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Comparative Analysis of Matrix Metalloproteinase Family Members Reveals That *MMP9* Predicts Survival and Response to Temozolomide in Patients with Primary Glioblastoma

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## Abstract

## Background

Glioblastoma multiform (GBM) is the most common malignant primary brain tumor in adults. Radiotherapy plus concomitant and adjuvant TMZ chemotherapy is the current standard of care for patients with GBM. Matrix metalloproteinases (MMPs), a family of zinc-dependent endopeptidases, are key modulators of tumor invasion and metastasis due to their ECM degradation capacity. The aim of the present study was to identify the most informative MMP member in terms of prognostic and predictive ability for patients with primary GBM.

## Method

The mRNA expression profiles of all MMP genes were obtained from the Chinese Glioma Genome Atlas (CGGA), the Repository for Molecular Brain Neoplasia Data (REMBRANDT) and the GSE16011 dataset. MGMT methylation status was also examined by pyrosequencing. The correlation of *MMP9* expression with tumor progression was explored in glioma specimens of all grades. Kaplan–Meier analysis and Cox proportional hazards regression models were used to investigate the association of *MMP9* expression with survival and response to temozolomide.

## Results

*MMP9* was the only significant prognostic factor in three datasets for primary glioblastoma patients. Our results indicated that *MMP9* expression is correlated with glioma grade (p<0.0001). Additionally, low expression of *MMP9* was correlated with better survival



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outcome (OS: p = 0.0012 and PFS: p = 0.0066), and MMP9 was an independent prognostic factor in primary GBM (OS: p = 0.027 and PFS: p = 0.032). Additionally, the GBM patients with low *MMP9* expression benefited from temozolomide (TMZ) chemotherapy regardless of the *MGMT* methylation status.

#### Conclusions

Patients with primary GBMs with low *MMP9* expression may have longer survival and may benefit from temozolomide chemotherapy.

#### Introduction

Glioblastoma multiform (GBM) is the most common malignant primary brain tumor, accounting for 15.6% of all primary brain tumors and 45.2% of primary malignant brain tumors[1]. The 5-year survival rate of GBM patients is less than 5% [2]. Such suboptimal efficacy in primary GBM management is partially attributed to the highly invasive nature of glioma cells, which are capable of diffusely infiltrating and widely migrating into the surrounding brain tissue[3]. Furthermore, invasive tumor cells can escape surgical removal and are relatively resistant to radiation therapy and chemotherapy[4]. Due to the unsatisfactory efficacy of the current treatments for primary GBM, there is an unmet medical need for clinical biomarkers that can predict patient survival and response to treatment.

Recent studies focusing on the mechanisms of glioma invasion suggested a role of matrix metalloproteinases (MMPs) in the process of glioma cell invasion [3]. MMPs, a family of zinc-dependent endopeptidases[5], regulate tumor invasion and metastasis through their extracellular matrix (ECM) degradation capacity in the extracellular milieu of various tissues[6-10]. Although MMP expression levels are highly variable from one tumor to another[11, 12], their increased expression suggests a close association with malignant progression of various human cancers[13-16]. Mounting evidence has demonstrated that increased MMPs expression is related to poor prognosis in the majority of human tumors, including glioma[17-21]. Many studies have confirmed the association between the expression of *MMP1*, 2, 7, 9, 11, 12, 14, 15, 25 and the tumor grade, whereas that *MMP3*, 8, 10, 13, 16, 17, 20, 21, 23, 26, 27 and 28 do not seem to play a major role in glioblastoma development[22-28]. The available data for *MMP19* and 24 are contradictory, as some studies suggest their involvement during the development of astrocytic tumors[12, 29], and while others do not[30].

In the present study, we comparatively analyzed the MMP family members based on wholegene expression profiling from multiple databases (Table 1), and found that *MMP9* expression is correlated with glioma grade (p<0.0001, Fig 1A) and that low *MMP9* expression is an independent prognostic factor for better survival in primary GBM patients (OS: p = 0.027 and PFS: p = 0.032). In addition, low MMP9 expression was found to be associated with a good response to temozolomide therapy among other clinicopathologic factors. It may contribute to the reasonable usage of TMZ.

