nature communications



Article

https://doi.org/10.1038/s41467-022-32112-7

An electronic nematic liquid in BaNi₂As₂

Received: 3 June 2022

Accepted: 14 July 2022

Published online: 04 August 2022



Check for updates

Yi Yao¹, Roland Willa © ², Tom Lacmann © ¹, Sofia-Michaela Souliou © ¹. Mehdi Frachet 1, Kristin Willa 1, Michael Merz 1, Frank Weber 1, Christoph Meingast¹, Rolf Heid ¹, Amir-Abbas Haghighirad ¹, Jörg Schmalian 📵 ^{1,2} & Matthieu Le Tacon 📵 ¹ 🖂

Understanding the organizing principles of interacting electrons and the emergence of novel electronic phases is a central endeavor of condensed matter physics. Electronic nematicity, in which the discrete rotational symmetry in the electron fluid is broken while the translational one remains unaffected, is a prominent example of such a phase. It has proven ubiquitous in correlated electron systems, and is of prime importance to understand Febased superconductors. Here, we find that fluctuations of such broken symmetry are exceptionally strong over an extended temperature range above phase transitions in $BaNi_2(As_{1-x}P_x)_2$, the nickel homologue to the Fe-based systems. This lends support to a type of electronic nematicity, dynamical in nature, which exhibits a particularly strong coupling to the underlying crystal lattice. Fluctuations between degenerate nematic configurations cause splitting of phonon lines, without lifting degeneracies nor breaking symmetries, akin to spin liquids in magnetic systems.

The normal state of unconventional superconductors generally exhibits a variety of exotic electronic states emerging out of the interplay between intertwined orders. It is at least as intriguing as the superconducting state itself. The electronic nematic state is one such exotic state, which has proven particularly insightful in unveiling the properties of Fe-based superconductors. In these materials, nematicity is a canonical example of vestigial order which grows out of the magnetic fluctuations of two degenerate magnetic ground states¹. It has been proposed that the superconducting pairing is enhanced—even possibly mediated - by quantum critical nematic fluctuations², but their most prominent effect is rooted in their coupling to the crystal lattice. By softening the C_{66} shear modulus in e.g. Ba(Fe_{1-x}Co_x)₂As₂, this coupling ultimately yields a lattice distortion at a structural tetragonal-toorthorhombic phase transition above the superconducting dome^{3,4}.

This coupling to the lattice changes the phonon spectra and dispersion, which in turn provides new routes to probe electronic nematicity. In the fluctuating regime, it was recently shown that the spatial dependence of the nematic fluctuations can directly be inferred from the softening of acoustical phonons⁵⁻⁷ at small but finite momentum $(\mathbf{q} \neq \mathbf{0})$. At the Brillouin zone center $(\mathbf{q} = \mathbf{0})$, the largest effects are observed in the ordered phase, through the lifting of the degeneracy of the a- and b-axis polarized in-plane vibrations of the square FeAs lattice with E_g symmetry. The resulting relative splitting $\Delta\omega/\omega$ of the modes in the orthorhombic phase can be as large as 8% in the Fe-based superconductors' parent compounds such as BaFe₂As₂⁸⁻¹⁰ or EuFe₂As₂¹¹, exceeding by far the expectation based on the small orthorhombicity $\delta = \frac{a-b}{a+b} \sim 10^{-3}$. The much weaker effects reported in non-magnetic FeSe¹² suggest that the coupling of nematic degrees of freedom to the lattice in Fe-based superconductors primarily occurs through the spin channel rather than through the orbital one¹².

At room temperature, BaNi₂As₂ has a tetragonal crystal structure (space group I4/mmm) similar to BaFe₂As₂, but unlike its Fe-counterpart, it is superconducting, albeit below a modest critical temperature $T_{\rm c} \sim 0.6 \, {\rm K}^{13}$. While earlier electronic structure studies concluded low electronic correlations in this system, pointing at conventional phonon-mediated BCS superconductivity^{14,15}, more recent investigations advocate for an exotic normal state, which exhibits a manifold of charge density waves (CDW) instabilities and structural phase transitions interesting in their own right¹⁶⁻²³, and possible nematic-driven superconducting pairing²⁴. No long-range magnetic order has been

¹Institut für Quantenmaterialien und -technologien, Karlsruher Institut für Technologie, 76021 Karlsruhe, Germany. ²Institut für Theorie der Kondensierten Materie, Karlsruher Institut für Technologie, 76131 Karlsruhe, Germany. 3 Karlsruhe Nano Micro Facility (KNMFi), Karlsruhe Institute of Technology (KIT), 76344 Eggenstein-Leopoldshafen, Germany. e-mail: matthieu.letacon@kit.edu

reported so far, and it has been argued that the CDW plays a role similar to that of magnetism in the Fe-based superconductors¹⁹, suggesting that BaNi₂As₂ could be seen as a charge analogue of BaFe₂As₂.

Here we investigate the lattice and electron dynamics of $BaNi_2(As_{1-x}P_x)_2$, and report on an exceptionally large splitting of the doubly degenerate Raman active planar vibrations of the NiAs tetraedra. In sharp contrast to the behavior in the iron-based systems, where this splitting was taken as evidence for nematic symmetry breaking, in $BaNi_2(As_{1-x})P_x)_2$ it occurs well above any reported structural phase transition temperatures. This calls for a distinction between the lifting of a degeneracy and a dynamical spectral splitting. We show that our observation can be accounted for by a particularly strong coupling of electronic B_{1g} nematic fluctuations, likely of orbital nature²⁰, to the lattice degrees of freedom in this material. This indicates that the tetragonal phase of BaNi2As2 hosts an electronic nematic phase, dynamical in nature. We show that the broadening and splitting of the planar phonons can be described in terms of an entangled superposition of the two degenerate Ising-nematic states that are coupled to a cloud of vibrational quanta. This bears analogies with the phenomenology of spin liquids-dynamical states without long-range magnetic order but long-range entanglement-and suggests in turn that similarly rich physics could be expected in such nematic liquids.

