# **Functional Dyspepsia: Advances in Diagnosis and Therapy**

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Functional dyspepsia (FD) is a common but under-recognized syndrome comprising bothersome recurrent postprandial fullness, early satiety, or epigastric pain/burning. Epidemiologically, there are two clinically distinct FD syndromes (although these often overlap clinically): postprandial distress syndrome (PDS: comprising early satiety or meal-related fullness) and epigastric pain syndrome. Symptoms of gastroesophageal reflux disease overlap with FD more than expected by chance; a subset has pathological acid reflux. The pretest probability of FD in a patient who presents with classical FD symptoms and no alarm features is high, approximately 0.7. Coexistent heartburn should not lead to the exclusion of FD as a diagnosis. One of the most exciting observations in FD has been the consistent finding of increased duodenal eosinophilia, notably in PDS. Small bowel homing T cells, signaling intestinal inflammation, and increased cytokines have been detected in the circulation, and elevated tumor necrosis factor- $\alpha$  levels have been significantly correlated with increased anxiety. Postinfectious gastroenteritis is a risk factor for FD. Therapeutic options remain limited and provide only symptomatic benefit in most cases. Only one therapy is known to change the natural history of FD-Helicobacter pylori eradication. Treatment of duodenal eosinophilia is under investigation. (Gut Liver 2017;11:349-357)

Key Words: Functional dyspepsia; Epidemiology

# INTRODUCTION

Functional gastrointestinal disorders (FGIDs) are common unexplained gastrointestinal (GI) symptom complexes that are thought to arise from different regions of the GI tract, and the two most recognized disorders are functional dyspepsia (FD) and the irritable bowel syndrome (IBS). FD is a clinical syndrome comprising chronic symptoms arising from the gastroduodenal region.<sup>1</sup> According to the Rome criteria, based on expert consensus, the prototypical symptoms are bothersome recurrent postprandial fullness, inability to finish a normal sized meal (early satiety), epigastric pain or epigastric burning in the setting of a normal upper endoscopy.<sup>2</sup> However, many patients with FD also experience other troublesome symptoms including nausea, bloating, belching, and heartburn.

FD comprises two clinical syndromes which in clinical practice can overlap. Postprandial distress syndrome (PDS) is always meal related and refers to bothersome and frequent early satiety or postprandial fullness. These meal related symptoms are more common than heartburn and are reported by over 40% of the U.S. general population.<sup>3</sup> A less common FD syndrome is epigastric pain syndrome (EPS) where patients present with recurrent and bothersome epigastric pain or less commonly epigastric burning.<sup>1</sup>

## **EPIDEMIOLOGY**

In 100 population-based studies comprising over 312,000 subjects, the pooled prevalence of uninvestigated dyspepsia was 21% (95% confidence interval, 18% to 24%); the risk of dyspepsia was increased in females and those with *Helicobacter pylori* infection, smokers, and nonsteroidal anti-inflammatory drug users.<sup>4</sup> Over 75% with dyspepsia postinvestigation including esophagogastroduodenoscopy (EGD) will have no obvious structural explanation and based on current consensus are appropriately labelled as having FD. The most frequent finding of sometimes questionable significance is esophagitis (13%) followed by peptic ulcer (8%); in a meta-analysis of over 5,000 subjects endoscoped, only peptic ulcer was significantly associated with dyspepsia with a 2-fold increased risk.<sup>5</sup>

In Olmsted County in the United States, evidence has emerged that FD is underdiagnosed in clinical practice; only 12.5% with FD symptoms received an FD diagnosis.<sup>6</sup> The data suggest pa-

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tients with FD symptoms are more likely to be given the label gastroesophageal reflux disease (GERD) even if acid suppression therapy is unsuccessful.<sup>6</sup>

In FD, an increased risk of atopic diseases most notably asthma has been observed in a general practice database in the United Kingdom.<sup>7</sup> Atopy may explain the finding of increased upper intestinal eosinophilia in FD, first reported in adults by Talley *et al.*<sup>8</sup> Further, an increased risk of autoimmune disease has now been observed based on an extensive analysis of over 23,000 patients and controls from the U.K. general practice database; rheumatological disease in particular was increased.<sup>9</sup>

