#### 1 VOYAGER: an international consortium investigating the role of human papilloma

## 2 virus and genetics in oral and oropharyngeal cancer risk and survival

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## 4 Author list

- 5 Gormley M<sup>1,2,3\*</sup>, Adhikari A<sup>3</sup>, Dudding T<sup>1,2</sup>, Pring M<sup>2,3</sup>, Hurley K<sup>3</sup>, Macfarlane GJ<sup>4</sup>, Lagiou
- 6 P<sup>5</sup>, Lagiou A<sup>6</sup>, Polesel J<sup>7</sup>, Agudo A<sup>8</sup>, Alemany L<sup>9,10</sup>, Ahrens W<sup>11</sup>, Healy CM<sup>12</sup>, Conway DI
- 7 <sup>13</sup>, Canova C <sup>14</sup>, Holcatova I <sup>15</sup>, Richiardi L <sup>16</sup>, Znaor A <sup>17</sup>, Olshan AF <sup>18</sup>, Hung RJ <sup>19,20</sup>, Liu G
- 8 <sup>20, 21</sup>, Bratman S <sup>22</sup>, Zhao X <sup>23</sup>, Holt J <sup>23</sup>, Cortez R <sup>24</sup>, Gaborieau V <sup>24</sup>, McKay JD <sup>24</sup>, Waterboer
- 9 T<sup>25</sup>, Brennan P<sup>24</sup>, Hayes N<sup>23</sup>, Diergaarde B<sup>26</sup>, Virani S<sup>24\*</sup>
- 10
- 11 \* Corresponding authors
- 12

### 13 Affiliations

- <sup>14</sup> MRC Integrative Epidemiology Unit, Population Health Sciences, Bristol Medical School, University
- 15 of Bristol, Bristol, UK.
- <sup>2</sup> Bristol Dental School, University of Bristol, Bristol, UK.
- <sup>17</sup> <sup>3</sup> University Hospitals Bristol NHS Foundation Trust Bristol Dental Hospital, Bristol, UK
- 18 <sup>4</sup> School of Medicine, Medical Sciences and Nutrition, University of Aberdeen, UK
- 19 <sup>5</sup> School of Medicine, National and Kapodistrian University of Athens, Greece
- 20 <sup>6</sup> School of Public Health, University of West Attica, Greece
- <sup>7</sup> Unit of Cancer Epidemiology, Centro di Riferimento Oncologico di Aviano (CRO) National Cancer
- 22 Institute, IRCCS, Italy
- <sup>8</sup> Nutrition and Cancer Unit, Cancer Epidemiology Research Program, Catalan Institute of
- 24 Oncology/IDIBELL, Barcelona, Spain
- <sup>9</sup> Infections and Cancer Unit, Cancer Epidemiology Research Program, Catalan Institute of
- 26 Oncology/IDIBELL, Barcelona, Spain
- 27 <sup>10</sup> Centro de Investigación Biomédica en Red: Epidemiología y Salud Pública (CIBERESP
- 28 CB06/02/0073), Madrid, Spain.

- 29 <sup>11</sup> Epidemiological Methods and Etiological Research, Leibniz Institute for Prevention Research and
- 30 Epidemiology BIPS, Germany
- 31 <sup>12</sup> School of Dental Science, Dublin Dental University Hospital, Trinity College Dublin, Ireland
- 32 <sup>13</sup> School of Medicine, Dentistry, and Nursing, University of Glasgow, UK
- 33 <sup>14</sup> Department of Cardiac, Thoracic and Vascular Sciences University of Padova, Italy
- <sup>15</sup> Institute of Hygiene and Epidemiology, Charles University Prague, Czech Republic
- 35 <sup>16</sup> Reference Centre for Epidemiology and Cancer Prevention in Piemonte, Italy
- 36 <sup>17</sup> Cancer Surveillance, International Agency for Research on Cancer, France
- <sup>18</sup> Department of Epidemiology, Gillings School of Global Public Health, University of North Carolina,
- 38 US.
- <sup>19</sup> Prosserman Centre for Population Health Research, Lunenfeld-Tanenbaum Research Institute,
- 40 Sinai Health System, Toronto, Canada.
- 41 <sup>20</sup> Dalla Lana School of Public Health, University of Toronto, Toronto, Canada.
- 42 <sup>21</sup> Computational Biology and Medicine Program, Princess Margaret Cancer Centre, Toronto Canada
- 43 <sup>22</sup> Department of Radiation Oncology, Princess Margaret Cancer Centre, University Health Network,
- 44 University of Toronto, Toronto, Canada.
- 45 <sup>23</sup> Department of Medicine, University of Tennessee, USA
- 46 <sup>24</sup> Genomic Epidemiology Group, World Health Organization, International Agency for Research on
- 47 Cancer, Lyon, France.
- 48 <sup>25</sup> Infections and Cancer Epidemiology, German Cancer Research Center (DKFZ), Heidelberg,
- 49 Germany.
- <sup>26</sup> Department of Human Genetics, School of Public Health, University of Pittsburgh, and UPMC
- 51 Hillman Cancer Center, Pittsburgh, US.
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## 54 Abstract