Results

From a point group analysis follows that the tetragonal phase of $BaNi_2As_2hosts$ four Raman-active optical phonons of A_{1g} , B_{1g} , and E_g symmetry at the Brillouin zone center. The corresponding eigendisplacements are shown in Fig. 1a. In this figure, we further report on room temperature Raman scattering measurements performed on $BaNi_2As_2$ single crystals. The experiments were carried out in backscattering geometry with XZ, ZZ, XX and XY configurations, where the first (respectively second) letter refers to the orientation of the incident (resp. scattered) light polarization with respect to the axis of the tetragonal unit cell (Supplementary Note 3). All four Raman active optical phonon modes were detected. The A_{1g} mode is seen in the ZZ configuration at 172.9 cm⁻¹, as well as in the XX channel, where it partially overlaps with the B_{1g} mode, at 158.6 cm⁻¹. The two modes observed in the XZ channel are the doubly degenerate

 $E_{\rm g}$ modes referred to as $E_{\rm g,1}$ (41.4 cm⁻¹) and $E_{\rm g,2}$ (235.2 cm⁻¹). With the notable exception of the lowest $E_{\rm g,1}$ mode, these energies are in good agreement with the predictions of ab initio calculations (see Supplementary Note 3). These calculations also allowed us to estimate the strength of the electron–phonon coupling for the different modes and revealed that the phonon exhibiting the largest coupling is the $A_{\rm lg}$ mode, which consistently displays a weak Fano asymmetry. On the other hand, despite the rather modest calculated electron–phonon coupling, the $E_{\rm g,1}$ mode is very broad (full-width-at-half-maximum (FWHM) - 22 cm⁻¹) at room temperature, indicating additional decay channels.

The singular behavior of the $E_{\rm g,1}$ phonon is confirmed upon cooling. The conventional behavior of phonon is exhibited by the $A_{\rm lg}({\rm Fig.~1b})$ mode which harden and narrow at low temperatures. In contrast, the $E_{\rm g,1}$ mode initially softens upon cooling, starts broadening around $T\sim200$ K before splitting at lower temperatures, where two peaks can be resolved; see Fig. 1c and Supplementary Note 3. Just above the first-order transition¹³ to a triclinic phase at $T_{\rm Tri}=133$ K (on cooling), within which the phonon spectra qualitatively change (Supplementary Note 3 and Fig. 2), the splitting is as large as 22 cm⁻¹, that is, more than 50% of the mode's original frequency.

The degeneracy of an $E_{\rm g}$ phonon can only be lifted if the four-fold symmetry of the Ni planes is broken. This occurs across the tetragonal-to-orthorhombic structural transition in the Fe-based compounds ${\rm Ba(Fe_{1-x}Co_x)_2As_2^{8-10}}$, ${\rm EuFe_2As_2^{11}}$ or ${\rm FeSe^{12}}$, where $E_{\rm g}$ modes split into B_{2g} and B_{3g} modes. The largest reported splitting in $A{\rm Fe_2As_2}$ ($A={\rm Ba}$ or Eu) is -10 cm⁻¹ (-8% of the mode frequency)⁸⁻¹¹, significantly larger than in FeSe (-2.6 cm⁻¹)¹². In both cases, this splitting is already considered unusually large, in the sense that it exceeds the expectation based on the lattice distortion. The splitting in our measurements is quantitatively much larger and moreover onsets (with a broadening of the mode) at a temperature significantly higher than that at which the four-fold symmetry breaking takes place.

Before discussing the doping dependence of the effect in $BaNi_2As_2$, we briefly review the potential sources of symmetry breaking that could yield a splitting of the $E_{g,1}$ phonon. As FeSe, $BaNi_2As_2$ does not exhibit any magnetic order but a unidirectional, biaxial, incommensurate CDW (I-CDW) above T_{Tri} has recently been reported^{16,19,20}. We performed a

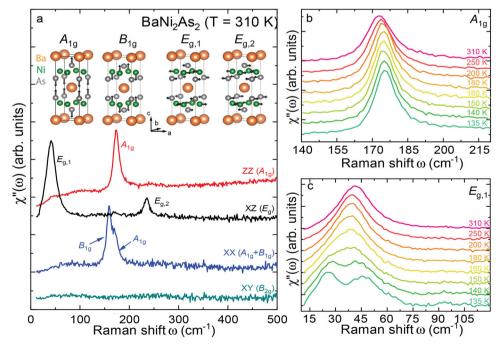


Fig. 1| **Raman scattering from BaNi₂As₂.** a Raman active phonons of BaNi₂As₂, and room temperature Raman spectra obtained in the different incoming and scattered

photon polarizations. Detailed view of the temperature dependencies of the $A_{\rm lg}$ (**b**) and $E_{\rm g,l}$ (**c**) phonons above $T_{\rm Tri}$ in BaNi₂As₂.

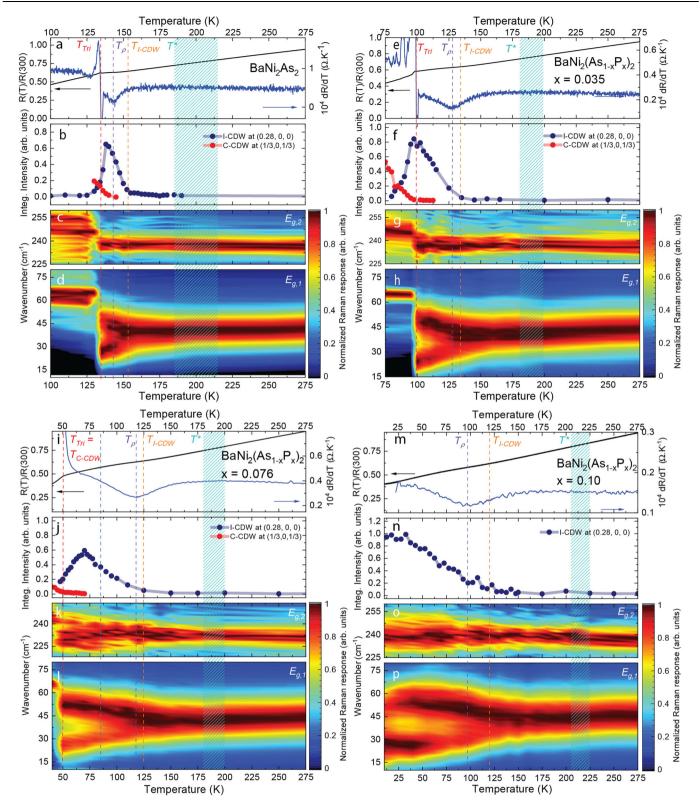


Fig. 2 | **Doping dependence. a** temperature dependence of the resistivity and its derivative measured upon cooling in BaNi₂As₂, **b** of the integrated intensity of the $q_{\text{I-CDW}} = (0.28,0,0)$ and $q_{\text{C-CDW}} = (1/3,0,1/3)$ superstructure peaks (measured upon cooling) in BaNi₂As₂ (**c**) temperature dependence of the $E_{\text{g,2}}$ phonon intensity in BaNi₂As₂ (after background subtraction and Bose-factor correction, Supplementary Note 3) (**d**) temperature dependence of the $E_{\text{g,1}}$ phonon intensity in BaNi₂As₂ (after background subtraction and bose correction, Supplementary Note 3). **e**, **f**, **g**,

