

# Analysis of cocoa products for ochratoxin A and aflatoxins

Anne-Marie Turcotte · Peter M. Scott · Brett Tague

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**Abstract** Eighty-five samples of cocoa products sampled in Canada were analysed for ochratoxin A (OTA) and aflatoxins in 2011–2012. Inclusion of the aflatoxins in this survey required additional method development. Chocolate was extracted with methanol–water plus NaCl, while for cocoa two successive extractions with methanol and methanol–water were made. Extracts were cleaned on an AflaOchra immunoaffinity column (IAC). Determination was by reversed phase high performance liquid chromatography (HPLC). Detection of the aflatoxins was with a post-column photochemical reactor and of OTA by fluorescence detection. Mean limits of quantification (LOQ) of chocolate and cocoa powders were 0.16 ng/g (OTA) and 0.07 ng/g (aflatoxin B<sub>1</sub>), respectively. Survey results showed that the incidences of OTA above the LOQ in natural cocoa were 15/15 (mean 1.17 ng/g), 20/21 for alkalized cocoa (mean 1.06 ng/g), 9/9 for baking chocolate (mean 0.49 ng/g), 20/20 for dark chocolate (mean 0.39 ng/g), 7/10 for milk chocolate (mean 0.19 ng/g), 5/5 for cocoa liquor (mean 0.43 ng/g), and 0/5 for cocoa butter. These results confirm our previous work with OTA. In the same samples, incidences of aflatoxin B<sub>1</sub> above the LOQ were 14/15 for natural cocoa (mean 0.86 ng/g), 20/21 for alkalized cocoa (mean 0.37 ng/g), 7/9 for baking chocolate (mean 0.22 ng/g), 16/20 for dark chocolate (mean 0.19 ng/g), 7/10 for milk chocolate (mean 0.09 ng/g), 4/5 for cocoa liquor (mean 0.43 ng/g), and 0/5 for cocoa butter. Both aflatoxins and OTA were confirmed by HPLC-MS/MS when OTA or aflatoxin levels found were above 2 ng/g in cocoa.

**Keywords** Ochratoxin A · Aflatoxins · Cocoa · Chocolate · HPLC · HPLC-MS/MS

## Introduction

Ochratoxin A (OTA; L-phenylalanylcarbonyl-5-chloro-8-hydroxy-3,4-dihydro-3-*R*-methylisocoumarin) is a mycotoxin formed mainly by some species of *Aspergillus* and *Penicillium* (Amézqueta et al. 2012). OTA has been shown to be carcinogenic, nephrotoxic, teratogenic, immunotoxic, and hepatotoxic in various experimental animal models, and the International Agency for Research on Cancer (IARC) has classified it as possibly carcinogenic to humans (group 2B) (IARC 1993). The main fungal sources of OTA in cocoa beans in African countries and in South America are black aspergilli (*A. carbonarius* and *A. niger* aggregate) (Amézqueta et al. 2008; Mounjouenpou et al. 2008; Sánchez-Hervás et al. 2008; Copetti et al. 2010; Teixeira de Magalhães et al. 2011).

OTA is found in grains and many other kinds of food-stuffs (Bayman and Baker 2006; Clark and Snedeker 2006); these include cocoa and cocoa products (reviewed by Codex Alimentarius Commission 2007, 2008; Tabata et al. 2008; Chung et al. 2009; Aoyama et al. 2010; Brera et al. 2011; Jayeola et al. 2011; Nwagu and Ire 2011; Turcotte and Scott 2011; Copetti et al. 2012a, 2013; Mounjouenpou et al. 2012). The European Commission (EC) has stated that it does not appear necessary to set a maximum level for OTA in cocoa and cocoa products (European Commission 2010). Similarly, based on the data available, neither cocoa nor cocoa products were identified by Health Canada as products for which a maximum OTA level should be considered (Health Canada 2010).

The aflatoxins are formed by *Aspergillus flavus*, *A. parasiticus*, and other *Aspergillus* spp. (Basappa 2009).