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55	Head and neck cancer (HNC) is the sixth most common cancer globally. Incidence and
56	survival rates vary significantly across geographic regions and tumor subsites. This is partly
57	due to differences in risk factor exposure, which includes tobacco smoking, alcohol
58	consumption and human papillomavirus (HPV) infection, alongside detection and treatment
59	strategies. The VOYAGER (human papillomaVirus, Oral and oropharYngeal cAncer
60	GEnomic Research) consortium is a collaboration between five large North American and
61	European studies which generated data on 10,530 participants (7,233 cases and 3,297
62	controls). The primary goal of the collaboration was to improve understanding of the role of
63	HPV and genetic factors in oral cavity and oropharyngeal cancer risk and outcome.
64	Demographic and clinical data collected by the five studies were harmonized, and HPV
65	status was determined for the majority of cases. In addition, 999 tumors were sequenced to
66	define somatic mutations. These activities generated a comprehensive biomedical resource
67	that can be utilized to answer critical outstanding research questions to help improve HNC
68	prevention, early detection, treatment, and surveillance.
69	
70	Key words
71	Head and neck cancer, oral cancer, oropharyngeal cancer, human papilloma virus, risk
72	factors, survival.
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#### 82 Background

#### 83 Head and Neck Cancer Genomic Epidemiology

84	Head and neck cancer (HNC) which is primarily squamous cell carcinoma, includes cancers
85	of the oral cavity, pharynx and larynx.(1, 2) Globally, the incidence of oral and oropharyngeal
86	cancer is estimated at 8.0 and 2.0 per 100,000, respectively, and is predicted to increase by
87	30% by 2030.(3, 4) Five-year survival remains poor, averaging between $40 - 50\%$ with
88	hypopharynx cases experiencing the worst outcomes.(5) Incidence and survival rates vary
89	significantly across geographic regions and tumor subsites, partly due to differences in risk
90	factor exposure. Established HNC risk factors include tobacco smoking and alcohol intake,
91	which together account for a similar population attributable risk for both oral (64%) and
92	oropharyngeal (72%) cancer.(6) However, human papilloma virus (HPV) infection,
93	particularly high-risk subtype 16, has emerged as another major risk factor for oropharyngeal
94	cancer.(7-9) Worldwide, it is estimated that around 52,000 incident HNC cases are caused
95	by a persistent HPV infection each year, with attributable fractions highest in high-income
96	countries in North America and Europe.(10-13)
97	
98	Given the decline of tobacco use in developed countries, the incidence rate of HPV driven
99	[HPV(+)] oropharyngeal cancer is now surpassing that of oral cancer.(9-12, 14) HPV(+)
100	oropharyngeal tumors are considered distinct entities, demonstrating more favorable
101	treatment response and prognosis compared to non-HPV related oropharyngeal cancer
102	[HPV(-)].(8, 12, 15-17) This is likely due to differences in etiology, patient and tumor

103 characteristics, with HPV(+) oropharyngeal tumors presenting more frequently in younger

104 individuals (<65 years), and in those reporting higher numbers of sexual partners with

105 reduced cumulative tobacco exposure compared to HPV(-) cases.(12, 18) However, only a

106 small proportion of those with an oral HPV infection will develop HNC and despite better

107 long-term survival, up to 25% of patients still develop disease recurrence within 5 years after

- 108 initial diagnosis.(19) To improve prevention, early detection and prognosis, a better
- 109 understanding of the role of host genetics and interactions with modifiable risk factors, such

110 as tobacco and alcohol use in oral and oropharyngeal cancer risk and survival is

111 required.(20)

112

113	HPV driven carcinogenesis is characterized by increased expression of the viral oncogenes
114	E6 and E7, leading to increased degradation of tumor suppressor proteins p53 and Rb,
115	respectively and loss of cell cycle activation. This can result in genomic instability and
116	resistance to apoptosis.(21, 22) HPV(+) and HPV(-) head and neck tumors harbor a similar
117	burden of somatic variants. However, HPV(+) oropharyngeal tumors carry fewer copy-
118	number alterations, suggesting a higher degree of genomic stability.(23-26) Genome
119	profiling studies have provided a list of genes that are recurrently mutated in HNC, including
120	TP53, CDKN2A (which encodes for p16 <sup>INK4</sup> ), NOTCH1 and PIK3CA.(23, 24, 27-29) Genes
121	recurrently mutated in HPV(+) oropharyngeal cancer are related to epithelial structure and
122	differentiation, in addition to RB1 (encoding the Rb protein).(12, 23-26) The presence or
123	absence of particular somatic alterations in tumors may be good markers of cancer
124	prognosis and response to treatment, but there is still a need to identify novel somatic driver
125	alterations, particularly as relatively few HPV(+) oropharyngeal cancer cases have been
126	sequenced to date.(24, 30, 31) Identification of molecular markers associated with prognosis
127	could facilitate better monitoring and clinical decision making, including the use of de-
128	escalation treatment strategies among those at lower risk of recurrence or progression as a
129	means to improve quality of life and, conversely, more aggressive treatment in those
130	deemed at higher risk.
131	
132	Compared to other major cancer sites such as breast, lung and colorectal, HNC is relatively
133	rare, hampering research efforts. Collaboration between studies to form extensive
134	biomedical databases and resources plays a crucial role in driving progress across various

135 domains of cancer research.