FD impacts on quality of life and impaired quality of life is associated with symptom severity and comorbid depression.<sup>10,11</sup> The direct and indirect costs of FD because it is a highly prevalent and chronic condition are enormous. Extrapolating data on FD patients to the U.S. population, it has been calculated in 2009 the costs of FD were \$18.4 billion,<sup>12</sup> and other independent data support these high cost figures.<sup>13,14</sup> However, there is no mortality associated with dyspepsia symptoms in the general population indicating FD once diagnosed is a benign disorder and repeat EGD usually unhelpful.<sup>15</sup>

## DIAGNOSIS

The diagnosis of FD remains one of exclusion as EGD is required to exclude peptic ulceration, esophagitis and malignancy.<sup>16</sup> The Rome III criteria in terms of distinguishing FD from structural diseases such as peptic ulceration remain no better than previous Rome definitions, with a diagnostic sensitivity of 61% and a specificity of 69%, both suboptimal.<sup>17</sup> The pretest probability of FD in the patient who presents with classical dyspepsia (fullness, satiety, or epigastric pain) and no alarm features however is high, around 0.7 and therefore a provisional diagnosis in clinical practice can be considered in selected cases. Arguably the complaint that is the strongest indicator of FD is early satiety, a very distinctive symptom now linked to a specific duodenal pathology as discussed below.8 Many patients with FD present with overlapping symptoms of PDS and EPS although in population-based studies PDS and EPS separate out more clearly.18,19

#### 1. Meal testing

Meal induced symptoms are an important and increasingly recognized feature of FD. In a landmark study, a solid test meal was ingested by patients with FD who either self-reported they had meal induced symptoms such as fullness or bloating, or reported their symptoms were not meal induced. However, remarkably most subjects despite their recollection had symptoms after the meal as recorded by diary every 15 minutes for 240 minutes, and these symptoms were clearly increased compared with healthy controls.<sup>20</sup> Interestingly, those who self-reported

they had meal induced symptoms generally developed symptoms early (within 15 minutes) after ingestion and fullness and bloating predominated, while those who self-reported no meal relationship usually had delayed postprandial symptom induction and pain or burning predominated.<sup>20</sup>

An objective test in FD that assesses meal related symptoms is the nutrient test meal. A standard approach is after an 8-hour fast, provide 200 mL of a standardized enteral feeding solution (e.g., Ensure) every 5 minutes up to a cumulative volume of 800 mL; following each 200 mL drink, five key symptoms are assessed (fullness, abdominal pain, retrosternal/abdominal burning, nausea, and regurgitation) using a standardized instrument on visual analogue scales (0 to 100), and the cumulative symptom score across all symptoms calculated.<sup>21,22</sup> The findings correlate with gastric motor and sensory dysfunction and are an indirect measure of gastric accommodation, but are decreased in old age.<sup>21,22</sup> However, the diagnostic utility of a nutrient test meal has not been investigated in the clinical setting and it remains an investigational tool. Irregular meal ingestion and rapid eating behavior are risk factors for FD perhaps because gastroduodenal dysfunction limits normal eating and may even promote weight loss.<sup>23,24</sup>

### 2. Differentiating FD from gastroparesis

A particularly confusing issue yet to be resolved is how to distinguish gastroparesis from FD. The fundamental problem is slow gastric emptying occurs in 25% with FD but there is no clear-cut symptom complex associated.<sup>25</sup> The U.S. gastroparesis consortium definition that has been widely applied, namely that gastroparesis refers to upper GI symptoms and slow gastric emptying is arguably unhelpful, as symptom correlation with slow emptying in this broader patient cohort is modest to poor, slow gastric emptying on repeat testing is not a very consistent finding, and accelerating gastric emptying with prokinetics fails to accurately parallel symptom response.<sup>26</sup> If gastroparesis is more strictly defined as very slow gastric emptying (3 or more standard deviations from normal), then vomiting and weight loss may be more predictably associated and the true albeit rare syndrome apparent.<sup>27</sup>

### 3. Confusion with GERD

Symptoms of GERD overlap with FD as otherwise defined by Rome III more than expected by chance; a subset of these overlap cases have pathological acid reflux.<sup>28</sup> Recent data suggest gastric disaccommodation increases the number of transient lower esophageal relaxations.<sup>29</sup> As a subset with FD (up to 40%) also have a failure of gastric accommodation, this may account for the overlap of FD with GERD, and further suggests the strict exclusion of all heartburn from the definition of FD introduces substantial selection bias.

