Congenital abnormalities in children with cancer and their relatives: results from a case-control study (IRESCC*)

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Summary Several studies have revealed an excess of malformations in children with certain malignancies. A few environmental causes have been identified which may damage the foetus and lead to malformation and cancer. However, most of the numerous recognised cancer/malformation syndromes are genetically determined.

This report describes a case-control study of 555 newly diagnosed children with cancer and 1,110 matched controls, chosen from general practitioner lists (GP controls) and hospital admissions (H controls). Their parents were interviewed on topics of possible aetiological significance and medical records were checked to confirm reports at interview. The numbers of congenital malformations in the index and GP control children, and the relatives of the index children, the GP and H controls are described.

There were more children with malformations among the cases (60/555) than among the GP controls (27/555), $P \le 0.001$. The abnormalities in the cases included eight with specific chromosomal/genetic conditions (e.g. Down's syndrome, XY gonadal dysgenesis, Von Recklinghausen's neurofibromatosis, Goldenhar's syndrome) whereas only one GP control child had a chromosomal defect ($P \le 0.05$). Five case children but no GP controls had neural tube defects; this is not statistically significant.

No excess of malformations was found in the siblings of cases compared with GP and H control siblings. Case mothers had a small excess of malformations (22/555) compared with GP controls (8/555), P < 0.05. Among more distant relatives the results were difficult to interpret because of the relatively small numbers in the diagnostic subgroups and because of apparent under reporting in grandparents, but no striking differences were seen between case and control relatives.

The excess of malformations found in children with cancer, compared with controls, without a similar excess of malformations in their close relatives may indicate that in some (perhaps very roughly one in 20) cases antenatal events may lead both to the malformation and the malignancy.

In Western developed countries childhood cancers represent only about 0.5% of all human cancers and differ in many ways from those in adults. In these countries about one third are acute leukaemias, 10-15% lymphomas, 28% central nervous system tumours and 15% various types of embryonic tumours. On the other hand, adult cancers are mostly carcinomas, 'associated with prolonged exposure to a hostile environment' (Dodet & Lenoir, 1990). About 40% of paediatric malignancies in Western countries develop before the age of 5 years and the few environmental causes so far identified have mostly exerted their effect ante-natally and may also lead to malformation. Examples have been antenatal exposure to diethylstilboestrol causing adenocarcinoma of the vagina, dysplasia of vagina and cervix and maldescent and tumour of the testis (Herbst et al., 1971; Bibbo et al., 1977; Brown et al., 1986); antenatal diagnostic irradiation and subsequent cancers especially leukaemias (Stewart et al., 1956) or mental retardation (Mole, 1979); antenatal exposure to phenytoin causing the foetal hydantoin syndrome and neuroblastoma (Allen et al., 1980; Ehrenbard & Chaganti, 1981); and adrenal carcinoma (Hornstein et al., 1977) or hepatoblastoma (Khan et al., 1979) associated with foetal alcohol syndrome caused by antenatal exposure to alcohol (though the associations between childhood cancer and antenatal exposure to either alcohol or phenytoin are based only on case reports, not controlled studies).

On the whole genetically determined factors seem to be more important than environmental agents in the aetiology

of childhood cancers, although they may interact with each other. Knudson proposed a 'two mutation' or 'two hit' hypothesis for the development of retinoblastoma (Knudson, 1971; Hethcote & Knudson, 1978; Knudson, 1978) which has since been shown by DNA technology to be correct (Cavenee et al., 1983) and may apply to several other childhood cancers too. However, although a large number of specific anomalies has been described in association with certain childhood cancers (Schimpke, 1978; Knudson, 1986; Dodet & Lenoir, 1990) such as sporadic aniridia, genito-urinary anomalies and mental retardation with Wilms' tumour due to chromosome 11p 13 deletions (Riccardi et al., 1978) there have also been reports of an excess of various less specific anomalies in children with Wilms' tumour (Miller et al., 1964), germ cell tumours (Birch, 1980; Birch et al., 1982; Johnston et al., 1986), rhabdomyosarcomas (Ruymann et al., 1988) and liver tumours (Mann et al., 1990).

The purposes of the investigation described in this report were to quantify the association of congenital malformations with childhood cancers, and, by studying the incidence of malformations in relatives, to determine to what extent these were inherited and to what extent they might represent new mutations. In addition, family pedigrees were examined for evidence of known and hitherto unrecognised cancerassociated syndromes.