A.-M. Turcotte (✉) · P. M. Scott · B. Tague  
Food Research Division, Health Canada,  
251 Sir Frederick Banting Driveway,  
Ottawa, ON K1A 0K9, Canada  
e-mail: anne-marie.turcotte@hc-sc.gc.ca

The most important aflatoxin, in terms of toxicity and occurrence, is aflatoxin B<sub>1</sub> ((6aR,9aS)-2,3,6a,9a-tetrahydro-4-methoxycyclopenta[*c*]furo-(3',2':4,5)furo[2,3-*h*] [*l*] benzopyran-1,11-dione), which is classified as carcinogenic to humans (group 1) (IARC 2002). There was some early work on the use of thin layer chromatographic and HPLC analysis in cocoa beans for the determination of aflatoxins (and OTA) (Scott and Przybylski 1971; Scott 1973; Czerwiecki 1974; Hurst et al. 1982), but in recent years, the presence of aflatoxins in cocoa and cocoa products has received less attention than OTA. However, there have been reports from Germany (Raters and Matissek 2000), Turkey (Dogan et al. 2006; Ulca et al. 2010), Japan (Kumagai et al. 2008; Kawamura and Hamada 2009; Sugita-Konishi et al. 2010), and Brazil (Copetti et al. 2011, 2012a, b) on the natural occurrence of aflatoxins in cocoa and cocoa products. Neither the EC nor Health Canada has developed maximum limits for aflatoxins in cocoa or cocoa products.

Co-occurrence of OTA and aflatoxins in cocoa products has been reported (Raters and Matissek 2000; Kumagai et al. 2008; Tabata et al. 2008; Copetti et al. 2012a), so analysis for both of these mycotoxins in the same sample is desirable. The commercial availability of an IAC column (AflaOchra) for cleanup of both toxins in foods simplifies this analysis. This column has been used for various foods (Chan et al. 2004; Trucksess et al. 2007, 2008; Leeman and Marley 2010).

The present paper describes the use of the AflaOchra cleanup column in the analysis of cocoa products, such as cocoa and chocolate for OTA and aflatoxins, by surveying these foods sold in Canada. A previous Canadian survey of cocoa and chocolate was for OTA only (Turcotte and Scott 2011). For detection by fluorescence, OTA and aflatoxins B<sub>2</sub> and G<sub>2</sub> are naturally fluorescent, but aflatoxins B<sub>1</sub> and G<sub>1</sub> require derivatization. The UVE™ reactor (LCTech, Germany) uses a 254-nm UV light for post-column photochemical derivatization (hydroxylation). The reactor is placed in the flow path, between the HPLC column and fluorescence detector, and no chemicals are necessary for derivatization.

## Materials and methods

### Sample preparation

The Canadian Food Inspection Agency (CFIA) collected three 250-g sub-samples of each lot of cocoa product from different manufacturers nationally. For local purchases, our laboratory (Ottawa, Canada) collected two sub-samples of each lot. All the samples were stored at -18 °C prior to analysis. For cocoa powder, a minimum of 1/3 of each sub-sample was combined and thoroughly mixed prior to sampling. For other cocoa

products (chocolates, liquors, and butters), the frozen samples were ground with a direct drive food processor, coarse grinding blade and knife. The food processor bowl and blades were frozen prior to grinding. For chocolates purchased locally, sample size was limited and a coffee grinder was necessary for complete grinding. Finally, the ground sample was sieved through a 2-mm screen and the fines were composited and mixed thoroughly.

If deemed necessary to identify a natural or alkali cocoa, a 2-g sample was mixed with 40 ml deionized water and filtered. If the pH of the filtrate was above 6, the cocoa was and labeled as alkalized cocoa.

### Chemicals and reagents

Ochratoxin A, >98 %, was purchased from Sigma-Aldrich Canada (Oakville, Ontario) and stored at -20 °C. The aflatoxins—AFB<sub>1</sub>, AFB<sub>2</sub>, AFG<sub>1</sub>, and AFG<sub>2</sub> (separate vials), ≥ 98 %—were obtained from Enzo Life Sciences International (Farmingdale, NY, USA) and stored at 4 °C. Ultrapure water had a resistivity of >18 megohm-cm. Toluene, methanol, and acetonitrile were HPLC grade (99.9+ %). Glacial acetic acid, orthophosphoric acid, 0.1 M HCl, 0.1 M NaOH, disodium hydrogen orthophosphate, potassium dihydrogen phosphate, potassium chloride, and sodium chloride were all ACS grade. Phosphate-buffered saline (PBS) was prepared by dissolving 1.16 g disodium hydrogen orthophosphate, 0.2 g potassium dihydrogen phosphate, 0.2 g potassium chloride, and 8 g sodium chloride in 1 L ultrapure water. Final pH was 7.4.

### Equipment

The following equipment was used: Kinematica Polytron Homogenizer, Model PT 10/35GT; solid phase extraction (SPE) vacuum manifold (Supelco) with pump; Agilent model 1100 HPLC with an Agilent fluorescence detector; derivatization module for the analysis of aflatoxins—UVE photochemical detector with 1 ml loop (LCTech); AflaOchra cleanup columns, stored at room temperature, were from Vicam (Watertown, MA, USA), with 60 ml polypropylene reservoirs and adapters for IAC chromatography; silanized 4-ml amber glass vials and 2-ml amber deactivated autosampler vials, with Teflon (PTFE) lined septa and threaded caps; and silanized 400-μl flat bottom vial inserts. Nitrogen gas was Ultra High Purity.

