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Gene expression profiling of circulating tumor cells and peripheral blood mononuclear cells from breast cancer patients

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ABSTRACT

Circulating tumor cells (CTCs) are cancer cells that are released from a tumor into the bloodstream. The presence of CTCs in peripheral blood has been associated with metastasis formation in patients with breast cancer. Therefore, the molecular characterization of CTCs may improve diagnostics and support treatment decisions.

We performed gene expression profiling to evaluate the enriched CTCs and peripheral blood mononuclear cells (PBMCs) of breast cancer patients using an expression panel of 55 breast cancer-associated genes. The study revealed several significantly differentially expressed genes in the CTC-positive samples, including a few that were exclusively expressed in these cells. However, the expression of these genes was barely detectable in the PBMC samples. Some genes were differentially expressed in PBMCs, and the expression of these genes was correlated with tumor grade and the formation of metastasis.

In this study, we have shown that the enriched CTCs of breast cancer patients overexpress genes involved in proteolytic degradation of the extracellular matrix (ECM) as well as genes that play important roles in the epithelial-mesenchymal transition (EMT) process that may occur in these cells.

Abbreviations: ADAM17, ADAM metallopeptidase domain 17; CD24, CD24 molecule; CD44, CD44 molecule; cDNA, cDNA; CTCs, circulating tumor cells; ECM, extracellular matrix; EMT, epithelial-mesenchymal transition; EpCAM, epithelial cell adhesion molecule; FDA, US Food and Drug Administration; FOXO3, forkhead box O3; HDAC2, histone deacetylase 2; HER2, erb-b2 receptor tyrosine kinase 2; H2AFZ, H2A histone family, member Z; IGFR1, Insulin-like growth factor 1 receptor; KIT, v-kit Hardy-Zuckerman 4 feline sarcoma viral oncogene homolog; KRAS, Kirsten rat sarcoma viral oncogene homolog; MRP, ATP-binding cassette, sub-family C (CFTR/MRP); mTOR, mechanistic target of rapamycin; MUC1, mucin1; Myc, v-myc myelocytomatosis viral oncogene homolog; OS, overall survival; PARP, poly (ADP-ribose) polymerase 1; PBMCs, peripheral blood mononuclear cells; PCR, polymerase chain reaction; PFS, progression-free survival; PI3K, phosphatidylinositol 3'-kinase; PI3KCA, phosphoinositide-3-kinase, catalytic, α polypeptide; PTEN, phosphatase and tensin homolog; PTPRC, protein tyrosine phosphatase receptor type C; SATB1, SATB homeobox 1; TGF β , transforming growth factor β ; TP53, tumor protein p53; UPA, urokinase plasminogen activator; VEGFA, vascular endothelial growth factor A; VEGFR1, Fms-related tyrosine kinase 1.

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Introduction

Despite advances in the diagnosis and treatment of breast cancer, it remains one of the most common malignant diseases. In 2012, approximately 14.1 million new cancer cases and 8.2 million cancer-related deaths occurred, compared with 12.7 million and 7.6 million, respectively, in 2008 (International Agency for Research on Cancer). Metastasis is the leading cause of death in patients diagnosed with cancer.¹ Cancer metastasis occurs when tumor cells dissociate from a primary tumor and migrate to distant organs through the peripheral bloodstream or lymphatic drainage system. The initiation of metastasis is a complex process that requires changes in cell phenotypes. Initially,

primary tumor cells lose the capacity for cell-cell adhesion. Numerous proteins are known to be involved in the process of ECM degradation, such as proteolytic enzymes and matrix metalloproteases.² The ECM provides mechanical support and transmits signals for cell survival. Once these signals cease after detachment, normal cells rapidly undergo a special form of apoptosis termed anoikis.³ In contrast, the biology of tumor cells is fundamentally different. Tumor cells have developed several mechanisms to avoid anoikis, primarily including the inhibition of the p53 apoptotic pathway.^{4,5} During the cell detachment, tumor cells lose epithelial properties and gain the mesenchymal properties. This process is described as the EMT⁶

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and is under the strict control of multiple regulatory pathways, including those involving the TGF β , NOTCH, and WNT proteins.⁷ Commonly used EMT molecular markers also include increased production of N-cadherin and vimentin.⁷ In 2011, Wicha and Hayes published a study showing that a specific subpopulation of CTCs may also undergo EMT.⁸

Metastasis formation in patients with breast cancer is related to the presence of CTCs in peripheral blood.⁹ CTCs are very rare cancer cells that are released from a primary tumor into the bloodstream. These cells are present at a ratio of 1 CTC to 10⁶–10⁷ peripheral blood cells.¹⁰ Their presence in peripheral blood is associated with significantly shorter progression-free survival (PFS) and overall survival (OS) in both early-stage and metastatic breast cancer patients.¹¹ There are several methods used for the detection of CTCs; however, these assays must be highly sensitive and specific due to the scarcity of these cells. Current techniques mainly rely on an enrichment step that increases assay sensitivity. Novel PCR-based methods include an assay developed by AdnaGen AG (Langenhagen, Germany) that combines the immune-magnetic separation of EpCAM- and MUC1-positive blood cells with multiplex PCR to detect tumor-specific transcripts (*HER2*, *MUC1*, and *EpCAM*). AdnaGen's BreastCancerTest has high sensitivity (the detection limit is 2 CTCs in 5 mL of blood) and high specificity (> 90%). Data from several studies comparing the AdnaGen BreastCancerTest with the only FDA approved test available on the market, the CellSearch™ system (Veridex, USA), have indicated that both methods are at least equivalent in terms of the detection of CTCs in metastatic breast cancer patients.¹²

Most of the prognostic models are based on analyses of primary tumors and cancer cell lines; however, metastasis, and not the primary tumor, is the main determinant of the clinical outcome of cancer patients. It is already well known that primary tumors and CTCs do not always have the same genetic and molecular profiles.^{13–15} Therefore, the molecular characterization of CTCs should provide valuable insights into the behaviors of these cells in terms of their impacts on the formation of metastasis and subsequent resistance to chemotherapy.

The aim of this study was to compare the gene expression profiles of CTCs and PBMCs in breast cancer patients as well as healthy controls. Our analyses revealed genes that are exclusively expressed in CTCs; however, the expression of these genes was below the limit of detection, and they could not be detected in the background of PBMC gene expression. Interestingly, analyses of the genes expressed in PBMCs revealed genes that were correlated with tumor grade and metastasis formation.

