### ORIGINAL RESEARCH



# Clinical Management of Herpes Zoster in Patients With Rheumatoid Arthritis or Psoriatic Arthritis Receiving Tofacitinib Treatment

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# **ABSTRACT**

*Introduction*: Risk of herpes zoster (HZ) is increased with Janus kinase inhibitor use. We evaluated clinical study data relating to HZ management in patients with rheumatoid arthritis (RA) or psoriatic arthritis (PsA) receiving tofacitinib.

*Methods*: This post hoc analysis included data from 21 RA and 3 PsA clinical studies; data were

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pooled for tofacitinib doses. Outcomes of HZ events (serious and non-serious) and tofacitinib treatment changes were evaluated in response to first and second HZ events. Median time to resolution was stratified by dermatomal involvement, history of HZ prior to tofacitinib, changes to tofacitinib treatment, anti-viral and corticosteroid use, and tofacitinib dose.

**Results**: Seven hundred eighty-three (11.1%, N = 7061) patients with RA experienced  $\geq 1$  HZ event, 63 (8.0%) of whom had  $\geq 2$  HZ events. In patients with PsA, 36 (4.6%, N = 783) experienced  $\geq 1$  HZ event, 1 (2.8%) of whom had  $\geq 2$  HZ events. For most HZ events, to facitinib treatment was unchanged or temporarily

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Department of Rheumatology and Clinical Immunology, Charité–Universitätsmedizin Berlin, Freie Universität Berlin and Humboldt-Universität Zu Berlin, Berlin, Germany discontinued. The majority of patients received anti-viral treatment, most within 3 days of onset. Post-herpetic neuralgia developed in 6.9% and 3.2% of patients with RA with first and second events, respectively, and in 2.8% of patients with PsA with a first event. Most first and second events resolved (RA: 97.6% and 96.8%, respectively; PsA: 94.4% and 100%, respectively). Median time to resolution was 22.0 days for first and 15.0 days for second events for RA and 20.5 days for first and 11.0 days for second events (n = 1) for PsA. Time to resolution of first events for RA and PsA was generally numerically shorter for patients with single dermatomal HZ, history of HZ, or antiviral use versus those without.

**Conclusion**: Among patients receiving tofacitinib, recurrent events were more common in patients with RA versus PsA; HZ duration was shorter for repeat events.

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# PLAIN LANGUAGE SUMMARY

Patients with rheumatoid arthritis (RA) or psoriatic arthritis (PsA) have weakened immune responses and are more likely to get herpes zoster (HZ; also known as shingles) infections compared with the general population. Patients who receive treatments for RA or PsA that have an effect on their immune system are more likely to get HZ. Here, we assessed how common HZ was in patients with RA or PsA who were given tofacitinib during clinical trials, the management of these infections, and how this affected the course of the infection. Approximately 1 in 10 patients with RA and 1 in 20 patients with PsA had HZ. Of those patients who had HZ, 1 in 12 with RA and 1 in 36 with PsA were infected again at a later point. A small number of patients also had long-lasting pain after HZ infection. When patients had a HZ infection, most either continued treatment with tofacitinib or paused treatment for a period of time. Pausing or continuing treatment did not appear to affect how long the infection lasted or whether patients had another infection. Most patients received treatment for HZ infection, and patients who were treated had shorter infections. In most patients, infections cleared up and were more likely to clear up more quickly when patients had HZ previously.

**Keywords:** Anti-viral; Clinical management; Herpes zoster; Infections; Psoriatic arthritis; Rheumatoid arthritis; Tofacitinib

# **Key Summary Points**

# Why carry out this study?

Herpes zoster (HZ; shingles) is a common condition which can lead to debilitating complications such as post-herpetic neuralgia.

The risk of developing HZ is increased in patients with rheumatoid arthritis (RA) and psoriatic arthritis (PsA) relative to the general population, and is further increased by treatment with Janus kinase inhibitors, such as tofacitinib.

This post hoc analysis investigated HZ outcomes and management using data from tofacitinib RA and PsA clinical studies.

# What was learned from the study?

In patients receiving tofacitinib treatment for RA or PsA, the majority of first or recurrent HZ events reported were nonserious, mild or moderate in severity, and were clinically manageable (e.g., with use of anti-viral therapy and/or temporary discontinuation of tofacitinib treatment), resolving in most patients.

# INTRODUCTION

Herpes zoster (HZ), also known as shingles, is a common condition with an incidence rate (IR) of 3-5 cases per 1000 person-years among the general population in Asia-Pacific, Europe, and North America [1]. The condition is typically characterized by a painful rash that can lead to debilitating complications, such as post-herpetic neuralgia (PHN) [1, 2], and occurs when latent varicella zoster virus (VZV), the causative agent of varicella (chickenpox), is re-activated in neurons when the immune response is diminished [2]. Compared with the general population, HZ risk is greater in older [1] and immunocompromised people [2], and in particular those with autoimmune conditions such as rheumatoid arthritis (RA) or psoriatic arthritis (PsA), where the risk is approximately twothreefold higher than for age-matched healthy individuals [3]. This risk can be further increased with the use of immunosuppressants. such as corticosteroids, biologic disease-modifying antirheumatic drugs (bDMARDs) [4], and Janus kinase (JAK) inhibitors [5].

Tofacitinib is an oral JAK inhibitor for the treatment of RA and PsA. In prior analyses of infection risk within the tofacitinib clinical development program for RA, HZ risk was higher for tofacitinib- versus placebo-treated patients, with IRs (patients with events per 100 patient-years [PY] of exposure) (95% confidence interval [CI]) of 4.4 (3.8, 4.9) and 1.5 (0.5, 4.6), respectively [6]. Similar findings were observed in the tofacitinib clinical development programs for PsA and psoriasis, but the rates of HZ with tofacitinib were lower versus patients with RA [7–10]. Interestingly, no cases of visceral HZ were reported in the RA clinical development program [6], and most cases (90.2%) of HZ reportedly involved a single dermatome, with multidermatomal or disseminated cases less common [11]. In patients with RA, the risk of developing HZ while receiving to facitinib has been reported to increase further with increasing age, corticosteroid and conventional synthetic (cs) DMARD use, increasing tofacitinib dose, and enrollment within Asia (patients from Japan and Korea had approximately two-threefold higher rates of HZ versus those from the Americas or Europe in clinical studies) [12]. Similarly, in the RA clinical development programs for baricitinib [13] and upadacitinib [14], elevated HZ rates were observed versus placebo, with the highest rates reported in Asia. Longer term studies are required to establish whether differences in JAK selectivity will have any impact on risk between individual JAK inhibitors [15].