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- 137

#### 138 **Construction and content**

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## 140 The VOYAGER Consortium: Design

- 141 In 2016, the VOYAGER (human papillomaVirus, Oral and oropharYngeal cAncer GEnomic
- 142 Research) consortium was established, bringing together five large North American and
- 143 European studies (Figure 1) with a focus on oral and oropharyngeal cancers. The primary
- 144 goal of the collaboration was to improve understanding of the role of HPV and genomic
- 145 factors in oral cavity and oropharyngeal cancer risk and outcome. The project was funded by
- 146 the US National Institute of Dental and Craniofacial Research (NIDCR; R01DE025712).
- 147

148 The VOYAGER consortium includes 10,530 participants in total (6,489 oral and

149 oropharyngeal cancer cases, 744 other head and neck cancer cases, and 3,297 controls),

150 with detailed demographic, risk factor and clinical data. The five studies comprising

- 151 VOYAGER have been previously described and are: (a) the Alcohol-related cancers and
- 152 genetic susceptibility in Europe (ARCAGE) study (32), (b) the Toronto Mount Sinai Hospital-
- 153 Princess Margaret (MSH-PMH) study (Toronto) (33), (c) the University of Pittsburgh case-
- 154 control study on head and neck cancer (Pittsburgh) (34), (d) the Carolina Head and Neck
- 155 Cancer Epidemiology (CHANCE) study (35), and (e) the Head and Neck 5000 study
- 156 (HN5000) (36). Ethical approval was obtained as described in the **Declarations** section
- 157 below.
- 158

159 Each study contributed oral cavity and oropharyngeal cancer cases as defined by the

160 following ICD-10 codes: oral cavity (C00.3-C00.6, C00.8-C00.9, C02.0-C02.3, C02.8, C02.9,

161 C03.0-C03.9, C04.0-C04.9, C05.0, C05.8, C05.9, C06.0-C06.9) oropharynx (C01-C01.9,

162 C02.4, C05.1-C05.2, C09.0-C10.9), head and neck not otherwise specified (NOS) (C76.0),

163 pharynx NOS (C14.0), or any cases with overlap of these sites. Demographic information,

- 164 including age, sex, ethnicity, geographic region and education level, and information on
- 165 established risk factors, e.g., smoking and alcohol history, were shared. Clinical variables

- 166 included ICD code, tumor, nodal and metastasis status, HPV status (defined by p16 or HPV
- 167 DNA), vital status, follow-up time, and treatment information. Given all cases were
- 168 diagnosed between 2002 2018, the American Joint Committee on Cancer (AJCC) 7<sup>th</sup>
- 169 edition was used, mirroring the staging used in clinical practice.(37)
- 170

#### 171 Data Generation and Harmonization

- 172 The VOYAGER consortium generated several different types of data including clinical,
- 173 demographic and behavior variables. Data harmonization was conducted for variables that
- were centralized across all studies, as illustrated in **Tables 1** and **2**. Upon receipt of data,
- 175 cleaning and validation checks were conducted to identify inconsistencies, outliers, and
- 176 missing values. Follow-up data was carefully collated and harmonized to capture follow-up
- 177 times, progression events, vital status and cause of death to facilitate high-quality prognostic
- 178 research. A comprehensive data dictionary was developed to specify final definitions,
- 179 formats, permissible values, coding schemes and classifications (i.e., for categorical
- 180 variables). Version control was implemented to ensure consistency of data use across
- 181 analyses. Further detail on the statistical analyses performed is available in the
- 182 Supplementary Methods.
- 183
- 184 HPV16 E6 serology was prioritized as a marker of HPV(+) oropharyngeal cancer as this has
- 185 been shown to be a highly sensitive and specific marker of HPV oncogenic infection in
- 186 oropharyngeal cancer and can be easily assayed from blood.(38, 39) Multiplex serology was
- 187 performed on 75% (n= 5,294) of all HNC cases and 92% (n= 3,250) of oropharyngeal cancer
- 188 cases using a previously developed Luminex assay.(40, 41) Multiplex serology generates
- 189 quantitative data expressed in median fluorescence intensity (MFI) units for each pathogen-
- 190 specific antigen and serum. Seropositivity for every antigen was based on previously
- 191 determined standardized cut-offs in order to optimize sensitivity and specificity.(42, 43)
- 192 When serology was missing, two concordant tumor markers, p16 immunohistochemistry
- 193 (IHC) and high-risk HPV DNA in-situ hybridization (ISH) were required to determine HPV

194	status. If p16 IHC and HPV DNA ISH were discordant or only one marker was available,
195	then HPV status was unknown. This algorithm was based on evaluation of biomarkers
196	performance compared to molecular reference method (serology) from known data, led by
197	consortium members.(39, 44)
198	
199	Genotyping data was generated at the Center for Inherited Disease Research (CIDR) in
200	several rounds. The first round (X01HG007780) was performed using the Illumina
201	OncoArray, which was custom designed for cancer studies by the OncoArray Consortium
202	part of the Genetic Associations and Mechanisms in Oncology (GAME-ON) Network. All
203	samples (6,034 cases and 6,585 controls) were genotyped as part of the oral and pharynx
204	cancer OncoArray study, except for 1,023 controls from the Toronto study which were
205	genotyped as part of the Lung OncoArray. This genotyping data was used to conduct the
206	first genome-wide association study (GWAS) on head and neck cancer in 2017.(45) With the
207	confirmation of HPV status, genotyping data were also used to run a GWAS of oral and
208	oropharyngeal cancer, stratified by HPV status.(46) A second round of genotyping was
209	undertaken (X01HG010743) for an additional 1,491 samples in VOYAGER. This was
210	conducted on the All of Us Array, an Illumina array customized for the All of Us Consortium
211	and designed to include multiethnic context.(47) The genotyping data from OncoArray and
212	the All of Us Array, has contributed to the largest HNC GWAS to date including 19,073
213	cases and 38,357 controls identifying 29 independent genetic loci.(48)
214 215	DNA tumor sequencing data were also generated for 999 samples in the VOYAGER
215	consortium using a custom cancer gene nanel that has been previously reported (40-53)
210	concertain aging a custom cancer gene parter that has been previously reported. (43-55)

