


## CLINICAL ARTICLE

# Sagittal Parameters of Spine-Pelvis-Hip Joints in Patients with Lumbar Spinal Stenosis

Shuai Xu, MD, Chen Guo, MD, Yan Liang, MD, Zhenqi Zhu, MD, Haiying Liu, MD 

Department of Spinal Surgery, Peking University People's Hospital, Peking University, Beijing, People's Republic of China

**Objective:** To explore sagittal compensation characteristics, including extra spinal-pelvic parameters and distal hip parameters, for analysis in middle-aged to the older patients with lumbar spinal stenosis (LSS) without spinal deformity and clarify the fitting relationship between the main sagittal parameters.

**Methods:** This retrospective single-center study included 205 patients with LSS in our department from January 2016 to December 2018, including 153 women (74.6%), with an average age of  $67.6 \pm 7.1$  years. Sagittal parameters were obtained on the whole spinal lateral radiograph. Spinal parameters include thoracic kyphosis (TK), thoracolumbar kyphosis (TLK), and lumbar lordosis (LL). Pelvic parameters include pelvic incidence (PI), pelvic tilt (PT), and sacral slope (SS). Hip parameters include pelvic rotation (PR) and hip inclination angle (HIA). Spine-pelvic parameter is spino-sacral angle (SSA). Sagittal balance parameter is sagittal vertical axis (SVA). PI-LL, PT, SVA, HIA, and TLK were regarded as primary results, and the others were secondary outcomes. The independent sample *t*-test was used to compare gender. Pearson correlation analysis was used to evaluate the correlation between primary results and secondary results. We take PI-LL, PT, SVA, and TLK as dependent variables and include relevant factors for analysis.

**Results:** In the case of gender, PI, P-LL, and PT were found smaller in men than women, but TK is greater in men than women ( $27.3^\circ \pm 6.1^\circ$  vs  $23.3^\circ \pm 7.7^\circ$ ,  $p = 0.033$ ). PI-LL was positively correlated with PT ( $r = 0.608$ ,  $p < 0.001$ ) and negatively correlated with HIA ( $r = -0.193$ ,  $p = 0.010$ ); PT was negatively correlated with HIA ( $r = -0.289$ ,  $p < 0.01$ ). As to the relationship between primary and secondary results, HIA was positively correlated with SS and PR ( $p < 0.01$ ). SVA was positively correlated with SSA ( $r = 0.341$ ,  $p = 0.010$ ). The positive influencing factors and risk factors of SVA were SSA, and P-LL played a negative regulatory role through proximal TK, the distal PT plays a positive regulatory role. The regulation of PI-LL was compensated through both TK and PT, with a fitting relationship of  $P-LL = 0.5 \times PT - 0.2 \times TK$ .

**Conclusion:** There was a close interaction among spine-pelvis-hip sagittal parameters. We found the matching of PI-LL in the domestic middle-aged and elderly LSS population is regulated by thoracic spine and pelvis.

**Key words:** degenerative thoracic kyphosis; lumbar spinal syndrome; lumbar stenosis syndrome; pelvic incidence; spino-pelvis-hip parameters

## Introduction

The human spine is closely correlated with biomechanical function: the normal spine has several physiological curvatures in the sagittal plane to maximize efficiency by adjusting the center of gravity in the area between the feet and minimizing the impact on joints, muscles, and ligaments.<sup>1</sup>

For the whole spinal alignment, the most common method is to measure the overall sagittal vertical axis (SVA). SVA has high practicability in sagittal balance evaluation. The spine-pelvis sagittal sequence describes the ideal balance in the sagittal position due to the interaction between different parts. Any cause that breaks the balance will cause

**Address for correspondence** Haiying Liu, MD, No. 11 Xizhimen South Street, Xicheng District, Beijing 100044, P.R. China Tel: (86)18811792718; Email: liuhaiying1131@sina.com

First author: Shuai Xu.

Co-First authors: Chen Guo and Yan Liang.

Received 28 February 2021; accepted 25 July 2022

sagittal deformities and trigger the compensatory mechanism. Loss of sagittal sequence is widespread in many spine-pelvic diseases and is essential for evaluating the load of the patient's spine.<sup>2</sup> Dubousset *et al.* proposed that the pelvis is the cornerstone of the spine sagittal sequence, and the emergence of pelvic tilt (PT) established a possible compensatory mechanism for spinal imbalance<sup>3</sup>; Duval-Beaupere clarified the geometric meaning of pelvic incidence (PI), pelvic tilt (PT), and sacral slope (SS): where  $PI = PT + SS$ .<sup>4,5</sup> The pelvis will have morphological changes during the growth and upright in childhood, which is mainly reflected in the changes of PI. Before PI stabilized in early puberty, there was a significant linear correlation between age and PI. The increase in PI varies with the leveling of the sacrum and the development of LL in early childhood, which is essential for standing on both feet and keeping them upright. PT and LL increase with age in childhood to fully move the body's center of gravity forward, while SS is not affected by age. Due to the limited movement of the sacroiliac joint, PI in adults is considered to be a fixed anatomical parameter, while the emergence of PT establishes a possible compensatory mechanism for spinal imbalance and quantifies the degree of pelvic rotation around the femoral head. Sacral inclination lays the foundation for lumbar kyphosis. That is, small SS is associated with small LL, but because of pelvic pronation, SS changes in patients with sequence loss, so SS is not suitable to guide orthopaedic strategies. PI is the only morphological parameter of an individual and has a strong positive correlation with LL.<sup>6</sup> PI-LL was used to quantify the matching between pelvic shape and lumbar lordosis, and scholars usually determined the PI-LL  $<10^\circ$  threshold as the standard for spine-pelvic sagittal fit<sup>7</sup>; Schwab *et al.* proposed a correction formula  $LL = (PI + TK)/2 + 10$ , which cleverly correlated extra parameters to fit a more realistic sagittal sequence.