Results

CTC enrichment and gene expression profiling

To characterize key genes associated with the presence of CTCs, we performed gene expression profiling of CTCs enriched from the blood of breast cancer patients and compared the results with those of CTC-negative samples prepared from healthy donors. In the breast cancer patient group (n=112), 3 out of 35 stage III patients (8.6%), and 1 out of 24 stage II patients (2.4%) were CTC-positive, which is in

accordance with previous findings.¹⁶ Four months after the first CTC enrichment, we collected a second blood sample and performed CTC enrichment for the previous CTC-positive patients. Only two out of the four previously positive patients remained positive for the presence of CTCs. We performed further analysis using only those patients whose first and second blood samples were both CTC-positive. The primary tumors of the selected CTC-positive patients were negative for progesterone and HER2 receptors.

Although the EpCAM-based enrichment step eliminates a large number of leukocytes, we consistently observed contaminating leukocytes isolated together with CTCs.¹⁷ To ensure adequate control of the CTC-positive samples, 5 mL of blood from healthy female donors (n=4) was processed using an AdnaTest system.

Because of the very low amount of starting material, cDNA from the CTC-positive patients and controls was pre-amplified prior to qPCR analysis of 55 cancer-related genes (see the Methods section). The selection of genes was based on previous reports in the literature comprising breast cancer, stem cell, and EMT markers.^{18,19} A total of 27 genes were detected in the CTC-enriched samples (Table S1). We did not observe any significant differences in gene expression between the first and second blood samples collected from the CTC-positive patients (Fig. S1), and for further analysis, we included both data sets (both measurements). Principal component analysis of relative gene expression was performed to visualize the possible clustering of samples. The gene expression profile of the CTCs was so unique that principal component analysis clearly distinguished between the CTC-positive samples and CTC-negative controls (Fig. 1A). Hierarchical clustering and heat map imaging of the gene expression data of the 27 detected genes revealed high heterogeneity in the gene expression profiles of both the CTC-positive patients and healthy donors (Fig. 1B).

Gene expression profiling revealed 19 genes with significant differential expression between the CTC-positive group and CTC-negative (control) group. A total of seven genes were significantly upregulated in the CTC-enriched samples, and five (*EpCAM*, *IGFR1*, *UPA*, *VEGFA*, and *VEGFR1*) were expressed exclusively in CTCs (Fig. 2). Interestingly, gene expression profiling revealed a strong reduction in a gene cluster associated with tumor progression. These genes (*CD24*, *MRP5*, *mTOR*, *Myc*, and *PARP*) were significantly downregulated in the CTC-enriched samples compared with the healthy controls.

Gene expression profiling of PBMCs

One of the main aims of this study was to determine the prognostic value of PBMCs from breast cancer patients. We hypothesized that a strong CTC gene signature could be detected in PBMCs. Thus, we performed gene expression profiling of 147 PBMC samples from breast cancer patients using the same panel of genes that was used for CTC profiling. Genes with > 50% missing data were excluded from the analysis. Out of the 55 genes included in the panel, a final group of 36 genes was further analyzed (Table S1). A total of 17 genes were significantly differentially expressed ($p \leq 0.05$) between the groups (Fig. 3). We mainly focused on the genes identified by CTC profiling. We found that