h same as $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d})$ for BaNi₂(As_{1-x}P_x)₂(x = 3.5%) $(\mathbf{i}, \mathbf{j}, \mathbf{k}, \mathbf{l})$ same as $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d})$ for BaNi₂(As_{1-x}P_x)₂(x = 7.6%) $(\mathbf{m}, \mathbf{n}, \mathbf{o}, \mathbf{p})$ same as $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d})$ for BaNi₂(As_{1-x}P_x)₂(x = 10%). In each panel we have indicated the position of the triclinic transition (upon cooling) T_{Tri} , of the local minimum of dR/dT T_{rho} and of the temperature at which the I-CDW intensity starts to grow $T_{\text{I-CDW}}$. The shaded area corresponds to the uncertainty on the determination of T at which the E_{g} phonon starts to broaden.

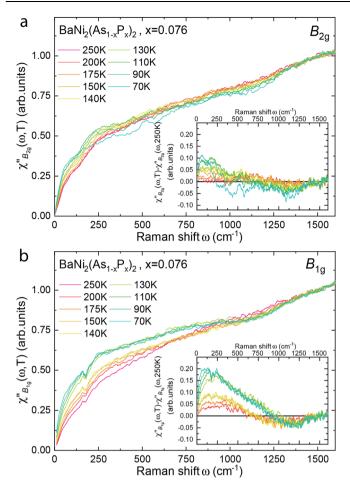


Fig. 3 | **Electronic Raman scattering. a** B_{2g} electronic Raman response $\chi_{B_{2g}}^{"}(\omega,T)$ of $BaNi_2(As_{1-x}P_x)_2(x=7.6\%)$ as a function of temperature. The inset shows the same data after subtraction of the high-temperature response $\chi_{B_{2g}}^{"}(\omega,T)$ - $\chi_{B_{2g}}^{"}(\omega,T=250\,\mathrm{K})$. **b** B_{1g} electronic Raman response $\chi_{B_{1g}}^{"}(\omega,T)$ of $BaNi_2(As_{1-x}P_x)_2(x=7.6\%)$ as a function of temperature. The inset shows the same data after subtraction of the high-temperature response $\chi_{B_{1g}}^{"}(\omega,T)$ - $\chi_{B_{1g}}^{"}(\omega,T=250\,\mathrm{K})$.

detailed temperature-dependent x-ray diffraction (XRD) investigation of the intensity of the CDW satellite at $q_{1-CDW} = (\pm 0.28, 0, 0)$ (Fig. 2a, note that throughout the manuscript, we will only refer to reciprocal lattice vectors in the tetragonal unit cell). A very weak diffuse scattering signal can be tracked up to room temperature, but a strong increase of the peak intensity is only observed below ~155K. A second-order phase transition consisting of an orthorhombic distortion of the lattice can be detected through high-resolution dilatometry²⁰ at ~142 K. In the parent compound, it also manifests itself as a minimum in the derivative of the resistance against temperature dR/dT, labeled T_{ρ} (Supplementary Note 2) in Fig. 2a. In contrast to more pronounced distortions, the identification of the twin structure associated with the structural change was limited in our XRD measurements to a broadening of high order Bragg reflections (e.g. (8,0,0)). This turns to our advantage as it allows us to put an upper bound on the corresponding lattice distortion $\delta = \frac{a-b}{a+b} \sim 10^{-4}$, an order of magnitude weaker than that reported in BaFe₂As₂ and in good agreement with thermodynamic measurements²¹.

Cooling further, the I-CDW superstructure peak is suppressed in the triclinic phase in which a commensurate CDW (C-CDW) signal develops at $q_{\text{C-CDW}} = (\pm 1/3, 0, \pm 1/3)$. We did not detect any additional phase transition in the temperature range at which the $E_{\text{g,1}}$ mode splitting onsets, and can already conclude at this stage that this splitting is occurring in the tetragonal I4/mmm phase. Our first-principle calculations confirmed that the amplitude of the orthorhombic structural distortion is in all cases much too small to account for the

gigantic energy splitting of the $E_{\rm g,l}$ phonons reported here (Supplementary Note 3). Furthermore, in stark contrast to Fe-based materials 8,12 , the $E_{\rm g,l}$ mode splitting increases linearly and does not show any sign of saturation down to $T_{\rm Tri}$. In the same temperature range, a subtle broadening of the $E_{\rm g,2}$ mode (of much lower intensity) occurs.

Next, we confirmed the behavior of the E_g modes by studying the impact of arsenic substitution with phosphorus. This has previously been reported to suppress the triclinic transition^{21,25}, and to enhance the orthorhombic distortion^{20,21}. In Fig. 2, we show the results for BaNi₂(As_{1-x}P_x)₂ with x = 3.5% ($T_{Tri} = 95$ K) and x = 7.6% ($T_{Tri} = 55$ K), for which we observe a similar splitting of the $E_{g,1}$ mode, which increases linearly as temperature decreases reaching almost 30 cm⁻¹ at T_{Tri} (~65% of the mode frequency). Upon further increase of the P-concentration (x = 10%), for which the triclinic (and therefore the C-CDW) transition is completely suppressed, the maximal amplitude of the splitting is reduced and appears to saturate at the lowest temperatures. In all doped samples, the strong increase of the I-CDW satellite intensity at $q_{\text{I-CDW}}$ is smoother than in the parent compound and occurs at a temperature very close to T_{ρ} . The observation of the $E_{\rm g}$ phonon broadening and splitting above any lowering of the symmetry of the compound, strongly suggests a coupling of the mode to fluctuations. Given the lack of magnetism in BaNi2As2, orbital degrees of freedom are the most likely candidates. This can directly be tested using electronic Raman scattering, which is a particularly sensitive probe of the charge fluctuations. In Fig. 3, we show the temperature dependence of the electronic Raman response in the B_{1g} and B_{2g} channels for the x = 6.5%sample (for clarity, the Raman active phonon has been subtracted from the B_{1g} spectrum-Supplementary Note 3). In both channels, the electronic response consists of a broad continuum, extending up to 1500 cm⁻¹, akin to the particle-hole excitations seen in many correlated metals^{26–30}. It apparently displays a conventional metallic behavior, with a smooth increase of the low frequency ($\leq 250 \text{ cm}^{-1}$) response upon cooling, reflecting the decrease of the quasiparticle scattering rate Γ (which is inversely proportional to the slope of the Raman response, $\chi''(\omega)/\omega|_{\omega \to 0}$ in the static limit). The main difference between the two channels is quantitative: the low energy B_{Ig} intensity gain spans over a broader energy range (\geq 500 cm⁻¹) than the B_{2g} , it is overall larger and accelerates significantly at temperatures where the $E_{\rm g,1}$ phonon splitting becomes evident, below ~140 K). This observation is in line with recent elastoresistivity measurements^{16,17} and can be interpreted as a signature of B_{1g} nematic fluctuations in BaNi₂As₂. In contrast to the electronic nematicity of the Fe-based superconductors, observed in the B_{2g} channel, the respective B_{1g} response in BaNi₂As₂ appears overdamped, suggesting a strong coupling of the lattice to the nematic fluctuations.