#### **Materials and Methods**

#### Datasets used in this study

Whole genome mRNA expression microarray data and clinical information of 305 glioma and five normal brain samples from the Chinese Glioma Genome Atlas (CGGA) database[<u>31</u>]

MMPs	HR	95%CI	p value
MMP9	1.2048	1.0889–1.3331	0.0003
MMP1	1.1671	1.055–1.291	0.0027
MMP19	1.2371	1.0463–1.4627	0.0128
MMP7	1.1012	1.0133–1.1967	0.0231
MMP28	0.6625	0.4441-0.9883	0.0436
MMP11	1.1354	1.0006-1.2883	0.0489
MMP22	1.2494	0.9855–1.5839	0.0659
MMP12	1.1244	0.9909–1.2759	0.0691
MMP24	0.7787	0.5731-1.0579	0.1096
MMP14	1.1153	0.947–1.3134	0.191
MMP10	1.1044	0.9286-1.3135	0.2616
MMP13	1.0672	0.9492-1.1998	0.2765
ММРЗ	1.0746	0.9313–1.24	0.3243
MMP25	0.8812	0.6678-1.1627	0.3712
MMP16	0.9066	0.7282-1.1287	0.3804
MMP21	0.8585	0.5884–1.2524	0.4283
MMP17	0.8961	0.6669-1.2041	0.4666
MMP8	1.0559	0.8497-1.3122	0.6237
MMP2	0.9599	0.784-1.1753	0.6918
MMP20	0.9628	0.7784–1.1909	0.727
MMP26	0.9722	0.7757–1.2184	0.8065
MMP15	1.0232	0.7209–1.4523	0.8977
MMP27	1.0045	0.8119-1.2426	0.9674

Table 1. The associations of MMPs with overall survival (OS).

Abbreviations: MMP: Matrix metalloproteinase; HR: hazard ratio.

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(http://www.cgga.org.cn) were obtained as a testing set, and this dataset contains 126 grade II, 51 grade III and 128 grade IV samples histologically diagnosed according to the 2007 World Health Organization classification of tumors of the central nervous system[32]. Seventy-eight primary GBM samples with complete clinical information were included in prognostic analysis. These 78 patients underwent surgical resection and then received standard radiation therapy (RT). Fifty of them received adjuvant temozolomide (TMZ) chemotherapy. Written informed consent was obtained from the patients for the publication of this report. The study was performed with the approval of Ethics Committee of Capital Medical University and Harbin Medical University in compliance with the Helsinki Declaration. We also obtained Gene Expression Profiles of two public datasets as our study validation sets including the Repository for Molecular Brain Neoplasia Data (REMBRANDT, n = 433) and the GSE16011 dataset[33] (n = 272). The three datasets were designed as retrospective studies [33-35] providing stable and basic tools for glioma research. They are very mature and suitable for glioma investigation, used widely in several teams of glioma research [33-39]. GSE16011 dataset [33] only has one batch (http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE16011). Genechips with a glyceraldehyde-3-phosphate dehydrogenase 5'/3' ratio >4, present calls <30%, unsuccessful RT controls, or a background >200 were excluded. Robustness of sample processing was assessed using eight biological replicates and three technical replicates. Replicates were not included in any analysis. Rembrandt [34] contains data generated through the Glioma Molecular Diagnostic Initiative from glioma specimens comprising gene expression arrays, copy number arrays



**Fig 1.** *MMP9* expression was correlated with glioma grade. (A) *MMP9* expression was correlated with glioma grade (p<0.001). Glioma of grade IV showed a significantly increased in *MMP9* expression compared to grade II and III gliomas (p<0.0001, p<0.0001, respectively). *MMP9* expression level in grade III gliomas was markedly higher than that in grade II gliomas (p<0.0001). (B, C) Likelihood ratio test showed that *MMP9* was significantly associated with tumor grade in two independent glioma dataset (p<0.001, p<0.001, respectively) \* p<0.05; \*\*\*\* p<0.0001.