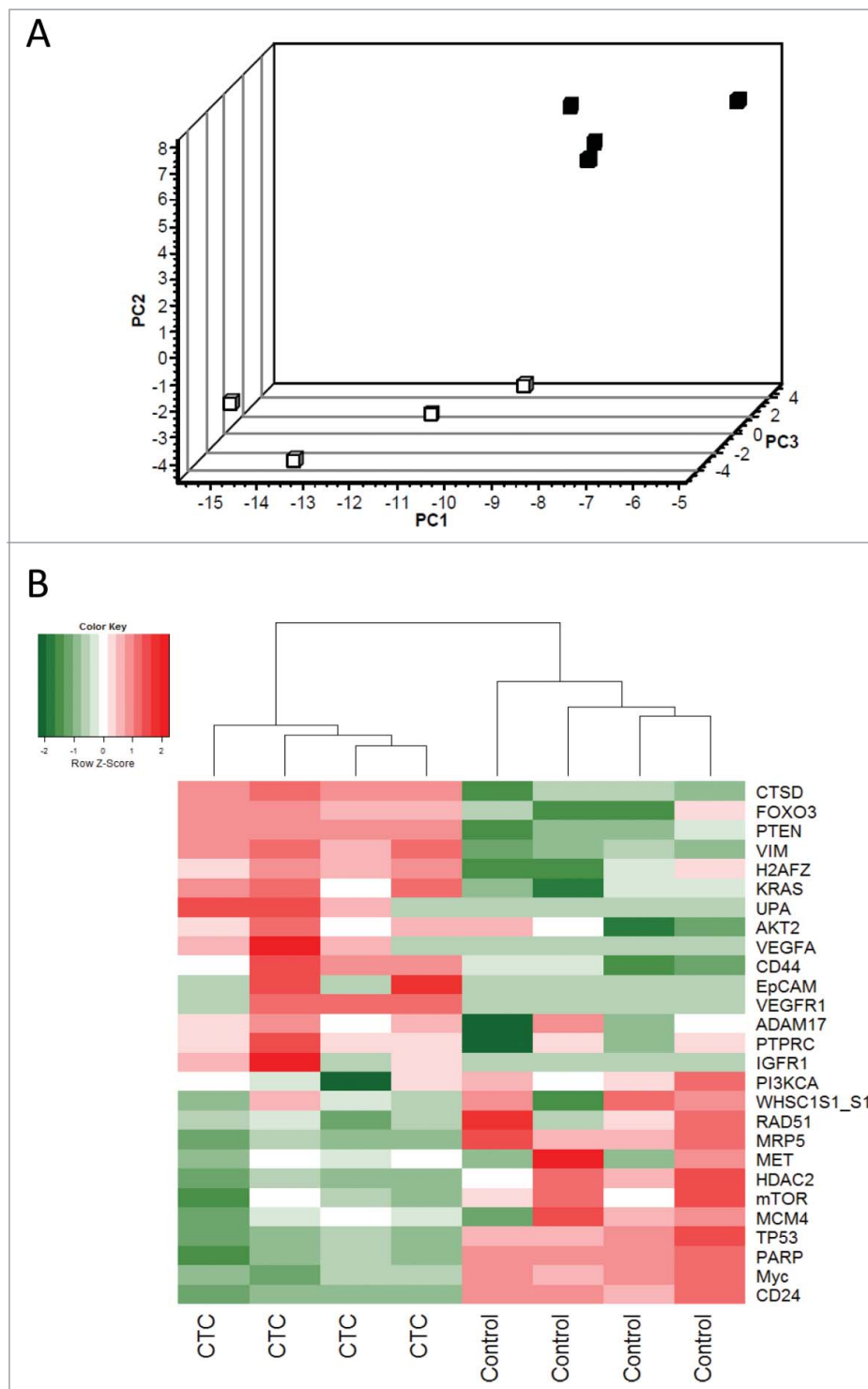


Figure 1. Principle component analysis and hierarchical clustering of relative gene expression in CTC-enriched samples. (A) Principal component analysis represents the differential gene expression pattern of CTC-enriched samples (black squares, n = 4) and healthy controls (white squares, n = 4). Axis: X = PC1: PCA Component 1 (71.98% variance); Y = PC2: PCA Component 2 (93.35% variance); Z = PC3: PCA Component 3 (96.94% variance). (B) Heat map depicting the expression levels of mRNAs in the CTC-enriched samples (CTC, n = 4) compared with their expression levels in healthy controls (n = 4). The red and green squares indicate high and low mRNA levels, respectively.

some of the genes exclusively expressed in CTCs had either no or very low expression in PBMCs. *EpCAM* and *VEGFR1* were excluded from analysis due to a high percentage of missing data, and *UPA* exhibited a very low level of gene expression in PBMCs (Cq values > 34). The remainder of the upregulated genes (*IGFR1* and *VEGFA*) in CTCs did not show the same regulatory pattern in PBMCs, exhibiting

either no change or downregulation compared with the healthy controls. The genes that were downregulated in the CTC-enriched samples showed similar expression patterns in the PBMC samples. In accordance with the data obtained from CTC profiling, the expression levels of *CD24*, *HDAC2*, *mTOR*, *Myc*, *PARP*, and *TP53* were significantly reduced in the patient group. Overall, the majority of genes detected in

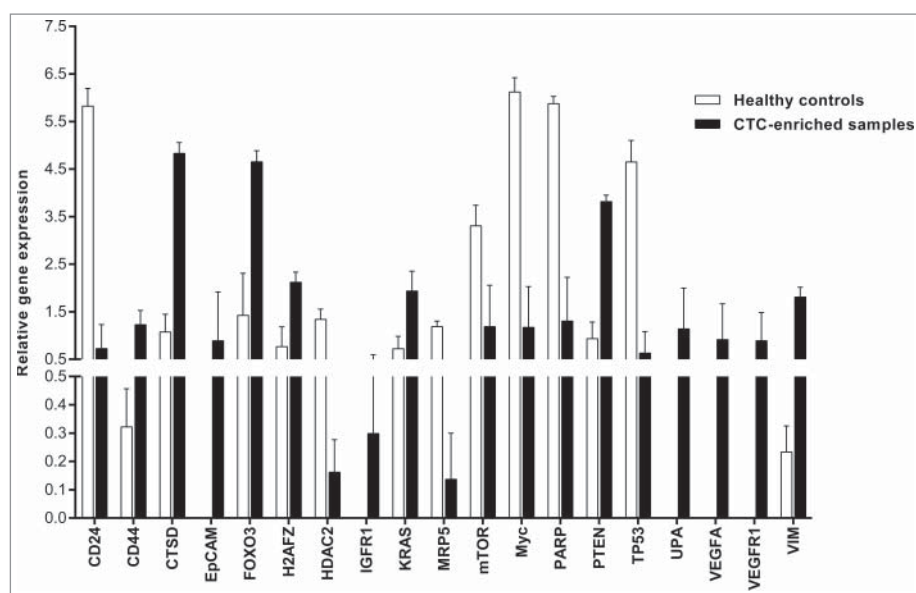


Figure 2. Gene expression profiling of selected genes in CTC-enriched samples. This figure shows the genes that were significantly differentially expressed. The PCR data were normalized to reference genes and expressed on a \log_2 scale. All values are presented as the mean \pm SEM. White bars, healthy donors ($n = 4$); black bars, CTC-enriched samples ($n = 4$). The PCR data were analyzed by unpaired Student's *t*-test. Comparisons were considered significant at $p \leq 0.05$.

the PBMCs of breast cancer patients were downregulated or unchanged, except for *MRP4*, which was significantly over-expressed in the PBMCs of the breast cancer patients (Fig. 3).

Next, we investigated the relationships between gene expression in PBMCs and clinicopathological parameters, including metastasis formation, tumor grade, and receptor status of the primary tumors (Fig. 4). *ADAM17*, *FOXO3*, *HER2*, *KIT*, *KRAS*, *MRP1*, *MRP2*, *MRP4*, *PI3KCA*, *PTPRC*, and *VEGFA* were significantly downregulated in the group of patients with metastasis (Fig. 4A). The reduced gene expression of *CD44*, *HER2*, and

SATB1 in PBMCs was significantly associated with higher-grade primary tumors (Fig. 4B). Moreover, a negative HER2 receptor status was associated with higher expression of *KRAS*, *MRP2*, *MRP4*, *PI3KCA*, *SATB1*, and *TP53* (Fig. 4C).