The mechanism for increased HZ risk with tofacitinib is considered to be multifactorial and is not well understood [6, 16]. Tofacitinib-mediated suppression of interferon (IFN) $\gamma$  signaling [17] may play a role, as IFN $\gamma$  is involved in the inhibition of VZV replication [18]. A recent genetic analysis also identified multiple loci that were associated with increased risk of HZ in patients with RA or psoriasis receiving tofacitinib [19].

While prior studies have characterized the increased HZ risk with JAK inhibitors [20], the clinical management of these events has not been previously detailed. It is also unclear how factors such as anti-viral use or continued/discontinued use of JAK inhibitors affect clinical outcomes. Accordingly, we sought to retrospectively evaluate how HZ events, and their sequelae, were clinically managed during the RA and PsA tofacitinib clinical development programs.

### **METHODS**

### Patients and Study Design

This was a post hoc analysis of data from patients enrolled in clinical studies of tofacitinib for the treatment of RA or PsA.

For RA, data up to March 2017 were pooled from two phase 1, ten phase 2, six phase 3, one phase 3b/4, and two long-term extension (LTE) studies (Table S1). Patients were aged  $\geq$  18 years and received tofacitinib (most commonly 5 or 10 mg twice daily [BID]) as monotherapy or in combination with csDMARDs.

For PsA, data up to July 2019 were pooled from two phase 3 studies and one LTE study (Table S2). Patients were aged  $\geq$  18 years and

received to facitinib 5 or 10 mg BID in combination with csDMARDs.

Full details of study designs and inclusion/ exclusion criteria have previously been published (see Tables S1 and S2). The studies were conducted in accordance with the Declaration of Helsinki, the International Council for Harmonisation Guidelines for Good Clinical Practice, and local regulations. All patients provided informed consent, and Institutional Review Board approval was provided by all participating institutions.

#### **Outcomes**

Outcomes were evaluated in tofacitinib-treated patients who were clinically determined to have HZ (serious and non-serious) during these studies. The number of HZ events, including recurrence of HZ (> 2 events), and for first and second HZ events, seriousness and severity of events, as well as occurrence of subsequent PHN events, were reported. The number of subsequent HZ events following second HZ events was also reported, but was not evaluated in detail. HZ events were defined as serious if they were life-threatening or resulted in any of the following: inpatient hospitalization or prolongation of existing hospitalization; persistent or disability/incapacity; significant congenital anomaly/birth defect; or death. Patients who experienced serious events were discontinued from the study. For the severity assessment, the adjectives mild, moderate, or severe were used to describe the maximum intensity of the HZ event. HZ events were resolved if they had both onset and resolution dates.

Changes to tofacitinib treatment in response to the first and second (recurrent) HZ events were evaluated and included permanent discontinuation, temporary discontinuation, dose reduction, no action taken, and unknown action (included post-treatment HZ events). Disease activity in patients with RA within 90 days after the first HZ event was assessed using the Disease Activity Score in 28 joints, erythrocyte sedimentation rate (DAS28-4[ESR]), stratified by changes to tofacitinib treatment.

Use of anti-viral therapy for systemic use was evaluated for all HZ events.

Median (with interquartile range [IQR]) and mean time to resolution of first and second HZ events (calculated for patients with resolved HZ, i.e., HZ events for which there was both date of onset and resolution) were evaluated and stratified by: dermatome involvement (single dermatomal; multidermatomal [occurring in nonadjacent or > 2 adjacent dermatomes, or disseminated]); history of HZ prior to tofacitinib treatment, changes to tofacitinib treatment discontinuation; (permanent temporary discontinuation; dose reduction; no action taken; unknown action); anti-viral use; prior corticosteroid use; and average tofacitinib dose (5 or 10 mg BID; defined as the average daily dose at the end of enrollment up to the cut-off date of < 15 or  $\ge 15$  mg, respectively).

# Statistical Analyses

Data were evaluated separately for RA and PsA clinical studies, with data pooled for all tofacitinib doses.

Descriptive statistical analyses included all reported events. The IR and 95% CI (calculated via the Exact Poisson method, adjusted for exposure time) for HZ was based on the number of unique patients (per 100 PY of exposure) with a HZ event, with follow-up time calculated to the date of the first event, subject to a risk period of 28 days beyond the last tofacitinib dose, or to the data cut-off date. The IR and 95% CI for recurrence were based on the number of unique patients (per 100 PY of exposure) with second HZ events, with follow-up time calculated from 30 days after the resolution of the first HZ event through to the last tofacitinib dose plus 28 days, or censored at the date of occurrence of the second event, whichever occurred earlier. Kaplan-Meier estimates of the second HZ event, presented through the time after the first HZ event to second HZ event onset, were calculated and plotted.

Categorical variables were summarized with frequency counts and percentages, and continuous variables were summarized descriptively with mean and standard deviation or median

and IQR. Demographic and baseline characteristics were summarized for patients reporting one, multiple ( $\geq 2$ ), or no HZ events (RA), or for patients reporting > 1 and no HZ events (PsA). The time to HZ resolution for first and second HZ events was calculated based on the onset date and end date in the Adverse Event (AE) Report form. Missing end dates for resolved HZ events were imputed using the latest available date of onset or AE collection. The following variables were used in stratified analyses of time to resolution for first and second HZ events: number of involved dermatomes (single or multiple) during HZ event (for time to resolution only); history of HZ (yes/no), where history of HZ was defined as medical history of HZ prior to the first tofacitinib dose; changes to tofacitinib treatment following HZ event; antiviral use during HZ event (yes/no); corticosteroid use within 14 days prior to the HZ event (yes/no); and average tofacitinib dose (5 or 10 mg BID).

