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OPEN Analyzing 74,248 Samples Confirms the Association Between CLU rs11136000 Polymorphism and Alzheimer's Disease in Caucasian **But Not Chinese population**

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Clusterin (CLU) is considered one of the most important roles for pathogenesis of Alzheimer's Disease (AD). The early genome-wide association studies (GWAS) identified the CLU rs11136000 polymorphism is significantly associated with AD in Caucasian. However, the subsequent studies are unable to replicate these findings in different populations. Although two independent meta-analyses show evidence to support significant association in Asian and Caucasian populations by integrating the data from 18 and 25 related GWAS studies, respectively, many of the following 18 studies also reported the inconsistent results. Moreover, there are six missed and a misclassified GWAS studies in the two meta-analyses. Therefore, we suspected that the small-scale and incompletion or heterogeneity of the samples maybe lead to different results of these studies. In this study, large-scale samples from 50 related GWAS studies (28,464 AD cases and 45,784 controls) were selected afresh from seven authoritative sources to reevaluate the effect of rs11136000 polymorphism to AD risk. Similarly, we identified that the minor allele variant of rs11136000 significantly decrease AD risk in Caucasian ethnicity using the allele, dominant and recessive model. Different from the results of the previous studies, however, the results showed a negligible or no association in Asian and Chinese populations. Collectively, our analysis suggests that, for Asian and Chinese populations, the variant of rs11136000 may be irrelevant to AD risk. We believe that these findings can help to improve the understanding of the AD's pathogenesis.

Alzheimer's Disease (AD) is a commonest kind of neurodegenerative disorders with a complex pathogenesis, and has become one of the leading causes of death in elderly people^{1,2}. It is characterized by accumulation and toxic effect of the amyloid β -peptide (A β) deposits and neurofibrillary tangles in brain³. Previous studies predict that the newly diagnosed AD patients are expected to reach as many as 135 million by 2050 from about 35 million in 2009 around the world if lack of the effective preventive measures^{4,5}.

Clusterin (CLU) is considered one of the most important roles for pathogenesis of AD by influencing the structure and neurotoxic effects of A β deposits^{6–8}, and some of the variants at CLU can affect its expression level in brain^{9,10}. Two early genome-wide association studies (GWAS) identified a single nucleotide polymorphism (SNP) rs11136000 (T < C) significantly associated with AD in the CLU gene by analyzing the large-scale Caucasian populations^{11,12}. In particular, Harold et al.¹¹ and Lambert et al.¹² analyzed 11,756 and 14,490 individuals from USA, UK, Ireland, Germany, France, Italy, Spain, Belgium and Finland, respectively, and both of them found that the minor allele variant of rs11136000 can reduce the risk of AD (95% confidence interval (CI) of odds ratio (OR) less than the value 1).

However, the subsequent studies report consistent^{13–18} and inconsistent^{19–28} results involved in Caucasian, Asian and African populations. For example, by analyzing 268 AD cases and 389 controls from China, Lin et al. find that the