Discussion

Detection of CTCs has been correlated with decreased PFS and OS in patients with primary and metastatic breast cancer.¹¹ In addition to the prognostic impact of the CTC

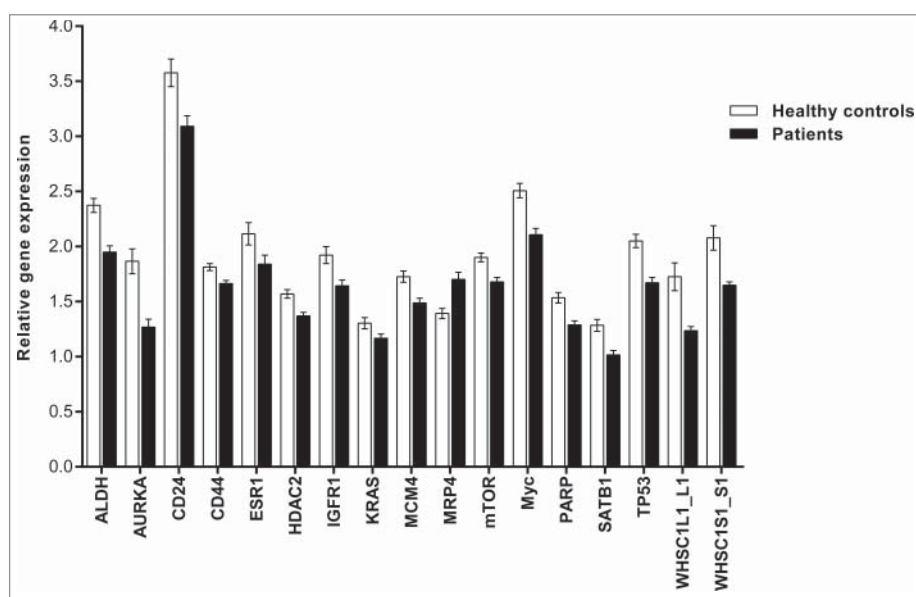


Figure 3. Gene expression profiling of significantly differentially expressed genes in PBMCs. This figure shows genes that were significantly differentially expressed in breast cancer patients only. The PCR data were normalized to the selected reference genes and expressed on a \log_2 scale. All values are presented as the mean \pm SEM. White bars, control group ($n = 43$); black bars, breast cancer patients ($n = 147$). The PCR data were analyzed by unpaired Student's *t*-test. Comparisons were considered significant at $p \leq 0.05$.

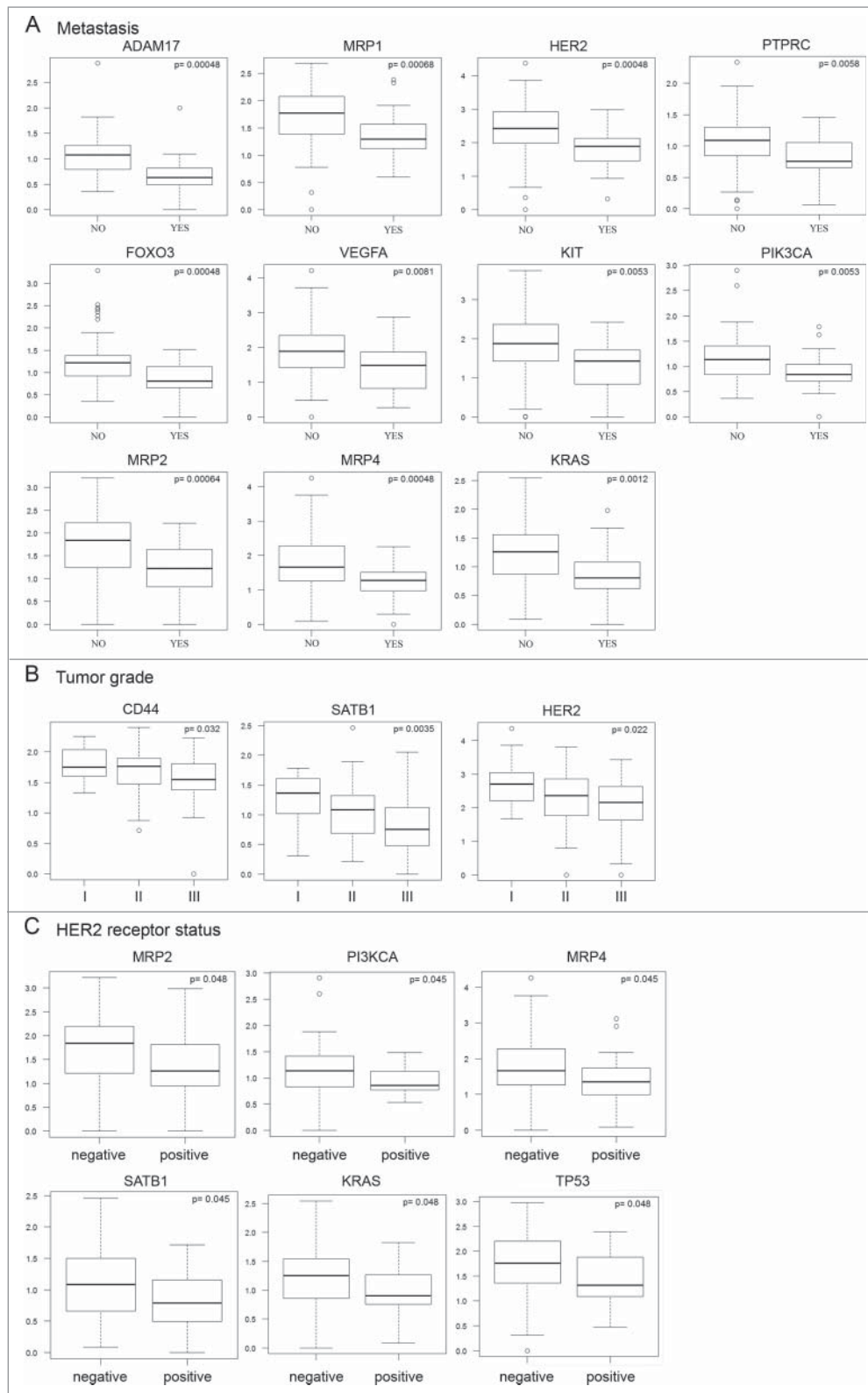


Figure 4. Correlation analyses of gene expression profiles and clinical parameters of patients. Each plot represents correlation of particular gene expression with formation of metastasis (A), tumor grade (B), and HER2/neu receptor status (C). We observed that downregulated expression of selected genes in the PBMCs of breast cancer patients ($n = 147$) is significantly associated ($p \leq 0.05$, p -values are controlled for false positives) with formation of metastasis (A), tumor grade (B), and HER2/neu receptor status (C).

count in breast cancer, the molecular characterization of these cells offers new perspectives that can enhance our understanding of their biology and may improve the prediction of metastasis formation, enabling better treatment

decisions. Despite recent advances in isolation techniques, CTC detection in peripheral blood remains challenging. In contrast, the isolation of PBMCs is routinely performed. Therefore, the ability to predict tumor behavior and patient

outcome based on the gene expression profiling of PBMCs would be a significant advance.