Next generation sequencing was performed using the Agilent SureSelect protocol and
reagents according to manufacturer's specifications. The assay targets all genes of the
Cancer Gene Census, in addition to clinically relevant targets such as drug metabolizing
enzymes. The assay also performs whole genome sequencing of HPV16 and 18 using
methodology previously reported to offer clinical diagnostic accuracy comparable or better to

222 conventional approaches while at the same time capturing base level resolution across the 223 HPV genome.(50) The resulting libraries were sequenced on Illumina sequencers, primarily 224 NovaSeg according to manufacturer's specifications. Further details of the assay can be 225 found elsewhere.(54) Target depth was mean exon coverage of 500x coverage. All analytic 226 tools and the pipelines for integrating steps have been publicly reported and are available as 227 open source software including: BWA for sequence alignment (55), NGS Copy (54) for copy 228 number assessment, Strelka (56), ABRA for realignment and structural variant detection 229 (57), and UNMASC for variant prioritization and filtering.(49) 230

# 231 VOYAGER Data Resource: Clinical and Demographic Profile

232 The VOYAGER consortium comprises 10,530 participants in total (6,489 oral and

233 oropharyngeal cancer cases, 744 other head and neck cancer cases, and 3,297 controls).

234 The primary focus was on oral cavity and oropharyngeal cancers, with systematically

235 planned and standardized inclusion criteria using ICD-10 coding. However, additional cases

from other head and neck subsites were also included opportunistically. In total, 3,514

237 oropharynx cancers and 2,975 oral cavity cancers, alongside 744 cases from several other

head and neck cancer subsites were included. The controls included were used for the

239 genotyping studies. To facilitate the use of this resource, we present here a brief description

240 of the oral cavity and oropharyngeal cancers and controls, while noting that this resource

241 contains additional head and neck subsites, described in Error! Reference source not found.,

that may be valuable to the scientific community.

243

Cancer cases were contributed to by HN5000 (41%), followed by Toronto (23%), Pittsburgh (13%), CHANCE (12%) and ARCAGE (11%). There were significant differences (p <0.0001) in anatomical site and staging across studies, with HN5000 contributing the highest number of oropharyngeal cancer cases (45%) and therefore higher numbers of late (stage III and IV) disease (**Supplementary Table 1**). Differences were also detected between cases versus controls across all clinical and demographic variables, except age (p= 0.308) (**Table 1**).

250 HPV16 E6 serology status was available for 3,250 (92%) oropharyngeal cancer cases, of 251 which 61% were HPV(+) (Figure 1; Table 1). When serology was missing, p16 and high-risk 252 HPV DNA ISH concordance determined HPV oropharyngeal cancer status in the remaining 253 ~8% of cases. There was good concordance between p16 and HPV DNA ISH. The 254 proportion of HPV(+) and HPV(-) oropharyngeal tumors was similar across North American 255 and European regions (p=0.156), but these cases varied in terms of all other demographic, 256 clinical and risk factor behavior variables (Table 1; Table 2). 257 258 There are in total 2,975 oral cavity, and 3,514 oropharyngeal cancer cases (2,138 HPV(+), 259 1,146 HPV(-) and 230 HPV status unknown oropharyngeal cancer cases included in 260 VOYAGER (Table 1). Most cases presented in males (73%), outnumbering females across 261 all subsites. The overall mean age at diagnosis in all cases was 60 years (SD= 10.7), with 262 the lowest mean age observed in the HPV(+) oropharyngeal cancer group (58 years (SD= 263 8.9)). Overall age at diagnosis for oropharyngeal cancer (59 (SD= 9.6)) was significantly 264 younger than that of oral cavity (61 (SD= 12.2)) (p< 0.0001) (Table 1). Only 3% of oral and 265 oropharyngeal squamous cell carcinoma cases (n= 224) presented under 40 years old, and 266 these were predominantly patients with cancer of the oral cavity (71%). The highest 267 frequency of postsecondary education was observed in the HPV(+) oropharyngeal cancer 268 group (42%), with the lowest in the HPV(-) oropharyngeal group (28%) (Table 1). 269 270 Most cases were current (33%) or former smokers (42%), except for the HPV(+) 271 oropharyngeal group which had the largest never smoker population (34%) with significant 272 differences in smoking found between subsites (p< 0.0001) (**Table 2**). Similarly, more than 273 half (63%) of cases reported current alcohol drinking, which was consistent across subsites. 274 The proportion of never drinkers was different in oral cavity (16%) compared to 275 oropharyngeal cancer (12%), and significantly lower in total cases (14%) versus controls 276 (22%) (p< 0.0001) (Table 2). Overweight BMI was most common in the HPV(+)

277 oropharyngeal cancer subsite (40%), with underweight BMI being the least frequently

recorded category across oral and oropharyngeal cancer cases. There were significant
 differences between total cases and controls across all BMI categories (p<0.0001) (Table 2).</li>
 280

281 Overall, significantly more oropharyngeal cancer cases presented at stage IV (72%

compared to oral cavity cancers which presented earlier, at stage I (28%) and II (22%)

283 (p<0.0001) (**Table 1**). Non-surgical treatment using radiotherapy, with or without

chemotherapy, was the most common treatment modality for oropharyngeal cancer (66%).