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Study	Year	Country or institution	Ethnicity	No. of cases	No. of controls	Genotyping platform	Kind of genotype
Jia et al. ³⁵	2017	China	Asian	1,201	4,889	SNaPshot	C/T
Shankarappa <i>et al</i> . ³⁴	2017	India	Asian	243	164	TaqMan	CC/CT/TT
Huang et al. ³⁷	2016	China	Asian	39	56	Sequenom	C/T
Luo et al. ⁴¹	2016	China	Asian	109	120	PCR	CC/CT/TT
Rezazadeh <i>et al</i> . ³⁹	2016	Iran	Asian	160	163	PCR	CC/CT/TT
Wang <i>et al</i> . ⁴⁰	2016	China	Asian	748	760	SNaPshot	CC/CT/TT
Jiao <i>et al</i> . ⁴⁴	2015	China	Asian	229	318	PCR	CC/CT/TT
Xiao <i>et al.</i> (stage 1) ⁴⁷	2015	China	Asian	232	373	Sequenom	C/T
Xiao <i>et al.</i> (stage 2) ⁴⁷	2015	China	Asian	227	378	Sequenom	C/T
Lu et al. ²⁸	2014	China	Asian	493	583	PCR	CC/CT/TT
Chen et al. ²⁵	2012	China	Asian	451	338	Sequenom	CC/CT/TT
Chung et al. ²⁷	2012	Korea	Asian	290	544	TaqMan	C/T
Lin et al. ¹⁷	2012	China	Asian	268	389	_	CC/CT/TT
Ma et al. ²³	2012	China	Asian	127	143	PCR	CC/CT/TT
Ohara <i>et al</i> . ²⁶	2012	Japan	Asian	824	2,933	Invader assay	CC/CT/TT
Yu et al. ²¹	2010	China	Asian	324	388	MALDI-TOF mass spectrometry	CC/CT/TT
Seripa <i>et al.</i> ³³	2017	Italy	Caucasian	520	569	PCR	CC/CT/TT
Alaylioglu <i>et al.</i> ³⁶	2016	Turkey	Caucasian	183	154	PCR	CC/CT/TT
Montanola <i>et al.</i> ³⁸	2016	Spain	Caucasian	73	88	SNPlex	C/T
Ferrari <i>et al</i> . ⁴³	2015	Italy	Caucasian	37	28	PCR	C/T
Sen <i>et al</i> . ⁴⁵	2015	Turkey	Caucasian	112	106	TaqMan	CC/CT/TT
Sleegers et al.46	2015	Belgium	Caucasian	1,295	1,090	PCR	CC/CT/TT
Carrasquillo <i>et al.</i> ¹⁸	2014	USA	Caucasian	54	2,424	TaqMan	CC/CT/TT
Pedraza <i>et al.</i> ⁵¹	2014	MCADRC	Caucasian	411	2,145	TaqMan	C/T
Roussotte et al. ⁵²	2014	ADNI	Caucasian	173	205	Illumina 610	CC/CT/TT
Mullan <i>et al.</i> ⁴⁹	2013	Ireland	Caucasian	154	142	TaqMan	C/T
Nizamutdinov et al. ⁵⁰	2013	Russia	Caucasian	166	128	ABI prism BigDye Terminator	C/T
Bettens et al. ²⁴	2012	Belgium	Caucasian	954	810	PCR	C/T
Bettens <i>et al.</i> ²⁴	2012	France	Caucasian	1,291	608	PCR	C/T
Bettens et al. ²⁴	2012	Canada	Caucasian	304	239	PCR	C/T
Kamboh <i>et al.</i> ¹⁶	2012	USA	Caucasian	1,344	1,350	Taqman	CC/CT/TT
Carrasquillo <i>et al</i> . ¹³	2010	USA	Caucasian	1,819	2,565	Taqman	CC/CT/TT
Corneveaux <i>et al.</i> ⁴⁸	2010	NIA, MBB	Caucasian	1,019	591	Affymetrix 6.0	C/T
Golenkina <i>et al.</i> ²⁰	2010	Russia	Caucasian	534	702	PCR	CC/CT/TT
Seshadri <i>et al.</i> ¹⁴	2010	Spain	Caucasian	1,140	1,209	Illumina 550,370,300 and Affymetrix 500 K	CC/CT/TT
Giedraitis <i>et al.</i> ¹⁹	2009	Sweden	Caucasian	79	365	Illumina GoldenGate	CC/CT/TT
Harold <i>et al.</i> ¹¹	2009	USA	Caucasian	1,153	2,187	Illumina 610, 550 and 300	CC/CT/TT
Harold <i>et al.</i> ¹¹		UK,Ireland	Caucasian				CC/CT/TT
	2009	-		2,220	4,833	Illumina 610	
Harold <i>et al.</i> ¹¹ Lambert <i>et al.</i> ¹²	2009	Germany	Caucasian	539	824	Illumina 610 and 550	CC/CT/TT
Lambert <i>et al</i> . ¹² Lambert <i>et al</i> . ¹²	2009	France	Caucasian	2,039	5,378	Illumina 610	CC/CT/TT
Lambert <i>et al</i> . ¹² Lambert <i>et al</i> . ¹²	2009	Italy	Caucasian	1,480	1,263	Taqman and Sequenom	CC/CT/TT
	2009	Spain	Caucasian	748	810	Taqman and Sequenom	CC/CT/TT
Lambert <i>et al.</i> ¹²	2009	Belgium	Caucasian	1,035	491	Taqman and Sequenom	CC/CT/TT
Lambert <i>et al.</i> ¹²	2009	Finland	Caucasian	596	650	Taqman and Sequenom	CC/CT/TT
Pedraza <i>et al.</i> ⁵¹	2014	MCADRC	African	44	223	TaqMan	C/T
Belcavello <i>et al</i> . ⁴²	2015	Brazil	American	81	161	PCR	CC/CT/TT
Moreno et al. ³¹	2017	Colombia	Mixed population (Caucasian, African and American)	280	357	PCR	C/T
Santos-Reboucas et al. ³²	2017	Brazil	Mixed population (Caucasian, African and mulatto)	174	175	TaqMan	CC/CT/TT
Ferrari <i>et al</i> . ¹⁵	2012	UK	Mixed population (Caucasian and African)	342	277	TaqMan	C/T
Gu et al. ²²	2011	Indiana	Mixed population (Caucasian and American)	106	98	PCR	CC/CT/TT
All				28,464	45,784		

Table 1. Main information of the studies included in this meta-analysis. "CC/CT/TT" means the study offer the data of genotypes CC, CT and TT both in cases and controls. "C/T" means only the data of genotypes C and T are offered in the study. MCADRC: Mayo Clinic Alzheimer's Disease Research Center; ADNI: Alzheimer's Disease Neuroimaging Initiative; NIA: National Institute on Aging; MBB: Miami Brain Bank.

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Figure 1. Flow chart of selecting studies for analyzing the association between rs11136000 polymorphism and AD.

participants carrying 2 copies of minor allele in rs11136000 are associated with a decreased risk of AD¹⁷. The consistent result in North American Caucasian population is also identified by Carrasquillo *et al.*¹⁸. While in Canadian and Korean populations, the rs11136000 is found not associated with AD according to the studies of Bettens *et al.*²⁴ and Chung *et al.*²⁷, respectively. Then, two independent meta-analysis studies re-assess the results of these GWAS studies published before June 20, 2013 (18 studies) and August 31, 2014 (25 studies), respectively, and both of them found this SNP is significantly associated with AD in populations of Asian and Caucasian^{29,30}. But among the subsequent 18 GWAS studies published after August 31, 2014, many of them report inconsistent results in the corresponding populations³¹⁻⁴⁷. Moreover, by comparing the selected GWAS articles published before June 20, 2013 in the two meta-analysis studies, we find the selection is incomplete for both of them. In particular, Liu *et al.*²⁹ miss two GWAS articles about Caucasian populations^{16,24}, and Du *et al.*³⁰ miss a GWAS article about Asian population²⁷. In fact, through our further investigation, a total five related GWAS articles published before August 31, 2014 are not collected in the two meta-analysis studies⁴⁸⁻⁵². In addition, a GWAS study about American and German populations is misclassified to the Asian ethnicity subgroup in Du *et al.*²⁸ study²².