### Standards

HPLC calibration standards—0, 0.1, 0.2, 0.5, 1.0, 2.0, 5.0 ng/ml OTA + each aflatoxin (AFB<sub>1</sub>, AFB<sub>2</sub>, AFG<sub>1</sub>, AFG<sub>2</sub>) at ¼ of OTA concentration (0, 0.025, 0.05, 0.125, 0.25, 0.5, 1.25 ng/ml)—were prepared from an aliquot of

AFLA-OTA intermediate standard transferred to a volumetric flask, evaporated to dryness under nitrogen at 40 °C, made up to volume with injection solvent [water–methanol (60:40 v/v)] and filtered through a 0.45- $\mu$ m PTFE filter. They were stored in silanized amber vials or silanized glass inserts at –18 °C for up to 6 months. Good linearity was obtained for the calibration curves as the coefficient of determination ( $R^2$ ) was above 0.999 for each mycotoxin.

Fortified (spiked) samples of cocoa products were prepared by adding an aliquot of each spiking solution (50 ng/ml OTA in methanol and 20 ng/ml AFB<sub>1</sub>, AFB<sub>2</sub>, AFG<sub>1</sub>, and AFG<sub>2</sub> in toluene) to 5-g ground sample, and extracting after 15 min.

**Extraction and cleanup of chocolate (also applicable to cocoa liquor and cocoa butter)**

A 5-g ground sample was extracted using a Polytron for 3 min with 100 ml methanol–water (80:20, v/v) plus 0.5 g NaCl, centrifuged (2,000 rpm for 10 min), and filtered (Whatman #1). Five ml of the filtered extract and 20 ml PBS were loaded onto an AflaOchra IAC, previously conditioned with 5 ml PBS; the column was washed with 10 ml water then the toxins were eluted with 2 $\times$ 750  $\mu$ l methanol using gravity flow. The eluate was evaporated to dryness under nitrogen at 40 °C, 500  $\mu$ l of injection solvent [water–methanol (60:40, v/v)] were added, and the solution was vortexed then filtered into a silanized autosampler vial by syringe pressure through a 0.45- $\mu$ m Teflon filter. Sample concentration in the final extract was 0.5 g/ml.

**Extraction and cleanup of cocoa powder**

Each 5-g sample was extracted (Polytron, 3 min) with 50 ml methanol and centrifuged (2,000 rpm, 10 min). After filtration (typically not necessary), 2.5 ml (taken before a precipitate formed) was added to 20 ml PBS. Methanol was decanted and extraction of the residual solids was made with 50 ml methanol–water (60:40, v/v) using an ultrasonic bath; after centrifuging, a 2.5-ml aliquot was added to 20 ml PBS and the 2.5-ml aliquot taken earlier. The combined extracts were cleaned up on an AflaOchra IAC as described earlier for chocolate except the elution was with 2 $\times$ 750  $\mu$ l methanol–1 % acetic acid (80:20, v/v).

**HPLC analysis**

OTA and aflatoxins were separated by reversed-phase HPLC on a Supelcosil LC18, 4.6 $\times$ 150 mm $\times$ 5  $\mu$  column (Supelco) for chocolate and a Gemini C18, 4.6 $\times$ 250 mm $\times$ 5  $\mu$  column (Phenomenex) for cocoa, with an Opti-guard

C18 guard column (Optimize Technologies). The column heater was set at 40 $\pm$ 0.5 °C and 50  $\mu$ l was injected into the HPLC. The flow rate was 1.2 ml/min. Detection of the aflatoxins was with a post-column photochemical reactor and of OTA by fluorescence detection with excitation wavelengths ( $\lambda_{ex}$ ) of 360 nm for aflatoxins and 225 nm for OTA and emission wavelengths ( $\lambda_{em}$ ) of 440 nm (aflatoxins) and 470 nm (OTA). The HPLC gradient program is summarized in Table 1. A typical chromatogram showed the following mycotoxin retention times: AFG<sub>2</sub> (7.6 min), AFG<sub>1</sub> (8.8 min), AFB<sub>2</sub> (10.2 min), AFB<sub>1</sub> (12.0 min), and OTA (26.5 min) (Fig. 1).

Traces of OTA, equivalent to 0.2 ng/g sample concentration, were detected in some lots of IAC columns. These levels were subtracted to obtain the values reported for cocoa and chocolate.

The longer 250-mm HPLC column should be used for subsequent surveys of cocoa products, including chocolate. It offers adequate sensitivity, better resolution between each aflatoxin, and less potential for interferences from sample matrices.