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and clinical phenotype data. Data can be queried and visualized for a selected gene across all data platforms or for multiple genes in a selected platform (<u>https://wiki.nci.nih.gov/display/</u> <u>caIntegrator/caIntegrator+Directory</u>). The CGGA gene expression profile included two batches. These two batches were both detected by the same array- the Agilent Whole Human Genome Array and the data was normalized. The detailed description was illustrated by the Yan et al's paper [<u>35</u>]. Although batch effects can be reduced by careful experimental design, they cannot be eliminated unless the whole study is done in a single batch. Thus, the data have been computationally corrected using methods such as Bayes [<u>40–42</u>]. <u>S1 Table</u> has illustrated the basic information of the CGGA dataset and the two independent datasets.

## Pyrosequencing for IDH1 Mutation and MGMT Promoter Methylation

Genomic DNA was isolated from frozen tissues with a QIAamp DNA Mini Kit (Qiagen) following the manufacturer's protocol. DNA concentration and quality were evaluated with a Nano-Drop ND-1000 spectrophotometer (NanoDrop Technologies, Houston, TX). Pyrosequencing for isocitrate dehydrogenase 1 (*IDH1*) mutations[43] and O-6-methylguanine-DNA methyltransferase (*MGMT*) promoter methylation was performed using the PyroMark Q96 ID System (Qiagen, Valencia, Calif)[44]. For *IDH1* mutation, the primers 5'-GCTTGTGAGTG GATGGGTAAAAC-3' and 5'-biotin-TTGCCAACATGACTTACTTGATC-3' were used for PCR amplification, and the primer 5-TGGATGGGTAAAACCT-3' was used for pyrosequencing. For *MGMT* promoter methylation, bisulfite modification of the DNA was performed using the EpiTect Kit (Qiagen); the primers 5'-GTTTYGGATATGTTGG GATA-3' and 5'-biotin-ACCCAAACACTCACCAAATC-3' were used for PCR, and the primer 5'-GGATATGTTGGGATAGT-3' was used for pyrosequencing.

## **Statistical Analysis**

The prognostic value of all MMP family genes with regards to patient survival was calculated by the Kaplan–Meier method with the two-sided log-rank test (survival) of R, which is an open source statistical software (https://www.r-project.org/). The permuted p-value for each gene was corrected by multiple comparison correction using the Benjamini–Hochberg false discovery rate (FDR). Likelihood ratio test was used to test for differences between at least three groups. Differences in clinicopathologic characteristics between the low and high *MMP9* expression groups (designated using the median level of *MMP9* expression as the cutoff value)

were evaluated using the chi-square test. Kaplan-Meier survival analysis was used to estimate the survival distributions. The log-rank test in GraphPad Prism, version 4.0 statistical software was used to assess the statistical significance between stratified survival groups. Cox proportional hazard regression analyses were performed using SPSS, version 19.0, software for Windows (SPSS). For all data, the significance level was set at p < 0.05.

## Results

# *MMP9* was identified as a prognostic biomarker of primary glioblastoma among MMPs in multiple datasets

Firstly, the prognostic value of all genes in the MMP family genes in regards to patient survival were calculated for 78 patients with primary GBM from the CGGA dataset. The following MMP members had prognostic value: *MMP9*, *MMP1*, *MMP19*, *MMP7*, *MMP28*, *and MMP11* (Table 1). In addition, we performed multivariate Cox analysis for the MMP members with significant prognostic value in univariate Cox analysis, only the prognostic values of *MMP9* and *MMP11* remained significant. (*MMP9*: <u>S2 Table</u>; HR, 1.395; 95%CI, 1.144–1.701; p = 0.001). Although the p value of *MMP11* indicated that it had significant prognostic value, its HR value was not stable (0.76 in the multivariate cox regression analysis and 1.1354 in the univariate cox regression analysis). Then, we investigated the public datasets GSE16011 and Rembrandt and found that *MMP9* was the only MMP that could be confirmed to be associated with survival (<u>S3 Table</u>). These results indicated that *MMP9* was a significant prognostic factor among the MMPs.