#### 4. Confusion with other FGIDs

In clinical practice, other symptoms may accompany dyspepsia and if predominant can point to alternative diagnoses. In particular, frequent vomiting is a very unusual symptom in the dyspepsia symptom complex and in addition to considering gastroparesis and other rarer causes such as brain stem disease, drugs including cannabis (that can induce cyclic vomiting and sometimes compulsive bathing behavior) need consideration.<sup>30</sup> Unrelenting abdominal pain is not a feature of FD and other possibilities such as functional abdominal pain syndrome or narcotic bowel syndrome should be considered.<sup>31,32</sup>

# PATHOGENESIS

#### 1. Familial aggregation and genes

Familial aggregation of abdominal pain has been reported in FD implying either genes or early life factors must be important in the pathogenesis.<sup>33</sup> Genetic factors likely play a role in disease pathogenesis but data are limited. Evidence implicates disturbed signal transduction in FD with a number of studies linking the polymorphism *GNB3 C825T* to FD. Both the CC and TT variant have been associated with FD in different populations.<sup>34-36</sup> The relevance of other genetic variants is less certain and contradictory in the literature, and large robust studies are needed.<sup>37</sup>

## 2. Psychological distress

New evidence suggests anxiety often precedes the onset of FD. Among 703 community subjects who completed questionnaires and an upper endoscopy at entry, 10 years later the risk of FD developing was nearly 8-fold increased in those with higher anxiety levels; depression on the other hand was not a risk factor.<sup>38</sup> Further, the risk of FD in those with anxiety was limited to PDS and not EPS.<sup>38</sup> Other data suggest one-third of people who develop an FGID like FD have symptoms that begin in the gut then new onset psychological distress develops; half to two-thirds start with anxiety and then develop gut symptoms suggesting a central rather than peripheral pathogenesis.<sup>39</sup>

Sleep dysfunction and multiple somatic complaints are also more common in FD in community based studies,<sup>33</sup> but increased somatic complaints may be as frequent in those with a definite structural explanation for their dyspepsia as in cases with FD, suggesting FD is not simply a somatization disorder.<sup>40</sup> A history of abuse is more frequent in FD but abuse does not appear to be an independent risk factor once depression and other psychological factors are controlled for in the analyses.<sup>41</sup>

#### 3. Gastroduodenal motor and sensory dysfunction

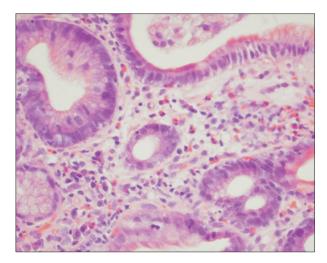
Gastric emptying is slow in 25% of patients with FD, but symptoms fail to correlate with delayed gastric emptying in this syndrome; in a very small number, rapid gastric emptying has also been documented.<sup>42</sup> While gastric emptying is a biomarker of a subset with FD, it does not appear to explain the reduced quality of life documented in such patients.<sup>43</sup> Gastric hypersensitivity and impaired gastric accommodation also occur in subsets of patients, and these abnormalities can overlap.<sup>44</sup> Recent observations suggest patients with PDS and impaired gastric accommodation are more likely to have anxiety too.<sup>45</sup>

Perturbations of duodenal function have also been documented in acid infusion experiments implicating duodenal dysfunction in FD. Notably, in health acid can sensitize volunteers to the development of dyspepsia symptoms, and impair antral contractility while increasing upper intestinal contractility.<sup>46</sup> Acid instilled into the duodenum also relaxes the stomach identifying a duodenum-gastric excitatory reflex pathway that is partly inhibited by local anaesthetic.<sup>47</sup>