# **RESULTS**

#### Patients with RA

# Patient Demographics and Baseline Disease Characteristics

This post hoc analysis included data from 7061 patients with RA who received tofacitinib (22,875 PY of exposure overall), of whom 783 (11.1%) experienced  $\geq 1$  HZ event, with an IR (95% CI) of 3.6 (3.4, 3.9) per 100 PY. None of the patients had been vaccinated against HZ, and 2.3% of patients who went on to experience > 1 HZ event had a history of HZ before tofacitinib treatment. Patients of Asian ethnicity, or those who enrolled at sites in Asia, accounted for a numerically higher proportion of those who experienced HZ events, compared with those who did not (Table 1). A numerically higher proportion of patients who experienced HZ events were aged > 45-<65 years versus those without HZ events (Table 1). Baseline demographics and clinical characteristics were generally similar between patients who experienced single (n = 720) or multiple  $(\geq 2;$ n = 63) HZ events (Table 1).

#### HZ Events and PHN

Of the 783 first HZ events, most (95.5%) were mild or moderate in severity (Table 2). Serious first HZ events occurred in 7.0% of patients (Table 2) and were mostly moderate (58.2%) or severe (32.7%). Overall, 6.9% of first HZ events were followed by PHN (Table 2). Recurrence of HZ (> 2 events) was reported in 8.0% (n = 63/ 783) of patients: 50 patients had two events, 10 patients had three events, 2 patients had four events, and 1 patient had five events. The IR (95% CI) of second HZ events was 3.7 (2.8, 4.7), based on events that occurred within 28 days of the last tofacitinib dose, with a median (IQR) follow-up period of 3.2 (2.1, 4.5) years following the first non-serious HZ event. Most second HZ events occurred within 3 years of the first event, with survival rates of approximately 88% and 86% at month 36 and month 72, respectively (Fig. 1). Of the 63 HZ events, 4.8% were serious, 98.4% were mild or moderate in severity, and 3.2% were followed by PHN (Table 2). Overall, 117 HZ events were reported within 90 days of the patients' last study visit, 13 of which were ongoing at the patients' last visit.

### **HZ** Management and Outcomes

After the first HZ event, tofacitinib was temporarily discontinued in 42.8% of patients, with a mean (range) length of temporary discontinuation of 16.3 (1-98) days, and permanently discontinued in 9.1% of patients; no changes were made to treatment in 47.3% of patients (Fig. 2a). Similar trends were observed in those experiencing a second HZ event (Figure S1). For most patients with mild first HZ events no changes were made to tofacitinib treatment; a similar proportion of those with moderate first HZ events had no change or temporarily discontinued tofacitinib (Fig. 2a), while permanent discontinuation was the most common action taken by patients who had a severe first HZ event (Fig. 2a). The mean of the first DAS28-4(ESR) score measured within 90 days of the first HZ event was similar, regardless of changes to tofacitinib treatment (permanent discontinuation = 3.9; temporary discontinuation = 3.7; dose reduction = 3.9; no action taken = 3.5; unknown action = 4.5).

**Table 1** Baseline demographic and clinical characteristics of patients with RA receiving tofacitinib, stratified by number of HZ events

	1 HZ event (N = 720)	$\geq$ 2 HZ events $(N = 63)$	No HZ events (N = 6278)
Age, mean (SD), years	55.4 (10.1)	56.0 (9.3)	51.6 (12.1)
Age, $n$ (%), years			
18–45	118 (16.4)	8 (12.7)	1791 (28.5)
> 45-< 65	470 (65.3)	44 (69.8)	3611 (57.5)
≥ 65	132 (18.3)	11 (17.5)	876 (14.0)
Female, <i>n</i> (%)	600 (83.3)	57 (90.5)	5172 (82.4)
Race, n (%)			
White	409 (56.8)	35 (55.6)	4132 (65.8)
Black	17 (2.4)	0	202 (3.2)
Asian	234 (32.5)	23 (36.5)	1309 (20.9)
Other	60 (8.3)	5 (7.9)	635 (10.1)
Geographic region, $n$ (%)			
US/Canada	168 (23.3)	22 (34.9)	1555 (24.8)
Europe	179 (24.9)	13 (20.6)	2190 (34.9)
Latin America	118 (16.4)	5 (7.9)	1098 (17.5)
Asia	255 (35.4)	23 (36.5)	1395 (22.2)
Rest of world	0	0	40 (< 1.0)
BMI, n (%), kg/m <sup>2</sup>	26.7 (6.4)	27.8 (7.7)	27.2 (6.4) <sup>a</sup>
Smoking status, n (%)			
Current	87 (12.1)	6 (9.5)	1105 (17.6)
Former	154 (21.4)	15 (23.8)	1010 (16.1)
Never	467 (64.9)	42 (66.7)	3961 (63.1)
Unknown	12 (1.7)	0	202 (3.2)
Prior therapy, $n$ (%)			
MTX	606 (84.2)	51 (81.0)	5101 (81.3)
Non-bDMARD <sup>b</sup>	421 (58.5)	34 (54.0)	3181 (50.7)
TNFi	124 (17.2)	16 (25.4)	998 (15.9)
Non-TNFi bDMARD	40 (5.6)	5 (7.9)	310 (4.9)
Concomitant corticosteroids, $n$ (%)	439 (61.0)	41 (65.1)	3492 (55.6)
Diabetes, $n$ (%)	58 (8.1)	3 (4.8)	501 (8.0)
History of HZ prior to receiving to facitinib, $n$ (%)	16 (2.2)	2 (3.2)	96 (1.5)