We suspected that the small-scale and incompletion or heterogeneity of the samples maybe lead to different results of these studies. In this study, we selected 50 related GWAS studies with large-scale samples from 40 articles (28,464 cases and 45,784 controls, about 40.3% increase over the total number of the previous two meta-analysis studies^{29,30}) by searching the PubMed, ClinicalKey, AlzGene, Google Scholar, CNKI, Wanfang and VIP databases, and reevaluated the association between AD and rs11136000 polymorphism in Caucasian, Asian and Chinese population using the method of meta-analysis as previously described⁵³⁻⁶³. The use of more complete and larger scale samples would make the results more reliable.

Methods and Materials

Selection of literatures and GWAS studies. All of the possible studies were selected by searching the databases of PubMed (http://www.ncbi.nlm.nih.gov/pubmed, ClinicalKey (https://www.clinicalkey.com/), Wanfang (http://www.wanfangdata.com.cn/), CNKI (http://www.cnki.net/) and VIP (http://www.cqvip.com/) using the keywords: "Alzheimer's disease", "rs11136000", "Clusterin" or "CLU". The CNKI, Wanfang and VIP are very authoritative and reliable Chinese database. And then, we consulted the related studies collected in AlzGene database (http://www.alzgene.org/) which was a publicly available resource providing the information of AD genetic variants from 1,395 GWAS studies (updated April 18, 2011)⁶⁴. In addition, we further queried references of these identified GWAS studies in previous steps and the articles citing them using the Google Scholar (http:// scholar.google.com/).

After that, the appropriate studies were identified by the following criteria: (1) The study is a GWAS to analysis the association of rs11136000 polymorphism and AD. (2) It is a case-control design study. (3) The study provides

			Experir	nental	с	ontrol				
Study	Year	Population	Events	Total	Events	Total	Odds Ratio	OR	95%-CI	Weight
Jia et al.	2017	China	450	2360	1952	9820	<u></u>	0.95	[0.85; 1.06]	4.1%
Moreno et al.	2017	Colombia	179	436	381	838			[0.66; 1.06]	1.0%
Santos-Reboucas et al.	2017	Brazil	148	303	200	395			[0.69; 1.26]	0.6%
Seripa et al.	2017	Italy	359	799	681	1379			[0.70; 1.00]	1.9%
Shankarappa et al.	2017	India	122	217	364	597			[0.60; 1.13]	0.6%
Alaylioglu et al.	2016	Turkey	127	240	239	434			[0.67; 1.26]	0.5%
Huang et al.	2016	China	16	26	62	164			[1.12; 6.16]	0.0%
Luo et al.	2016	China	75	171	143	287			[0.54; 1.15]	0.4%
Montanola et al.	2016	Spain	43	120	103	202			[0.34; 0.85]	0.3%
Rezazadeh et al.	2016	Iran	177	350	143	296			[0.80; 1.49]	0.5%
Wang et al.	2016	China	318	607	1178	2409			[0.96; 1.37]	1.5%
Belcavello et al.	2015	Brazil	69	202	93	282			[0.72; 1.55]	0.3%
Ferrari et al.	2015	Italy	30	56	44	74			[0.39; 1.59]	0.1%
Jiao et al.	2015	China	87	219	371	875			[0.66; 1.21]	0.6%
Sen et al.	2015	Turkey	73	143	151	293			[0.66; 1.46]	0.3%
Sleegers et al.	2015	Belgium	953	1819	1637	2951	÷		[0.79; 0.99]	4.0%
Xiao et al. (stage 1)	2015	China	78	224	386	986			[0.61; 1.12]	0.6%
Xiao et.al. (stage 2)	2015	China	90	272	364	938			[0.59; 1.04]	0.7%
Carrasquillo et al.	2014	USA	33	2030	75	2926			[0.42; 0.95]	0.4%
Lu et al.	2014	China	192	399	794	1753			[0.90; 1.39]	1.0%
Pedraza et al. (CCSN)	2014	MCADRC	298	2034	524	3078			[0.72; 0.98]	2.4%
Pedraza et al. (AFR)	2014	MCADRC	52	2034	36	242	1		[0.72, 0.90]	0.2%
Roussotte et al.	2014	ADNI	127	285	219	471			[0.69; 1.24]	0.2%
Mullan et al.	2013	Ireland	138	256	170	336	<u> </u>		[0.82; 1.58]	0.5%
Nizamutdinov et al.	2013	Russia	138	207	222	381			[0.58; 1.14]	0.5%
Bettens et al.	2013	Belaium	676	1306	1232	2222	1		[0.75; 0.99]	3.0%
Bettens et al.	2012	Canada	236	415	372	671	<u> </u>		[0.83; 1.36]	0.8%
Bettens et al.	2012	France	875	1327	1707	2471	1		[0.75; 1.00]	2.7%
Chen et al.	2012	China	192	354	710	1224			[0.68; 1.09]	1.0%
Chung et al.	2012	Korea	132	416	446	1252			[0.68; 1.09]	1.0%
Ferrari et al.	2012	UK	254	496	430	742			[0.61; 0.96]	1.1%
Kamboh et al.	2012	USA	981	2007	1707	3381	- <u></u>		[0.84; 1.05]	4.4%
Lin et al.	2012	China	95	2007	441	1043			[0.56; 0.97]	0.8%
Ma et al.	2012	China	53	121	201	419			[0.56; 1.27]	0.3%
Ohara et al.	2012	Japan	415	2055	1233	5459	1		[0.30, 1.27]	3.6%
Gu et al.	2012	Indiana	80	159	132	249			[0.60; 1.34]	0.3%
Carrasquillo et al.	2011	USA	1346	3449	2292	5319			[0.80, 1.34]	0.3% 7.4%
Corneveaux et al.	2010	NIA,.MBB	736	1208	1302	2012			[0.73; 0.92]	2.6%
Golenkina et al.	2010	Russia	378	917	690	1555	1		[0.75; 1.04]	2.0%
Seshadri et al.	2010	Spain	821	1764	1459	2934	1			2.0%
Yu et al.	2010	China	108	258	540	1166			[0.78; 0.99] [0.64; 1.10]	0.8%
Giedraitis et al.	2009	Sweden	61	200 345	97	543			[0.69; 1.41]	0.8%
Harold et al.	2009	Germany	372	1046	706	1680			[0.65; 0.89]	2.4%
Harold et al.	2009	UK, Ireland	1628	5525	2812	8581			[0.80; 0.89]	10.5%
Harold et al.	2009	USA	835	2576	1471	4104				
Lambert et al.	2009	Belgium	835 782		1471	1871	*		[0.77; 0.95]	5.2%
Lambert et al.	2009	Finland	782 458	1181 999	734	1871	1		[0.76; 1.04]	2.3% 2.1%
Lambert et al.	2009	Finland	458 1440	999 5721		1493 9113	-		[0.75; 1.03]	
Lambert et al.	2009	Italy	1440	2068	2638 1884	3418			[0.77; 0.89]	10.3%
Lambert et al.	2009						5		[0.79; 0.99]	4.6%
Lumbert et al.	2009	Spain	542	1155	954	1961	1	0.93	[0.81; 1.08]	2.5%
Fixed effect model				51206		97290	6	0.87	[0.85; 0.90]	100.0%
Heterogeneity: $I^2 = 10\%$, $\tau^2 = 0$	0.0008, p = 0	.28								
	-						0.2 0.5 1 2	5		