Compensation mechanisms are the body's response to sagittal sequence loss. For mild sequence loss, these mechanisms usually start in relatively flexible segments with a high range of motion and gradually extend distally to the hip joint and lower extremities. The body is compensated by moving the center of gravity back and forth, starting by the extension of thoracic vertebrae and then by pelvic pronation, supination, and flexion and extension of the knee and ankle joints.

Although Schwab *et al.* determined the cutoff values of the sagittal parameters (SVA, PI-LL, and PT),<sup>8</sup> others like lifestyle habits, musculoskeletal tissue, and nervous system also change with age. Thus, Schwab *et al.* studied the effect of age on the spine-pelvic sequence<sup>9</sup>; Lafage *et al.* believed that the ideal sagittal parameters should consider age factors, and younger patients need more stringent matching standards.<sup>10</sup> The compensation mechanism usually starts from the flexible segment with greater mobility and gradually extends distally to the hip joint.<sup>11</sup> The body compensates by moving the center of gravity back and forth, initially compensating by stretching the thoracic spine,<sup>12</sup> and then adjusting by pelvic rotation and hip-knee flexion. Therefore, it is not enough to evaluate the spine and pelvis;

compensation such as the hip joint and even the lower limbs should be considered. Previous studies included few sagittal parameters, and there were fewer studies on the distal hip.

Changes in the thoracolumbar and lumbar spine are the leading cause of sagittal sequence loss and vary in different populations.<sup>1</sup> In middle-aged and elderly people, the overall sagittal position is significantly forward with SVA increases and LL decreases. With improved quality of life, middle-aged and elderly patients' exposure rate and attendance rate are increasing. The adjustment of sagittal parameters of this population changes by lumbar spinal stenosis (LSS) because many LSS patients have forward bending postures to increase the volume of the central canal and intervertebral foramen to reduce nerve compression.<sup>13</sup> Such postures affect the sagittal parameters, making LSS patients not applicable to the previous conclusions drawn for asymptomatic middle-aged and elderly people.

To explore sagittal compensation characteristics, we include extra spinal-pelvic parameters and distal hip parameters for analysis, aiming at (i) analyzing the correlation between the spine-pelvis-hip joint parameters in middle-aged and elderly patients with LSS without spinal deformity; (ii) clarifying the fitting relationship between the main sagittal parameters; and (iii) exploring the differences of parameters in different gender groups.

## Methods

### Patient Enrollment

This retrospective single-center study was approved by the ethical committee of our institution [No. 2018PHC076]. We enrolled LSS patients with no spinal deformities in our department from January 2016 to December 2018. The diagnosis of LSS is mainly based on the symptoms, signs, and auxiliary examinations, which are repeated lower extremity radiating pain with or without low back pain, numbness, chills, and muscle weakness in the lower extremities, and intermittent claudication, CT, or MRI suggest signs of lumbar spinal stenosis.

The inclusion for selecting the subjects was as follows: (i) patients diagnosed with LSS and no spinal deformities; (ii) aged  $>55$  years, with stand radiographs of lumbar and the whole spine; (iii) ineffective in conservative treatment for more than 6 months. The exclusion criteria were: (i) those with scoliosis or imbalance in the coronal position; (ii) those with local kyphosis or abnormal lordosis in the sagittal position; (iii) those who have undergone previous spinal surgery; (iv) those with neurofibromatosis or other muscle diseases; (v) patients with thoracic spinal stenosis, vertebral fractures, and other diseases.

### Spinal Alignment Evaluation

The preoperative sagittal parameters were obtained on the full-length X-ray of the spine, including spinal parameters, pelvic parameters, hip joint parameters, spine-pelvic parameters, and sagittal balance parameters.

TK: the sagittal angle between the superior endplate of T5 and the inferior endplate of T12, which was a positive value in kyphosis patients.

TLK: the sagittal angle between the superior endplate of T10 and the inferior endplate of L2.

LL: the sagittal angle between the superior endplate of L1 and the inferior endplate of S1, which was a positive value in lordosis patients.

PT: the angle between the line from the center of the femoral head to the upper endplate of S1 and the plumb line.

SS: The angle between the upper endplate of S1 and the horizontal line.

PI: Draw a vertical line through the midpoint of the upper endplate of S1. The angle between the vertical line and the midpoint of the upper endplate of S1 and the femoral head center.

Hip inclination angle (HIA): The angle between the femur's anatomical axis and the vertical line connecting the anterior superior iliac spine and the posterior superior iliac spine, normally  $20^\circ$ .

Pelvic rotation (PR): The angle between the line of the anterior superior iliac spine and the posterior superior iliac spine and the horizontal line, normally from  $10^\circ$ – $40^\circ$ . HIA also reflects the relative relationship between PR and the anatomical axis of the femur. Therefore, this study uses PR as a hip joint parameter.