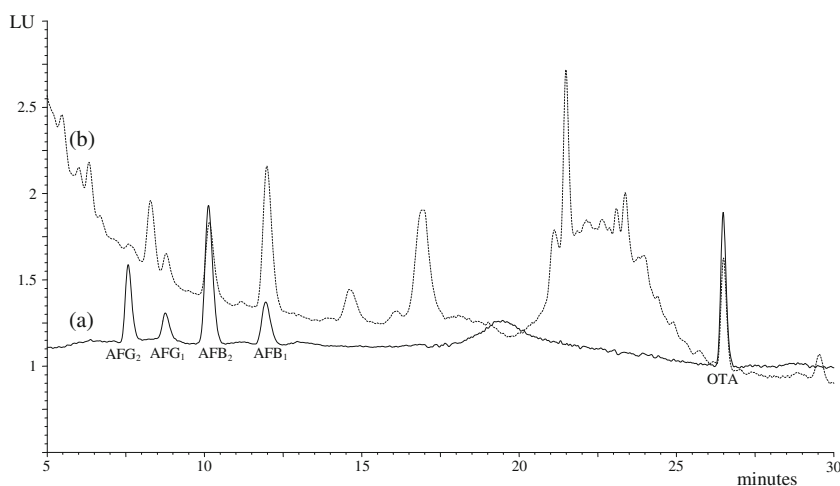
**Confirmation of results**

Sample confirmation was performed when OTA or aflatoxin levels found were above 2 ng/g in cocoa. For chocolate, no sample was found above 1 ng/g OTA or 2 ng/g aflatoxin. Both OTA and aflatoxins were confirmed by HPLC-MS/MS. An Agilent 1100 series HPLC with a thermostated autosampler/column compartment, binary pump, and in-line degasser was coupled to a Waters Quattro Ultima tandem mass spectrometer with Z-Spray API source. The diverter valve was a Rheodyne MX9900 (column effluent was diverted to waste for first 4 min of each analysis—controlled via MassLynx software). The HPLC column was a Gemini C18, 100 $\times$ 2.00 mm $\times$ 3  $\mu$ m (Phenomenex) and mobile phases were A: 0.1 % formic acid + 5 mM ammonium formate and B: 0.1 % formic acid + 5 mM ammonium formate in acetonitrile–methanol (1:1, v/v) with a gradient: 30 % B, hold for 2 min; 30 to 100 % B by 15 min; 100 % B, hold for 8 min; 30 % B from 23.01 to 28 min. The flow rate was 300  $\mu$ l/min. Column temperature was 30 °C,

**Table 1** HPLC gradient program

Time (min)	CH <sub>3</sub> CN (% v)	H <sub>3</sub> PO <sub>4</sub> -0.1 % (% v)	CH <sub>3</sub> OH (% v)
0–15	10	55	35
20–30	55	45	0
35	0	30	70
40–45	10	55	35

**Fig. 1** HPLC chromatograms from 5 to 30 min of **a** calibration standard containing 0.25 ng/ml of each aflatoxin and 1 ng/ml OTA and **b** natural cocoa powder containing 0.07 ng/g AFG<sub>2</sub>, 0.43 ng/g AFG<sub>1</sub>, 0.29 ng/g AFB<sub>2</sub>, 1.6 ng/g AFB<sub>1</sub>, and 1.2 ng/g OTA. Chromatogram (b) includes 0.2 ng/g OTA from IAC column



injector temperature was 20 °C and injection volume was 100  $\mu$ l.

Negative ion electrospray ionization MS/MS conditions included: capillary voltage 3.0 kV, cone voltage 25 V, source temperature 120 °C, desolvation temperature 350 °C, cone gas (N<sub>2</sub>) flow 50 L/h, desolvation gas (N<sub>2</sub>) flow 500 L/h, and collision gas (Ar) pressure  $3.00 \times 10^{-3}$  mbar. Interchannel delay time, inter-scan time, and dwell time were 0.020, 0.050, and 0.09 s, respectively. The span was 0.2 Da. Multiple reaction monitoring (MRM) transitions and collision energies are shown in Table 2. Peaks were positively identified if they eluted within  $\pm 5$  % of the retention time of the standard and if the qualifier ion ratio was within  $\pm 25$  % of that of the standard. We observed signal suppression for aflatoxins B<sub>2</sub> and B<sub>1</sub> and signal enhancement of OTA due to matrix effects. It would be necessary to use labeled internal standards or matrix matched standards for quantitative measurements.

OTA confirmation was also possible by methyl ester formation after derivatization with 14 % BF<sub>3</sub> in methanol at 60 °C for 20 min. The aflatoxins could also be confirmed

by shutting off the UVE, suppressing responses of aflatoxins G<sub>1</sub> and B<sub>1</sub>.