Figure 2. Forest plot for the meta-analysis of rs11136000 polymorphism using allele model. All the 50 selected studies are used to meta-analysis of the allele contrast (T versus C) by the fixed effect model (Mantel-Haenszel) because the genetic heterogeneity is not significant. The minor allele (T) of rs11136000 was significantly associated with a decreased risk of AD.

both of the numbers of cases and controls. (4) The study provides the information about the ethnicity of each individual. (5) The detailed data for rs11136000 genotypes are available in the study.

Extraction of the related data. We extracted the related data for subsequent analysis from these identified studies: (1) each study's publication date. (2) The first the author's name in each of these studies. (3) The numbers of AD patients and controls of each study. (4) The sample's ethnicity of each study. (5) The detailed genotype data of rs11136000 polymorphism both in AD patients and controls. (6) The types of genotyping platforms. (7) The key results of each study (i.e. the *OR* value and its 95% *CI*, as well as the corresponding *P* value). Moreover, if these results are not provided in the study directly, we would calculate them by the genotype data using the R program (http://www.r-project.org/).

Genetic model choice. The rs11136000 polymorphism contains two types of variants (T and C). T is the minor allele and C is the major allele. We assumed that they are the lower and high risk factor for AD, respectively. Then, the dominant model (TT + TC allele versus CC allele), allele model (T versus C) and recessive model (TT versus TC + CC) were used in this study. According to Table 1, all these studies were meta-analyzed using allele model, while only the studies offering CC, CT and TT genotypes data were analyzed using dominant or recessive model.

Hardy–Weinberg equilibrium (HWE) test. The HWE test of the rs11136000 polymorphism in AD patient and control groups was performed using a non-continuity correction chi-squared method with the significance level P < 0.01 as previously described⁶⁵. Briefly, for the SNP in each case and control group, the simulated