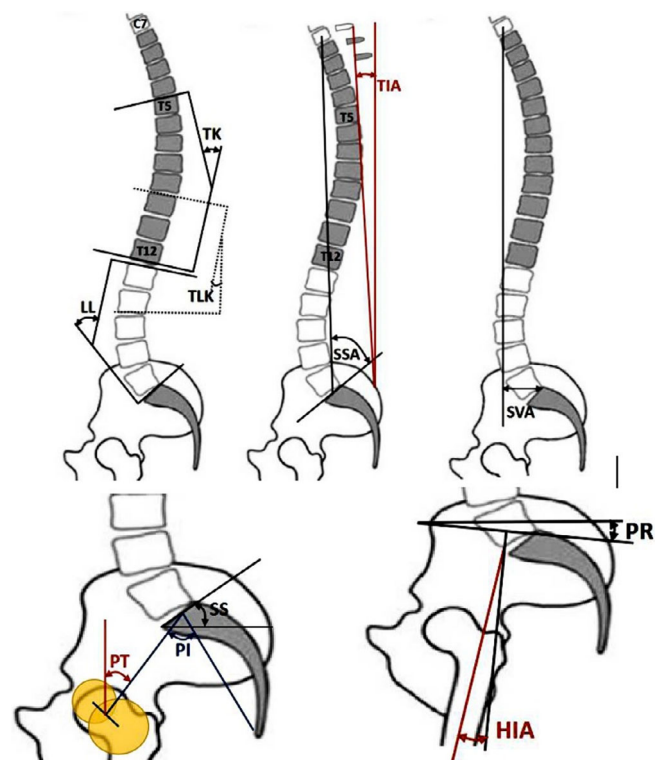
Spino-sacral angle (SSA): The angle between the line between the center of the C7 vertebral body and the midpoint of the S1 endplate and the S1 endplate.

SVA: Draw a plumb line through the midpoint of the C7 vertebral body. The distance from the upper back corner of S1 to the straight line reflects the sagittal balance (Fig. 1).

TLK, SVA, HIA, PI-LL, and PT were regarded as primary results, and the others were secondary outcomes. All the values were measured twice by two independent observers, and the average was calculated. In addition, intra-observer reproducibility of these measurements was explored with the intraclass correlation coefficient (ICC). On inter-observer reliability, the ICC with 95% CI was also identified, comparing the mean of all three measurements from three observers.  $ICC < |0.40|$  indicated poor results;  $|0.40|$  to  $|0.75|$  was fair to good, and  $|0.75|$  to  $|1.00|$  was excellent reliability.

### Statistical Analysis

All measurement data was expressed by mean  $\pm$  standard deviation. The independent sample *t*-test was used to compare gender. Pearson correlation analysis was used to evaluate the correlation between primary results and secondary results. We took PI-LL, PT, SVA, and TLK as dependent variables and include relevant factors for analysis. The statistical analysis was performed by SPSS 22.0 (International Business Machines Corporation), and statistical significance was defined as  $p < 0.05$ .



**Fig. 1** Illustration of the spine-pelvis-hip joint sagittal parameter. TK: the angle between T5 and T12; TLK: the angle between T10 and L2; LL: the angle between L1 and S1. PT: the angle between the line from the center of the femoral head to the upper endplate of S1 and the plumb line; SS: the angle between the upper endplate of S1 and the horizontal line; PI: draw a vertical line through the midpoint of the upper endplate of S1. The angle between the vertical line and the midpoint of the upper endplate of S1 and the femoral head center. HIA: the angle between the femur's anatomical axis and the vertical line connecting the anterior superior iliac spine and the posterior superior iliac spine. PR: the angle between the line of the anterior superior iliac spine and the posterior superior iliac spine and the horizontal line. SSA: the angle between the line between the center of the C7 vertebral body and the midpoint of the S1 endplate and the S1 endplate. SVA: draw a plumb line through the midpoint of the C7 vertebral body. The distance from the upper back corner of S1 to the straight line.

### Results

Eventually, 205 patients were recruited, including 153 women (74.6%) and 52 men (25.4%) with an average age of  $67.6 \pm 7.1$  years (55–83 years) and a BMI of  $26.1 \pm 3.2$  kg/m<sup>2</sup> (20.1–34.4 kg/m<sup>2</sup>).

#### The Value and ICC of all Radiological Parameters

Intra-observer reproducibility and inter-observer reliability using ICC for all radiological parameters showed good to excellent agreement (Table 1). Specifically, PI-LL, PT, and SVA are  $8.6^\circ \pm 11.0^\circ$ ,  $19.8^\circ \pm 8.4^\circ$  and  $27.1 \pm 31.1$  mm, and

**TABLE 1 Primary results and secondary results**

Primary results	Mean ± SD	ICC	Secondary results	Mean ± SD	ICC
TLK, °	7.2 ± 6.3	0.78	TK, °	24.2 ± 7.6	0.88
SVA, mm	27.1 ± 31.1	0.91	PR, °	14.1 ± 7.7	0.79
HIA, °	18.3 ± 6.6	0.72	SSA, °	57.6 ± 8.4	0.84
PI-LL, °	8.6 ± 11.0	0.77	LL, °	41.7 ± 10.9	0.81
PT, °	19.8 ± 8.4	0.93	PI, °	50.7 ± 10.4	0.77

Abbreviations: HIA, hip inclination angle; ICC, intraclass correlation coefficient; LL, lumbar lordosis; PI, pelvic incidence; PR, pelvic rotation; PT, pelvic tilt; SSA, spino-sacral angle; SVA, sagittal vertical axis; TK, thoracic kyphosis; TLK, thoracolumbar kyphosis.

TLK is  $7.2^\circ \pm 6.3^\circ$ . In the case of gender, PI ( $46.9^\circ \pm 12.1^\circ$  vs  $51.7^\circ \pm 9.9^\circ$ ), PI-LL ( $3.8^\circ \pm 11.7^\circ$  vs  $10.0^\circ \pm 11.1^\circ$ ), and PT ( $17.2^\circ \pm 8.6^\circ$  vs  $20.8^\circ \pm 7.7^\circ$ ) were found smaller in men than women ( $p = 0.036$ ,  $p = 0.001$ , and  $p = 0.011$ , respectively), but TK is greater in men than women ( $27.3^\circ \pm 6.1^\circ$  vs  $23.3^\circ \pm 7.7^\circ$ ,  $p = 0.033$ ).