Table 1 continued

	1 HZ event (N = 720)	$\geq$ 2 HZ events $(N = 63)$	No HZ events (N = 6278)
HZ vaccination, $n$ (%)	0	0	0

Patients received mainly tofacitinib 5 or 10 mg BID as monotherapy or in combination with csDMARDs in phase 1, phase 2, phase 3, phase 3b/4, and LTE studies

bDMARD biologic disease-modifying antirheumatic drug, BID twice daily, BMI body mass index, csDMARD conventional synthetic disease-modifying antirheumatic drug, HZ herpes zoster, LTE long-term extension, MTX methotrexate, N number of patients with non-missing data, n number of patients with characteristic, RA rheumatoid arthritis, SD standard deviation, TNFi tumor necrosis factor inhibitor

**Table 2** Seriousness and severity of first and second HZ events, and subsequent PHN, in patients with RA receiving tofacitinib

	First HZ event ( <i>N</i> = 783)	Second HZ event <sup>a</sup> (N = 63)
Seriousness, n (%)		
Serious	55 (7.0)	3 (4.8)
Non-serious	728 (93.0)	60 (95.2)
Severity, n (%)		
Mild	336 (42.9)	36 (57.1)
Moderate	412 (52.6)	26 (41.3)
Severe	34 (4.3)	1 (1.6)
Unknown	1 (0.1)	0
Subsequent PHN, n (%)	54 (6.9)	2 (3.2)

*HZ* herpes zoster, *N* number of patients with non-missing data, *n* unique number of patients with event, *PHN* post-herpetic neuralgia, *RA* rheumatoid arthritis

<sup>a</sup>Data are presented for the second event that occurred in patients who experienced multiple HZ events. Some patients experienced 3 (n = 10), 4 (n = 2), or 5 (n = 1) HZ events; however, data for third or subsequent events are not included here

All three patients with serious second HZ events temporarily discontinued tofacitinib treatment following their first event (Table 3). The proportion of patients with non-serious second HZ events was similar in those who temporarily discontinued tofacitinib versus those who continued treatment with no change following their first HZ event, while fewer patients who permanently discontinued tofacitinib experienced non-serious second HZ events versus those who temporarily discontinued or continued tofacitinib; however, sample numbers were lower in this group (Table 3).

Of all 783 patients experiencing HZ, 87.5% received anti-viral treatment following their first HZ event (Fig. 2b) and a similar proportion (90.5%) received anti-viral treatment following their second HZ event. The most commonly used anti-viral treatment was aciclovir, which nearly half of all patients received (Figure S2). Most patients who did not receive anti-viral treatment following their first HZ event experienced events that were reported as mild (Fig. 2b). Most patients (69.5%) received antiviral treatment within 3 days of first HZ onset. In patients who received anti-viral treatment for their first HZ event, 8.0% and 0.3%, respectively, had non-serious and serious second HZ events, with similar results in those who received treatment within 3 days (Table 3). In patients who did not receive anti-viral

 $<sup>^{</sup>a}N = 6273$ 

<sup>&</sup>lt;sup>b</sup>Other than MTX

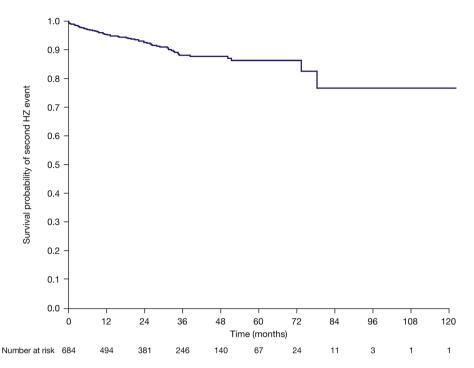


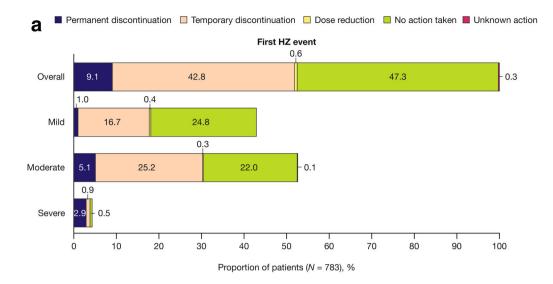
Fig. 1 Kaplan-Meier plot of time to second HZ event following the first HZ event in patients with RA receiving tofacitinib. Kaplan-Meier estimates of the second HZ event are presented from the end of the first HZ event to the occurrence of the second HZ event. Patients whose first HZ end date occurred either after the date of onset of

the second HZ event, or after the last dose of tofacitinib with no second HZ event, were excluded from this analysis. The last second HZ event occurred at  $\sim$  month 78, with 13 patients remaining at risk beyond this point. The final month of observation was month 122. HZ herpes zoster, RA rheumatoid arthritis

treatment for their first HZ event, 5.1% and 1.0% had non-serious and serious second HZ events, respectively (Table 3).

The proportion of patients who experienced PHN following their first HZ event was numerically higher in those who temporarily discontinued tofacitinib, versus all other changes to tofacitinib treatment and in patients who received anti-viral treatment for the HZ event versus those who did not (Table 4). PHN following the first HZ event was more common in patients who received anti-viral treatment for the HZ event compared with those who received no anti-viral treatment (Table 4); however, comparatively fewer patients did not receive anti-viral treatment. A similar result was observed in patients who received anti-viral treatment specifically within 3 days of HZ onset versus those who did not receive anti-viral treatment (Table 4).