## Correlation of MMP9 mRNA expression with grade progression

The expression level of *MMP9* was analyzed in different grades of glioma (Grade II, n = 126; Grade III, n = 51; and Grade IV, n = 128). *MMP9* expression was correlated with grade progression (p<0.001, Fig 1A). As shown in Fig 1A, *MMP9* expression was significantly increased in grade IV glioma compared to in grade II and III gliomas (p<0.0001 and p<0.0001, respectively) and was also markedly higher in grade III glioma than in grade II glioma (p<0.0001). Next, we employed two independent glioma gene expression dataset (REMBRANDT and GSE16011 datasets) to examine the association between *MMP9* expression level and glioma grade. The results showed that *MMP9* was significantly associated with tumor grade (p<0.001, Fig 1B; p<0.001, Fig 1C), which was consistent with our results.

## MMP9 is an independent prognostic factor in primary GBM patients

We defined the median level of *MMP9* expression of seventy-eight patients with primary GBM as the cutoff value to divide them into low (n = 39) *MMP9* group and high (n = 39) *MMP9* groups (Table 2). The clinicopathologic features of these two groups are shown in Table 2. The patients in the *MMP9* low expression group were younger and had higher rates of *MGMT* promoter methylation and *IDH1* mutation compared to the patients in the *MMP9* high expression group. Patients with low *MMP9* expression had a longer OS and PFS than patients with high *MMP9* expression (p = 0.0012 and p = 0.0066, respectively; Fig 2A and 2B). Then two independent datasets (REMBRANDT and GSE16011) were used to validate the association between *MMP9* expression had improved OS in the two validation datasets (p = 0.0338 and p<0.0001, respectively). Overall, these results indicated that low *MMP9* is expression of *MMP9* correlated with better survival outcome in primary GBMs.

We conducted a univariate Cox regression analysis to determine the clinical and genetic variables that were associated with OS for these 78 primary GBM patients (<u>Table 3</u>). *MMP9* 

Total (n = 78)	Low(n = 39)	High(n = 39)	p value
Gender			
Male	22	24	0.818
Female	17	15	
Age at diagnosis			
≤45	23	9	0.002
>45	16	30	
Preoperative KPS score			
≥80	24	19	0.362
<80	15	20	
MGMT			
Methylated	20	9	0.017
Unmethylated	18	29	
NA	1	1	
IDH1			
Mutation	11	0	<0.001
Wild type	28	39	
TMZ chemotherapy			
Yes	28	22	0.157
No	11	17	
Extent of resection			
Total	15	12	0.634
Subtotal	24	27	

Table 2. Clinical and molecular pathological features of primary GBM samples in association with *MMP*9 expression.

Abbreviations: *IDH1*, isocitrate dehydrogenase 1; KPS, Karnofsky performance scale; *MGMT*, O6-methylguanine-DNA methyltransferase; TMZ, temozolomide. NA, not available. P values were determined using a 2-sided chi-square test of variance.

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expression, preoperative KPS score, age at diagnosis, *MGMT* promoter methylation status and TMZ therapy were statistically associated with OS. We also observed that *MMP9* expression, age at diagnosis and TMZ therapy were statistically associated with PFS. The multivariate Cox regression analysis indicated that *MMP9* expression was an independent prognostic factor for OS and PFS (OS: HR, 1.171; 95% CI, 1.018–1.346; p = 0.027; PFS: HR, 1.146; 95%CI, 1.012–1.299; p = 0.032) (Table 3).

Then we also conducted Cox regression analysis to validate the clinical variables and *MMP9* expression in GSE16011 dataset. *MMP9* expression, age at diagnosis were statistically associated with OS (p = 3.08E-9 and p = 6.05E-17, respectively). Because the clinical information of the two datasets was insufficient, we have just used age to adjust in GSE16011 dataset. The multivariate Cox regression analysis indicated that *MMP9* expression was an independent prognostic factor for OS (HR, 2.176; 95% CI, 1.659–2.853; p = 1.94E-8).

