

# Astragaloside IV Supplementation Promotes A Neuroprotective Effect in Experimental Models of Neurological Disorders: A Systematic Review

Ianara M. Costa<sup>1</sup>, Francisca O.V. Lima<sup>1</sup>, Luciana C.B. Fernandes<sup>1</sup>, Bianca Norrara<sup>1</sup>, Francisca I. Neta<sup>1</sup>, Rodrigo D. Alves<sup>1</sup>, José R.L.P. Cavalcanti<sup>1</sup>, Eudes E.S. Lucena<sup>1</sup>, Jeferson S. Cavalcante<sup>2</sup>, Amália C.M. Rego<sup>3</sup>, Irami A. Filho<sup>3</sup>, Dinalva B. Queiroz<sup>3</sup>, Marco A.M. Freire<sup>1</sup> and Fausto P. Guzen<sup>1,3,\*</sup>

<sup>1</sup>Laboratory of Experimental Neurology, Department of Biomedical Sciences, Faculty of Health Sciences, University of the State of Rio Grande do Norte (UERN), Mossoró/RN, Brazil; <sup>2</sup>Laboratory of Neurochemical Studies, Center of Biological Sciences, Federal University of Rio Grande do Norte (UFRN), Natal/RN, Brazil; <sup>3</sup>Post Graduation Program in Biotechnology, Health School, Potiguar University (UnP), Natal/RN, Brazil

**Abstract: Background:** Neurological disorders constitute a growing worldwide concern due to the progressive aging of the population and the risky behavior they represent. Herbal medicines have scientific relevance in the treatment of these pathologies. One of these substances, Astragaloside IV (AS-IV), is the main active compound present in the root of *Astragalus membranaceus* (Fisch.) Bge, a Chinese medicinal herb with neuroprotective properties.

**Objective:** In the present study we performed a systematic review that sought to comprehend the neuroprotective effect presented by AS-IV in experimental models of neurological disorders.

**Method:** This study is a systematic review, where an electronic search in United States National Library of Medicine (PubMed), Science Direct, Cochrane Library, Scientific Electronic Library Online (SciELO), Scopus, Web of Science, Medline via Proquest and *Periodicos Capes* databases covering the years between 2007 and 2017, using “Astragaloside IV” and “Neurodegenerative diseases”; “Astragaloside IV” and “Neurological disorders” as reference terms was made.

**Results:** A total of 16 articles were identified, in which the efficacy of AS-IV was described in experimental models of Parkinson’s disease, Alzheimer’s disease, cerebral ischemia and autoimmune encephalomyelitis, by improving motor deficits and/or neurochemical activity, especially antioxidant systems, reducing inflammation and oxidative stress.

**Conclusion:** The findings of the present study indicate that the administration of AS-IV can improve behavioral and neurochemical deficits largely due to its antioxidant, antiapoptotic and anti-inflammatory properties, emerging as an alternative therapeutic approach for the treatment of neurological disorders.

**Keywords:** Neurological disorders, Parkinson’s disease, Alzheimer’s disease, cerebral ischemia, astragaloside IV, brain.

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## 1. INTRODUCTION

In neurological disorders, functional or sensory loss occurs due to lesions in neuronal cells, in addition to other environmental or genetic factors that also contribute to this condition. Oxidative stress, caused by the attack of free radicals on these cells, is an important factor associated with neurodegeneration. Excess of reactive oxygen species (ROS)

and imbalanced metabolism are involved in a number of neurodegenerative diseases, such as Alzheimer’s disease, Parkinson’s disease as well as other neurological conditions such as traumatic lesion and stroke [1-3].

Neurological disturbances constitute a growing worldwide concern due to the progressive aging of the population and the risky behavior they represent. These are pathologies that affect the central (CNS) and peripheral (PNS) nervous systems, including epilepsy, Alzheimer’s disease and other dementias, cerebrovascular diseases including stroke, migraine and other headache disorders, multiple sclerosis, Parkinson’s disease, neuroinfections, brain tumors, traumatic

\*Address correspondence to this author at the Laboratory of Experimental Neurology, Department of Biomedical Sciences, Faculty of Health Sciences, University of the State of Rio Grande do Norte, Mossoró/RN, Brazil; Tel/Fax: +55 84 987087847; E-mail: [fauguzen@usp.br](mailto:fauguzen@usp.br)

disorders of the nervous system due to head trauma and neurological disorders as a result of malnutrition [4-6].

Millions of people around the world are affected by neurological disorders. Estimates suggest that more than 6 million people die from stroke every year; more than 50 million people have epilepsy worldwide; an overall average of 47.5 million people live with dementia and about 7.7 million new cases are recorded annually, of which Alzheimer's disease (AD) is the most common cause of dementia, accounting for 60% to 70% of cases. The prevalence of migraines occurs in more than 10% of the world population [7].

The economic impact of treatment is also high with disproportionately scarce neurological services and resources, which can be determinant for patient survival. Studies show that more than 80% of stroke patients die in low- and middle-income countries [8]. In the United States (USA) alone, the combined annual costs of these diseases total nearly \$800 billion, which is likely to increase in the coming years due to the aging of the population, resulting in a severe economic burden [9].

Recent advances in understanding the pathophysiological mechanisms related to neurological disorders point to new strategies in drug development. Animal models have contributed considerably to these advances and play an even greater role in the evaluation of possible drugs with therapeutic potential, not only to alleviate these pathologies but to modify the disease process [10]. Rodents are suitable models for such studies because of their very well-characterized brain organization and the magnitude of information focusing on altered states of the nervous system [11-18].

In the recent decades, interest in natural products has increased significantly, with a concomitant expansion of the use of herbal medicines [19], some of which present a neuroprotective effect. Astragaloside IV (AS-IV), 3-O-beta-d-xylopyranosyl-6-O-beta-d-glucopyranosyl-cycloastragenol ( $C_{41}H_{68}O_{14}$ , Molecular Weight 784.97) (Fig. 1), a triterpenoid saponin present in the root of the *Astragalus membranaceus* (Fisch.) Bge, is a phytotherapeutic described as the dry root of legumes belonging to the Fabaceae family, subfamily Faboideae and native to China. Used in traditional Chinese culture [20], it was first described in the Chinese book Shen Nong Ben Cao Jing in AD 200 with a range of beneficial effects and without toxicity [21, 22].

The biological and pharmacological properties of AS-IV include a potent protective effect in pathologies due to its wide range of beneficial actions, such as antioxidant, antiviral, antibacterial [23, 24], anti-inflammatory, antifibrotic, antiasthmatic, antidiabetic, immunoregulation and cardioprotective effects. It has been seen as avoiding myocardial failure in rats [20, 25], being capable of increasing metabolism, improving the immune system, digestion and promoting the healing of wounds and injuries [26]. It possesses a moderate penetration in the blood-brain barrier (BBB) [27, 28].

Herbal medicines have scientific relevance in the treatment of neurological disorders, as they contain multiple compounds and phytochemicals with potential neuroprotective effects, with consequent benefits to promote health in different neuropsychiatric and neurodegenerative disorders

[29, 30]. In light of this, AS-IV is important due to its above-cited action on nerve regeneration and functional recovery in animals, in addition to its neuroprotective activity [31, 32]. The present study aimed to evaluate reports on the neuroprotective effect of AS-IV in experimental neurodegenerative disorder models based on a systematic review of the literature.

## 2. METHODS

### 2.1. Databases and Keywords

The present study comprises a systematic review of the literature concerning the effects of AS-IV on experimental models of neurological disorders and neurodegenerative diseases that were published between the years of 2007 and 2017. It was developed based on previously established stages of search, identification, selection and eligibility strategies. The search strategy in the databases was performed using the terminologies referring to the search, filters and descriptors for articles published in eight databases: PubMed, Science Direct, Cochrane Library databases, SciELO, Scopus, Web of Science, Medline via Proquest and *Periodicos Capes* (the latter is a Brazilian database that gathers several International Journals). The terms used for the research were previously selected considering the technical vocabulary used to index articles in the field of health sciences, the Medical Subject Headings (MeSH) of the US National Library of Medicine (NLM) through which the descriptors "Astragaloside IV", "Neurodegenerative diseases", and "Neurological disorders" were identified. These keywords were used together in the search, seeking to detect all studies that analyzed the effects of Astragaloside IV supplementation in experimental models of both neurological disorders and neurodegenerative diseases.

### 2.2. Eligibility/exclusion Criteria

The search for studies was carried out independently by two expert evaluators in the context discussed, using titles, abstracts, or both, and resolving discrepancies through a subsequent consensus meeting. The terms were then searched together. A language restriction was considered for the selection, with only articles published in English being analyzed. Screening of the studies was performed based on the titles and abstracts, and the publications were subsequently read in full and compared.