Further, postprandial gut hormone response may be abnormal in FD. Thus raised ghrelin levels after breakfast has been observed in FD compared with healthy controls.<sup>48</sup> Excessive local release of cholecystokinin (CCK) after duodenal lipid ingestion has also been observed and independently confirmed, further implicating the duodenum in the pathogenesis of FD; CCK release may stimulate vagal afferents that increase gut-brain cross talking.<sup>49-51</sup>

### 4. Duodenal eosinophilia

One of the most exciting observations in FD has been the consistent finding of duodenal eosinophilia in many cases with PDS around the world, following the first report in adults by Talley *et al.*<sup>8,52-55</sup> The normal cutoff may vary geographically but more than 22 eosinophils in 5 high power fields is generally considered abnormal; otherwise biopsies look normal and the eosinophilia will not usually be detected unless counting is performed (Fig. 1). In Belgium, elegant experimental work has confirmed duodenal eosinophilia and also observed increased



**Fig. 1.** Increased duodenal eosinophils in a patient with functional dyspepsia (H&E, ×40) (with permission from Walker MM and Talley NJ, 2016).

duodenal mast cells.<sup>56</sup> Notably the patient cohort in nine of the 15 cases had overlapping FD and IBS which may account for the increased mast cells, as Walker *et al.*<sup>56</sup> found duodenal mast cells were characteristic of IBS but not pure (nonoverlapping) FD.

Further, submucosal neuronal structural and functional changes have been identified in the duodenum in FD linked to the duodenal eosinophilia and mast cell infiltration.<sup>57,58</sup> In an Australian endoscopic study, smoking was a risk factor for duodenal eosinophilia<sup>53</sup> and similar results were reported in a population-based study from Iran.<sup>59</sup> In further elegant work from Australia, small bowel homing T cells, signaling intestinal inflammation, and increased cytokines have been detected in the circulation, and elevated tumor necrosis factor- $\alpha$  levels have been significantly correlated with increased anxiety.<sup>60</sup> The findings suggest immune dysregulation is a key feature of a large subset with FD and a better understanding of the immunology holds out hope for novel approaches to therapy.<sup>61</sup>

What may induce duodenal eosinophilia and FD? Recent epidemiological data from Australia suggest exposure to herbivore pets (e.g., horses) but not carnivores (e.g., dogs) is associated with an increased risk of FD.<sup>62</sup> Horses are heavily infested with parasites which can induce eosinophilic inflammation in the human gut, so this hypothesis makes sense albeit it needs confirmation.<sup>62</sup> Food allergy or intolerance, particularly wheat exposure has been hypothesized to be important in FD but firm data are needed.<sup>63</sup> Antibiotics and postinfectious gastroenteritis are risk factors perhaps through altering the microbiome.<sup>64,65</sup>

## 5. Postinfectious FD

FD is now established to develop after acute bacterial, protozoal or viral gastroenteritis, with a 2.5-fold increased risk.<sup>66</sup> Alternatively, post-gastroenteritis IBS or an overlap syndrome comprising FD and IBS can develop, implying a similar pathogenesis for the postinfectious subset.<sup>66,67</sup> Risk factors for postinfectious FD include smoking,<sup>66</sup> and smoking is a risk factor for duodenal eosinophilia.53 Japanese investigators have noted increased duodenal eosinophils in postinfectious FD,68 and postinfection early satiety and gastric disaccommodation are significantly more likely<sup>69</sup> suggesting duodenal eosinophilia may occur after gut infection and this is linked to proximal gastric dysfunction. After giardia infection among cases with postinfectious IBS and postprandial dyspepsia symptoms, increased duodenal CCK in the mucosa has been reported perhaps explaining the increased circulating CCK levels observed by others as described above.<sup>70</sup> Baseline psychological distress is also a risk factor for postinfectious FD.68

One unifying hypothesis linking duodenal inflammation and postinfectious FGID is the symptom phenotype depends on the distribution of the postinfectious inflammation; if limited to the proximal intestine FD can result but if more widespread the overlap of FD and IBS may eventuate.<sup>71</sup>

