

# Recent advancements in the management of retinoblastoma and uveal melanoma [version 1; referees: 2 approved]

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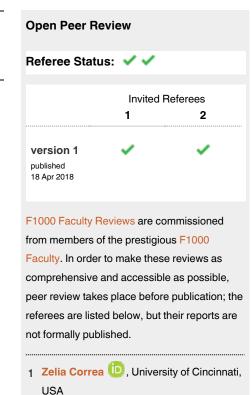
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#### Abstract

Retinoblastoma and uveal melanoma are the most common intraocular malignancies observed in pediatric and adult populations, respectively. For retinoblastoma, intra-arterial chemotherapy has dramatically improved treatment outcomes and eye salvage rates compared with traditional salvage rates of systemic chemotherapy and external beam radiation therapy. Intravitreal injections of chemotherapy have also demonstrated excellent efficacy for vitreous seeds. Uveal melanoma, on the other hand, is treated predominantly with iodine-125 plaque brachytherapy or with proton beam therapy. Major strides in uveal melanoma genomics have been made since the early 2000s, allowing ocular oncologists to better understand the metastatic risks of the tumor on the basis of specific genetic signatures. Loss-of-function mutations of the BAP1 gene are associated with the highest metastatic risk, whereas gain-of-function mutations of SF3B1 and EIF1AX often confer a better prognosis. Expression of a cancer-testis antigen called PRAME (preferentially expressed antigen in melanoma) has been shown to increase metastatic risks in both low-risk and high-risk melanomas. New therapeutic approaches, including molecular therapies and nanoparticle phototherapy, are currently being investigated as alternative treatment modalities for uveal melanoma.

#### Keywords

retinoblastoma, uveal melanoma, ocular tumors



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#### Introduction

Retinoblastoma and uveal melanoma, albeit rare, are the most commonly observed intraocular malignancies in pediatric and adult populations, respectively. Retinoblastoma occurs during early childhood in 1 per 16,000 people worldwide<sup>1</sup>, whereas uveal melanoma occurs on average in Caucasians in their fifties and sixties<sup>2</sup>. Recent advancements in the techniques used to treat these two types of cancer have drastically enhanced patient outcomes and eye salvage rates. In this review, we briefly discuss current treatment guidelines and some emerging topics in the management of primary retinoblastoma and primary uveal melanoma.

#### Retinoblastoma

Retinoblastoma presents unilaterally in approximately 60-70% of cases and bilaterally in the remaining 30-40%. In unilateral retinoblastoma, close to 90% of patients present with a sporadic mutation, whereas heritable mutations of the *RB1* gene (located

at chromosome 13q14) with a known affected family member occur in approximately 10% (Table 1)<sup>3,4</sup>. A small fraction of non-heritable retinoblastoma presents with a *MYCN* oncogene mutation that results in a unilateral, sporadic tumor<sup>5</sup>. Unlike unilateral disease, bilateral retinoblastoma is always due to a germline mutation and commonly presents earlier in life than unilateral cases. With the advent of improved sequencing techniques, mosaicism is increasingly being recognized in both unilateral and bilateral patients. The presence of a germline *RB1* mutation increases the risk for secondary cancers, especially when retinoblastoma is treated with external beam radiation (EBR)<sup>6</sup>.

Both the Reese–Ellsworth and the International Classification of Retinoblastoma (ICRB) systems can be used to classify retinoblastoma, although the latter, newer system has been widely adopted in the last decade (Table 2). ICRB divides retinoblastoma into five categories; class A is the least advanced and E is

Table 1. Comparison of characteristics between sporadic and hereditary retinoblastoma.

	Sporadic	Germline	Germline- mosaic
Number of mutated cells	One	All	Variable
Laterality	Always unilateral	85% bilateral, 15% unilateral	Either unilateral or bilateral
Age of onset	18–24 months	12–18 months	Variable
Chance of inheritance to offspring	0%	45%	Variable

 Table 2. Summary of Reese–Ellsworth and International Classification of Retinoblastoma (ICRB) classification systems.