HZ events were resolved in most patients (97.6% for first events and 96.8% for second events), and for the remaining patients, the event was ongoing at data cut-off or the outcome was unknown. Time to resolution was numerically shorter for second HZ events (median, 15.0 days; mean, 24.1 days) versus first events (median, 22.0 days; mean, 37.6 days) (Fig. 3) and a small proportion (7.1%, n = 54) of first HZ events resolved after > 90 days. For the 764 first HZ events with onset and resolution dates, median time to resolution was numerically shorter for patients with a history of HZ prior to tofacitinib versus no history (16.5 vs. 22 days) and somewhat shorter for single dermatomal versus multidermatomal (21.0 vs. 25 days), anti-viral use within 3 days versus no use (18.0 vs. 24.0 days), and corticosteroid use versus no use (21.0 vs. 23.0 days) (Fig. 3a). For second HZ events, median time to resolution was numerically shorter for patients



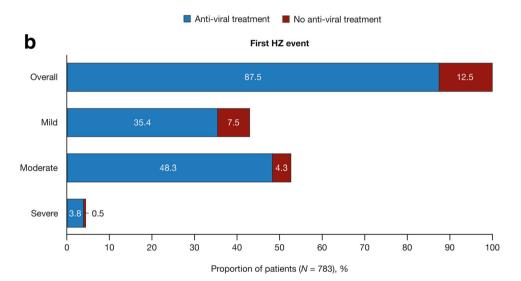


Fig. 2 Responses to HZ in patients with RA receiving to facitinib:  $\mathbf{a}$  changes to to facitinib treatment due to first HZ event, stratified by event severity, and  $\mathbf{b}$  anti-viral use due to first HZ event, stratified by event severity. One patient with unknown HZ severity had unknown action

and received no anti-viral therapy; this patient is included in the overall values presented. HZ herpes zoster, N number of patients with first HZ event, RA rheumatoid arthritis

with temporary discontinuation of tofacitinib versus those for whom no action was taken (median 12.5 vs. 21.0 days) and longer for those with a history of HZ prior to tofacitinib versus no history (39.0 vs. 14.0 days) (Fig. 3b). Time to resolution of second HZ events was shorter for patients with anti-viral use within 3 days of the

second HZ event versus no anti-viral use (median 13.0 vs. 18.0 days) and for patients receiving an average tofacitinib dose of 10 mg BID versus 5 mg BID (median 13.0 vs. 26.5 days) (Fig. 3b).

In patients with RA receiving tofacitinib, there were no deaths due to HZ, while 118

**Table 3** The effect of management of the first HZ event on the occurrence of second HZ events (serious and non-serious) in patients with RA who had  $\geq 2$  HZ events

	Non-serious second HZ event $(N = 60)$	Serious second HZ event (N = 3)
Change to tofacitinib treatment due to first HZ event, $n$ (%) <sup>a</sup>		
Unknown action $(N = 2)$	1 (50.0)	0
Permanent discontinuation $(N = 71)$	1 (1.4)	0
Temporary discontinuation $(N = 335)$	27 (8.1)	3 (0.9)
Dose reduction $(N = 5)$	0	0
No action taken $(N = 370)$	31 (8.4)	0
Anti-viral treatment due to first HZ event, $n$ (%	) <sup>a</sup>	
Anti-viral use $(N = 685)$	55 (8.0)	2 (0.3)
Anti-viral use within 3 days $(N = 476)$	40 (8.4)	2 (0.4)
No anti-viral use $(N = 98)$	5 (5.1)	1 (1.0)

HZ herpes zoster, N number of patients in each category, n number of patients in each category with second HZ event, RA rheumatoid arthritis

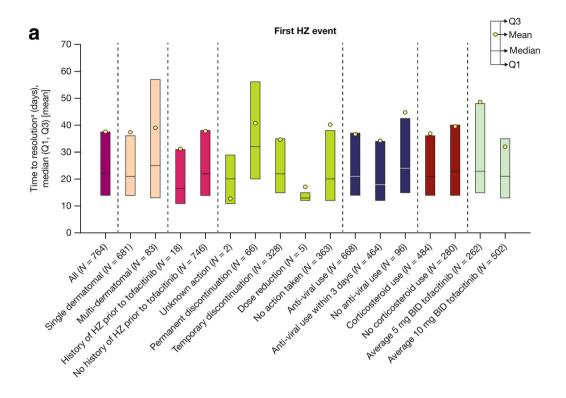
Table 4 Patients with RA who experienced PHN following the first HZ event, stratified by changes to tofacitinib treatment and anti-viral use due to first HZ event

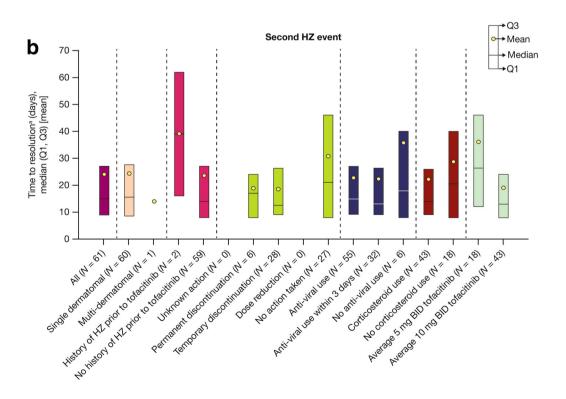
	PHN following first HZ event $(N = 54)$
Change to tofacitinib treatment due to first HZ event, $n$ (%) <sup>a</sup>	
Unknown action $(N = 2)$	0
Permanent discontinuation $(N = 71)$	4 (5.6)
Temporary discontinuation ( $N = 335$ )	35 (10.4)
Dose reduction $(N = 5)$	0
No action taken ( $N = 370$ )	15 (4.1)
Anti-viral treatment due to first HZ event, $n$ (%) <sup>a</sup>	
Anti-viral use $(N = 685)$	53 (7.7)
Anti-viral use within 3 days $(N = 476)$	38 (8.0)
No anti-viral use $(N = 98)$	1 (1.0)

HZ herpes zoster, N number of patients in each category, n number of patients in each category with subsequent PHN, PHN post-herpetic neuralgia, RA rheumatoid arthritis

<sup>&</sup>lt;sup>a</sup>Proportion calculated based on the number of patients with first HZ events who had corresponding changes to tofacitinib treatment or anti-viral use

<sup>&</sup>lt;sup>a</sup>Proportion calculated based on the number of patients with first HZ events who had corresponding changes to tofacitinib treatment or anti-viral use