We screened a total of 112 blood specimens for the presence of CTCs in breast cancer patients at different stages of the disease. A total of 43 blood specimens from healthy donors were used as controls. In this study, we did not detect any CTCs in the peripheral blood of patients in the early stage of the disease. These cells were detected in the peripheral blood of approximately 2% of stage II and 9% of stage III patients. We then performed gene expression profiling of CTCs from breast cancer patients. Based on a comparison with the healthy control group, we identified a set of genes that were overexpressed in CTCs.

Interestingly, most of the identified genes play important roles in cell motility, the EMT process and metastasis formation. Because the ECM is a major physical barrier, cancer cells must increase the production of proteolytic enzymes to penetrate surrounding tissue and establish a new metastatic site. Many studies have shown that the urokinase plasminogen system has a key role in the proteolytic degradation of the ECM.²⁰ Activation of the urokinase plasminogen system has been observed in many cancer types and has been associated with poor prognosis in breast cancer patients.²¹ Furthermore, this system plays a key role in cell survival. The data presented by Alfano *et al.* clearly demonstrate the role of urokinase signaling in the protection of tumor cells against the special type of programmed cell death termed anoikis.⁴ Similar results have been found in invasive MDA-MB-231 breast cancer cells by Ma *et al.*²².

In this study, we found that the gene expression of urokinase plasminogen activator (UPA) was overexpressed in the CTC-enriched samples. Importantly, this gene was one of the five genes that were exclusively expressed in these samples. These results confirm previous findings that the urokinase plasminogen system is activated in CTC-enriched samples. We found that *VEGFA* and *IGFR1* expression was increased in these samples. Several groups have indicated that the urokinase plasminogen system may be activated by a variety of growth factors, including vascular endothelial growth factor (VEGF) and insulin-like growth factor.^{23,24} Histone deacetylation is also involved in the regulation of *UPA* gene transcription. Indeed, it has been reported that histone deacetylase (HDAC) inhibitors induce *UPA* expression and cancer cell invasion.²⁵ In our study, *HDAC2* was significantly downregulated in the CTC-enriched samples. *IGFR1* has an additional role in tumor cell survival. The activation of *IGFR1* signaling partially contributes to breast cancer recurrence by improving cell survival via the suppression of anoikis.⁵ *UPA* was not the only ECM-degrading protease detected in CTC-enriched samples. Overexpression of *CTSD*, which encodes the protease cathepsin D, was also detected. This protein contributes to the poor prognosis of breast cancer patients²⁶ and is involved in tumor invasion and the formation of metastasis.²⁷

VEGFR1 was also found to be expressed in the CTCs. This gene encodes a protein that functions as one of the three tyrosine kinase receptors for VEGF. *VEGFR1* has a well-described role in tumor progression as a positive regulator of angiogenesis.²⁸ Interestingly, the activation of *VEGFR1* may also lead to the EMT process,²⁹ which is mainly associated with the

overexpression of vimentin.⁷ Our findings revealed the significant upregulation of vimentin gene expression in the CTC-enriched samples. H2A histone family member Z (*H2AFZ*) was another gene that was deregulated in these samples. The product of this gene plays a major role in critical biological processes, such as chromosome segregation, cell cycle progression, and maintenance of the heterochromatin/euchromatin status.³⁰ Its expression is significantly associated with the invasion of tumor cells into lymph nodes, the formation of metastasis and decreased patient survival.³¹

Another important process in the formation of metastasis is the adhesion of CTCs to endothelial cells within a vessel. E-selectin is a cell adhesion molecule expressed in endothelial cells. Interestingly, it is expressed in human bone marrow microvascular endothelium,³² which is one of the most frequent sites of cancer metastasis.³³ *CD44* is a ligand for E-selectin,³⁴ and its expression has been detected in numerous breast cancer cell lines and primary tumors.³⁵ We found that its expression was significantly increased in the CTC-enriched samples, which is in accordance with the findings of Theodoropoulos *et al.*³⁶

However, this study also raises several questions about the roles of the other genes that were overexpressed in the CTC-enriched samples, namely *FOXO3*, *PTEN*, and *KRAS*. *FOXO3* and *PTEN* are known tumor suppressor genes that are involved in the PI3K-Akt signaling pathway. Two of the main roles of Akt are the promotion of cell proliferation and the inhibition of apoptosis. *FOXO3* is a key downstream target of the PI3K-Akt signaling pathway and promotes tumor suppression, cell cycle arrest, the repair of damaged DNA, and apoptosis, and it also plays a pivotal role in promoting longevity.³⁷ *PTEN* regulates the activation of PI3K by dephosphorylating phosphatidylinositol 3,4,4-triphosphate (PIP3) to form phosphatidylinositol 4,5-bisphosphate (PIP2). PIP3 is an important second messenger in tumorigenesis that activates Akt and other signaling molecules involved in a variety of cellular events, such as survival, proliferation, cell motility, and invasion.³⁸

There are two possible explanations for the overexpression of *FOXO3* and *PTEN* in CTC-enriched samples. First, the functions of both tumor suppressor genes predominantly depend on specific posttranslational modifications rather than on gene expression levels. Several other studies have reported that *FOXO3* and *PTEN* activities can be controlled by posttranslational regulation mechanisms, including phosphorylation, acetylation, methylation, ubiquitin-mediated proteasomal degradation, and alteration of subcellular localization.^{39,40} Second, it has been demonstrated that *PTEN* and *KRAS* are frequently mutated and can either lose their function or gain oncogenic properties.^{41,42}

Gene expression profiling of CTCs also revealed several surprising findings. Genes that are known to be expressed in tumors were downregulated in the CTC-enriched samples compared with the control group, including *mTOR*, *Myc*, and *PARP*, which are known for their roles in the regulation of DNA repair^{43,44} and tumor progression.⁴⁵⁻⁴⁷ These surprising results must be further investigated.

Due to the low number of CTC-positive patients in this study we performed an additional data analysis using the

TCGA data package (The Cancer Genome Atlas, freely accessible database) to compare the gene signature of CTCs (our data) with the gene signature of metastasis and primary tumors (TCGA data). In total, we analyzed 1,090 primary tumors and 7 metastasis, all from breast cancer patients. The analysis revealed similar differential expression of *HDAC2*, *TP53*, and *UPA* (Fig. 5). *HDAC2* and *TP53* were downregulated in metastasis compared with primary tumors, which is consistent with our data obtained from CTC-positive patients. *UPA* was overexpressed in primary tumors compared to metastasis and also overexpressed in our CTC-positive patients.