285 Surgery was the most common treatment modality for cases of oral cavity cancer, with

almost half (47%) of these patients receiving surgery alone and another 40% undergoing

surgery plus adjuvant radiotherapy with or without chemotherapy. Collecting information on

288 disease outcome was a primary focus of the consortium given the high risk of recurrence

and poor survival associated with HNC. The median length of follow-up time for oral and

290 oropharyngeal cancer cases was 5.3 years. Overall median survival time was 9.8 years, and

the five-year survival rate was 66% across all oral cavity and oropharyngeal cancer sites

292 (**Table 3**).

293

294 Patients with HPV(+) oropharyngeal cancer had the best overall survival, with a median 295 survival time of 14.3 years and 5-year survival at 81% (Table 3 and Figure 2). For patients 296 where HPV status was not available, probability of survival at 5 years fell between that of 297 HPV(+) and HPV(-) oropharyngeal cancer patients, at 63%, indicating these were likely a 298 mix of patients with and without HPV(+) oropharyngeal cancer. The probability of being 299 progression-free at 5 years after diagnosis was highest for HPV(+) oropharyngeal cancer 300 patients at 75%, and similar for all other subsites, ranging from 44% – 50%. Overall, the 301 probability of being progression-free at 5 years was 57%. Disease-specific survival reflected 302 similar trends across subsites (Table 3 and Figure 2). HPV(+) oropharyngeal cancer 303 patients had the highest probability of disease-specific survival at 5 years (88%) and HPV(-) 304 oropharyngeal cancer had the lowest (67%). Across all cancers, the probability of disease-305 specific survival was 78% (Table 3 and Figure 2).

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307	Median length of follow-up time across studies varied, but not significantly so. Median length
308	of follow-up time was 8.4 years for ARCAGE, 10.0 years for CHANCE, 4.9 years for
309	HN5000, 5.7 years for Pittsburgh and 5.2 years for Toronto (p= 0.4). Patients from the
310	ARCAGE study have lower overall, progression-free and disease-specific survival compared
311	to other studies for HPV(+) oropharyngeal cancers, however, there were only 63 HPV(+)
312	oropharyngeal cancer cases in this cohort (Supplementary Figures 1 - 3). In addition, the
313	smoking rates of patients in ARCAGE is much higher compared to other studies and this has
314	been shown to affect interpretation of its HPV(+) oropharyngeal cancer profiles(38).
315	
316	The clinical, demographic and survival profile of the VOYAGER cohort are characteristic of
317	what we know of HNC patients, epidemiologically and clinically.(4, 58, 59) We show the
318	predominance of male patients, the established differences in age at diagnosis, education
319	and survival of HPV driven and non-HPV cancers, and the expected distributions of risk
320	factor behaviors.(4, 58) Therefore, VOYAGER provides a reliable representative resource
321	for further research into this patient population. Importantly, the overlap of data with follow-
322	up data, somatic tumor sequencing and germline genotyping is a strength of this resource
323	(Figure 3).

324

## 325 Utility and discussion

326 Several important findings have come from the VOYAGER consortium. Previous GWAS 327 studies of oral and oropharyngeal cancer conducted by our group highlighted the important 328 role of the human leukocyte antigen (HLA) region (6p21.3) in susceptibility to oropharyngeal 329 cancer.(45) In particular, a two-fold protective effect was observed for oropharyngeal cancer 330 and the HLA haplotype DRB1\*1301-DQA1\*0103-DQB1\*0603. This haplotype was previously 331 reported to also be protective for cervical cancer, a cancer type that is primarily driven by 332 HPV infection. In VOYAGER, HPV status was determined in 92% of oropharyngeal tumors 333 via HPV16 E6 serology enabling the first GWAS focused on HPV driven HNCs using fine

mapping techniques.(46) Within the 6p21.3 locus, there were two specific loci (rs4713462
and rs9269942) independently associated with reduced risk of oropharyngeal cancer
(Figure 4). These loci were separately associated with antibodies against specific HPV16
proteins which implicates specific germline variants in the natural immune response against
HPV(+) oropharyngeal cancer, supporting the use of therapeutic vaccines to protect against
this disease.