Reese-Ellsworth classification	International Classification of Retinoblastoma
<ul> <li>Group 1</li> <li>1a: solitary tumor less than 4 disc diameter (DD) at or behind equator</li> <li>1b: multiple tumors all under 4 DD at or behind equator</li> </ul>	Group A: tumors <3 mm and away from fovea and optic disc
<ul> <li>Group 2 <ul> <li>2a: solitary tumor 4–10 DD</li> <li>at or behind equator</li> </ul> </li> <li>2b: multiple tumors 4–10 DD at or behind equator</li> </ul>	Group B: tumors >3 mm, located at macula/peripapillary region, or with subretinal fluid
Group 3 - 3a: tumors anterior to equator - 3b: solitary tumor >10 DD behind the equator	Group C: tumors with focal vitreous or subretinal seeds within 3 mm of tumor
Group 4 - 4a: multiple tumors with some >10 DD - 4b: any tumor extending to ora serrata	Group D: tumors with diffuse vitreous or subretinal seeds >3 mm away from tumor
Group 5 - 5a: tumors involving >50% of retina - 5b: tumors with vitreous seeding	Group E: tumors covering >50% of globe with or without neovascular glaucoma, hemorrhage, extension of tumor to optic nerve/anterior chamber

the most advanced type<sup>7</sup>. Focal therapies such as laser ablation and cryotherapy can be used for retinoblastoma with ICRB classes A and B, whereas more advanced cases (ICRB class C, D, or E) are preferentially treated with systemic chemotherapy or intra-arterial chemotherapy (IAC) over EBR or plaque brachytherapy because of their adverse effects. Enucleation of the eye is performed when there is a potential risk of extraocular extension, especially in class E eyes, or when all prior treatments have failed.

#### Intra-arterial chemotherapy as primary treatment

EBR was used as primary therapy for retinoblastoma in most cases until the early 1990s and then intravenous chemotherapy (IVC) until the early 2000s. In 2004, a group of Japanese investigators reported a new technique of balloon-occluding the internal carotid artery distal to the ostium of the ophthalmic artery and then locally injecting melphalan to treat retinoblastoma<sup>8</sup>. In 2008, Abramson et al. reported a more sophisticated technique of directly infusing melphalan into the ophthalmic artery with a microcatheter that many centers have now adopted with variations<sup>9</sup>. In this report, seven out of 10 eyes classified as Reese-Ellsworth V and originally scheduled for enucleation were salvaged by IAC. Numerous studies have since reported on the efficacy of IAC compared with that of IVC. In one study by Shields et al.<sup>10</sup>, global salvage rates for IAC and IVC for class D tumors were 91% and 48%, respectively, demonstrating that IAC can be particularly successful at treating more advanced tumors. Therefore, at many large centers of excellence, IAC is the preferred treatment modality for unilateral and non-hereditary retinoblastoma. Bilateral retinoblastoma with a germline RB1 mutation can be treated with either systemic chemotherapy or tandem IAC, in which IAC is performed in both eyes in a single IAC session<sup>11</sup>. In case the ophthalmic artery anatomy is not amenable to IAC, the external carotid artery can be alternately used to gain access to the ocular vasculature<sup>12</sup>. Most large centers have reported superior ocular salvage rates with IAC compared with systemic chemotherapy (Figure 1). Systemic treatment-related immediate effects such as immunosuppression are also rarer with IAC<sup>13,14</sup>. Clinicians at centers that continue to use systemic chemotherapy have reported concerns about increased risks of metastatic retinoblastoma and risks of secondary cancers<sup>15,16</sup>. However, these controversies are unresolved with both fierce advocates and staunch opponents of IAC in existence with no clear sign of a definitive multi-center collaborative trial in the works that might settle the debate. As such, there continues to be a heterogeneity of treatment approaches in the US and abroad.