◆Fig. 3 Time to resolution of a first HZ event and b second HZ event in patients with RA, stratified by dermatome involvement, history of HZ<sup>b</sup>, changes to tofacitinib treatment, anti-viral use, corticosteroid use of and average tofacitinib dose. Time to resolution in patients with both a date of onset and date of resolution for the HZ event. Medical history of HZ prior to the first dose of tofacitinib. Corticosteroid use within 14 days prior to the HZ event. BID twice daily, HZ herpes zoster, N number of patients with non-missing data, QI first quartile (25th percentile), Q3 third quartile (75th percentile), RA rheumatoid arthritis

deaths (1.7% of N = 7061) were reported due to other events, 59 of which occurred within 28 days of the last tofacitinib dose. Deaths due to events other than HZ occurred in 12 (1.5%) patients with HZ and 106 (1.7%) patients without HZ. Overall, 276 (35.2%) patients with HZ were hospitalized compared with 1425 (22.7%) patients without HZ. Of the 276 patients with HZ who were hospitalized, 52 (6.6% of patients with HZ) were hospitalized specifically because of their HZ event while the remainder (N = 224; 28.6% of patients with HZ) were hospitalized because of other events.

### Patients with PsA

# Patient Demographics and Baseline Disease Characteristics

This post hoc analysis included 783 patients with PsA who received tofacitinib (2046 of PY exposure) of whom 36 (4.6%)enced ≥ 1 HZ event, with an IR (95% CI) of 1.8 (1.2, 2.4) per 100 PY. None of the patients had been vaccinated against HZ, and 5.0% of patients who went on to experience > 1 HZ event had a history of HZ before tofacitinib treatment (Table 5). A numerically higher proportion of patients with > 1 HZ event were aged 45-64 years versus those without an event (Table 5). There was a numerically higher proportion of patients with a history of HZ prior to tofacitinib among those reporting > 1 HZ event versus those with no HZ events (13.9% and 4.6%, respectively) (Table 5). The number of patients with HZ events was small, however, and the results should be interpreted with caution.

**Table 5** Baseline demographic and clinical characteristics of patients with PsA receiving tofacitinib, stratified by number of HZ events

	≥ 1 HZ event (N = 36)	No HZ event (N = 747)
Age, mean (SD), years	49.0 (11.3)	48.7 (12.0)
Age, $n$ (%), years		
18–44	10 (27.8)	277 (37.1)
45-64	23 (63.9)	401 (53.7)
≥ 65	3 (8.3)	69 (9.2)
Female, <i>n</i> (%)	22 (61.1)	406 (54.4)
Race, n (%)		
White	34 (94.4)	705 (94.4)
Black	0	3 (0.4)
Asian	1 (2.8)	22 (2.9)
Other	1 (2.8)	17 (2.3)
Geographic region, $n$ (%	)	
US/Canada	10 (27.8)	148 (19.8)
Australasia/ Western Europe	12 (33.3)	161 (21.6)
Russia/Eastern Europe	12 (33.3)	357 (47.8)
Latin America	1 (2.8)	67 (9.0)
Asia	1 (2.8)	14 (1.9)
BMI, $n$ (%), kg/m <sup>2</sup>	29.4 (6.1)	29.6 (6.0)
Smoking status, n (%)		
Current	5 (13.9)	135 (18.1)
Former	8 (22.2)	150 (20.1)
Never	23 (63.9)	462 (61.8)
Prior therapy, $n$ (%)		
MTX	31 (86.1)	694 (92.9)
Non-bDMARD <sup>a</sup>	17 (47.2)	353 (47.3)
TNFi	19 (52.8)	358 (47.9)
Non-TNFi bDMARD	2 (5.6)	44 (5.9)
Concomitant corticosteroids, $n$ (%)	7 (19.4)	164 (22.0)

Table 5 continued

	≥ 1 HZ event (N = 36)	No HZ event (N = 747)
Diabetes, n (%)	5 (13.9)	102 (13.7)
History of HZ prior to tofacitinib, $n$ (%)	5 (13.9)	34 (4.6)
HZ vaccination, n (%)	0	0

Patients received to facitinib 5 or 10 mg BID in combination with a single csDMARD in phase 3 and LTE studies

bDMARD biologic disease-modifying antirheumatic drug, BID twice daily, BMI body mass index, csDMARD conventional synthetic disease-modifying antirheumatic drug, HZ herpes zoster, LTE long-term extension, MTX methotrexate, N number of patients with non-missing data; n number of patients with characteristic, PsA psoriatic arthritis, SD standard deviation, TNFi tumor necrosis factor inhibitor

<sup>a</sup>Other than MTX

**Table 6** Seriousness and severity of first HZ events, and subsequent PHN, in patients with PsA receiving tofacitinib

	First HZ events $(N = 36)$
Seriousness, n (%)	
Serious	1 (2.8)
Non-serious	35 (97.2)
Severity, n (%)	
Mild	15 (41.7)
Moderate	20 (55.6)
Severe	1 (2.8)
Subsequent PHN, n (%)	1 (2.8)

HZ herpes zoster, N number of patients with non-missing data, n unique number of patients with event, PHN post-herpetic neuralgia, PsA psoriatic arthritis

### HZ Events and PHN

Of the 36 first HZ events, 1 (2.8%) patient had a serious event, 1 (2.8%) patient had a severe event, and 1 (2.8%) patient had a HZ event

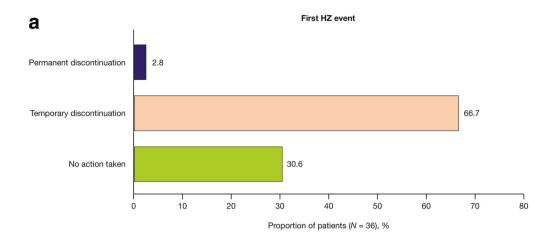
followed by PHN (Table 6). Recurrence of HZ was reported in one (2.8%) patient, who experienced two non-serious HZ events. The second HZ event was moderate in severity and was not followed by PHN. Five patients reported their latest HZ event within 90 days of their last study visit, and two of these events were ongoing at the patients' last visit.