Next, we develop a qualitative understanding of the 'splitting' of the $E_{\rm g}$ phonon spectrum, in the absence of a structural phase transition. While the latter would lift the degeneracy and naturally cause such a splitting, we show how when it does not occur, the coupling of nematic fluctuations to the lattice can yield a spectral splitting of a doubly degenerated mode that bears striking similarities to our experimental observation.

We first focus on three phonon branches, a transverse acoustic (TA) mode that couples to nematic order and the two degenerate optical E_g modes with lattice Hamiltonian $H_{\text{latt}} = \frac{1}{2} \sum_{q\kappa} \omega_{q\kappa} a_{q\kappa}^{\dagger} a_{q\kappa}^{}$. Here, κ refers to the phonon branch of frequency $\omega_{q\kappa}$. Since nematic fluctuations take place at small momenta, we ignore the momentum dependence of the optical modes $\omega_{q,E_g} \approx \omega_0$. The TA mode frequency $\omega_{q,\mathrm{TA}} = c_{\bar{q}}q$ possesses a direction-dependent sound velocity $c_{\bar{q}}$. For momenta \hat{q} along [100], [010], $c_{\bar{q}}^2$ is proportional to the B_{1g} elastic constant $C_{\mathrm{B}_{\mathrm{1g}}} = C_{11} - C_{12}$. In our consideration, we include electronic degrees of freedom with nematic character. Having in mind the absence of magnetic order in this system as well as recent observation of orbital fluctuations above the triclinic transition²⁰, suggest that orbital degrees of freedom are the most relevant here. A natural

electronic object of proper symmetry is the orbital polarization $O_i = \sum_{\sigma = \{\uparrow,\downarrow\}} \left(d^{\dagger}_{i\sigma,xz} d_{i\sigma,xz} - d^{\dagger}_{i\sigma,yz} d_{i\sigma,yz}\right)$ at lattice site i; or its Fourier transform in momentum space O_q . The Ni $3d_{xz}$ and $3d_{yz}$ orbitals are symmetry related in the tetragonal phase and broken nematic symmetry implies $\left\langle O_q \right\rangle = \langle O \rangle \delta_{q,0} \neq 0$. In the following, we draw general conclusions that do not rely on the microscopic origin of such ordering (these go beyond the scope of this paper and will be developed in a subsequent work), nor of its microscopic nature providing that its symmetry allows a coupling to the relevant phonons.

The most direct coupling of the orbital polarization O_q to elastic modes occurs through TA phonons with displacements $u_{x,y}$

$$H_{c,TA} = -\frac{g_{TA}}{2i} \sum_{q} O_{q}(q_{x}u_{-q,x} - q_{y}u_{-q,y}). \tag{1}$$

This interaction imposes that orbital ordering and the tetragonal-to-orthorhombic transition occur simultaneously. Such coupling between a degenerate electronic state to TA phonons can in principle cause nematic order, akin to a cooperative Jahn–Teller effect³¹. Even when the nematicity is primarily of electronic origin, the nematoelastic coupling of Eq. (1) will always increase the tendency towards nematic order³², consistent with the Jahn-Teller argument. It leads in any event to a lattice softening near the transition and allows probing the nematic susceptibility via measurements of the elastic constant $C_{B_{1g}}$, the elastoresistivity¹⁷ or the B_{1g} -Raman response³³.

By symmetry, the coupling of the orbital polarization to optical \mathbf{E}_g phonon modes is given by

$$H_{c,E_g} = \frac{g_{E_g}}{2N} \sum_{k,q} O_q(u_{kx}u_{-k-q,x} - u_{ky}u_{-k-q,y}).$$
 (2)

In the case of a finite nematic order parameter $\langle O \rangle \neq 0$, the degeneracy of the E_g phonons is lifted $\omega_0 \to \sqrt{\omega_0^2 \pm g_{E_g}} \langle O \rangle$, as the protecting symmetry is broken. The splitting of the squared frequencies is directly proportional to the order parameter.

While symmetry breaking is necessary to lift the degeneracy and to induce a nematic order parameter, it is not the only approach to achieve spectral splitting. Next, we show that this can indeed arise from a purely dynamical effect, which relates to the dynamic Jahn-Teller effect³⁴, albeit rather originating from fluctuations of electronic degrees of freedom than from a large zero-point energy of vibronic modes. Similar phenomena have been reported in the half-field Holstein model³⁵.