Experimental studies using AS-IV in *in vitro* or *in vivo* analyses with the following pathological conditions were included: Alzheimer's disease (AD), Parkinson's disease (PD), cerebral ischemia and encephalomyelitis, evaluating its neuroprotective action (antioxidant activity); and studies that performed the *in vivo* analysis evaluating reduced symptoms of the pathology through behavioral analysis. The following exclusion criteria were considered: 1) The article was not original; 2) Experimental models other than mice, rats or cell culture were used; 3) Studies that analyzed the AS-IV action along with other compounds without an isolated group for AS-IV; 4) Absence of control group (the control group had to be comparable to the group supplemented with AS-IV).

The following eligibility criteria were considered: 1) Experiments performed on mice, rats or cell culture induced on

the neurological lesion; 2) Treated with AS-IV before or after damage; 3) Analysis of the AS-IV effect on injury and action mechanisms; and 4) All animals or models used should present clinical symptoms of the pathologies.

The studies evaluated in this systematic review presented were inconsistent in important aspects, such as the inducing agent of neurodegenerative diseases, the duration of AS-IV treatment and the behavioral tests used. The studies used different compounds to simulate neuronal damage in these experimental models and all of them worked through different mechanisms of action. In addition, these compounds were administered in different doses and through different routes, aspects that reinforce the heterogeneity of the studies. Based on the methodological heterogeneities among studies with different neurodegenerative diseases analyzed in different experimental models, grouping statistics were not considered. Thus, meta-analyses were not performed for the accessed data.

### 3. RESULTS

According to the initial screening of the studies using the previously mentioned descriptors, 167 articles were found published in the 10 years between 2007 and 2017, of which 34 appeared in Medline *via* Proquest, 33 in Science Direct, 11 in Scopus, 72 in *Periodicos Capes*, 10 in the PubMed database and 7 in Web of Science, and none were found in the Cochrane Library or in SciELO.

The first criterion adopted was to consider only articles published in the 10 year period mentioned, which resulted in the identification of the 165 articles. Next, search filters were implemented, which excluded 69 articles (41 excluded by duplication, 21 excluded for being reviews and 7 book chapters), resulting in 96 studies on the subject.

After analysis of the titles, 18 articles were excluded, with 22 articles excluded after reading the abstract because they had no relation to the specific focus of our study. Thus, 56 articles were read in their entirety. After analyzing these articles, 42 studies failed for at least 1 criterion and were excluded (such as studies that used experimental models that were not mice, rats or cell culture, or that analyzed AS-IV action along with other compounds without isolating the AS-IV group), totaling 16 articles at the end of the analysis (see the flowchart in Fig. 2, which graphically details the systematic review process for inclusion/exclusion of articles).

The studies showed variations regarding several characteristics. Through the search we found studies analyzing the AS-IV action on different neuropathological conditions: PD (2), AD (3), ischemia (8) and encephalomyelitis - experimental model of lateral sclerosis (1), including different *in vivo* (9), *in vitro* (3) and *in vivo* and *in vitro* tests (2).

The similar and individual methodological criteria used in the 14 articles included in the study are described below. The studies employed different experimental procedures and observations, thus the total number of the evaluations undertaken differed from the number of studies mentioned, given our intention to verify how many studies have evaluated, as will be described below.

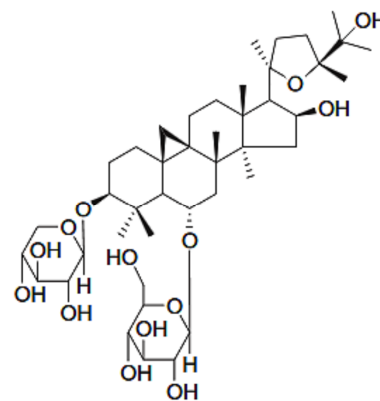


Fig. (1). Chemical structure of Astragaloside IV.

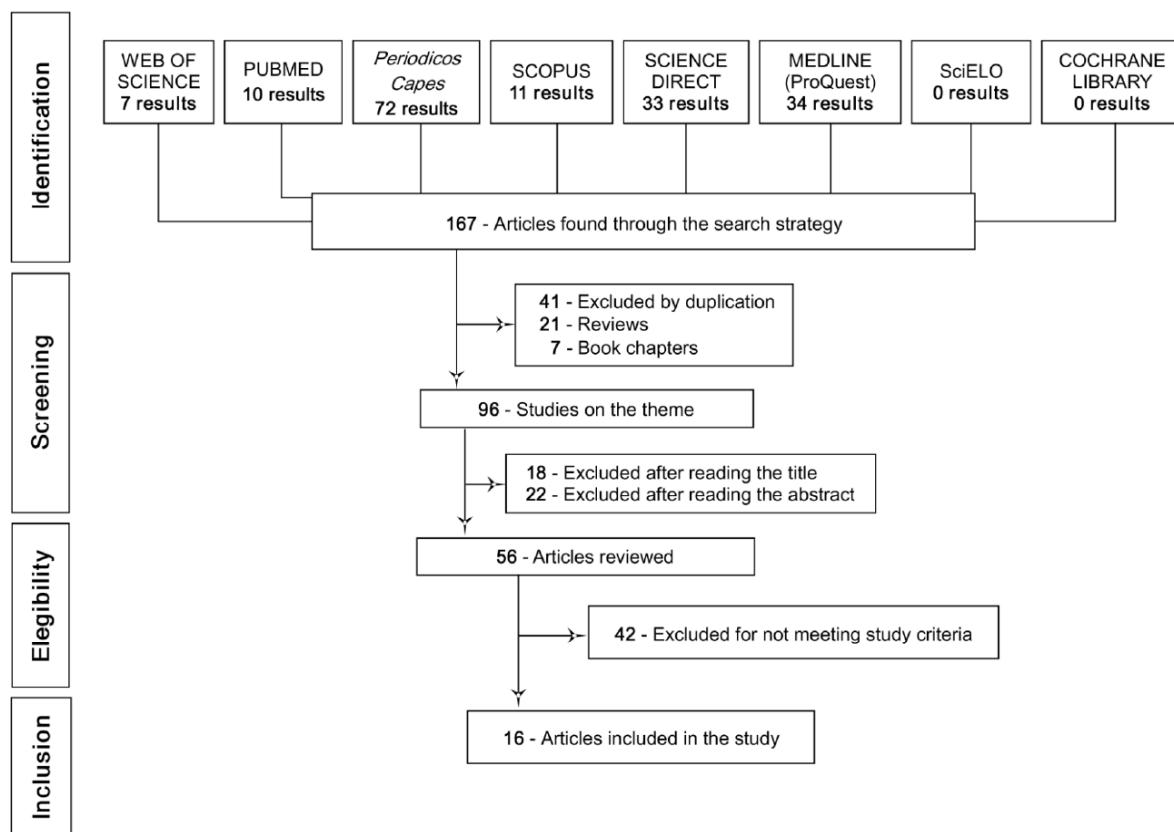
Regarding the gender of the animals (rats) the *in vivo* studies used in the research, 9 studies used males, 1 used a female and 1 study used both genders. The neuropathology experimental models were induced through the administration of different substances: for PD, 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) (1) and 6-hydroxydopamine (6-OHDA) (1); for AD, by intracerebral beta-amyloid induction (2) and in cells transfected with pEGFP-N1-BACE1 (1); for ischemia by middle cerebral artery occlusion (MCAO) (5) and bilateral carotid artery occlusion (BCAO) (2); and for encephalomyelitis by complex injection of the Freund+ MOG<sub>35-55</sub> + *Mycobacterium tuberculosis* H37RA+ *Pertussis* toxin (1).

Concerning the behavioral analysis, the studies used the following: the Morris aquatic labyrinth (MAL) test (3), neurological (1) and neurobehavioral activity (4); focus on cell cultures such as cell line human neuroblast from neural tissue SH-SY5Y (1), human neuroblastoma cell line - SH-N-SH (1) and cells from the *substantia nigra* (1). Other studies evaluated the cellular defense system through the enzymes superoxide dismutase (SOD) (6), malondialdehyde (MDA) (5), catalase (CAT) (1) and glutathione peroxidase (GSH-Px) (2), in addition to caspase-3 (3), expression of BAX (3), cytochrome c (1), nitric oxide synthase (NOS) (3) and ROS (4).

Among the most used tests were the tests for 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) (4), Western blot (9), enzyme-linked immunosorbent assay (ELISA) (4), polymerase chain reaction (PCR) (3), immunohistochemistry (7), immunofluorescence (3) and tumor necrosis factor alpha (TNF- $\alpha$ ) (3).

About the duration of the AS-IV treatment, the minimum time in the *in vitro* study was 24 hours and the minimum and maximum dosages used in these studies were +10  $\mu$ M-500  $\mu$ M; for studies performed *in vivo*, the minimum and maximum dosages were 10-200 mg/kg. Supplementation duration in the studies was between 7 and 28 days, and *in vivo* and *in vitro* studies up were to 3 months. The characteristics of the selected studies are shown in Table 1.