In order to evaluate the prognostic relevance of the gene signature of CTCs we correlated high expression of these genes and patients survival in the independent cohorts of patients (TCGA data set), by performing Kaplan–Meier analyses using the third quartile of the gene's expression as a cut-off value. Among the 27 genes examined, high expression of 5 genes (*CD24*, *HDAC2*, *KRAS*, *PIK3CA*, *RAD51*), was associated with significantly shorter overall-survival ($p < 0.05$, log-rank test), and high expression of *RAD51* was significantly associated with shorter relapse-free survival (Fig. 6). Strikingly, not a single gene examined was associated with a favorable outcome.

Epithelial to mesenchymal transition is not the only prerequisite for a successful formation of metastasis. CTCs need to have mechanisms that allow them to survive both in the blood stream and at the site of implantation. Little is known about the immunomodulatory capacity of CTCs that would allow them to escape the attack of the immune response. We observed a significant downregulation of *mTOR* and *Myc* expression in the CTC-enriched samples. It has been published that the transcription factor *Myc* is essentially involved in T cell activation-induced cell growth and proliferation, while *mTOR* deficiency is associated with regulatory T cell differentiation.⁴⁹ Besides that, the transcription factor *FOXO3* regulates dendritic cells function.

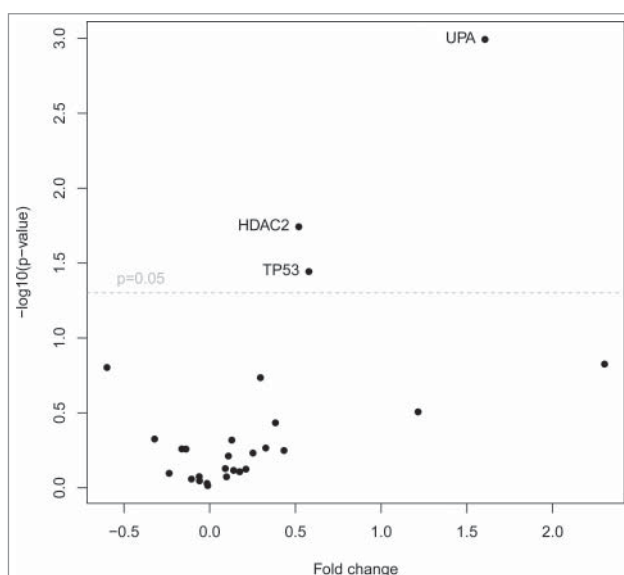


Figure 5. TCGA data analysis of primary tumors and metastasis. Volcano plot filtering shows differentially expressed genes between primary tumors ($n = 1,090$) and metastasis ($n = 7$). Three genes (*HDAC2*, *TP53*, *UPA*), that are highlighted above the horizontal line (statistical significance boundary of $p < 0.05$) were significantly upregulated in primary tumors.

Increased expression of *FOXO3* is associated with the induction of immune tolerance.⁵⁰ Our CTC-enriched samples had *FOXO3* significantly overexpressed. Similarly, expression of known immunosuppressive molecules such as *VEGFA* and its receptor *VEGFR1*⁵¹ was exclusively detected in CTCs and not in PBMCs. Finally, *PARP* functions as a negative regulator of Foxp3+ regulatory T cells.⁵² *PARP* downregulation in CTC-enriched samples may thus also contribute to the immuno-suppressive effect of CTCs.

In the second part of the study, we examined whether the gene expression profile of CTCs could be detected in the PBMC fraction in breast cancer patients. Therefore, we performed gene expression profiling of the same panel of 55 cancer-related genes in PBMCs from 147 breast cancer patients at different stage of the disease. Unfortunately, most of the genes did not show the same regulatory patterns that were detected in the CTC-enriched samples and following interesting findings may have been caused by the excessive background gene expression in the haematopoietic cells. Some of the genes that were significantly differentially expressed in patient's PBMCs were also detected in CTCs (*CD24*, *CD44*, *HDAC2*, *IGFR1*, *KRAS*, *mTOR*, *Myc*, *PARP*, and *TP53*), however all of them were downregulated in patient's PBMCs including the *CD44*, *HDAC2*, and *KRAS* genes which were in contrast upregulated in CTCs. *MRP4* was the only upregulated gene in patient's PBMCs, however this gene was not detectable in CTCs.

This study also showed that the specific gene expression profile of PBMCs in breast cancer patients may have a prognostic value, although its significance differs from that of the CTC profile. We identified genes associated with tumor grade and the formation of metastasis differentially expressed in PBMCs.

In summary, most prognostic models rely on information derived from primary tumors or cancer cell lines; however, the clinical outcome of cancer patients is largely determined by metastasis rather than primary tumors. CTCs play a key role in the metastatic spread of primary tumors; therefore, the molecular characterization of CTCs may improve the prediction of metastasis formation and lead to better treatment decisions. We showed that CTCs from breast cancer patients mainly overexpress genes involved in proteolytic degradation of the ECM as well as genes that play important roles in the EMT process in cancer cells. In addition, the urokinase plasminogen system was found to be preferentially activated in CTCs. Despite all of the challenges encountered, such as leukocyte contamination and a low frequency of CTCs, we were successfully able to perform expression profiling of cancer-related genes in CTC-enriched samples and in PBMCs of breast cancer patients. However, our findings should be interpreted with caution due to the small number of CTC-positive patients evaluated.