a				Experim	nental	c	ontrol				
	Study	Year	Population	Events	Total	Events	Total	Odds Ratio	OR	95%-CI	Weight
	Jia et al.	2017	China	450	2360	1952	9820		0.95	[0.85; 1.06]	23.3%
	Shankarappa et al.	2017	India	122	217	364	597		0.82	[0.60; 1.13]	3.2%
	Huang et al.	2016	China	16	26	62	164	i	- 2.63	[1.12; 6.16]	0.2%
	Luo et al.	2016	China	75	171	143	287		0.79	[0.54; 1.15]	2.3%
	Rezazadeh et al.	2016	Iran	177	350	143	296		1.09	[0.80; 1.49]	2.9%
	Wang et al.	2016	China	318	607	1178			1.15	[0.96; 1.37]	8.6%
	Jiao et al.	2015	China	87	219	371	875		0.90	[0.66; 1.21]	3.4%
	Xiao et al. (stage 1)	2015	China	78	224	386	986				3.5%
	Xiao.et.al. (stage 2) Lu et al.	2015 2014	China China	90	272 399	364	938 1753		0.78	[0.59; 1.04]	4.2%
	Chen et al.	2014	China	192 192	399		1/53		0.86	[0.90; 1.39] [0.68; 1.09]	5.8% 5.5%
	Chung et al.	2012	Korea	134	416	446			0.86	[0.68; 1.09]	5.7%
	Lin et al.	2012	China	95	271	440				[0.56; 0.97]	4.5%
	Ma et al.	2012	China	53	121	201	419		0.85	[0.56; 1.27]	1.9%
	Ohara et al.	2012	Japan		2055	1233	5459	-	0.87		20.5%
	Yu et al.	2010	China	108	258	540	1166			[0.64; 1.10]	4.3%
	Fixed effect model				8320		28688		0 02	[0.87; 0.97]	100.0%
	Heterogeneity: $I^2 = 38^{\circ}$	%, $\tau^2 = 0.00$	083, <i>p</i> = 0.06		0020		20000		0.52	[0.07, 0.97]	100.078
								0.2 0.5 1 2 5			
b				Experime	ental	Co	ntrol				
	Study	Year	Population			Events	Total	Odds Ratio	OR	95%-CI	Weight
	Shankarappa et al.	2017	India	107	186	136	221		0.95	[0.57: 1.26]	4.9%
	Luo et al.	2017	China	67	146	42	83			[0.48; 1.42]	2.7%
	Rezazadeh et al.	2016	Iran	135	282	25	41 -			[0.30; 1.15]	2.1%
	Wang et al.	2016	China	275	535	473	973			[0.91; 1.38]	15.0%
	Jiao et al.	2015	China	79	194	150	353			[0.65; 1.33]	5.8%
	Lu et al.	2014	China	174	358	319	718			[0.92; 1.53]	10.0%
	Chen et al.	2012	China	177	315	274	474	<u> </u>		[0.70; 1.25]	8.8%
	Lin et al.	2012	China	92	239	176	418			[0.62; 1.19]	7.3%
	Ma et al.	2012	China	46	109	81	161		0.72	[0.44; 1.18]	3.5%
	Ohara et al.	2012	Japan	355	1753	469	2004		0.83	[0.71; 0.97]	32.1%
	Yu et al.	2010	China	106	244	218	468		0.88	[0.65; 1.20]	7.8%
	Fixed effect model				4361		5914		0.92	[0.85; 1.01]	100.0%
	Heterogeneity: $I^2 = 16$	$5\%, \tau^2 = 0.0$	044, <i>p</i> = 0.29							•	
								0.5 1 2			
с				Experime			ontrol				
	Study	Year	Population	Events	Total	Events	Total	Odds Ratio	OR	95%-CI	Weight
	Shankarappa et al.	2017	India	15	31	228	376	<u> </u>	0.61	[0.29; 1.27]	9.5%
	Luo et al.	2016	China	8	25	101	204			[0.20; 1.16]	8.2%
	Rezazadeh et al.	2016	Iran	42	68	118	255		1.88	[1.08; 3.24]	11.3%
	Wang et al.	2016	China	43	72	705	1436		1.54	[0.95; 2.49]	11.9%
	Jiao et al.	2015	China	8	25	221	522		0.64	[0.27; 1.51]	8.4%
	Lu et al.	2014	China	18	41		1035			[0.49; 1.73]	10.5%
	Chen et al.	2012	China	15	39	436	750			[0.23; 0.87]	10.2%
	Lin et al.	2012	China	3	32	265	625 -			[0.04; 0.47]	6.0%
	Ma et al.	2012	China	7	12	120	258			[0.50; 5.21]	6.1%
	Ohara et al.	2012	Japan	60	302		3455	_ 青		[0.65; 1.17]	13.5%
	Yu et al.	2010	China	2	14	322	698 -	*	0.19	[0.04; 0.88]	4.4%
	Random effects me				661		9614		0.75	[0.51; 1.09]	100.0%
	Heterogeneity: $I^2 = 70$	$1\%, \tau^2 = 0.2$	549, p < 0.01								
								0.1 0.5 1 2 10			

Figure 3. Forest plot for the meta-analysis of rs11136000 polymorphism in Asian population. Only a weak association between rs11136000 polymorphism and AD is observed in the allele model (a), but not the dominant (**b**) and recessive model (**c**).

P values were calculated to measure the deviation from HWE based on 10,000 iterations. The R package 'Genetics' was used to perform the HWE test (https://cran.r-project.org/web/packages/genetics/index.html).

Heterogeneity test. In this study, the heterogeneity among the kinds of populations was measured by the two parameters, I^2 value and Cochran's Q. I^2 value range from 0 to 100%, and it is calculated by Cochran's Q according to the formula $I^2 = \frac{Q - (k-1)}{Q} \times 100\%$. The Cochran's Q is based on a chi-squared distribution with k-1 degrees of freedom, and k means the number of studies. Usually, the extreme, high, moderate and low heterogeneity was considered corresponding to the I^2 value of >75%, 50–75%, 25–50%, and <25%, respectively. In this study, the threshold of significant heterogeneity was set as $I^2 > 50\%$ and P < 0.01 according to previous studies^{53–56}.