The study designs varied greatly by data used for the pathology and the type of lesion in the animal, experimental model, experimental groups, substance dosages, performed analyses and tests and how the findings (results that the



**Fig. (2).** Flowchart showing the selection process of the studies used in this systematic review.

authors obtained with their studies) had been collected. One study used other phytotherapeutic agents in conjunction with the AS-IV group. There were, however, also isolated groups for both substances.

Table 1 indicates the findings related to neurodegenerative diseases and the implications of AS-IV. The studies not only point to its neuroprotective effect, but also to an antioxidant, anti-apoptotic, anti-inflammatory action, as well as improvement in mitochondrial damage and behavioral deficiencies.

#### 4. DISCUSSION

Neurodegenerative diseases are debilitating conditions that compromise the quality of life of patients and affect society. The consequences and the social and economic impact generated by these pathologies establish an important theme of study, making the elucidation of their mechanisms and the search for natural medicines that can contain or repair disease damage and sequelae necessary.

As reported, herbal medicines and their multiple bioactive compounds have scientific relevance in treating neurodegenerative diseases, acting on the symptomatology presented by the pathologies. The present study investigates the neuroprotective action of AS-IV, a triterpenoid saponin present in the root of the *Astragalus membranaceus*, described in the literature as a compound capable of acting towards the repair of damage in pathologies such as PD, AD and cerebral ischemia, as evidenced in the systematic search.

The abovementioned neurodegenerative pathologies involve similar pathophysiological aspects, as observed in the experimental models studied. AS-IV acts on the antioxidant defense system, maintaining an adequate level of enzymes that act in the maintenance of this system, on apoptotic pathways, maintaining the ideal proportions between Bax and Bcl-2, as will be described later. This blocks the activation of effector caspases in apoptotic cell death caused by neurotoxins such as MPTP, 6-OHDA, toxin pertussis and amyloid- $\beta$ , as well as acting on inflammatory markers common to these pathologies.

##### 4.1. Parkinson's Disease (PD)

AS-IV has a neuroprotective effect and it has been investigated in experimental models of PD. One of the possible explanations for its mechanism of action in this pathology may be associated with oxidative stress as the main inducer in the loss of dopaminergic neurons in the CNS [33, 34].

This evidence is demonstrated through experimental studies that induce PD in *substantia nigra* cell culture through the action of neuronal toxins such as 6-OHDA, which has the characteristic of inducing neurotoxicity, ROS generation and mitochondrial depletion, as well as inhibiting tyrosine hydroxylase (TH), which is the rate-limiting enzyme for dopamine synthesis [35]. A study carried out by Chan *et al.* (2009) showed that AS-IV administered in concentrations of 50 ~ 200  $\mu$ M for 25 h attenuated the loss of dopaminergic neurons. The treated group presented intact germination, neurite growth and increased TH and nitric oxide synthase

**Table 1.** *In vivo* and *in vitro* models of studies of neurological disorders and the implications of *Astragaloside IV* in the treatment.

Author/ Year	Pathology	Experimental Model	Experimental Groups	Posology	Analyses and Tests Carried out	Findings
Zhang <i>et al.</i> (2012) [37]	<i>In vitro</i> Model for PD induced by MPP <sup>+</sup>  <i>In vitro</i>	SH-SY5Y Cells	(1) Control; (2) 3mM MPP <sup>+</sup> ; (3) <sup>+</sup> 10 $\mu$ M AS-IV; (4) <sup>+</sup> 25 $\mu$ M AS-IV; (5) <sup>+</sup> 50 $\mu$ M AS-IV.	- Cells treated with 3 mM of MPP <sup>+</sup> (24 h);  - Pre-treated with 25 or 50 IM AS- IV for (2 h);  - Exposed to 3 mM MPP <sup>+</sup> (24 h).	- Cell viability (MTT Test); - Hoechst 33258; -Detection of apoptosis; - Western blot; - Bax Expression; - Measurement of intracellular ROS.	Notably the AS-IV treatment increased cell survival, reversed the intracellular generation of reactive O <sub>2</sub> species and nuclear condensation, inhibited the Bax-mediated path- way; in addition, it suppressed the activity of caspase-3.
Chan <i>et al.</i> (2009) [31]	<i>In vitro</i> Model for PD induced by 6-OHDA  <i>In vitro</i>	Substantia <i>nigra</i> cells	(1) Control (0.3 mg/ml of ascorbic acid in HBSS); (2) 6-OHDA; (3) AS-IV (50 $\mu$ M + 6- OHDA); (4) AS-IV (100 $\mu$ M + 6- OHDA); (5) AS-IV (200 $\mu$ M + 6- OHDA);	- Cell treatment with 200 $\mu$ M of 6- OHDA (24 h); -Pretreatment with AS-IV (1 h).	- Analysis of neuronal toxicity; - Measurement of neurite growth; - Immunopositive TH; - Immunofluorescence.	Treatment with AS-IV mitigated the loss of dopaminergic neurons, presented intact germination and increased immuno- reactive TH and NOS, especially in the group that received concen- trations of 100 mM. The study suggests that the neuroprotector and neuro-excitatory effects are specific to dopaminergic neurons and have therapeutic potential in the treat- ment of Parkinson's Disease.
Liu <i>et al.</i> , (2017) [83]	<i>In vitro</i> Model for cellular stress in PD induced by H <sub>2</sub> O <sub>2</sub>  <i>In vitro</i>	Apoptosis of SH-SY5Y cells	(1) Control; (2) H <sub>2</sub> O <sub>2</sub> (300 $\mu$ mol/l); (3) AS IV (50, 100, 200 $\mu$ mol/l); (4) H <sub>2</sub> O <sub>2</sub> (300 $\mu$ mol/l); + ASIV(200 $\mu$ mol/l); (5) H <sub>2</sub> O <sub>2</sub> + Vit. C.	- SH-SY5Y cells treated with AS-IV (50-200 $\mu$ mol/l) during 24 h;  - Exposed to H <sub>2</sub> O <sub>2</sub> (300 $\mu$ mol/l) du- ring 4 h.	- MTT assay; - Flow cytometry - Measurement of intracellular ROS lev- els; -Immunofluorescence staining; - DAPI staining; - Western blot analysis.	Treatment with AS-IV decreased the H <sub>2</sub> O <sub>2</sub> - induced cellular damage, prevented morphologi- cal changes and decreased ROS produc- tion and apoptosis rate. In addition, the neuroprotective effects of AS-IV against H <sub>2</sub> O <sub>2</sub> - induced apoptosis of SH-SY5Y cells were associated with Bax/ Bcl-2 ratio deregulation, decreased $\alpha$ -synuclein levels and increased TH levels <i>via</i> the p38 MAPK signaling pathway.

(Table 1) contd....

Author/ Year	Pathology	Experimental Model	Experimental Groups	Posology	Analyses and Tests Carried out	Findings
Liu <i>et al.</i> , 2016 [84]	Experimental autoimmune encephalomye- litis (EAE)  <i>In vivo</i> and <i>In vitro</i>	BV2 cells and female C57BL/6 mice;	<p><b>Experiment 1</b></p> <p>(1) Control; (2) LPS (positive or negative); (3) LPS+AS1 (10,25,50,100); (4) LPS+Dex (0-10).</p> <p><b>Experiment 2</b></p> <p>(1) Control; (2) EAE; (3) EAE + ASI; (4) EAE + RU486; (5) EAE + ASI + RU486; (6) EAE + Dex (Dexamethasone).</p> <p><b>Experiment 3</b></p> <p>(1) Control; (2) Dex; (3) ASI 10 <math>\mu</math>M; (4) ASI 25 <math>\mu</math>M; (5) ASI 50 <math>\mu</math>M; (6) ASI 100 <math>\mu</math>M; (7) RU486; (8) RU486+Dex ; (9) RU486+ ASI 25 <math>\mu</math>M.</p> <p><b>Experiment 4</b></p> <p>(1) Control; (2) ASI 10 <math>\mu</math>M; (3) ASI 20 <math>\mu</math>M; (4) ASI 40 <math>\mu</math>M; (5) ASI 80 <math>\mu</math>M; (6) ASI 100 <math>\mu</math>M; (7) Dex 1 nM.</p>	<p>- EAE was induced in 6-weekold female C57BL/6 mice;</p> <p>- Each mouse was immunized subcutaneously with 300 <math>\mu</math>g of MOG35–55 that emulsified in complete Freund's adjuvant containing 400 <math>\mu</math>g of heat-inactivated Mycobacterium tuberculosis H37RA.</p> <p>-The control mice were injected with adjuvant without MOG35–55.</p> <p>-Intraperitoneal injection of pertussis toxin (200 ng/mouse) was given immediately and again 48 h later.</p>	<p>- Immunohistochemistry;</p> <p>- Western blotting analysis;</p> <p>- Proinflammatory factors assay;</p> <p>- Luciferase activity assay;</p> <p>- Quantitative PCR;</p> <p>- Molecular docking experiment;</p> <p>- GR competitive ligand-binding assay.</p>	<p>ASI modulated GR-mediated signaling pathway, including dephosphorylation of PI3K, Akt, I<math>\kappa</math>B and NF<math>\kappa</math>B, decreasing downstream production of proinflammatory mediators. Suppression of microglial BV-2 activation by ASI was abrogated by GR inhibitor, RU486 or GR siRNA. Similarly, RU486 counteracted the alleviative effect of ASI on microgliosis and neuronal injury <i>in vivo</i>. ASI inhibited microglia activation at least partially by activating the glucocorticoid pathway, suggesting its possible therapeutic potential for neuroinflammation in neurological diseases.</p>
Li <i>et al.</i> (2017) [71]	Model for ischemia/reperfusion  <i>In vivo</i>	Male ICR Mice	<p>(1) Control; (2) Ischemia Model; (3) AS-IV (10 mg/kg); (4) AS-IV (20 mg/kg).</p>	<p>- Oral administration of AS-IV (10 and 20 mg/kg) once a day;</p> <p>- Treatment groups were given i.g. 7 days before surgery ending on the day of euthanasia;</p> <p>- AS-IV was administered 2 h prior to ischemia on the day of surgery.</p>	<p>- MAL;</p> <p>- IL-1<math>\beta</math> measurement;</p> <p>- TNF-<math>\alpha</math>;</p> <p>- ROS;</p> <p>- SOD;</p> <p>- MDA;</p> <p>- Western blot;</p> <p>- Immunohistochemistry.</p>	<p>AS-IV significantly improved cognitive changes induced by transient ischemia and reperfusion injury. It regulated inflammatory responses by inhibiting TLR4 signaling and avoids microglial overactivation. It attenuated memory deficits and neuroinflammation, increasing SOD activity and decreasing ROS and MDA levels.</p>