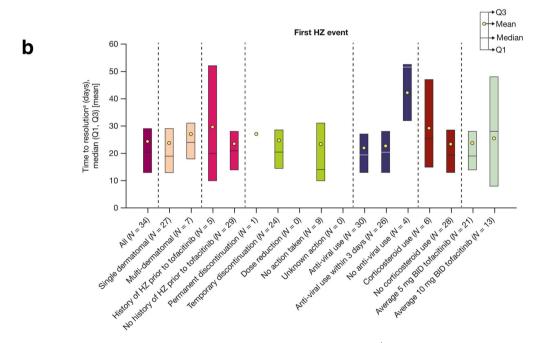
# **HZ** Management and Outcomes

In response to the first HZ event (N = 36), tofacitinib treatment was temporarily discontinued in 66.7% of patients, with a mean (range) length of 14.3 (6–30) days, permanently discontinued in 2.8% of patients, and unchanged in 30.6% of patients (Fig. 4a). Of the 36 first HZ events, 34 (94.4%) resolved. Tofacitinib was temporarily discontinued in the single patient with a second HZ event, which resolved. Of all 36 patients experiencing a first HZ event, 88.9% received anti-viral treatment for systemic use (Figure S2), most commonly aciclovir, which was used in 58.3% of patients; most anti-virals were received within 3 days of HZ onset.

For the 34 first HZ events, median and mean time to resolution was 20.5 days and 24.4 days. respectively, overall (Fig. 4b). Time to resolution was numerically lower for single dermatomal versus multi-dermatomal cases (median 19.0 vs. 24.0 days) and anti-viral use versus no use (median 19.5 vs. 51.5 days) (Fig. 4b) numerically higher for patients with corticosteroid use within 14 days prior to the event versus no treatment (median 25.5 vs. 19.5 days) and for those receiving an average dose of tofacitinib 10 mg BID versus 5 mg BID (median 28.0 vs. 19.0 days) (Fig. 4b). The longest duration of HZ was 58 days. In the patient with a second HZ event, this was a single dermatomal, which resolved after 11 days.

There were no deaths due to HZ in patients with PsA receiving tofacitinib, while seven deaths (0.9% of N = 783) were reported due to other events, two of which occurred within 28 days of the last tofacitinib dose. Overall, 10 (27.8%) patients with HZ were hospitalized, compared with 118 (15.8%) patients without HZ. Of the ten patients with HZ who were hospitalized, one (2.8% of patients with HZ) was hospitalized specifically because of their HZ





**Fig. 4** Responses to HZ in patients with PsA receiving tofacitinib: **a** changes to tofacitinib treatment for first HZ event; **b** time to resolution<sup>a</sup> of first HZ event, stratified by dermatome involvement, history of HZ<sup>b</sup>, changes to tofacitinib treatment, anti-viral use, corticosteroid use<sup>c</sup>, and average tofacitinib dose. <sup>a</sup>Time to resolution in patients with both a date of onset and date of resolution

event while the remainder (N = 9; 25.0% of patients with HZ) were hospitalized because of other events.

for the HZ event. <sup>b</sup>Medical history of HZ prior to the first dose of tofacitinib. <sup>c</sup>Corticosteroid use within 14 days prior to the HZ event. *BID* twice daily, *HZ* herpes zoster, *N* number of patients with non-missing data, *PsA* psoriatic arthritis, *Q1* first quartile (25th percentile), *Q3* third quartile (75th percentile)

# DISCUSSION

This post hoc analysis provides the first detailed description of the management and outcomes

of HZ events in tofacitinib RA and PsA clinical studies. HZ was experienced by 11.1% of patients with RA, with an IR (95% CI) of 3.6 (3.4, 3.9) per 100 PY. These results were generally similar to IRs published for baricitinib and upadacitinib [21-23] and higher than rates reported for bDMARDs [24]. In patients with PsA, HZ was experienced by 4.6% of patients, with an IR (95% CI) of 1.8 (1.2, 2.4) per 100 PY. The majority of first and second HZ events were non-serious, mild or moderate in severity, and resolved. The reported difference in HZ incidence between the RA and PsA cohorts may be due to variations in patient number, tofacitinib exposure, and follow-up times. In addition, baseline differences in the RA and PsA cohorts. including higher proportions of patients of Asian ethnicity and concomitant corticosteroid use, may have contributed to the observed differences as both have been previously identified as independent risk factors for HZ [12]. Older age has also previously been identified as an independent risk factor for HZ in patients with RA receiving tofacitinib [6]. In both RA and PsA cohorts, more patients with HZ events were aged  $\geq$  45–< 65 years versus those with no HZ events while the proportion aged > 65 years was similar.

Recurrence of HZ was observed in 8.0% of patients with RA and 2.8% of patients with PsA. By contrast, recurrent HZ is quite rare in the general population; the majority of individuals who have HZ never experience another event during their lifetime. Indeed, a populationbased analysis of > 17,000 individuals who experienced one HZ event, followed over a total of > 1.8 million person-years, found that 0.4%had a second HZ event; the lowest risk period for recurrence was within 12 months after the first event [25]. Based on the results of the current analysis, the risk of recurrent HZ under the conditions of JAK inhibition appears to be higher, suggesting that cell-mediated immunity associated with a first HZ event is not as robust or protective as observed in the general population. Alternatively, this could suggest that there are mechanisms for VZV reactivation, beyond diminished cell-mediated immunity, that may occur with JAK inhibition. In patients with RA, the IR of HZ in all patients who received tofacitinib was similar to the IR of a second HZ event in patients with a first HZ event, suggesting that risk is not increased following the occurrence of HZ. To ensure that the first HZ event had truly resolved and there was no overlap, the IR for second HZ events was calculated only for events occurring > 30 days after the first HZ event resolved. As such, two events occurring in patients with RA < 30 days after the first HZ event resolved were not considered as recurrent; had these events been included, the IR would have been slightly lower as the follow-up time for all patients would have increased. The proportion of patients who had a second HZ event following temporary discontinuation of tofacitinib was similar to those who did not change their tofacitinib treatment, suggesting patients can continue treatment without increased risk.