#### The Relationship among the Spinal Sagittal Parameters

In this population, PI-LL was positively correlated with PT ( $r = 0.608$ ,  $p < 0.001$ ) and SVA ( $r = 0.238$ ,  $p = 0.019$ ) while negatively correlated with HIA ( $r = -0.193$ ,  $p = 0.010$ ); PT was negatively correlated with HIA ( $r = -0.289$ ,  $p < 0.001$ ), HIA was negatively correlated with TLK ( $r = -0.155$ ,  $p = 0.047$ ), and the main results had no clear correlation with age (Table 2, Fig. 2).

Among the secondary results, TK is positively correlated with SSA ( $r = 0.279$ ,  $p = 0.006$ ), while SS is negatively correlated with SSA ( $r = -0.392$ ,  $p < 0.001$ ), PR is negatively correlated with SSA ( $r = -0.304$ ,  $p = 0.003$ ); and there is no clear correlation between secondary results and age (Table 3, Fig. 3).

Between the primary and secondary results, PI-LL was negatively correlated with TK and PR ( $p < 0.05$ ), PT was negatively correlated with SS and PR ( $p < 0.01$ ), HIA was positively correlated with SS and PR ( $p < 0.01$ ), SVA is correlated with SS ( $p = 0.017$ ), positively correlated with SSA ( $p < 0.01$ ), TLK is negatively correlated with SS ( $p < 0.01$ ) (Table 4, Fig. 4).

#### Determination of the Dependent Factors for PI-LL, PT, and SVA

Multiple linear regression analysis was performed using PT, SVA, and PI-LL as the dependent variable and the above-mentioned related factors as independent variables. Through collinearity judgment, the independent variables can exist at the same time (tolerance  $>0.1$ ). The positive influencing factors and risk factors of SVA were SSA ( $p < 0.001$ ), and PI-LL played a negative regulatory role through proximal TK (Beta =  $-0.228$ ,  $p = 0.011$ ), and PT plays a positive regulatory role (Beta =  $0.520$ ,  $p < 0.001$ ), the fitting relationship is: PI-LL =  $0.5 \times PT - 0.2 \times TK$ ; and HIA is not an influencing factor of the three (Table 5, Fig. 5).

#### Discussion

Generally, there was a close interaction among spine-pelvic-hip sagittal parameters. Firstly, it found PI, PI-LL, and PT are smaller in males than in females, while the TK is greater in males than in females. Then the parameters mentioned by Schwab (PI-LL, PT and SVA) closely interacted. With the initial change of loss of lumbar lordosis, there was a series of compensation chain by spino-pelvic-hip parameters. What's more, the compensation of parameters existed in real-time from stasis to dynamic status.

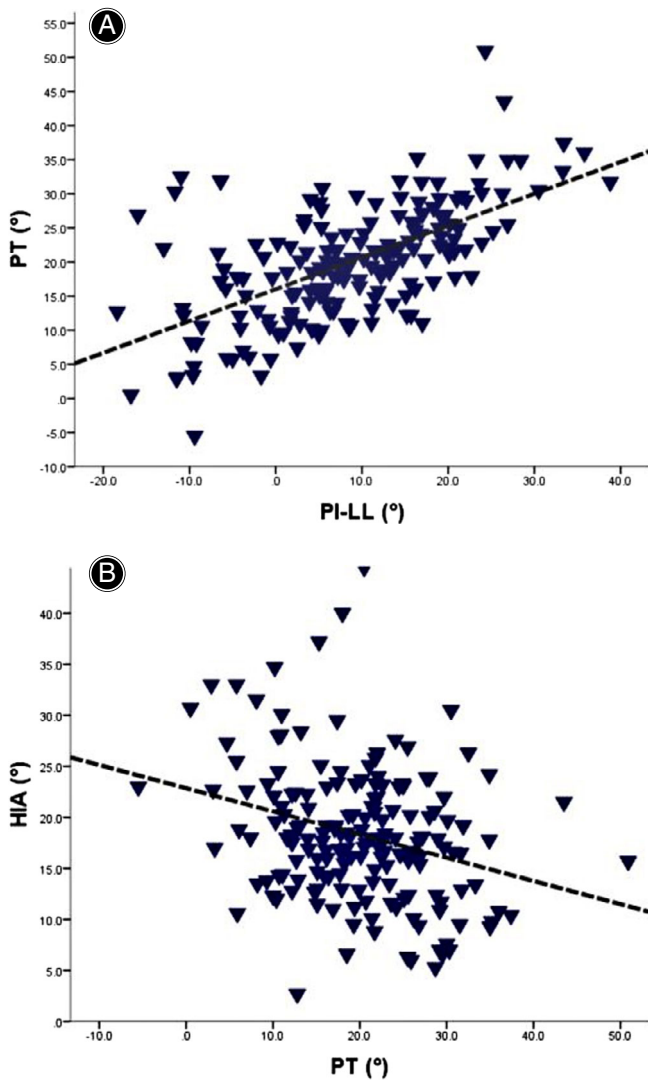
#### Spino-Pelvic Sagittal Alignment

The spino-pelvic sagittal alignment plays an essential role in the maintenance of the normal function and guidance for surgical reconstruction. The degeneration of spinal deformity

**TABLE 2 Pearson correlation analysis of main results and age**

	PI-LL		PT		HIA		SVA		TLK	
	r	p	r	p	r	p	r	p	r	p
PT	0.608	<0.001								
HIA	-0.193	0.010	-0.289	<0.001						
SVA	0.238	0.019	-0.070	0.497	0.088	0.400				
TLK	-0.037	0.630	-0.082	0.286	-0.155	0.047	-0.135	0.186		
Age	0.027	0.715	0.065	0.381	-0.020	0.790	0.076	0.457	-0.029	0.710

Abbreviations: HIA, hip inclination angle; LL, lumbar lordosis; PI, pelvic incidence; PT, pelvic tilt; SVA, sagittal vertical axis; TLK, thoracolumbar kyphosis.