### TREATMENT

A firm diagnosis followed by explanation and reassurance are key elements of the therapeutic equation and may help maximize the placebo response if therapy is prescribed. Those with fluctuating symptoms are more likely to respond to placebo.<sup>72</sup> Simple dietary advice may help some patients although randomized controlled trials are lacking. In particular, eating small meals that are low fat is a reasonable starting point.<sup>73</sup> Multiple drug classes have been trialed to treat FD but therapeutic options remain limited and provide in most cases only symptomatic benefit (Table 1). Only one therapy is known to change the natural history of FD and that is *H. pylori* eradication therapy.<sup>74-76</sup>

#### 1. H. pylori identification and treatment

Randomized controlled trials have compared eradication therapy with either placebo or acid suppression. While some trials have failed to observe a clear difference from placebo this is likely related to them being underpowered<sup>77</sup> as others have shown a clear benefit.<sup>78</sup> A meta-analysis concluded *H. pylori* eradication therapy was superior to either placebo or antisecretory therapy with a number needed to treat of 17.79 The Kyoto consensus conference concluded if a patient with FD and H. pylori infection responds to eradication therapy and the treatment response persists, then the correct diagnosis is H. pylori associated dyspepsia; the majority who fail to obtain symptom relief despite successful eradication therapy have FD until proven otherwise.<sup>80</sup> However, no trial has randomized both H. pylori positive and negative patients to eradication therapy or placebo to test the alternative hypothesis that antibiotic therapy is the reason symptoms settle through alteration of the gastroduodenal microbiome.81

#### 2. Acid suppression

Proton pump inhibitor (PPI) therapy has become first line treatment for  $FD^{82}$  but it is important to recognize the majority of patients will fail this approach even if high dose PPI is prescribed.<sup>79</sup> The best response is seen in those with epigastric pain.<sup>83</sup> Further, closer study of the meta-analysis data reveals H<sub>2</sub> blockade may be more efficacious than PPI although the H<sub>2</sub> blocker data are admittedly more heterogeneous.<sup>79</sup> If true, the benefit of H<sub>2</sub> blockade cannot be explained by acid suppression alone. It is of interest mast cell infiltration may lead to histamine release and one could speculate this is a potential reason for any efficacy. Combining a H<sub>1</sub> with a H<sub>2</sub> blocker anecdotally may reduce dyspepsia symptoms and we have seen success in adults.<sup>84</sup> PPIs do inhibit eotaxin and therefore may also suppress duodenal eosinophils if present.<sup>85</sup>

#### 3. Prokinetic

Prokinetic therapy overall is superior to placebo based on me-

Level of evidence	Comment
Helicobacter pylori eradication (level 1)	First line therapy in infected
Acid suppression (level 1)	
Proton pump inhibitors	First line therapy especially for epigastric pain
H <sub>2</sub> receptor antagonists	
Prokinetic (level 1–2)	
Cisapride	Cisapride withdrawn
Acotiamide	Available in Japan
Itopride	Mixed data
Centrally acting drugs (level 1–2)	
Tricyclic low dose (level 1)	Epigastric pain improved
Mirtazapine (level 2)	Efficacy not established
Buspirone (level 2)	Postprandial distress syndrome improved
Miscellaneous therapy	
Iberogast (level 2)	Relaxes the gastric fundus
Montelukast	One small pediatric trial
Not efficacious	
Selective serotonin reuptake inhibitors	
Selective norepinephrine reuptake inhibitors	
Antacids	
Sucralfate	
Bismuth	
Mosapride	

Table 1. Pharmacological Treatment Options for Functional Dyspepsia Based on Double-Blind Randomized Placebo-Controlled Trials

ta-analysis data of randomized controlled trials but the results are largely driven by cisapride that has limited to no availability and was withdrawn because of concern over QT prolongation and the risk of sudden death.<sup>79</sup> Cisapride, a 5HT4 agonist does relax the gastric fundus plus it has 5HT3 antagonist actions that may improve nausea.