(Table 1) contd....

Author/ Year	Pathology	Experimental Model	Experimental Groups	Posology	Analyses and Tests Carried out	Findings
Qu <i>et al.</i> (2009) [28]	Model for transient focal cerebral ischemia  <i>In vivo</i>	Adult male Sprague- Dawley rats	(1) Control; (2) Ischemia model; (3) AS-IV (10 mg/kg); (4) AS-IV (20 mg/kg).	- In treatment groups, the ani- mals received AS- IV injections (i.p.) immediately after occlusion of the middle cerebral artery.	- BBB Integrity As- sessment; - TEM; - Immunohistochemis- try.	The study proposes that the regulation of junctional proteins in endothelial cells may be a mechanism of protection of AS-IV resulting from the protection of the blood-brain barrier.
Cao <i>et al.</i> (2014) [85]	Model for cerebral ischemia  <i>In vivo</i>	Adult male Sprague- Dawley rats	(1) Control; (2) QDBSp1 / R model group; (3) AS-IV, (4) HSYA; (5) AS-IV+HSYA; (6) HH.	- The volume injected was 3 mL/kg throughout the period for both HQI and HH.  - The animals were given carbohydrate hydrochloride by intraperitoneal injection (400 mg/kg).	-Neurological evalua- tion; - Measurement of infarct volume; - Histological study; - Mitochondrial ROS generation; - Measurement of (SOD, CAT, GSH-Px enzymes) and MDA. - Western blot.	The results showed that AS-IV and HSYA had a synergistic effect on brain protection for measurement of (total) infarct volume and antioxidant defense system.
Li <i>et al.</i> (2012) [74]	Model for cerebral focal ischemia in- duced by occlusion of the right mid- dle cerebral artery with reperfu- sion  <i>In vivo</i>	Adult male Sprague- Dawley rats	(1) Control; (2) Ischemia model; (3) AS-IV (10 mg/kg); (4) AS-IV (20 mg/kg).	- Animals received AS-IV (10 or 20 mg/kg) when reperfusion was initiated.  - For both drug groups, the ani- mals received intraperitoneal injections of AS- IV immediately and 12 hours after the onset of the reperfusion.	- MPO; - ELISA; -Neurobehavioral evaluation and infarct- ion evaluation; - CD11b/CD18 meas- urement; - Western blot; - Immunohistochemis- try; - TNF- $\alpha$ and IL-1 $\beta$ levels.	The protective effect of AS-IV occurred through the prevention of neutrophil accumu- lation in the cerebral parenchyma, demon- strating a significant reduction of MPO concentration in brain tissue; in addition it decreased the percent- age of neutrophils positive for CD11b/ CD18, reducing the expression of ICAM- 1, which is partially achieved by attenua- tion of the production of TNF- $\alpha$ and IL-1 $\beta$ and inhibition level of NF- $\kappa$ B. The study proposes an anti- inflammatory mecha- nism favored by AS- IV by suppression of these molecules re- lated to the adhesion of neurotrophs, which exerts neuroprotection against the lesion.

(Table 1) contd....

Author/ Year	Pathology	Experimental Model	Experimental Groups	Posology	Analyses and Tests Carried out	Findings
Cao <i>et al.</i> (2015) [86]	Model for Cerebral ischemia/ reperfusion <i>In vivo</i>	Adult Sprague- Dawley rats (6 males and 6 females)	(1) Control; (2) AS-IV (10 mg/kg); (3) AS-IV (40 mg/kg); (4) AS-IV (100 mg/kg).	- The animals were euthanized 2 h after ische- mia and after 24 h of reperfusion.	- Behavioral test; - Dissection of the ischemic area; - [3H] PK11195 and Scatchard binding analysis.	AS-IV protects ischemic brain tissue by inhibiting PBR ex- pression after cerebral ische- mia. This finding suggests that AS-IV could change the plas- ticity of the ischemic area, which creates an opportunity for clinical prevention and treatment of brain lesions after cerebral focal ischemia.
Yang <i>et al.</i> (2012) [82]	Model for Cerebral ischemia/ reperfusion <i>In vivo</i>	Male Sprague- Dawley rats	(1) Control; (2) IR Group; (3) AS-IV (20mg/kg); (4) AS-IV (20mg/kg) - TMPZ (10 mg/kg); (5) Nimodipine (10 mg / kg).	- All drugs were injected intraperi- toneally into each group with the same vol- ume (2 ml) at 0, 12 h, 1 d, 2 d, 3 d, up to 7 d after reperfusion.	- Micro-PET images; - Modeling and admini- stration of MCAO rats; - Evaluation of triphen- yltetrazolium chloride.	Both the AS-IV-TMPZ and the isolated AS-IV treatment groups reversed the induced changes and the parameters evaluated, meaning the down- regulation of Caspase-3 mRNA, MDA and iNOS, and the regulation of SOD activity and Bcl-2 expression, in addi- tion to reversing the decrease in glucose metabolism, result- ing in a reduction of myocar- dial infarction volume in ischemia-reperfusion injury.
Li <i>et al.</i> (2013) [78]	Model for Cerebral ischemia/ reperfusion <i>In vivo</i>	Male Sprague- Dawley rats	(1) Control; (2) Assay (Ischemia); (3) AS-IV (10 mg/kg); (4) AS-IV (20 mg/kg).	- Rats were anesthetized by intraperitoneal injection of 5% chloral hydrate at a dose of 400 mg/kg.	-Neurobehavior As- sessment; - Evaluation of Func- tionality; - Determination of water content; oxidative stress and iNOS; - Isolation of RNA and quantitative PCR; - Immunohistochemistry.	The permeability of BBB improved significantly in AS- IV treated groups compared to the vehicle addition group. The study proposes that the poten- tial anti-edema action of AS- IV be correlated with the regu- lation of MMP-9 and AQP4.
Sun <i>et al.</i> (2014) [45]	Model for AD induced by A $\beta$ <sub>1-42</sub> <i>In vitro</i>	SK-N-SH Cells	(1) Control; (2) Ab1-42 (Cells treated with 5 mM); (3) AS-IV + Ab1-42 (10 mM); (4) AS-IV + Ab1-42 (25 mM); (5) AS-IV + Ab1-42 (50 mM).	- Ab1-42 (cells pre-treated with AS-IV 2 h prior to 5 mM Ab1- 42). All experiments were performed after incubation for 24 h.	- Cell viability; - Measurement of ROS and mitochondrial superoxide; - Apoptotic parameters; - ATP level; - mPTP assay; - oxidized cytochrome C activity; - Release of Cit C. - Protein extraction;- Western blot.	Pretreatment of AS-IV signifi- cantly increased the viability of neuronal cells, reduced apopto- sis, decreased intracellular ROS generation and decreased mitochondrial superoxide in the presence of Ab1-42. Moreover, the pre-treatment of AS-IV inhibited the opening of mPTP, the recovered mito- chondrial membrane potential (DYm) and improved ATP generation, It improved cyto- chrome C oxidase activity and blocked the release of cyto- chrome C from mitochondria. AS-IV also reduced expression of Bax and cleaved caspase-3

(Table 1) contd....