A similar proportion of patients with RA experiencing a first or second HZ event temporarily discontinued tofacitinib or had no change to treatment. Conversely, in patients with PsA, the majority of patients temporarily discontinued tofacitinib treatment in response to their first HZ event. Few patients with RA or PsA permanently discontinued following HZ events. HZ outcomes, including HZ recurrence, were generally comparable for patients with RA who temporarily discontinued tofacitinib versus those with no treatment changes. Disease activity (as measured by DAS28-4[ESR]) for patients with RA was similar, regardless of any changes, or lack thereof, to their tofacitinib treatment including temporary discontinuation or continued without changes. By contrast, in a recent analysis of patients with RA who temporarily discontinued tofacitinib when receiving HZ vaccination, it was shown that disease activity worsened following withdrawal, but efficacy could be re-established when treatment was re-initiated [26]. Although the prescribing information for tofacitinib does not include recommended treatment adjustments in the event of HZ specifically, treatment interruption is recommended if patients develop a serious infection or opportunistic infection [27]. Temporary discontinuation is recommended in the prescribing information for baricitinib for patients with active HZ infection, while for

upadacitinib the prescribing information states that temporary discontinuation should be considered in these patients [28, 29].

In this analysis, anti-viral treatments were used in  $\sim 90\%$  of patients with RA or PsA who developed HZ while receiving to facitinib, consistent with guidelines that recommend anti-viral use in immunocompromised patients with HZ [30, 31]. Patients with RA who received anti-viral treatment following their first HZ event had similar outcomes to those who did not; however, the comparison was limited by the small number of patients who were not treated ( $\sim 10\%$ ). The majority of these patients received anti-viral treatment within 3 days of HZ onset, and similar outcomes were observed when considering only these patients who received early treatment.

While HZ events resolved in most patients, some events were reported as ongoing with no resolution date, and some patients with RA also had HZ events that lasted > 90 days. It is possible that such events represented PHN. For primary care providers, PHN may not necessarily present as a distinct entity but rather an extension of the original HZ infection [32]. It is usually diagnosed in patients who have recovered from the HZ skin rash but who have continued to experience pain for at least 3 months [32]. The effectiveness of anti-viral treatment in treating or preventing PHN is uncertain [32, 33].

Interestingly, the median time to resolution of second HZ events was shorter than for first HZ events in the RA cohort analyzed. The reason for this is unclear, but it could be the result of boosted cell-mediated immunity following the first HZ event. This is consistent with a study in 401 healthy subjects, 60 of whom had recurrent HZ and reported milder clinical symptoms, likely because of stronger VZV cellmediated immunity, in recurrent versus primary HZ [34]. In the present analysis, there were some numerical differences in median time to HZ resolution when stratified by clinical characteristics and management. In both RA and PsA cohorts, patients with single dermatomal involvement (vs. multidermatomal involvement) and those who received anti-viral treatment (vs. those who did not) had a shorter median time to resolution of first HZ events. Patients with RA and a history of HZ (vs. no history of HZ) or prior corticosteroid use (vs. no prior corticosteroid use) also had a relatively shorter time to resolution. Temporary discontinuation of tofacitinib (vs. no action taken) and prior corticosteroid use (vs. no prior corticosteroid use) were also associated with shorter time to resolution of second HZ events in patients with RA. However, patient numbers were small for some subgroups and so any numerical differences should be interpreted with caution. There were no deaths and few hospitalizations due to HZ in patients with RA or PsA.

While anti-viral therapy is recommended in immunocompromised patients with HZ [30]. another effective management strategy is the prevention of disease through vaccination [35]. Although availability and approvals vary by country, two vaccines are available for use in patients aged  $\geq$  50 years: a live zoster vaccine (LZV) [36] and an adjuvant recombinant subunit (HZ/su) vaccine [37]. LZV is contraindicated in patients who are immunocompromised [36]; there is currently no guidance regarding usage of HZ/su in immunocompromised patients [37]. LZV efficacy has been shown to be limited [38] and reduce with increasing age [39]. While LZV was well tolerated in a study of patients with RA, the incidence of HZ was similar regardless of vaccination [16]. As such, there has been an increased focus on the HZ/su vaccine, which appears to offer improved efficacy that does not decrease with increasing age [40].

Limitations of this analysis must be noted. This was a post hoc analysis of clinical studies that were not designed to assess clinical management of HZ. Sample sizes were limited, particularly for patients with PsA and for patients with a second HZ event. The analysis of time to HZ resolution did not account for whether antiviral treatment was received, except when stratified by anti-viral use. In addition, a proportion of HZ events ( $\sim 15\%$  for RA and  $\sim 14\%$  for PsA) occurred within 90 days of study discontinuation, and the limited follow-up period for these cases may have impacted the incidence of PHN or subsequent HZ events.

# CONCLUSION

In conclusion, although HZ risk is increased in patients with RA or PsA, and further increased with treatment with tofacitinib and other JAK inhibitors, this post hoc analysis demonstrated that HZ events in patients receiving tofacitinib were generally non-serious, mild or moderate in severity, and clinically manageable with events resolving in most patients. Further research is required to determine the mechanism by which tofacitinib increases HZ risk to optimize HZ management for tofacitinib and other JAK inhibitor-treated patients and to assess the efficacy and safety of the HZ/su vaccine in patients with RA or PsA receiving tofacitinib and other JAK inhibitors.

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**Data Availability.** Upon request, and subject to review, Pfizer will provide the data that support the findings of this study. Subject to certain criteria, conditions, and exceptions, Pfizer may also provide access to the related

individual de-identified participant data. See https://www.pfizer.com/science/clinical-trials/trial-data-and-results for more information.

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