## Results and discussion

### Method development

Inclusion of the aflatoxins in this survey of OTA in cocoa products required additional method development. The main challenges were matrix adsorption, interferences, and low aflatoxin recoveries, especially for G<sub>1</sub> and G<sub>2</sub>. The aflatoxin B isomers have one lactone ring and the aflatoxin G isomers have two lactone rings that may be cleaved and potentially bind to the matrix (Diaz et al. 2012).

Although we used a 50-mm HPLC column for our first survey (Turcotte and Scott 2011), it was not feasible with the photochemical reactor in the flow path. The reactor uses a braided coil with an internal volume of 1 ml, and a flow rate of at least 1 ml/min flow is required for acceptable peak width. Unfortunately, the operating pressures were too high with the 50-mm column.

Although an acidic mobile phase is typically not necessary for the analysis of aflatoxins by reverse phase HPLC, it was necessary to start the gradient with an acidic mobile phase to avoid dissociation of the carboxyl group of OTA. Furthermore, the addition of acetonitrile at the start of the gradient improved the response of the aflatoxins. The HPLC parameters were first optimized using 1 % acetic acid in the mobile phase, but after a few months substantial signal suppression (quenching) occurred. We changed to 0.1 % phosphoric acid in the mobile phase and ran over 1,000 injections with excellent signal stability and sensitivity.

Initially, we selected a 150-mm C18 HPLC column for the aflatoxins and OTA. During method development, we found the sample extracts were quite dirty in spite of the IAC cleanup. Initially, we tested different solid phase

**Table 2** MRM transitions and collision energies

	Parent ion (Da)	Daughter ion (Da)	Collision energy (eV)
AFB <sub>1</sub> Quan	312.9	240.8	38.00
AFB <sub>1</sub> Qual	312.9	284.7	22.00
AFB <sub>2</sub> Qual	314.9	258.7	30.00
AFB <sub>2</sub> Quan	314.9	286.7	26.00
AFG <sub>1</sub> Quan	328.8	242.7	42.00
AFG <sub>1</sub> Qual	328.8	282.7	38.00
AFG <sub>2</sub> Qual	330.8	284.7	36.00
AFG <sub>2</sub> Quan	330.8	312.8	26.00
OTA Quan	403.8	238.7	20.00
OTA Qual	403.8	357.7	16.00

Quan quantitation ion, Qual qualifier ion

**Table 3** Method validation results for chocolate, natural cocoa powder and alkalized cocoa powder. Mean recovery and standard deviation (SD) for each spiking level run in triplicate ( $n=3$ )

Matrix	Spike level ( $n=3$ )	Mean recovery $\pm$ SD (%)				
		AFG <sub>2</sub>	AFG <sub>1</sub>	AFB <sub>2</sub>	AFB <sub>1</sub>	OTA
Chocolate	Low <sup>a</sup>	82 $\pm$ 2	66 $\pm$ 2	89 $\pm$ 6	92 $\pm$ 5	89 $\pm$ 5
	High <sup>b</sup>	66.4 $\pm$ 0.5	64.9 $\pm$ 0.5	91 $\pm$ 2	87 $\pm$ 3	96.0 $\pm$ 0.5
Natural cocoa	Low	76 $\pm$ 4	104 $\pm$ 9	102 $\pm$ 2	87 $\pm$ 2	106 $\pm$ 3
	High	82.6 $\pm$ 0.9	126.1 $\pm$ 0.7	107 $\pm$ 1	94 $\pm$ 2	104 $\pm$ 3
Alkalized cocoa	Low	53 $\pm$ 6	64 $\pm$ 5	102.2 $\pm$ 0.9	69 $\pm$ 3	85.2 $\pm$ 0.6
	High	72 $\pm$ 3	116 $\pm$ 5	100 $\pm$ 2	90 $\pm$ 3	106 $\pm$ 1
Mean recovery (%)	$n=18$	72 $\pm$ 11	90 $\pm$ 27	98 $\pm$ 7	87 $\pm$ 9	98 $\pm$ 9

<sup>a</sup>Low spike level=0.2 ng/g of each aflatoxin + 0.5 ng/g OTA

<sup>b</sup>High spike level=2 ng/g of each aflatoxin + 5 ng/g OTA

extraction (SPE) columns to pre-clean the extract. A non-retentive approach, where only the contaminants were retained on a LC-NH<sub>2</sub> aminopropyl silica SPE column (Supelco, Bellefonte, PA, USA), resulted in a cleaner extract (less pigment), with ~40–60 % aflatoxin recovery and 70 % recovery of OTA. An acidic methanol wash was necessary to elute the residual OTA from the LC-NH<sub>2</sub>, but matrix interferences were also eluted. At this point, it was evident that more work was necessary to improve the aflatoxin and OTA recoveries from cocoa. Low aflatoxin recoveries from the cocoa matrix, particularly for aflatoxins G<sub>1</sub> and G<sub>2</sub>, have been reported previously (Kawamura and Hamada 2009).