340

341 A recent publication utilized VOYAGER data to develop a risk prediction model for HNC 342 including genetic markers, HPV serostatus, demographic and lifestyle risk factors in 343 populations of European ancestry. The addition of HPV serology provided substantial 344 predictive accuracy for oropharyngeal cancer (AUC= 0.94, 95%CI: 0.92 - 0.95 in men and 345 AUC= 0.92, 95%CI: 0.88 – 0.95 in women) above that of previously published models, 346 highlighting the need to consider primary prevention and intensive surveillance for 347 oropharyngeal cancer subgroups.(60) Importantly, however, while HPV serology is a marker 348 for HPV driven oropharyngeal cancer, the use of HPV serology needs to be carefully 349 evaluated among smokers as demonstrated in another VOYAGER publication.(38) 350 Diagnostic accuracy of HPV serology was evaluated utilizing VOYAGER data and found to 351 be highly sensitive and specific independent of age, sex, year of diagnosis, BMI at 352 diagnosis, current alcohol use and primary tumor size, but exhibited some variation in 353 diagnostic accuracy for heavy smokers and by lymph node involvement. This work provides 354 further evidence that this additional HPV biomarker can be used for early diagnosis. 355 356 Randomized clinical trials remain the 'gold standard' to ascertain the causal effect of 357 interventions or modifiable exposures. However, they are not always feasible in terms of 358 cost, time or ethics (61). Conversely, observational studies are subject to confounding, bias 359 and reverse causality. This has significantly limited study design in HNC research, given that

it is a relatively rare cancer with correlated risk factors, requiring long-term follow-up from the

361 point of exposure to disease onset. To overcome such limitations, Mendelian randomization

362 (MR) uses measured genetic variation to examine the causal effect of potentially modifiable 363 exposures on health outcomes in observational data.(62-64) The genotyping data available 364 in VOYAGER has contributed to multiple MR studies (65-70) investigating a wide range of 365 genetically proxied exposures. These studies have among other things demonstrated an 366 independent causal effect for both smoking and alcohol on both HPV(+) and HPV(-) HNC, 367 suggesting that the effect of alcohol may have been previously underestimated.(65, 69) This 368 work further strengthens the evidence to support public health messaging around prevention 369 in HNC. 370

371 Conclusion and future prospects

372 The value of HNC data generated as part of VOYAGER highlights its use for studies focused

on prognosis, particularly overall survival where data is most complete. The variation across
 centers is an important consideration, as studies contributing to VOYAGER were conducted

375 across different geographical settings and health systems. The VOYAGER data resource is

376 particularly suited for genomic studies on risk factors and outcome.

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#### Declarations

#### Ethics approval and consent to participate

The VOYAGER project was approved by the International Association for Research on Cancer (IARC) Ethics Committee (IEC) (Project No.: 16 – 34). The five parent studies were all approved by the respective institutional review boards (IRBs) at each of the participating center: University of North Carolina at Chapel Hill (Study No.: 01-0390), University of Pittsburgh (STUDY19120160), The University Health Network (Project No.: 07-0521), Sinai Health System (REB No.: 08-0191) and the National Health Service, Health Research Authority (Project ID: 24028).

#### **Consent for publication**

Not applicable.

#### Availability of data and materials

Information on the VOYAGER Consortium can be found at <u>https://voyager.iarc.who.int/</u>. The contact page can be used for data and collaboration requests. Non-commercial research projects are generally approved if the proposed research complies with the signed agreements between studies and their research participants.

Genotype data for the oral and pharynx cancer OncoArray study have been deposited at the database of Genotypes and Phenotypes (dbGaP) and are available under controlled access under accession <u>phs001202.v1.p1</u>. Genotype data for the All of Us study are also available via dbGaP under controlled access under accession <u>phs003225.v1.p1</u>.

The oral and pharyngeal GWAS summary statistics by cancer site and world region have been deposited in the IEU Open GWAS platform (<u>https://gwas.mrcieu.ac.uk/</u>) under the

GWAS IDs: <u>ieu-b-89</u>, <u>ieu-b-90</u>, <u>ieu-b-94</u>, <u>ieu-b-96</u>, <u>ieu-b-93</u>, <u>ieu-b-97</u>, <u>ieu-b-91</u>, <u>ieu-b-95</u> and <u>ieu-b-98</u>.

#### **Competing interests**

Scott Bratman reports grants from AstraZeneca, personal fees and equity from Adela, patents licensed to Adela and Roche, and service on advisory board for EMD Serono. Where members are identified as personnel of the International Agency for Research on Cancer/ World Health Organization, the authors alone are responsible for the views expressed in this article and they do not necessarily represent the decisions, policy or views of the International Agency for Research on Cancer / World Health Organization.

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## Author contributions

Analyses for this manuscript were conducted by M.G. and S.V. The manuscript was drafted by M.G., S.V. and A.A. All authors contributed to the interpretation of the results and critical revision of the manuscript. All authors read and approved the final manuscript.