In many centers, IAC has been widely adopted as the primary therapy for retinoblastoma, and numerous publications have reported successful treatment outcomes. One of the recent reports, by Abramson *et al.*, demonstrated that over 90% of patients now undergo IAC for primary therapy, and the global salvage rate at 48 months post-IAC significantly increased, from 68% in the late 2000s to 92.7% between 2010 and 2014<sup>17</sup>. Shields *et al.* reported 100% ocular salvage for ICRB class B and C eyes, for which IAC was used as primary treatment<sup>18</sup>. IAC has also been demonstrated to be highly efficacious for

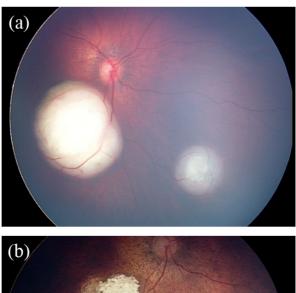




Figure 1. Retinoblastoma of a 5-month-old patient before and after intra-arterial chemotherapy (IAC). (a) Fundus photograph of the right eye before IAC, demonstrating macular and inferonasal lesions. (b) Fundus photograph of the same eye 13 months after the initial IAC treatment. The patient underwent three IAC cycles and adjuvant therapy, including five sessions of laser ablation and two sessions of cryotherapy.

advanced tumors, and 5-year ocular survival exceeded 70% for class D tumors<sup>18–20</sup>. Class E tumors show mixed results: ocular salvage ranged from 30% to 70% in the literature<sup>18–20</sup>.

#### Age and weight threshold for intra-arterial chemotherapy

Whereas the safety and efficacy of IAC have been well demonstrated in multiple studies over the past decade, the guideline for age and weight threshold for IAC has not yet been strictly defined. It has generally been assumed that IAC should be reserved until patients with retinoblastoma reach a weight of 10 kg or the equivalent age because of potential procedural complications, such as groin hematomas or femoral artery dissection<sup>21</sup>. However, several studies have recently demonstrated that patients with retinoblastoma who are younger than 3 months of age can be successfully treated with IAC<sup>22,23</sup>. The safety of IAC can be further enhanced with ultrasound guidance for femoral artery catheterization, which has long been used in various kinds of pediatric procedures. A pilot study of six patients with retinoblastoma<sup>24</sup> demonstrated no procedural complications when IAC was administered with ultrasound guidance to patients with a median weight of 9.2 kg at the first IAC cycle. Recent literature suggests that younger and treatment-naïve patients may achieve better oncologic efficacy when they receive a minimal number of IAC cycles<sup>25,26</sup>. Also, Gobin *et al.* reported that eyes that received IAC as primary treatment had an ocular event-free survival rate of 81.7% after 2 years, which was significantly higher than the rate of 58.4% for the eyes that had undergone IVC or EBR prior to IAC<sup>27</sup>. Therefore, unless there are known contraindications for IAC, such as metastatic retinoblastoma, optimal treatment outcomes may be achieved when the patients undergo IAC at the earliest age possible.

#### Intravitreal melphalan for treating vitreous seeds

Vitreous seeds are groups of tumor cells that break off from the primary lesion and are commonly seen in advanced retinoblastoma. Vitreous seeds are seen in ICRB class C, D, and E. Dust, spheres, and clouds are the three forms of vitreous seeds with different patterns of response to chemotherapy which have been previously characterized<sup>28</sup>. IAC has limited efficacy for vitreous seeds because of the avascular nature of the vitreous. Intravitreal injections of chemotherapy via the pars plana have now been widely adopted for persistent or recurrent vitreous seeds after primary treatment with IAC or systemic chemotherapy<sup>28-30</sup>. In general, intravitreal melphalan or topotecan is combined with a simultaneous treatment of the retinal tumors from which the vitreous seeds originate. To prevent any potential extension of tumor seeds via the needle tract, clinicians typically take various safety measures, including cryotherapy applied to the needle site, visualization of the pars plana with ultrasound biomicroscopy, washing of the ocular surface, and subconjunctival chemotherapy<sup>30,31</sup>. The safety and efficacy of intravitreal injection of melphalan have been demonstrated in multiple studies<sup>28,32</sup>.

#### Intravenous chemotherapy

IVC has been used as primary and secondary therapy for retinoblastoma for over two decades. A three-agent combination (carboplatin, vincristine, and etoposide) is commonly used<sup>33</sup>, and other agents, including topotecan or cisplatin, can be additionally administered depending on the patient's response to the agents<sup>34</sup>. Multiple studies report that over 90% of tumor control was achieved by using IVC, especially for ICRB classes A, B, and C<sup>10,35</sup>. IVC can be effective at managing both bilateral and germline retinoblastoma. Some investigators have reported that IVC helps in preventing extraocular secondary cancers, including trilateral retinoblastoma in which extraocular cancer occurs in the pineal or suprasellar region<sup>36–38</sup>; however, this is controversial. There are some rare but recognized side effects of IVC, including neurotoxicity, immunosuppression, secondary leukemia, nephrotoxicity, and ototoxicity<sup>34</sup>.