For method validation, the recoveries of aflatoxins and OTA from fortified chocolate were acceptable. The analyses of chocolate samples (baking, dark, and milk chocolates, and cocoa liquors) were completed using a 150-mm HPLC column with IAC cleanup only. The detection limit for OTA was further improved by using an excitation wavelength of 225 nm for the fluorescence detector (Amézqueta et al. 2004).

For cocoa powders, it was necessary to use a 250-mm HPLC column due to matrix interferences. During optimization of HPLC parameters, the main challenges were interferences eluting near the aflatoxins (large peak at the front of the chromatogram) and a ghost peak eluting near OTA. The ghost peak was eliminated by using a methanol:phosphoric acid 0.1 % (70:30, v/v) wash near the end of the run, after OTA

elution. Figure 1 shows the chromatograms of a calibration standard and a naturally contaminated cocoa powder (expanded scale between 5 and 30 min).

During method validation, we found low aflatoxin recoveries likely due to the binding of aflatoxins to the cocoa powder matrix. The recoveries of aflatoxins G<sub>2</sub> and G<sub>1</sub> were below 50 % using the same methodology as for chocolate. Recoveries improved when the aflatoxin spiking solution was prepared in toluene. We tried different approaches to further improve aflatoxin recovery such as pre-wetting the cocoa, using other extraction solvents, extraction additives [sodium chloride, silver nitrate, polyvinyl-pyrrolidone (PVP)], and PBS additives [Tween 20 and polyethylene glycol (PEG 8000)]. Finally, we obtained optimal aflatoxin recovery using 100 % methanol for solvent extraction, but only 55 % of OTA was recovered from a fortified cocoa. A second aqueous methanol extraction was necessary for optimal OTA recovery. Although the first methanol extraction solution was clear with a slight tint, the second extraction (methanol/water) was quite pigmented. It was necessary to take an aliquot of the first methanol extract before a precipitate came out of solution. The precipitation time was sample-dependant, anywhere from 15 min to a few hours. A significant loss of the aflatoxins (especially G<sub>2</sub> and G<sub>1</sub>) coincided with formation of this precipitate. The precipitate was identified as theobromine (3,7-dimethylxanthine) by gas chromatography and mass spectrometry (GC-MS). The

**Table 4** Limits of detection (LOD), limits of quantitation (LOQ) and standard deviation (SD) for chocolate, natural cocoa powder and alkalized cocoa powder and mean LOD and LOQ for three matrices

Mycotoxin	LOD (ng/g)			Mean LOD $\pm$ SD	Mean LOQ $\pm$ SD
	Chocolate	Natural cocoa	Alkalized cocoa		
AFG <sub>2</sub>	0.014	0.017	0.019	0.017 $\pm$ 0.003	0.056 $\pm$ 0.009
AFG <sub>1</sub>	0.042	0.028	0.036	0.04 $\pm$ 0.01	0.12 $\pm$ 0.02
AFB <sub>2</sub>	0.005	0.007	0.007	0.006 $\pm$ 0.001	0.021 $\pm$ 0.004
AFB <sub>1</sub>	0.016	0.022	0.028	0.02 $\pm$ 0.01	0.07 $\pm$ 0.02
OTA	0.053	0.042	0.053	0.05 $\pm$ 0.01	0.16 $\pm$ 0.02

amount of theobromine is typically ~2.6 % in cocoa powder but can vary with each variety. The recoveries of aflatoxins and OTA from fortified cocoa improved when using acidic methanol for IAC elution. Although IAC cleanup is very selective, the final extract was pigmented, proving that non-selective adsorption can occur on the antibodies.

For method validation, it is necessary to use matrix fortification, but the addition of mycotoxin in a solvent is not always representative of a natural contamination. With the optimized method, the extent of improvement of aflatoxin recovery for the fortified cocoa was higher than for a naturally contaminated cocoa sample.

Other challenges were associated with aflatoxin recovery during method validation. It is necessary to minimize light exposure during sample extraction and to use deactivated amber glass vials. Good stability of calibration standards was obtained with a 400- $\mu$ l glass insert (deactivated) placed in an amber autosampler vial. Many batches of calibration standards can be prepared and stored at  $-18^{\circ}\text{C}$  for up to 6 months. This is not the case for the final sample extracts, as ~20–50 % losses of aflatoxin G<sub>1</sub> and G<sub>2</sub> can occur on storage at  $-18^{\circ}\text{C}$  within a few days or weeks, depending on the matrix. The instability of the G aflatoxins has been reported previously (Diaz et al 2012).