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## MAIN TABLES

Table 1. Clinical and demographic characteristics of the oral and oropharyngeal cancer cases and controls included in VOYAGER, stratified by subsite and HPV status

		Total OPC	HPV(+) OPC	HPV(-) OPC	HPV(+) vs. HPV(-) OPC	00	Total OPC vs. OC	Total OPC + OC cases	Controls	Total OPC + OC cases vs. controls
		N= 3,514	N= 2,138	N= 1,146	P-value	N= 2,975	P-value	N= 6,489	N= 3,297	P-value
Sex (%)										
	Female Male Unknown	705 (20) 2,809 (80) 0	370 (17) 1,768 (83) 0	285 (25) 861 (75) 0	<0.0001	1,071 (36) 1,903 (64) 1	<0.0001	1,776 (27) 4,712 (73) 1	1,213 (37) 2,084 (63) 0	<0.0001
Age at										
diagnosis (in	Mean (SD)	59 (9.6)	58 (8.9)	60 (9.8)		61 (12.2)		60 (10.8)	59 (11.9)	
yrs)	[min, max]	[33, 84]	[26, 85]	[21, 94]	<0.0001	[18, 94]	<0.0001	[18, 94]	[17, 91]	0.308
Ethnicity (%)										
	White	2,947 (94)	1,963 (97)	828 (88)		2,271 (91)		5,218 (93)	2,374 (91)	
	Black	93 (3)	14 (<1)	68 (7)		103 (4)		196 (3)	183 (7)	
	Asian	53 (1)	20 (1)	31 (3)		89 (4)		142 (3)	4 (<1)	
	Latino/ Hispanic	7 (<1)	5 (<1)	2 (<1)		2 (<1)		9 (<1)	0 (0)	
	American Indian	2 (<1)	1 (<1)	1 (<1)		1 (<1)		3 (<1)	2 (<1)	
	Other	28 (1)	14 (<1)	11 (<1)	< 0.001	16 (<1)	<0.0001	44 (<1)	6 (<1)	< 0.0001
	Unknown	384	121	205		493		877	728	
Geographic										
region (%)	Canada	903 (26)	542 (25)	263 (23)		567 (19)		1,470 (23)	975 (30)	
	USA	735 (21)	436 (21)	222 (19)		841 (28)		1,576 (24)	1,596 (48)	
	Europe	1,876 (53)	1,160 (54)	661 (58)	0.156	1,567 (53)	<0.0001	3,443 (53)	726 (22)	<0.0001
Education (%)										
	None/ some school	880 (30)	472 (26)	379 (42)		847 (34)		1,727 (32)	368 (12)	
	High school	925 (32)	566 (32)	275 (30)		827 (33)		1,752 (32)	753 (23)	
	Postsecondary	1,081 (38)	755 (42)	260 (28)	<0.0001	834 (33)	0.003	1,915 (36)	2,114 (65)	< 0.0001
	Unknown	628	345	232		467		1,095	62	

Stage (AJCC 7 <sup>th</sup>										
ed.) (%)	I	165 (5)	45 (2)	112 (10)		800 (28)		965 (15)	NA	NA
	Ш	292 (8)	115 (6)	159 (14)		636 (22)		928 (15)		
	Ш	504 (15)	280 (13)	191 (17)		326 (11)		830 (13)		
	IV	2,481 (72)	1.675 (79)	652 (59)	<0.0001	1,110 (39)	< 0.0001	3.591 (57)		
	Unknown	72	23	32		103		175		
HPV status (%)										
	Negative	1.146 (35)	0 (0)	1.146 (100)		1,702 (96)		2.848 (56)	NA	NA
	Positive	2,138 (65)	2.138 (100)	0 (Ò)	<0.0001	66 (4)	< 0.0001	2,204 (44)		
	Unknown	230	0 (0)	0(0)		1.207		1.437		
			- (-)	- (-)		, -		, -		
Treatment (%)										
	Radio + chemo	1,716 (49)	1,155 (55)	462 (40)		168 (6)		1,884 (30)	NA	NA
	Surgery	209 (6)	64 (3)	124 (11)		1,148 (47)		1,357 (22)		
	Surgery + radio +	562 (16)	401 (18)	130 (11)		449 (15)		1,011 (16)		
	chemo									
	Surgery + radio	356 (10)	195 (9)	142 (12)		742 (25)		1,098 (18)		
	Radiotherapy	594 (17)	298 (14)	250 (22)		116 (4)		710 (11)		
	Palliative care	28 (<1)	10 (<1)	12 (1)		12 (<1)		40 (<1)		
	Chemotherapy	12 (<1)	3 (<1)	8 (<1)		6 (<1)		18 (<1)		
	No treatment	37 (<1)	12 (<1́)	18 (2)	<0.0001	64 (2)	<0.0001	101 (2)		
				( )						
Vital status (%)										
	Alive	2,407 (68)	1,711 (80)	569 (50)		1,677 (56)		4,084 (63)	NA	NA
	Dead	1,107 (32)	427 (20)	577 (50)	<0.0001	1,298 (44)	<0.0001	2,405 (37)		
Cause of death										
(%)	HNC	620 (60)	239 (60)	325 (61)		711 (59)		1,331 (60)	NA	NA
	Other cancer	111 (11)	33 (8)	65 (12)		130 (11)		241 (11)		
	Other disease	296 (29)	129 (32)	143 (27)	0.057	359 (30)	0.843	655 (29)		
	Unknown	2,487	1,737	613		1,775		4,262		
		,	,			,		,		

Key: HPV, human papilloma virus; OPC, oropharyngeal cancer; OC, oral cancer; radio, radiotherapy; chemo, chemotherapy.

HPV16 E6 serology was prioritized as a marker of HPV(+) oropharyngeal cancer. When serology was missing, two concordant tumor markers, p16 immunohistochemistry (IHC) and high-risk HPV DNA in-situ hybridization (ISH) were required to determine HPV status.