In order to get a qualitative understanding of such a dynamic splitting we consider a simple toy model that directly follows from our above description. We assume that O_i behaves as an effective Ising variable $O_i = A_0 \tau_i^z$, with typical amplitude A_0 . The Ising pseudospin states $|\uparrow\rangle$ and $|\downarrow\rangle$ —defining the basis for the Pauli matrix τ^z —describe the two orbital polarizations. Then the Hamiltonian takes the form

$$H_{c,E_g} = \lambda \frac{\omega_0^2}{2} \sum_{i} \tau_i^z (u_{i,x}^2 - u_{i,y}^2) + \sum_{i} \frac{\Omega}{2} \tau_i^x.$$
 (3)

Here, $\lambda = g_{E_g} A_0/\omega_0^2$ is a dimensionless nemato-elastic coupling constant. In the ordered state, the two modes become $\omega_0 \to \omega_0 \sqrt{1 \pm \lambda \langle \tau^2 \rangle}$ in agreement with the discussion above. Note that the model can be easily adapted to the coupling of B_{2g} nematicity, relevant for Fe-based superconductors, using $u_{i,x}$. $u_{i,y}$ instead of $u_{i,x}^2 - u_{i,y}^2$ in the previous equation. This yields exactly the same results, albeit with a different strain dependence. From the splitting of the E_g phonons we can estimate λ - 0.08 for BaFe₂As₂, much weaker than λ - 0.7 of the Nisystem. To model quantum fluctuations, we introduced the last term that gives rise to tunneling processes between the two degenerate states 16. Using a variational approach 17.38, one can show that the tunneling rate can be thought of as a renormalized quantity $\Omega_0 \to$

 $\Omega = \Omega_0 e^{-\int_0^{\omega_c} \frac{\mathrm{Im}\Gamma(\omega)}{(\omega+\Omega_0)^2} \mathrm{d}\omega} \quad \text{rooted in a more complex dynamic nematic susceptibility of the form } \chi_{\mathrm{nem}}(\Omega) = \left[\Omega_0^2 - \omega^2 + \Gamma(\omega)\right]^{-1}, \text{ similar to other pseudospin problems}^{39}.$

The electronic degrees of freedom will now give rise to some coupling of the τ_i^z at different lattice sites, responsible for actual nematic order. If we assume a mean field description of the tetragonal phase, different lattice sites decouple. Still $H_{\text{latt},E_g} + H_{\text{c},E_g}$, that describes the E_g phonons, is a many-body model of interacting pseudospin and lattice vibrations. It is possible to obtain an exact solution of the model with many-body eigenenergies:

$$E_{m_1,m_2,s} = \epsilon_+ (m_1 + m_2 + 1/2) + s \sqrt{\epsilon_-^2 (m_1 - m_2)^2 + \frac{\Omega^2}{4}},$$
 (4)

where $2\varepsilon_{\pm} = \omega_0(\sqrt{1+\lambda}\pm\sqrt{1-\lambda})$, the indices $m_{1,2} = 0, 1, 2, \cdots$ refer to phonon occupations of the $E_{\rm g,x}$ and $E_{\rm g,y}$ modes, and $s=\pm 1$ corresponds to the pseudospin states $|\pm\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle \pm |\downarrow\rangle)$. From an analysis of the spectral functions follows that both phonon modes are degenerate and at T = 0 have two dominant peaks at $\omega_{0\pm} = E_{1,0,\pm} - E_{0,0,\pm} = E_{0,1,\pm} - E_{0,0,\pm}$ with splitting $\Delta\omega = \omega_+ - \omega_-$; see Fig. 4a, b. For $\lambda = 0$, $\omega_{0+} = \omega_{0-} = \omega_0$, i.e. there is no splitting. However, since the pseudospin splitting $E_{m_1,m_2,+} - E_{m_1,m_2,-}$ of the many-body eigenstates depends on the phonon-population via $\varepsilon_-^2(m_1-m_2)^2$, both E_g -modes split in two main satellites by $\Delta\omega = \sqrt{\Omega^2 + 2\omega_0^2(1-\sqrt{1-\lambda^2})} - \Omega$. For weak coupling $\lambda \ll \Omega/\omega_0$ (relevant for Fe-based systems) holds $\Delta\omega = \lambda^2 \omega_0^2/\Omega$ such that the splitting is small $\Delta\omega/\omega_0 \ll 1$. However, as soon as λ is larger than Ω/ω_0 we have $\Delta\omega \approx \lambda\omega_0$ and the splitting of both E_g -modes is of order unity (note that the system becomes unstable as $\lambda \to 1$, which signals a structural instability).

To obtain a qualitative understanding of the origin of this behavior we use our earlier result for the static lifting $-\lambda\langle\tau^z\rangle\omega_0$ of the degeneracy and replace it by $\sqrt{\lambda^2\langle(\tau^z)^2\rangle}\omega_0$ $-\lambda\omega_0$. This simply indicates that when the fluctuations between degenerate nematic configurations are strongly coupled and slow compared to the timescale of phonons ($\Omega\lesssim\omega_0$), a split spectral structure for the phonons similar to that induced by static ordering can be obtained. In Fig. 4a, b we also show the behavior at finite T where several additional satellites enter the analysis, but the overall behavior remains unchanged. Finally, we can include externally applied stress $\sigma_{\rm ext}$ that explicitly breaks the fourfold symmetry via $H_\sigma = -\sum_i \sigma_{\rm ext} \tau_i^z$. Now, the degeneracy of the two $E_{\rm g}$ phonons is lifted. We then observe merely a gradual transfer of weight between the split peaks, see Fig. 4c.

The precise nature of the orbital fluctuations and of the electronic state coupling to the phonon in BaNi2As2 remains to be clarified experimentally. Nevertheless, it is possible to experimentally investigate the symmetry-lifting for BaNi₂As₂, by performing measurements under strain, in a comparative study with FeSe. Quite generally, Febased superconductors are particularly soft and can be detwinned with very modest stress. This can be seen in a Raman experiment through the suppression in the intensity of one of the two degenerate B_{2g} or B_{3g} modes⁹⁻¹². Here, we used the approach proposed in ref. 40, gluing a BaNi₂As₂ sample onto a glass-fiber reinforced plastic substrate with the edges of the tetragonal unit cell aligned with the fibers. The resulting symmetry breaking strain is estimated to 0.4% at 150 K, which decreases the triclinic transition temperature by about 5K and yields a small but measurable shift of the A_{1g} phonon of ~0.5 cm⁻¹ (Supplemmentary Information). In sharp contrast to the Fe-based compounds (Fig. 4f), the intensity ratio between the two E_g features barely changes in BaNi₂As₂ (Fig. 4e), as we only a observe a small spectral weight transfer between the two satellites, in line with the above prediction,