Methods

The parents of 555 children who were newly diagnosed to have cancer and resident in the West Midlands, North West and Yorkshire Health Authority Regions in England were interviewed with a standard questionnaire. Each case child was matched for age and sex with two control children selected from general practitioner lists, designated GP controls (GPC), and hospital admissions, designated hospital

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controls (HC). The detailed methodology, which has been described elsewhere (Birch *et al.*, 1985), included the verification of information gained at interview by reference to medical records, both of cases and controls. This process included examination of the obstetric records and the general practitioners' records for *all* cases and controls, irrespective of whether a malformation in the index child had been reported at interview. Appropriate research ethical committee approvals were obtained.

Congenital malformations in the index children and their relatives were defined as those defects listed in Chapter XIV of The Ninth Revision of the International Classification of Diseases (WHO, 1977) and also neurofibromatosis, congenital deafness and congenital and infantile hernia. For the index children we included, in addition, sacral dimples and tufts of hair over the lumbo-sacral spine. In studying the incidence of congenital malformations in the index children, only the cases and GPC were compared, since children with major defects were not considered eligible to be HC (Birch et al., 1985). For siblings, parents, grandparents and 'other relatives' (uncles, aunts, half uncles, half aunts and cousins), comparisons were made between the numbers of malformations in these relatives of case, GPC and HC children. For index children, siblings and parents, only malformations which had been confirmed by inspection of medical records were used in the analysis but for grandparents and 'other relatives', whose records were often unobtainable, malformations reported at interview were analysed. For all relatives we excluded birthmarks, naevi, skin tags, café au lait spots and any condition of dubious significance. Anomalies in stillborn relatives were included in the analyses. Maternal half siblings were included in the sibling totals.

Tables for case-control comparisons were produced using SPSS-X (1985). Yates correction was included in the analysis. McNemar's matched pair analysis was used for comparison of congenital anomalies in the index cases and GP control children and also for comparison of case and control parents (Fleiss, 1973).

Results

The total numbers of individuals with congenital malformations among the case and control groups are shown in respect of the index children, their siblings, parents, grandparents and other relatives in Table I.

Index children

The numbers of children with congenital malformations in the cases and GP controls are shown for each diagnostic group in Table II. There were more case than control children with malformations in nine of the 14 diagnostic groups: however the only group to show a significant difference was the germ cell tumours, as previously reported (Johnston *et al.*, 1986). For all groups together a total of 60 case children had malformations, compared with 27 in the control group, a highly significant difference, P < 0.001. The excess of malformations in all the patients with embryonal tumours grouped together (Wilms', neuroblastoma, retinoblastoma, hepatoblastoma and germ cell tumours) was statistically significant (Cl8, GP controls 7, P < 0.05).

In Table III the nature of the malformations recorded in the case children is described for each diagnostic group and in Table IV the malformations recorded in the GP controls are listed. The anomalies observed were of many types and affected most organs and systems.

The commoner anomalies are summarised as a casecontrol comparison in Table V. A total of eight case children had chromosomal or genetic conditions of which a number are already known to pre-dispose to malignancy. Of these, Down's syndrome was present in three children with leukaemia, and a child with X-linked XY gonadal dysgenesis had gonadoblastoma (previously reported, Mann *et al.*, 1983). Also, a boy with skin lesions and IgA deficiency had adenocarcinoma of the caecum and later died of non-Hodgkin's lymphoma; his brother, who also had skin lesions, IgA deficiency and cystic hygroma had glioblastoma multi-

Table	I	Numbers	of	relatives	with	congenital	malformations
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	Case	GP control	Hospital control
Number of index children with malformations ^a	555	555	555
	60 (10.8%)	27 (4.9%)	-
Number of siblings studied with malformations ^a	843	779 ` ´	814
-	35 (4.2%)	38 (4.9%)	42 (5.2%)
Number of parents studied with malformations ^a	1,086	1,090	1,078
-	28 (2.7%)	15 (1.4%)	18 (1.7%)
Number of grandparents studied with malformations ^b	2,113	2,108	2,149 ` ´
	17 (0.8%)	28 (1.3%)	14 (0.7%)
Number of other relatives studied with malformations ^b	7,836	8,199	7,522 `
	205 (2.6%)	175 (2.1%)	205 (2.7%)

^aOnly malformations confirmed by inspection of medical records were included. ^bAll malformations reported at interview were included.