		Total OPC	HPV(+) OPC	HPV(-) OPC	HPV(+) <i>vs.</i> HPV(-) OPC	OC	Total OPC vs. OC	Total OPC + OC cases	Controls	Total OPC + OC cases vs. controls
		N= 3,514	N= 2,138	N= 1,146	P-value	N= 2,975	P-value	N= 6,489	N= 3,297	P-value
Smoking status (%)	Current Former Never Unknown	862 (28) 1,425 (46) 787 (26) 440	277 (15) 949 (51) 638 (34) 274	498 (51) 381 (39) 103 (10) 164	<0.0001	988 (37) 1,001 (38) 671 (25) 315	<0.0001	1,850 (32) 2,426 (42) 1,458 (26) 755	545 (16) 1,298 (40) 1,437 (44) 17	<0.0001
Alcohol drinking status (%)	Current Former Never Never/Former * Unknown	1,984 (64) 463 (15) 376 (12) 278 (9) 413	1,212 (65) 233 (12) 230 (12) 211 (11) 252	643 (65) 180 (18) 95 (10) 67 (7) 161	<0.0001	1,618 (61) 387 (14) 437 (16) 228 (9) 305	<0.0001	3,602 (62) 850 (15) 813 (14) 506 (9) 718	1,888 (58) 666 (20) 722 (22) 0 (0) 21	<0.0001
Body mass index, in kg/m <sup>2</sup> (%)	Healthy (18.5 to <25) Underweight (<18.5) Overweight (25.0 to <30) Obese (>30) Unknown	1,034 (35) 91 (3) 1,080 (36) 782 (26) 527	508 (28) 18 (<1) 744 (40) 579 (31) 289	452 (47) 68 (7) 280 (29) 159 (17) 187	<0.0001	1,057 (42) 96 (4) 820 (33) 523 (21) 479	<0.0001	2,091 (38) 187 (3) 1,900 (35) 1,305 (24) 1,006	433 (27) 12 (<1) 623 (39) 528 (33) 1,701	<0.0001

Table 2. Information on established risk factor behavior in VOYAGER, stratified by subsite and HPV status

Key: HPV, human papilloma virus; OPC, oropharyngeal cancer; OC, oral cancer.

\* Never/Former category used when participants were only asked if they were a current drinker (HN5000 study). For these participants there was no information available to determine whether they were a former or a never drinker if they were not a current drinker.

HPV16 E6 serology was prioritized as a marker of HPV(+) oropharyngeal cancer. When serology was missing, two concordant tumor markers, p16 immunohistochemistry (IHC) and high-risk HPV DNA in-situ hybridization (ISH) were required to determine HPV status.

Table 3. Survival outcomes for the oral and oropharyngeal cancer cases in VOYAGER, stratified by subsite and HPV status

	OPC HPV Unknown	HPV(+) OPC	HPV(-) OPC	OC	Total OPC + OC
Overall survival					
N (number of cases)	230	2,138	1,146	2,975	6,489
Median Survival time, yrs	10.3 years	14.3 years	5.6 years	7.8 years	9.8 years
Probability of survival at 5y (95%Cl), %	62.8 (56.7, 69.5)	81.1 (79.4, 82.9)	53.1 (50.2, 56.2)	60.7 (58.9, 62.5)	66.1 (65.0, 67.4)
Log-rank p-value		<0.0	001		
Progression-free survival					
N (number of cases)	164	1,731	894	2,272	5,061
Median progression-free survival time, yr	3.8 years	10.5 years	3.5 years	5.1 years	6.3 years
Probability of progression- free survival at 5y (95%Cl),	47.2 (40.1, 55.7)	74.9 (72.7, 77.1)	43.7 (40.2, 47.3)	50.5 (48.3, 52.7)	57.4 (56.0, 58.9)
Log-rank p-value		<0.0	001		
Disease-specific survival*					
N (number of cases)	220	2,112	1,102	2,877	6,311
Disease-specific survival at 5y (95%CI), %	72.7 (66.8, 79.2)	88.4 (87.0, 89.8)	67.5 (64.6, 70.6)	74.6 (72.9, 76.3)	78.1 (77.0, 79.2
Gray's p-value		<0.0	001		

Key: HPV, human papilloma virus; OPC, oropharyngeal cancer; OC, oral cancer; Overall, including oral and oropharyngeal cancers.

Progression-free survival data was not available for the CHANCE study. \*Median not reached for disease-specific survival.

HPV16 E6 serology was prioritized as a marker of HPV(+) oropharyngeal cancer. When serology was missing, two concordant tumor markers, p16 immunohistochemistry (IHC) and high-risk HPV DNA in-situ hybridization (ISH) were required to determine HPV status.



## **MAIN FIGURES**



Key: HPV, human papilloma virus; OPC, oropharyngeal cancer (green); OC, oral cancer (blue); Other sites (black). Created in BioRender. Gormley, M. (2025) <u>https://BioRender.com/j07p735</u>



**Figure 2.** a) Overall survival, b) progression-free survival, and c) disease-specific survival for oral and oropharyngeal cancer cases in VOYAGER.



**Figure 3.** Overlapping data available in VOYAGER. Genotyping data includes cases and controls, tumor sequencing samples are for oropharyngeal and oral cavity cases only and clinical data includes all available cases and controls

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**Figure 4.** Viral host interactions suggest HLA loci that are specific for HPV16 viral proteins