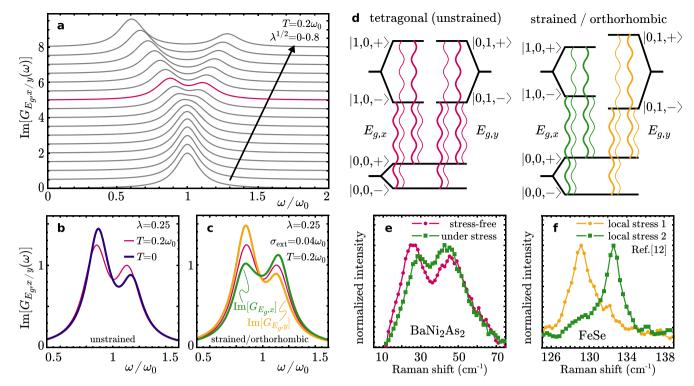


Fig. 4 | **Model calculation. a** Calculation of the $E_{\rm g}$ Raman response using a simple model of two degenerate harmonic oscillators coupled via λ to a fluctuating nematic degree of freedom. Details of the calculation are laid out in the Supplementary Note 4. Parameters for the $B_{\rm 1g}$ fluctuation frequency and temperature are chosen $\Omega = \omega_0/20$, $T = \omega_0/5$. **b** The weight distribution of the peak splitting depends on the relative energy scales in the problem, as illustrated for two different temperatures $T \ll \Omega$ and $\Omega \ll T \ll \omega_0$. **c** In the disordered case with equal peak splitting

the degeneracy of the two Raman responses can be lifted by applying a conjugate external strain $\sigma_{\rm ext}$. **d** Schematic of the allowed transitions that cause the peak splitting of the Raman signal even in the tetragonal state (left panel) and in the strained/orthorhombic state (right panel). **e** Raman response of BaNi₂As₂stress-free and under uniaxial stress and comparison to local stress dependence (**f**) of FeSe [Data from ref. 12, plotted with permission from the authors].

hereby confirming the dynamical nature of the nematic electronic phase of BaNi₂As₂.

Discussion

We summarize our findings on the phase diagram shown in Fig. 5 in which we report the doping dependence of the characteristic temperatures of the BaNi₂(As_{1-x})P_x)₂ system determined from a combination of XRD, Raman, resistivity and specific heat experiments. The main result of this study is the pronounced broadening and splitting of the E_g modes which occurs at temperatures significantly larger than that of static structural distortions and/or of the apparition of CDW orders. The effect is qualitatively and quantitatively very different from that associated with nematicity in Fe-based compounds. Indeed, rather than manifesting itself at a symmetry breaking phase transition or via long-wavelength fluctuations (probed e.g. through the softening of elastic constants or Raman scattering in the symmetry channel of the nematic order parameter), the behavior of the E_g modes can be explained by a strong symmetry-allowed coupling between the lattice and dynamic B_{1g} local nematic fluctuations visible even without phase transition. We argue that the $E_{\rm g}$ phonons follow the dynamics of an Ising variable, likely related to resonant transitions between distinct electronic orbital states, which causes a splitting in the phonon spectrum even in the absence of broken symmetry. These transitions can in principle be driven both by quantum and thermal fluctuations, and disentangling their effects is generally not trivial at finite temperature. We note however that the splitting of the E_g modes can be as large as ~30 cm⁻¹. This effectively corresponds to a temperature scale of ~45 K, above which fluctuations between the two configurations of the system can in principle be thermally driven. This is most likely the case for most of the investigated samples, with the notable exception of the one containing 10% of phosphorus, in which triclinic transition is completely suppressed and $T_{\rm c}$ is enhanced. We note that the splitting amplitude remains essentially temperature independent below -50 K (Supplementary Note 3), where quantum fluctuations can in principle be expected to take the lead, calling for further investigation on this interesting regime.

We end our discussion by drawing an analogy with generalized liquids states, strongly correlated states that do not break a symmetry, such as the Fermi⁴¹, orbital⁴² or the spin⁴³ liquids. The regime of strong fluctuations between degenerate nematic states that splits the $E_{\rm g}$ phonons without breaking the rotational symmetry in $BaNi_2(As_{1-x})P_x)_2$ discussed here can therefore be understood as a nematic liquid. The case of spin liquids in which strong zero-point fluctuations between degenerate configurations prevent long-range magnetic ordering⁴³ might bear the strongest conceptual similarity with the present case. One can therefore expect that some of the phenomenology of the quantum spin liquid might carry over to nematic liquids and give rise to related phenomena, such as unconventional superconductivity or exotic quantum states with long-range entanglement. It strongly supports the view that the much-enhanced superconducting transition temperature of the studied materials upon doping is closely tied to the emergence of dynamic nematic fluctuations uncovered in our measurements.

Methods

Single crystal growth

Single crystals of BaNi₂(As_{1-x}P_x)₂ were grown using a self-flux method. NiAs binary was synthesized by mixing the pure elements Ni (powder, Alfa Aesar 99.999%) and As (lumps, Alfa Aesar 99.9999%) that were ground and sealed in a fused silica tube and annealed for 20 h at 730 °C. All sample handlings were performed in an argon glove box (O_2

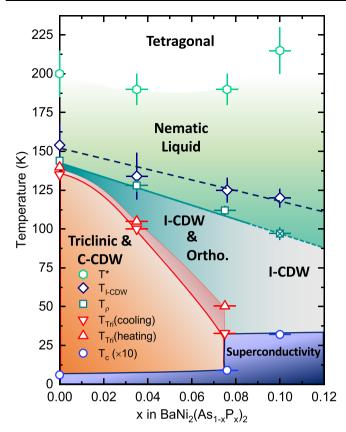


Fig. 5 | **Phase diagram for BaNi**₂($As_{1-x}P_x$)₂. The transition temperatures for the triclinic phases are determined from transport and thermal expansion measurements. We also report the temperature T_ρ of the minimum in dR/dT which corresponds to an orthorhombic transition in the parent compound (Supplementary Note 2). The superconducting transition temperature is measured by specific heat (Supplementary Note 2). The onset of the C-CDW seen with XRD coincides with the triclinic transition, whereas the intensity of the I-CDW satellites increases strongly at $T_{\text{I-CDW}}$, just above T_ρ (see Fig. 2). The onset of the broadening of the $E_{\text{g,1}}$ Raman phonons is indicated by T' (see also Supplementary Note 3). Horizontal error bars correspond to the uncertainty on the P-concentration as determined from EDX (Supplementary Note 1). Vertical error bars reflect the accuracy with which the various temperatures can be determined from Fig. 2.