Table II	Number	of index	children	with	congenital	malformations
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Diagnostic group	No.	No. of case children with malformations	No. of GP control children with malformations	Significance
Acute lymphoblastic leukaemia	148	11	8	NS
Other leukaemias	23	3	0	NS
Hodgkin's disease	32	4	1	NS
Other lymphomas	31	3	4	NS
Central nervous system tumours	78	10	3ª	NS
Soft tissue sarcoma	43	6	1	NS
Bone tumours	30	3	2	NS
Wilms' tumour	32	5	1	NS
Neuroblastoma	35	4	4	NS
Retinoblastoma	6	0	1	NS
Hepatoblastoma	6	2	0	NS
Germ cell tumours	41	7	1	P < 0.05
Epithelial tumours	22	1	0	NS
Other neoplasms	28	1	1	NS
Total	555	60	27	P < 0.001

NS = P > 0.05 – not significant. *Four were reported in the paper by Birch *et al.* (1990) but one was a hairy mole/naevus and therefore was excluded from this paper.

Table III Congenital malformations in case children

Diagnosis	Description of malformation
Acute lymphoblastic leukaemia	Down's syndrome (Trisomy 21) Down's syndrome mosaic (80% of karyotype 47XY) Goldenhar's syndrome Coarctation of aorta and bilateral valgus deformity of feet Undescended testes Glandular hypospadias ^a Encysted hydrocoele of spermatic cord Hip deformity Large skull ^a Ptosis left eyelid Bowed legs – internal tibial torsion, inguinal hernia ^a
Other leukaemias	Down's syndrome Spina bifida and hydrocephalus Varus deformity of foot
Hodgkin's disease	Ventricular septal defect Pyloric stenosis Talipes and malformed toe Supernumerary thumb
Other lymphomas	Hypertrophy and port wine stain of arm, and naevi of trunk Undescended testis Polycystic kidneys
Central nervous system	Bilateral undescended testes Undescended testis Unstable hips Dislocated hip Talipes equino varus Bilateral metatarsus varus Scoliosis, squint, ^a pigeon chest Epicanthic folds ^a Sternomastoid tumour ^a Left auricular sinus
Soft tissue sarcoma	Tongue tie Deep sacral dimple and raised AFP in pregnancy Hypospadias and club foot Undescended testis Absent phalanx left 5th finger and bilateral clinodactyly Over-riding 2nd and 3rd toes left foot and right 4th toe sticks forward ^a
Bone tumours	Meningomyelocoele Absent left kidney and ureter ^a Low set ears, 'clicking' hips, haemangioma of left leg, genitals and buttocks
Wilms' tumour	Plagiocephaly Dislocated hip Bilateral talipes equino varus, low set ears, short palpebral fissures ^a Kyphoscoliosis, short neck, multiple spinal anomalies, absent ribs, large tongue, small fontanelle, spina bifida occulta, accessory nipple and hirsutism Sinus and spina bifida at S1
Neuroblastoma	Cleft palate Patent ductus arteriosus Tuft of hair over lumbar spine Sacral dimple ^a
Hepatoblastoma	Ventricular septal defect Small penis and red pigmentation of arm
Germ cell tumours	Heart murmur? VSD Cervical meningocoele Bilateral undescended testes X-linked XY gonadal dysgenesis Duplex kidney with reflux and pyelonephritis ^a Right metatarsus varus ^a Bilateral bat ears ^a
Epithelial tumours (carcinoma of caecum and later non-Hodgkin's lymphoma)	Skin lesions, IgA deficiency, (brother with skin lesions, IgA deficiency, cystic hygroma, glioma and carcinoma of rectum)
Multiple large plexiform neurofibromas	Von Recklinghausen's neurofibromatosis

^aCondition which was noted from inspection of medical records, not reported at interview.