**Table 5** Aflatoxin and OTA occurrences in cocoa products

Matrix		AFG <sub>2</sub>	AFG <sub>1</sub>	AFB <sub>2</sub>	AFB <sub>1</sub>	AF <sub>T</sub>	OTA
Natural cocoa ( <i>n</i> =15)	Mean <sup>o</sup> (ng/g)	0.01	0.13	0.15	0.86	1.15	1.17
	Min (ng/g)	nd	nd	nd	nd	nd	0.26
	Max (ng/g)	0.07	0.43	0.44	2.60	3.52	4.72
	<i>n</i> >LOQ	2	7	13	14	na	15
	<i>n</i> >1 ng/g	0	0	0	5	7	5
	<i>n</i> >2 ng/g	0	0	0	1	2	2
Alkalized cocoa ( <i>n</i> =21)	Mean <sup>o</sup> (ng/g)	nd	0.01	0.05	0.37	0.43	1.06
	Min (ng/g)	nd	nd	nd	nd	nd	0.07
	Max (ng/g)	nd	0.24	0.13	0.84	0.97	1.88
	<i>n</i> >LOQ	0	1	15	20	na	20
	<i>n</i> >1 ng/g	0	0	0	0	0	12
	<i>n</i> >2 ng/g	0	0	0	0	0	0
Baking chocolate ( <i>n</i> =9)	Mean <sup>o</sup> (ng/g)	nd	0.01	0.05	0.22	0.27	0.49
	Min (ng/g)	nd	nd	nd	nd	nd	0.18
	Max (ng/g)	nd	0.05	0.1	0.53	0.67	0.91
	<i>n</i> >LOQ	0	0	7	7	na	9
	<i>n</i> >1 ng/g	0	0	0	0	0	0
	<i>n</i> >2 ng/g	0	0	0	0	0	0
Cocoa liquor ( <i>n</i> =5)	Mean <sup>o</sup> (ng/g)	0.01	0.03	0.05	0.43	0.51	0.43
	Min (ng/g)	nd	nd	nd	nd	nd	0.28
	Max (ng/g)	0.04	0.13	0.09	0.67	0.76	0.56
	<i>n</i> >LOQ	0	1	3	4	na	5
	<i>n</i> >1 ng/g	0	0	0	0	0	0
	<i>n</i> >2 ng/g	0	0	0	0	0	0
Dark chocolate ( <i>n</i> =20)	Mean <sup>o</sup> (ng/g)	0.004	0.006	0.03	0.19	0.23	0.39
	Min (ng/g)	nd	nd	nd	nd	nd	0.17
	Max (ng/g)	0.08	0.09	0.11	0.63	0.91	0.65
	<i>n</i> >LOQ	1	0	13	16	na	20
	<i>n</i> >1 ng/g	0	0	0	0	0	0
	<i>n</i> >2 ng/g	0	0	0	0	0	0
Milk chocolate ( <i>n</i> =10)	Mean <sup>o</sup> (ng/g)	0.004	0.03	0.02	0.09	0.15	0.19
	Min (ng/g)	nd	nd	nd	nd	nd	0.10
	Max (ng/g)	0.04	0.28	0.05	0.18	0.53	0.33
	<i>n</i> >LOQ	0	1	7	7	na	7
	<i>n</i> >1 ng/g	0	0	0	0	0	0
	<i>n</i> >2 ng/g	0	0	0	0	0	0
Cocoa butter ( <i>n</i> =5)	Mean <sup>o</sup> (ng/g)	nd	nd	nd	nd	nd	0.03
	Min (ng/g)	nd	nd	nd	nd	nd	nd
	Max (ng/g)	nd	nd	nd	nd	nd	0.08
	<i>n</i> >LOQ	0	0	0	0	na	0

Mean<sup>o</sup> overall mean, *nd* not detected, *na* not applicable

For health risk assessment purposes, IAC column specificity helps achieve optimal sensitivity. We obtained several lots of clean IAC columns with no detectable aflatoxins or OTA in blanks. However, we did receive one lot of AflaOchra IACs contaminated with traces of OTA (50 pg).

## Survey

Method recoveries for aflatoxins and OTA from chocolate and cocoa powder are shown in Table 3. Generally, the recoveries are acceptable considering the spiking levels are less than 5 ppb. The RSDs are below 5 % for chocolate and cocoas at the higher spike level and below 12 % at the lower spike level. The instability of the G aflatoxins contributes to the higher standard deviation of the mean recovery for the three matrices at both spiking levels.