#### Radioactive plaque for persistent, recurrent retinoblastoma

Owing to concerns for radiation retinopathy, radioactive plaque brachytherapy is most commonly used as rescue therapy for relatively small solitary tumors in ICRB class A or  $B^{39,40}$ . However, plaque brachytherapy is one of the preferred

secondary treatment modalities before enucleation. There have been published studies that demonstrated the efficacy of iodine-125 plaque brachytherapy as salvage treatment for retinoblastoma after both IAC and IVC<sup>39,40</sup>.

#### **Uveal melanoma**

Uveal melanoma is a malignant cancer that occurs in 4.9 people per million in the US alone<sup>41</sup>. As the name suggests, uveal melanoma can occur in any part of the uveal tract, including the iris, ciliary body, or choroid, and the involvement of the choroid is the most common. Uveal melanoma is known to spread hematogenously, and the most common sites of metastasis in descending order are liver, lung, and bone<sup>42</sup>. Mean overall 5-year survival rate has remained stable at approximately 80% over the past several decades<sup>2,43</sup>, while the 5-year survival rate drastically decreases once the tumor metastasizes. Lifetime rates of metastases in patients with uveal melanoma are controversial, but rates reported in the scientific literature range from 25% to 50% with a median survival of 6 months to a year after the development of metastatic disease<sup>44-46</sup>. Some studies have reported a longer median survival once metastatic disease is diagnosed, but other authors claim that lead-time bias explains these results<sup>47</sup>. Upon initial diagnosis, most patients currently receive plaque brachytherapy, proton beam therapy, or enucleation, except for some iris tumors that can be surgically resected.

#### Genetic and histopathologic analyses of uveal melanoma

Uveal melanoma is largely due to sporadic mutations in uveal melanocytes, and inherited germline mutations that contribute to the development of this tumor are extremely rare, occurring in 3% to 4% of patients<sup>48</sup>. However, a number of publications since the early 1990s have discussed the importance of cytogenetic changes of the cancer cells which significantly affect the prognosis. Uveal melanoma is histopathologically characterized by spindle and epithelioid cells49. Standard cytology procedures, including cell block analysis with hematoxylin-eosin stain and HMB45/Ki67 immunohistochemical stain, can identify cells acquired from biopsies. Although epithelioid cells are strongly associated with more aggressive behavior, most uveal melanomas contain mixed spindle and epithelioid cells regardless of the predisposed metastatic risk<sup>49</sup>. One study published that epithelioid and necrotic cell types have a statistically significantly higher rate of 5-year metastatic mortality rate than other cell-type findings<sup>50</sup>. In the same study, cytopathologic classification was found to be an independent prognostic factor for metastatic death<sup>50</sup>.

In the early 1990s, Prescher *et al.* first reported that monosomy 3, the abnormal presence of only one copy of chromosome 3, was a commonly observed cytogenetic abnormality in uveal melanoma<sup>51</sup>. Since then, a number of studies focusing on the genetics of uveal melanoma have been published. Several key driver genes, including *GNA11*, *GNAQ*, *BAP1*, *SF3B1*, and *EIF1AX*, have been identified to be involved in the development and metastasis of the cancer<sup>52</sup>. Combinations of mutations of these genes lead to variations in the development and metastasis of uveal melanoma. Of these, *GNAQ* and *GNA11* mutations are

involved in the early stage of oncogenesis and occur in a mutually exclusive manner in approximately 91% of the patients<sup>53</sup>. Because these mutations occur early in oncogenesis, neither one confers valuable prognostic information. Recently, a loss-of-function mutation of *BAP1*, a tumor suppressor gene, was discovered to be heavily associated with more malignant types of uveal melanoma. Loss of *BAP1* induces dedifferentiation of melanoma cells and the development of stem cell-like characteristics<sup>54,55</sup>. On the other hand, hemizygous, gain-of-function mutations of *SF3B1* and *EIF1AX* generally indicate a better prognosis and occur in lower-risk melanomas<sup>56</sup>. Of note, melanomas with *SF3B1* mutations are associated with lateonset metastases<sup>57</sup>. *BAP1*, *SF3B1*, and *EIF1AX* mutations mostly occur late in tumor development and also occur in a mutually exclusive fashion<sup>58</sup>.