content <0.7 ppm). For the growth of BaNi₂(As_{1-x}P_x)₂, a ratio of Ba:NiAs:Ni:P = 1: 4(1-x): 4x: 4x was placed in an alumina tube, which was sealed in an evacuated quartz ampule (i.e. 10⁻⁵ mbar). The mixtures were heated to 500 °C – 700 °C for 10 h, followed by heating slowly to a temperature of 1100 °C - 1150 °C, soaked for 5 h, and subsequently cooled to 995-950 °C at the rate of 0.5 °C/h to 1 °C/h, depending on the phosphorus content used for the growth. At 950-995 °C, the furnace was canted to remove the excess flux, followed by furnace cooling. Plate-like single crystals with typical sizes $3 \times 2 \times 0.5 \,\mathrm{mm}^3$ were easily removed from the remaining ingot. The crystals were brittle having shiny brass-yellow metallic lustre. Electron microscope analysis of the $BaNi_2(As_{1-x}P_x)_2$ crystals was performed using a benchtop scanning electron microscope (SEM) (Supplementary Note 1). The energy dispersive x-ray (EDX) analysis on the BaNi₂(As_{1-x}P_x)₂ crystals revealed phosphorus content $x = 0.035 \pm 0.005$, 0.076 ± 0.005 , and 0.10 ± 0.005 .

Single crystal X-ray diffraction

The phosphorus concentrations of the investigated samples were further confirmed by structural refinement from x-ray diffraction at room temperature using STOE imaging plate diffraction system (IPDS-2T) equipped with Mo K α radiation²⁰. Detailed temperature dependencies of the I- and C-CDW superstructure peaks were obtained using

a four circle diffractometer. The samples were cooled under vacuum in a DE-202SG/700K closed-cycle cryostat from ARS, surrounded by a Beryllium dome. The incoming beam was generated from a Molybdenum X-ray tube with a voltage of 50 kV and a current of 40 mA. The beam was collimated and cleaned up by a 0.8 mm pinhole before hitting the samples. We specifically followed the superstructure reflections close to the (4, 1, 1) and (1, 0, 3) Bragg peaks for the I- and C-CDW, respectively.

Polarization-resolved confocal Raman scattering

Confocal Raman scattering experiments were performed with a Jobin-Yvon LabRAM HR Evolution spectrometer in backscattering geometry, with a laser power of \leq 0.8 mW that was focused on the sample with a \times 50 magnification long-working-distance (10.6 mm) objective. The laser spot size was \approx 2 μ m in diameter. Low-resolution mode (1.54 cm $^{-1}$) of the spectrometer with 600 grooves/mm was used to maximize the signal output. For the phonon measurements, a He–Ne laser (λ = 632.8 nm) was used as the incident source, whereas the electronic background was best observed using the 532 nm line of a Nd:YAG solid state laser. Direct comparison of the structural phase transition temperature upon cooling as measured in specific heat and Raman indicated a laser-induced heating limited to less than 2K. The Raman spectra were Bose corrected and the phonons analyzed using a damped harmonic oscillator profile (with the exception of the A_{1g} mode that displayed a Fano asymmetry and was treated accordingly).

Additional details on the experiment, phonon calculations or on the theoretical model presented here are given in Supplementary Notes 3 and 4.

Data availability

The Raman data reported in this study have been deposited at the KIT Open, under the following identification number KITopen-ID: 1000148276. The data that support the findings of this study are available from the corresponding author, Matthieu Le Tacon, upon reasonable request.

References

- Fernandes, R. M., Orth, P. P. & Schmalian, J. Intertwined vestigial order in quantum materials: nematicity and beyond. *Annu. Rev. Condens. Matter Phys.* 10, 133–154 (2019).
- 2. Lederer, S., Schattner, Y., Berg, E. & Kivelson, S. A. Enhancement of superconductivity near a nematic quantum critical point. *Phys. Rev. Lett.* **114**, 097001 (2015).
- Böhmer, A. E. & Meingast, C. Electronic nematic susceptibility of iron-based superconductors. C. R. Physique 17, 90–112 (2016).
- Chu, J.-H., Analytis, J. G., Kucharczyk, C. & Fisher, I. R. Determination of the phase diagram of the electron-doped superconductor Ba(Fe_{1-x}Co_x)₂As₂. Phys. Rev. B 79, 014506–014506 (2009).
- 5. Weber, F. et al. Soft phonons reveal the nematic correlation length in $Ba(Fe_{0.94}Co_{0.06})_2As_2$. Phys. Rev. B **98**, 014516 (2018).
- Merritt, A. M. et al. Nematic correlation length in iron-based superconductors probed by inelastic x-ray scattering. *Phys. Rev. Lett.* 124, 157001 (2020).
- Wu, S. et al. Short-range nematic fluctuations in Sr_{1-x}Na_xFe₂As₂ superconductors. *Phys. Rev. Lett.* **126**, 107001 (2021).
- 8. Chauvière, L. et al. Doping dependence of the lattice dynamics in $Ba(Fe_{1-x}Co_x)_2As_2$ studied by Raman spectroscopy. *Phys. Rev. B* **80**, 094504–094506 (2009).
- Ren, X. et al. Nematic crossover in BaFe₂As₂ under uniaxial stress. Phys. Rev. Lett. 115, 197002 (2015).
- Baum, A. et al. Interplay of lattice, electronic, and spin degrees of freedom in detwinned BaFe₂As₂: a Raman scattering study. *Phys. Rev. B* 98, 075113 (2018).
- Zhang, W. L. et al. Stress-induced nematicity in EuFe₂As₂ studied by Raman spectroscopy. Phys. Rev. B 94, 014513 (2016).