Patients and methods

Patient characteristics

The study has received ethical approval by the Multicentric Ethics Committee of the University Hospital Motol (approved

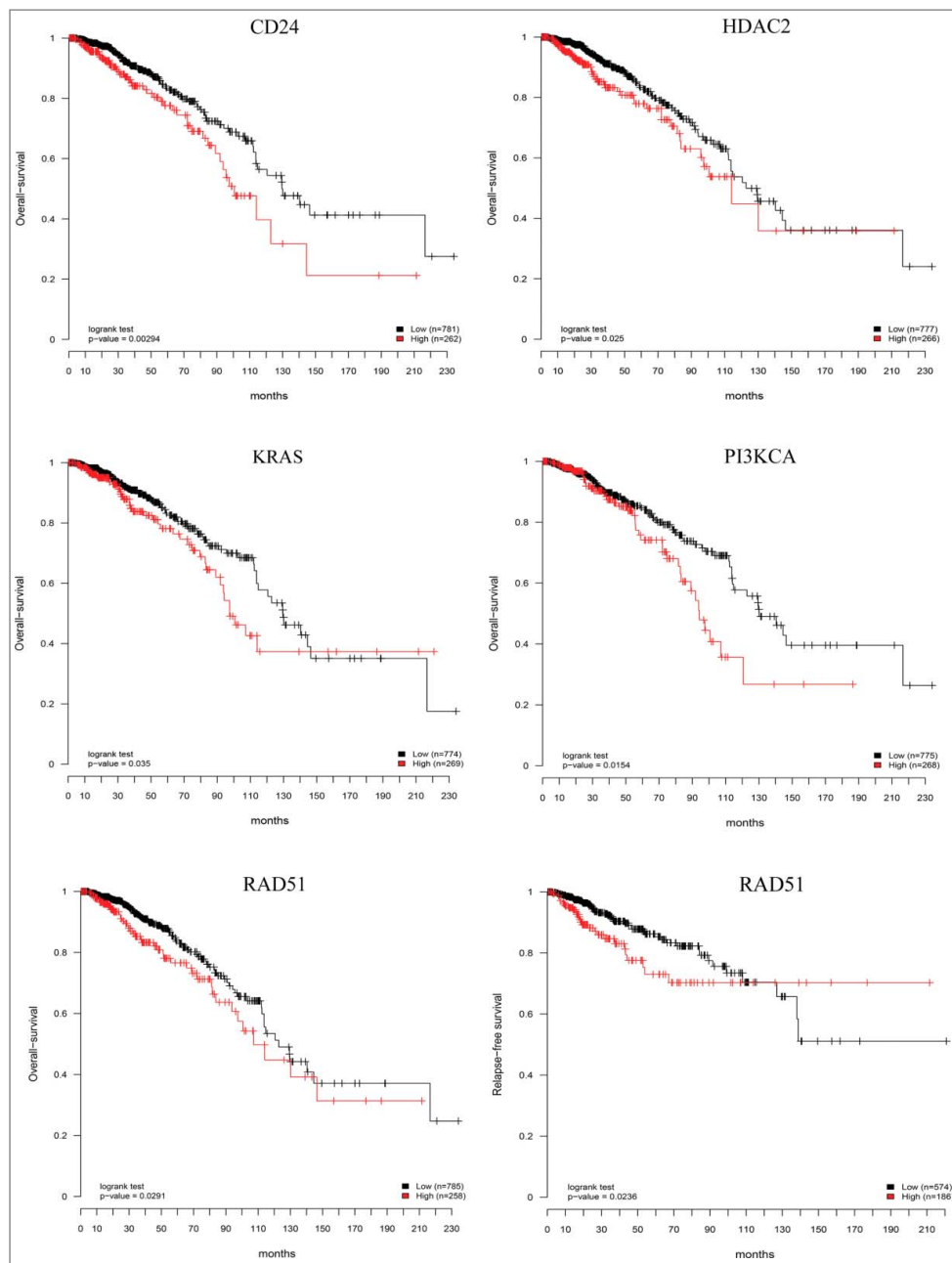


Figure 6. Prognostic relevance of the CTCs gene signature. Six independent Kaplan–Meier curves show the comparison of overall-survival and relapse-free survival in independent cohort of breast cancer patients (TCGA data set) and confirmed that high expression of the genes studied is associated with poor patient's outcome. Red curves: high gene expression; black curves: low gene expression; *p* values from log-rank tests comparing the two KM curves are shown at the bottom of each plot.

13.1.2010; ref. 4.1.1) and all patients signed a written informed consent form.

A total of 147 breast cancer patients were enrolled in this study between 2011 and 2014. The presence of a tumor was confirmed histologically. The patients were distributed into four groups according to tumor stage (stage I to IV), which was determined by a pathologist in compliance with common standards. The median patient age at the time of diagnosis was 47 y (ranging from 24 to 84 y). Patients in the advanced stage of the disease were treated with neoadjuvant chemotherapy (a combination of anthracycline/cyclophosphamide/taxanes) before surgery, and blood samples were collected. At the time of sample collection, 83% of the patients did not have any

metastasis. A total of 105 patients had a positive estrogen receptor status, 92 patients were progesterone receptor-positive, and 32 had HER2/neu overexpression, as determined by pathology reports. Details of the patient clinicopathological parameters are presented in Table 1.

Blood collection

Peripheral blood samples for the enrichment of CTCs and isolation of PBMCs were collected at the Department of Obstetrics and Gynecology, 2nd Faculty of Medicine, Charles University in Prague, University Hospital Motol (112 blood samples in total) and at the Department of

Table 1. Clinicopathological parameters of all patients enrolled in the study. Patient group ($n = 147$); median age: 47 years; age range: 24–84 years.

	No. of patients	%
Time between primary treatment and CTC sampling		
≤ 1 year	70	62.5
> 1 year	42	37.5
Grading (Bloom-Richardson)		
I (well differentiated)	22	15
II (moderately differentiated)	77	52.4
III (poorly differentiated)	48	32.6
Cancer stage		
I	62	42.2
II	28	19
III	43	29.3
IV	14	9.5
Lymph node involvement		
Yes	67	45.6
No	80	54.4
Estrogen receptor status		
Positive	105	71.4
Negative	42	28.6
Progesterone receptor status		
Positive	92	62.6
Negative	55	37.4
HER2/neu status		
Positive	32	21.8
Negative	115	78.2
Chemotherapy		
Yes	67	45.6
No	80	54.4
Metastasis		
Yes	26	17.7
No	121	82.3
Disease progression		
Yes	31	21.1
No	115	78.2

Oncology, 1st Faculty of Medicine, Charles University in Prague, General University Hospital (35 blood samples in total). For PBMC isolation, 8 mL of peripheral blood was drawn from each patient and healthy donor and collected into a K₂EDTA BD Vacutainer (Becton Dickinson Diagnostics, USA). For CTC enumeration, 7 mL of peripheral blood was drawn into an AdnaCollect tube (AdnaGen, Germany) and processed within 4 h after collection, according to the manufacturer's instructions.