Gene expression profile (GEP) analysis and multiplex liganddependent probe amplification (MLPA) have been adopted by ocular oncologists to elucidate each tumor's genetic characteristics<sup>59,60</sup>. GEP testing uses a polymerase chain reaction (PCR)-based 15-gene panel and classifies uveal melanoma as either class 1 (low risk for metastasis) or class 2 (high risk for metastasis)<sup>59,61</sup>. Class 1 is further divided into 1A and 1B; 1A tumors remain relatively low-risk for metastasis, whereas the risk of metastasizing in 1B appears to be higher than the 1A group over time. The 5-year published metastatic rates for class 1A, 1B, and 2 tumors are 2%, 21%, and 72%, respectively<sup>58</sup>. It has been observed that class 1B uveal melanoma, though categorized under class 1, behaves more similarly to class 2 tumors and therefore requires close monitoring for progression to metastasis. BAP1 somatic mutations are observed predominantly in class 2 tumors, whereas SF3B1 or EIF1AX mutations are seen more frequently in class 1 tumors<sup>54</sup>. It is reported that BAP1 mutations can be observed in approximately 80% of metastatic uveal melanoma cells<sup>54</sup>. In another study, 71%, 11%, and 0% of patients with primary uveal melanoma who developed metastases carried BAP1, SF3B1, and EIF1AX mutations, respectively, signifying that EIF1AX and SF3B1 mutations generally confer a good prognosis<sup>62</sup>. In the largest single-institution case series of over 1,000 patients, 3-year Kaplan-Meier estimates for metastatic uveal melanoma were provided for the following cytogenetic abnormalities: 5% for partial monosomy of chromosome 3; 19% for complete monosomy 3; 23% for loss of 6q; 29% for loss of 8p; 21% for gain of 8q; 1% for disomy of 3, 6, and 8; 29% for complete monosomy 3, 6p gain, and 8q gain; 14% for complete monosomy of 3, disomy of 6, and gain of 8q and 8p; 27% for complete monosomy of 3, disomy of 6, and gain of 8q; and 28% for complete monosomy of 3, disomy of 6, gain of 8q, and loss of 8p<sup>63</sup>.

Recently, scientists have discovered that certain uveal melanomas that express a cancer-testis antigen called preferentially expressed antigen in melanoma (PRAME) are closely associated with an increased risk of metastasis in both class 1 and 2 uveal melanomas<sup>64,65</sup>. Also, class 1 tumors that are PRAME<sup>+</sup> were found to be associated with *SF3B1* mutations and inversely to *EIF1AX* mutations<sup>65</sup>. A combination of *SF3B1* mutations and PRAME expression appears to contribute to late metastases in class 1 tumors<sup>66</sup>, while PRAME<sup>+</sup> class 2 tumors exhibited accelerated progression to metastases<sup>65</sup>. PRAME is currently being investigated as a potential target for immunotherapy in primary and metastatic uveal melanoma<sup>67</sup>. The Collaborative Ocular Oncology Group 2 (COOG2) is a currently enrolling multicenter prospective clinical trial in which PRAME genomics will be examined along with long-term clinical outcomes.

#### Fine needle aspiration biopsy

As research in melanoma genomics has grown explosively, safe and adequate acquisition of tumor cells has become increasingly important for both clinical and research purposes. Fine needle aspiration biopsy (FNAB) is performed by using smallsized needles (23-, 25-, or 27-gauge) or vitrectomy probes in either a transvitreal or a trans-scleral manner, depending on the tumor location. For tumors that are anterior to the equator with direct access to the needle, the trans-scleral method is typically chosen. For posterior tumors that are more difficult to access via a trans-scleral biopsy, transvitreal biopsy can be performed by using indirect ophthalmoscopy or standard retinal instrumentation, including chandelier lighting that gives direct visualization, and valved trocars, which serve to maintain the intraocular pressure during biopsy and prevent the tracking of tumor cells along the needle tract. After the aspiration of tumor cells, cryotherapy is applied to the needle insertion site in order to prevent any iatrogenic extraocular extension of tumor cells via the needle tract. Safety of FNAB for uveal melanoma was recently reaffirmed in a prospective, in vivo study<sup>68</sup>. Many studies have demonstrated high cellular yield rates, ranging from 68% to over 90%, for cytopathologic and genomic analyses<sup>69-73</sup>.

