we used the Joinpoint Regression Program to identify points where these trends changed and to estimate the APC for the purpose of exploring underlying reasons, such as changes in environmental risk factors and the implementation of specific policies or programs at certain time points. However, our study also had several limitations. First, like all studies using data from medical records, our analyses were limited to the variables that were available in the database. Although hospital readmissions for AECOPD contribute to the increasing costs of COPD, 5,30 we could not determine whether a hospitalization record was for a patient experiencing their first episode of exacerbation or recurrent exacerbations, because the database contained no unique subject identifier. We were therefore also unable to assess the AECOPD readmission rate. Second, the present time trend analyses of disease rates were ecological descriptive analyses, which are inevitably subject to the ecological fallacy. Therefore, all etiological interpretations require further confirmation by analytic epidemiological studies. Third, it is possible that the younger cases hospitalization for AECOPD in the 20–39 years age-subgroup had alternative diagnoses, which might lead to misclassification bias. Given the relatively low proportion of this age subgroup in the total population (3476/337,802, 1.03%), this bias had less impact on the main findings. Finally, the data are from Beijing, and our findings may not be generalizable to patients with AECOPD in other provinces of China. Future studies

using nationwide records are warranted to better reflect the burden of this condition in China as a whole.

#### **Conclusions**

This study provides clear and valid data indicating a distinct shift in hospital admission rates for AECOPD in Beijing, China, from 2008 to 2017. In addition, concomitant reductions in IHM and LOHS for AECOPD and increasing hospitalization costs were identified. Future strategies to reduce the burden of hospitalizations for COPD are needed.

#### **Abbreviations**

COPD, chronic obstructive pulmonary disease; AECOPD, acute exacerbation of COPD; APC, annual percentage change; AAPC, average annual percent change; CI, confidence interval; LOHS, length of hospital stay; ICD-10, International Classification of Diseases, 10th Revision; MV, mechanical ventilation.

## Ethics Approval and Informed Consent

This study was approved by the Research Ethics Board of Beijing Chaoyang Hospital (2018-ke-303). Data were deidentified before analysis. Given the anonymous and mandatory nature of the data, informed consent was not required or necessary.

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#### **Author Contributions**

LLR conceived and designed the study. LLR performed the statistical analyses and drafted the manuscript. CWL and ZHT supervised the data analysis and edited the manuscript. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

#### **Disclosure**

The authors declare that they have no competing interests in this work.

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