# Association between *MMP9* expression and the efficacy of temozolomide chemotherapy

To assess the potential association of *MMP9* with the therapeutic outcome of TMZ treatment, we classified the low *MMP9* and high *MMP9* groups into subgroups according to whether TMZ chemotherapy was received. The Kaplan–Meier survival analysis indicated that patients





**Fig 2. Kaplan-Meier plots of progression-free and overall survival duration in patients with primary GBM.** (A, B) Kaplan–Meier survival analysis of PFS and OS duration in 78 primary GBM patients according to MMP9 mRNA expression. Patients with low MMP9 expression had a longer OS and PFS than patients with high MMP9 expression (p = 0.0012 and p = 0.0066, respectively). (C, D) Two independent datasets (REMBRANDT and GSE16011) were used to validate the association between MMP9 expression and survival. Patients with lower MMP9 expression also had improved OS in the two validation datasets (p = 0.0338 and p<0.0001, respectively).

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treated with RT combined with TMZ therapy had better OS and PFS (OS: p = 0.0002; PFS: p = 0.0002) than patients treated with RT alone in the low *MMP9* group (Fig 3A and 3B). However, in the high *MMP9* group, there was no significant survival benefit of the combination treatment (Fig 3C and 3D).

It is well known that *MGMT* promoter methylation is related to better survival and that patients with a methylated *MGMT* promoter benefit from TMZ chemotherapy[45]. We analyze the correlations of *MGMT* promoter methylation status and *MMP9* expression with TMZ chemotherapy. We divided the low *MMP9* and high *MMP9* groups into subgroups with a methylated and unmethylated *MGMT* promoter. A Kaplan–Meier survival curve analysis with a log-rank comparison was conducted for each subgroup. In the low *MMP9* group, patients who received combined therapy showed improved OS and PFS regardless of *MGMT* 



	Univariate Cox Regression		Multivariate Cox Regression			
Variable	HR	95%Cl	p value	HR	95%CI	p value
Overall survival						
Gender	0.986	0.593-1.640	0.957			
Age at diagnosis	1.033	1.011-1.056	0.004	1.011	0.985-1.038	0.404
MMP9 mRNA expression	1.248	1.111-1.403	<0.0001	1.171	1.018-1.346	0.027
Preoperative KPS score	0.975	0.955-0.995	0.015	0.969	0.948-0.991	0.006
TMZ chemotherapy	2.626	1.567-4.401	<0.0001	2.537	1.407-4.575	0.002
MGMT promoter methylation	1.726	0.999–2.979	0.05	1.554	0.861-2.802	0.143
IDH1 Mutation status	2.027	0.956-4.295	0.065	1.396	0.590-3.302	0.448
Extent of surgery	1.443	0.846-2.462	0.178			
Progression free survival						
Gender	0.834	0.506-1.377	0.478			
Age at diagnosis	1.023	1.002-1.044	0.029	1.004	0.981-1.028	0.71
MMP9 mRNA expression	1.2	1.072-1.343	0.002	1.146	1.012-1.299	0.032
Preoperative KPS score	0.983	0.964-1.003	0.092			
TMZ chemotherapy	2.628	1.579-4.375	<0.0001	2.2	1.280-3.781	0.004
MGMT promoter methylation	1.671	0.986-2.832	0.057			
IDH1 Mutation status	1.688	0.829-3.440	0.149			
Extent of surgery	1.544	0.914-2.608	0.105			

#### Table 3. Cox Hazard Regression Analysis of the Associations of Clinicopathologic Factors and MMP9 expression for Survival (n = 78).

Abbreviations: KPS, Karnofsky performance status; TMZ: temozolomide; *MGMT*: O6-methylguanine-DNA methyltransferase; *IDH1*: isocitrate dehydrogenase 1; HR: hazard ratio.

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methylation status (Fig 4A, 4B, 4C and 4D). In the high *MMP9* group, TMZ chemotherapy resulted in an improved OS but not an improved PFS in patients with an unmethylated *MGMT* promoter (Fig 4E and 4F), while TMZ showed no benefit for patients with a methylated *MGMT* promoter (Fig 4G and 4H). Above results have been validated by cox regression analysis (S4 Table). The CGGA and Rembrandt datasets have been uploaded as S5, S6 and S7 Tables.