#### Plaque brachytherapy

The Collaborative Ocular Melanoma Study (COMS) found no statistically significant difference in survival between patients who underwent plaque brachytherapy and patients who underwent enucleation<sup>74</sup>. Since then, most centers have adopted plaque brachytherapy as the standard treatment for uveal melanoma. Multiple types of isotopes are used for ophthalmic brachytherapy. In the US, <sup>125</sup>I is the most frequently used radioisotope after the COMS study, whereas <sup>106</sup>Ru and <sup>103</sup>Pd are more commonly used in Europe and other countries75. 125I and 103Pd both emit low-energy gamma rays and thus cause less damage to surrounding healthy tissues compared with isotopes that were used in the first half of the 20th century, such as 60Co. <sup>106</sup>Ru, on the other hand, emits beta rays and has a quicker dose fall-off<sup>75</sup>. The steeper the dose gradient, the more concentrated the radiation effect on the basal side of the tumor and conversely less radiation toward the apex. 106Ru has an advantage of less radiation effect to other ocular structures compared with that of <sup>125</sup>I or <sup>103</sup>Pd.

Multiple studies have demonstrated that plaque therapy and enucleation result in comparable mortality rates over 20 years of follow-up<sup>76</sup>. Iodine-125 brachytherapy has become the most commonly used treatment modality for uveal melanoma in the US with excellent clinical outcomes (Figure 2).

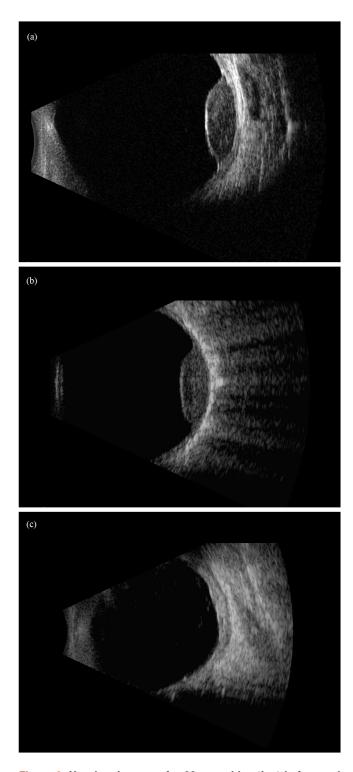


Figure 2. Uveal melanoma of a 66-year-old patient before and after plaque brachytherapy. (a) B-scan ultrasound image of the right eye before the plaque implantation. (b) B-scan ultrasound image of the same eye intraoperatively, demonstrating full coverage of the tumor with the plaque. (c) B-scan ultrasound image of the same eye 3 years after the plaque therapy, demonstrating regression of the tumor.

Local recurrence of tumor cells at the ocular site is a critical complication to be avoided after plaque therapy. Multiple studies have demonstrated that the likelihood of metastasis increases dramatically after local recurrence occurs77,78. However, the 5-year local recurrence rate has steadily decreased from 10.3% at the time of the COMS<sup>76</sup> to 2.4% to approximately 4.7%<sup>77,79</sup> over the past two decades. Indeed, a recent publication that reported preliminary clinical outcomes with a median follow-up of 21.6 months<sup>80</sup> demonstrated zero local recurrence, which may be attributed to several factors. First, newer plaque designs<sup>81,82</sup> are thinner than the traditional COMS plaques and are customized to conform better to each patient's eye, leading to better coverage of the tumor and less radiation scatter outside the targeted area. Second, intraoperative ultrasonographic confirmation of plaque positioning, which has been used more over the past decade, ensures precise placement of the plaque<sup>83-85</sup>. A recent study at the Cleveland Clinic reported that plaque treatment failure decreased from 9.3% to 1.5% since intraoperative ultrasound was adopted<sup>86</sup>. Intraoperative transillumination of the tumor and preoperative 3D planning with Plaque Simulation software can further enhance the accuracy of plaque placement<sup>80,87</sup>. Treatment outcomes of plaque brachytherapy, including 5-year mortality and local recurrence rates (4%), are comparable to those of proton beam radiotherapy in recent publications<sup>88,89</sup>. Both plaque and proton beam therapy are known to cause ocular complications, including cataracts, radiation retinopathy, and radiation optic neuropathy. The COMS demonstrated that nearly 50% of patients who receive plaque brachytherapy had significant vision loss by 3 years post-treatment because of these complications<sup>90</sup>.