forme and carcinoma of the rectum. These boys have been reported elsewhere (Al Sheyyab *et al.*, 1993). A child with von Recklinghausen's neurofibromatosis had multiple large plexiform neurofibromas, a child with polycystic kidneys had a lymphoma and a child with Goldenhar's syndrome had leukaemia. In contrast, only one child in the GP control

group had a chromosomal/genetic disorder, which was a ring chromosome 16/17 associated with low set ears and talipes. The case/control excess for chromosomal/genetic disorders was 8 to 1. For neural tube defects (including spina bifida occulta) the excess was 5 to 0; this difference is not statistically significant (P > 0.05). The neural tube defects were

Table IV Congenital manormations in OF control clinut	Fable	IV	Congenital	malformations	in	GP	control	childre
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Description of malformations	No. of GP control children affected
Pigeon chest ^a	1
Hypospadias	1
Peno-scrotal webbing ^a	1
Umbilical hernia and pylorospasm	1
Metatarsus varus (\pm other abnormalities of legs/feet)	3
Metatarsus varus and bilateral ptosis	1
Valgus deformity of feet (and knees in 1)	3
Talipes	1
Dislocated hip(s) (and umbilical hernia in 1)	3
Sternomastoid tumour	1
Undescended testis (testes) ^a	2
Poorly developed skull bones, occipital narrowing, haemangioma buttock	1
Bilateral trigger thumb ^a	1
Bilateral genu valgus	1
Webbing 1st and 2nd toes both feet	1
Tongue tie ^a	2
Ring chromosome 16/17, low set ears, talipes	1
Sacral dimple	1
Bilateral pre-auricular sinuses	1

^aCondition which was noted from inspection of medical records, not reported at interview

	Table	V	Case-control	comparison	of	malformations	in	index	children
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Type of malformation	No. of cases affected	No. of GP controls affected
Chromosomal anomalies	3	1
Single-gene disorders	5	0
Neural tube defects (including spina bifida occulta)	5	0
Sacral dimple/hair	3	1
Congenital heart disease/murmur	5	0
Hypospadias	2	1
Undescended testicle(s)	6	2
Positional hip, leg and foot deformities	15	13

present in cases with leukaemia (1), Ewing's tumour (1), Wilms' tumour (2) and germ cell tumour (1). In one of these the spina bifida occulta was part of a syndrome of multiple abnormalities (Wilms' tumour – see Table III). When chromosomal/genetic disorders were excluded there remained a statistically significant case/control excess of abnormalities (52 in cases and 26 in controls, <0.01). Congenital heart disease/murmur was present in five cases but no controls; undescended testicle was commoner (though not significantly) in cases than controls. No significant case/control difference was present for positional hip, leg and foot deformities.

Siblings and parents

There were no differences between case and control groups in the proportions of siblings with malformations either for the groups as a whole or for the diagnostic sub-groups. Chromosomal/genetic anomalies were present in two case siblings (Down's syndrome, Prader-Willi syndrome), two HC siblings (both Down's syndrome) and three GPC siblings (two Turner's syndrome, one Down's syndrome). Neural tube defects were present in three case, three GPC and eight HC siblings. In two case families more than one sibling had congenital anomalies compared with 0 GPC and two HC families.

There was a significant difference for the numbers of mothers with anomalies in the case group as a whole when compared with the GPC group (22/555 vs 8/555, P < 0.05). However, the difference between case and HC mothers (22/555 vs 14/555) did not reach statistical significance. Seven case fathers, seven GPC fathers and four HC fathers were affected. The numbers of neural tube defects found in case and control parents were similar (two case, three GPC, one HC). A father of a child with a germ cell tumour had bilateral cystic kidneys and hypergammaglobulinaemia (Figure 1) and the mother of a case child with neurofibromatosis had a hare lip, numerous birthmarks and naevi and

an epithelial polyp; one HC parent also had neurofibromatosis.

Grandparents and other relatives

There were no significant differences between cases and controls in the total numbers of malformations reported at interview in these relatives. However, there appears to have been some under-reporting of malformations in grandparents, as the proportions with reported malformations among these relatives were substantially lower than among the other groups.