#### Discussion

Glioblastoma is the most common malignant primary brain tumor in adults. Despite improved surgery and chemo-radiotherapy approaches, the clinical prognosis for patients with GBM remains dismal[2]. The median survival of patients with primary GBM is approximately 1 year, but it varies remarkably from less than few weeks to more than 3 years after diagnosis [46], suggesting the limitations of the current clinicopathologic determinants of prognosis and the choice of therapeutic strategies. Thus, it is of great importance to identify more effective biomarkers that can predict clinical outcomes and therapeutic responses to drugs.

Our paper aimed to identify the prognostic and predictive value of MMPs in patients with primary GBM. Several MMPs have been reported to be related with poor prognosis in a large variety of human cancers [17–19]. In particular, the over-expression of certain MMPs in high-grade gliomas appear to be correlated with tumor invasiveness and to be prognostically significant [47]. MMPs enhance tumor cell invasion by degrading extracellular-matrix proteins, activating signal-transduction cascades that promote motility and solubilizing ECM-bound growth factors[48]. Christopher M. Overall and co-workers introduced the use of proteolytic signature peptides (PSPs) in combination with isobaric tags for the proteomic analysis of MMP





**Fig 3.** Kaplan-Meier estimates of progression-free and overall survival according to *MMP9* expression and treatment groups. (A, B) Kaplan–Meier survival analysis indicated that patients treated with RT combined with TMZ therapy (n = 28) had better OS and PFS (OS: p = 0.0002; PFS: p = 0.0002) than patients with RT alone (n = 11) in low *MMP9* group (n = 39). (C, D) However, in the high *MMP9* group (n = 39), there was no significant survival benefit of the combination treatment (RT alone: n = 17; RT combined TMZ: n = 22).

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proteolytic activity[<u>49</u>]. The association between kep, a perfusion index, and *MMP9* expression has been demonstrated, and kep can be used as an imaging biomarker of GBM progression and its prognostication [<u>50</u>]. In addition, MMPs can cleave and activate other growth factors that are implicated in GBM motility and proliferation, such as TGF $\beta$ [<u>51</u>]. In our study, we comparatively analyzed the MMP family members based on whole-gene expression profiling from multiple databases, and confirmed that *MMP9* expression was correlated with glioma grade and that low *MMP9* expression was an independent prognostic factor for better survival in primary GBM patients.

Previous studies have performed experiments to examine the mechanism through which *MMP9* affectes the survival of the glioma patients. *MMP9* is known to play an important role in cell migration and invasion in both physiological and pathological processes of gliomagen-esis[52]. Hu et. al. demonstrated that *MMP9* is predominantly expressed by glioma-associated microglia/macrophages in mouse and human glioma tissue not by glioma cells, and glioma-

В

## Low MMP-9 Expression Group



С







## **High MMP-9 Expression Group**



Fig 4. Kaplan-Meier estimates of progression-free and overall survival according to MMP9 expression, MGMT methylation status and treatment groups. (A, B, C, D) In the low MMP9 group, patients who received the combination therapy showed improved OS and PFS regardless of whether the MGMT promoter was methylated (n = 20; RT alone: n = 6; RT combined TMZ: n = 14) or unmethylated (n = 18; RT alone: n = 4; RT combined TMZ: n = 14). (E, F, G, H) In the high MMP9 group, TMZ chemotherapy resulted in better OS but not better PFS in patients with an unmethylated MGMT promoter

(n = 29; RT alone: n = 12; RT combined TMZ: n = 17), while TMZ did not benefit patients with a methylated MGMT promoter (n = 9; RT alone: n = 4; RT combined TMZ: n = 5).