Meta-analysis in entirety and subgroup. According to the results of heterogeneity test, the random and the fixed effect model were performed when the heterogeneity was significant or not, respectively⁶⁶. We used the R package 'meta' to perform the meta-analysis, and determine the significance level of association between rs11136000 and AD through the pooled OR value and its 95% CI, as well as the corresponding P value (http://cran.r-project.org/web/packages/meta/index.html). And then, the original samples were further split into Caucasian, Asian, East Asian and Chinese populations, and the meta-analysis was performed in these subgroups.

a	Study	Year	Population	Experin Events		Even	Cont ts To		Odds Ra	tio	OR	95%-CI	Weight
	Jia et al. Huang et al. Luo et al. Wang et al. Jiao et al. Xiao et al. (stage 1) Xiao et al. (stage 2) Lu et al. Chen et al. Lin et al. Ma et al. Yu et al.		China China China China China China China China China China China China	450 16 75 318 87 78 90 192 192 95 53 108	171 607 219 224 272 399 354 271 121	14 117 37 38 36 79	52 1 13 2 78 24 71 8 86 9 94 17 10 12 11 10 101 4	20 64 87 09 75 886 338 53 24 43 19 66			2.63 0.79 1.15 0.90 0.83 0.78 1.12 0.86 0.74 0.85	$\begin{matrix} [0.85; 1.06] \\ [1.12; 6.16] \\ [0.54; 1.15] \\ [0.96; 1.37] \\ [0.66; 1.21] \\ [0.59; 1.04] \\ [0.90; 1.39] \\ [0.68; 1.09] \\ [0.56; 0.97] \\ [0.56; 1.27] \\ [0.64; 1.10] \end{matrix}$	34.4% 0.4% 3.4% 12.7% 5.0% 5.2% 6.2% 8.6% 8.2% 6.6% 2.8% 6.4%
	Fixed effect mode Heterogeneity: $I^2 = 4$		139, <i>p</i> = 0.04		5282		210			1	1	[0.88; 1.00]	100.0%
								0.2	0.5 1	2 5	5		
b	Study Ye	ear Po	Ex opulation E	xperimer vents To			ontrol Total		Odds Ratio	b	OR	95%-CI	Weight
	Wang et al.20Jiao et al.20Lu et al.20Chen et al.20Lin et al.20Ma et al.20	016 CI 015 CI 014 CI 012 CI 012 CI 012 CI 012 CI 012 CI	hina hina hina hina hina hina hina	275 5 79 1 174 3 177 3 92 2 46 1	46 535 94 558 315 239 09 244	42 473 150 319 274 176 81 218	83 973 353 718 474 418 161 468	 		-	1.12 0.93 1.18 0.94 0.86 0.72	[0.48; 1.42] [0.91; 1.38] [0.65; 1.33] [0.92; 1.53] [0.70; 1.25] [0.62; 1.19] [0.44; 1.18] [0.65; 1.20]	4.4% 24.7% 9.5% 16.5% 14.5% 11.9% 5.7% 12.8%
	Fixed effect mod			21	40		3648		-		0.99 [0.89; 1.10]	100.0%
	Heterogeneity: $I^2 =$	0%, τ ⁻ = 0, ,	p = 0.46					0.5	1	2			
c	Study Y	ear P	E opulation E	xperime vents T			ntrol Total		Odds Ratio)	OR	95%-CI	Weight
	Wang et al. 20 Jiao et al. 20 Lu et al. 20 Chen et al. 20 Lin et al. 20 Ma et al. 20 Yu et al. 20	D16 C D15 C D14 C D12 C	hina hina hina hina hina hina hina	8 43 8 18 15 3 7 2	25 72 25 41 39 32 12 14	101 705 221 475 436 265 120 322	522 1035 750 625 258 698				1.54 0.64 0.92 0.45 0.14 1.61 0.19	[0.20; 1.16] [0.95; 2.49] [0.27; 1.51] [0.49; 1.73] [0.23; 0.87] [0.04; 0.47] [0.50; 5.21] [0.04; 0.88]	12.7% 16.5% 12.9% 15.1% 14.8% 10.0% 10.2% 7.8%
	Random effects in Heterogeneity: $I^2 =$.4197, p < 0.01		260		5528	Г			0.62 [0.35; 1.07]	100.0%
			.,,					0.1	0.5 1 2	10			

Figure 4. Forest plot for the meta-analysis of rs11136000 polymorphism in Chinese population. The association between rs11136000 polymorphism and AD was not significant in the allele (**a**), dominant (**b**) and recessive model (**c**).

Publication bias analysis and sensitivity analysis. We first evaluated the publication bias of the studies used in dominant, allele and recessive model, respectively, by the two common checking methods, the Begg's test⁶⁷ and Egger's test⁶⁸. The threshold of significant publication bias was set as P < 0.05. Then, we used the asymmetry of the funnel plots to describ the results of the publication bias analysis. Finally, for sensitivity analyses, we excluded each study in turn from the whole sample to measure the influence of each study.

Data availability. All the datasets used in this are available from the corresponding author.

Results

Study acquisition and data extraction. By a keyword search in the publicly available databases and a screening according to the criteria, a total 46 studies from 36 articles were identified which mainly involved in Caucasian and Asian populations. Moreover, a study about Sweden population was selected from AlzGene database, and three studies involved in Asian populations were identified by the citation check using Google Scholar.

Figure 1 showed the workflow of selection. Then, the related data of these 50 studies were extracted, and the main information was described in Table 1 (the detailed genotype data, the *OR* value and its 95% *CI*, as well as the corresponding *P* value were shown in Supplementary Table S1).