Author/ Year	Pathology	Experimental Model	Experimental Groups	Posology	Analyses and Tests Carried out	Findings
Kim <i>et al.</i> (2015) [72]	Model for Chronic cere- bral hypoper- fusion  <i>In vivo</i>	Male Spra- gue-Dawley rats	(1) Normal group; (2) Control; (3) Drug vehicle (4) AS-IV (10 mg/kg); (5) AS-IV (20 mg/kg).	- AS-IV was administered by intrapetritonial injection 1 x per day for 28 days, starting after the week of pBCAO;  - After intrave- nous injection of AS-IV in rats, extracts of brain tissue contained ~ 0.1 $\mu\text{g/g}$ AS-IV at the dose of 1.5 mg/kg of intra- venous injec- tion.	- pBCAO; - MAL; - TUNEL; - Bax; - Caspase-3; - Cresyl violet; - SOD; - MDA. - ELISA; - Immunohistochem- istry. - Western blot.	AS-IV treatment (at a dose of 20 mg/kg) significantly im- proved learning and memory deficits, attenuating apoptosis, SOD levels and lipid peroxida- tion markers, including MDA and 4-hydroxy-2-non-renal; it significantly inhibited astro- cytic and microglial reactivity in the hippocampus.
Haiyan <i>et al.</i> (2016) [54]	Model for Alzheimer induced by amyloid- $\beta$ intracerebral  <i>In vivo and In vitro</i>	Embryos of Sprague- Dawley rats	<b>Experiment I</b> (1) Control; (2) Vehicle; (3) Donepezil (DH); (4) Non-induced NSC transplants (TP); (5) AS-IV induced NSC transplants (TP-ASI). <b>Experiment II</b> (1) Control; (2) $10^{-5}$ MASI; (3) $10^{-6}$ MASI; (4) $10^{-7}$ MASI. <b>Experiment III</b> (1) Control; (2) Positive Control; (3) AS-IV.	- Cells were transferred to 96-well plates coated with poly-L-lysine and treated with $10^{-5}$ , $10^{-6}$ and $10^{-7}$ M AS-IV for 3 days;  - The models were produced by bilateral intra- hippocampal injection of 5 $\mu\text{g}$ of A $\beta$ for each side under anes- thesia;  - Rats were intraperitoneally injected with 5 mg/kg/day of AS-IV for 4 weeks. Injection of 5 $\mu\text{g}$ of A $\beta$ for each side under anesthe- sia;  - 4 weeks after transplant, rats were trained in two trials per day for 6 days.	- MTT assay; - MAL - Immunostaining; - Western blot.	Treatment with AS-IV resulted in an increase in the number of $\beta$ -tubulin III reactive cells in the hippocampus. Further research has shown that AS-IV inhibited <i>In vitro</i> and <i>In vivo</i> expression of PS1. Elevated dose of AS-IV reduced the intracellular Notch domain, while low AS-IV doses in- creased Notch-1 and NICD. Thus, treatment with AS-IV resulted in improvements in learning and memory of AD models, promoting the prolif- eration of NSC and differentia- tion in part through the Notch signal pathway.

(Table 1) contd....

Author/ Year	Pathology	Experimental Model	Experimental Groups	Posology	Analyses and Tests Carried out	Findings
Wang <i>et al.</i> (2017) [62]	Model for AD obtained by cells trans- fected with pEGFP-N1- BACE1  <i>In vivo and In vitro</i>	SH-SY5Y Cells	<b><u>Analysis I</u></b> (1) Control; (2) 0.1 ug/ml; (3) 1 ug/ml; (4) 10 ug/ml; (5) 100 and 200 ug/ml. <b><u>Analysis II</u></b> (1) Control; (2) 50 µM AS-IV; (3) 100 µM AS-IV; (4) 250 µM AS-IV; (5) 500 µM AS-IV. <b><u>Analysis III</u></b> (1) Control; (2) AS-IV + GW9662; (3) AS-IV. <b><u>Analysis IV</u></b> (1) Control; (2) PEGFP-N1; (3) PEGFP-N1+ BACE1; (4) RSG; (5) AS-IV.	- Cells transfected with pEGFP-N1- BACE1 were treated with AS-IV for 24 h or AS-IV plus the PPAR-γ antagonist GW9662 <i>in vitro</i> .  - APP/PS1 rats were intra-gastrically treated with AS-IV or AS-IV plus GW9662 every 48 h for 3 months.	- Immunofluorescence; - Western blot; - PCR; - ELISA; - Immunohistochemis- try; - MTT assay.	The results showed that the AS-IV treatment increased PPARγ activity and <i>in vitro</i> inhibited BACE1. <i>In vivo</i> treatment with AS-IV increased PPARγ and BACE1 expression and reduced neuritic plaque formation and Aβ levels in the brains of APP/PS1 rats. Therefore, AS-IV may be a future agent for modu- lation of Aβ-related pa- thology in AD.
He <i>et al.</i> (2013) [65]	Experimental autoimmune Encephalo- myelitis (Model for Multiple scler- osis).  <i>In vivo and In vitro</i>	6-week old female C57BL rats	(1) Control; (2) EAE; (3) EAE+AS-IV (20 mg/kg).	- Each rat received 100 µl subcutaneous injection of Freund's complete + 300 µg of MOG <sub>35-55</sub> and 400 µg of <i>Mycobacterium tuberculosis</i> H37RA; - Pertussis toxin (200 ng) was administered <i>via i.p.</i> on the day of immunization and again 2 days later; - AS-IV (20 mg/kg) was administered daily on the day prior to MOG <sub>35-55</sub> im- munization and con- tinued for 2 weeks; - MPD (positive control), 20 mg/kg <i>i.p.</i> consecutively from day 8 to day 10 after immunization.	- Histopathology; - Immunohistochemis- try; - Western blot; - ROS levels; - Evans Blue Ex- travasation; - Quantification of cytokines (IFNγ, TNFα, IL6, IL4 And IL17A); - ELISA; - Biochemical analysis (GSH-Px, MDA and T- SOD); - PCR.	The results of the study show that relief of the EAE progression by AS- IV was correlated with its anti-oxidant and anti- apoptotic characteristics. This can be explained because it prevented the generation of ROS by inhibiting the infiltration of T cells in the CNS, BBB leakage and neuro- inflammation. It re- duced tau phosphoryla- tion in response to the action of hydrogen perox- ide by modulating the Bcl-2/Bax ratio, and it also inhibited the activa- tion of microglia both <i>in vivo and in vitro</i> and the upward regulation of iNOS induced by IFNγ stimulation.

(NOS) expression, especially in the group that received the concentration of 100 millimolar (mM) [31].

Another study developed with the purpose of understanding the effects of AS-IV in PD was carried out in a model for

induction by MPP<sup>+</sup> (active form of MPTP drug, classic model to establish PD *in vitro*) [36]. The study by Zhang *et al.* (2012) in observing AS-IV action on SH-SY5Y cell culture containing 25 ~ 50  $\mu$ M Astragaloside for 26 h found a remarkable increase in cell survival, as well as an inversion of the intracellular generation of ROS and nuclear condensation, in addition to inhibiting the Bax-mediated pathway and suppressing caspase-3 activity [37]. Modification of the apoptotic cascade and ROS regulation may be crucial events in preventing PD pathology [37]. Cell survival in the phase that the apoptosis cascade begins depends considerably on the balance between pro- and antiapoptotic Bcl-2 family proteins; therefore, the Bax/Bcl-2 ratio may be a determinant factor for cell growth and protection [38].

Previous findings regarding Bcl-2 protein in PD models have indicated that members of the pro-apoptotic family participate in neuronal death [39]. According to Zhang *et al.* (2012), the proportion of pro-apoptotic Bax to antiapoptotic Bcl-2 increased as a response to MPP<sup>+</sup>, with the treatment with AS-IV significantly reducing Bax expression [37], while also increasing Bcl-2 expression in the *in vitro* model for PD. The effect of AS-IV on apoptosis induced by MPP<sup>+</sup> can be partly explained by this regulatory mechanism.

In our recently published systematic review, we described the action mechanism of various phytotherapeutic compounds and extracts which also had a neuroprotective effect on PD, capable of protecting neural cells against the toxicity of both 6-OHDA and MPP<sup>+</sup> toxins due to the presence of multiple bioactive antioxidant compounds such as flavonoids, saponins and phenolic compounds [40].

#### 4.2. Alzheimer's Disease (AD)

Alzheimer's disease (AD) leads to a progressive degeneration of neurons that results in damage to memory, thinking and behavior [41]. This degeneration occurs due to several factors, among which are the aggregates of the amyloid protein which is produced by the amyloid precursor protein (APP) [42]. Thus, as the major component of senile plaques, beta-amyloid (A $\beta$ ) is an important histopathological indication for AD [43].