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associated microglial MMP9 expression is upregulated by TLR2 signaling and is sensitive to minocycline[53]. Tie2-expressing monocytes/macrophages are a major source of MMP9 secretion and activity. After 6 weeks of anti-VEGF therapy, MMP9 immunostaining of brain tissue sections revealed MMP9+ cells at the tumor edge and peripheral invasive tumor nodules with rod or amoeboid shapes characteristic of "activated" microglia/macrophages, and these types of cells were scarcely observed in the control animals [54]. Our team previously used miRNA microarrays to identify the MMP9-specific miRNA expression profile of GBM. which may be used to determine potential targets of anti-invasion therapy for GBM [55]. Serum MMP9 level was determined by ELISA and was found to be correlated with radiographic status and survival [56]. MMP9 silencing decreased oncogenic c-Myc expression and induced senescence and apoptosis in glioma cells by inhibiting hTERT expression and telomere activity [57]. MMP9 was also found to be involved in EGFR/Ras/MEK and PI3K/AKT signaling pathway-mediated cell invasion and anchorage-independent growth in U1242 MG cells [58]. Tumor necrosis factor (TNF)-related apoptosis-inducing ligand (TRAIL) induced MMP9 expression in human astrocytoma cells through activation of extracellular signal-regulated protein kinase (ERK). In addition, TRAIL induced the DNA-binding activity of NF-kB, an important transcription factor for MMP9 induction [59]. These experiments demonstrated that MMP9 directly impacts the survival of glioma patients.

Radiation therapy plus TMZ chemotherapy as the first-line treatment for GBM has extended the survival of GBM patients. However, the survival benefit and response to TMZ is variable among patients. The critical reason for the poor prognosis of primary GBM is therapeutic resistance, especially TMZ-resistance, which eventually results in tumor recurrence[60]. It is unclear whether MMP9 influences the response to TMZ in primary GBM patients. Our data showed an association between MMP9 expression and the efficacy of temozolomide chemotherapy. TMZ produces the mono-functional DNA adducts O<sup>6</sup>-MeG and N<sup>7</sup>-MeG adducts, and the former is considered a lethal DNA lesion[61]. A report published in Oncotarget demonstrated that miR-211 or shRNA-specific for MMP9 in combination with ionizing radiation and temozolomide significantly induced apoptosis and DNA fragmentation. Additionally, that report showed that glioma stem cells treated with miR-211- and shRNA-specific for MMP9 (pM) had increased drug retention capacity. [47] These mechanisms may explain why GBM patients with low MMP9 expression have a better response to TMZ chemotherapy. It is well known that patients with methylation of MGMT promoter benefit from TMZ chemotherapy [62]. Therefore, we analyzed the correlations of MGMT methylation status and MMP9 expression with TMZ chemotherapy efficacy. TMZ benefited patients with low MMP9 expression whether the MGMT promoter was methylated or unmethylated. This greatly supports the predictive value of MMP9 for the response to TMZ. On the other hand, in the high MMP9 group, TMZ chemotherapy resulted in better OS but not better PFS in patients with an unmethylated *MGMT* promoter, and TMZ did not benefit the patients with a methylated *MGMT* promoter.

In conclusion, we confirmed the association between *MMP9* expression and giloma grade, and highlighted the prognostic and predictive value of *MMP9* among all MMP family members in primary GBMs. These findings suggest that *MMP9* is a potential prognostic and predictive biomarker for glioma and can be used to establish more personalized therapeutic strategies. The US clinical trial "*MMP2*, *MMP9* and *NGAL* as Biomarkers for Glioblastoma (GBM) Biomarkers for the Prognosis of Glioblastoma (NCT01493219)" has been sponsored by University

of Nebraska started since 2011. In the future, more work should focus on in-depth molecular mechanisms to provide a more comprehensive understanding of the roles of MMPs in GBM.

#### **Supporting Information**

**S1** Table. Basic information of the CGGA dataset and the two independent datasets. (DOCX)

S2 Table. Multivariate Cox Regression Analysis of the associations between MMPs and survival in the CGGA dataset.

(DOCX)

**S3** Table. Survival analysis of MMPs in the GSE16011 and Rembrandt datasets. (DOCX)

S4 Table. Cox Regression Analysis of TMZ chemotherapy for patients with different MGMT methylation and *MMP9* expression. (DOCX)

**S5 Table. MMPs expression value of the CGGA dataset in our research.** (XLSX)

**S6 Table. MMP9 expression value of the CGGA dataset.** (XLSX)

S7 Table. MMP9 expression value and follow-up information of the Rembrandt dataset in our research.

(XLSX)

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## **Author Contributions**

Conceived and designed the experiments: CJ YL. Performed the experiments: QL GW BH JL. Analyzed the data: QL JC YT LY. Contributed reagents/materials/analysis tools: RL YF YS. Wrote the paper: QL JC BC.

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