Hardy–Weinberg equilibrium test. We calculated the *P* value of HWE to assess the genotype distribution of rs11136000 polymorphism in AD patients and controls separately. Using a significance level of P < 0.01, we observed that a few of the samples deviated from HWE, including the case samples from the study of Yu *et al.* ($P = 9.0 \times 10^{-3}$) and Gu *et al.* ($P = 2.0 \times 10^{-4}$), and the control samples from the study of Rezazadeh *et al.* ($P = 1.0 \times 10^{-4}$), Gu *et al.* ($P = 1.0 \times 10^{-4}$) and Lin *et al.* ($P = 9.0 \times 10^{-3}$). More detailed information about the results of the HWE test was described in Supplementary Table S2.

		Meta-an	alysis	Heterog	eneity test		
Ethnicity	Studies	OR	95% IC	P value	I ²	P value	Association
the allele model							-
integrated population	All	0.875	[0.8543; 0.8955]	< 0.0001	9.9%	0.2764	significant
integrated population	In HWE	0.875	[0.8524; 0.8960]	< 0.0001	11.4%	0.2560	significant
Asian	All	0.927	[0.8777; 0.9786]	0.0034	34.8%	0.0734	significant
Asian	In HWE	0.928	[0.8752; 0.9845]	0.0131	39.4%	0.0706	significant
East Asian	All	0.918	[0.8673; 0.9725]	0.0036	41.8%	0.0501	significant
East Asian	In HWE	0.932	[0.8781; 0.9898]	0.0218	42.8%	0.0573	significant
China	All	0.939	[0.8782; 1.0040]	0.0654	47.1%	0.0355	not significant
China	In HWE	0.962	[0.8959; 1.0332]	0.2884	46.2%	0.0534	not significant
the dominant model	ŀ						
integrated population	All	0.848	[0.8171; 0.8794]	< 0.0001	0.0%	0.5996	significant
integrated population	In HWE	0.848	[0.8169; 0.8803]	< 0.0001	0.6%	0.4558	significant
Asian	All	0.922	[0.8464; 1.0050]	0.0649	16.0%	0.2917	not significant
Asian	In HWE	0.940	[0.8558; 1.0326]	0.1969	28.1%	0.2037	not significant
East Asian	All	0.934	[0.8545; 1.0205]	0.1304	19.2%	0.2717	not significant
East Asian	In HWE	0.946	[0.8588; 1.0418]	0.2591	36.9%	0.1494	not significant
China	All	0.988	[0.8868; 1.1008]	0.8270	0.0%	0.4601	not significant
China	In HWE	1.026	[0.9072; 1.1612]	0.6794	2.4%	0.4013	not significant
the recessive model						-	•
integrated population	All	0.822	[0.7790; 0.8676]	< 0.0001	32.6%	0.0387	significant
integrated population	In HWE	0.824	[0.7799; 0.8695]	< 0.0001	0.0%	0.5382	significant
Asian	All	0.747	[0.5112; 1.0924]	0.1326	70.5%	0.0002	not significant
Asian	In HWE	0.861	[0.7089; 1.0454]	0.1305	47.7%	0.0631	not significant
East Asian	All	0.675	[0.4441; 1.0254]	0.0654	68.1%	0.0015	not significant
East Asian	In HWE	0.883	[0.7221; 1.0795]	0.2246	51.9%	0.0524	not significant
China	All	0.615	[0.3546; 1.0677]	0.0841	71.8%	0.0008	not significant
China	In HWE	0.892	[0.6767; 1.1750]	0.4154	59.8%	0.0291	not significant

Table 2. The results of meta-analysis after removing the studies deviated from HWE.

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Heterogeneity Test and Meta-analysis. After the test, we found that there is no the significant genetic heterogeneity of rs11136000 polymorphism among all of the 50 selected studies using the dominant ($I^2 = 0\%$ and P = 0.60), allele ($I^2 = 10\%$ and P = 0.28) and recessive model ($I^2 = 33\%$ and P = 0.04). Therefore, the meta-analysis with fixed effect model was performed to assess the association between rs11136000 and the risk of AD, and we found significant results in all the three models. In particular, the significant association between the minor allele (T) of rs11136000 and a decreased risk of AD was identified in the allele (OR = 0.875, 95% CI = 0.854-0.896, P < 0.0001) (Fig. 2), dominant (OR = 0.848, 95% CI = 0.817-0.879, P < 0.0001) and recessive model (OR = 0.822, 95% CI = 0.779-0.868, P < 0.0001) (Supplementary Figs S1 and S2).

Subgroup Analysis. We further performed the meta-analysis in the subgroups to assess the association between rs11136000 and the risk of AD in different ethnicities. Among all the 50 selected studies, the great majority of them involved in Caucasian or Asian ethnicity, except two studies about African and American population, respectively, and four mixed population studies (Table 1). Therefore, we first divided these studies into Caucasian or Asian ethnicity subgroups. We found a significant association between the minor allele (T) of rs11136000 and a decreased risk of AD in Caucasian ethnicity using the allele (OR = 0.864, 95% CI = 0.842-0.888, P < 0.0001), dominant (OR = 0.829, 95% CI = 0.796-0.864, P < 0.0001) and recessive model (OR = 0.819, 95% CI = 0.774-0.867, P < 0.0001) (Supplementary Figs S3–S5). For the Asian ethnicity, however, only a weak association was observed in allele model (OR = 0.921, 95% CI = 0.871-0.973, P = 0.0034) (Fig. 3a), but not the dominant (OR = 0.922, 95% CI = 0.846-1.005, P = 0.0649) (Fig. 3b) and recessive model (OR = 0.747, 95% CI = 0.511-1.092, P = 0.1326) (Fig. 3c).