Among the other relatives group (uncles, aunts, cousins, half-aunts and uncles) there were similar percentages of individuals with malformations in the case and control groups overall. However, a statistically significant excess of congenital anomalies was found in case compared with GPC relatives in the acute lymphoblastic leukaemia diagnostic subgroup (49/1943 vs 33/2413, P<0.01) and specifically an excess of neural tube defects was reported in the case relatives (cases 8/1943, GP controls 1/2413, P < 0.05). The soft tissue sarcoma group showed an excess of anomalies between case and GP control relatives (24/256 vs 10/585, $P \le 0.01$) as did the neuroblastoma group (18/416 vs 13/648, P < 0.05). Significantly more anomalies in case than HC relatives were found in the Wilms' tumour group (16/449 vs 4/618, P < 0.01), and in the bone tumour group (15/565 vs 4/492, P < 0.05), but significant excesses were also found in HC vs case relatives in the Hodgkin's disease group (18/356 vs 10/617, $P \le 0.05$) and in GPC vs case relatives in the central nervous system tumours group (46/959 vs 30/1104, $P \le 0.05$). These results are difficult to interpret because of the large number of statistical tests that have been carried out. We report these findings for the sake of completeness, but would not attach any weight to them unless similar findings are reported from independent studies. In analysing these rates we have used chi-square tests ignoring both the



Figure 1 Pedigree of a boy with a teratoma.

matching of cases and controls and the possible correlations between relatives. This is a further reason for treating these results with some caution.

Family pedigrees

Few pedigrees showed familial patterns of malformation and cancer. Those which were of interest included X-linked XY gonadal dysgenesis (Mann *et al.*, 1983) and brothers with colorectal carcinoma and also, respectively, non-Hodgkin's lymphoma and glioma (Al Sheyyab *et al.*, 1993). Also, there were several individuals with neural tube defects in the family of a boy who had Goldenhar's syndrome and acute lymphoblastic leukaemia (Figure 2) and polycystic kidneys, neurofibromatosis, cerebellar tumour, diabetes and frontonasal dysplasia in the relatives of a boy with teratoma (Figure 1).

Discussion

There have been a number of reports that children with cancer have a higher incidence of malformations than do healthy children and many specific malformation/cancer syndromes and conditions predisposing to malignancy have been described (Schimpke, 1978; Knudson, 1986; Dodet & Lenoir, 1990). The genetic basis for many of these is now understood (Yunis, 1983; Green, 1988).

Miller et al. (1964) described congenital malformations in 66 (15%) of 440 cases of Wilms' tumour, including aniridia, microcephaly, mental retardation, hemihypertrophy, naevi, urinary-tract anomalies, gonadal anomalies and miscellaneous other defects. Thirteen per cent of 369 children with germ cell tumours reported by Fraumeni et al. (1973) had congenital malformations and Birch et al. (1982) drew particular attention to an excess of neural tube defects in children with germ cell tumours and their siblings. Among 115 children who died of rhabdomyosarcoma 37 (32%) were found at autopsy to have malformations of the central nervous, genitourinary, gastrointestinal and cardiovascular systems (Ruymann et al., 1988); among them were single cases of Rubinstein-Taybi syndrome, neurofibromatosis, horseshoe kidney, hemihypertrophy and Arnold-Chiari malformation. Also of 42 children with liver tumours nine (21%) had congenital defects or other possibly related features, including hemi-hypertrophy, Beckwith-Wiedemann syndrome, renal dysplasia, undescended testis, tyrosinosis, neonatal hepatitis, bifid ureters and a family history of polyposis coli (Mann et al., 1990).

Most such reports of an excess of malformations among children with cancer have used figures from normal populations for comparison. However, there are many difficulties inherent in using population figures as was described by Leck (1983). For example improved neonatal care may lead to an increase in the prevalence of malformations because more malformed infants may survive, while the overall incidence would be unaffected. Incomplete ascertainment is a problem, particularly for minor malformations and for stillbirths and neonatal deaths. Diagnoses may be inaccurate or malformations diagnosed may not be notified. Active programmes for ante-natal diagnosis and abortion of malformed foetuses can reduce the incidence of certain malformations, notably neural tube defects and Down's syndrome. Malformation rates are affected by social and other factors such as race, maternal age and parity, socio-economic status and parental consanguinity. The proportion of British children born with 'lethal and handicapping' malformations was about 2.5% using data based on work in Birmingham in the 1950's (Leck et al., 1968) and other British sources (Leck, 1983), but less serious malfomations were not included in this figure. Data from the National Children's Bureau 1958 Cohort Study and other sources suggested that in the 1960's between 3 and 4% of live and stillborn infants had serious defects (Wells, 1978).