#### Clinical features with prognostic significance

In addition to the fact that GEP class 2 melanomas have higher mortality rates than GEP class 1 tumors, several additional factors that contribute valuable prognostic information have recently been identified. Correa and Augsburger recently reported that the largest basal diameter (LBD) of the tumor can serve as an independent prognostic factor for metastasis and metastatic death<sup>91</sup>. Harbour et al. reported that class 2 tumors with an LBD over 12 mm had a significantly lower 5-year metastasis-free survival<sup>92</sup>. Also, increased patient age, larger tumor apical height, and ciliary body involvement of the tumor are associated with metastatic risk<sup>93,94</sup>. Traditionally, tumors with more malignant characteristics, such as tumors with monosomy 3 or those that metastasized, were reported to regress faster after plaque therapy<sup>95,96</sup>. In recent studies, the relationship between GEP class and the tumor regression rate after brachytherapy has been controversial. Whereas several studies<sup>97,98</sup> found neither GEP class to be significantly associated with regression rate, another study reported that class 1 tumors regress faster<sup>99</sup>. In the largest multi-center, retrospective cohort study that was recently published, investigators also reported that class 1 tumors regress at a statistically significantly faster rate than class 2 tumors after plaque radiation<sup>100</sup>. Future multi-center studies will help elucidate a clearer relationship between GEP class and therapeutic response to radiation.

#### Molecular therapies

Some recent studies have identified several key molecular pathways associated with specific genetic mutations. For example, the *BAP1* gene is known to regulate histone H2A function by removing ubiquitin molecules. When the *BAP1* gene is mutated, proper removal of ubiquitin from H2A is inhibited, leading to a dedifferentiated state of melanoma cells<sup>101</sup>. Also, *GNAQ* and *GNA11* genes are closely related to transmembrane cell signaling<sup>52</sup>. Activation mutation of *GNAQ* or *GNA11* 

keeps guanine nucleotide-binding proteins in an active state, which subsequently upregulates protein kinase C and mitogen-activated protein kinase pathways that are involved in the proliferation and differentiation of cells at the early stages of uveal melanoma oncogenesis<sup>102</sup>. Many ongoing clinical trials (some of which are still accruing patients and some of which are now closed to new patient enrollment) are examining immunotherapy agents that target these pathways as well as several others for both high-risk and metastatic uveal melanoma (Table 3 and Table 4).

Table 3. List of ongoing clinical trials of adjuvant molecular therapy for high-risk uveal melanoma.
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ClinicalTrials.gov identifier	Study locations	Study title
NCT02223819	Columbia University, New York, NY Mount Sinai Comprehensive Cancer Center, Miami Beach, FL Memorial Sloan Kettering Cancer Center, New York, NY The Ohio State University, Columbus, OH	Crizotinib in High-Risk Uveal Melanoma Following Definitive Therapy
NCT02068586	Thomas Jefferson University, Philadelphia, PA	Adjuvant Sunitinib or Valproic Acid in High-Risk Patients With Uveal Melanoma

Table 4. List of ongoing clinical trials of	molecular therapy for metastatic uveal melanomas.