Isolation and detection of CTCs

CTC enrichment from peripheral blood samples was performed with AdnaTest BreastCancer Select and AdnaTest BreastCancer Detect kits (AdnaGen AG, Germany). The AdnaTest system enables the immunomagnetic enrichment of tumor cells using antibody-linked Dynabeads, which specifically bind to the tumor markers EpCAM (GA733-2) and MUC1. Multiplex PCR is then performed to identify tumor-specific transcripts (*HER2*, *MUC1*, and *EpCAM*). Briefly, peripheral blood collected in an AdnaCollect tube (AdnaGen AG, Germany) was preserved at 4–8°C, transported to the laboratory and processed for up to 4 h after collection. A 5-mL aliquot of collected blood was incubated with a pre-prepared mixture of antibodies against EpCAM and MUC1, followed by magnetic separation, lysis of the separated cell fraction, mRNA isolation and reverse transcription (Sensiscript[®] Reverse Transcriptase, Qiagen, Germany). cDNA was then used as a template for multiplex PCR, and the PCR products were analyzed

with an Agilent 2100 Bioanalyzer (Agilent Technologies, USA). The AdnaTest was considered to be positive when a PCR fragment of at least one tumor-specific transcript was clearly detected and the densitometrically calculated PCR product concentration was > 0.15 ng/ μ L. β -actin was used as an internal PCR control, according to the manufacturer's instructions.

PBMC isolation

PBMCs were isolated from 8 mL of peripheral blood by Ficoll-Paque PLUS (GE Healthcare, Sweden) gradient centrifugation. Briefly, 4 mL of Ficoll was pipetted into 15-mL centrifuge tubes. Heparinized blood was diluted at a 1:1 ratio with phosphate-buffered saline containing EDTA (PBS-EDTA pH 7.5, Lonza, Belgium) and carefully layered over a Ficoll-Paque gradient (8 mL/tube). Samples were centrifuged at $600 \times g$ for 30 min at 20°C. The PBMC layer was collected, and the cells were washed twice in PBS-EDTA ($10 \text{ min}/270 \times g/4^\circ\text{C}$ and $10 \text{ min}/220 \times g/4^\circ\text{C}$), followed by a final wash step in PBS without EDTA. The cells were then resuspended and counted using a Bürker chamber. Cell lysates were prepared from 2×10^6 PBMCs and 350 μ L of RLT buffer with 1% β -mercaptoethanol (Qiagen, Germany). The samples were stored at -80°C .

Isolation of RNA from PBMCs and reverse transcription

Total RNA was isolated using an RNeasy Mini Kit (Qiagen, Germany). Each sample containing RLT buffer and cell lysate was quickly thawed and processed in accordance with the manufacturer's protocol; including a DNase I digestion step. The RNA concentration and purity were determined using a NanoDrop 2000c (Thermo Scientific, Germany), and the RNA integrity was assessed using an Agilent 2000 Bioanalyzer (Agilent, USA). Purified RNA samples were stored at -80°C until further use. cDNA was synthesized from 100 ng of total RNA using an iScript cDNA Synthesis Kit (BioRad, USA).

cDNA pre-amplification of CTC-enriched samples

The pre-amplification of CTC cDNA was performed with a T100 Thermal Cycler (Bio-Rad, USA). Each reaction (50 μ L) contained primers (50 nM each; IDT, USA), RNase-free water, 5 μ L of CTC cDNA (including the beads) and GrandMaster Preamp Mix (TATAA Biocenter, Sweden). All pre-amplification assays performed are listed in the Table S2. Pre-amplification was carried out under the following conditions: 1 min at 95°C followed by 18 cycles of pre-amplification (95°C for 5 s, 60°C for 120 s, and 72°C for 60 s). The pre-amplification product was placed on dry ice and diluted $8 \times$ with RNase-free water prior to use.

Gene expression profiling of CTCs and PBMCs

Quantitative real-time PCR (qPCR) was performed to assess the CTC samples with a LightCycler480 (Roche, Switzerland) and the PBMC samples with QuantStudio (Life Technologies, USA). In both cases, 384-well blocks and ZEN hydrolysis probe detection chemistry (IDT, USA) were used. Each reaction (10 μ L) contained primers (400 nM each; IDT, USA), ZEN

probe (200 nM; IDT, USA), RNase-free water, 2 μ L of 8x-diluted pre-amplification product and GrandMaster Probe mix (TATAA Biocenter, Sweden). The beads were removed from the CTC samples. For PBMC profiling, 1 μ L of 5x-diluted cDNA was used per qPCR. Diluted cDNA was mixed with GrandMaster Probe mix and dispensed in a 384-well plate, and the primers, probes and water were added. The temperature cycling protocol was as follows: 1 min at 95°C, followed by 45 cycles of amplification (95°C for 5 s and 60°C for 30 s). Liquid handling was carried out with an EpMotion 5070 (Eppendorf, Germany). qPCR was performed in duplicate. Cycle of quantification (Cq) values were obtained using the 2nd derivative maximum method with LightCycler and the global threshold method of QuantStudio. The specificities of all assays were previously validated by melting curve analysis, and the formation of PCR products of the expected lengths was confirmed by agarose gel electrophoresis. All assays were designed to span introns, and the BLAST algorithm was used to check for potential pseudogenes. During validation, all assays resulted in more than five cycles of difference between the normal cDNA sample and the RT negative control that contained only residual genomic DNA, the contribution of which was subtracted using ValidPrime.⁵³ All qPCR assays were optimized so that primer-dimer signals did not appear within 45 cycles of amplification, and the PCR efficiencies for all assays were 90–100%. Standard curves were analyzed with GenEx (MultiD Analyses). An interplate calibrator (TATAA Biocenter, Sweden) was used to compensate for instrument variation between qPCR runs, and reactions were performed in quadruplicate. All experiments were conducted according to the Minimum Information for Publication of qPCR Experiments guidelines.⁵⁴

Statistical analysis

PCR data were analyzed by unpaired Student's t-test using GenEx software (MultiD Analyses). Relative gene expression levels were calculated using the $\Delta\Delta$ Ct method and were normalized to the expression levels of reference genes selected by Normfinder. All values are presented as the mean \pm SEM. Differences were considered significant at $p \leq 0.05$. The differential expression of genes according to clinical parameters was assessed by Student's t-test or ANOVA.

Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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