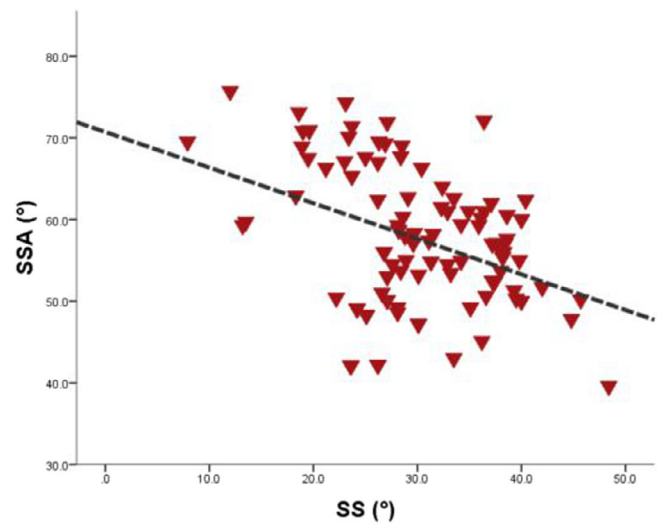
**Fig. 2** (A) The scatter diagram on correlation between PI-LL and PT showed PI-LL was positively correlated with PT. (B) The scatter diagram on correlation between PT and HIA showed PT was negatively correlated with HIA. HIA, hip inclination angle; LL, lumbar lordosis; PI, pelvic incidence; PT, pelvic tilt; PT, pelvic tilt.

consumes plenty of energy and probably accelerates the progress of spinal diseases.<sup>14</sup> Therefore, many studies attempted to quantify the spine-pelvic parameters to provide specific clinical evidence. Schwab *et al.* outlined the matching condition between the pelvis and lumbar spine with  $PI-LL < 10^\circ$  as the standard for spino-pelvic adaption.<sup>7</sup> In addition, patients with high TK also need a larger LL than theoretical value to compensate for thoracic kyphosis with  $LL = (PI + TK)/2$ . When the factor of age was taken into consideration, Lafage *et al.* believed that elderly patients had more compensation, more severe LL loss, and more trunk tilt.<sup>10</sup> As the most important joint of the spino-pelvis, the hip enables the overall sagittal balance, LSS is often combined with lower

**TABLE 3** Pearson correlation analysis of secondary results and age

	TK	PR	SSA
PR	-0.126		
SSA	0.279**	-0.304**	
Age	0.143	-0.031	0.064

Abbreviations: PR, pelvic rotation; SSA, spino-sacral angle; TK, thoracic kyphosis.; \*\*  $p < 0.01$ .



**Fig. 3** The scatter diagram on correlation between SS and SSA. SS: the angle between the upper endplate of S1 and the horizontal line. SS is negatively correlated with SSA; SSA: Spino-sacral angle.

extremity symptoms that may affect hip motion, making it necessary to include hip parameters, but it is seldom contained as an essential parameter in spino-pelvic analysis.

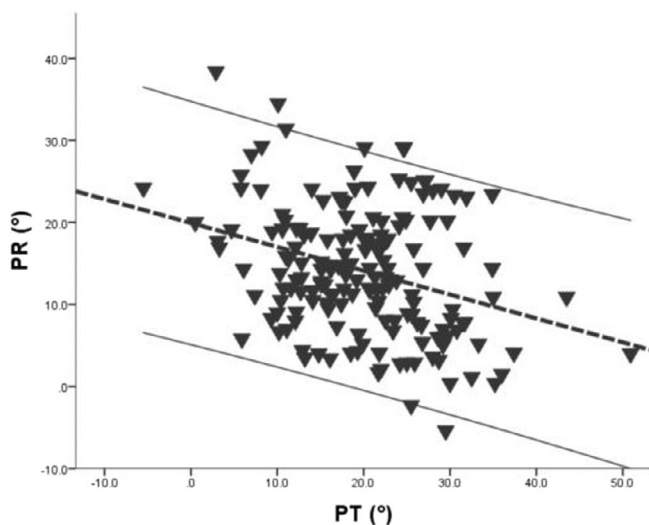
#### **PI-LL, PT, and SVA Closely Interacted**

This study, pooling spino-pelvic-hip parameters, found that the sagittal parameters closely interacted, which confirmed the biomechanical chain between various parts from the thoracic spine to the hip. The reasons for concentrating middle-aged and elderly LSS patients without spinal deformity were as follows. Firstly, LSS was the most common type of degenerative spinal disease in middle-aged and elderly people. Secondly, a large number of LSS patients, some with deformity, required surgical treatment. Reconstruction of the sagittal alignment and quantifying the parameters with normal sequence can provide guidance for surgical strategies. Thirdly, LSS often combines lower extremity symptoms, which may affect the biomechanical chain of the hip joint and it should be taken into consideration.<sup>15</sup> Furthermore, at present, sagittal parameters were fitted for almost all

**TABLE 4** Pearson correlation analysis of main results and secondary results

	PI-LL		PT		HIA		SVA		TLK	
	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>
TK	-0.203	0.044	0.037	0.713	-0.068	0.512	0.113	0.270	0.058	0.570
PR	-0.233	0.002	-0.317	<0.001	0.549	<0.001	0.068	0.516	-0.053	0.503
SSA	0.083	0.416	-0.129	0.210	-0.038	0.720	0.341	0.001	0.172	0.091

Abbreviations: HIA, hip inclination angle; LL, lumbar lordosis; PI, pelvic incidence; PR, pelvic rotation; PT, pelvic tilt; SSA, spino-sacral angle; SVA, sagittal vertical axis; TK, thoracic kyphosis; TLK, thoracolumbar kyphosis.