ClinicalTrials.gov identifier	Study locations	Study title
NCT01979523	Moffitt Cancer Center, Tampa, FL	Trametinib With or Without GSK2141795 in Treating Patients With Metastatic Uveal Melanoma
	Emory University/Winship Cancer Institute, Atlanta, GA	
	Columbia University/Herbert Irving Cancer Center, New York, NY	
	Memorial Sloan Kettering Cancer Center, New York, NY	
	Vanderbilt University/Ingram Cancer Center, Nashville, TN	
	MD Anderson Cancer Center, Houston, TX	
	Institut Curie Paris, Paris, France	
	The University of Liverpool, Liverpool, UK	
NCT01585194	University of Texas MD Anderson Cancer Center, Houston, TX	Phase II Study of Nivolumab in Combination With Ipilimumab for Uveal Melanoma
NCT02570308	Washington University, School of Medicine, St. Louis, MO	A Study of the Intra-Patient Escalation Dosing Regimen With IMCgp100 in Patients With
	Columbia University Medical Center – The New York Presbyterian Hospital, New York, NY	Advanced Uveal Melanoma
	Thomas Jefferson University Medical Oncology Clinic, Philadelphia, PA	
	The Clatterbridge Cancer Centre, Wirral, Merseyside, UK	
	Mount Vernon Cancer Centre, Northwood, Middlesex, UK	

ClinicalTrials.gov identifier	Study locations	Study title
NCT02359851	University of Chicago, Chicago, IL Vanderbilt-Ingram Cancer Center, Nashville, TN	Pembrolizumab in Treating Patients With Advanced Uveal Melanoma
NCT02273219	Bascom Palmer Eye Institute of University Of Miami Medical Center, Miami, FL Columbia University Medical Center, New York, NY Memorial Sloan Kettering Cancer Center, New York, NY	Trial of AEB071 in Combination With BYL719 in Patients With Melanoma
NCT01473004	Thomas Jefferson University, Philadelphia, PA	SIR-Spheres 90Y Microspheres Treatment of Uveal Melanoma Metastasized to Liver
NCT02678572	32 locations in the US, Austria, Belgium, France, Germany, Italy, Spain, Switzerland, and the UK	Percutaneous Hepatic Perfusion vs Best Alternative Care in Patients With Hepatic- dominant Ocular Melanoma (FOCUS)
NCT01814046	National Institutes of Health Clinical Center, Bethesda, MD	Immunotherapy Using Tumor Infiltrating Lymphocytes for Patients With Metastatic Ocular Melanoma

#### Nanoparticle therapy

Nanoparticle therapy is an emerging cancer therapy, in which photosensitive nanoparticles preferentially bind tumor cells, followed by light activation of the nanoparticles<sup>103</sup>. This is a minimally invasive yet highly specific treatment modality that can kill tumor cells with minimal damage to the surrounding normal tissues. For uveal melanoma, a phase 1b clinical trial has begun to investigate the safety of a new nanoparticle phototherapy for small to medium-sized tumors in 12 patients (http://www.aurabiosciences.com/news-archive/2017/3/30/ aura-biosciences-announces-initiation-of-phase-1b-clinicaltrial-and-receipt-of-fda-fast-track-designation-for-au-011-forthe-treatment-of-primary-ocular-melanoma). Viral nanoparticle conjugates attach to the uveal melanoma cell membrane. When activated by a 589 nm laser, the particles selectively break down the tumor cell membrane without affecting adjacent tissues. This treatment modality, if proven successful in clinical trials, has the potential to preserve much of the patient's vision and could be particularly groundbreaking in patients with small tumors that are close to critical ocular structures such as the optic nerve and the macula. The effect on rates of metastatic disease are still unknown.

#### Conclusions

Extensive advancements have been made in the understanding and treatment of retinoblastoma and uveal melanoma over the past decade. Further knowledge of intraocular cancer genetics will lead to new clinical breakthroughs that will allow us to save more eyes and lives.

#### Competing interests

The authors declare that they have no competing interests.

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The referees who approved this article are:

### Version 1

1 **Richard Carvajal** Division of Hematology/Oncology, Columbia University Medical Center, New York, NY, USA

*Competing Interests:* Aura Biosciences – Clinical Advisor ICONIC Therapeutics – Consulting Castle Biosciences – Consulting

1 Zelia Correa Department of Ophthalmology, University of Cincinnati, Cincinnati, OH, USA Competing Interests: No competing interests were disclosed.

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