Previous studies have demonstrated that A $\beta$  can induce apoptotic and necrotic cell death [44]. A study carried out by Sun *et al.* (2014) described that SK-N-SH cells treated with A $\beta$ 1-42 5 mM for 24 h presented lower viability and higher levels of cellular apoptosis. Pretreatment of AS-IV in concentrations of 25 and 50 mM significantly decreased ROS and SOD generation and reduced the number of apoptotic cells, thereby providing a better cell viability in the presence of A $\beta$ 1-42 [45]. Moreover, pretreatment with *Astragalus* inhibited the mitochondrial permeability transition pore (mPTP) opening, an important neuroprotective action since the pore opening plays a central role in the death of neuronal cells in neurodegenerative diseases, in which the presence of ROS acts as one of the factors that causes its opening [46].

A recent study, with a Tg2576 mouse model, for AD showed that chronic stress could increase levels of corticosterone in conjunction with increases in the  $\beta$ -amyloid peptide levels of brain tissue and deposition of A $\beta$  plaques [47]. In addition, dexamethasone (DEX) administration associated

with the damage presented by APP/PS1/MAPT in transgenic rats increased brain levels of APP [48]. According to the researchers, the exposure to dexamethasone (5 mg/kg) at the stress threshold may induce learning deficits and memory impairment, damage of hippocampal neurons, as well as increase in A $\beta$  formation, expression of APP and the cleavage enzyme  $\beta$ -APP, suggesting an interaction between the stress level and A $\beta$  formation [49, 50].

Li *et al.* (2011) propose the action of *Astragalus* extract in experimental models with AD induced by different substances. Since glucocorticoids may play a crucial role in dementia progression in AD patients [48], the study administered dexamethasone (DEX) (i.g) for 21 days in male rats and evaluated the action of *Astragalus* in concentrations of 10, 20 and 40 mg/kg according to spontaneous motor activity (SMA) and the MAL test, an established behavioral test to evaluate memory and learning [51].

The results of this study showed that administration of 40 mg/kg of *Astragalus* significantly increased SMA in 10 days and the swim time of the animals within the platform quadrant, which expresses the animal's preference and spatial learning, pointing to positive results regarding its efficacy in the learning process and memory in rats. This study also performed immunohistochemistry for caspase-3,9 and cytochrome c in the hippocampus (CA1-CA3 regions) and neocortex, noting that the treatment with the extract regulated the level of caspases in both regions [48].

Accordingly, *Astragalus* action can be explained as regulating the release of caspases and cytochrome c (both being apoptosis inducing factors), since cytochrome binds to Apaf-1 and Procaspase-9c when it is released in cytosol, forming a functional apoptosome, and subsequently triggering the sequential activation of caspase-3 and 9 [52]. Various stimuli that induce apoptosis lead to the release of cytochrome c from mitochondria, which plays a pivotal role in a common path of caspase activation [52, 53]. In addition, caspase-3 activation has been shown to be a key step in the apoptosis process and its inhibition can block cell apoptosis.

A study carried out by Hayan *et al.* (2016) investigated whether treatment with AS-IV could promote the proliferation and differentiation of grafted neural stem cells (NSCs) and investigated the improvement of cognitive impairment caused after administrating intracerebral injection with A $\beta$  protein in rat models with AD [54]. Based on this hypothesis, stem cell-based therapy could be a promising treatment strategy for neurodegenerative diseases such as AD. In this study, these cells (NSCs), originating from the hippocampus of rats and from embryos, were cultured and treated with AS-IV, then grafted onto the hippocampus of rats with AD, resulting in an improvement in cognitive impairment after administrating an intracerebral A $\beta$  protein injection.

AS-IV treatment results in improvements in learning and memory in AD models, promoting NSC proliferation and differentiation in part through the Notch signal pathway, which is one of the major signal systems that govern neurogenesis. This signaling pathway plays a critical role in maintaining and renewing neural progenitor cells (NPCs). The activation of this pathway was sufficient to keep NPCs in their proliferative state, whereas loss-of-function mutations

in critical components caused early neuronal differentiation and NPC depletion [55].

Other results found in the aforementioned study indicate that administration of 10-5 mM AS-IV did not increase the rate of cell proliferation; however, it did induce stem cell differentiation into Nestin + GFAP cells. Besides this, the differentiation indices were similar to those of positive controls using 1% serum/saline solution. The results were also consistent with the findings by [56], who demonstrated that Astragaloside plays a double role in peripheral nerve regeneration, with low doses (50  $\mu$ M) inducing a higher rate of regeneration, and with a high dose not demonstrating this effect (200  $\mu$ M).

Clinical and epidemiological evidence suggests that there is a direct relationship between type II (DM II) diabetes associated with an increased risk of AD [57]. Drugs used in the treatment of DM II such as thiazolidinediones (TZDs) act as agonists in the nuclear receptor and peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ), tested as potential drugs for treating neurodegenerative disorders such as AD [58, 59].

As mentioned, the major pathological features of AD are the aggregations of A $\beta$  and tau proteins. A $\beta$  is produced from the APP by the sequential action of two proteins,  $\alpha$ - and  $\beta$ -secretases. The breakdown of the  $\beta$ -secretase product by Y-secretase produces two substances: toxic Ab42 and non-toxic Ab40 [42]. Sastre and coworkers (2006) reported that PPAR $\gamma$  inhibits the activity of the BACE1 promoter gene in response to the binding of a PPAR $\gamma$ -peroxisome responsive element [60]. The proliferator-response element (PPRE) located in the BACE1 promoter gene is an enzyme responsible for the cleavage of the site in the A $\beta$  precursor protein [60, 61]. Thus, it becomes clear that the PPAR $\gamma$  agonist modulates APP processing through the regulation of  $\beta$ -secretase.

Corroborating the aforementioned study, Wang *et al.* (2017) evaluated the action of AS-IV treatment which was able to increase PPAR $\gamma$  activity and inhibit BACE1 *in vitro*. As a result, A $\beta$  levels significantly decreased. The *in vivo* treatment with AS-IV reduced the expression of PPAR $\gamma$  and BACE1 and reduced the formation of neuritic plaque and A $\beta$  levels in the brain of APP/PS1 rats [62].

Also corroborating this study, Chang *et al.* (2016) evaluated the action of AS-IV in the cerebral cortex after infusion of A $\beta$ , showing that i.p. administration of 40 mg/kg/day of the herbal compound once a day for 14 days reduced apoptosis levels with mitochondrial dysfunction in cortical cells having been blocked by inhibition of protein kinase phosphoinositol 3-kinase (PI3K), known as AKT [63].

Recent systematic reviews highlight the protective potential of traditional Chinese medicine (MHC) in experimental models and clinical studies of neurodegenerative diseases, since conventional treatments only offer discrete benefits to clinical symptoms and do not prevent neuronal cell degeneration. In contrast, a promising alternative are medicinal plants because they have multiple compounds and phytochemicals with neuroprotective effect [30, 40]. Yang *et al.* (2017), for instance, suggest that to some extent MHC can be recommended for routine use in patients with AD, since it

potentially increases the hippocampal neurogenesis through the activation of multiple signal pathways [64].

### 4.3. Autoimmune Encephalomyelitis

AS-IV has also been tested in rat models with experimental autoimmune encephalomyelitis [65]. In this model, AS-IV given at a dose of 20 mg/kg significantly attenuated the severity of experimental autoimmune encephalomyelitis (EAE) in rats. The study suggested that AS-IV may be effective for clinical therapy/prevention of multiple sclerosis, able to improve the antioxidant defense system by increasing antiapoptotic and anti-inflammatory pathways and to modulate the differentiation of T cells and their infiltration [65].

Another study carried out posteriorly by the above-mentioned authors evaluated the action of Astragalosides I, II and IV (25 and 50 mg/kg) present in the composition of AST [66]. The results showed a similar pattern in which Astragalosides prevented the infiltration of inflammatory cells in rats and decreased oxidative stress and glial activation in the hippocampus by anti-inflammatory and antioxidant actions. Moreover, an assessment of neuronal injury caused by inflammatory infiltrating T cells (a common feature of EAE) demonstrated that the treatment with AST acted in neutralizing neuronal damage through the expression of proteins associated with apoptosis, Bax regulation and Bcl-2 inhibition [66].

### 4.4. Cerebral Ischemia

Cerebral ischemia has an inflammatory process as one of its components that aggravates brain damage and plays an important role in the disease progression. As a response to ischemia or trauma, the CNS responds by activating resident microglia/astrocytes and peripheral leukocyte infiltration, resulting in microvessel obstruction, edema formation, cell death and tissue infarction [67, 68]. Activated microglia release inflammatory cytokines including IL-1 $\beta$ , TNF- $\alpha$  and other cytotoxic molecules such as NO and ROS [69, 70].

Li *et al.* (2017) assessed the expression of Iba1, an activated microglial marker after ischemia. The results indicated an increase in Iba1, IL-1 $\beta$  and TNF- $\alpha$  expression in the control group with lesion, unlike the AS-IV treated group which experienced a decrease [71]. So, AS-IV presents neuroprotective effects during cerebral ischemic brain injury/focal reperfusion, possibly due to its antioxidant, anti-inflammatory and antiapoptotic properties; facts well-described by other authors [65, 71-73].