Very limited and poor quality evidence exists for any benefit of metoclopramide in FD and side effects including tardive dyskinesia limits this drugs use. Domperidone, a peripherally acting dopamine 2 antagonist, is an alternative but again the evidence of efficacy is limited and it can also prolong the QT interval so its use must be judiciously considered.<sup>86</sup>

Itopride is of uncertain efficacy following two major trials that were essentially negative<sup>87</sup> although a meta-analysis of nine trials supported its therapeutic application providing evidence in support of a landmark trial published in the *New England Journal of Medicine*.<sup>88,69</sup> The inconsistency of the results may reflect patient selection issues; the pivotal negative phase III trials had very strict entry criteria excluding any GERD but other positive studies did not apply the same approach and allowed reflux symptoms. As there is accumulating evidence reflux symptoms are part of the spectrum of FD, the strict exclusion of heartburn is probably inappropriate. Mosapride does relax the gastric fundus<sup>90</sup> but in a meta-analysis mosapride was not superior to placebo in FD and the drug cannot at this time be recommended.<sup>91</sup> Acotiamide, an acetylcholinesterase inhibitor that increases acetylcholine release in the enteric nervous system, is efficacious based on a meta-analysis in a dose of 100 mg three times daily but only for PDS, not EPS; it is commercially available in Japan and trials in Europe are progressing.<sup>92,93</sup>

Buspirone, an antianxiety agent, relaxes the gastric fundus through its action on 5HT1 receptors, and in a randomized cross-over clinical trial reduced PDS symptoms over placebo at a dose of 10 mg three times daily over 4 weeks.<sup>94</sup>

### 4. Antidepressants

The largest randomized controlled trial to date included 292 patients who were randomized to the low dose tricyclic amitriptyline (25 mg for 2 weeks then 50 mg for 10 weeks), escitalopram (a full dose selective serotonin reuptake inhibitor [SSRI] at 10 mg for 12 weeks) or placebo. The tricyclic was efficacious for those with epigastric pain but was not of benefit in those with delayed gastric emptying.<sup>95</sup> A meta-analysis of psychotropic agents concluded tricyclic antidepressants were superior to placebo but there remains a risk of side effects with this class of agents with the number needed to harm being seven.<sup>96</sup> There was no benefit observed over placebo with the SSRIs or selective norepinephrine reuptake inhibitors.<sup>96</sup> Antipsychotic class drugs were also superior to placebo.<sup>96</sup> Mirtazapine is a tetracyclic antidepressant with antinausea properties. One trial suggested a trend towards symptom improvement compared with placebo in FD but the results were not definitive.<sup>97</sup>

#### 5. Miscellaneous therapies

Montelukast, the antiasthma drug and eosinophil stabilizer, was superior to placebo in a small randomized controlled trial in pediatric patients with FD but no adult data are available.<sup>98</sup> Iberogast (STW5) is a nine herb combination that relaxes the gastric fundus through unknown mechanisms.<sup>99</sup> The product has modest efficacy in FD based on randomized trials although methodological issues limit interpretability of the data.<sup>100</sup>

Acupuncture studies have suggested a possible benefit but the risk of bias remains high in the available studies and more convincing evidence is needed to exclude a placebo effect.<sup>101</sup> In difficult or resistant cases, combination therapy including psychological and centrally acting drug treatment should be considered as the outcomes are likely improved based on limited randomized trial evidence.<sup>102</sup>

## PROGNOSIS

In the general population, two studies have observed dyspepsia is not associated with any reduction of life expectancy confirming FD is a benign diagnosis.<sup>15,103</sup> Ten years follow-up data from a population-based endoscopy study has provided a clearer picture of the prognosis of FD; only a minority resolve (20%), others develop a new FGID with or without GERD (37%) and the remainder (43%) have persistent dyspepsia.<sup>38</sup>

#### CONCLUSIONS

FD is a common disorder; up to 40% appear to have structural alterations in the duodenum including increased duodenal permeability, duodenal eosinophilia and neural alterations, suggesting the term functional is a misnomer. GERD and FD may in some cases share a similar pathogenesis and separating GERD from FD is largely artificial. FD symptoms impair quality of life and treatment is indicated if simple measures such as reassurance and dietary restrictions fail. Medical therapy remains largely symptom driven although in patients with *H. pylori* infection there is the hope of symptom cure in a small minority with eradication therapy. The observation of duodenal eosinophilia in a substantial proportion with FD has opened up new diagnostic and therapeutic possibilities.

## **CONFLICTS OF INTEREST**

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