**Fig. 4** The scatter diagram on correlation between PT and PR. PT was negatively correlated with SS and PR. PR, pelvic rotation; PT, pelvic tilt.

asymptomatic adults, while LSS patients need to fine tune these parameters to eliminate neurological symptoms, which may be in disparity with the healthy group.<sup>16</sup>

This study showed that the sagittal parameters PI, PI-LL, and PT were smaller in males than females. For the value of PI, the males have an average decrease by 5°–7° than females ( $p < 0.01$ ) in the two age groups (40–49 and 70–79). Yukawa *et al.* included 626 asymptomatic adults and found gender-difference in SVA, PI, and PT ( $p < 0.05$ ). Anatomically, there are significant gender differences in pelvic size and shape, and the pelvic range of motion was lesser in the male group compared with the female group. Therefore, we considered that the ideal value of PI-LL may vary by gender.<sup>17</sup>

The SRS-Schwab classification characterized the parameters of PI-LL, PT, and SVA in ASD patients. The lumbar spine sequence is the initial for the study of lumbar spine degeneration and reconstruction. Mangione *et al.*<sup>5</sup> believe that to maintain a balanced posture with minimal consumption the optimal alignment of the lumbar spine mainly depended on PI, and consequently, PT also

established a compensatory mechanism for spinal balance by the rotation of pelvis. This study addressed PI-LL, PT, and SVA as the main results, showing that PI-LL was positively correlated with PT, consistent with previous studies. SVA had high sensitivity and practicality in the evaluation of sequence loss, but SVA can be affected by the patient position and pelvic rotation.<sup>18</sup> In our data, the increase of PI-LL led to an increase of SVA. For patients with small lumbar lordosis, according to Rousouly classification,<sup>19</sup> the vertebral at the proximal thoracic and lumbar was relatively horizontal, so the proximal hyperextension is not adequately compensated, causing the trunk to lean forward. In this condition, the hip joint extended to compensate for the pelvic retroversion and to maintain the balance of the overall spine.

#### **The Spino-Pelvic-Hip Compensation Chain with Less LL**

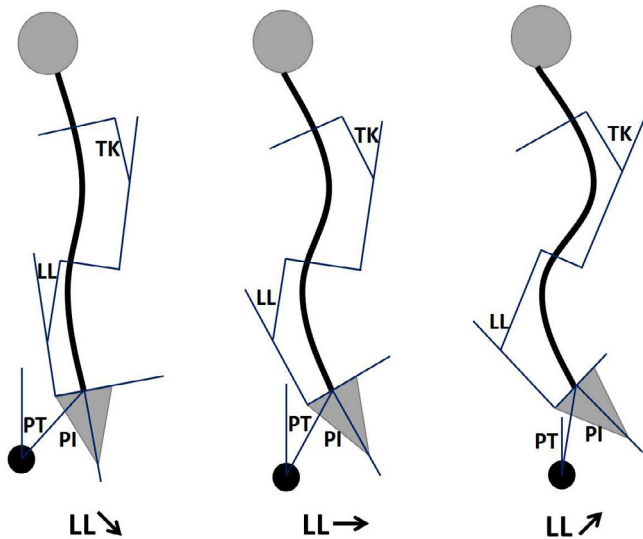
Previous studies believed that PI was closely correlated to SS and LL, and LL was also associated with SS<sup>3</sup>. In the case of decreased lumbar lordosis, the spine and pelvis may be compensated by increasing PT and decreasing TK to maintain sagittal balance, where the parameters, except for PI, need to be adjusted for compensation.<sup>13</sup> When there was lumbar hypolordosis, the sacrum would become horizontal while the pelvis retroverted, so PI-LL was negatively correlated with PR and SS. SVA enabled quantifying the displacement distance of the cervical spine to the pelvis, which was the compensating role for LL loss together with pelvic rotation.<sup>20</sup> The increase of SVA forced the body to appear positively imbalanced, ensuing the trunk tilted forward and the SSA increased. When the LL remained unchanged and the thoracic kyphosis increased, the upper lumbar spine consequently increased. To maintain PI-LL matching, the body will compensate by leveling the sacrum, pelvic ante-rotation, and the flexion of the hip. None of these parameters had an identified correlation with age, which was possibly attributed to the narrower age range of the participants.

In ASD, the lumbo-pelvic parameter was of great importance. A large number of previous studies have focused on the adjustment of distal lumbo-pelvic adaption. However, the proximal compensation mechanism has been gradually emphasized and quantified. Some scholars addressed that

TABLE 5 Multiple linear regression analysis of main results

Dependent variables		Unstandardized		Standardized Beta	T	p	Collinearity diagnosis	
		B	SE				Tolerance	VIF
PT	Constant	18.575	5.768		3.220	0.002		
	PR	-0.177	0.104	-0.184	-1.699	0.093	0.745	1.342
SVA	Constant	1.744	16.329		0.107	0.915		
	SSA	1.254	0.265	0.341	4.723	<0.001	1.000	1.000
PI-LL	Constant	3.307	5.1		0.648	0.518		
	TK	-0.341	0.131	-0.228	-2.611	0.011	0.994	1.006
	PT	0.672	0.117	52	5.764	<0.001	0.928	1.078
	HIA	-0.014	0.131	-0.01	-0.106	0.916	0.926	1.079

Abbreviations: HIA, hip inclination angle; LL, lumbar lordosis; PI, pelvic incidence; PR, pelvic rotation; PT, pelvic tilt; SSA, spino-sacral angle; SVA, sagittal vertical axis; TK, thoracic kyphosis; VIF, variance inflation factor.