Some studies suggest that the protective effect against cerebral focal ischemia in rats at different reperfusion time points is related to antioxidation, regulating expressions of neuronal growth factor, tropomyosin kinase A receptors and messenger RNA. Li *et al.* (2012) and Yin *et al.* (2010) assessed the potential of AS-IV to protect the cause of cerebral inflammation caused by cerebral focal ischemia and reperfusion with dosages of 10 or 20 mg/kg in rats, observing a protective effect through the remarkable decrease in the percentage of positive neutrophils for CD11b/CD18 and negative expression regulation of the intercellular adhesion molecule-1 (ICAM-1), which is partially achieved by attenuating the production of TNF- $\gamma$  and IL-1 $\beta$  and inhibition level of

nuclear factor- $\beta$  (NF- $\beta$ ) [74, 75]. In these studies, the anti-inflammatory mechanism evoked by AS-IV occurred by suppressing molecules related to neutrophil adhesion, exerting neuroprotection against ischemia/reperfusion (I/R) injury [74, 75].

In their analysis, Kim *et al.* (2015) evaluated the effect of AS-IV on learning and memory deficits induced by chronic cerebral hypoperfusion in rats at dosages of 10 and 20 mg/kg daily for 28 days starting at the 5<sup>th</sup> week after bilateral carotid artery occlusion. It was observed that a dosage of 20 mg/kg significantly improved spatial learning and memory deficits assessed using the MAL test in rats with chronic cerebral hypoperfusion [72]. In addition, the AS-IV significantly attenuated neuronal apoptosis as well as levels of superoxide dismutase and lipid peroxidation markers, including MDA and 4-hydroxy-2-nonenal in the hippocampus. It significantly reduced the expression of 8-hydroxy-2'-deoxyguanosine, an agent that causes oxidative DNA damage; moreover, it significantly inhibited the activation of astrocytes and microglia in the hippocampus. This indicates that AS-IV has the therapeutic potential for preventing dementia caused by cerebral hypoperfusion, suggesting that the enhancement effect of AS-IV on learning and memory deficits may be the result of neuronal apoptosis and oxidative damage suppression in the hippocampus [72]. It should be noted that the signaling pathways of AS-IV during cerebral I/R have not yet been fully reported [73].

Another extremely relevant event for the promotion of brain lesions in the ischemic process is the blood brain barrier (BBB) dysfunction. Interruption of BBB plays an important role in cell damage in neurological diseases, including acute and chronic cerebral ischemia, cerebral trauma, multiple sclerosis, brain tumors and brain infections [76].

The increase in BBB permeability and vascular rupture may possibly be the initiating factors for developing cerebral infarction [77]. A cascade of molecular events occurs during a lesion, and is terminated by BBB interruption by radicals and free proteases, which attack membranes and degrade tight junction proteins in endothelial cells.

Oxygen and nitrogen free radicals and proteases, matrix metalloproteinases (MMP9) and cyclooxygenase are important in early and late BBB interruption as the neuroinflammatory response progresses. The damage caused by BBB rupture contributes to disease progression, as well as to cognitive changes in the affected individual. Thus the protection of the BBB in brain tissues may be potentially beneficial for the neuronal recovery of I/R injury [28, 76]. In the pioneering study by Qu *et al.* (2009), they evaluated the effect of AS-IV purified extract on BBB on a focal model of cerebral I/R injury at dosages of 10 and 20 mg/kg, observing a significant reduction in BBB permeability in comparison with the control group. In this study, an increase in the expression of occludin and ZO-1 in the endothelial cells was observed in groups treated with the extract compared to other vehicle groups, what may possibly implicate the potential activity where AS-IV protects the BBB against I/R-induced rupture by upward regulation of the expression of tight junctional proteins [28].

BBB permeability is closely related to cerebral edema and is a critical complication following post-acute intravas-

cular thrombosis. A study by Li *et al.* (2013) on post-ischemia/reperfusion cerebral edema evaluated animals treated with AS-IV in doses of 10 and 20 mg/kg and BBB permeability. The researchers used a technique similar to a previous study using Evans blue leak [78]. The results showed that AS-IV significantly attenuated brain water content and provided better neurological results in the treated animals compared to the control group. In addition, BBB permeability improved significantly, also indicating the potential protective effect of AS-IV on BBB. MMP9 and aquaporin 4 (AQP4) expressions related to cerebral vasogenic edema or cytotoxic edema were increased in control animals, but were significantly inhibited by the administration of AS-IV, thus proposing the anti-edema effect. The potential mechanisms proposed for the AS-IV action in experimental models of neurodegenerative diseases are represented in Fig. 3.

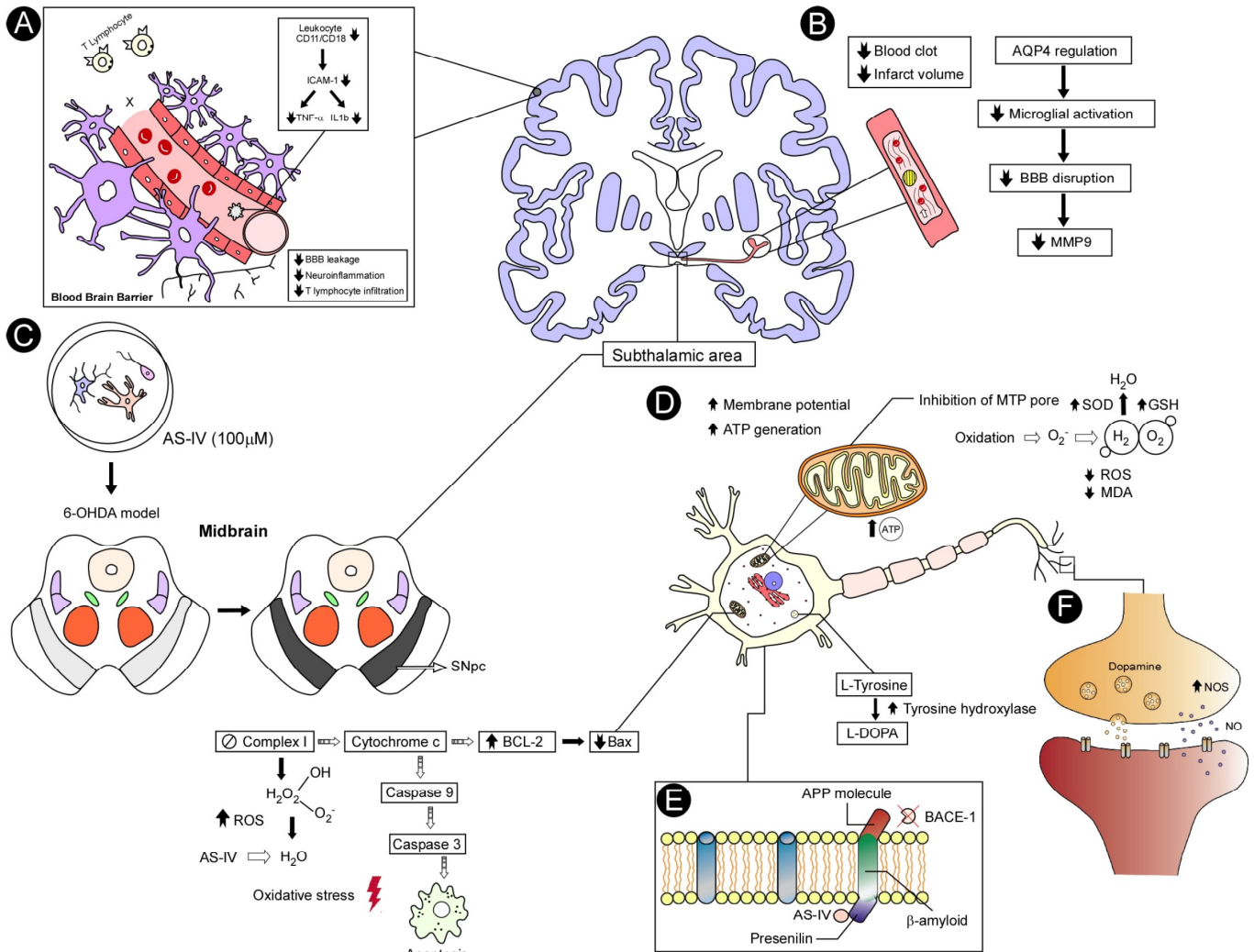
In another study, Shao *et al.* (2014) evaluated cerebral edema in animals with subarachnoid hemorrhage and reported that edema was significantly attenuated following AS-IV administration as compared to the vehicle group. There was as well an improvement in neurobehavioral outcomes and alleviation of brain injury through antioxidant and antiapoptotic effects. These effects were also evaluated in neonates who were pre-treated with AS-IV or solvent by oral probe for three days, and then exposed to isoflurane [79].