The Asian population in this study was composed of the Indian, Iranian, Korean and Japanese individuals separately from a GWAS study, and the Chinese individuals from 12 GWAS studies. Therefore, we then assessed the association between this SNP and risk of AD in East Asian and Chinese populations. Interestingly, the results of meta-analysis in East Asian population were similar to these in Asian population (Supplementary Figs S6–S8). However, the association was not significant in Chinese population using the allele (OR = 0.939, 95% CI = 0.878 - 1.004, P = 0.0654) (Fig. 4a), dominant (OR = 0.988, 95% CI = 0.887 - 1.101, P = 0.8270) (Fig. 4b) and recessive model (OR = 0.615, 95% CI = 0.355 - 1.068, P = 0.0841) (Fig. 4c), which was different from the findings in the previous studies^{29,30}.

Moreover, given that a few samples from four GWAS studies (three Asian populations and a mixed population) deviated from HWE, we further tested whether they affected the accuracy of the results by removing these studies from whole sample, Asian, East Asian and Chinese subgroups, respectively. The results were consistent



Figure 5. Funnel plot for publication bias analysis of rs11136000 polymorphism in AD using allele, dominant and recessive models.

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with what we had been observed previously in whole sample and the subgroups using allele, dominant and recessive model. Table 2 showed the detailed information of the results.

Publication bias analysis and sensitivity analysis. As the funnel plots show (Fig. 5), we did not identify the significant publication bias in the three genetic models. In particular, the *P* value of Begg's and Egger's test is 0.80 and 0.24, respectively, for dominant model. Similarly, the *P* value is 0.43 (Begg's test) and 0.21 (Egger's test) for the allele model, and 0.22 (Begg's test) and 0.61 (Egger's test) for the recessive model. Moreover, through the sensitivity analysis, for all the three genetic models, we did not found a significant change of the association level between rs11136000 and AD when excluding any of the studies. Supplementary Tables S3–S5 described the related information in detailed.

Discussion

AD was characterized by accumulation and toxic effect of the A β deposits in brain³, and previous studies reported that the CLU could markedly influence the fibrillary A β formation and accumulation to mediate its toxicity *in vivo*, and likely as one of the most important roles for pathogenesis of AD^{6,7}. Then, the subsequent GWAS studies found some variants in CLU were differently distributed between AD patients and controls^{11–18}. Among these variants, a significant association was found between the minor allele (T) of rs11136000 and a decreased risk of AD by Harold *et al.*¹¹, Lambert *et al.*¹², Carrasquillo *et al.*¹³ and Seshadri *et al.*¹⁴. However, these results could not be repeated in other populations by the following studies^{19–28}.

Although the two independent meta-analyses found a significant association between the minor allele (T) of rs11136000 and a decreased risk of AD in Caucasian and Asian ethnicities by integrating the data from related GWAS studies published before June 20, 2013 (18 studies) and August 31, 2014 (25 studies), respectively^{29,30}, many of the following studies also reported the inconsistent results^{31–47}. Moreover, according to our further investigation, the two meta-analyses missed out a total six related GWAS studies published before August 31, 2014^{48–52}, and a GWAS study about American and German populations is misclassified to the Asian ethnicity subgroup in Du *et al.*'s meta-analysis²². Therefore, we suspected that the small-scale and incompletion or heterogeneity of the samples maybe lead to different results of these studies.

In this study, 50 related GWAS studies (including the 6 missing and 18 novel studies) were selected afresh from seven authoritative sources, and the association level between rs11136000 and risk of AD in Caucasian, Asian and Chinese ethnicity was re-evaluated. We also found a significant association between rs11136000 polymorphism

and the decreased risk of AD in Caucasian ethnicity using the dominant (OR = 0.829, 95% CI = 0.796-0.864, P < 0.0001), allele (OR = 0.864, 95% CI = 0.842-0.888, P < 0.0001) and recessive model (OR = 0.819, 95% CI = 0.774-0.867, P < 0.0001). Different from the results of the previous studies, however, rs11136000 polymorphism was found not associated with the risk of AD in Asian ethnicity using the dominant (OR = 0.922, 95% CI = 0.846-1.005, P = 0.0649) and recessive model (OR = 0.747, 95% CI = 0.511-1.092, P = 0.1326), as well as in Chinese population using the dominant (OR = 0.988, 95% CI = 0.887-1.101, P = 0.8270), allele (OR = 0.939, 95% CI = 0.878-1.004, P = 0.0654) and recessive model (OR = 0.615, 95% CI = 0.355-1.068, P = 0.0841).

As far as we know, our meta-analysis about the association of the CLU rs11136000 polymorphism with the risk of AD is by far the largest scale study. The results reveal a significant association between them in Caucasian ethnicity but not Chinese ethnicity, which is consistent with the findings of most of the corresponding GWAS studies. In summary, we believe that these findings can help to improve the understanding of the AD's pathogenesis.

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Author Contributions

Z.H. and X.Z. designed research, Z.H. J.Q., J.Z. and X.Z. selected data, Z.H. performed research, analyzed data, and wrote the paper. All authors discussed the results, and contributed to the final manuscript.

Additional Information

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