**Fig. 5** When the physiological curvature of the lumbar spine decreases, PI-LL increases, PT increases, and TK decreases; and when LL increases, PT decreases, and TK increases. LL, lumbar lordosis; PI, pelvic incidence; PT, pelvic tilt; TK, thoracic kyphosis.

$PI + (TK - LL) > 45^\circ$  was a risk factor for poor postoperative sagittal balance (SVA) ( $p = 0.009$ ),<sup>21</sup> but the studies mainly aimed at asymptomatic people and neglected the impact of the hip. This study quantified the fitting relationship between PI-LL and pelvis as well as proximal thoracic spine tightly to clinical practice. When patients attend the clinic, the sagittal morphology has been dually affected by the deformity and compensation.<sup>1</sup>

#### The Compensation of Parameters from Stasis to Dynamic Status

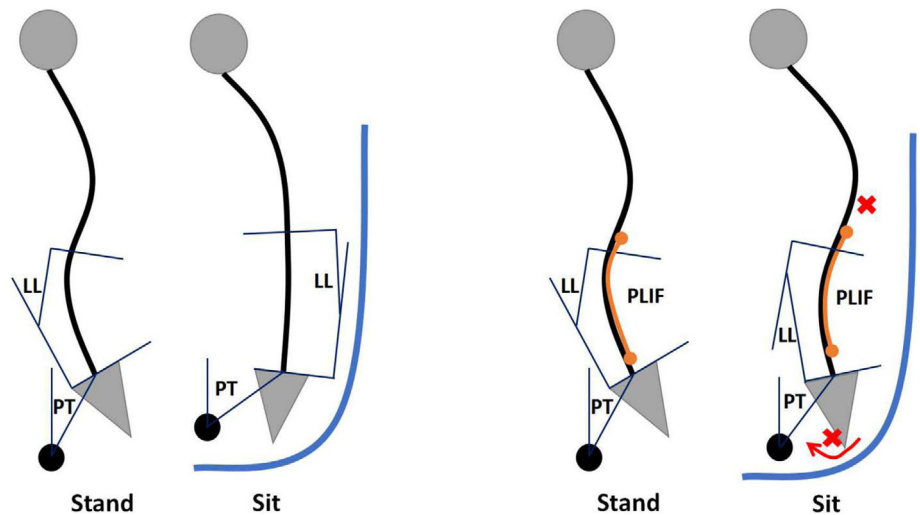
When the lumbar spine was instrumented, PI-LL was relatively fixed and sagittal adjustment need to start from pelvic

rotation. Once the pelvis uncompensated adequately, the fixation of the proximal thoracic spine possibly caused proximal junctional kyphosis (PJK). Hey *et al.* have shown that the SVA would gradually increase from an upright position or a straight sitting position, to a relaxed sitting position, where LL would decrease progressively. When LL was overcorrected (PI-LL close to  $-9^\circ$ ) and exceeded the compensatory capacity of the thoracic spine, PJK was prone to appear in a sitting position.<sup>22</sup> Therefore, in order to avoid the occurrence of PJK, it was necessary to select UIV seriously and to confine lumbar lordosis to a reasonable range. Given that when all lumbar spine was fused by long-segment fixation and LL was excessively restored, the UIV would terminate at the thoracolumbar segment. When the patient was in sitting posture, with the undercompensation of pelvic retroversion, the stress on TK and TLK would sharply increase, meaning that the PJK or PJF was inevitable in the elderly patients with osteoporosis (Fig. 6). By understanding this relationship in this study, physicians can guide patients to undertake functional exercises and adjust their posture independently to avoid biomechanical complications.

#### Limitations

The limitation of this study is that the hip joint parameters only include the hip joint inclination angle. Although this parameter reflects both the pelvic inclination angle and hip joint flexion angle, fewer parameters are included. The research parameters are all standard stance parameters, while dynamic parameters can be a better reflection. There are differences between the patient's motion status and neurological function, static parameters, and dynamic parameters, and further study is needed. Affected by the total spine X-ray, this study only includes the hip joint parameters for research, while the distal knee and ankle joints are also involved in essential adjustments. A large sample of in-depth research is needed; this study only proposes the fitting relationship of parameters for patients

**Fig. 6** The schematic diagram on overcorrection of lumbar lordosis causes PJK. When the patient is in a similar sitting posture, once the pelvic rotation exceeds the compensation range, it is bound to increase TK significantly, causing PJK or PJF in patients with stress concentration or osteoporosis. PJK, Proximal junctional kyphosis; TK, thoracic kyphosis.



with the normal sagittal position. The correctness of this conclusion needs to be further verified by postoperative PJK patients.

### Conclusion

In total, there was a close interaction among spine-pelvic-hip sagittal parameters. PI, PI-LL, and PT are smaller in women than in men, while the TK value is greater in men than in women; PI-LL has a clear correlation with PT, SVA, and hip inclination; SVA has a clear correlation with SSA, while TLK has an exact apparent influencing factor. The matching of PI and LL is adjusted by the thoracic spine and pelvis, which aligns with the fitting relationship of the domestic middle-aged and elderly LSS population as  $PI-LL = 0.5 \times PT - 0.2 \times TK$ . These conclusions supply the specific evidence for the whole spina-pelvic-hip sagittal biomechanics. Furthermore, by this fitting formula,

physicians can guide the patients to undertake functional exercises and adjust their posture individually to avoid bio-mechanical complications.