A double-blind, randomized, controlled clinical study enrolled a total of 68 patients within 24 hours after hemorrhagic stroke [80]. This study demonstrated that *Astragalus membranaceus* improved the functional recovery of patients after hemorrhagic stroke in the group treated with oral or nasopharyngeal administration of the substance at a rate of 3 g three times a day for 14 days. Some adverse effects were observed by the authors, such as the occurrence of dizziness, rash or fever, but these events were classified as minor, with the study indicating that *Astragalus membranaceus* has an excellent safety profile for treatment because it is well tolerated and has no serious adverse events. However, the authors emphasized the need for complementary studies for a better understanding of its protective functions [80].

Exposure to general anesthesia can cause severe neurotoxicity in the development of the brain due to neuronal apoptosis. However, pre-treatment with AS-IV significantly inhibited isoflurane-induced neural apoptosis in the hippocampus, also significantly alleviating oxidative stress and the proinflammatory release of cytokines [81]. AS-IV has been shown to work well with other drugs, as reported [82]. This study investigated the effects of AS-IV and tetramethylpyrazine on a cerebral I/R injury model in rats. The authors concluded that AS-IV and tetramethylpyrazine played a key role in synergistic protection against I/R-induced focal brain damage.

## CONCLUSION

Several studies have shown that AS-IV administration is effective in both *in vivo* and *in vitro* models for neurodegenerative diseases, such as those of Parkinson (PD) and Alzheimer (AD) diseases, as well as in models for neurological disorders such as cerebral ischemia and autoimmune encephalomyelitis, as highlighted in Fig. 3.



**Fig. (3).** Potential mechanisms proposed for the AS-IV action in experimental models of neurological disorders. In **step A**, the protective effect of AS-IV (10 and 20 mg/kg) observed in an experimental I/R model as outlined prevented the accumulation of CD11b/CD18 positive neutrophils and reduced the expression of the intracellular adhesion molecule-1 (ICAM-1), which was partially achieved by the strong attenuation of TNF- $\alpha$  and IL-1 $\beta$  anti-inflammatory mechanism production by the suppression of these molecules related to neutrophil adhesion. Another proposed action mechanism reduced BBB permeability and decreased lymphocyte infiltration due to anti-inflammatory action, resulting in in decreased neuroinflammation. In **step B**, the AS-IV acted on the ischemic region, decreasing the metabolism of glucose and resulting in the reduction of clot area, attenuating the volume of cerebral infarction. The anti-edema action of AS-IV was also correlated with AQP4 regulation, which mediates the flow of water in the CNS with a consequent decrease of the cerebral edema and reduction of microglial activation, followed by reduced BBB interruption and MMP9 (Matrix metaloproteinase) related to vasogenic edema, which was inhibited by AS-IV which also decreased lymphocyte infiltration. In **step C**, the effect of the AS-IV was observed in a culture of dopaminergic neurons when 100  $\mu$ M was administered. This model of 6-OHDA showed cell death in the SNpc (*substantia nigra pars compacta*), while the group treated with AS-IV notably increased cell survival, attenuating the loss of dopaminergic neurons since it presented intact germination; and in **step D**, the AS-IV prevented mitochondrial dysfunction by increasing the enzyme action of the SOD and GSH antioxidant defense system to convert hydrogen peroxide into H<sub>2</sub>O and O<sub>2</sub>, thereby preventing lipid peroxidation and mitochondrial damage. The AS-IV inhibited the apoptotic pathway between both action mechanisms proposed for neurodegenerative diseases. These action mechanisms were briefly summarized and evaluated in the reviewed studies, reporting that AS-IV reduced the activation of the BAX channel (which accelerates programmed cell death) and increased Bcl-2 that represses apoptosis. Furthermore, AS-IV prevented the activation of the procaspases that activate the effector caspase 3, thus preventing oxidative stress, apoptosis and a decrease malondialdehyde (MDA) which catalyzes the formation of numerous ROS. AS-IV also increased mitochondrial potential and ATP generation, inhibiting the membrane pore opening and consequently reverting the mitochondrial oxidation. In **step E**, AS-IV action in the experimental model induced by A $\beta$ -amyloid illustrated, since it inhibits A $\beta$ -amyloid formation by the APP, thus inhibiting the BACE-1 which cleaves this protein by generating the toxic amyloid, and it also inhibited presenilin-1 expression. In **step F** the TH enzyme of dopamine, NO and NOS synthesis increased, which elevated dopamine release in the striatum. (*The color version of the figure is available in the electronic copy of the article*).

The protective effect of AS-IV in cerebral ischemia occurs through a reduction in the BBB permeability and a decrease in the lymphocyte infiltration with concomitant reduction in neuroinflammation. In addition, AS-IV has prevented the accumulation of CD11b/CD18 positive neutrophils and has reduced the expression of intercellular adhesion molecule-1 (ICAM-1), which was a result of a decreased production of TNF- $\alpha$  and IL-1 $\beta$ . The anti-apoptotic activity of AS-IV resulted in both procaspase and caspase-3 inhibition, thus preventing apoptosis and also oxidative stress, by a decrease in malondialdehyde (MDA), which catalyzes the formation of numerous ROS. In an experimental model induced by A $\beta$ -amyloid, treatment with AS-IV inhibited BACE-1, which resulted in decreased A $\beta$  production and neuritic plaque formation. Furthermore, in a 6-OHDA-induced PD model, treatment with AS-IV significantly increased cell survival through attenuating the loss of dopaminergic neurons in SNpc by increasing the immunoreactivity to TH and NOS and by potentiating the enzymatic activity of the antioxidant defense system, thus preventing both lipid peroxidation and mitochondrial damage processes.

In summary, the main mechanisms involved in the activities of AS-IV include an anti-edema effect, a reduction of lymphocyte infiltration and an attenuation of the loss of dopaminergic neurons. AS-IV can attenuate behavioral and neurochemical deficits as a result of its antioxidant, antiapoptotic, regulation of calcium balance and anti-inflammatory properties. Therefore, AS-IV emerges as an alternative therapeutic approach for the treatment of disorders of the central nervous system.

#### LIST OF ABBREVIATIONS

AD	=	Alzheimer's disease	ICAM-1	=	Intercellular adhesion molecule-1
BACE-1	=	Beta-secretase 1	IFN $\gamma$	=	Interferon gamma
BBB	=	Blood brain barrier	IL-1 $\beta$	=	Interleukin 1 beta
BCAO	=	Bilateral common carotid artery occlusion	iNOS	=	Inducible nitric oxide synthase
CA1	=	<i>Cornu Ammonis 1</i>	IR	=	Cerebral ischemia-reperfusion
CA3	=	<i>Cornu Ammonis 3</i>	LV	=	Lateral ventricles
CD11b/	=	Type I transmembrane proteins	MAD	=	Malondialdehyde
CD18			MAL	=	Morris aquatic labyrinth
CPR	=	C-reactive protein	MCAO	=	Middle cerebral artery occlusion
Dex	=	Dexamethasone	MMP-9	=	Matrix metalloproteinase 9
DNA	=	Deoxyribonucleic acid	MOG	=	Myelin oligodendrocyte glycoprotein
EAE	=	Experimental autoimmune encephalomyelitis	MPD	=	Methylprednisolone
EAE	=	Experimental autoimmune encephalomyelitis	MPO	=	Myeloperoxidase
ELISA	=	Enzyme-linked immunosorbent assay	MPO	=	myeloperoxidase
HH	=	Huangqi_Honghua combination	Mptp	=	Mitochondrial permeability transition pore
HSYA	=	Hydroxysafflor yellow A	MTT	=	3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
IBA1	=	Ionized calcium-binding adapter molecule 1	MTT+	=	1-methyl-4-phenylpyridinium
ICAM-1	=	Intercellular adhesion molecule-1	NF- $\kappa$ B	=	Level of inhibition of nuclear factor- $\kappa$ B
			NfJ-B	=	Nuclear factor- $\kappa$ B
			NOS	=	Nitric Oxide Synthase
			PBR	=	Peripheral benzodiazepine receptors
			PD	=	Parkinson's disease
			QDBS	=	Qi deficiency and blood stasis
			QDBS	=	Qi deficiency and blood stasis
			RNA	=	Ribonucleic acid
			ROS	=	Reactive Oxygen Species
			SOD	=	Superoxide dismutase
			TEM	=	Transmission electronic microscopy
			TLR4	=	Notch toll-like receptor 4
			TNF- $\alpha$	=	Tumor Necrosis Factors Alpha
			TUNEL	=	Terminal deoxynucleotidyl transferase dUTP
			ZO-1	=	Zonae occludens-1

#### CONSENT FOR PUBLICATION

Not applicable.

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## CONFLICT OF INTEREST

The authors certify that they have no affiliation with or financial involvement in any organization with a direct financial interest in the subject matter or materials discussed in the manuscript. This study did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

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