The limits of detection (LOD) and limits of quantitation (LOQ) are reported in Table 4. The LOD was based on three times the signal-to-noise ratio (S/N) and the LOQ was calculated as ten times the S/N ratio, both calculated for each matrix at the lowest spike level. The mean LOQs were used to measure incidence of each mycotoxin in the different cocoa products.

The survey showed the presence of both OTA and aflatoxins at high incidence in cocoa and chocolate at concentrations generally <2 ng/g (Table 5), with only the occasional sample of natural cocoa containing >2 ng/g. Generally, the overall mean concentrations of the aflatoxins and OTA were proportional to the total cocoa solids content of each commodity, increasing from cocoa butter, milk chocolate, dark chocolate, baking chocolate, and cocoa liquor to cocoa powder. The organic cocoa products did not always show less contamination than the regular products. However, from nine

baking chocolates, the three that showed the least contamination were organic and originated from Peru.

There was no correlation between OTA and the aflatoxin content for each commodity, as the most contaminated samples for OTA did not coincide with higher aflatoxin contamination. Co-occurrence of the four aflatoxins was found in 1/10 milk chocolate, 1/20 dark chocolate, 1/5 cocoa liquor, and 2/15 natural cocoa samples.

The very low levels of OTA and absence of the aflatoxins in cocoa butter agree with previous surveys on cocoa by-products (Copetti et al. 2012b; Mounjouenpou et al. 2012). Copetti et al. (2012a) reported co-occurrence of OTA and aflatoxins in chocolate marketed in Brazil, where the overall mean concentrations of OTA and AFB<sub>1</sub> were equivalent in powdered raw chocolate (0.39 and 0.43 ng/g) and dark chocolate (0.34 and 0.43 ng/g). In our survey (Table 5), the overall mean concentration of OTA was often twice that of AFB<sub>1</sub> for most commodities.

Results confirm our previous work with OTA (Table 6) and the findings of others (e.g., Codex Alimentarius Commission 2007, 2008; Copetti et al. 2012a, b). Table 6 shows that the OTA concentration was generally higher in the 2008–2009 survey, except for natural cocoa, where a higher mean concentration was found in 2011–2012. In 2008–2009, the highest concentration of OTA was in alkalized cocoa (7.75 ng/g) with 5 of 16 alkali cocoa above 2 ng/g. The current survey (2011–2012) found higher concentrations and incidence of OTA in natural cocoa; however, only 2 of 15 natural cocoa samples were above 2 ng/g and no alkalized cocoa samples were above 2 ng/g.

Currently, there are no Canadian standards (maximum limits) for OTA or the aflatoxins in cocoa or chocolate products. In 2003, an expert committee of the European

**Table 6** Comparison of concentrations of OTA in cocoa products for this survey (2011–2012) and for first survey collected in 2008–2009 (Turcotte and Scott 2011); cocoa liquor and cocoa butter results have not been published earlier

Matrix	Survey	Samples <i>n</i>	OTA concentration (ng/g)		
			Mean <sup>o</sup>	Min	Max
Natural cocoa	2011–2012	15	1.17	0.26	4.72
	2008–2009	16	0.89	0.25	2.16
Alkalized cocoa	2011–2012	21	1.06	0.07	1.88
	2008–2009	16	1.97	0.57	7.75
Baking chocolate	2011–2012	9	0.49	0.18	0.91
	2008–2009	7	0.63	0.12	1.40
Cocoa liquor	2011–2012	5	0.43	0.28	0.56
	2008–2009	5	0.47	0.28	0.91
Dark chocolate	2011–2012	20	0.39	0.17	0.65
	2008–2009	14	0.38	0.17	0.88
Milk chocolate	2011–2012	10	0.19	0.10	0.33
	2008–2009	7	0.11	0.05	0.19
Cocoa butter	2011–2012	5	0.03	nd	0.08
	2008–2009	10	0.08	nd	0.41

nd not detected, Mean<sup>o</sup> overall mean

Commission (EC) was considering a maximum limit of 1 ng/g OTA in chocolate and 2 ng/g OTA in cocoa powder; however, the EC now considers that, on the basis of available information, it does not appear necessary for the protection of public health to set a maximum level for OTA in cocoa and cocoa products. For our 2011–2012 survey, only 2/80 cocoa products (excluding cocoa butter) were greater than the previously considered EC limits, and 7/60 in 2008–2009, for a total of 9/140. Although the overall mean levels are low, the concentration range can vary from 0.07 to 7.8 ng/g OTA (2-year survey) and *nd*-3.52 ng/g total aflatoxins (1-year survey) in cocoa powder.

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**Conflict of interest** None

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