It is thus clear than an assessment of the relevance of any apparent excess number of malformations in children with cancer and their relatives is best made by a case-control study with contemporary well-matched controls. Therefore, for our study we used two types of control for each case: a



Figure 2 Pedigree of a boy with Goldenhar's syndrome and acute lymphoblastic leukaemia.

child registered with the same general practice as the case (GP control) and a child admitted to hospital for an acute medical or surgical condition other than cancer (hospital control), both controls matched for age and sex with the case. As we specifically excluded as hospital controls children with conditions known to be associated with malignancy and certain other major malformations and diseases, the case-control comparisons for the index children could be made only between the cases and the GP control children. However, we did not consider it necessary to exclude the relatives of hospital controls from the case-control analyses for relatives.

The proportions of children with malformations among the GP controls (4.9%) and the siblings of the cases (4.2%), GP controls (4.9%) and hospital controls (5.2%) were similar. The malformations included the 'lethal and handicapping' conditions which affected 2.5% of the populations reviewed by Leck (1983) and also many less serious conditions as listed in Chapter XIV of ICD9 (WHO, 1977). Medical records were examined specifically for malformations and only those recorded in them were included in the analyses. Therefore the excess of malformations in the case children appears to be a genuine finding, particularly in view of the considerable excess of chromosomal/genetic anomalies, neural tube defects and other serious conditions. If the 'natural' incidence of malformations in the childhood population in the three regions was about 5%, then the excess malformations which can be presumed to be associated with the malignancies was just over 5% i.e. about one in twenty children with cancer may have a cancer/malformation syndrome, the cancer and the malformation perhaps sharing the same aetiology at molecular genetic level. This has already been shown to be the case for certain cancer/malformation syndromes, for example Wilms' tumour/aniridia (Riccardi et al., 1978). Study of the family pedigrees of our cases showed that very few of the children with cancer and malformations had similarly affected relatives. Thus in the majority the event leading to both the cancer and the malformation can be presumed to have occurred in the ovum or sperm or after fertilisation. Ante-natal irradiation did not appear to be responsible, and all the mothers' pelvic X-rays were performed late in pregnancy.

The excess of malformations in the case children was not confined to certain diagnostic sub-groups but was evident in nearly all of them, although did not reach statistical significance except in the germ cell tumours sub-group.

The case-control comparisons for the numbers of malformations in parents, grandparents and other relatives showed no striking differences, with the possible exception that significantly more mothers of cases had malformations than did mothers of GP controls; this result may have occurred by chance since the differences between mothers of cases and Hospital Controls and the comparisons for diagnostic subgroups were not significant. Also the numbers of affected

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individuals were small. It is interesting but perhaps not surprising that the percentages of parents, grandparents and other relatives with malformations were substantially lower than the figures for the index children and their siblings. Two explanations could account for this. Firstly, individuals with 'lethal and severely handicapping' malformations would have been unlikely to have achieved parenthood, grandparenthood etc. Leck et al. (1983) reported that such malformations affected 2.5% of persons in British populations. This figure added to the 34/2176 = 1.6% of control (HP + GPC) parents with malformations gives 4.1%, quite similar to the rates among GP control index children and siblings of cases and controls. Likewise, in the 'other relatives' group, the figure of 2.5% added to the 380/15721 = 2.4% of control (HC + GPC) 'other relatives' gives 4.9%. Secondly, the mothers of the index children, from whom the data were obtained at interview, were likely to have been less well informed about malformations (especially minor ones) in their more distant relatives than in their children, i.e. there was probably an element of under-reporting, mainly for grandparents.

Neural tube defects had occurred in paternal and maternal relatives of the child with Goldenhar's syndrome (oculoauriculo-vertebral dysplasia, coloboma of the eyelid, dermoid of conjunctiva, accessory auricular appendages, hypoplastic zygomatic arches) and acute lymphoblastic leukaemia (Figure 2). The relationship between these conditions is unclear and so is that between the various conditions in the pedigree of a child with a teratoma (Figure 1).

Our study suggests that the excess of malformations found in children with cancer, compared with controls, without a similar excess of malformations in their close relatives may indicate that in some cases, perhaps about one in twenty, antenatal events may lead both to the malformation and the malignancy.

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