### Author Contributions

**C**onceptualization: Haiying Liu, Shuai Xu; Data Curation: Shuai Xu, Chen Guo; Formal Analysis: Shuai Xu, Chen Guo, Yan Liang; Investigation: Yan Liang; Methodology: Shuai Xu, Chen Guo, Yan Liang; Project Administration: Haiying Liu, Yan Liang; Resources: Shuai Xu, Yan Liang; Software: Shuai Xu, Chen Guo, Zhenqi Zhu; Validation: Chen Guo; Visualization: Haiying Liu, Zhenqi Zhu; Writing & Editing: Haiying Liu, Shuai Xu, Chen Guo, Yan Liang.

### Conflict of Interest

**T**he authors declare no conflict of interest.

### References

- Diebo BG, Varghese JJ, Lafage R, Schwab FJ, Lafage V. Sagittal alignment of the spine: what do you need to know? *Clin Neurol Neurosurg*. 2015;139:295–301.
- Kozanek M, Wang S, Passias PG, Xia Q, Li G, Bono CM, et al. Range of motion and orientation of the lumbar facet joints in vivo. *Spine*. 2009;34:E689–96.
- Legaye J, Duval-Beaupère G, Hecquet J, et al. Pelvic incidence: a fundamental pelvic parameter for three-dimensional regulation of spinal sagittal curves. *Eur Spine J*. 1998;7:99–103.
- Duval-Beaupère G, Schmidt C, Cosson P. A barycentremetric study of the sagittal shape of spine and pelvis: the conditions required for an economic standing position. *Ann Biomed Eng*. 1992;20:451–62.
- Mangione P, Gomez D, Senegas J. Study of the course of the incidence angle during growth. *Eur Spine J*. 1997;6:163–7.
- Vialle R, Levassor N, Rillardon L, Templier A, Skalli W, Guigui P. Radiographic analysis of the sagittal alignment and balance of the spine in asymptomatic subjects. *J Bone Joint Surg Am*. 2005;87:260–7.
- Frank S, Patel A, Ungar B, Farcy J, Lafage V. Adult spinal deformity-postoperative standing imbalance: how much can you tolerate? An overview of key parameters in assessing alignment and planning corrective surgery. *Spine*. 2010;35:2224–31.
- Schwab F, Ungar B, Blondel B, Buchowski J, Coe J, Deleigne D, et al. Scoliosis Research Society-Schwab adult spinal deformity classification: a validation study. *Spine*. 2012;37:1077–82.
- Schwab FJ, Blondel B, Bess S, Hostin R, Shaffrey CI, Smith JS, et al. Radiographical spinopelvic parameters and disability in the setting of adult spinal deformity: a prospective multicenter analysis. *Spine*. 2013;38:E803–12.
- Lafage R, Schwab F, Chailier V, Henry JK, Gum JL, Smith JS, et al. Defining Spino-pelvic alignment thresholds: should operative goals in adult spinal deformity surgery account for age? *Spine*. 2016;41:62–8.
- Konishi T, Endo K, Aihara T, Suzuki H, Matsuoka Y, Nishimura H, et al. Global sagittal spinal alignment at cervical flexion in patients with dropped head syndrome. *J Orthop Surg*. 2020;28:616608554.
- Aurouer N, Obeid I, Gille O, Pointillart V, Vital JM. Computerized preoperative planning for correction of sagittal deformity of the spine. *Surg Radiol Anat*. 2009;31:781–92.
- Berven S, Wadhwa R. Sagittal alignment of the lumbar spine. *Neurosurg Clin N Am*. 2018;29:331–9.
- Schwab F, Lafage V, Farcy JP, et al. Surgical rates and operative outcome analysis in thoracolumbar and lumbar major adult scoliosis: application of the new adult deformity classification. *Spine*. 2007;32:2723–30.
- Sultan AA, Khlopas A, Piuze NS, Chughtai M, Sodhi N, Mont MA. The impact of Spino-pelvic alignment on Total hip arthroplasty outcomes: a critical analysis of current evidence. *J Arthroplasty*. 2018;33:1606–16.
- Funao H, Tsuji T, Hosogane N, Watanabe K, Ishii K, Nakamura M, et al. Comparative study of spinopelvic sagittal alignment between patients with and without degenerative spondylolisthesis. *Eur Spine J*. 2012;21:2181–7.
- Yukawa Y, Kato F, Suda K, Yamagata M, Ueta T, Yoshida M. Normative data for parameters of sagittal spinal alignment in healthy subjects: an analysis of gender specific differences and changes with aging in 626 asymptomatic individuals. *Eur Spine J*. 2018;27:426–32.



- 18.** Shiri R, Solovieva S, Husgafvel-Pursiainen K, Telama R, Yang X, Viikari J, et al. The role of obesity and physical activity in non-specific and radiating low back pain: the young Finns study. *Semin Arthritis Rheum.* 2013;42: 640–50.
- 19.** Roussouly P, Gollogly S, Berthonnaud E, Labelle H, Weidenbaum M. Sagittal alignment of the spine and pelvis in the presence of L5-s1 isthmic lysis and low-grade spondylolisthesis. *Spine.* 2006;31:2484–90.
- 20.** Zhu T, Xin X, Yang B, Liu C, Kou B, Chen Z, et al. Association between clinical symptoms and radiographic features in late-stage knee osteoarthritis using a new radiographic parameter. *Pain Med.* 2021;22: 1539–47.
- 21.** Rose PS, Bridwell KH, Lenke LG, Cronen GA, Mulconrey D, Buchowski J, et al. Role of pelvic incidence, thoracic kyphosis, and patient factors on sagittal plane correction following pedicle subtraction osteotomy. *Spine.* 2009;34: 785–91.
- 22.** Hey HW, Wong CG, Lau ET, et al. Differences in erect sitting and natural sitting spinal alignment—insights into a new paradigm and implications in deformity correction. *Spine J.* 2017;17:183–9.