- Hu, Y. et al. Nematic magnetoelastic effect contrasted between Ba(Fe_{1-x}Co_x)₂As₂ and FeSe. *Phys. Rev. B* 93, 060504 (2016).
- Ronning, F. et al. The first order phase transition and superconductivity in BaNi₂As₂ single crystals. *J. Physics: Condens. Matter* 20, 342203 (2008).
- Subedi, A. & Singh, D. J. Density functional study of BaNi₂As₂: electronic structure, phonons, and electron-phonon superconductivity. *Phys. Rev. B* 78, 132511 (2008).
- Kurita, N. et al. Low-temperature magnetothermal transport investigation of a Ni-based superconductor BaNi₂As₂: evidence for fully gapped superconductivity. *Phys. Rev. Lett.* 102, 147004 (2009).
- Eckberg, C. et al. Sixfold enhancement of superconductivity in a tunable electronic nematic system. Nat. Phys. 16, 346–350 (2020).
- Frachet, M. et al., Elastoresistivity in the incommensurate charge density wave phase of BaNi₂(As_{1-x}P_x)₂. Preprint at https://arxiv.org/ abs/2207.02462 (2022).
- Eckberg, C. et al. Evolution of structure and superconductivity in Ba(Ni_{1-x}Co_x)₂As₂. Phys. Rev. B 97, 224505 (2018).
- Lee, S. et al. Unconventional charge density wave order in the pnictide superconductor Ba(Ni_{1-x}Co_x)₂As₂. Phys. Rev. Lett. 122, 147601 (2019).
- Merz, M. et al. Rotational symmetry breaking at the incommensurate charge-density-wave transition in Ba(Ni,Co)₂(As,P)₂: Possible nematic phase induced by charge/orbital fluctuations. *Phys. Rev. B* 104, 184509 (2021).
- Meingast, C. et al. Charge-density-wave transitions, phase diagram, soft phonon and possible electronic nematicity: a thermodynamic investigation of BaNi₂(As,P)₂. Preprint at https://arxiv.org/abs/2207. 02294 (2022)
- Pokharel, A. R. et al. Dynamics of collective modes in an unconventional charge density wave system BaNi₂As₂. Commun. Phys. 5, 141 (2022).
- 23. Lee, S. et al. Multiple charge density waves and superconductivity nucleation at antiphase domain walls in the nematic pnictide Ba_{1-x}Sr_xNi₂As₂. *Phys. Rev. Lett.* **127**, 027602 (2021).
- Lederer, S., Berg, E. & Kim, E.-A. Tests of nematic-mediated superconductivity applied to Ba_{1-x}Sr_xNi₂As₂. Phys. Rev. Res. 2, 023122 (2020).
- Kudo, K. et al. Giant phonon softening and enhancement of superconductivity by phosphorus doping of BaNi₂As₂. *Phys. Rev. Lett.* 109, 097002 (2012).
- Devereaux, T. P. & Hackl, R. Inelastic light scattering from correlated electrons. Rev. Mod. Phys. 79, 175–159 (2007).
- Le Tacon, M. et al. Two energy scales and two distinct quasiparticle dynamics in the superconducting state of underdoped cuprates. Nat. Phys. 2, 537 (2006).
- Gallais, Y. et al. Observation of incipient charge nematicity in Ba(Fe_{1-x}Co_x)₂As₂. Phys. Rev. Lett. 111, 267001 (2013).
- Kretzschmar, F. et al. Critical spin fluctuations and the origin of nematic order in Ba(Fe_{1-x}Co_x)₂As₂. Nat. Phys. 12, 560–563 (2016).
- 30. Sen, K. et al. Strange semimetal dynamics in SrIrO₃. *Nat. Commun.* **11**, 4270 (2020).
- 31. Gehring, G. A. & Gehring, K. A. Co-operative Jahn-Teller effects. *Rep. Prog. Phys.* **38**, 1 (1975).
- 32. Fernandes, R. M. et al. Effects of nematic fluctuations on the elastic properties of iron arsenide superconductors. *Phys. Rev. Lett.* **105**, 157003 (2010).
- Gallais, Y. & Paul, I. Charge nematicity and electronic Raman scattering in iron-based superconductors. C. R. Physique 17, 113–139 (2016).
- Liehr, A. D. Topological aspects of conformational stability problem.1. Degenerate electronic states. J. Phys. Chem. 67, 389 (1963).
- 35. Cohen-Stead, B. et al. Langevin simulations of the half-filled cubic Holstein model. *Phys. Rev. B* **102**, 161108 (2020).

- Costi, T. A. & Kieffer, C. Equilibrium dynamics of the dissipative twostate system. *Phys. Rev. Lett.* 76, 1683 (1996).
- Silbey, R. & Harris, R. A. Variational calculation of the dynamics of a two level system interacting with a bath. J. Chem. Phys. 80, 2615 (1983).
- Silbey, R. & Harris, R. A. Tunneling of molecules in low-temperature media: an elementary description. J. Chem. Phys. 93, 7062 (1989).
- 39. Leggett, A. J. et al. Dynamics of the dissipative two-state system. *Rev. Mod. Phys.* **59**, 1 (1987).
- He, M. et al. Dichotomy between in-plane magnetic susceptibility and resistivity anisotropies in extremely strained BaFe₂As₂. Nat. Comm. 8, 504 (2017).
- 41. Pines, D. Theory of Quantum Liquids (CRC Press, 1989).
- 42. Khaliullin, G. & Maekawa, S. Orbital liquid in three-dimensional Mott insulator: LaTiO₃. *Phys. Rev. Lett.* **85**, 3950 (2000).
- 43. Savary, L. & Balents, L. Quantum spin liquids: a review. *Rep. Prog. Phys.* **80**, 016502 (2017).

Acknowledgements

The authors are grateful to P. Abbamonte, A. E. Boehmer, J. Demsar, F. Hardy, C. W. Hicks, and J. Paglione and L. Wang for valuable discussions. We further thank Y. Li for allowing us to reproduce his data in Fig. 4f. The contribution from M.M. was supported by the Karlsruhe Nano Micro Facility for Information (KNMFi). We further acknowledge the support of the KNMFi and Dr. Torsten Scherer for the EDX measurements. We acknowledge the funding by the Deutsche Forschungsgemeinschaft (DFG; German Research Foundation) Project-ID 422213477-TRR 288 (Projects B01, B03, and A02) and support by the state of Baden-Württemberg through bwHPC. K.W. acknowledges funding by the SNSF through a postdoc mobility fellowship. S.M.S. acknowledges funding by the Deutsche Forschungsgemeinschaft-Projektnummer 441231589.

Author contributions

M.L.T. conceived and supervised the project. Y.Y. and T.L. acquired and analyzed the Raman scattering data. A.A.H. and T.L. grew the single crystals. T.L., S.M.S., F.W., and M.M. carried out XRD experiments and analysis. M.F. performed transport experiments. C.M. performed dilatometry experiments. K.W. performed specific heat experiments. R.H. performed first-principle calculations and R.W. and J.S. developed the theoretical model. M.L.T., R.W., and J.S. wrote the manuscript with inputs from all the co-authors.

Funding

Open Access funding enabled and organized by Projekt DEAL.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information The online version contains supplementary material available at

https://doi.org/10.1038/s41467-022-32112-7.

Correspondence and requests for materials should be addressed to Matthieu Le Tacon.

Peer review information *Nature Communications* thanks the anonymous reviewers for their contribution to the peer review of this work.

Reprints and permission information is available at http://www.nature.com/reprints

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit https://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2022